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How a minority can win: Unrepresentative outcomes in a simple model of voter turnout

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The outcome of an election depends not only on which candidate is more popular, but also on how many of their voters actually turn out to vote. Here we consider a simple model in which voters abstain from voting if they think their vote would not matter. Specifically, they do not vote if they feel sure their preferred candidate will win anyway (a condition we call complacency), or if they feel sure their candidate will lose anyway (a condition we call dejectedness). The voters reach these decisions based on a myopic assessment of their local network, which they take as a proxy for the entire electorate: voters know which candidate their neighbors prefer and they assume—perhaps incorrectly—that those neighbors will turn out to vote, so they themselves cast a vote if and only if it would produce a tie or a win for their preferred candidate in their local neighborhood. We explore various network structures and distributions of voter preferences and find that certain structures and parameter regimes favor unrepresentative outcomes where a minority faction wins, especially when the locally preferred candidate is not representative of the electorate as a whole.

I. INTRODUCTION

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Election forecasting is a difficult problem with real-7 $_{\circ}$ world consequences [1–3]. Part of the difficulty is that ⁹ human psychology is murky. How do voters decide which ¹⁰ candidate they prefer? What makes them change their minds? And how do they decide whether to tell pollsters 11 what they really think? More broadly, modeling elections 12 and voter behavior can shed light on a wide range of 13 puzzling issues about human decision-making and hot-14 topic phenomena such as polarization and the formation 15 of political echo chambers [4–11]. 16

There is a rich literature on agent-based opinion dy-17 namics. This literature includes the "voter model" of 18 probability theory [12] and its many extensions (see [13] 19 for a review), as well as bounded confidence models [14– 16]. In such models, agents interact on a network and 21 change their opinions according to certain rules. For ex-22 ample, the agents can adopt the opinion of one of their 23 nearest neighbors chosen at random [12], or they can 24 adopt the opinion held by the majority of their neigh-25 bors [17, 18], or they can update their opinion at a non-26 linear rate depending on the opinion distributions of their 27 neighbors [19–21]. The update rules can also depend on 28 the state of agents' opinions (e.g., introducing stubborn 29 [22] or confident [23] voters who do not change their opin-30 31 among the nodes and what conditions promote it. 32

However, opinion dynamics is just one facet of voter 33 behavior. In the real world, another important factor is 34 voter turnout, defined as the percentage of eligible vot-35 ers who cast a ballot in an election. The turnout rate de-36 pends on many socioeconomic, political, and institutional 37 factors, from population size to campaign expenditures 38 to registration requirements [24, 25]. The abundance of 39 ⁴⁰ relevant factors makes predicting voter turnout difficult.

One factor influencing voter turnout is the closeness of $_{42}$ the election [26, 27]. Intuitively, one might expect that ⁴³ close elections should produce higher turnout, but some ⁴⁴ scholars dispute that this is the case [28, 29]. Here we ex-⁴⁵ plore the effect of network structure on individual agents' ⁴⁶ perceptions of election closeness and the consequent im-47 pact on turnout and on the election itself.

Certain network structures and opinion distributions 48 ⁴⁹ can lead to minority nodes mistakenly believing that they ⁵⁰ belong to a majority. The phenomenon whereby local ⁵¹ knowledge of the network is not representative of the elec-⁵² torate as a whole is known as the "majority illusion"; a "minority illusion" is also possible [30]. We are inter-53 54 ested in conditions that allow a minority to win elections ⁵⁵ by generating a higher turnout than the majority.

The phenomenon of the minority defeating the ma-56 57 jority has been studied previously in many ways. For ⁵⁸ example, Iacopini et al. [31] examine when a minority ⁵⁹ can build a critical mass to cause a cascade on hyper-⁶⁰ graphs and become the dominant opinion. In a similar ₆₁ spirit, Touboul [32] and Juul and Porter [33] examine 62 how antiestablishment nodes (nodes that prefer to be-⁶³ long to a minority) can spread their influence and create ⁶⁴ an antiestablishment majority.

In this paper we consider a model of voter turnout 65 66 that allows for majority and minority illusions. We ions easily). A key question is when consensus forms 67 ask: What network structures enable minority factions 68 to win? While we do not consider opinion dynamics (our ⁶⁹ model voters never change their minds), the mechanisms 70 of voter turnout alone can generate situations where a 71 small minority can win in a landslide. This counterintu-72 itive result is one of our main findings. Whether it holds 73 in more realistic models remains to be seen.

