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A. Escobar, F. Donado, R. E. Moctezuma, and Eric R. Weeks

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# Direct observation of crystal nucleation and growth in a quasi-two-dimensional nonvibrating granular system

A. Escobar, F. Donado\*

*Instituto de Ciencias Básicas e Ingeniería de la Universidad Autónoma del Estado de Hidalgo-AAMF,  
Pachuca 42184, Pachuca, México  
e-mail:fernando@uaeh.edu.mx*

R. E. Moctezuma†

*CONACYT-Instituto de Física “Manuel Sandoval Vallarta”,  
Universidad Autónoma de San Luis Potosí, Alvaro Obregón 64, 78000 San Luis Potosí, S.L.P., México*

Eric R. Weeks‡

*Physics Department, Emory University, Atlanta, Georgia 30322 USA.*

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We study a quasi-two-dimensional macroscopic system of magnetic spherical particles settled on a shallow concave dish under a temporally oscillating magnetic field. The system reaches a stationary state where the energy losses from collisions and friction with the concave dish surface are compensated by the continuous energy input coming from the oscillating magnetic field. Random particle motions show some similarities with the motions of atoms and molecules in a glass or a crystal-forming fluid. Because of the curvature of the surface, particles experience an additional force toward the center of the concave dish. When decreasing the magnetic field, the effective temperature is decreased and diffusive particle motion slows. For slow cooling rates we observe crystallization, where the particles organize into a hexagonal lattice. We study the birth of the crystalline nucleus and the subsequent growth of the crystal. Our observations support non-classical theories of crystal formation. Initially a dense amorphous aggregate of particles forms, and then in a second stage this aggregate rearranges internally to form the crystalline nucleus. As the aggregate grows, the crystal grows in its interior. After a certain size, all the aggregated particles are part of the crystal and after that, crystal growth follows the classical theory for crystal growth.

## I. INTRODUCTION

The solidification process of a fluid through a controlled cooling process is a fundamental issue from both a scientific and technological point of view. Solidification can result in a glass, a crystal, or a heterogeneous system containing amorphous and crystalline phases [1–4]. If the solidification process could be completely understood and controlled, it would allow us to make materials with specific properties. Crystalline materials are used in countless technological applications due to their distinctive electrical, optical, and magnetic properties.

A crystal is a solid phase with ordered structure that can be obtained from a liquid through a cooling process, or from an amorphous solid through an annealing process. Although there is currently much indirect information about the crystallization process, direct observation of the motion of individual atoms (“particles”) while a crystal is forming is challenging because the methods for resolving the particle size and the necessary temporal resolution have yet to be developed [5]. Scattering techniques are used to study crystallization, however, the

information we can obtain with this technique is incomplete. Therefore, complementary techniques should be used to deeply understand the crystallization process.

Classical nucleation theory describes homogeneous nucleation as due to spontaneous structural fluctuations which occasionally form ordered aggregates which then frequently dissolve back into the disordered liquid. However, if an ordered aggregate is formed above a critical size, it will most likely grow to form a crystal [4, 6]. The size where the probability of growth is equal to the probability of shrinking is termed the critical nucleus size, and is determined by the Gibbs free energy. The change in bulk energy (negative) favors growing a crystal, and the change in the surface energy (positive) opposes growing a crystal. At the critical size these two contributions to the Gibbs free energy are in balance; above the critical size the bulk energy reward for crystal growth dominates, stabilizing the aggregate and resulting in further growth. Non-classical theories instead claim that the process involves at least two steps. In the first step, a disordered aggregate forms with some critical size and then in the second step the aggregate evolves into an ordered configuration to form a crystal nucleus [4, 6, 7]. Research is in progress to give direct evidence in favor of classical nucleation or non-classical nucleation theory [8–10].

Studies focused on a description at the particle level are key to support one or another theory. For instance, work has been done using proteins, where it is possible

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\*fernando@uaeh.edu.mx

†rosario@ifisica.uaslp.mx

‡erweeks@emory.edu

to study the crystallization phenomenon due to the large protein size compared to that of small molecules [11–13]. Experiments with colloids observed direct crystal nucleation [14–17] or two-step nucleation [18], although in the latter work it was unclear if the intermediate state was truly metastable or just a structural precursor as the sample structure continuously changed from disordered to ordered. Overall, our understanding of the ways crystallization occurs in different systems is still limited.

The use of macroscopic model systems can help us to understand the crystallization mechanism because some systems allow a detailed description at a particle level [15, 17, 19–28]. These systems exhibit different phases when a physical quantity such as volume fraction, viscosity, temperature, or particle concentration is varied. Under some particular conditions the formation of crystalline structures has been reached [29–33]. Some of these macroscopic models are granular systems [20, 26, 32, 33] and colloidal systems [23, 34, 35]. In both cases, the inverse of the particle concentration acts as the control parameter mimicking the temperature; although for granular systems, the agitation also acts as a direct control parameter analogous to temperature [26, 36, 37].

In granular systems, particles such as glass beads, plastic beads, steel beads, grains, etc., has been used to study phase changes. In these kind of systems the dynamics can be easily studied because their macroscopic particle motions are slow enough to be evaluated by using standard video techniques [19, 20, 25–27, 33, 36]. In these systems, it is necessary to shake or vibrate the container to maintain the motion of the particles. However, this implies that we will not always have the conditions of homogeneity in the distribution of the particles or in their energy. Therefore, it is desirable to compensate gravity in order to avoid so many collisions with the bottom of the sample cell [38–40]. For this purpose, different platforms such as parabola flights [41], sounding rockets, drop towers etc., have been used. A magnetic stochastic excitation has also been added additionally to the vibrations in order to levitate diamagnetic, ferromagnetic, or paramagnetic particles [38, 41, 42].

Magnetic excitations have been extensively used in systems involving granular [38, 41, 42] and colloidal magnetic particles [15, 16, 23, 24, 26, 43]. In particular, Donado *et al.* have introduced a non-vibrating 2D system driven by an oscillating magnetic field as external excitation [29, 30, 44–46]. The advantage of this form of excitation is that it does not need to be vibrated or shaken since the magnetic stochastic excitation introduced with the varying field is sufficient to provide a reasonably homogeneous spatial distribution of the particles. Moreover, by the nature of the experiment, gravity and friction are not a problem; on the contrary, they are important factors to achieve fluidization of the system. In these systems, the spheres have permanent magnetic dipoles, and the magnetic field oscillates vertically causing the spheres to roll to reorient their dipoles to match the field.

The external magnetic field that we use is small, there-

fore, it does not modify the permanent dipole moment of the sphere. However, the field is strong enough to reorient the dipoles by rotating the sphere when the magnetic field changes direction. In the initial condition, when the external magnetic field and the dipole moment of the sphere are directed in the same direction, the sphere is in a stable equilibrium. When the magnetic field changes direction, the equilibrium of the sphere becomes very unstable. At the instant when the magnetic moment and the direction of the field are no longer parallel, a magnetic torque acts on the particle and causes it to rotate. The spheres have the same probability of rotating in any direction (because of its form), therefore, each sphere in the system directs its dipole moment in a random direction and then rolls along a linear path. Each time the magnetic field is reversed, a new impulse to the particle causes it to change its direction or to propel itself in the same direction, depending in a complex way on the inertia of the particle, its magnetization, friction with the surface, and the frequency of the external field.

