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# Depinning in the quenched Kardar-Parisi-Zhang class I: Mappings, simulations and algorithm

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Depinning of elastic systems advancing on disordered media can usually be described by the quenched Edwards-Wilkinson equation (qEW). However, additional ingredients such as anharmonicity and forces that can not be derived from a potential energy may generate a different scaling behavior at depinning. The most experimentally relevant is the Kardar-Parisi-Zhang (KPZ) term, proportional to the square of the slope at each site, which drives the critical behavior into the so-called quenched KPZ (qKPZ) universality class. We study this universality class both numerically and analytically: by using exact mappings we show that at least for  $d = 1, 2$  this class encompasses not only the qKPZ equation itself, but also anharmonic depinning and a well-known class of cellular automata introduced by Tang and Leschhorn. We develop scaling arguments for all critical exponents, including size and duration of avalanches. The scale is set by the confining potential strength  $m^2$ . This allows us to estimate numerically these exponents as well as the  $m$ -dependent effective force correlator  $\Delta(w)$ , and its correlation length  $\rho := \Delta(0)/|\Delta'(0)|$ . Finally we present a new algorithm to numerically estimate the effective ( $m$ -dependent) elasticity  $c$ , and the effective KPZ non-linearity  $\lambda$ . This allows us to define a dimensionless universal KPZ amplitude  $\mathcal{A} := \rho\lambda/c$ , which takes the value  $\mathcal{A} = 1.10(2)$  in all systems considered in  $d = 1$ . This proves that qKPZ is the effective field theory for all these models. Our work paves the way for a deeper understanding of depinning in the qKPZ class, and in particular, for the construction of a field theory that we describe in a companion paper.

## I. INTRODUCTION

Diverse systems can be modeled as an elastic object (line, surface, manifold) advancing through a random medium with quenched disorder: disordered magnets and the associated Barkhausen noise [1], expanding fronts of bacterial colonies [2, 3], systems that show self-organized criticality [4], or coffee imbibing this paper (if you are old fashioned enough to use a printout and to pour your coffee onto it) [5–7]. Often, the elastic system experiences a so-called “depinning transition” as a function of some driving parameter, so that the system changes from being pinned in some configuration to advancing at an average velocity [8]. This phase transition can be thought of as the transition between an active state, where the elastic interface (or surface, etc) changes over time, and an absorbing or quiescent state, where the interface remains frozen [9]. At the transition, the dynamics becomes universal at sufficiently large scales, universality appears as microscopic details are irrelevant, and different systems and models can be grouped together into a few universality classes. The latter can then be studied via the renormalization-group (RG) and, more specifically, by employing functional renormalization group (FRG) approaches [10–16].

The simplest prototypical model for depinning transitions is the quenched Edwards Wilkinson equation (qEW), also called *harmonic depinning*. It monitors the height  $u(x, t) \in \mathbb{R}$  of a  $d$ -dimensional interface embedded into  $d + 1$  dimensions. By construction, this excludes overhangs as well as bubbles. Its dynamics is described by

$$\eta \partial_t u(x, t) = \underbrace{c \nabla^2 u(x, t)}_{\text{harmonic elasticity}} + \underbrace{m^2 [w - u(x, t)]}_{\text{confinement and driving}} + \underbrace{F(x, u(x, t))}_{\text{pinning forces}}. \quad (1)$$

The pinning forces  $F(x, u)$  are quenched Gaussian random variables with variance  $\overline{F(x, u)F(x', u')} = \delta^d(x - x') \Delta_0(u - u')$ .  $\Delta_0(u)$  is the microscopic disorder-force correlator, assumed to decay rapidly for short-range (SR) disorder [8]. The system is driven by slowly increasing  $w$ , either as  $w = vt$  (with  $v$  small), or via a small “kick”,  $w \rightarrow w + \delta w$  whenever the interface is stuck (pinned). The latter protocol is particularly useful to study avalanches [17–34].

While the microscopic force correlator  $\Delta_0(u)$  can be analytic, the effective renormalized correlator  $\Delta(w)$  seen in the field theory [10–16], and measurable in experiments [35–39] exhibits a cusp at  $w = 0$ . The slope at the cusp is proportional to the typical avalanche size,  $|\Delta'(0^+)| \sim \langle S^2 \rangle / \langle S \rangle$  [19].

The qEW class is not the only universality class for interface depinning. As we show here, there is one other rather large universality class, which we will establish is relevant whenever *non-linear effects cannot be neglected*. As an example, the coffee imbibing our paper can be modeled by the cellular automaton proposed in 1992 by Tang and Leschhorn (TL92) [40], or variants thereof [41, 42]. As it permeates through the paper, the coffee is blocked by a percolating line orthogonal to the coffee front, a phenomenon known as *directed-percolation depinning* (DPD) [5]. At a coarse-grained level one observes that the coffee front tends to grow in its normal direction, inducing anisotropy in the invaded medium. This phenomenon is modeled by an additional term, usually called *KPZ term*, [43] in the equation of motion,

$$\eta \partial_t u(x, t) = \dots + \underbrace{\lambda [\nabla u(x, t)]^2}_{\text{KPZ-term}}. \quad (2)$$

In addition to fluid invasion (our coffee front) [5–7], experiments on bacterial colonies [2, 3] or chemical reaction fronts [44, 45] share this property.

In our setup, there is a preferred direction in the medium: the coffee front starts from a flat initial condition. If there is no such preferred direction, or the microscopic disorder is very strong, the critical behavior may be different [46].

Finally, the elastic restoring force may be stronger than the harmonic elasticity in Eq. (1). This is particularly important at depinning in dimension  $d = 1$ , where the roughness exponent  $\zeta = 5/4$  is larger than 1, meaning that the width of the interface grows stronger than the system size. As argued in Ref. [47] this implies that the small-displacement expansion for the elastic energy must be invalid, and one needs to go to the next order and include anharmonic elastic terms to bring the roughness to  $\zeta \leq 1$ . **The interpretation in Ref. [47] is that an elastic string would break and the qEW model is unphysical. For domain walls in 2d magnets this leaves two possibilities: either they are self-affine interfaces in the qKPZ class, or non-self-affine (i.e. not described by a height function), and then possibly (isotropic) fractals.**

We show below that all these models belong to the same universality class, termed the *quenched Kardar-Parisi-Zhang* (qKPZ) universality class [48]. The field theoretic treatment of qKPZ via FRG is, however, fraught with difficulties [49]. The reason is that in [49] the effective KPZ coupling  $\lambda$  generically flows to strong coupling, indicating that the perturbative treatment breaks down. Ref. [49] further contained a subspace of fixed points defined by closed RG equations. This subspace is characterized by an exponentially decaying effective force correlators  $\Delta(w)$ . Our study was motivated by the observation that such a fixed point is indeed realized for the pair-contact process (PCP) [50]. However, our numerical simulations indicate that none of the models discussed above has an exponentially decaying  $\Delta(w)$ . A new field theory that agrees with the simulations is therefore needed.

In view of the theoretical problems, here we tackle the system first numerically, and use the results to guide development of the theory. The first key conceptual advance is the introduction of a confining potential proportional to  $m^2$ . When  $m^2 = \infty$ , the interface position is the flat configuration  $u(x, t) = w$ . As a consequence, both the elastic term  $c\nabla^2 u(x, t)$  as the KPZ term  $\lambda[\nabla u(x, t)]^2$  vanish. Thus when we sample the total forces acting on the interface, and its correlations  $\Delta(w)$  (see section V), we see the microscopic correlations  $\Delta_0(w)$  of  $F(x, u)$ . Let us now decrease  $m^2$ . As the interface explores more configurations and takes advantage of stronger pinning configurations, the total pinning force increases, while at the same time the interface becomes wider. Viewing the dynamics as a function of  $w$ , the size of jumps increases (with decreasing  $m$ ), while their rate decreases. This leads to larger correlation lengths  $\xi_m$  in the  $x$ -direction and  $\xi_\perp$  in the driving direction.

While we can take  $m$  smaller and smaller, we cannot take  $m = 0$  to start with, as we can not even define a steady state. However, when  $\xi_m$  surpasses the system size, its effect on the (spatial) correlations of  $u(x)$  becomes invisible. Thus for all practical purposes, we have reached the scaling limit.

Apart from the effective (total) force correlator  $\Delta(w)$ , we numerically estimate the flow of the parameters  $c$  and  $\lambda$  as a function of the confining potential strength  $m^2$ , to assess

whether the effective non-linearity  $\lambda$  flows to infinity as it did in the field theory of [49], or reaches a fixed point. In the latter scenario, we could hope to be able to *repair* the field theory. Our overall goal is to identify the *effective* field theory, i.e. the effective large-scale theory, without having to resort to field theory techniques, as e.g. a diagrammatic expansion.

Our second key advance is to construct an algorithm to estimate all parameters of the effective field theory, as a function of  $m$ . Our conclusion is that an effective field theory with finite,  $m$ -dependent parameters exists, and it has the form of the qKPZ equation. More specifically, we define a dimensionless effective KPZ amplitude  $\mathcal{A}$ ,

$$\mathcal{A} := \lim_{m \rightarrow 0} \frac{\lambda}{c} \rho, \quad (3)$$

where  $\lambda$  is the KPZ non-linearity in Eq. (2),  $c$  the effective elasticity in Eq. (1), and  $\rho$  the correlation length of the effective force correlator (for a precise definition see section V G). Since the limit in Eq. (3) exists, the theory remains valid for the more common setting of the qKPZ equation without an  $m^2$ -term.  $\mathcal{A}$  should be viewed as the effective KPZ-nonlinearity in dimensionless units: It vanishes in qEW, and we show numerically that  $\mathcal{A}$  is the same for the TL92 cellular automaton, qKPZ and anharmonic depinning. **This supports our claim that (at least in  $d = 1, 2$ ) there is only one universality class with  $\mathcal{A} \neq 0$  which differs from qEW with  $\mathcal{A} = 0$ . The qKPZ fixed point is relevant even if the deviations from qEW in the microscopic model are small.**

This paper is organized as follows: in Section II we describe the models we use. We then show through mappings that these models are in the same universality class (section III). Section IV is devoted to a scaling analysis, with the confining potential  $m^2$  defining a new class of exponents. How to estimate numerically the effective field theory is described in section V, first for the force correlator (sections V B-V C), and then the coupling constants (sections V E-V G). Brief conclusions are offered in section VI. In a companion paper [51] we show how to obtain the effective field theory from a diagrammatic approach.

## II. MODELS

In this section, we define three models. The first two are described by a continuous equation, while the third one is a cellular automaton model, i.e. a discrete microscopic model. We show in section III that they can all be mapped onto each other.

### A. QKPZ equation

The quenched KPZ equation (qKPZ) is defined as

$$\eta \partial_t u(x, t) = c \nabla^2 u(x, t) + \lambda [\nabla u(x, t)]^2 + m^2 [w - u(x, t)] + F(x, u(x, t)). \quad (4)$$

Rescaling  $u$ , and  $F(x, u)$ , we could set  $\lambda \rightarrow 1$ . We prefer to not rescale the disorder, and thus  $\lambda$  will change under RG.

Invariant under these transformations is the sign of  $\lambda v$ , i.e.  $\lambda$  times the driving velocity  $v = dw/dt$ . A positive sign defines what is called the positive qKPZ equation. The negative qKPZ equation exhibits a very different phenomenology, with the propagating front spontaneously generating facets [44, 52, 53].

Discretization of the KPZ term (second term on the r.h.s. of Eq. (4)) is not trivial, and the choice made for it is important. We use the discretization of Ref. [54],

$$\begin{aligned} & u(x, t + \delta t) - u(x, t) \\ &= \delta t \left\{ m^2 [w - u(x, t)] + F(x, u(x, t)) \right. \\ &\quad + c [u(x+1, t) + u(x-1, t) - 2u(x, t)] + \\ &\quad \left. + \lambda \left[ \frac{u(x+1, t) - u(x-1, t)}{2} \right]^2 \right\}. \end{aligned} \quad (5)$$

$$\text{with } \lambda = 3, \quad c = 1, \quad \delta t = 0.01. \quad (6)$$

Our main control parameter  $m$  is varied between 0.05 and 0.6. The system size is chosen to be  $L \leq 512$  with  $L$  the linear size. Following standard approaches, the disorder forces are drawn from a Gaussian distribution with unit variance, linearly interpolated between integer values of  $u$ . While efficient algorithms exist for the other two models, a direct simulation of the qKPZ equation is computationally expensive, and we have restricted our simulations to  $d = 1$ .