> 74 The paper is laid out as follows. Section II intro-⁷⁵ duces the model. In Section III, we apply the model on 76 a variety of network structures: Erdős-Rényi networks 77 (III A), stochastic block networks (III B), scale-free net-⁷⁸ works (IIIC), and random geometric networks (IIID). ⁷⁹ Section IV summarizes and discusses the results.

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FIG. 1. The behavioral assumptions of (a) dejectedness, (b) complacency, and (c) their combination applied to the same ring network with a 5-4 split between orange and purple nodes. (a) The top orange node is surrounded by a purple node on either side. Thus, in its local (one-hop) neighborhood it is outnumbered 2-1, so its vote cannot tie or win the upcoming election in that local neighborhood. Making a myopic (and wrong) estimate of the orange opinion's chances globally, based solely on its local neighborhood, the orange node believes its vote cannot affect the upcoming election, so it gets dejected and does not cast a vote, as indicated by the gray cross. (b) The two bottom nodes are completely surrounded by other orange nodes. Based on this local information, they erroneously conclude that the upcoming election is a safe win, become complacent, and do not vote. (c) Because three orange nodes do not vote, purple wins the overall election by 4-2.

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II. THE MODEL

Our simplified model of voter behavior is intended to 81 ⁸² spotlight the role of two social effects: *complacency* and dejectedness. In the model, voters have fixed opinions 83 and only need to decide whether to participate in an up-84 coming election. Whether a node chooses to vote or ab-85 stain depends on whether its local neighborhood causes 86 the node to experience complacency, dejectedness, or nei-87 ther of these effects. Complacency is the effect where 88 nodes that are surrounded predominantly by nodes with 89 matching opinions do not bother to vote, because they are convinced that their preferred candidate is going to 91 92 that are surrounded predominantly by nodes with oppo-93 95 date is going to lose. 96

97 98 99 100 101 102 103 ions is a natural direction for future work. In the context 122 cal majority, even without their votes, and thus abstain $_{104}$ of the model, these opinions can be thought of as prefer- $_{123}$ from voting. Figure 1(c) shows the result of the election: $_{105}$ ences for one of two candidates in an election, but they $_{124}$ 3 orange nodes abstain from voting, leading to a 4-2106 could also represent binary referendum options, or any 125 win by the purple minority. ¹⁰⁷ other binary choice.

in the network. We assume the following simple-minded decision rule: A node chooses to cast its ballot if and only if its vote would cause a tie or a one-vote win in its one-hop network neighborhood (assuming that all its neighbors choose to vote). More precisely, if a focal node with opinion θ has k_{θ} neighbors with opinion θ and k_{ϕ} neighbors with the opposite opinion ϕ , it will vote if and only if

$$0 \le k_{\phi} - k_{\theta} \le 1$$

108 Figure 1 illustrates the model. In the example shown, ¹⁰⁹ nine nodes live on a ring graph. Five nodes hold a ma-¹¹⁰ jority opinion (orange) and four nodes hold a minority win in any case. Dejectedness is the effect where nodes 111 opinion (purple). Figure 1(a) illustrates the effect of de-¹¹² jectedness. When the top orange node decides whether ⁹⁴ site opinions tend not to vote, because they are convinced 113 to cast its vote, it sees that both of its neighbors hold that the situation is hopeless and their preferred candi-114 the opposite opinion. Since purple outnumbers orange ¹¹⁵ in the top node's neighborhood, even if the orange node Our model of voter behavior under dejectedness and 116 decides to vote it cannot tie or win the election locally, complacency can be introduced formally as follows. We 117 so it gets dejected and abstains from voting (as indicated assume that N voters live on a network, and each node $_{118}$ by the gray cross). Figure 1(b) illustrates the effect of has some opinion θ , drawn from a probability distribu- 119 complacency. The two orange nodes at the bottom are tion $f(\theta)$. We shall assume that only two opinions exist, ₁₂₀ completely surrounded by nodes with the same orange although studying the more general case of multiple opin-₁₂₁ opinion. These two nodes conclude that orange is a lo-

126 As this example shows, the election outcome depends Continuing in the spirit of simplicity, we further as- 127 on a surprisingly subtle interplay among three factors: sume that each node knows the opinion of all its neigh- 128 the network structure, the proportion of nodes that hold bors. The only question is who will vote. Whether a 129 each of the two opinions, and how the opinions are arnode decides to vote or not depends on whether it thinks 130 ranged among the network nodes. Thus, this result raises its vote will make a difference, which in turn depends on ¹³¹ several questions: Are some network structures more the prevalence of the two opinions among its neighbors ¹³² likely to result in minority wins than others, at least

¹³³ under our model? Does homophily (the tendency for ¹³⁴ neighboring nodes to hold identical opinions) increase or ¹³⁵ decrease the likelihood of minority wins? And how does the minority size affect the likelihood of a minority win? 136 In the remainder of this paper, we pursue these questions 137 by simulating our model on various network topologies, 138 139 and with different choices for the arrangement of opinions ¹⁴⁰ among the network nodes.

