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Fluid-Driven Fractures in Granular Media: New Insights from Numerical Investigations

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10 Abstract

11 We investigate the mechanisms of opening-mode fracture initiation in granular media. The study 12 is based on simulation of grain-scale fluid-grain interactions through a coupled numerical 13 approach in which the discrete element model (DEM) is used to solve for the mechanics of a 14 solid granular medium and computational fluid dynamics (CFD) is used to model fluid flow and 15 drag forces. We present benchmark problems with analytical solutions and validate this 16 numerical model against experiments of viscous-drag driven cavity in the literature. Additional 17 simulation results show fracture initiation mechanisms in a random granular packing subjected to 18 constant boundary stresses and to fluid injection with a localized source. The dimensionless 19 variable F_s/F_{sk} (ratio of seepage force F_s and skeletal force F_{sk}) incorporates the impacts of 20 physical properties and injection parameters including fluid viscosity, injection velocity, grain 21 size and effective stresses, and has been used as a criterion separating regimes of fluid invasion 22 and drag-driven fracture opening. Our simulation results show that F_s/F_{sk} in combination with τ_1 23 (ratio of diffusion time from hydromechanical coupling and injection time) serve as a prediction 24 of fracture opening within granular packing. We suggest a simple criterion ($F_s/F_{sk} > 1$ or $\tau_1 > 1$ 25 0.17) that is valid for various types of granular media and injection conditions to determine if fracture opening will occur. Among other applications, this study is useful to predict the 26 27 initiation and propagation of fractures in natural sediments.

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Keywords: Discrete element method; computational fluid dynamics; resolved CFD-DEM
approach; viscous-drag driven cavity; regimes of fracture opening.

- 31 **1. Introduction**
- 32

33 Fluid injection into the subsurface occurs in many engineering applications such as CO₂ 34 geological storage [1,2], grouting for ground improvement [3,4], enhanced oil recovery [5], 35 waste subsurface disposal [6,7], and water-flooding for hydrocarbon recovery and hydraulic fracturing [8-12]. Fractures are a common consequence of subsurface fluid injection. Natural 36 37 fluid overpressure can also force the fluid to migrate through porous media and create localized fractures in geosystems [13-20]. Improved theories and models of fluid-driven fractures in 38 39 granular media are of great importance to predict natural geosystems and optimize engineering 40 designs.

41 Elastic solutions, e.g. linear elastic fracture mechanics (LEFM), have been extensively 42 used to investigate the initiation and propagation of fluid-driven fractures in cohesive rocks [21– 43 25]. Elastic formulations are usually not applicable to the unconsolidated formations with little 44 cementation and high permeability due to their lack of tensile strength and strongly coupled 45 behavior with the fluid pressure [6,26-31]. Discrete approaches, e.g. the discrete element method 46 (DEM), treat the rock as an assembly of blocks or particles and allow for a direct investigation of 47 local physical phenomena such as the initiation and formation of cracks [32,33]. Discrete 48 approaches are more realistic at the micro-scale than usual continuum approaches.

49 There are various models to simulate fluid flow and interaction between fluid and 50 particles based on discrete approaches. Pore network modeling simplifies the complex pore-51 space geometry as an interconnected network of pores and channels [34]. Pore network modeling 52 based on the discrete element packing overcomes the issue of high computational cost but does 53 not provide an accurate reproduction of the fluid domain [17,35–39]. There are also approaches 54 coupling Smooth Particle Hydromechanics (SPH) or the Lattice Boltzmann Method (LBM) with 55 DEM to describe the fluid-particle system [40-47]. However, these approaches require long 56 computational times and therefore are rarely used to model fluid-driven fractures. Computational 57 fluid dynamics (CFD) coupled with DEM has been widely applied to various hydro-mechanical 58 engineering problems [48-51]. The CFD-DEM model can use either "resolved" or "unresolved" 59 approaches dependent on the size of the particles and the required resolution of fluid flow [52– 60 54]. In this study, we adopt the resolved approach that can capture well the fluid flow within 61 each individual pore and the impact of the two phases (fluid and grain) on each other.