### B. Anharmonic depinning

Anharmonic depinning (aDep) is defined by the equation

$$\begin{aligned} \eta \partial_t u(x, t) &= \underbrace{c_4 \nabla \cdot \left\{ \nabla u(x, t) [\nabla u(x, t)]^2 \right\}}_{\text{anharmonic elasticity}} + \underbrace{c \nabla^2 u(x, t)}_{\text{harmonic elasticity}} \\ &\quad + \underbrace{m^2 [w - u(x, t)]}_{\text{driving force}} + \underbrace{F(x, u(x, t))}_{\text{quenched disorder}}. \end{aligned} \quad (7)$$

If  $\vec{e}_i$  represents the unit vectors in  $d$  dimensions, the discretized anharmonic energies are

$$\mathcal{H}_{\text{el}}[u] = \sum_x \sum_{i=1}^d \mathcal{E}_{\text{el}}(u(x+\vec{e}_i) - u(x)), \quad (8)$$

$$\mathcal{E}_{\text{el}}(u) = \frac{c}{2} u^2 + \frac{c_4}{4} u^4. \quad (9)$$

The resulting elastic forces at site  $x$  are

$$\begin{aligned} -\frac{\delta \mathcal{H}_{\text{el}}[u]}{\delta u(x)} &= \sum_i \mathcal{E}'_{\text{el}}(u(x+\vec{e}_i) - u(x)) + \mathcal{E}'_{\text{el}}(u(x-\vec{e}_i) - u(x)) \\ &= c \sum_{i=1}^d [u(x+\vec{e}_i) + u(x-\vec{e}_i) - 2u(x)] \\ &\quad + c_4 \sum_{i=1}^d [u(x+\vec{e}_i) - u(x)]^3 + [u(x-\vec{e}_i) - u(x)]^3. \end{aligned} \quad (10)$$

The discretizations are similar to the qKPZ equation. For  $d =$

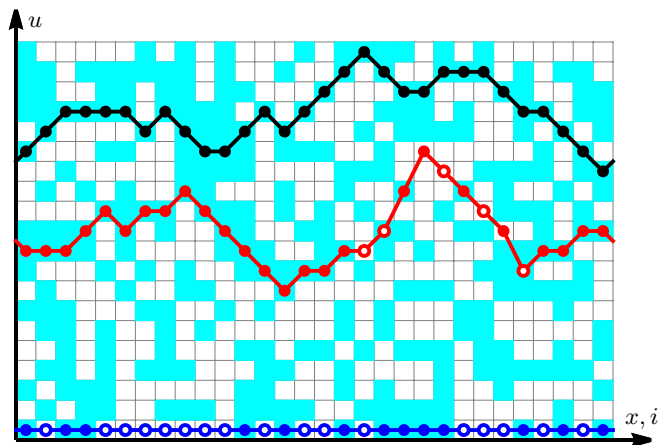


FIG. 1. The cellular automaton TL92. Blocking cells, i.e. cells above the threshold are drawn in cyan; those below in white. The initial configuration is the string at height 1 (dark blue). The interface moves up. An intermediate configuration is shown in red, the final configuration in black. Open circles represent unstable points, i.e. points which can move forward; closed circles are stable.

1, we simulate systems with size up to  $L = 2048$ , assuming  $m \leq 0.05$ . Using GPU in  $d = 2$  allowed us to reach  $L = 256$ , with  $m = 0.09$ . In  $d = 3$ , we reached  $L = 64$ , and  $m = 0.08$ . To speed up simulations, we set  $c = 0$ , after checking that it gives the same results as  $c = 1$ . We varied the anharmonic term as  $c_4 = 0.1, 0.2, 0.3$ . There are  $6 \times 10^5$  ( $d = 1$ ),  $5 \times 10^4$  ( $d = 2$ ), and  $2 \times 10^4$  ( $d = 3$ ) independent samples. By construction, this system only moves forward, respecting the Middleton “no-passing” theorem [55], see section III A. This allows us to use the very efficient algorithm introduced in Ref. [56].

### C. TL92

To describe fluid imbibition, Tang and Leschorn introduced the cellular automaton visualized in Fig. 1 (TL92) [40]. On a square lattice, each cell  $(i, j)$  is assigned a variable  $f(i, j) \in [0, 1]$ . If  $f(i, j) < p$  the cell is considered closed (blocking). Otherwise the cell is considered open. The interface starts from a flat configuration at the bottom (dark blue on Fig. 1). A point  $(i, j = u_i)$  on this interface is unstable and can move forward by 1,  $u_i \rightarrow u_i + 1$ , according to the following rules (in that order):

- (i) links cannot be longer than 2. If a site is 2 cells ahead of its neighbors, stop.
- (ii) if  $f(i, j) > p$ , move forward.
- (iii) if one of the neighboring sites is 2 cells ahead, move forward.

While in the original version the critical force  $p$  is a constant [40], Here we choose it to depend on the height  $j = u_i$  of the interface,

$$p := m^2 [u_i - w]. \quad (11)$$

This has two consequences: first, as  $f(i, j) \in [0, 1]$ , rule (ii) is satisfied for all  $u_i < w$ , and never satisfied for  $u_i > w + m^{-2}$ . As a result, the interface is trapped in a domain of size  $m^{-2}$ . Increasing  $w$ , we can drive the interface as in qEW, Eq. (1). Our protocol is to keep  $w$  fixed until a stable configuration is reached, and only then increase  $w$  by  $\delta w$ . Two timescales are thus separated: a fast one governing the reorganization of the system, and an infinitely slower one corresponding to the driving. We use this protocol to calculate the effective force correlator and to simulate avalanches.

The interface is pinned when all its cells are blocking; its maximal slope is 1, see Fig. 1. This ensures that a directed-percolation path goes from left to right, i.e. perpendicular to the driving direction [40]. To be precise, the line gets pinned at the lowest percolating cluster (see Fig. 4 below). This relation to directed percolation allows us to use many of the high-precision results available for DP (see section IV D). Since time in the DP formulation is from left to right, whereas time for depinning of an interface is in the  $u$  direction, this correspondence is restricted to static quantities i.e. those corresponding to blocking configurations.

In our simulations of this cellular automaton, we use  $L = 4096$  and  $m \geq 0.02$  in  $d = 1$ . GPU computing allows us to reach  $L = 256$  at  $m = 0.05$  in  $2d$ , and  $L = 128$  and  $m = 0.1$  in  $3d$ .

### III. MAPPINGS

In this section we present different mappings between the three models introduced in sections II A to II C. Some of these mappings rely on no-passing theorems, which we prove below first.

#### A. No-passing theorems for TL92 and anharmonic depinning

In parallel to the famous no-passing theorem by Middleton [55] for harmonic depinning, we now prove a similar theorem for TL92 and anharmonic depinning.

##### 1. No-passing theorem for anharmonic depinning in the continuum

###### Assumptions.

- the elastic energy between nearest neighbors  $\mathcal{E}(u)$  in Eq. (9) is a convex function,
- the disorder force  $F(x, u)$  is continuous in  $u$ ,
- $\dot{u}(x, t) \geq 0$ .

###### No-Passing Theorem I (depinning in the continuum).

- $\dot{u}(x, t') \geq 0$  for all  $t' \geq t$ .
- if two configurations are ordered,  $u_2(x, t) \geq u_1(x, t)$ , then they remain ordered for all times, i.e.  $u_2(x, t') \geq u_1(x, t')$  for all  $t' > t$ .

**Proof.** Consider an interface discretized in  $x$ . The trajectories  $u(x, t)$  are a function of time. Suppose that there exists  $x$  and

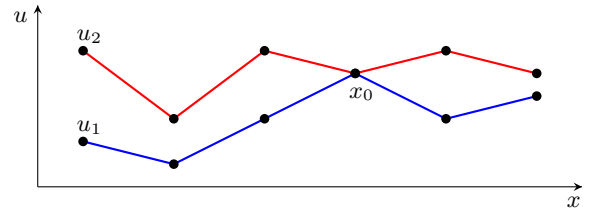


FIG. 2. Two configurations at depinning.

$t' > t$  such that  $\dot{u}(x, t') < 0$ . Define  $t_0$  as the first time when this happens,  $t_0 := \inf_x \inf_{t' > t} \{\dot{u}(x, t') < 0\}$ , and  $x_0$  the corresponding position  $x$ . By continuity of  $F$  in  $u$ , the velocity  $\dot{u}$  is continuous in time, and  $\dot{u}(x_0, t_0) = 0$ . This implies that the disorder force acting on  $x_0$  does not change in the next (infinitesimal) time step, and the only changes in force can come from a change in the elastic terms. Since by assumption no other point has a negative velocity and the elastic energy  $\mathcal{E}(u)$  is convex, this change in force can not be negative, contradicting the assumption.

To prove the second part of the theorem, consider the following configuration at time  $t_0$ : Here the red configuration is ahead of the blue one, except at position  $x_0$ , where they coincide. As in the first part of the proof, we wish to bring to a contradiction the hypothesis that at some later time  $u_1(x_0)$  (blue in Fig. 2) is ahead of  $u_2(x_0)$  (red). For this reason, we have chosen  $t_0$  the infimum of times contradicting the theorem,  $t_0 := \inf_{t' > t} \{u_1(x_0, t') > u_2(x_0, t')\}$ . Consider the equation of motion for the difference between  $u_1$  and  $u_2$  at point  $x_0$ . According to Eqs. (7) and more generally (10),

$$\begin{aligned} & \eta \partial_t [u_2(x_0, t) - u_1(x_0, t)] \Big|_{t=t_0} \\ &= \sum_{x=\text{nn}(x_0, t_0)} \mathcal{E}'_{\text{el}}(u_2(x, t_0) - u_2(x_0, t_0)) \\ & \quad - \mathcal{E}'_{\text{el}}(u_1(x, t_0) - u_1(x_0, t_0)) \\ & \geq 0. \end{aligned} \tag{12}$$

The sum runs over all nearest neighbours of  $x_0$ . The disorder forces have canceled as well as the term of order  $m^2$ , since by assumption  $u_2(x_0, t_0) = u_1(x_0, t_0)$ . The inequality follows from monotonicity of  $\mathcal{E}'_{\text{el}}(u)$ , equivalent to the convexity of  $\mathcal{E}(u)$ .

##### 2. No-passing theorem for anharmonic depinning as a cellular automaton

###### Assumptions.

- the positions  $u(x, t)$  are integers; they can grow by 1 in an update, or remain constant.
- the elastic energy between nearest neighbors  $\mathcal{E}(u)$  is convex.

###### No-Passing Theorem II (cellular automaton for depinning):

- if two configurations are ordered,  $u_2(x, t) \geq u_1(x, t)$ , then they remain ordered for all times, i.e.  $u_2(x, t') \geq u_1(x, t')$  for all  $t' > t$ .

**Proof.** As for theorem I.

### 3. No-passing theorem for TL92

#### No-Passing Theorem III (TL92).

• if two configurations are ordered,  $u_2(x, t) \geq u_1(x, t)$ , then they remain ordered for all times, i.e.  $u_2(x, t') \geq u_1(x, t')$  for all  $t' > t$ .

**Proof.** Consider again point  $x_0$  on Fig. 2. By direct inspection of all possible configurations one shows that either nobody moves, red and blue move together, or only red moves. This works as well for parallel update as for single-site update, provided one updates both configurations at the same time.

#### B. Mapping from anharmonic depinning to TL92

##### 1. General idea

The general idea is to show that, *for an appropriate choice of parameters*, both TL92 and anharmonic depinning have the same blocking configurations. This statement has two directions:

- a blocking configuration for TL92 is a blocking configuration for the depinning of an elastic line.
- all other configurations move forward.

We failed to prove the stronger statement, namely that each site stable in an allowed TL92 configuration is also stable for depinning, and that only allowed TL92 configurations appear at depinning. This means that the dynamics of both models may be different, and even show different dynamical critical exponents.

##### 2. Cellular automaton in $d = 1$

We aim to find the blocking configurations of TL92 with an interface whose law of evolution is the one of anharmonic depinning as given in Eq. (7), at least with a specific choice of parameters. To that end, we need to check for a given disorder that every configuration of the interface following the anharmonic depinning equation stops at the same configuration as in TL92. Let us start with a cellular automaton version. We choose disorder forces  $F = \pm 1$ , where  $F = 1$  corresponds to open sites, and  $F = -1$  to blocking sites. The height is integer, and whenever a site is unstable, it is moved ahead by 1, as in TL92. Whether a site moves or not depends only on its relative position to its nearest neighbors. Therefore, we only need to test whether there is agreement for 25 configurations: the two neighbors of a site can be separated by a distance with values in  $\{-2, -1, 0, 1, 2\}$ . The maximum distance is given by the TL92 rule that two neighbors can not be separated by a distance of more than 2, a condition anharmonic depinning needs to satisfy too. Symmetry of the forces under the exchange of the left and right neighbors decreases the number of distinct cases to 15. If one can find parameters  $c$  and  $c_4$ , such that the two prescriptions agree on those 15 configurations, the anharmonic depinning equation agrees for any interface configuration with TL92, and we have our mapping.

| configuration | condition       | configuration | condition       |
|---------------|-----------------|---------------|-----------------|
| (2, 2)        | $16c_4 > 1$     | (1, -2)       | $7c_4 > 1$      |
| (2, 1)        | $9c_4 > 1$      | (0, 0)        | true            |
| (2, 0)        | $8c_4 > 1$      | (0, -1)       | $-1 < c_4 < 1$  |
| (2, -1)       | $7c_4 > 1$      | (0, -2)       | $8c_4 > 1$      |
| (2, -2)       | false           | (-1, -1)      | $-1 < 2c_4 < 1$ |
| (1, 1)        | $-1 < 2c_4 < 1$ | (-1, -2)      | $9c_4 > 1$      |
| (1, 0)        | $-1 < c_4 < 1$  | (-2, -2)      | $16c_4 > 1$     |
| (1, -1)       | true            |               |                 |

TABLE I. Conditions on the anharmonic depinning coefficients, such that anharmonic depinning evolves as TL92, for each of the configurations in TL92.

For each configuration to be tested, there are three sites to check: the left, the middle and the right ones. We note the relative position of the left and right neighbors: for example  $(+1, +1)$  corresponds to a “v” shape with slope 1,  $(-2, +2)$  to “f” with slope 2, and so on. Our considerations are for the middle point. If according to the TL92 rules it moves, the force felt by it must be  $\geq 0$ , otherwise  $\leq 0$ . The discretization of the equation of motion is given in Eq. (10), with elastic forces between two neighbors  $c_4(u_i - u_{i+1})^3$ . This gives the inequalities in Table I. With one exception, they are fulfilled by taking  $c_4 \in ]\frac{1}{7}, \frac{1}{2}[$ ; a centred value of  $c_4 = 1/4$  is a good choice. Then in all cases anharmonic depinning has the same update rules as TL92, except for the configuration  $(2, -2)$ , a strongly inclined line. We did not succeed to tweak the model such that this configuration is also always stable at depinning. On the other hand, all blocking TL92 configurations are also blocking at depinning, and there is no configuration blocked at depinning which would move in TL92.