III. MODEL NETWORKS

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Our model networks ("electorates") consist of N nodes ("voters"), of which N_{+} hold the majority opinion and N_{-} hold the minority opinion. We typically work with networks of size N = 100, in which case N_{-} can also be interpreted as the *minority fraction*, defined as the percentage of the electorate that holds the minority opinion. For each class of networks, we treat N_{-} as a control parameter and explore how the probability of a minority victory depends on N_{-} . In our analytical work on stochastic block networks (Section IIIB), we also find it convenient to express the results as a function of the ratio

$$\alpha = \frac{N_-}{N_+} \le 1,$$

¹⁴² a parameter that quantifies how closely divided the elec-143 torate is.

Erdős-Rényi networks Α.

We begin by applying our model to Erdős-Rényi ran-145 dom graphs [34]. In these networks, any given pair of 146 nodes is connected by an undirected edge with proba-147 bility p. Since the number of nodes, N, and the edge 148 probability, p, define this family of random graphs, the 149 family is often denoted G(N, p). 150

Figure 2 shows how the average proportion of unrepre-151 sentative outcomes changes as we vary the edge probabil-152 ity p, for fixed network size N = 100 and three different 153 choices for the minority fraction N_{-} . In Fig. 2(a), the majority nodes outnumber the minority nodes by 80 to 155 20, a considerable margin. Under these circumstances it 156 is not easy for the minority to pull off an upset win, but ¹⁵⁸ it is possible, thanks to the complacency of the major-¹⁵⁹ ity. The probability that minority wins peaks at around $_{160} p = 0.25$, with a corresponding win probability of less $_{161}$ than 0.2. Figure 2(b) shows the corresponding plot when we increase the fraction of minority nodes to 30 out of 162 100, and Fig. 2(c) does the same for 40 minority nodes. 163 The effects of these changes are mild. The main things 164 to notice are that as the electorate becomes more nearly 165 ¹⁶⁶ evenly split, the peak probability that the minority wins ¹⁶⁷ becomes slightly higher and there is a widening of the ¹⁶⁸ range of *p*-values where minority wins occasionally take ¹⁶⁹ place. Still, the main message of Fig. 2 is that unrep-¹⁹⁹ 170 resentative outcomes are fairly rare on this class of ran- 200 tributed uniformly at random among the nodes in Erdős-



FIG. 2. Unrepresentative outcomes are rare on Erdős-Rényi random graphs. The plots show the proportion of minority victories on random graphs drawn from the family G(100, p)as a function of the edge probability p (x-axis) for three different scenarios: (a) a minority that is 20% of the entire electorate, (b) 30% minority, and (c) 40% minority. Each data point in a plot is based on 10^6 numerical experiments. For all three scenarios, the peak probability of a minority victory occurs for an intermediate p. But note that the minority never wins more than half of the time; the curves lie below the dashed red line at all values of the edge probability p.

¹⁷¹ dom graphs. Indeed, in our simulations of the model ¹⁷² on Erdős-Rénvi networks, there is no parameter regime where a minority wins most of the time. 173

174 From Figure 2, we can make two observations about when a minority can win: minority victories become more 175 176 likely for larger minorities and for intermediate values of 177 p. The first observation makes intuitive sense: A mi-178 nority victory is less likely when the margin between the 179 number of majority nodes and the number of minority 180 nodes is wider, because fewer minority nodes means that ¹⁸¹ more majority nodes must abstain from voting in order 182 to ensure a minority win. Second, to understand why mi-183 nority wins are most likely for intermediate values of p, it ¹⁸⁴ is helpful to consider the extreme network structures that ¹⁸⁵ can arise in Erdős-Rényi networks. There are two such 186 extremes. When p = 0, the network has N components, 187 each consisting of a single node, and no node has neigh-188 bors. In the absence of local information, every node 189 votes, making unrepresentative outcomes impossible. At ¹⁹⁰ the other extreme, when p = 1 the Erdős-Rényi network ¹⁹¹ becomes a complete graph. On a complete graph, ev-¹⁹² ery node has perfect information about the global state ¹⁹³ of the network, which leads to dejectedness for the mi-¹⁹⁴ nority nodes and complacency for the majority nodes (if ¹⁹⁵ the margin is greater than 1). As long as this condition 196 holds true, nobody votes, and therefore unrepresentative ¹⁹⁷ outcomes do not occur in this case either.