When the system is sufficiently diluted, each particle behaves practically independently of the others, so their dipole moments are not coupled. At low and intermediate particle concentrations and high effective temperature aggregates do not form because kinetic energy prevents particles from aggregating due to dipolar interactions. At low forcing frequencies, around 1 Hz, particle’s motions are synchronized with the magnetic field and the motion is not continuous but rather has pauses. As the frequency increases, a phase lag appears, desynchronizing the particle motion with the external field. The motion becomes continuous because of the particle inertia, combining linear trajectories segments and sudden changes in direction. At high frequencies, higher than 30 Hz, the inertia prevents the particles from rolling, and they remain vibrating slightly in their place.

During each cycle, the particles first roll to line up with the field and then continue to move as the magnitude of the field decreases. At the moment in which the magnetic field reverses, it gives a new impulse to the particle, changing its direction or pushing it in the same direction depending on the magnetic state of the sphere at that moment. This random rolling motion causes the spheres to move nearly ballistically at short time scales and diffusively at longer time scales [44]. Their velocity distribution follows a Maxwell-Boltzmann distribution that can be controlled by the amplitude of the applied magnetic field. From this distribution an effective temperature can be obtained and, for or certain excitations, the probability distribution function of the displacements is closer to Gaussian, similar to a system in equilibrium [45, 46]. Moreover, in a previous work, it was demonstrated that even though this system is not in an equilibrium state but in a steady state, it is still a good model for atomic and colloidal systems [44]. It was also found that sudden cooling leads the system to change from fluid-like to solid-like. This macroscopic model is ideal for studying solidification at the particle level, since it allows us to

study the motion of individual particles at both short and long times [29–31].

In Ref. [30] we settled the magnetic particles in a shallow concave dish where gravity enhances the concentration of particles in the center. If the magnetic forcing is turned off quickly, the particles condense to a disordered aggregate under the gravitational influence. However, much like molecular systems where the cooling rate matters, by decreasing the magnetic forcing very slowly, particles form crystalline structures. The formation of different structures depending on the cooling rate were obtained: glass (fastest quench rate), crystals (slowest quench rate), or mixed structures (intermediate quench rates). For the slowest cooling rate the crystals are compact hexagonal arrangements. During these studies of the crystallization process, experimental evidence was found to favor a non-classical process for nucleation and crystal growth. However, this evidence was not studied in detail.

In the present work, we are interested in the initial formation of the nucleus and how particles move to their final positions in a crystal. In particular, we show evidence that nucleation takes place via a two-stage process: first a disordered dense aggregate, and then a more ordered and more dense crystal nucleus. We analyze and compare different structural characteristics of the system and determine their relationship with the stability of the aggregates.

## II. EXPERIMENTAL SETUP

Particles are settled on a concave lens of -250 mm focus length and 50.8 mm in diameter. This radius of curvature (500 mm) is sufficiently large to allow particles to explore most of the dish at the early stages of the experiment, but the radius of curvature is also sufficiently small so that particles eventually are induced by gravity to move to the bottom of the surface. The lens is located in the middle of a pair of Helmholtz coils (Fig. 1) which produce a vertical magnetic field fed by a Kepco BOP 36-6 M power amplifier controlled by a PC through a DAQ card, using LabView. The particles are 131 steel balls of 1 mm of diameter, ANSI 420 grade 1000 by Gimex S.A. The experiments are recorded using a CCD camera at 30 fps in AVI interlaced format. To improve the visual definition of particles centers and increase the time resolution, we use a deinterlace filter obtaining a final 60 fps resolution. We use ImageJ and its plugin Mosaic to follow particle trajectories [47, 48]. Our spatial resolution is  $\approx 0.07$  mm ( $x$  and  $y$  position).

The system is subject to oscillatory magnetic field of the form  $B_o = A(t)\sin(2\pi ft)$ . The experiment starts with the amplitude  $A(t) = 6.6$  mT and changes following a decreasing stepladder function at 0.002 mT, with the steps occurring every 10 s. The frequency  $f$  is kept constant at 9.24 Hz. The oscillating magnetic field causes particles to rotate to follow the magnetic field direc-

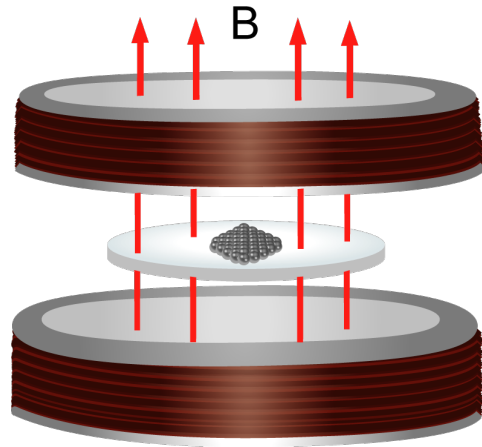


FIG. 1: Experimental setup. The Helmholtz coils have an inner diameter of 15 cm and an outer diameter of 20 cm.

tion. Because of the friction of particles with the base of the container, particles roll as they rotate. However, they cannot fully align their permanent magnetic dipoles with the imposed field before the field reverses direction, therefore, the rolling motion is erratic and particles frequently change their directions. For large  $A(t)$  the particle motion is essentially diffusive except at very short time scales where it is almost ballistic; at these shortest time scales we observe the mean square displacement (MSD) growing as  $\sim \Delta t^{1.7}$  [46]. Since the magnetic field plays the role of the temperature, henceforth, we will refer to the amplitude of the magnetic field as the effective temperature [45]. In this sense, we model a cooling rate by gradually decreasing the amplitude of the magnetic field. As the magnetic field decreases, particle motions become subdiffusive, and eventually at low enough magnetic field the particles are arrested. In the next sections we describe the structural and dynamical changes during this cooling process.

## III. EXPERIMENTAL RESULTS

### A. Structural analysis considering all particles

In this section we present results considering all the particles in the system, both those in crystalline regions and those in amorphous regions. Given that the nucleation process is random, we analyze three experiments of crystallization under identical conditions; these are referred to as E1, E2 and E3. Figure 2 shows a sequence of photos from E1. The system started in a disordered configuration where all the particles are separated from each other in a gas-like configuration. Spontaneous particle-concentration fluctuations drive the formation of small aggregates of different sizes. We considered that particles form an aggregate when they are in contact more than our resolution time ( $> 1/60$  s). Small aggregates, below 4 particles, are very unstable. As the magnetic field is



slowly decreased, we observe the formation of a nucleus in Fig. 2(b) and a subsequent growth of the crystal as the field decreases further. The aggregate is stabilized by friction: when particles touch each other, they experience frictional contact. These interactions can prevent them from rolling when the magnetic field direction changes, thus, the more neighbors a particle has in an aggregate, the more frictional contacts stabilize the particle. Nonetheless, particles at the boundary of an aggregate also experience random kicks from colliding gaseous particles, which can destabilize them and cause the boundary particles to “evaporate” into the gas. It is the competition between the frictional stabilization and the random forces that determine the possibilities of nucleating and growing an aggregate. A hexagonally ordered aggregate allows the interior particles to have a maximum number of frictional “bonding contacts”  $N_B = 6$  and thus should be maximally stable.