Using the no-passing theorems II and III shows that both models have exactly the same blocking configurations, and that they are chosen independently from the history. Since anharmonic depinning can move faster than TL92, we conclude that their corresponding dynamical exponents should satisfy

$$z_{\text{TL92}} \geq z_{\text{aDep}}. \quad (13)$$

##### 3. Cellular automaton in an arbitrary dimension

We now generalize our considerations to an arbitrary dimension  $d$ . We first derive necessary conditions for a (globally) blocking configuration in TL92.

- A blocking configuration of TL92 has no site whose neighbor is at a distance  $-2$ .
- A blocking configuration of TL92 has no site whose neighbor is at a distance 2.

As (ii) is trivial, we only need to prove (i): Suppose a site  $s_1$  exists with a neighbor  $s_2$  at a distance  $-2$ . Then their heights  $u(s)$  satisfy

$$u(s_2) = u(s_1) - 2. \quad (14)$$

If site  $s_2$  has a neighbor  $s_3$  which is at a height distance  $-2$ , we continue to  $s_3$ , and so on. Since  $u(s_i)$  is a decreasing sequence, and the minimum of all heights  $u_{\min} := \min_s u(s)$  exists, this process stops, say at step  $n$ . By construction site  $s_n$  has no neighbor at distance  $-2$ , but at least neighbor  $s_{n-1}$  at distance 2. Thus site  $s_n$  is unstable, proving (i).

Let us now check for each site  $s$  its local configuration  $l_s = (\delta u_1, \dots, \delta u_{2d})$ , defined as in section III B 2. We start with a globally blocking configuration in TL92. Due to (i) and (ii), all its  $\delta u_i \in \{-1, 0, 1\}$ . Whether the site is stable or not is *disorder decided*. We have to ensure that this is the same for anharmonic depinning. To simplify matters, we set  $c \rightarrow 0$ , only retaining  $c_4$ . In TL92 the site is unstable if  $F = 1$  and stable if  $F = -1$ . Let us consider the stable case. In order to reproduce this in anharmonic depinning, we need that even if all neighbors pull in the opposite direction, i.e. are 1, the site remains stable. This implies the

(iii) condition from configuration  $l_s = (1, 1, \dots, 1)$ :

$$c_4 < \frac{1}{2d}. \quad (15)$$

If the site in TL92 is unstable, the same condition arises, this time for the configuration  $(-1, -1, \dots, -1)$ .

Next consider a configuration with one  $-2$ :

(iv) condition from  $l_s = (-2, \dots)$ : none.

TL92 is blocked, while aDep may move or not. Due to the no-passing theorems, nothing has to be checked.

Remains to check a configuration with at least one 2:

(iv) condition from  $l_s = (2, \dots)$ :

$$c_4(9 - 2d) > 1. \quad (16)$$

Proof: We need aDep to move. The worst case is that the disorder is  $F = -1$ , and that all remaining neighbors pull backwards. Since we have already excluded case (iv), they can maximally be at a distance  $-1$ . This gives the condition that the total elastic force  $c_4[2^3 - (2d - 1)] > 1$ . Simplifying yields Eq. (16).

We conclude that TL92 and aDep always find the same blocking configurations (in  $d \leq 4$ ), as long as

$$\frac{1}{9 - 2d} < c_4 < \frac{1}{2d}. \quad (17)$$

This gives the bounds

$$\frac{1}{7} < c_4^{d=1} < \frac{1}{2}, \quad (18)$$

$$\frac{1}{5} < c_4^{d=2} < \frac{1}{4}. \quad (19)$$

In  $d = 3$  there is no solution, but one can repeat the argument with an anharmonicity

$$\mathcal{E}_{\text{el}}(u) = \frac{c_{2p}}{2p} u^{2p}. \quad (20)$$

| TL92 blocking |                                  |   | TL92 non-blocking |                              |   |
|---------------|----------------------------------|---|-------------------|------------------------------|---|
| configuration | condition                        |   | configuration     | condition                    |   |
| (1, 1)        | $c < \frac{1}{2}$                | ✓ | (2, 2)            | $c > \frac{1}{4}$            | ✓ |
| (1, 0)        | $c + \frac{\lambda}{4} < 1$      | ✓ | (2, 1)            | $3c + \frac{\lambda}{4} > 1$ | ✓ |
| (1, -1)       | $\lambda < 1$                    | ✓ | (2, 0)            | $2c + \lambda > 1$           | ✓ |
| (0, 0)        | true                             | ✓ | (2, -1)           | $4c + 9\lambda > 4$          | ✓ |
| (0, -1)       | $-1 < c - \frac{\lambda}{4} < 1$ | ✓ | (2, -2)           | $1 + 4\lambda < 0$           |   |
| (-1, -1)      | $c < \frac{1}{2}$                | ✓ | (1, -2)           | $4 + 9\lambda < 4c$          |   |
|               |                                  |   | (0, -2)           | $1 + \lambda < 2c$           |   |
|               |                                  |   | (-1, -2)          | $4 + \lambda < 12c$          | ✓ |
|               |                                  |   | (-2, -2)          | $c > \frac{1}{4}$            | ✓ |

TABLE II. Set of conditions on the qKPZ coefficients for each possible configuration in TL92.  $F = 1$  is the maximum disorder force. We can satisfy most conditions by choosing  $c = 2/5$ ,  $\lambda = 1/2$ , as indicated by the checkmarks.

While the bound (15) remains valid as a condition for  $c_{2p}$ , Eq. (16) changes to

$$c_{2p}(2^{2p-1} + 1 - 2d) > 1. \quad (21)$$

Therefore the simplest solution in  $d = 3$  reads

$$\frac{1}{27} < c_6 < \frac{1}{6}. \quad (22)$$

This leaves open the possibility that in  $d = 3$  several anharmonic-depinning universality classes exist. Both our simulations and the literature [5] are in favor of that hypothesis.

#### 4. Depinning in the continuum

In [8] (section 5.7) a continuum model was proposed in  $d = 1$  which finds the blocking configurations of TL92, and otherwise moves. The idea is to let the disorder act only close to integer values of  $u$ , and to provide a sufficiently strong force in between. This way anharmonic depinning stops at TL92 configurations, but never in between.

### C. Mapping qKPZ to TL92

The mapping of qKPZ onto TL92 is more delicate as qKPZ has no no-passing theorem. On Fig. II we show the conditions to be satisfied for a cellular-automaton version of qKPZ, termed qKPZc. Inspection shows that not all conditions can be satisfied simultaneously. This remained true if we enlarged the space of allowed models. As an example, we allowed for an additional constant term in the equation of motion.

What we could however achieve, is that blocking configurations of TL92 are blocking for qKPZc, while most of the non-blocking configurations of TL92 are non-blocking for qKPZc, choosing

$$c = \frac{2}{5}, \quad \lambda = \frac{1}{2}. \quad (23)$$

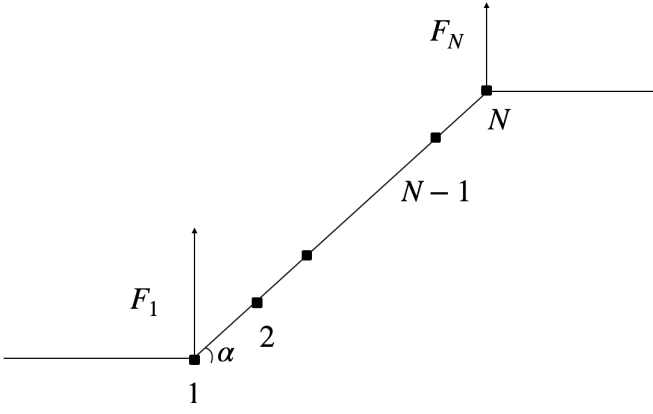


FIG. 3. Possible instability of the qKPZ equation, when a serie of locally aligned points have a slope  $\alpha$ . The interface goes back to being flat at large distance, due to the  $\xi_{\perp}/\xi_m \rightarrow 0$ . The force felt by the lowest point is greater than the force felt by the highest point. Below a certain slope  $\alpha$ , this configuration do not generate a local slope above  $\alpha$ .

The violating cases  $(2, -2)$ ,  $(1, -2)$  and  $(0, -2)$  do not move in TL92, but move in qKPZc, bringing us out of the allowed configurations of TL92.

We now provide heuristic arguments that in the continuous version these configurations are not reached. In the continuum and close to the depinning transition, we have  $\frac{\xi_{\perp}}{\xi_m} \rightarrow 0$ . As a result, at large distances compared to the lattice cutoff but below the correlation length  $\xi_m$ , the interface must be flat on average. Now suppose that a series of sites are aligned and has the maximal available slope  $\alpha$ . This extremal perturbation is shown in Fig. 3. We use the discretization of Eq. (6). We do not consider the disorder for simplicity. (It only enters in this argument through the structure of the space swept by the interface between avalanches.) If we name  $F_1$  the force felt by the leftmost site of the slope, and  $F_N$  the force felt by the rightmost of the slope, then (independent of the KPZ term)

$$\Delta F_{1N} = F_N - F_1 = -2c\alpha. \quad (24)$$

As a result globally the perturbation gets flattened. For  $i \in \{2, 3, \dots, N-2\}$  we have  $\Delta F_{i,i+1} = 0$ . And finally

$$\Delta F_{12} = -\alpha c + \frac{3\lambda\alpha^2}{4}, \quad (25)$$

$$\Delta F_{N-1,N} = -\alpha c - \frac{3\lambda\alpha^2}{4}. \quad (26)$$

The local slope does not increase if  $\alpha \leq \frac{4c}{3\lambda}$ . With the previous values for  $\lambda$  and  $c$  this gives  $\alpha \leq \frac{16}{15}$ . So while we expect slope  $\alpha = 1$  to be commonly reached, larger slopes are not. As a result, the configurations  $(2, -2)$  and  $(1, -2)$  are not reached, and that their associated conditions are not fulfilled does not matter. Finally, for the case  $(-2, 0)$ , it corresponds to the highest site of the perturbation. We can see from Eq. (26) that it always experiences a force that is weaker than the site just below, and as a result the local slope gets flattened and can not reach the value  $(-2, 0)$ . Adding disorder is statistically more likely to pin the site  $N$ , which feels a weaker

|  |  |  |
|--|--|--|
| $\xi_{\perp} \sim \xi_{\parallel}^{\zeta},$  | $\zeta = \frac{\nu_{\perp}}{\nu_{\parallel}},$ | $\zeta_m = \frac{2\nu_{\perp}}{1+\nu_{\perp}},$            |
| $\frac{\zeta_m}{\zeta} = \nu_{\parallel}(2 - \zeta_m),$  | $\xi_m = Cm^{-\frac{\zeta_m}{\zeta}},$         | $\beta = \frac{\zeta_m(z-\zeta)}{\zeta(2-\zeta_m)},$       |
| $\Psi_{\eta} = z\frac{\zeta_m}{\zeta} - 2,$  | $\Psi_c = 2\frac{\zeta_m-\zeta}{\zeta},$       | $\Psi_{\lambda} = 2\frac{\zeta_m-\zeta}{\zeta} - \zeta_m,$ |
| $\tau = 2 - \frac{2}{d\frac{\zeta_m}{\zeta} + \zeta_m}, (1 - \tau)\frac{d+\zeta}{z} = 1 - \alpha.$ |  |  |

TABLE III. All scaling relations derived in this paper.  $\nu_{\perp}, \nu_{\parallel}$  come from DP mappings.

forward force, than the site 1, accelerating the smoothing of the perturbation. We checked by numerical simulations of Eqs. (5)-(6) in  $d = 1$ , with forces set to  $F = 1$  for an open cell, and  $F = -1$  for a blocking cell, and  $c = \frac{2}{5}$ ,  $\lambda = \frac{1}{2}$  that qKPZ stops at the same blocking configuration as TL92.

#### D. Mapping anharmonic depinning to qKPZ

Starting from the anharmonic-depinning Eq. (7), the KPZ term is generated under renormalization, even in the limit of a vanishing driving velocity,  $v \rightarrow 0^+$ , which corresponds to depinning, under the combined action of the anharmonicity and the non analytic disorder force correlator. This was shown in [49], and is reproduced in the companion paper [51]. The KPZ term generated is then more *relevant*, in the renormalization-group sense, than the anharmonic elastic terms. This ensures that anharmonic depinning belongs to the qKPZ class.

#### IV. CRITICAL EXPONENTS AND SCALING RELATIONS

Some scaling relations have already been derived [5, 40, 41], using the distance to the critical point as a control parameter. However, in order to construct the field theory, one has to introduce an infrared regularization acting in the  $x$ -direction. This is achieved by driving the surface using a confining potential with strength  $m^2$ , i.e. the term  $m^2[w - u(x, t)]$  in Eqs. (1), (4), (7) and  $m^2[u_i - w]$  in Eq. (11). It is this term which forbids rare large fluctuations in the  $u$ -direction. Moreover, this term is crucial for the field theory to have a fixed point [51], to estimate the effective-force correlations (see section V below), and to quantitatively compare the RG flow between field theory and simulations. It is thus necessary to derive all scaling relations in terms of the confining potential strength  $m^2$ , or *mass*  $m$ . While the  $m$ -dependence in correlation functions disappears for  $\xi_m \gtrsim L$ , having a finite (even tiny)  $m$  allows us to be in the steady state. All scaling relations are summarized in table III.



### A. Why use a confining potential of strength $m^2$ ?

The reader may wonder why we use a protocol with a confining potential of strength  $m^2$ , as in Eqs. (1), and (4)-(7). There are several reasons: First of all, this allows us to reach a steady state, and not only to approach it, as is the case when tuning an applied force to the depinning threshold. Second, having an energetically preferred position  $w$  allows us to talk about fluctuations of the center of mass  $u_w$  around  $w$ . Finally, as discussed in section V, this allows us to measure correlations of the effective force.