B. Stochastic block networks

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In section IIIA, we assumed that opinions were dis-

²⁰¹ Rényi networks. Distributing the opinions in this way ²⁰² meant that there was no homophily in the networks. Looking back at Fig. 1, we see that the nodes that are 203 resistant to complacency and dejectedness have the ma-204 jority and minority opinions nearly equally represented in 205 their local neighborhoods. As such, it is the other nodes, 206 the ones in homophilous neighborhoods, that tend not 207 to vote and thereby open the door to unrepresentative 208 outcomes. In other words, we expect homophily to play 209 an important role in enabling the minority to win. 210

One way to introduce such homophily into randomly 211 generated networks is to create random networks with 212 community structure and assume that nodes in the same 213 community have the same opinion. We now do exactly 214 this by simulating our model on "stochastic block net-215 works" [34]. 216

It is helpful to think of stochastic block networks as 217 218 a generalization of Erdős-Rényi networks. Whereas in ²¹⁹ Erdős-Rényi networks, the probability of forming an edge is the same for any two pairs of nodes, in stochastic block 220 ²²¹ networks the node set is partitioned into disjoint subsets. The probability of forming an edge between nodes then 222 223 depends on the nodes' respective subsets. If the nodes are in the same subset, they are part of the same community, 224 and the probability of them being joined by an edge is high. On the other hand, nodes in different subsets are 226 assumed to not be part of the same community, and the 227 probability of an edge between them is low. 228

Since we are interested in the interactions between ma-229 230 jority and minority nodes, we will use a stochastic block ²³¹ network with two blocks. The probability of forming an ²³² edge between two nodes can be represented as a matrix:

$$P = \begin{pmatrix} p_{11} & p_{12} \\ p_{21} & p_{22} \end{pmatrix}, \tag{1}$$

²³⁴ pair of nodes from block *i* and block *j*. For the sake of ²⁶⁷ while p_{in} stays pinned at its maximum value, $p_{in} = 1$. 235 simplicity, we pick one in-block probability $(p_{11} = p_{22} =$ ²³⁶ p_{in}) and one inter-block probability ($p_{12} = p_{21} = p_{out}$) to reflect the in-group/out-group differences. These rela-237 ²³⁸ tive probabilities serve as a homophily parameter. When $p_{\rm in}/p_{\rm out}$ is high, the network exhibits high homophily, 239 ²⁴⁰ since nodes are more likely to form edges within their block. When $p_{\rm in}/p_{\rm out}$ is low, the network exhibits low, 241 ²⁴² or even anti-homophily, since the nodes are more likely to form edges across blocks. In the special case $p_{\rm in} = p_{\rm out}$, 244 we obtain Erdős-Rényi networks.

245 1. Numerical experiments on stochastic block networks

246 247 248 249 251 ²⁵² the bright yellow regions.



FIG. 3. Introducing community structure to random graphs allows for a prevalence of unrepresentative outcomes within some parameter regions (the diagonal vellow regions). The proportion of unrepresentative outcomes is shown in color as a function of p_{in} and p_{out} on stochastic block networks of N = 100 nodes with minority blocks of sizes (a) $N_{-} = 20$, (b) 30, and (c) 40 nodes, for 10^6 simulations.

While there are quantitative differences among the 254 three networks, there are important qualitative similari-²⁵⁵ ties. In each of the three panels, most of the parameter ²⁵⁶ space is colored dark blue, corresponding to the demo-²⁵⁷ cratic outcomes one would naturally expect. However, ²⁵⁸ there are also yellow diagonal regions in which the mi-²⁵⁹ nority wins more than half of the time. The highest prob-²⁶⁰ ability of a minority victory occurs close to the midline of ²⁶¹ the yellow region, where $p_{\rm out}/p_{\rm in} \approx \alpha$. While not visible 262 in the figure, the global maximum occurs on the right $_{263}$ edge of each panel, at the point where when $p_{in} = 1$ and $_{264} p_{\text{out}} = \alpha$. As we increase the size N_{-} of the minority $_{265}$ population, the location of the peak moves up the p_{out} $_{233}$ where p_{ij} is the probability of forming an edge for any $_{266}$ axis, resulting in an increased slope of the yellow region,

> These results confirm our intuition from the Erdős-268 269 Rényi networks: Unrepresentative outcomes occur in the 270 intermediate information regime. They do not thrive on 271 complete networks, nor on fragmented ones with many 272 components. Rather, they favor regimes where nodes 273 have an intermediate level of knowledge about the state of the electorate as a whole. 274