We wish to characterize the crystallization process by examining the structure of the entire system as a function of time (and thus as a function of decreasing magnetic field). Quantities of interest are shown in Fig. 3 and will be described next, measured from snapshots analyzed every 1.66 s to find signatures of crystallization.

We start by identifying topological neighbors using a Delaunay triangulation of the particle configurations, shown in Fig. 3(c). From these topological neighbors we calculate the orientational order parameter  $\psi_6$  for each particle  $i$ , defined as

$$\psi_6, \psi'_6 = \frac{1}{N_{i,B_i}} \left| \sum_{j \in B_i} \exp(6i\theta_j) \right|, \quad (1)$$

where the sum on  $j$  is over the  $N_i$  neighbors of this particle and  $\theta_j$  is the angle formed between the  $x$ -axis and the vector pointing from  $i$  to  $j$ . The sum on  $j_B$  is over the number of bond neighbors,  $N_{B_i}$ .  $\psi_6$  is a complex number and we take the magnitude to quantify hexagonal order. A particle in a hexagonally ordered region has  $\psi_6 = 1$ , and  $\psi_6$  can be as low as zero for a particle in a disordered region; see Fig. 3(d). We plot the particle-averaged  $\bar{\psi}_6$  as a function of magnetic field in Fig. 5(a). Going from right to left in the graph we see that the system starts in a disordered configuration ( $\bar{\psi}_6 \approx 0.36$ ) that becomes ordered as the magnetic field decreases. The value of  $\bar{\psi}_6$  clearly starts to increase at a certain value of the magnetic field that is different in each experiment.

An increasing  $\bar{\psi}_6$  does not necessarily require particles to be in a dense aggregate. To investigate this, we next determine the number of “bonds”  $N_B$  for each particle.  $N_B$  is the number of neighbors that are in contact with a given particle, defined as center-to-center separations of less than  $1.1\sigma$  in terms of the particle diameter  $\sigma$ ; see Fig. 3(e). We also define a bond order parameter  $\psi'_6$  which is based only on the  $N_B$  particles in contact with a given particle, Fig. 3(f). Comparing Fig. 3(f) with Fig. 3(d) we can see the difference in neighbors between  $\psi_6$  and  $\psi'_6$ , see the Supplemental video [49]. This

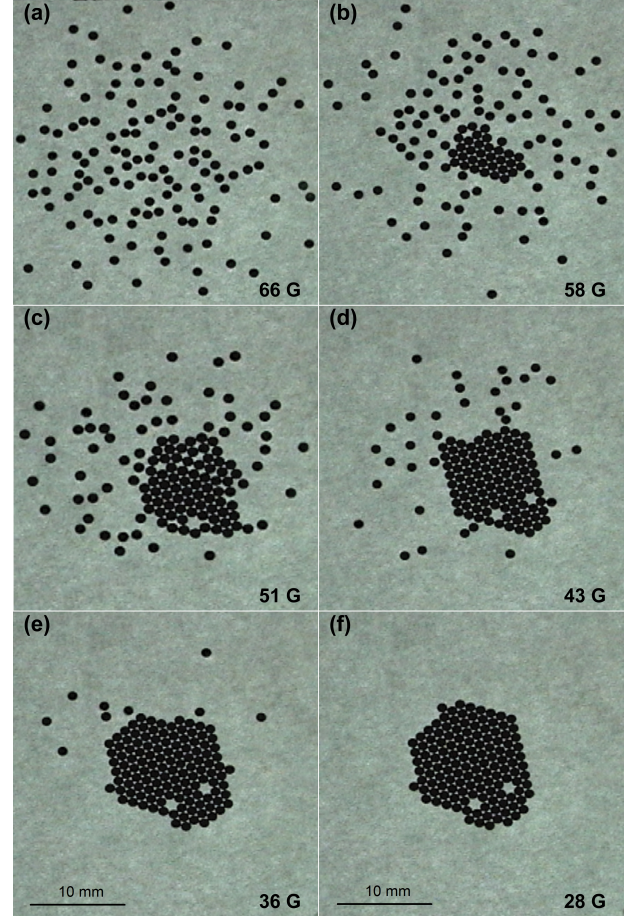


FIG. 2: Sequence of photos from experiment E1, showing evolution from a fluidlike configuration to crystal configuration. The amplitude of the forcing  $B_o$  is noted in the corner of each image.

parameter is also depicted in the final crystalline states shown in Fig. 4, confirming the hexagonal ordering. We observe faceted boundaries, and for two configurations we see hexagonal vacancies. Later, in section III C,  $\psi'_6$  will be analyzed to characterize the size of an aggregate, showing that it is a useful tool for this purpose since it only takes into account particles in contact.

Figure 5(b) shows the average of  $N_B$  as a function of the magnetic field. This quantity follows a similar behavior as the one followed by the average of  $\psi_6$ , namely a low value (close to zero) when the particles are in a dilute gas-like state, and then a sharp increase as the initial aggregate forms. Figure 6 shows the relation between the mean value of  $N_B$  and the mean value of  $\bar{\psi}_6$ . The gas-like state corresponds to the lower left corner of this plot, and the initial jump in  $\bar{N}_B$  is followed by growth of both  $\bar{N}_B$  and  $\bar{\psi}_6$ . This growth process shows an almost linear relation between these two quantities, albeit with some variability between the three experiments during the initial aggregation period.