It is important that the confining-potential strength  $m^2$  is protected, i.e. changes with scale  $m$  as  $m^2$  (without any correction): On average the center of mass  $u_w$  of the interface follows the driving term  $w$ , i.e.  $m^2[u_w - w] = f_c$ . If one changes  $w \rightarrow w + \delta w$ , this results in an increase in force  $\delta f = m^2 \delta w$ , and the center of mass in the long-time limit is  $u_w \rightarrow u_w + \delta w$ , on average. As a result, the long-time response of the center of mass to a change of force  $\delta f$  is  $1/m^2$ . Since this holds both on the microscopic and macroscopic level, the effective  $m^2$  is the same as the microscopic one.

### B. Correlation lengths

There are two correlation lengths for the interface. One is in the direction parallel to the interface  $\xi_{\parallel}$ , the other in the perpendicular direction  $\xi_{\perp}$ . They are both due to the confining potential, i.e.  $m^2$ , but we name the parallel correlation length  $\xi_m = \xi_{\parallel}$ , because it is the long-distance cut off set by  $m$ . We will place ourselves in the regime where the long-distance (infrared) cut off is not given by the system size  $L$  but by  $\xi_m$ . We define the *roughness exponent*  $\zeta$  as the exponent characterising the scaling of the lengths in the perpendicular direction with respect to the lengths in the parallel direction. At short distances  $u \sim x^{\zeta}$ , see Fig. 5, which translates into a relation between the two correlation lengths

$$\xi_{\perp} \sim \xi_m^{\zeta}. \quad (27)$$

The scaling properties of both qEW and qKPZ can be expressed as a function of  $\xi_m$ .

#### 1. Scaling of $\xi_m$ and $\xi_{\perp}$ for qEW

In qEW the parameters  $c$  and  $m$  are protected by a symmetry, called the statistical tilt symmetry [8]. As a result,  $c$  does not acquire an anomalous dimension under renormalization, thus does not depend on  $m$  and  $\xi_m$ . At depinning, all forces scale in the same way, so equating the elastic force with the driving we get

$$\begin{aligned} \nabla^2 u &\sim m^2 u \\ \implies \xi_m &\sim m^{-1}. \end{aligned} \quad (28)$$

From Eq. (27) we obtain

$$\xi_{\perp} \sim m^{-\zeta}. \quad (29)$$

#### 2. Scaling of $\xi_m, \xi_{\perp}$ for qKPZ

In qKPZ the term  $\lambda(\nabla u)^2$  breaks the statistical tilt symmetry. As a consequence,  $c$  is no longer protected, and acquires an anomalous dimension. Only  $m$  is protected (see section IV A). Assuming again that at depinning all forces scale in the same way,

$$\begin{aligned} c\nabla^2 u &\sim m^2 u \\ \implies \xi_m &\sim \frac{\sqrt{c}}{m}. \end{aligned} \quad (30)$$

As we show below,  $c$  increases when  $m \rightarrow 0$ . As a result, the system has a larger correlation length than in qEW. Now that  $\xi_m$  has a non-trivial scaling, we need a new exponent to describe this scaling. We chose to use the scaling of  $\xi_{\perp}$  with  $m$ , defining

$$\xi_{\perp} \sim m^{-\zeta_m}. \quad (31)$$

Using Eq. (27) we obtain

$$\xi_m \sim m^{-\frac{\zeta_m}{\zeta}}. \quad (32)$$

### C. Definition of the 2-point function

The 2-point function is defined as

$$\begin{aligned} C(x-y) &:= \frac{1}{2} \overline{[u(x) - u(y)]^2} \\ &\sim \begin{cases} A|x-y|^{2\zeta}, & |x-y| \ll \xi_m \\ Bm^{-2\zeta_m}, & |x-y| \gg \xi_m \end{cases}. \end{aligned} \quad (33)$$

The average is taken over disorder configurations. We can formally define  $\xi_{\perp}$  as

$$\xi_{\perp}^2 := C(x-y) \Big|_{|x-y| \gg \xi_m}. \quad (34)$$

$\xi_{\parallel} = \xi_m$  is the intersection point between the two asymptotic behaviors. Taking  $x = \xi_m$  in the 2-point function, we get  $A\xi_m^{2\zeta} \simeq Bm^{-2\zeta_m}$ , and as a consequence

$$\xi_m = Cm^{-\frac{\zeta_m}{\zeta}}, \quad C = \left(\frac{B}{A}\right)^{\frac{1}{2\zeta}}. \quad (35)$$

Let us stress that key features of this universality class stem from  $\frac{\zeta_m}{\zeta} \neq 1$ . This is illustrated with numerical results for the TL92 automaton in  $d = 1$  in Fig. 5, and for  $d = 2$  in Fig. 6. For anharmonic depinning Figs. 7 and 8 show results in dimensions  $d = 2$  and  $d = 3$ . Before we discuss them in depth, let us extract the critical exponents in  $d = 1$  from directed percolation. This will serve as a strong check on our simulations.

### D. Connection to directed percolation

In Sec. III we discussed that blocking configurations in TL92 are paths in directed percolation. Here we extract the

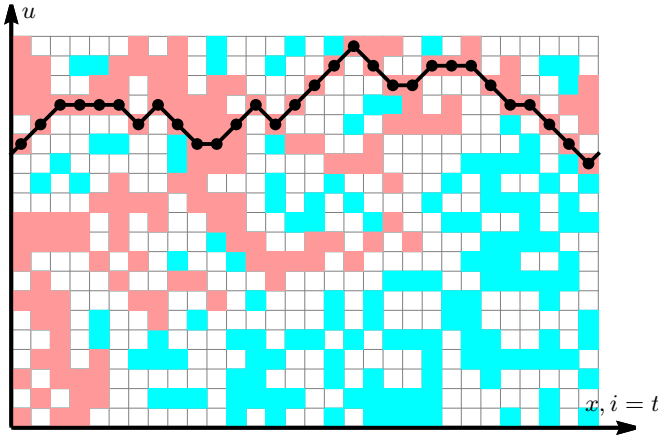


FIG. 4. Directed percolation from left to right. A site  $(i, u)$  is defined to be *connected* if it is occupied, and at least one of its left neighbors  $(i - 1, u)$ ,  $(i - 1, u \pm 1)$  is connected. The index  $i$  takes the role of time  $t$ .

exponents  $\zeta$  and  $\zeta_m$  from DP exponents. As the latter are known precisely [9, 57, 58], we get precise predictions for the former.

There are two guiding principles for these relations: all forces at depinning have the same scaling dimension, and every length parallel to the interface scales as  $x$  or  $\xi_m$ , while lengths in the perpendicular direction scale as  $u \sim x^\zeta \sim m^{-\zeta_m}$ .

Consider Fig. 4 which shows directed-percolation paths from left to right (in pink). They are constructed on a square lattice, where occupied cells (in pink or cyan) are selected with probability  $p$ , and the remaining once are unoccupied (white). A cell  $(i, j)$  is said to be connected to the left boundary (and colored pink) if it is occupied, and if at least one of its three neighbors  $(i - 1, j)$  and  $(i - 1, j \pm 1)$  is connected to the left boundary. The system is said to percolate if at least one point on the right boundary is connected to the left boundary. To achieve periodic boundary conditions for TL92, it is further required that this remains true for the periodically continued system.

While percolation is unlikely for small  $p$ , it is likely for large  $p$ , with a transition at  $p = p_c$ . There are three independent exponents  $\beta$ ,  $\nu_{\parallel}$ , and  $\nu_{\perp}$ , defined via

$$\rho(t) := \left\langle \frac{1}{h} \sum_u s_u(t) \right\rangle \xrightarrow{t \rightarrow \infty} \rho^{\text{stat}} \quad (36)$$

$$\rho^{\text{stat}} \sim (p - p_c)^\beta, \quad p > p_c, \quad (37)$$

$$\xi_{\parallel} = |p - p_c|^{-\nu_{\parallel}}, \quad (38)$$

$$\xi_{\perp} = |p - p_c|^{-\nu_{\perp}}. \quad (39)$$

Here  $s_u(t)$  is the *activity* of site  $u$  at time  $t$ , set to one if the site is connected to the left boundary, and zero otherwise.  $h = \sum_u$  is the height of the system, and  $\rho^{\text{stat}}$  the stationary density of active sites.  $\xi_{\parallel}$  is the size of the DP cluster along the parallel (time) direction, and  $\xi_{\perp}$  the size in the transverse

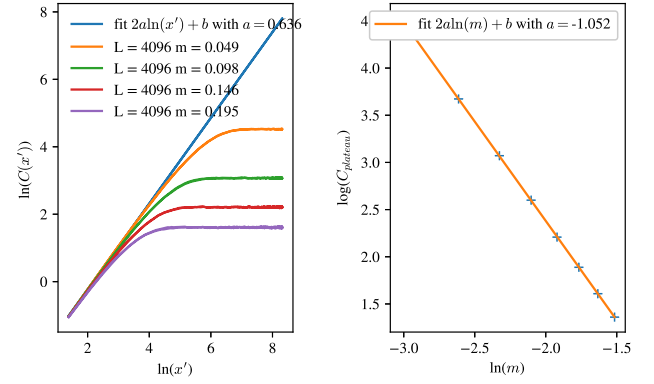


FIG. 5. TL92 1d (left) 2-point function  $C(x)$  for different values of  $m$  (not all shown here), plotted against  $x' = \frac{4x(L-x)}{L}$  to take advantage of the periodic boundary conditions. We read off the exponent  $\zeta = 0.636$  in the linear part of the curve (the slope is  $2\zeta$ ). (right) Scaling of the plateau of the 2-point functions for different  $m$ . The fit yields  $\zeta_m = 1.052$ .

direction. The last two relations imply

$$\xi_{\perp} \sim \xi_{\parallel}^{\zeta} \implies \zeta^{d=1} = \frac{\nu_{\perp}}{\nu_{\parallel}} = 0.632613(3). \quad (40)$$

This is the roughness exponent  $\zeta$  defined in Eq. (33). All numerical values are collected in table V.

For TL92, the surface is blocked by directed percolation paths in the direction parallel to the interface (from left to right). However, instead of a global  $p$ , we have a  $u$ -dependent  $p$ , given by  $p - p_c = m^2(u - w)$ . As a result, the distance to  $p_c$  in DP corresponds to a driving force in TL92. Together with  $u \simeq \xi_{\perp} \sim (p - p_c)^{-\nu_{\perp}}$ , this gives  $m^2 \sim (p - p_c)^{1+\nu_{\perp}}$ , or  $(p - p_c) \sim m^{\frac{2}{1+\nu_{\perp}}}$ . This finally yields

$$u \sim m^{-\zeta_m} \implies \zeta_m^{d=1} = \frac{2\nu_{\perp}}{1 + \nu_{\perp}} = 1.046190(4). \quad (41)$$

Note that in contrast to qEW (where  $\zeta_m = \zeta$ ), here  $\zeta_m > \zeta$ .

In  $d \geq 2$  directed-percolation paths are 1-dimensional, whereas the interface is  $d$ -dimensional. As a result, the mapping breaks down and one has to introduce directed surfaces [59]. Since no information for our simulations is gained, we will not discuss this case.

## E. Results for the 2-point function, $\zeta$ and $\zeta_m$

For TL92 in  $d = 1$ , the 2-point function is shown on Fig. 5.  $d = 2$  is covered in Figs. 6-7, while Fig. 8 is for dimension  $d = 3$ . The results for the critical exponents  $\zeta$  and  $\zeta_m$  are summarized in Table IV.

Let us first discuss our choice of simulation parameters. To obtain  $\zeta$ , the smallest possible  $m$  is chosen, such that there is no system-spanning avalanche. The latter would mix the physics of the  $d$ -dimensional interface with that of a single

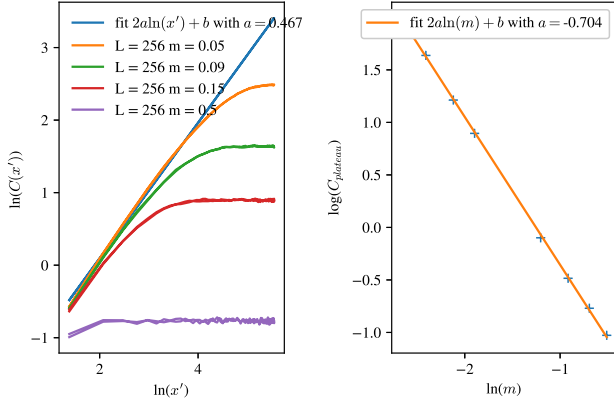


FIG. 6. TL92 2d (left) 2-point function  $C(x)$  along the diagonal of the system for different values of  $m$  (not all shown) plotted against  $x' = \frac{4x\sqrt{2}(L\sqrt{2}-x\sqrt{2})}{\sqrt{2}L}$ . The exponent  $\zeta \approx 0.47$  is obtained from the linear part of the curve. (right) Scaling of the plateau of the 2-point functions for different  $m$ . The fit yields  $\zeta_m = 0.70$ .

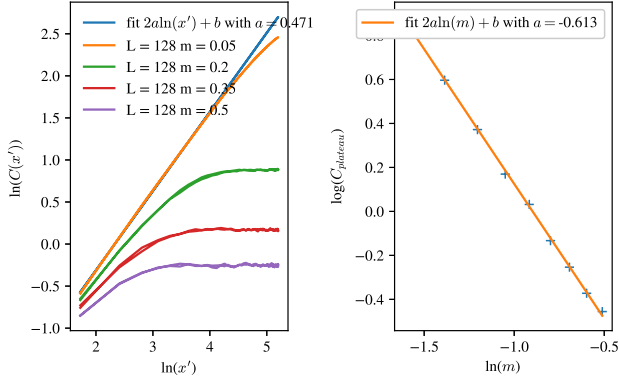


FIG. 7. Anharmonic depinning 2d (left) 2-point function  $C(x)$  alongside the diagonal of the system for different values of  $m$  (not all shown here) plotted against  $x' = \frac{4x\sqrt{2}(L\sqrt{2}-x\sqrt{2})}{\sqrt{2}L}$ . The exponent  $\zeta = 0.47$  is extracted from the linear part of the curve. (right) Scaling of the plateau of the 2-point functions for different  $m$ . The fit gives  $\zeta_m = 0.61$ .

degree of freedom. For  $\zeta_m$  one needs a value of  $m$  that allows to clearly see the plateau of the 2-point function. Finally, we saw with seemingly little noise the 2-point function for larger systems, until  $L = 1024$  for TL92, but we found systematic errors in those bigger systems, due to a lack of statistics. As a rule of thumb,  $2 \times 10^4$  avalanches/per site are necessary to ensure a scaling collapse of the 2-point function for different sizes.