62 Experimental studies show that micromechanical processes are fundamental to fluiddriven fractures in granular media [55-57]. At the particle level, the most important forces 63 involved in the fluid-driven particle displacements include the weight of particles $W = \pi d_n^3 \rho_f g/6$, 64 where d_p is the particle size and ρ_f is the fluid mass density, the skeletal force $F_{sk} = \sigma d_p^2$, where σ 65 is the effective stress [N/m²] acting on the particles, the capillary force $F_{\rm c} = \pi d_{\rm p} T_{\rm s}$ due to an 66 injection of immiscible fluid with interfacial tension T_s [N/m], and the seepage force F_s = 67 $3\pi\mu_{f} \mu d_{p}$ due to an injection of miscible fluid of viscosity μ_{f} traversing the pore space with a 68 velocity *u*. Skeletal force scales with d_p^2 while capillary and seepage forces scale with d_p [58]. 69 70 Therefore, fine grains are more prone to fluid-driven fracture opening with capillary and seepage 71 forces exceeding skeletal force than coarse grains. The van der Waals force describing the 72 interaction between molecules remains negligible for the range of $d_{\rm p}$ in this study.

Fig. 1 shows various regimes of fracture opening due to invasion of an immiscible and/or 73 74 miscible fluid [58]. A medium of coarse grains (large d_p) corresponds to zone (a) with no 75 fracturing due to fluid invasion. Capillary forces caused by the immiscible invasion can promote 76 fracture opening in fine-grained media, as shown by zone (b). Fracture initiation driven by 77 miscible fluids requires enough drag force to support opened fracture walls (zone (c)). In other 78 words, fine-grained media, high fluid flow velocity, high fluid viscosity, and low effective 79 confining stress favor fracture opening [29]. Capillary and seepage forces may also induce 80 fracture opening under a mixed mode (zone (d)). Here, we focus on the invasion of miscible fluids (the x-axis in Fig. 1), which results from the competition between seepage and skeletal 81 82 forces.



Figure 1. Regimes of fracture opening dependent on fluid and granular medium type and a force balance between capillary (F_c), seepage (F_s) and skeletal forces (F_{sk}) (Re-drawn from [58]). Parameter d_p is the particle size, T_s is the interfacial tension, σ is the effective stress, μ_f is the fluid viscosity and u is the injection velocity.

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90 The objective of this article is to investigate the underlying mechanisms and conditions 91 that determine fracture openings in uncemented granular media. We use a grain-scale fracture 92 initiation model based on the CFD-DEM to model fluid flow through a granular medium. First, 93 we describe the resolved CFD-DEM model which can capture the particle-particle/fluid 94 interactions at high particle concentrations. Second, we validate the numerical model against 95 experiments of fluid-driven deformation of a soft granular material. Last, we discuss the fracture 96 initiation mechanisms in a random granular packing subjected to constant boundary stresses and 97 fluid injection with a localized fluid source. We identify the regimes of fracture opening by 98 combining two dimensionless parameters. This work reveals how particle-scale processes 99 contribute to fluid-driven fracture initiation at the grain scale.

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101 **2. Numerical Approach**

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103 The solid granular medium is modeled with the discrete element method (DEM) and the 104 fluid flow is solved using computational fluid dynamics (CFD). We implement this coupled

105 model with "CFDEMcoupling", which is an interface between the discrete element code 106 LIGGGHTS and the CFD toolbox OpenFOAM [59]. The CFD-DEM model combines Eulerian 107 and Lagrangian methods [47,52,53,60]. The DEM approximates an individual grain by an 108 idealized shape, e.g. sphere in 3D and disk in 2D, calculates the forces and torques exerted at 109 particle contacts, and explicitly updates the particle dynamics at each iteration through Newton 110 and Euler equations. The contact law follows a Hertzian contact mechanics in between particles. 111 The material properties used for particles include: Young's modulus of particles E, Poisson's 112 ratio v, coefficient of friction μ , mass density $\rho_{\rm p}$. The macroscopic mechanical behavior emerges from the interplay of mostly rigid particles through their contacts at the microscale [32]. Detailed 113 114 model formulation of the DEM can be found in our previous work [61,62]. The CFD is a direct 115 numerical simulation approach to describe the fluid flow and involves the discretization and 116 solution of the Navier-Stokes (N-S) equation in space and time using various numerical methods, 117 e.g. finite volume method in this study [63,64].