An intuitive way of understanding Figure 3 is to think 275 276 about the effects of complacency and dejectedness. In 277 order to avoid these effects, it is necessary to have both ²⁷⁸ majority and minority opinion nearly equally represented 279 in a node's neighborhood. Because there are more ma- $_{280}$ jority nodes in the network, at high p_{in} and intermediate Figure 3 shows the proportion of unrepresentative out- $_{281}$ p_{out} settings the minority nodes are most likely to know comes as a function of p_{in} and p_{out} for networks where 282 almost equal numbers of nodes who agree and disagree majority nodes outnumber minority nodes by varying 283 with them. However, in that same setting, the majority amounts. The color represents the proportion of sim- 284 nodes are more likely to know more nodes who agree with ulations in which the minority wins. Parameter values $_{205}$ them because of the high $p_{\rm in}$ probability, and therefore leading to unrepresentative outcomes are conspicuous as 286 are more likely to get complacent. This effect is what ²⁸⁷ allows the minority to win.

288 2. Analytical results for stochastic block networks with 289 $p_{in} = 1$: Exact probability of a minority victory

For the convenient special case where $p_{in} = 1$, we can 290 ²⁹¹ find the probability of a minority victory exactly. To do so, observe that if the number of majority nodes exceeds 292 the number of minority nodes by at least two $(N_+ \geq$ 293 $N_{-}+2$), then none of the majority nodes will vote, due to 294 the effects of complacency. Therefore, in this particular 295 case, the minority will win as long as *any* minority node 296 votes. We can compute the probability of that event in 297 a few easy steps as follows. 298

The first step is to consider the probability that any 299 given minority node votes. Because $p_{\rm in} = 1$, the given 300 minority node is certain to be linked to all the other minority nodes in the electorate and hence is sure to see 302 $_{303}$ exactly N_{-} votes for the minority opinion in its local ³⁰⁴ neighborhood (including its own vote). Now invoke the decision rule: the given minority node votes if and only 305 if doing so would either cause a tie or a one-vote victory 306 in its local neighborhood. For those events to happen, 307 the minority node also needs to be connected to either 308 the same number, N_{-} , of *majority* nodes, or one less than 309 that number. Those two events both happen according to 310 binomial probability distributions, because they involve 311 $_{312}$ choosing either N_{-} or $N_{-} - 1$ majority nodes out of a $_{313}$ total of N_+ available. Therefore, the probability that the ³¹⁴ given minority node votes is a sum of two binomial terms:

P(any given minority node votes)

$$= \binom{N_{+}}{N_{-}} p_{\text{out}}^{N_{-}} (1 - p_{\text{out}})^{N_{+} - N_{-}}$$

$$+ \binom{N_{+}}{N_{-} - 1} p_{\text{out}}^{N_{-} - 1} (1 - p_{\text{out}})^{N_{+} - (N_{-} - 1)}.$$
(2)

³¹⁵ The first term expresses the probability that a minor-³¹⁶ ity node sees an equal number of majority and minority ³¹⁷ nodes (and will vote because it can cause a local tie). The ³¹⁸ second term represents the probability that the minority ³¹⁹ node sees $N_{-} - 1$ majority nodes (and will vote because it ³²⁰ can cause a local minority victory). All other possibilities ³²¹ are irrelevant: If the minority node sees more than N_{-} ³²² majority nodes, it would become dejected, whereas if it ³²³ sees fewer than $N_{-} - 1$ majority nodes, it would become ³²⁴ complacent.

The next step is to subtract the right hand side of (2) from unity, to get the probability that a given minority node does *not* vote. Since there are N_{-} such nodes, and their decisions to vote are all independent, the probability that all of them do not vote is:

$$P(\text{no minority nodes vote}) =$$

$$[1 - P(\text{any given minority node votes})]^{N_{-}}.$$
(3)

³³⁰ Then, by subtracting this quantity from 1, we obtain the ³⁴⁰ ³³¹ probability that at least one minority node votes, ³⁴¹

$$P(\text{at least one minority node votes}) =$$

$$1 - P(\text{no minority nodes vote}).$$
(4)

³³² As stated above, this probability is also equal to the prob-³³³ ability that the minority wins. Combining the equations ³³⁴ above and replacing N_{-} with αN_{+} throughout, we finally ³³⁵ arrive at our desired result:

P(minority wins)

$$= 1 - \left[1 - \binom{N_{+}}{\alpha N_{+}} p_{\text{out}}^{\alpha N_{+}} (1 - p_{\text{out}})^{N_{+} - \alpha N_{+}} - \binom{N_{+}}{\alpha N_{+} - 1} p_{\text{out}}^{\alpha N_{+} - 1} (1 - p_{\text{out}})^{N_{+} - (\alpha N_{+} - 1)} \right]^{\alpha N_{+}}.$$
(5)

Figure 4 shows an excellent match between this analyticalprediction and simulations.