Let us now discuss our results, summarized on tables IV and V. In  $d = 1$ , there are consistent values for  $\zeta$  and  $\zeta_m$  between the three simulated models, and directed percolation. We thus have confirmed numerically that there is a single universality class, and that the scaling arguments for  $\zeta$  (known in the literature) and  $\zeta_m$  (introduced here) are valid.

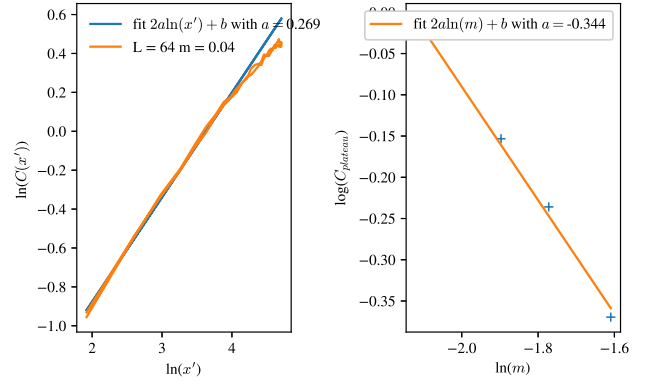


FIG. 8. Anharmonic depinning 3d (left) 2-point function  $C(x)$  alongside the diagonal of the system for different values of  $m$  (not all shown) plotted against  $x' = \frac{4x\sqrt{3}(L\sqrt{3}-x\sqrt{3})}{\sqrt{3}L}$ . The exponent  $\zeta = 0.27$  is read off from the linear part of the curve. (right) Scaling of the plateau of the 2-point functions for different  $m$ . The fit gives  $\zeta_m = 0.34$ .

| model   | $\zeta$  | $\zeta_m$ | $\zeta$ literature |
|---------|----------|-----------|--------------------|
| aDep 1d | 0.635(6) | 1.054(3)  | 0.63 [47]          |
| TL92 1d | 0.636(8) | 1.052(5)  | 0.63 [5]           |
| qKPZ 1d | 0.64(2)  | 1.05(1)   | 0.633(8) [54]      |
| qEW 1d  | 1.25(1)  | 1.25(1)   | 1.25 [47, 60, 61]  |
| aDep 2d | 0.48(2)  | 0.61(2)   | 0.45(1)[47]        |
| TL92 2d | 0.47(3)  | 0.70(3)   | 0.48(3) [5]        |
| qEW 2d  | -        | -         | 0.753(2) [47]      |
| TL92 3d | 0.44(5)  | 0.52(6)   | 0.38(4) [5]        |
| aDep 3d | 0.27(4)  | 0.34(4)   | 0.25(2) [47]       |
| qEW 3d  | -        | -         | 0.355(1) [47]      |

TABLE IV. Critical exponents  $\zeta$  and  $\zeta_m$  of the qEW and qKPZ classes, from simulations of anharmonic depinning and comparison with the literature. Only  $\zeta$  was measured in the literature, while  $\zeta_m$  is also necessary to describe the qKPZ class (see Section IV B 2).

In  $d = 2$ , our simulations show that TL92 and anharmonic depinning share the same exponents. This is consistent with the mapping established in section III B 3.

In  $d = 3$ , the exponents seemingly differ, suggesting that the two universality classes may be different. This is consistent with the absence of a mapping established at the end of section III B 3. On the other hand, we cannot exclude that finite-size corrections, which are expected to be large for a cellular automaton such as TL92, are responsible for this lack of agreement.

## F. The exponent $\nu$

By definition of the correlation-length exponent  $\nu_{\parallel}$ ,  $\xi_m \sim (f - f_c)^{-\nu_{\parallel}}$ , with  $f$  the driving force, and  $f_c$  the critical de-

| exponent                | DP value     | simulated value |
|-------------------------|--------------|-----------------|
| $\nu_{\parallel}$       | 1.733847(6), |                 |
| $\nu_{\perp}$           | 1.096854(4), |                 |
| $\zeta$                 | 0.632613(3), | 0.636(4)        |
| $\zeta_m$               | 1.046190(4), | 1.052(6)        |
| $\frac{\zeta_m}{\zeta}$ | 1.65376(1),  | 1.65(1)         |
| $\tau$                  | 1.259246(3), | 1.257(5)        |
| $\psi_c$                | 1.30752(2),  | 1.31(4)         |
| $\psi_{\lambda}$        | 0.26133(2),  | 0.28(3)         |
| $z$                     | -            | 1.10(2)         |
| $\alpha$                | -            | 1.28(3)         |
| $\psi_{\eta}$           | -            | -0.18(1)        |
| $\beta$                 | -            | 0.81(3)         |

TABLE V. The DP values for all exponents are from Ref. [9] (first two lines), combined with the scaling relations derived here (following lines). The agreement between the static exponents numerically estimated for aDep and TL92 and their DP values is excellent. There is no such mapping for dynamical exponents. The conjecture  $z = 1$  advanced in Ref. [5] is in contradiction to our simulations, see Appendix A for a detailed discussion.

pinning force. This identifies the standard depinning exponent  $\nu$  as

$$\nu^{d=1} = \nu_{\parallel}. \quad (42)$$

Since  $(f - f_c) = m^2(u - w) \sim m^{2-\zeta_m}$ , together with Eq. (32) this implies

$$\frac{\zeta_m}{\zeta} = \nu(2 - \zeta_m). \quad (43)$$

This relation is valid in any dimension  $d \leq d_c$  and does not rely on the mapping to DP. In  $d = 1$  replacing  $\zeta_m$  and  $\zeta$  by their expressions in terms of  $\nu_{\perp}$  and  $\nu_{\parallel}$  given in Sec. IV D, we verify consistency.

### G. Dynamical exponent $z$

The response function  $R(x, t)$  is defined as the response of the system at time  $t$  and position  $x$ , given a kick in the force of the confining potential  $m^2 w(x, t)$  at time  $t = 0$  and  $x = 0$  (we use translational invariance in both space and time),

$$R(x, t) := \frac{\delta \langle u(x, t) \rangle}{m^2 \delta w(0, 0)}. \quad (44)$$

Assume that the response function takes the scaling form

$$R(x, t) = \frac{1}{m^2 t^{\frac{d}{z}}} f\left(\frac{x}{t^{\frac{1}{z}}}\right), \quad (45)$$

where  $\int_x f(x) = 1$ . Then  $z$  is the dynamical critical exponent.

For the velocity of an avalanche by definition  $v \sim (f - f_c)^{\beta}$ , and  $v = \frac{u}{t} = \frac{\xi_{\perp}}{\xi_{\parallel}^z} = \xi_{\parallel}^{\zeta - z} = (f - f_c)^{-\nu_{\parallel}(\zeta - z)}$ . Eliminating  $\nu_{\parallel}$  with the help of Eq. (43) we get

$$\beta = \frac{\zeta_m(z - \zeta)}{\zeta(2 - \zeta_m)}. \quad (46)$$

Scaling relations for qEW are recovered by setting  $\zeta_m = \zeta$ , resulting in

$$\beta_{\text{qEW}} = \frac{z - \zeta}{2 - \zeta} = \nu(z - \zeta). \quad (47)$$

We evaluated  $z$  in the TL92 automaton in  $d = 1$  by looking at the joint distribution of avalanche duration  $T$  and lateral extension  $\ell$ , shown in Fig. 10 (see section IV I for details). Using

$$T \sim \ell^z, \quad (48)$$

we find

$$z_{\text{TL92}}^{d=1} = 1.10 \pm 0.02. \quad (49)$$

This value contradicts Ref. [5], which conjectures the *exact* value  $z = 1$  using heuristic arguments and evidence from numerical simulations. Our simulation, like theirs, computes the lateral extension of an avalanche as a function of its duration. While Ref. [5] extracts the power law by a fit to one decade, we have data on more than 2.5 decades, allowing for a much more precise value. We reviewed the argument given in Ref. [5], which relies on shortest paths on a percolation cluster. Our main criticism is that transport properties on percolation clusters are linked to the proportion of singly-connected bonds, bonds that if cut, separate the percolation cluster into two parts, (the ‘‘blobs and links’’ representation [62]). Even if the perpendicular direction is small compared to the longitudinal one, it is non zero, which changes the proportion of singly connected bonds. Details are given in Appendix A.

### H. Avalanche size

Let us recall scaling for avalanches, adapted to qKPZ. Let  $S$  the size of an avalanche, i.e. is the number of sites that are affected in an avalanche (in a cellular automaton), or the volume swept through by the interface between two blocking configurations. Define its typical size  $S_m$  as [20]

$$S_m := \frac{\langle S^2 \rangle}{2\langle S \rangle}. \quad (50)$$

If the avalanche-size distribution decays as an exponential for large  $S$ , then this exponential decay is  $\sim e^{-S/(4S_m)}$  [20, 63, 64], identifying  $S_m$  as the large-scale cutoff. Note that using Eq. (50) is very precise, while fitting a tail is rather imprecise.

Scaling implies that

$$S \sim \ell^{d+\zeta} \implies S_m \sim \xi_m^{d+\zeta}, \quad (51)$$

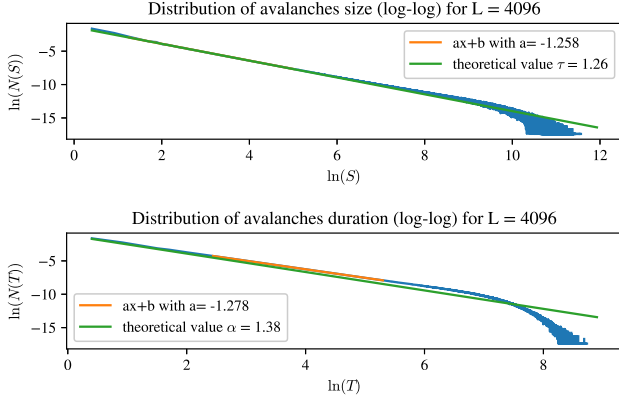


FIG. 9. Avalanches-size and duration distributions for TL92.  $\tau$  and  $\alpha$  are the associated exponents. We also computed  $S_m$  and  $T_m$  from these distributions at different  $m$  and verified the relations Eq. (52) and Eq. (56) (not shown).

where  $\ell$  is the lateral extension of an avalanche. Injecting Eq. (32) yields

$$S_m \sim m^{-d\frac{\zeta_m}{\zeta} - \zeta_m}. \quad (52)$$

Assume that  $P_S(S) \sim S^{-\tau}$  for  $S \ll S_m$ . To obtain a scaling relation for  $\tau$ , follow [27] to consider the avalanche-size distribution per unit force,

$$\rho_f(S) := \frac{\delta N(S)}{\delta f} \simeq S^{-\tau} f_S(S/S_m) g_S(S/S_0), \quad S_0 \ll S_m. \quad (53)$$

$S_m$  is the large-scale cutoff introduced above, while  $S_0$  is a small-scale cutoff. We expect Eq. (53) to have a finite limit when  $m \rightarrow 0$  [27]. Associated to a force increase by  $\delta f$  is a total displacement  $\int_x \delta u(x) = \langle S \rangle$ . The total increase in force can be written as  $\delta f = \int_x m^2 \delta u(x) = m^2 \langle S \rangle$ . By the definition of  $\rho_f$  we have  $\langle S \rangle = \delta f \int_0^\infty S \rho_f(S) dS$ . This gives

$$1 = m^2 [S_m^{2-\tau} - \mathcal{O}(S_0^{2-\tau})].$$

Since  $\tau < 2$ , we can take the limit of  $S_0 \rightarrow 0$ , resulting in

$$\tau = 2 - \frac{2}{d\frac{\zeta_m}{\zeta} + \zeta_m}. \quad (54)$$

We compare this result to simulations in section IV J below.

### I. Avalanche duration

Consider the dynamics of an avalanche, with  $\ell$  its lateral extension and  $T$  its duration. Using  $T \sim \ell^z$  and  $S \sim \ell^{d+\zeta}$ , we get

$$S \sim T^{\frac{d+\zeta}{z}}. \quad (55)$$

Assume that

$$P_T(T) \sim T^{-\alpha} \quad \text{for } T \ll T_m := \frac{\langle T^2 \rangle}{2\langle T \rangle}. \quad (56)$$

Scaling implies that  $P_S(S)dS \sim P_T(T)dT$ . For small avalanches (but bigger than the discretization cutoff) this implies that  $S^{1-\tau} \sim T^{1-\alpha}$ . Using Eq. (55) we obtain

$$\alpha = 1 + \frac{1}{z} \left( d + \zeta - \frac{2\zeta}{\zeta_m} \right). \quad (57)$$

### J. Numerical simulations for size and duration

Let us first explain our choice of parameters: to study avalanches, it is important to avoid triggering two overlapping avalanches; to that end, we use a driving strength  $w \rightarrow w + \delta w$  such that the probability that a site gets depinned is  $\frac{1}{40}$ . As a comparison, in the other simulations we generate on average one avalanche per driving event. Next,  $m$  should be large enough to avoid system-spanning avalanches. For  $L = 4096$  we computed our distributions for  $2 \times 10^7$  avalanches and  $m = 0.0244$ .