We next examine the overall state of the system, both aggregate and surrounding particles, by calculating the

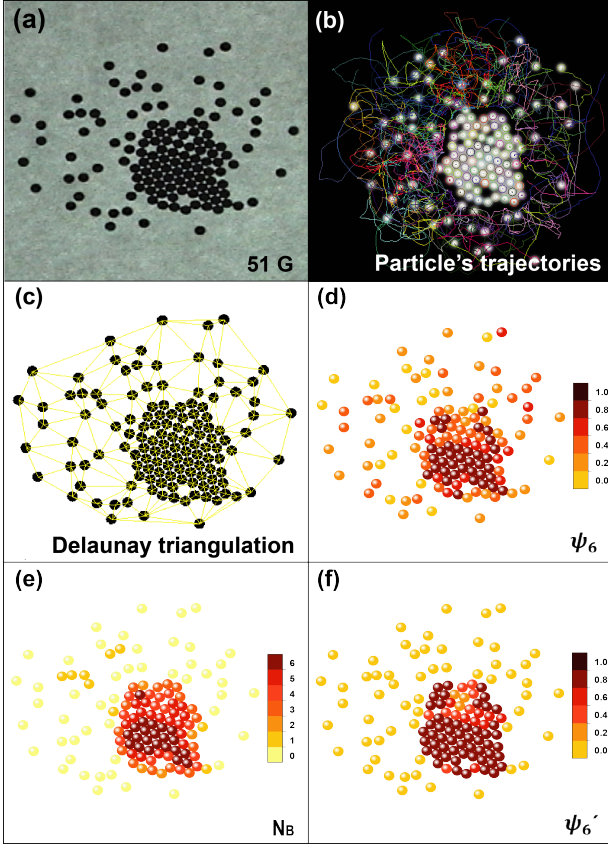


FIG. 3: (a) A typical photo at a certain stage of the experiment, (b) trajectories of particles over 1.66 s (0.83 s before and 0.83 s after the position shown), (c) Delaunay triangulation, (d)  $\psi_6$  order parameter, (e) number of bonded neighbors  $N_B$ , and (f) the bond order parameter  $\psi'_6$ , which is calculated based only on touching neighbors (those counted by  $N_B$ ). This configuration is the same shown in Fig. 2(c).

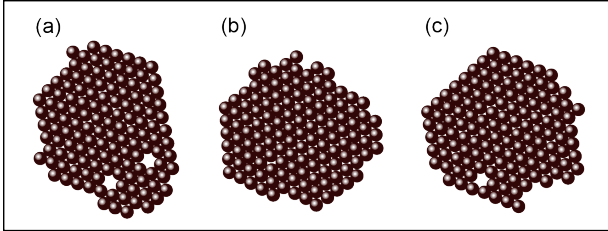


FIG. 4: Final configurations of experiments E1, E2, and E3. The three aggregates have an ordered configuration corresponding to the higher level in the color code of the sixth bond configurational order parameter,  $\psi'_6$ , depicted in Fig. 3 (f).

effective system radius  $R_E$ . This is the mean distance between each particle in the system and the system's instantaneous center of mass. We choose this measure (rather than the root mean square radius) as  $R_E$  is closely related to the gravitational potential energy of the particles in the slightly curved container they are in. Figure 5(c) shows how this quantity starts at a large initial value,

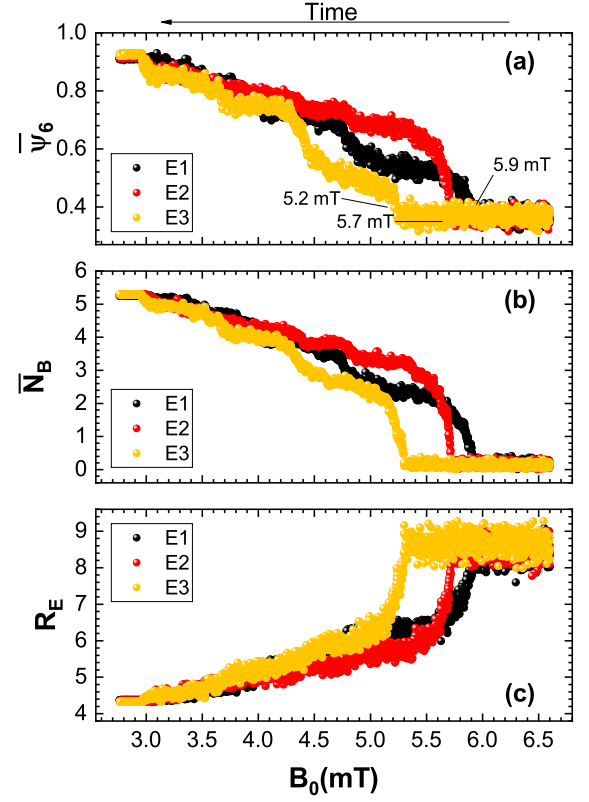


FIG. 5: (a) Average of the configurational order parameter  $\psi_6$  for each frame as a function of magnetic field. (b) Average of the number of bonded neighbors of particles for each frame as function of magnetic field. (c) Effective system radius in each frame as a function of magnetic field, in units of the particle diameter  $\sigma$ .

when the system is behaving more like a gas (at high magnetic forcing);  $R_E$  then decreases abruptly at the formation of the first aggregate, and continues to decrease further as the magnetic field decreases. Figure 2 shows that as the aggregate is formed, the gas phase surrounding it moves in closer to the aggregate. Of course,  $R_E$  also decreases simply because the aggregate has many particles close to the system center of mass.

To finish our description of the system as a whole during the quenching process, we analyze the particle trajectories such as those shown in Fig. 3(b) to determine the effective diffusion coefficient at different times. The data are shown in Fig. 7, and show a sharp decrease when the initial stable aggregate forms. The drop is due to the average of the gaseous particles (which stay fairly diffusive, see the open symbols in the figure) and the aggregated particles (which are essentially motionless, although at times exchanging with the gaseous particles).

The initial rapid changes seen in Fig. 5 occur as the magnetic field drops by  $\sim 0.1$  mT. This occurs over 50 s. Based on the typical diffusivity  $D \approx 0.25$  ( $\sigma^2/s$ ) of the gas particles right before the initial aggregation event, we

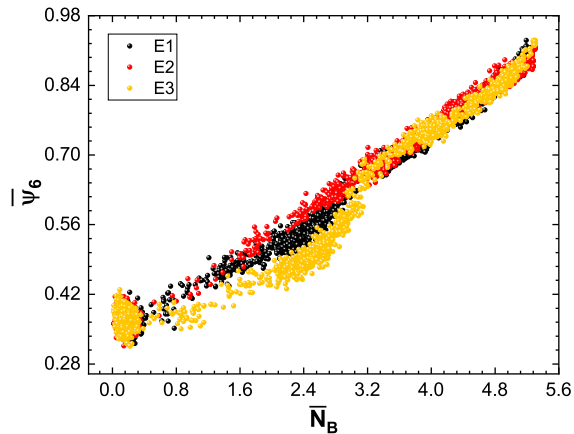


FIG. 6: Mean order parameter  $\bar{\psi}_6$  as function of the mean bond number. Each point represents a different time in the experiment, and the averages are over all particles at that time.