FIG. 4. The proportion of unrepresentative outcomes on stochastic block networks for the special case $p_{\rm in} = 1$. The probability of a minority victory is plotted as a function of $p_{\rm out}$, for networks of size N = 10. Results for three values of N_{-} are shown, corresponding to minority fractions of 20% (red), 30% (orange), and 40% (yellow). The dotted black lines show the analytical expression in Eq. (5), which agrees with numerical results from 10^{6} simulations (solid colored lines).

3. Peak location and probability of a minority victory

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In Figure 3 we saw that the probability of the minority winning in our simulations on stochastic block networks was reached at high $p_{\rm in}$ and intermediate values of $p_{\rm out}$. Continuing to assume fully connected blocks, $p_{\rm in} = 1$, we can now calculate at the value of $p_{\rm out}$ that maximizes the probability of a minority victory. To do so, we differentiate Eq. (5) with respect to $p_{\rm out}$ and set the resulting expression to zero. After straightforward but extensive algebra, and with the help of Stirling's formula, we find that in the limit $N_+ \to \infty$ with α held fixed,

$$p_{\rm out} = \alpha$$

³³⁹ maximizes the probability of a minority victory.

Figure 5 shows how the peak value of p_{out} converges to ³⁴¹ α as N increases. In these plots, we fix $\alpha = N_{-}/N_{+} =$ ³⁴² 2/3 and vary the network size N. Notice that at the peak, ³⁴³ the proportion of unrepresentative outcomes approaches ³⁴⁴ 1 as N goes to infinity. With further effort, one can show



FIG. 5. The solid curves show the proportion of unrepresentative outcomes as described by Eq. (5) for constant $\alpha = 2/3$ and N = 5, 10, 20, 100. The stars indicate the location of the maximum on each curve. The probability p_{out} that maximizes the proportion of unrepresentative outcomes approaches α as N increases. The limiting location of the peak, $p_{out} = \alpha$, is marked by the gray vertical line.

³⁴⁵ that the peak value of a minority victory deviates from ³⁴⁶ 1 by an exponentially small term for $N \gg 1$:

$$P(\text{minority wins} | p_{\text{out}} = \alpha) \sim 1 - \exp\left(-\sqrt{\frac{2\alpha N}{\pi(1-\alpha^2)}}\right) \times \left(\exp\left[-\frac{1}{(1-\alpha)\pi}\right]\right).$$
(6)

Furthermore, the curves in Fig. 5 become increasingly 347 $_{348}$ sharply peaked as N increases. To check this, we evaluate ³⁴⁹ Eq. (5) in the same way at $p_{\rm out} = \alpha + \epsilon$ for $\epsilon \ll 1$ and 350 find that $P(\text{minority wins} | p_{\text{out}} = \alpha + \epsilon)$ tends to 0 as $_{351}$ N approaches infinity. Therefore in the large-N limit, $_{352}$ P(minority wins) tends to a discontinuous function that ³⁵³ equals 1 at $p_{out} = \alpha$ and 0 everywhere else.

С. Networks with a heavy-tailed degree 354 distribution 355

Erdős–Rényi networks and stochastic block networks 356 are both widely studied. Their simplicity allowed us to 357 derive analytical results and gain some intuition for when 358 the minority could win the election in our model. In both 359 models, however, nodes tend to have very similar num-360 bers of network neighbors. This homogeneity is different 361 from many real-world networks in which node degrees 362 can vary a lot [34-36]. 363

As an example of networks with broad degree distri-364 butions we now consider networks whose degree distri- 398 365 366 367 368 moderated or even argued against this claim [36, 38]. 369

370 371 found that the existence of community structure could in 404 once again that unrepresentative outcomes occur most

372 some cases increase the likelihood of a minority win under our model. To understand the effect of homophily in more detail, we also incorporate homophily in our simula-374 tions of our model in networks with a heavy-tailed degree 375 distribution. In order to introduce homophily into the setting of networks with power-law degree distributions, 377 we introduce a homophily parameter $h \ (0 \le h \le 1)$. 378 ³⁷⁹ When h = 0, the node opinions are distributed randomly $_{380}$ on the network, whereas when h = 1, the majority and ³⁸¹ minority nodes organize into disjoint blocks with no con-³⁸² nection between nodes of different opinions. Our algo-³⁸³ rithm for generating homophily on networks with power-³⁸⁴ law degree distributions is described in Appendix A. The ³⁸⁵ algorithm is heavily inspired by algorithms used to cre-³⁸⁶ ate configuration-model networks [34]. In that sense, our networks with power-law degree distributions can 387 388 be thought of as a class of configuration-model networks 389 with homophily.