We verified the scaling relations for the dynamic exponent  $z$  and the size and duration exponents  $\tau$  and  $\alpha$ . To this end, we recorded for TL92 in  $d = 1$  the joint distribution of  $(S, T, \ell)$ , with  $\ell$  the lateral extension of the avalanche. This allowed us to extract three joint distributions involving two variables, and shown on Fig. 10. First, we use  $T \sim \ell^z$  to extract  $z$  in  $d = 1$  as

$$z^{d=1} = 1.10(2). \quad (58)$$

This gives for the remaining relations the numerical values  $T \sim \ell^{1.48(3)}$ , and  $S \sim \ell^{1.6326(3)}$ . A glance on Fig. 10 shows that the data are in good agreement with these values.

Fig. 9 shows the size and duration distributions, with predicted exponents  $\tau = 1.2592(6)$ , and  $\alpha = 1.385(7)$ . While the former is satisfied over almost three decades, the latter seemingly comes out much smaller, namely at

$$\alpha_{\text{TL92}}^{d=1} = 1.28(2) \quad (59)$$

Let us discuss possible sources for this discrepancy:

(i) The real functional form of  $P_T$  is more complicated than the scaling ansatz in Eq. (56), and has a ‘‘shoulder’’ that pushes the apparent exponent down. This phenomenon was described for the size distribution  $P_S$  in qEW, both numerically [18] and within the FRG [19]; it was studied numerically for  $P_S$  on qKPZ [63]. As the top plot of Fig. 9 shows, there is a small shoulder for  $P_S$ , but the agreement on the exponent is very good. If the shoulder for  $P_T$  is much longer, it is hard to see on Fig. 9.

(ii) We still see large finite-size corrections due to the discretization of the time evolution. This would be surprising in view of the excellent scaling in the  $(T, \ell)$  and  $(S, T)$  plots of Fig. 10.

We could properly simulate avalanche durations only in a cellular automaton, since for anharmonic depinning we used the variant Monte Carlo algorithm of [47, 65], which has a different (probably faster) time evolution. Whether this amounts to a smaller exponent  $z$  is however doubtful.

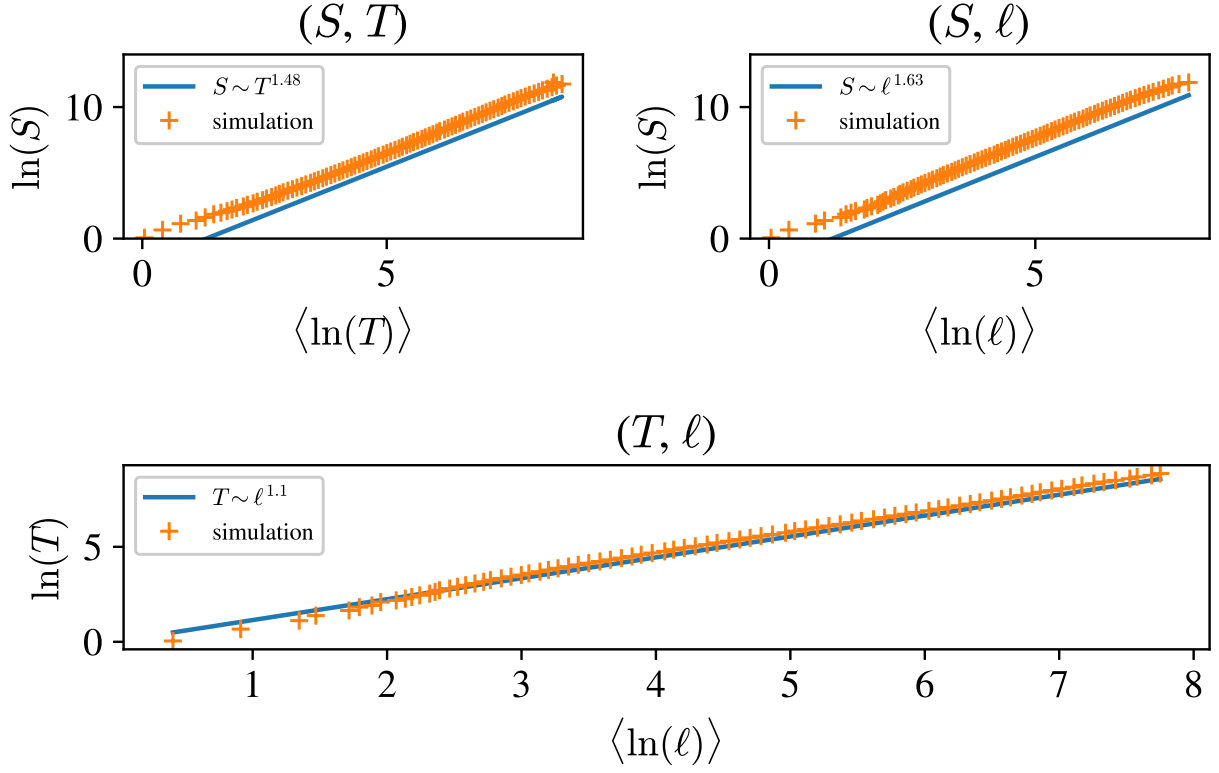


FIG. 10. Joint probability distributions of  $(S, \langle T \rangle)$ ,  $(S, \langle \ell \rangle)$ ,  $(T, \langle \ell \rangle)$  in TL92, in log scale, for  $d = 1$ , for  $L = 4096$  and  $m = 0.024$ . They verify Eqs. (55), (51) and the definition of  $z$  in Eq. (48). Averaging before or after taking the log gives similar results. The solid line is the theoretical prediction.

### K. Comparison with qEW

What is the effect of the non-linearity on the physics of the system? Can one get an intuition? The increase of the short-range elasticity with the scale has two main effects: the roughness exponent  $\zeta$  decreases from 1.25 for qEW to  $\zeta = 0.63$  for qKPZ, meaning the width is reduced at large scales. The parallel correlation length  $\xi_m$  for  $m \rightarrow 0$  grows faster than for qEW, reflected in  $\frac{\xi_m}{\zeta} > 1$ . As the elasticity at large scales gets stronger, more sites are correlated and the correlation length increases. The avalanche size exponent  $\tau$  goes from  $\tau_{\text{qEW}} = 1.11$  [29] in  $d = 1$ , to  $\tau = 1.26$ , close to the one in dimension  $d = 2$  for qEW.

| qEW                            | qKPZ  |
|--------------------------------|---|
| $\xi_m \sim m^{-1}$            | $\xi_m \sim m^{-\frac{\zeta_m}{\zeta}}$                 |
| $S_m \sim m^{-d-\zeta}$        | $S_m \sim m^{-d\frac{\zeta_m}{\zeta}-\zeta_m}$          |
| $\tau = 2 - \frac{2}{d+\zeta}$ | $\tau = 2 - \frac{2}{d\frac{\zeta_m}{\zeta} + \zeta_m}$ |

TABLE VI. Scaling relations for qEW can be obtained from qKPZ by setting  $\zeta_m = \zeta$ . To pass from qEW to qKPZ, it suffices to replace  $d$  by  $d\frac{\zeta_m}{\zeta}$  and  $\zeta$  by  $\zeta_m$  when it is linked to a length in the  $u$  direction.

### V. EFFECTIVE FORCE CORRELATOR $\Delta(w)$ AND RUNNING COUPLING CONSTANTS

#### A. Definition of the effective force correlator $\Delta(w)$

In Eqs. (1), (4) and (7) we had introduced a restoring force  $m^2[w - u(x, t)]$  from a confining potential. This was not only necessary to drive the system, but also to estimate the effective force correlator  $\Delta(w)$ , by measuring the fluctuations of the center-of-mass position  $u_w$  of the interface. Define  $\Delta(w)$  as

$$\Delta(w - w') := m^4 L^d \overline{(u_w - w)(u_{w'} - w')^c}, \quad (60)$$

$$u_w := \frac{1}{L^d} \int_x u_w(x), \quad (61)$$

$$u_w(x) := \lim_{t \rightarrow \infty} u(x, t) \text{ given } w \text{ fixed.} \quad (62)$$

In our protocol,  $w$  is increased in steps. One then waits until the interface stops, which defines  $u_w(x)$ . Its center-of-mass position is  $u_w$ , and its fluctuations define  $\Delta(w)$ .

#### B. Scaling of $\Delta(w)$

The definition (60) has a finite limit for fixed  $m$ , when  $L \rightarrow \infty$ . Using that  $u \sim m^{-\zeta_m}$ , and that  $L/\xi_m$  is dimensionless

leads together with Eq. (32) to

$$\Delta(0) \sim m^{4-d\frac{\zeta_m}{\zeta}-2\zeta_m}. \quad (63)$$

For the argument of  $\Delta(w)$ , we expect

$$w \sim u \sim m^{-\zeta_m}. \quad (64)$$

A non-trivial check is as follows: As in qEW, one can connect the typical avalanche size given in Eq. (50) to the disorder force correlator (see e.g. [8], Eq. (104)),

$$|\Delta'(0^+)| = m^4 \frac{\langle S^2 \rangle}{2\langle S \rangle} \sim m^{4-\zeta_m(d/\zeta+1)}. \quad (65)$$

This is consistent with Eqs. (63) and (64).

### C. Measuring $\Delta(w)$

$\Delta(w)$  is defined from the variable  $u_w$  in Eq. (62). For depinning, be it anharmonic or not, integrating the equation of motion with periodic boundary conditions leads to

$$m^2(u_w - w) = F_w, \quad (66)$$

$$F_w := \frac{1}{L^d} \int_x F(x, u_w(x)). \quad (67)$$

Thus  $\Delta(w)$  is also the correlator of the disorder acting on the interface. This direct connection breaks down in qKPZ, as after integration over the center of mass three terms remain:  $m^2(w - u_w)$ ,  $F_w$ , and  $\Lambda_w$ , defined by

$$\Lambda_w := \frac{1}{L^d} \int_x \lambda [\nabla u_w(x)]^2. \quad (68)$$

A configuration at rest then has

$$m^2(w - u_w) + F_w + \Lambda_w = 0. \quad (69)$$

We note that while the first and last term are positive, the middle term is negative. So why did we define  $\Delta(w)$  as the (connected) correlations of  $u_w$ , and not  $F_w$ ? After all, we call it the *renormalized force correlator*. The answer comes from more sophisticated field theory arguments, developed in a companion paper [51]. In essence it looks at all 2-time contributions to the  $uu$  correlations, and then amputates the external response functions. The result is as given in Eq. (60). For details we refer to [51].

We have verified that Eqs. (63)-(65) hold for TL92, and the other two models. The correct regime to obtain a good scaling collapse for the correlator – with the exponents given in Table IV – is when the infrared cutoff is set by the confining parabola, meaning that the plateau of the 2-point function is reached. The results for the shape of  $\Delta(w)$  are summarized in Figs. 11 and 12, where everything is rescaled such that  $|\Delta'(0^+)| = \Delta(0) = 1$ .

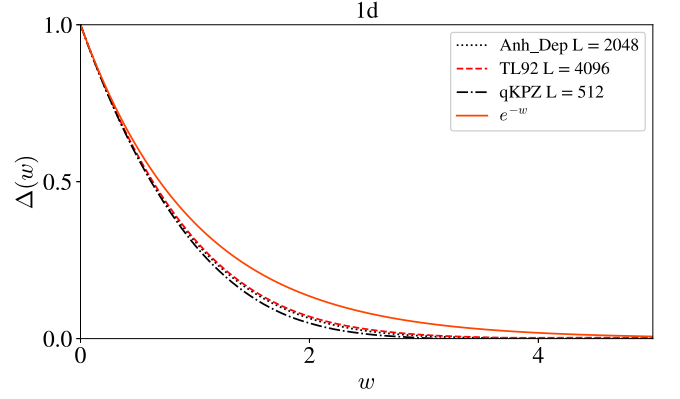


FIG. 11. Comparison of the shapes of the correlators in  $d = 1$  and for anharmonic depinning, TL92 and qKPZ with the exponential behavior observed in a subspace in Ref. [49]. We clearly see (a): The correlators for TL92, aDep and qKPZ are very close. (b) The subspace found in [49] is not the one attained by the evolution of those models. The shapes have been normalized by setting  $|\Delta'(0^+)| = \Delta(0) = 1$ .

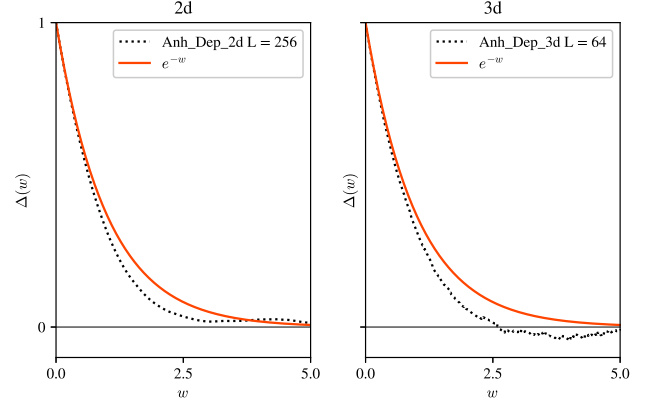


FIG. 12. Comparison of the shapes of the correlators in  $d = 2, 3$  for anharmonic depinning with the exponential behavior found for a subspace in Ref. [49]. We see that this subspace is not the one attained by these models. The shapes are normalized s.t.  $|\Delta'(0^+)| = \Delta(0) = 1$ .

### D. Anomalous dimensions for $c$ , $\lambda$ , and $\eta$

If there were no corrections to  $c$ ,  $\lambda$  and  $\eta$ , the theory would be trivial. Before we show in the next section VE an algorithm to estimate their scale dependence, let us first derive their anomalous dimensions, given the information already obtained.

Let us define their scaling dimensions as

$$\lambda \sim m^{-\psi_\lambda} \quad (70)$$

$$c \sim m^{-\psi_c} \quad (71)$$

$$\eta \sim m^{-\psi_\eta}. \quad (72)$$

Equating the dimensions of driving force and elasticity,  $c\nabla^2 u \sim m^2 u$ , we get  $m^{-\psi_c - \zeta_m} \xi_m^{-2} \sim m^{2 - \zeta_m}$  and together

with Eq. (32)

$$\psi_c = 2 \frac{\zeta_m - \zeta}{\zeta}. \quad (73)$$

A similar argument for  $\lambda$  yields

$$\psi_\lambda = 2 \frac{\zeta_m - \zeta}{\zeta} - \zeta_m. \quad (74)$$

These two relations have been verified (see left of Fig. 15), thanks to the algorithm we describe in Sec. V E.