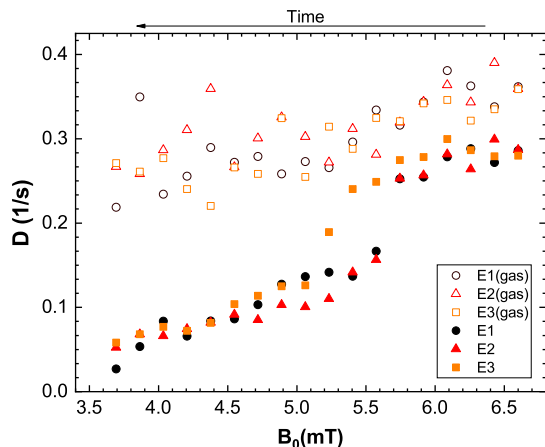


FIG. 7: Effective diffusion coefficient measured in time windows of 4.16 s every 166.66 s. The open symbols correspond to gaseous particles, and the filled symbols are averaged over all particles (both gaseous and aggregated). The units are  $\sigma^2/s$  in terms of the particle diameter  $\sigma$ .

estimate that the particles' mean square displacement over this time interval is  $\langle \Delta r^2 \rangle = 4D\delta t = 50\sigma^2$ . This shows that the gas particles are able to explore large distances during the initial aggregation event, implying that the initial growth is unlikely to be diffusion limited.

We additionally note that these figures show a systematic difference between the experiments: the first experiment (E1) nucleates a stable aggregate earliest at the highest magnetic forcing  $B_0$ , while the third experiment (E3) nucleates the stable aggregate latest. This is due to the gradual increasing magnetization of the particles over the course of the project [45]. Further evidence of this

increasing magnetization is in the initial plateau height of the  $R_E$  data of Fig. 5(c), which is largest for E3. In this situation, the more strongly magnetized particles respond more forcefully to the oscillating external magnetic field, causing higher kinetic energy and thus a higher effective internal pressure, leading to the larger  $R_E$  values.

## B. Structural analysis considering particles in aggregate

The analysis in the previous subsection considered all of the particles in the experiment. We now turn from the global to the local; we wish to understand the stable aggregate once it forms and grows. In each experiment, only one stable aggregate forms. It grows as the forcing magnetic field is decreased until all of the particles belong to the aggregate. To examine the growth of this aggregate, we analyze our data at 1.66 s intervals. Aggregates are defined based on touching particles (ones with center-to-center separation less than  $1.1\sigma$  as mentioned in the previous section). We discard aggregates smaller than 4 particles, as they typically are stable for less than 1 s. At times when the stable aggregate had formed, we only found a few rare cases where there was more than one aggregate present in the image; and in all cases the stable aggregate is the biggest aggregate.

Figure 8(a) shows the size of the aggregate as a function of the magnetic field. At early times (large  $B_0$ ) we observe small unstable aggregates that are made up of about four particles. At some point an aggregate stabilizes and then begins to grow; roughly speaking, Fig. 8(a) shows that when  $N \gtrsim 10$  the aggregate grows irreversibly. We wish to correlate the growth in size with the increase in ordering. The hexagonal order parameter  $\psi_6$  is based on neighboring particles defined by the Delaunay triangulation. This is less useful for the aggregate, as particles at the edge of the aggregate have Delaunay neighbors that are not in the aggregate and not expected to be ordered. Accordingly, we define a bond order parameter  $\psi'_6$  based only on the  $N_B$  particles in contact with a given particle. The difference in  $\psi_6$  when considering all the particles in the system and when considering only the particles that form an aggregate can be seen in Figs. 3(d) and (f). Note that all the particles in Fig. 4 have  $\psi'_6 = 1$ .

In Fig. 8(b) we show  $\psi'_6$  averaged over all aggregated particles. At the largest magnetic field (earliest times), prior to the formation of a stable aggregate, it is observed that the aggregates usually are linear aggregates with  $\psi'_6 \lesssim 0.4$ . After the stable aggregate is formed, the size increases quickly, and likewise the hexagonal ordering of the aggregate increases quickly to  $0.6 \leq \psi'_6 \leq 0.9$ . For two of the experiments shown in Fig. 8(b), this intermediate state is stable for a range of magnetic forcing. Subsequently after a period of reordering, the aggregate shows nearly ideal hexagonal ordering ( $\psi'_6 \approx 1.0$ ) and continues growing in that way. A final view of the aggregate growth is depicted in Fig. 8(c), where the num-



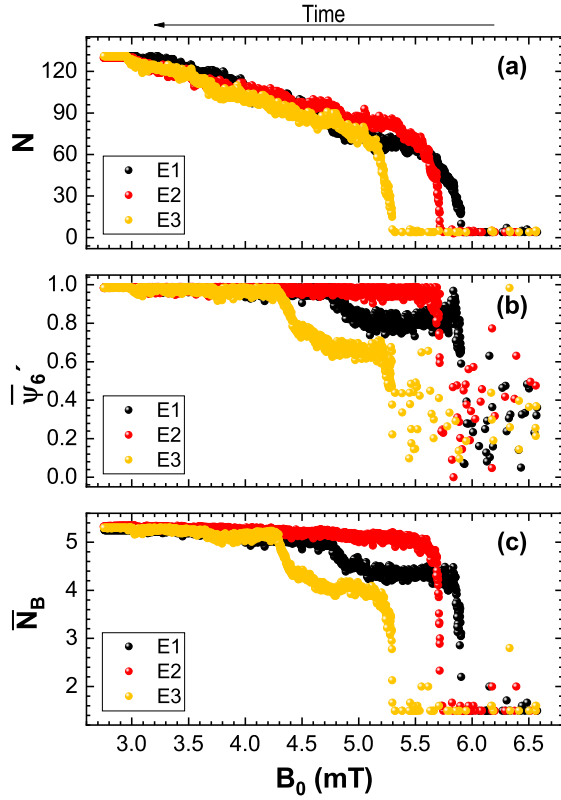


FIG. 8: (a) Aggregate size (number of particles  $N$ ) as a function of the magnetic field. (b) Mean  $\psi_6$  order parameter for the aggregate particles as a function of the magnetic field. (c) Mean number of bonded neighbors  $N_B$  for the aggregate particles as a function of the magnetic field.

ber of neighbors  $N_B$  a particle has within the aggregate is shown. Again, two experiments show a plateau with  $N_B \approx 4$  before final growth to  $N_B > 5$ . (The maximum value of  $N_B$  is 6 for particles in the interior of the aggregate, but because of the particles on the boundary with fewer neighbors, the mean value for  $N_B$  does not reach 6.) The results shown in Fig. 8(b,c) show that after reaching a certain size the nucleus has the same ordered structure as the final crystalline phase, in accordance to classic nucleation theory. Prior to this point, the growth is nonclassical as the initial metastable aggregate is not well-ordered. This demonstrates two stages of growth of the crystal. We note that experiment E2 (the red symbols in Fig. 8) show an aggregate that appears to bypass the intermediate state, or at least to not linger in the intermediate state.