118 In this work, we adopt the resolved CFD-DEM approach to model the solid phase with 119 the fictitious domain method, which is suitable for a complex geometry (particulate phase in this 120 study) embedded in a simple domain [65]. The advantages include that (1) fluid flow is fully 121 resolved without any reduced order models (in contrast to the unresolved approach that solves a 122 locally averaged N-S equation and assumes a drag law of fluid flow on particles); (2) the model 123 has structural rectilinear CFD meshes independent of the particle location and therefore avoids 124 grid regeneration and unstructured meshes that could be computationally expensive; (3) the 125 model has a good scalability which enables parallel implementation. This resolved approach is 126 applicable for large particles covering fine computational mesh cells that simulate the accurate 127 fluid flow and fluid-solid coupling. The supplementary material includes a brief introduction to 128 the model formulation and algorithm. More details can be found elsewhere [52.65].

The supplementary material also presents several classic benchmark problems for the numerical approach: (1) upward seepage flow in a single column of spheres, (2) a settling single spherical particle in a fluid and (3) steady state fluid flow and pressure drop through a random particle packing. The results of the resolved CFD-DEM model agree with the corresponding analytical solutions.

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3. Model Validation against Experiments

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138 MacMinn et al. (2015) injected a mixture of water and glycerol (61% glycerol in mass) 139 into the center of radial disk filled with a monolayer of soft spherical polyacrylamide hydrogel 140 particles and studied its deformation during injection [66]. Their system contained ~25,000 spherical particles between two glass plates and was initially fully saturated. The packing had an 141 142 initial porosity of ~0.51. A permeable spacer separating the two plates confined the outward 143 movement of particles but allowed fluid to flow through. The particles were elastic, non-144 cohesive, incompressible (Poisson's ratio is ~ 0.5), slippery (coefficient of friction is near zero) 145 and followed the Hertzian contact model. Table 1 shows the properties of the particles.

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 Table 1. Properties of soft spherical polyacrylamide hydrogel particles

| Young's modulus, E | 20 KPa |
|--------------------------------|--------|
| Poisson's ratio, v | 0.4999 |
| Coefficient of friction, μ | 0 |
| Mean diameter, d_p | 1.2 mm |

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Fig. 2 shows the experimental setup and the image of the displaced particles at the end of fluid injection. The plate had a circular shape with a radius *b* of 105 mm. The injection port had a radius of 1.25 mm. The injected fluid had a viscosity of 0.012 Pa·s and was injected at a constant volumetric rate Q (= 16 mL/min). The fluid flowed radially and exited through the annular spacer. The fluid flow dragged the particles outwards and resulted in a cavity at the center. After the deformation reached an equilibrium state, fluid injection stopped and particles relaxed. Therefore, the cavity first opened then closed during the experiment.



Figure 2. Fluid is injected into a monolayer of soft spherical particles and displaces the particles outwards. The annular spacer confines the particle movement but allows the fluid to flow through. Parameter b is the radius of particle packing. The high-resolution imaging and particletracking permit calculating displacements field. The image [66] shows the particle packing at the end of fluid injection.

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165 We simulate the fluid-driven cavity in a packing of soft particles shown in Fig. 2 using 166 the resolved CFD-DEM model. Limited by the computational time, the domain of the simulation (2b = 80 mm) was set smaller than that of the experiment (2b = 210 mm). The particles have a 167 168 diameter of 1.2 mm. The packing has an initial porosity of ~0.46. Other model parameters are set 169 equal to those in the experiment. Boundary conditions of the fluid flow include a constant 170 injection rate and an atmospheric pressure at the draining spacer. Fig. 3a shows the simulated 171 cavity shapes at steady-state conditions in the experiments. Fig. 3b shows the evolution of the 172 cavity in the numerical simulation. The color represents the absolute particle displacements. 173 Parameter $t_{\rm D}$ is the dimensionless time and $r_{\rm D}$ is the dimensionless radius. Similar to the 174 experiment, the fluid injection opens a cavity due to drag forces; then, the injection stops and the 175 cavity closes due to the elastic response of the particles.



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Figure 3. Experimental and numerical results (a) Steady-state cavity shape from three experiments [66]. (b) Absolute displacements of particles in the resolved CFD-DEM simulation. The cavity first opens due to drag forces and then closes when injection stops. Parameter t_D is the dimensionless time and r_D is the dimensionless radius.