The scaling relation for  $\psi_\eta$  is obtained from  $\eta \partial_t u \sim m^2 u$ , implying  $t \sim m^{-2-\psi_\eta} \sim x^{(2+\psi_\eta)\zeta/\zeta_m}$ . This yields

$$\psi_\eta = z \frac{\zeta_m}{\zeta} - 2. \quad (75)$$

### E. An algorithm to estimate the effective coupling constants

In order to obtain the effective KPZ non-linearity  $\lambda$ , one can tilt the system and estimate the change in the depinning force as in [48]. In contrast, the effective elasticity  $c$  has to our best knowledge never been estimated numerically. Since the field theory in Ref. [49] did not deliver an FRG fixed point for the ratio  $\lambda/c$ , we decided to check numerically whether such a fixed point exists, and to extract as much information as possible to constrain the field theory.

Our algorithm to achieve this is simple: measure the response of the interface to a perturbation, sinusoidal in space, and constant in time. This is achieved by driving the system with a spatially modulated background field  $w(x)$ , see Fig. 14,

$$w(x) = w_0 + A \sin\left(f \frac{2\pi x}{L}\right). \quad (76)$$

After each avalanche, we increase  $w(x)$  by  $\delta w$  (a constant),  $w(x) \rightarrow w(x) + \delta w$ . We focus on the slowest mode  $f = 1$ . We then measure the mean interface profile, i.e. its *response*,  $\overline{u(x)}$ . Varying the amplitude  $A$  of the driving, we fit this response with a polynomial in  $A$ . The effective parameters are then linked to the projections on these modes. To be specific, write, with  $\ell := L/2\pi$ ,

$$\overline{u(x)} = u_0(A) + u_1(A) \sin\left(\frac{x}{\ell}\right) + u_2(A) \cos\left(\frac{2x}{\ell}\right) + \dots \quad (77)$$

$$u_0(A) = {}^0u_0 + {}^2u_0 A^2 + \mathcal{O}(A^4), \quad (78)$$

$$u_1(A) = {}^1u_1 A + \mathcal{O}(A^3), \quad (79)$$

$$u_2(A) = {}^2u_2 A^2 + \mathcal{O}(A^4). \quad (80)$$

The dots represent higher-order terms in  $A$ , while the double-indexed  $u$ 's are numbers to be estimated numerically. The lower index represents the mode, while the upper index is the order in  $A$ . We inject this development into the noiseless KPZ equation

$$-m^2 u + c \nabla^2 u + \lambda (\nabla u)^2 = -m^2 A \sin\left(\frac{x}{\ell}\right). \quad (81)$$

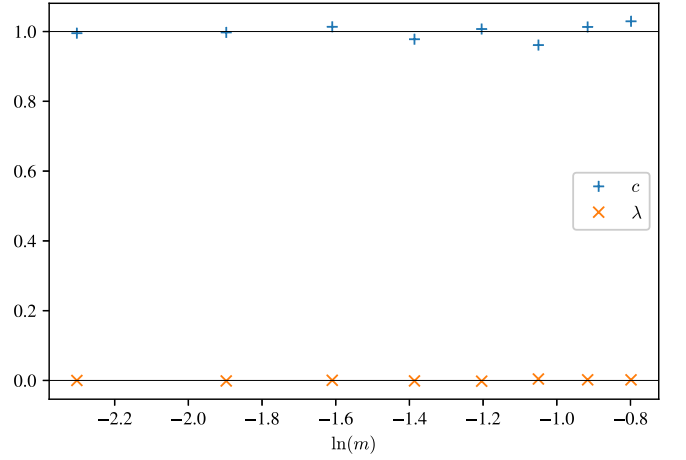


FIG. 13. The numerically estimated effective  $c$  and  $\lambda$  for the qEW equation. The effective elasticity  $c$  does not depend on  $m$  (with noticeable simulation errors at large  $m$ ), as predicted by the statistical tilt symmetry (STS). The numerically estimated non-linearity  $\lambda$  vanishes.

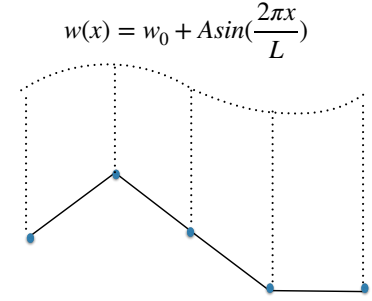


FIG. 14. We drive the interface with the spatially modulated driving given in Eq. (76), with  $f = 1$ . The continuous black line and the blue dots represent the interface, while the grey dashed line represents  $w(x)$ .

It is the non-linear term in this equation that generates the higher harmonics. The parity of the number of derivatives restricts the allowed modes to those in Eq. (77). Matching coefficients, we find

$${}^0u_0 = w_0 \quad (82)$$

$${}^1u_1 = \frac{m^2}{m^2 + \frac{c}{\ell^2}}, \quad (83)$$

$${}^2u_0 = \frac{m^2 \lambda}{4\ell^2(m^2 + \frac{c}{\ell^2})^2}, \quad (84)$$

$${}^2u_2 = \frac{m^4 \lambda}{4\ell^2(m^2 + \frac{4c}{\ell^2})(m^2 + \frac{c}{\ell^2})^2}. \quad (85)$$

These relations are inverted to obtain  $\lambda$  and  $c$ ,

$$c(m) = m^2 \ell^2 \frac{{}^1u_1}{{}^1u_1}, \quad (86)$$

$$\lambda(m) = 4m^2 \ell^2 \frac{{}^2u_0}{{}^1u_1^2}. \quad (87)$$



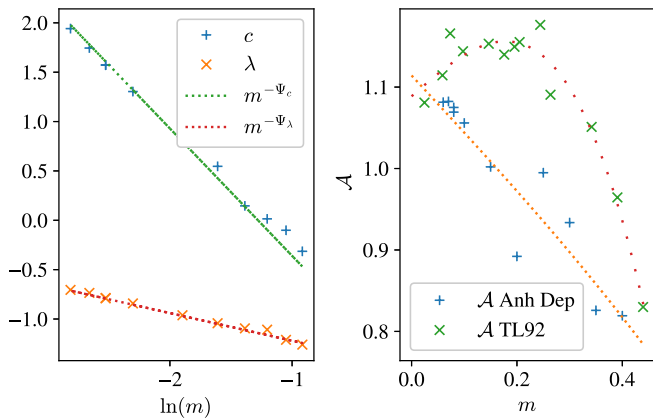


FIG. 15. Left: **double-logarithmic plot for scaling** of  $c$  and  $\lambda$  for anharmonic depinning in  $1d$  as a function of  $m$ . Right: Measured amplitude ratios  $\mathcal{A}$  for TL92 and anharmonic depinning (**linear plot**). The dotted lines are guides for the eye. The second-order polynomial fits show convergence to  $\mathcal{A} \approx 1.10(2)$  for  $m \rightarrow 0$ .

## F. Tests and results

Let us start with some tests of our procedure for qEW. There  $\lambda(m) \equiv 0$ , and there is no renormalization of  $c$ , as it is protected by the statistical-tilt symmetry, the statistical invariance of the equation of motion under the transformation  $u(x, t) \rightarrow u(x, t) + \alpha x$ . In Fig. 13 we show simulations for harmonic depinning (Eq. (7) with  $c_4 = 0$  and  $c_2 = 1$ ). We estimated the effective elastic constant  $c$ , and see that it does not renormalize and stays at  $c = 1$ . Moreover, the numerically estimated  $\lambda = 0$ .

We next apply our procedure to TL92 and anharmonic depinning in  $d = 1$ , see Fig. 15. For each  $m$ , the polynomials were fitted on 100 different values for  $A$ , and each value of  $A$  corresponds to a simulation of  $10^5$  independent samples. The size varies from  $L = 512$  to  $L = 2048$ , since for larger values of  $m$  smaller systems are sufficient. We find

$$\psi_c^{d=1} = 1.31 \pm 0.04, \quad (88)$$

$$\psi_\lambda^{d=1} = 0.28 \pm 0.03, \quad (89)$$

in agreement with their expressions in Eqs. (73)-(74), and the numerical values given in table V.

We checked that higher-order relations (given in Appendix B) give the same values for  $c$  and  $\lambda$ . We further checked that the results given for  $\lambda$  are the same as those obtained as a response to a tilt. (Note that to introduce a tilt with our driving protocol, one has to tilt both the driving potential and the interface.)

The determination of the effective parameters  $\lambda$  and  $c$  is not the only application of this algorithm: one can numerically estimate the effective decay of subleading parameters present in the microscopic model, such as  $c_4$ , and obtain valuable information on the crossover to the qKPZ universality class. This may be helpful for experiments and is summarized in Appendix B. While many things can be numerically

estimated, this technique is limited by the available computer resources, as illustrated on Fig. 19 for the decay of  $c_4$ .

## G. The universal KPZ amplitude $\mathcal{A}$

An important question is whether qKPZ is the proper large-distance description of TL92, anharmonic depinning, and itself (i.e. a numerical implementation of the qKPZ equation). To ensure this, the properly renormalized non-linearity  $\lambda$  needs to flow to a fixed point. While  $\lambda$  and  $c$  both flow, i.e. do not go to a fixed point by themselves, this is achieved by the *universal KPZ amplitude*  $\mathcal{A}$ ,

$$\mathcal{A} := \rho \frac{\lambda}{c}, \quad \rho = \frac{\Delta(0)}{|\Delta'(0^+)|}. \quad (90)$$

The idea behind this construction is that if both  $\lambda$  and  $c$  are relevant, then

$$\lambda [\nabla u(x, t)]^2 \sim c \nabla^2 u(x, t) \implies \frac{\lambda}{c} \sim \frac{1}{u} \quad (91)$$

On the other hand  $\Delta(u) \sim u \Delta'(u)$ , thus we can define a correlation length  $\rho \sim u$  by  $\rho := \Delta(0)/|\Delta'(0^+)|$ ; this allows one to write the dimensionless quantity  $\mathcal{A}$  in Eq. (90). Note that the definition (90) ensures that  $\mathcal{A}$  remains invariant under a change of units for  $u$ , say from mm to km, and the same (independently) for  $x$ .

The reader may wonder whether our definition for  $\mathcal{A}$  is unique? It is not, as one could instead of  $\rho$  use another characteristic scale, such as  $\xi_\perp$ . The reason we use  $\rho$  defined in Eq. (90) rather than  $\xi_\perp$  defined in Eq. (34) is that the former is simpler to handle analytically.

If the qKPZ equation is the effective field theory in the limit of  $m \rightarrow 0$ , then the ratio  $\mathcal{A}$  needs to converge to a universal limit set by the qKPZ field theory. That this is indeed the case can be seen on Fig. 15. In the two models simulated, the amplitude ratio converges to the same value,

$$\mathcal{A}^{d=1} = 1.10(2). \quad (92)$$

Given that the microscopic models are quite different, this is a strong sign of universality.

## H. Interpretation of $\mathcal{A}$ : How strong is the KPZ non-linearity?

The reader may ask himself whether the amplitude  $\mathcal{A} = 1.1$  estimated numerically in Eq. (92) is small, or large: firstly, it is definitely much larger than for qEW, for which  $\mathcal{A}^{\text{qEW}} = 0$  (since  $\lambda = 0$  there).

For a rough estimate at the microscopic scale, we can convert the arguments of section III C into a prediction for  $\mathcal{A}$ . Let us first consider the mapping of TL92 onto qKPZ, which resulted into the values for  $c$  and  $\lambda$  given in Eq. (23). If we take these values, and the lattice size  $\rho = 1$  for the correlation length of the disorder, then we get a microscopic or *bare* value of  $\mathcal{A}$ ,

$$\mathcal{A}_{d=1}^{\text{bare}} \approx \frac{5}{4}. \quad (93)$$

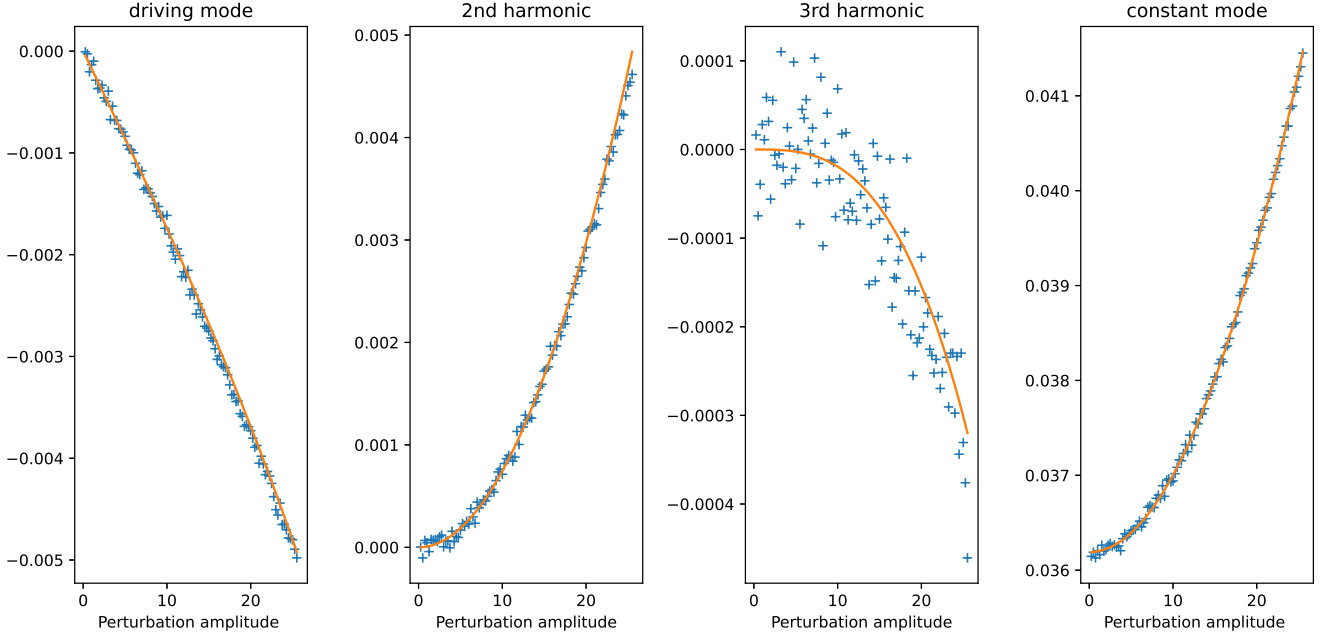


FIG. 16. Numerical estimation of the interface modes for different driving amplitude  $A$ . From the orange fits one obtains the coefficients  ${}^i u_j$  defined in Eqs. (77)-(80). For example, from the fit of the driving mode one obtains  ${}^1 u_1$  and  ${}^3 u_1$ . The polynomial behaviour predicted in Eq. (80) is verified. The shown plots are for anharmonic depinning,  $L = 1024$ , and  $m = 0.06$ . The perturbation amplitude is in lattice units.