In Fig. 9 we observe the relation between the number of particles  $N$  in the aggregate and the mean number of bonds  $N_B$  each particle has. At the beginning, both quantities grow quickly. There is then slow growth of  $N_B$  for  $N$  roughly between 40 to 80 particles. In two experiments, E1 and E3, there is a sudden growth of  $N_B$  coincident with a sudden growth in  $\psi_6$  (indicated by

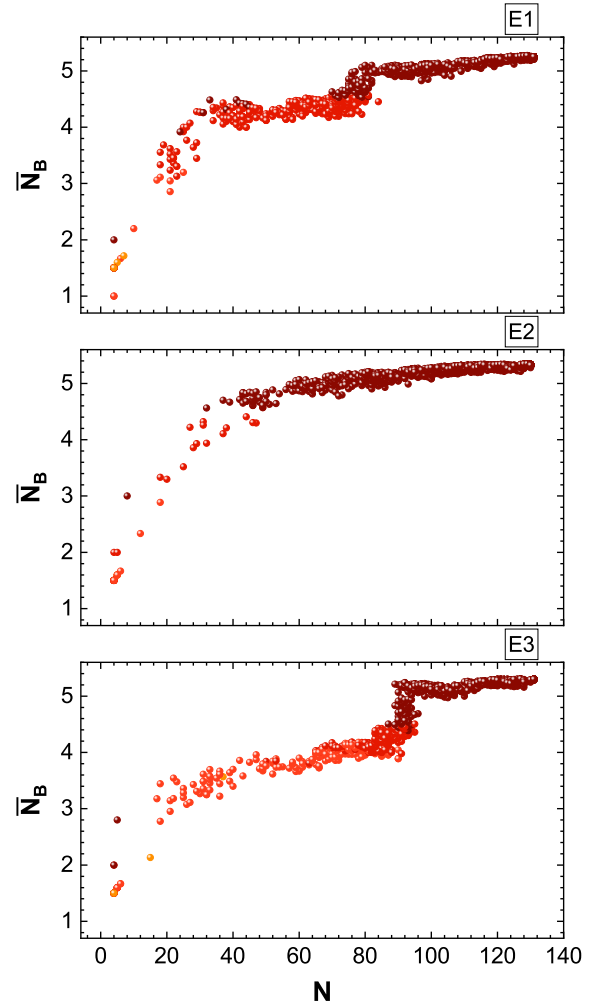


FIG. 9: Average number of bonds  $N_B$  as function of the number of particles  $N$  in the aggregate. The color indicates the mean value of  $\psi_6$ , matching the color key of Fig. 3(f).

the color change in Fig. 9). After the transition the aggregate is ordered. Figure 10 shows an example of this change from a disordered aggregate to an ordered aggregate, taking place over 335 s. This sequence correspond to the jump in Fig. 9(c) at  $N \approx 90$ .

Another way to quantify the two-step crystallization process is to consider the number of particles in the aggregate with exactly  $N_B = 5$  or 6 neighbors; this is shown in Fig. 11. There is a period of time for which many particles have  $N_B = 5$ , followed by a rapid rearrangement so that many particles switch to having  $N_B = 6$  neighbors. This corresponds to the increase in hexagonal order shown in Fig. 10.

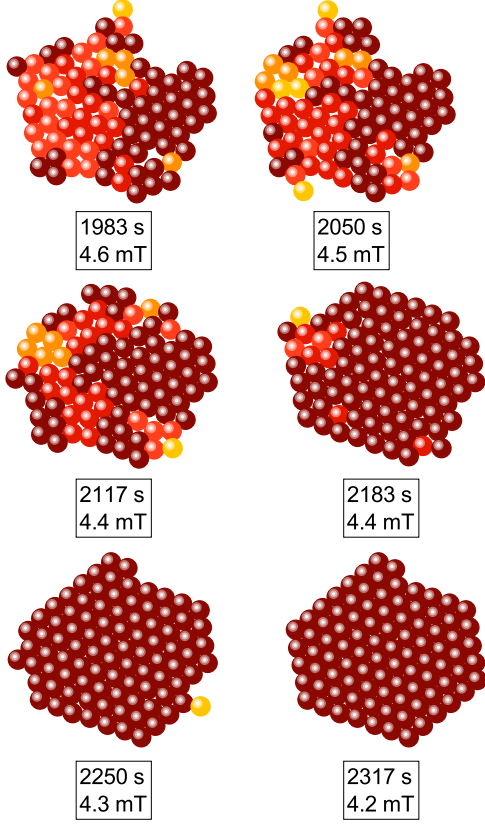


FIG. 10: Sequence of images showing a notable change of structural characteristics. The data are from experiment E3, and the color indicates  $\psi'_6$  of each particle, matching the color key of Fig. 3(f).

### C. Initial formation of the nucleus

We have showed in the above section, that crystal formation started with a disordered aggregate which evolves toward an ordered aggregate containing all particles. To determine in a more precise way the initial formation of a nucleus we analyze in detail, frame by frame, the videos of the formation of the crystal. We focus our attention on the period from the formation of a stable aggregate to the formation of the first ordered structure with a hexagonal arrangement within the stable aggregate.

Figure 12 shows in detail the growth of the initial stable aggregate, where the color indicates the mean value of the local hexagonal order parameter  $\psi'_6$ . The initial formation of a stable aggregate takes some time to occur, but once it forms it quickly grows (right side of the plots in Fig. 12, data below the lower horizontal lines). Usually, the first stable aggregate formed is a ring-shape aggregate. It is stable in the sense it was not destroyed although it changes its form to be more compact. After that, each experiment shows a rough plateau in the

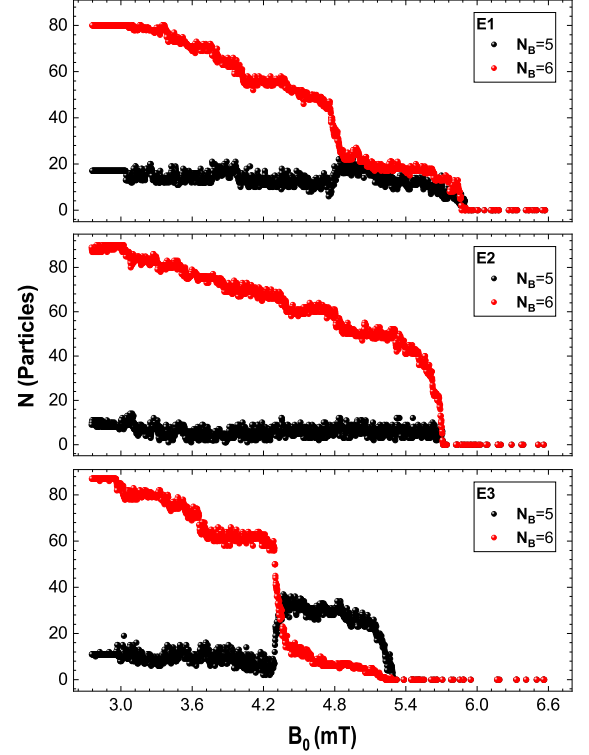


FIG. 11: Number of particles in the aggregate with 5 and 6 bonds as a function of the magnetic field, for the three experiments studied here.

number of particles  $N$  composing the aggregate; these are the points between the pairs of horizontal lines in Fig. 12. Usually in this stage the aggregate has substructures with hexagonal order. Then, there is a third stage as the aggregate again grows in size (left side of the plots in Fig. 12) where more substructures with hexagonal order appear. Figure 13 shows representative particle configurations of the aggregate in each of the stages. In the second stage we observe the formation of substructures with some hexagonal order. In the third stage we see rearrangements leading to substantial hexagonal order in the interior of the aggregate. While the aggregate still has disordered regions, the hexagonal ordering is essentially monotonically increasing at this point [in agreement with Fig. 8(b)].