The shape of the cavity in the experiments is not repeatable indicating the irreversible micromechanical deformations [66]. The simulated cavity tends to be more symmetric around the injection port and smooth compared to the experimental cavity, which is likely due to the small domain of the numerical simulation and perfect uniform distribution and spherical shape of simulated particles. We normalize the time *t* and radial position *r* by the domain radius *b* and the duration of the experiments/simulation t_{max} (proportional to the characteristic time scale T_{pe}):

$$r_D = \frac{r}{b} \tag{1}$$

$$t_D = \frac{t}{t_{\text{max}}}$$
(2)

191
$$t_{\max} \propto T_{pe} = \frac{\mu_f b^2}{Ek}$$
(3)

where μ_f is the fluid viscosity, *E* is the effective (drained) Young's modulus of the granular medium and *k* is the permeability of the granular medium [66,67]. The dimensionless cavity area A_D is the ratio of the cavity area *A* and domain area πb^2 :

$$A_D = \frac{A}{\pi b^2} \tag{4}$$

We compare the evolution of dimensionless cavity area A_D over the dimensionless time t_D from three experiments and our three numerical simulation (Fig. 4). The injection rate Q is constant and equal to 16 mL/min for both experiments and simulations.

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Figure 4. Comparison between three experiments [66] and our three CFD-DEM numerical simulations. The resolved CFD-DEM approach can predict a similar macroscopic deformation behavior because it captures well the fluid-particle and particle-particle interactions.

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205 Fig. 4 shows that the resolved CFD-DEM model can predict the fluid-driven cavities 206 observed in the experiments. The three experiments are a result of the injection-relaxation cycles 207 repeated on the same group of particles. The variability of the results under identical operational 208 conditions indicates that the particle spatial distribution has a slight impact. The change in cavity 209 area results from a force balance between the drag force and the elastic contact force caused by a 210 drag-driven compaction. The resolved CFD-DEM approach can capture well the fluid-particle 211 and particle-particle interactions and therefore predicts a similar macroscopic deformation 212 behavior. The experiments have limitations related to using only one type of soft granular 213 material and having fixed walls radially symmetric. The numerical CFD-DEM model allows 214 changing granular micromechanical properties, fluid characteristics, and boundary conditions. In 215 the following section, we investigate fracture opening induced by fluid injection into a granular 216 medium based on the resolved CFD-DEM approach.

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218 **4. Results and Discussion**

219

220 4.1 Fluid-driven fracture opening with anisotropic state of stress

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222 We investigate the underlying mechanisms of fluid-driven fractures in uncemented 223 granular media under an anisotropic state of stress. The model of granular media is simplified to 224 a numerical packing of 10,122 spherical particles with a diameter of 2 µm in one layer (Fig. 5). 225 The monolayer has no gravity effects. We prepare the grain packing by generating random grains 226 within the simulation domain and relaxing them until negligible grain-to-grain overlaps. The 227 porosity of the sample is conditioned by the initial placement of particles in the simulation 228 domain. The simulation domain is rectangular of 200 μ m \times 200 μ m, which is large enough to 229 eliminate the boundary effects. We apply constant stress boundary conditions in the x- and ydirections and use a stress ratio (maximum stress σ_{max} over minimum stress σ_{min}) of $\sigma_{max}/\sigma_{min} = 4$, 230 231 which is close to the critical stress anisotropy that can be imposed without inducing a shear 232 failure [68]. A high stress anisotropy is expected to increase the likelihood of shear failure and 233 facilitate fracture propagation [69]. After the packing is subjected to a given state of stress, the 234 fluid is injected at the inlet port placed at the bottom-center of the model. The porous medium is 235 initially saturated with the same fluid as the fluid we inject. The fluid flows through the particle 236 packing and exits at the boundaries with a prescribed constant outlet pressure. The particles are 237 subjected to constant-stress boundaries and can move after the loading procedure. The CFD 238 mesh is uniform with 4 cells per particle diameter [53]. The Reynold's number (Re) is about 2×10^{-6} at the inlet indicating a laminar flow regime. 239