Figure 6 shows examples of the resulting networks. As 390 $_{391}$ h increases, the nodes get a higher preference for con-³⁹² necting to nodes with the same opinion. When h = 0, the nodes' local information is most likely to be repre-393 sentative of the true proportion of opinions across the 394 electorate as a whole. When h = 1, the nodes' local in-395 formation will only reflect the presence of nodes with the 396 397 same opinion.



FIG. 6. Examples of networks with heavy-tailed degree distributions with homophily factors (a) h = 0, (b) h = 0.3, (c) h = 0.8, and (d) h = 1. All networks are of size N = 15with minority size $N_{-} = 5$. We use the power law exponent $\lambda = 2.5$ to generate the degree distribution.

Figure 7 shows the proportion of unrepresentative outbutions follow a power law in the limit $N \to \infty$. Such 399 comes on a network with a heavy-tailed degree distribuscale-free networks have been claimed to capture features $_{400}$ tion and size $N = 10^4$ with minority fractions 20%, 30%, of many real-world networks [35, 37]. Other scholars have $_{401}$ and 40%. We have chosen a larger network size to avoid 402 undesired topological correlations [39, 40]. The horizon-In our investigations of stochastic block networks, we $_{403}$ tal axis shows the homophily parameter h. We observe



FIG. 7. Proportion of minority winning on networks with heavy-tailed degree distributions and size $N = 10^4$, for (a) $N_{-} = 2000$, (b) 3000, and (c) 4000 nodes as a function of the homophily factor h, for 10^3 simulations.



FIG. 8. Example of majority (orange) and minority (purple) node distributions for geometric random networks with radius (a) r = 0.3, (b) r = 0.5, and (c) r = 0.8.

405 frequently when the homophily parameter is in the in-406 termediate range. In Figs. 7(a) and (b), for homophily 407 parameter values in range $0.45 \le h \le 0.95$ the minority $_{408}$ faction wins more than half of the time. In Fig. 7 (c), the 409 corresponding range is $0.55 \le h \le 0.9$. Surprisingly, in- $_{410}$ creasing the minority size N_{-} does not yield a larger peak ⁴¹¹ probability of minority wins for these configuration net-⁴¹² works, in contrast to the other network structures tested 413 in this paper.

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D. Geometric Random Networks

In Section IIIC, we considered networks with broad 415 416 degree distributions, a trait shared by some social networks. A qualitatively different class of networks are 417 ⁴¹⁸ those in which the likelihood of a link between two nodes depends on their geographical separation. "Geometric 419 420 random networks" provide some of the simplest examples. To generate them, imagine throwing nodes uni- 455 421 422 423 424 425 illustrated in Fig. 8.

426 ⁴²⁷ random networks, we assign minority and majority opin-⁴⁶¹ parameter ranges that correspond to intermediate knowl-

⁴²⁸ ions preferentially to the left and right halves of the unit 429 square, respectively. With probability equal to the ho- $_{430}$ mophily parameter h, nodes lie within their preferred half of the square. 431

We vary the radius of connection r and compute the 432 proportion of unrepresentative outcomes. Figure 9 shows 433 ⁴³⁴ the results of the simulation. While the proportion of un-⁴³⁵ representative outcomes peaks in the intermediate radius 436 range, the peak probability of minority victories moves to the right as homophily increases. In a low-homophily 437 438 setting, minority nodes benefit from low radius to pre-⁴³⁹ vent dejectedness (they need to actively avoid knowing majority nodes). In a high-homophily setting, minority nodes benefit from a higher radius to prevent com-441 placency (they need to ensure they know some majority 442 443 nodes). The extreme peak in panel (c) is interesting. It ⁴⁴⁴ is due to the fact that in extreme homophily settings, the ⁴⁴⁵ majority half of the square domain is more densely pop-446 ulated. Therefore, at low non-zero values of r, majority ⁴⁴⁷ nodes begin to see other majority nodes and become com-⁴⁴⁸ placent before minority nodes begin to see other nodes. This effect results in many disconnected minority nodes 449 450 voting. The effect is diminished when the difference be- $_{451}$ tween N_+ and N_- is lower. While not shown here, our ⁴⁵² numerical experiments show that the peak is higher for $_{453}$ $N_{-} = 20\%$ and lower for $N_{-} = 40\%$.