Although the formation and growing of a nucleus start at different magnetic field amplitudes between the three different experiments, the general evolution looks similar. In Fig. 14 we compare the growing curve of the nucleus for each experiment using a temporal translation in such a way they start at the same point. As discussed briefly in Sec. III A, the gaseous particles can diffuse roughly  $\langle \Delta r^2 \rangle = 42\sigma^2$  in the 42 s period shown in Fig. 14. Figure ?? shows nearly identical growth within the first 12 s, and qualitatively similar growth for the next 30 s. In particular, the overall growth rate is not systematically

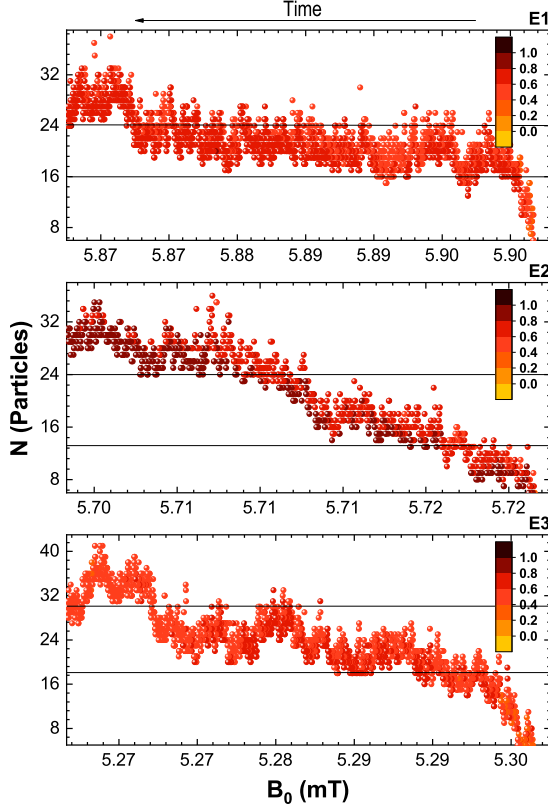


FIG. 12: Number of particles in the aggregate as function of the magnetic field amplitude with a time resolution of 1/60 s. The horizontal lines separate out the early stage, middle stage, and late stage of growth. The color indicates the value of  $\psi'_6$  as indicated in the legend.

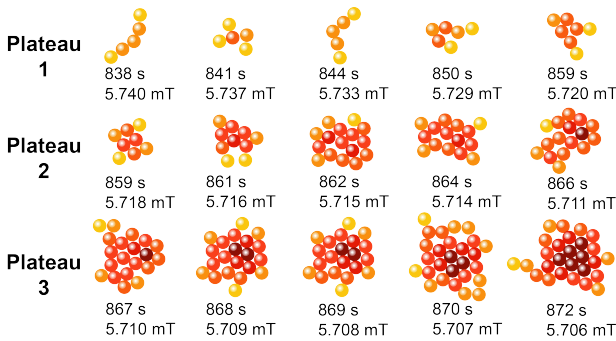


FIG. 13: Sequence of particle configurations in the early formation of a nucleus, corresponding to the data in Fig. 12(b). The earliest stage has  $N < 16$  particles in the aggregate and corresponds to  $B_0 \geq 5.716$  mT. The second stage has  $16 \leq N \leq 24$  and corresponds to  $5.710$  mT  $\leq B_0 < 5.716$  mT. The third stage has  $N > 24$  and  $B_0 < 5.710$  mT. The color of each particle indicates  $\psi'_6$  matching the color key of Fig. 3(f).

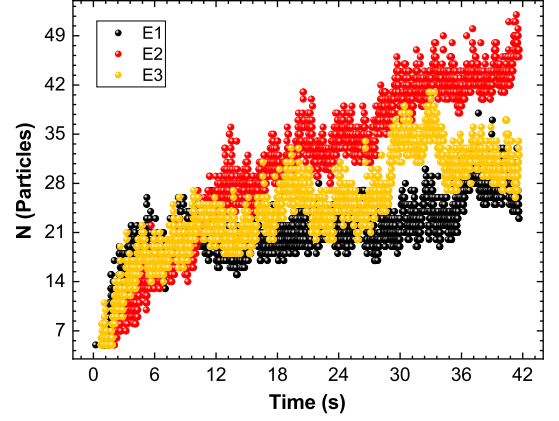


FIG. 14: Comparison of the behavior of the aggregate size as a function of the time elapsed from its formation. Recall the magnetic field amplitude decreases by 0.1 mT in 50 s.

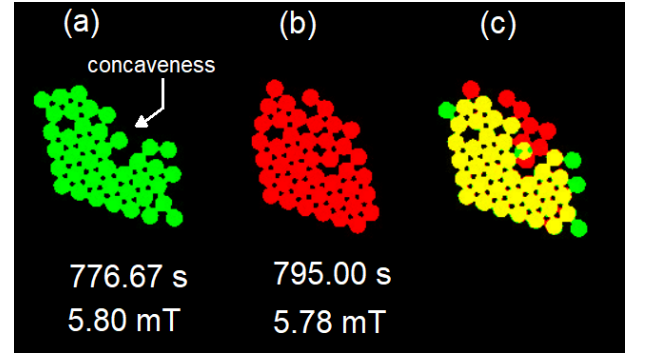


FIG. 15: Comparison between the stable aggregate at two different times from experiment E1. The growth suggests particles are added at concave regions where the new particles can contact more neighbors that help stabilize their presence in the aggregate, and particles with only tenuous connections to the aggregate are easier to “evaporate.”

dependent on the amplitude of the applied magnetic field between the different experiments.

The nucleus does not grow isotropically. Gaseous particles randomly explore potential adhesion sites. Near the main stable aggregate, some small and unstable aggregates are formed all the time. Often we observe that these small aggregates adhere to the stable aggregate, sometimes in an ordered way but more often in a disordered way. Due to this, aggregate does not grow in all directions at the same time, and the aggregate doesn't have a symmetric shape. We observe that the most probable place to grow is where the aggregate presents a local concavity on the boundary. To illustrate this, Fig. 15 shows an aggregate at two different temperatures, showing that this aggregate grows from the local concavity of the boundary. Figure 15(a) shows a nucleus formed at a temperature of 5.8 mT, and Fig. 15(b) shows the same

nucleus at 5.78 mT, where some particles were added in the local concavity while some others “evaporate.” Figure 15(c) shows the superposition of figures (a) and (b) where the green particles are the evaporated particles and the red ones are the new particles added. The addition of the red particles in the concave region of the aggregate can also be understood as related to an effective pressure: the collisions coming from the free gaseous particles are not compensated by the collisions that would be coming from the aggregate side. This effect is stronger in a concave region of the aggregates. Of course, there is also an overall general influence of gravitational forces which attract particles to the center of the slightly curved dish, but gravitational influences would lead to isotropic growth only.