It is surprising that the estimate (93) at small scales is close to the large-scale estimate (92) of our numerical simulation.

Can one give a bound for  $\mathcal{A}$ , or could one have estimated an arbitrarily large value in Eq. (92)? Let us consider the drawing of Fig. 3. We ask that in absence of disorder the point  $N$  does not advance, thus  $F_N$  be negative. This means that the ratio between KPZ<sup>1</sup> and elastic term is bounded by 1,

$$1 \geq \frac{\lambda(\nabla u)^2}{c|\nabla^2 u|} \simeq \frac{\lambda(\frac{\delta u}{2\xi_m})^2}{c(\frac{\delta u}{\xi_m})^2} = \frac{\lambda\delta u}{4c}. \quad (94)$$

We now need to estimate  $\delta u$ . Taking it as the typical fluctuation at scale  $\xi_m$ , i.e. the perpendicular correlation length  $\xi_\perp$ , gives  $\delta u = \xi_\perp$  as defined in section IV C. If this heuristic argument is correct, then

$$\mathcal{A} \lesssim \mathcal{A}^c := \frac{4\rho}{\xi_\perp}. \quad (95)$$

While  $\mathcal{A}^c$  is a bound on the value  $\mathcal{A}$  can take before the interface becomes unstable, it is not necessarily the most stringent bound. In our simulations we find

$$\frac{\rho}{\xi_\perp} = 0.85(1), \quad (96)$$

rather independent of  $m$ . This in turn gives

$$\mathcal{A}_{d=1}^c = 3.40(4). \quad (97)$$

Thus in  $d = 1$  the amplitude  $\mathcal{A}$  is definitely large, though below its critical value. Field theory (see the companion paper [51]) gives a bound of  $\mathcal{A}_{d=1}^c = 2$  (at leading order).

More intuition can be gotten from rescaling: If one uses the dimensionless variables  $\tilde{u} := \frac{u}{\rho}$ ,  $\tilde{w} := \frac{w}{\rho}$  and  $\tilde{x} := \frac{xm}{\sqrt{c}}$ , then blocking configurations satisfy

$$0 = \nabla^2 \tilde{u} + \mathcal{A}(\nabla \tilde{u})^2 + \tilde{w} - \tilde{u} + \tilde{F}(\tilde{x}, \tilde{u}). \quad (98)$$

In these units, forces are correlated according to

$$\langle \tilde{F}(\tilde{x}, \tilde{u}) \tilde{F}(\tilde{x}', \tilde{u}') \rangle = \delta^d(\tilde{x} - \tilde{x}') \tilde{\Delta}(\tilde{u} - \tilde{u}'), \quad (99)$$

with

$$\tilde{\Delta}(\tilde{u}) = \frac{m^{d-4}}{c^{d/2}\rho^2} \Delta(\rho\tilde{u}). \quad (100)$$

We estimate Eq. (100) in Fig. 17 for  $d = 1$ , and find that  $\tilde{\Delta}(0) \approx \mathcal{A}$ . The conclusion is that at the critical point, at least in  $d = 1$ , all parameters are of order one, thus equally important.

## VI. CONCLUSION

We showed through theoretical arguments and numerical tests that anharmonic depinning, qKPZ, and the cellular automaton TL92 are in the same universality class, the qKPZ

<sup>1</sup> Note that the discretization (5) for the KPZ-term induces a numerical factor of 1/4 into the equation. Given that these values for  $\lambda$  and  $c$  are effective large-scale estimates, this factor should be taken with a grain of salt.

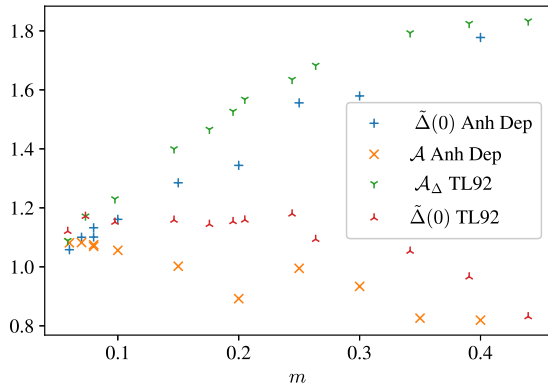


FIG. 17.  $\tilde{\Delta}(0)$  and  $\mathcal{A}$  for Anharmonic depinning and TL92 in  $d = 1$ . They seem to converge to the same value, for this particular scaling choice.

universality class, for  $d \leq 2$ . For  $2 < d \leq 4$ , there is evidence that TL92 may depart from the qKPZ universality class (which still includes anharmonic depinning at those dimensions).

We then elucidated the scaling relations for driving through a parabolic confining potential. This allowed us to understand statics and dynamics of qKPZ. Finally, we developed an algorithm to estimate the renormalized (effective) coefficients of the continuity equation. We find that, at least in  $d = 1$ , all quantities are equally important, of order one in a particular scheme. Our work will be used to constrain, and ultimately construct the field theory, which is presented in a sequel to this work [51].

We believe that our technique to extract the effective coupling constants by measuring the static response of the system under spatially modulated perturbations may yield important information in other systems that lack a proper field theoretic description. As an example, we started to extend our approach to the thermal KPZ equation.

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## Appendix A: Why $z \neq 1$

In Ref. [5] the authors provide a heuristic argument for  $z = 1$  in  $d = 1$ , while for higher dimension they conjecture that  $z = d_{\min}$  with  $d_{\min}$  the exponent on how the shortest-path length on a  $d$  dimensional critical percolating cluster scales

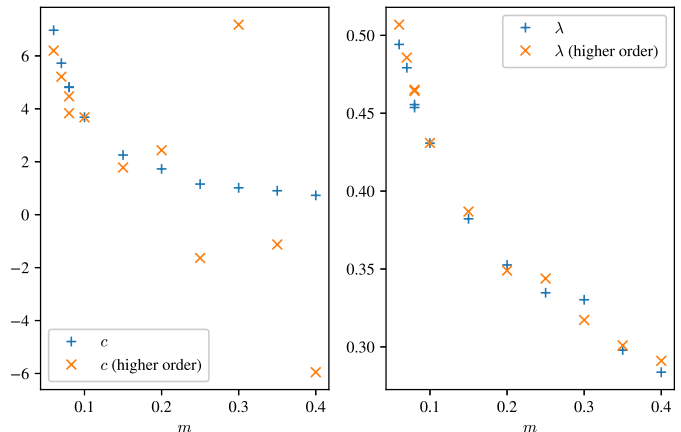


FIG. 18. Comparison of the different formulae (Eq. (87) and Eq. (B2) for a fit based on higher-order harmonics) for determining  $c$  and  $\lambda$ , for aDep in  $d = 1$ . We see a good agreement for  $\lambda$ , while for  $c$  the higher-order harmonics are too noisy for  $m \geq 0.2$ .

with the Euclidean distance. Our simulations invalidate this heuristics. Here we give theoretical arguments as to why this heuristics fails. Ref. [5] studies TL92 with parallel updates. After an avalanche, they define the path of invaded cells as the path (in  $1d$ ) from the cell from which it was invaded to the cell it invaded. Then they assert that the path length from the start of the avalanche to site  $i$  is equal to the time it took for cell  $i$  to be invaded. Then  $z$  is defined by  $T \sim \ell^z$  with  $T$  the avalanche duration and  $\ell$  the lateral extension of the avalanche. Since  $\xi_{\perp}/\xi_m \rightarrow 0$ , the invading path is considered rough as the path along the blocking configuration, so  $\ell \approx \xi_m$ . As a result they find  $z = 1$ . However, equating the length of the invading path with the duration of an avalanche is problematic: After reaching site  $i$ , the avalanche can change direction and then come back, and as a result the duration is under estimated, and  $z > 1$ .

In higher dimensions, this under-estimation persists, but is associated with another problem, that over-estimates  $z$ : since  $\xi_{\perp}/\xi_m \rightarrow 0$  they model the  $d + 1$  dimensional space in which the invading path lives as a  $d$  dimensional critical percolation cluster, and then declare the invading path to be the shortest distance between two points on this percolation cluster. However, the transport properties of percolation clusters are highly dependent on the proportion of singly connected cells [62] (i.e. cells that if removed separate the percolation cluster in two). The existence of another dimension through which the path can go changes the statistics of those singly-connected cells. There are far more ways to reach one target, and as a result the time it takes to reach it may be smaller and  $z$  over-estimated.

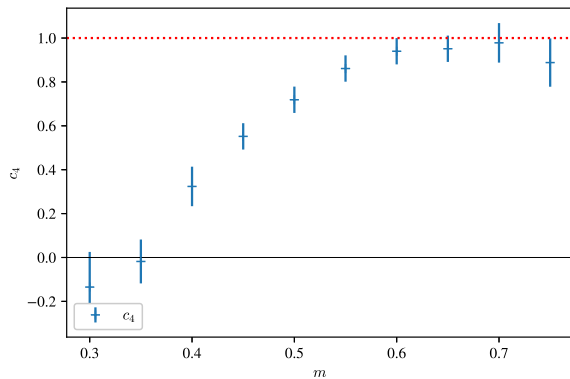


FIG. 19. Numerically estimated effective anharmonicity  $c_4$  determined for anharmonic depinning using Eq. (B3).  $L = 64$  and the initial condition is  $c_4 = 1$  (red dotted line). The numerically estimated effective  $c_4$  decreases as  $m$  decreases, vanishing within the precision of our simulation when  $m \approx 0.3$ . There the error for  $c_4$  increases, as the small system sees more system-spanning avalanches, crossing over to a single-particle behavior. Increasing  $L$  further increases this error, since  $c_4$  is determined through a high-order harmonics of the driving signal, and scales  $\sim L^4$  appearing in Eq. (B3). Still, qualitatively this confirms that  $c_4$  can be dropped in the effective long-distance description of the model.

## Appendix B: Details of the algorithm

### 1. Numerical details

In Fig. 16 we show the results of measuring the modes of the interface for different amplitudes of the driving. One has to be careful to be in the small-perturbation limit. We find that taking the maximum perturbation amplitude to be  $A = \frac{L}{40}$  to be appropriate. The number of points needed within that range to have a good precision on the polynomial fit is hard to deduce in advance, and varies with  $m$ . We find that sometimes the small perturbation limit is reached before  $A = \frac{L}{40}$  and in that case it is good to have more points in order to maintain a good fit for the polynomials. A good rule of thumb is to have

around 50 points.

### 2. Higher-order relations

There are higher order relations for  $\lambda$  and  $c$ . Here we put them, for completeness.

$$c(m) = \left( \frac{{}^2u_0}{{}^2u_2} - 1 \right) \frac{m^2 \ell^2}{4}, \quad (\text{B1})$$

$$\lambda(m) = \frac{4\ell^2}{m^4} \left( m^2 + \frac{4c}{\ell^2} \right) \left( m^2 + \frac{c}{\ell^2} \right)^2 u_2. \quad (\text{B2})$$

In Fig. 18 we can see that for smaller  $m$ , the higher order formulas agrees with their lower order counterpart. For higher  $m$  the signal for  $c$  determined with Eq. (B2) is too noisy. For  $\lambda$  there is good agreement for all  $m$ .

### 3. Crossover and higher-order anharmonic terms

An interesting question is the crossover from the microscopic model, e.g. in anharmonic depinning which contains a coefficient  $c_4$ . How does this terms decrease with  $m$ ? To answer these questions, we derive a formula for the expression of  $c_4$ . If a  $c_4$  term is present, then the lowest order in  $A$  is  $A^3$ . We find that there is a contribution of  $c_4$  on the first mode, written for compactness in terms of  ${}^j u_i$ ,  $\lambda$  and  $c$ ,

$$c_4(m) = -4 \frac{\ell^4}{({}^1u_1)^3} \left[ \frac{\lambda^1 u_1^2 u_2}{\ell^2} + {}^3u_1 \left( m^2 + \frac{c}{\ell^2} \right) \right]. \quad (\text{B3})$$

$c_4$  appears as a third-order perturbation in  $A$ . Since it comes from higher harmonics, it is more heavily suppressed as the system becomes larger. As a result, small system sizes (and large  $m$ ) must be considered to accurately estimate  $c_4$ . However, there is a tradeoff, since  $c_2$  and  $\lambda$  are determined with a lesser accuracy for smaller systems size. The result for an initial anharmonic depinning equation with  $c_4 = 1$  are presented in Fig. 19. We see that at large  $m$  the microscopic value  $c_4 = 1$  is obtained. Reducing  $m$  to about 0.35, the effective  $c_4$  becomes too small to be distinguishable from the noise.

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