FIG. 9. Proportion of minority victories on a geographic random network as a function of the radius of connection r and probability of nodes lying within their preferred half of the square. (a) h = 0.5 (no homophily), (b) h = 0.75 (moderate homophily), and (c) h = 1 (extreme homophily). The results are for networks of size N = 100, with minority size $N_{-} = 30$, for 10^4 simulations.

IV. DISCUSSION

In this paper, we have presented a simple agent-based formly at random inside a unit square. We add an edge 456 model of voter turnout. By simulating the model on a between any two nodes that lie within a distance r of each $_{457}$ variety of network structures, we found that it is often other. A larger value of r results in denser networks, as $_{458}$ possible for a minority faction to win the model election ⁴⁵⁹ under the effects of dejectedness and complacency. These In order to incorporate homophily into these sorts of 460 unrepresentative outcomes occur most frequently in the

works with some homophily or community structure. We 487 their local social neighborhood. have further shown that unrepresentative outcomes can $_{\ 488}$ 464 465 tion of opinions is not representative of the average global 466 distributions. Intermediate homophily settings often cre-467 468 469 470 one-hop network neighborhood, while majority nodes are 494 and global information in the form of broadcasters or ⁴⁷¹ susceptible to complacency.

In reality, it remains unknown how much complacency 472 473 and dejectedness influence whether people cast their vote 497 election that they thought was a safe win? Introducing 474 in elections. It is also unknown to what extent such complacency and dejectedness would be caused by the imme-475 diate social-network neighborhood of the voter; it seems 476 quite possible, for example, that media reports, fore-477 casting agencies, and other non-local effects could play ⁴⁷⁹ even bigger roles in pushing voters to turn out or stay 480 home. All that one can say with certainty is that voter decision-making is a complex phenomenon with many 501 481 482 social, political, and structural factors influencing indi- 502 ful advice and discussions. Research supported by a Cor-483 vidual choices. Nonetheless, our work suggests that ho- 503 nell Center of Applied Mathematics postdoctoral fellow-⁴⁸⁴ mophily and network structure can greatly affect vote ⁵⁰⁴ ship (J.L.J.) and NSF grant CCF-1522054 and National 485 outcomes in settings where voters choose to abstain or 505 Institutes of Health grant 1R37CA244613-01 (S.H.S.).

462 edge of the global state of the electorate, as well as in net- 486 cast their ballots based on the prevalence of opinions in

There are many extensions of this study that would be become more likely in settings where the local distribu- 489 intriguing to try in future work. Some directions could ⁴⁹⁰ focus on implementing the model in more general settings ⁴⁹¹ such as: Realizing the model on core/periphery networks, ate regimes in which minority nodes are more likely to 492 adding more than two opinion states, modifying the deoverestimate the closeness of an election based on their 493 cision rule, and perhaps adding a tension between local ⁴⁹⁵ forecasters. Another possibility would be to make the ⁴⁹⁶ model dynamic. What do nodes do after having lost an ⁴⁹⁸ such dynamics and looking for fixed points, cycles, and ⁴⁹⁹ other time-varying states would be interesting.

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Appendix A: Algorithm for generating scale-free networks with homophily

We generate a scale-free network with homophily using for the following algorithm:

- 585 1. Fix n nodes.
- ⁵⁸⁶ 2. Draw degrees from a power law distribution.
- $_{587}$ 3. Generate a vector of length *n* assigning a binary
- ⁵⁸⁸ opinion: 0 to majority nodes and 1 to minority ⁶⁰⁸ ⁵⁸⁹ nodes. ⁶⁰⁹
- 4. Initialize two empty stacks: the majority stack and the minority stack.

5. For each node:

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If a node is a minority node, add its index to the minority stack the number of times corresponding to its degree.

If the node is a majority node, add its index to the majority stack the number of times corresponding to its degree.

- 6. Shuffle the majority and minority stacks.
- 7. While the minority stack is non-empty: pop **node1** from the top of the minority stack. generate a random number between 0 and 1. If the random number is less than the homophily factor h, draw an edge between **node1** and the first node in the minority stack (**node2**). If the random number is greater, draw an edge between node1 and the top node in the majority stack.
- 8. If the majority stack is nonempty by the time the minority stack is empty, connect the remaining majority nodes in pairs.