As was mentioned at the start of Sec. III A, once a particle gets in touch with the aggregate, frictional contacts act. These frictional contacts include both the magnetic forces exerted by the neighbor particles in contact with the new bonded particle, and the friction between them. It is observed that particles with only one bond are more susceptible to “evaporate”. Particles with more neighbors in contact are more stable against collisions coming from free particles. This supports our picture that the forces exerted by the neighboring particles favors the aggregate growth, and particles that are not subjected to these forces easily escape from the aggregate, further explaining the results of Fig. 15.

#### IV. ANALYSIS OF THE BIRTH AND GROWTH OF A CRYSTAL

At high temperature, particle motions are random and the system resembles a disordered gas. Within this gas occasionally small aggregates form. The particles have permanent magnetic dipoles, so frequently the early aggregates are in chain-like structures with the magnetic dipole moments aligned end-to-end. Because of the many free particles rolling over the surface, aggregates experience frequent collisions which quickly dissolve these early aggregates. As the magnetic field amplitude decreases and the system “cools,” small aggregates form more frequently and last for longer periods. These are still usually chain-like unstable aggregates including dimers and trimers, and small ringlike structures. Less frequently, we observe the formation of bigger aggregates.

As noted above, the stability of aggregates increases as the number of bonds increases. Formation of ring-like and disk-like aggregates are less common than chain-like aggregates. However, once these more compact structures form, their stability is higher than a linear aggregate of the same size. Additionally, the nearby gaseous particles produce an effective pressure toward the aggregate. The effective pressure can overcome possible weak repulsive interactions between particles that can occur if their permanent magnetic dipole moments are oriented in a repulsive fashion.

As the system “cools” (lower oscillatory magnetic forcing), the kinetic energy of particles decreases, and a stable disordered aggregate forms. The boundary of the aggregate fluctuates; particles rapidly join the boundary and other particles evaporate from the boundary. Even particles remaining on the aggregate boundary rearrange due to kicks from the free particles. Growth occurs when more particles join than leave the boundary. Inside the aggregate, particles with four or more bonds occasionally rearrange to have six neighbors. We consider the crystalline nucleus is formed when we see a substructure with hexagonal ordering ( $\psi'_6 \approx 1$ ) inside the stable aggregate. Thus the nucleus is an aggregate, and that aggregate has at least one substructure with hexagonal order surrounded by particles in a disordered configuration.

It is reasonable to consider the further growth of the aggregate as a crystallization process. We observe that for a while, the interior of the aggregate is ordered and the boundary is a bit more disordered; but after a certain size, the aggregate is completely ordered as shown in Fig. 8(b). Figure 8 also shows that further growth of the aggregate in size [panel (a)] does not influence the ordering [panel (b)]; the aggregate stays completely ordered.

The aggregate grows or shrinks by the perimeter particles. A perimeter particle with only one neighbor is most susceptible to melting. A particle in the perimeter with a higher number of frictional contacts is more stable; locations where more frictional contacts are possible are thus good locations for a new particle to join the cluster. This mechanism favors growth at concave regions of the aggregate surface.

Figure 9 shows that as the aggregate grows, the mean number of neighbors  $N_B$  increases. Partially, this is due to a geometric effect: boundary particles have fewer neighbors than interior particles, and a larger cluster has a larger ratio of interior particles to boundary particles. That is, a cluster of size  $R$  has  $\sim \pi R^2$  interior particles and  $\sim 2\pi R$  perimeter particles. The ratio of interior particles to boundary particles thus scales as  $\sim R$ . This means that as  $R$  increases, naturally the cluster average number of neighbors will get dominated by the interior particles with their larger  $N_B$ . Figure 11 shows that also the interior particles themselves rearrange and increase their  $N_B$ , further increasing the aggregate-averaged  $N_B$ . This internal rearrangement occurs because particles are in minimum energy positions when they are in a hexagonal lattice.

In the classical nucleation theory, the formation of a nucleus and the subsequent growth of the crystal phase is described as follows. The process begins with a supersaturated solution of the reagents. Spontaneous particle-concentration fluctuations drive the formation of a small ordered aggregate. This aggregate, depending on the balance of free energy and its size, could be more likely to shrink or grow depending on its size. The critical size is defined as the size such that the probability of shrinking is equal to the probability of growth. For aggregates larger than the critical size, the tendency is for the aggre-

gate to continue growing and eventually forms a crystal. Importantly, the structure of the critical nucleus is the same as the structure of the crystal.

In contrast, in non-classical theories it is proposed that a nucleus can be formed from an amorphous aggregate that eventually evolves into an ordered nucleus, that is, the formation of the nucleus occurs in at least two steps. The concept is that the formation of an amorphous nucleus is easier than forming an ordered nucleus. These proposals are supported by indirect evidence; it is challenging to obtain particle-level information about the nucleation process.

In our experiments we observe that the initial process starts with an amorphous but quite stable aggregate that grows in size and becomes ordered over time. Figures 8 and 10 show this two-step nucleus formation. The ordering generally starts in the interior of the aggregate. Once the interior of the aggregate is hexagonally ordered, growth of the boundary of the aggregate nonetheless is still typically disordered. Particles in a disordered configuration evolve into an ordered configuration by the kicks of the surrounding particles. Thus we have experimental evidence supporting non-classical nucleation. After a certain size, the crystal grows in an orderly process like described by a classical nucleation theory. This is generally a late stage of our experiment, where there are fewer gaseous particles.

In this paper we have focused on the results for three experiments (E1, E2, E3). We have conducted a total of 17 experiments. Including E1 and E3, 11 of the 17 experiments show a two-step nucleation process, and the remaining 6 (including E2) have one-step nucleation.

## V. CONCLUSIONS AND REMARKS

We have studied the initial formation of the nucleus and the growing of a crystal. We have shown that in our

system crystallization occurs according to non-classical nucleation theory in the early stages of the crystal growing and according to the classical description in the late stages. This can be observed in the sequence of images in Fig. 2 and Fig. 13, and is clearly verified in the results presented in Fig. 8 and Fig 11. At the beginning of the experiment small aggregates are formed due to particle concentration fluctuations. These aggregates are quickly destroyed by neighboring particles. As the temperature goes down, these aggregates lasted longer. At some moment an amorphous stable aggregate arises. Because the kicks of the neighboring free particles, the aggregate slowly becomes ordered, keeping approximately the same size. A crystalline nucleus arise inside this aggregate, a substructure with hexagonal order surrounded by still amorphous phase. The aggregate subsequently grows with a disordered boundary and further increased ordering within the interior, until eventually the entire aggregate is hexagonally ordered. After that, all the aggregate is crystalline and further growing is according to the classical description. Our work provides experimental evidence for a non-classical nucleation theory in the early stages of crystal growing.

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