$$\operatorname{Re} = \frac{\rho_f u d_p}{\mu_f} \sim 2 \times 10^{-6} \tag{5}$$

The base case simulation is performed with the parameters given in Table 2 and models the fracturing opening process within a time period of 1 s. The injection hole has a diameter of 2 µm. The fluid injection Darcy velocity is the volumetric injection rate divided by the crosssectional area of the porous medium, i.e. the perimeter of the injection hole times the thickness 245 of the particle packing. The simulation is run in parallel and takes ~ 48 hours on 192 Xeon E5-2690 v3 (Haswell) 2.6 GHz processors of Lonestar5 high performance computing resource in 246 247 Texas Advanced Computing Center. All model parameters are typical values of fluid flow and 248 fine granular material [70,71]. We explore the effects of these parameters in the following 249 sections. Please note that a monodisperse packing of spheres can order into crystalline structures 250 and might affect the results. This is a limitation of the current study and has implications on the 251 extension of this work to real subsurface granular media with a particle size distribution. Adding 252 a particle size distribution would add one more level of complexity to the problem that we 253 decided to skip in this study.





Injection point with a constant rate

Figure 5. Schematic of CFD and DEM boundary conditions applied to a random particle packing. σ_{max} and σ_{min} are maximum and minimum stresses where $\sigma_{max} = 4 \times \sigma_{min}$. The fluid is injected from the middle black point with a constant fluid injection rate and exits at the boundaries with a constant fluid pressure.

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| Particle Young's modulus, E | 1 MPa |
|--|------------------------|
| Particle Poisson's ratio, v | 0.3 |
| Particle-particle/particle-wall coefficient of friction, μ | 0.5 |
| Particle diameter, $d_{\rm p}$ | 2 µm |
| Particle density, $\rho_{\rm p}$ | $2,650 \text{ kg/m}^3$ |
| Maximum stress, σ_{max} | 2.5 KPa |
| Fluid injection Darcy velocity, u | 1 mm/s |
| Fluid viscosity, μ_f | 1 Pa·s |
| Fluid density, ρ_f | $1,000 \text{ kg/m}^3$ |
| Number of particles | 10,122 |

Table 2. Model parameters of CFD-DEM simulation

Fig. 6a shows the x-direction displacement field of particles at times of 0.1 s, 0.3 s and 1 s of fracture propagation in the base case simulation. Fracture initiates from the fluid injection point and opens at several locations preferentially perpendicular to the minimum principal stress σ_{\min} . Similar to experiments [29,72], our simulation shows complex and sub-parallel fractures induced by the fluid drag force. Fig. 6b shows that the fluid flow is localized in the opened fracture channels and fluid drag supports the aperture of fracture walls. Stopping fluid injection would result in loss of drag forces, relaxation of the particles, and therefore closure of the fracture.



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Figure 6. (a) Fracture initiation and propagation represented by the particle x-direction displacement field at times of 0.1 s, 0.3 s and 1 s in the base case. (b) Field of fluid velocity magnitude. The injection velocity is 1 mm/s. The upper limit shown in the figure is selected as 0.2 mm/s to better visualize the velocity field far from the inlet port.

282 Unlike cemented materials, the uncemented particle packing has no tensile strength. 283 Experiments have shown that the fracture initiation in a packing of uncemented particles is 284 determined by fluid invasion and shear failure ahead of the fracture tip [29,73,74]. Therefore, 285 shear strain localization in the particle packing is critical to explain fracturing in uncemented 286 granular packings. We use open source digital image correlation tools (2D-DIC MATLAB codes 287 Ncorr) [75] and calculate the shear and volumetric strains from the images produced by DEM 288 numerical simulations. Fig. 7 shows the fields of local shear strain ε_{xy} and volumetric strain ε_{vol} 289 of the full domain at the time of 0.3 s taking time 0 s as the reference frame. A sheared zone near 290 the fracture face indicates that the fracture opening in unconsolidated particles is dominated by 291 shear failure.



Figure 7. Fields of shear strain ε_{xy} and volumetric strain ε_{vol} obtained from DEM simulation results based on the 2D-DIC.

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297 4.2 Control factors on fluid-driven fractures in granular media

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299 Fluid-driven fracture opening in granular media exhibits large deformations and 300 irrecoverable deformations. The classical theory of linear elastic fracture mechanics coupled with 301 poroelasticity is not applicable for this problem. The resolved CFD-DEM model offers an 302 alternative to investigate fracture initiation and explore grain-scale processes. As illustrated in 303 Section 1, the dimensionless variable F_s/F_{sk} (ratio of seepage force and skeletal force) is a 304 criterion to separate regimes of fluid invasion without fracturing and drag-driven fracture opening. In this section, we will explore effects of the dimensionless variable F_s/F_{sk} along with 305 306 other important parameters.

First, the simulations explore the dimensionless variable F_s/F_{sk} from 0.75 to 75 by increasing the fluid viscosity from 0.1 Pa·s to 10 Pa·s. All other parameters stay invariant (Table 2). Fig. 8 shows the displacement patterns for tests at the same time t = 0.3 s but with a different F_s/F_{sk} . When the F_s/F_{sk} is relatively small ~ 0.75, the particles exhibit negligible displacements which result in near zero shear and volumetric strains. The injected fluid from the middle point tends to invade rather than displace the particle packing. For this case scenario, the flow regime is dominated by the infiltration rather than the fracture opening.



Figure 8. Effect of the dimensionless variable F_s/F_{sk} on the fracture opening. From top to bottom, F_s/F_{sk} changes from 0.75, 7.5 and 75. The simulated time of all three cases is 0.3 s.

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As the F_s/F_{sk} increases from 0.75 to 7.5, a fracture opening occurs, which indicates a 318 319 transition from the infiltration-dominated regime to the grain-displacement dominated regime. 320 The created fracture is relatively complex with a main opening and several branches. The 321 fracture initiates at the fluid injection point and opens up perpendicularly to the minimum 322 principal stress direction. The field of local shear strain shows that the fluid also permeates into 323 the granular medium and induces a shearing of the particles near the main fracture. The highly 324 sheared zone coincides with the created fractures. As the F_s/F_{sk} further increases to 75, the fluid 325 flow induces a short and wide cavity rather than thin fractures as seen for $F_s/F_{sk} = 7.5$. High fluid 326 viscosity inhibits infiltration, therefore, fluid injection results in a grain-displacement dominated

327 regime. The particle displacements induced by seepage drag forces lead to changes in effective328 stress.

Apart from the dimensionless parameter F_s/F_{sk} , the particle micromechanical properties including Young's modulus, Poisson's ratio and friction coefficient may also influence the fracture opening behavior. The base case shown in Fig. 9a uses the parameters given in Table 2. Fig. 9b shows the simulation result by increasing the particle Young's modulus from 1 MPa to 10 MPa. The created openings tend to be thin for a granular medium consisting of stiff particles, which also manifests by the small sheared zone and the low-magnitude volumetric strains. Therefore, an increase in particle Young's modulus reduces the width of fracture opening.





Figure 9. Effects of particle micromechanical properties on the fields of shear and volumetric strains. Compared to base case (a) with parameters given in Table 2, case (b)-(d) indicate that Young's modulus among other micromechanical parameters has the most significant influence on fluid-driven fracture behavior.

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343 Fig. 9c shows the simulation result by decreasing the particle Poisson's ratio from 0.3 to 344 0.1. The particle Poisson's ratio only has a slight impact on the fracture opening. Fig. 9d shows 345 the simulation result by decreasing the coefficient of friction from 0.5 to 0.1. The coefficient of 346 friction μ is proportional to the roughness of particle surface. As μ decreases, the shear and 347 volumetric strains show a slight decrease due to the decrease in particle surface roughness. This 348 is consistent with fact that the friction angle is proportional to the dilation angle in granular 349 media [76,77]. Fig. 9 shows that the Young's modulus among other micromechanical properties 350 shows the most significant influence on fluid-driven fracture behavior.

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352 4.3 Regimes of fracture opening

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354 Injection of aqueous glycerin solutions into dense dry Ottawa F110 sand showed that the 355 flow regime depends on the interplay between fluid infiltration and grain displacement [70]. The 356 flow regime is dominated by the infiltration with negligible flow channels when the injection 357 velocity and the fluid viscosity are relatively small. A transition from the infiltration-dominated 358 regime to the grain-displacement dominated regime occurs as the injection velocity and the fluid 359 viscosity increase, which is consistent with our simulation results. The classification of these 360 displacement regimes in unconsolidated granular media shares similarities with that of fracture 361 propagation regimes in cemented rocks [25,78].

The dimensionless time τ_1 , defined as the ratio between the diffusion time from hydromechanical coupling t_d and the injection time t_i , serves to classify the infiltrationdominated and the grain-displacement dominated regimes [70].

$$\tau_1 = \frac{t_d}{t_i} = \frac{\mu_f u l}{Ek} \tag{6}$$

$$t_d = \frac{\mu_f l^2}{Ek} \tag{7}$$

 $t_i = \frac{l}{u} \tag{8}$

368 where μ_{f} is the fluid viscosity, u is the injection velocity, l is the characteristic length, E is the 369 small strain Young's modulus of the granular packing and k is the permeability. The fluid 370 injection inlet diameter is the characteristic length [70]. The Young's modulus of the medium is 371 proportional to the particle Young's modulus when the particle Poisson's ratio is constant [35]. 372 We measure the effective medium modulus of the particle monolayer by performing biaxial 373 compression test based on the Kozeny-Carman relation (see details in the supplementary 374 material). The permeability of the particle packing is a function of particle size and packing 375 porosity. We include the specific values of Young's modulus and permeability of the granular 376 medium for each case scenario in the supplementary material.

We investigate effects of all relevant parameters such as the fluid viscosity, injection velocity, particle micromechanical properties, particle size and applied stress in our simulations of fracture propagation. Only the fluid viscosity and the injection velocity were varied in the experiments performed by Huang et al. (2012a). For each case scenario, we calculate the dimensionless parameters τ_1 and F_s/F_{sk} and summarize all results in Fig. 10. The supplementary material includes a table listing the properties used for each numerical simulation.

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Figure 10. Regimes of fracture/cavity opening in granular media based on the dimensionless parameters τ_1 and F_s/F_{sk} . Each point results from one simulation using various parameters including the fluid viscosity μ_f , injection velocity u, Young's modulus E, particle size d_p /permeability k and applied stress σ . There are two clear zones of fracture opening and no fracture opening.

384

391 Fig. 10 shows that the dimensionless parameter τ_1 or F_s/F_{sk} alone is not sufficient to 392 describe the displacement regimes of fluid injection into a packing of particles. For instance, a fracture opening initiates in a relatively soft granular medium when F_s/F_{sk} is smaller than 1 as 393 394 long as τ_1 is large enough. The results show that these two dimensionless parameters in 395 combination result in a good indicator of fracture opening. We find a simple and straightforward criterion conditioning the fracture opening: $\tau_1 > 0.17$ or $F_s/F_{sk} > 1$. The threshold value of τ_1 is 396 397 numerically and experimentally obtained as 0.44 and 0.1, in the literature [35,72], which is 398 consistent with our results. The valid range of the injection rate could be broad as long as the 399 flow is in a laminar regime (small Reynold's number). For instance, an injection rate of 125 ml/min and 9.6×10⁶ ml/min has been used to characterize the dimensionless time τ_1 in the 400 401 literature [35,70,72]. The threshold value of F_s/F_{sk} indicates that the seepage force should be 402 greater than the skeletal force [58]. Fig. 10 provides a simple approach to predict whether a 403 fracture opening will occur in granular media and has a wide range of applications. For instance,

404 honey (~10,000 cp at room temperature) injected at 0.1 m/s may fracture sands of 1 mm size 405 under a confining stress of 5 KPa because the calculated F_s/F_{sk} exceeds 1.

406

407 **5. Concluding Remarks**

408

409 We investigate numerically the fracture initiation mechanisms in a granular medium 410 subjected to constant boundary stresses and to fluid injection with a localized source. The 411 dimensionless parameter $F_{\rm s}/F_{\rm sk}$, which takes into account the impact of fluid viscosity, injection 412 velocity, grain size and principal effective stresses, serves as an indicator of drag-driven fracture 413 opening [58]. On the other hand, simulation results show that grain micromechanical properties 414 such as Young's modulus of granular packing can also influence the fracture initiation and 415 propagation. The dimensionless time τ_1 characterizes a similar impact of Young's modulus as 416 observed in the numerical simulations [70]. Therefore, we combine these two dimensionless 417 parameters F_s/F_{sk} and τ_1 to classify the regimes of fracture opening in uncemented granular 418 media. We find a simple and straightforward criterion: a drag-driven fracture opening occurs 419 when $\tau_1 > 0.17$ or $F_s/F_{sk} > 1$. The dimensionless thresholds are valid for various types of granular 420 media and injection conditions.

421

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