

CHCRUS

This is the accepted manuscript made available via CHORUS. The article has been published as:

Black hole scalar charge from a topological horizon integral in Einstein-dilaton-Gauss-Bonnet gravity

Kartik Prabhu and Leo C. Stein Phys. Rev. D **98**, 021503 — Published 30 July 2018 DOI: 10.1103/PhysRevD.98.021503

Black hole scalar charge from a topological horizon integral in Einstein-dilaton-Gauss-Bonnet gravity

Kartik Prabhu^{1,*} and Leo C. Stein^{2,†}

¹Cornell Laboratory for Accelerator-based Sciences and Education (CLASSE), Cornell University, Ithaca, NY 14853, USA ²TAPIR, Walter Burke Institute for Theoretical Physics, California Institute of Technology, Pasadena, California 91125, USA

In theories of gravity that include a scalar field, a compact object's scalar charge is a crucial quantity since it controls dipole radiation, which can be strongly constrained by pulsar timing and gravitational wave observations. However in most such theories, computing the scalar charge requires simultaneously solving the coupled, nonlinear metric and scalar field equations of motion. In this article we prove that in linearly-coupled Einstein-dilaton-Gauss-Bonnet gravity, a black hole's scalar charge is completely determined by the horizon surface gravity times the Euler characteristic of the bifurcation surface, without solving any equations of motion. Within this theory, black holes announce their horizon topology and surface gravity to the rest of the universe through the dilaton field. In our proof, a 4-dimensional topological density descends to a 2-dimensional topological density on the bifurcation surface of a Killing horizon. We also comment on how our proof can be generalized to other topological densities on general G-bundles, and to theories where the dilaton is non-linearly coupled to the Euler density.

1. INTRODUCTION

Despite the theoretical beauty and continued consistency with observations [1] of general relativity (GR), there are strong motivations for studying theories of gravity beyond GR. These range from attempts at quantum theories of gravity [2, 3], to trying to explain some phenomenon or fix some problem (inflation [4, 5], dark matter [6, 7], dark energy [7, 8], black hole information [9, 10]) by changing the gravity theory, or exploring the theory space to better understand gravity theories.

Almost all beyond-GR theories include additional degrees of freedom and a large number of proposed beyond-GR theories include one or more massless or very light scalar fields [11]. With a long-ranged scalar field in the theory, compact objects (e.g. black holes or neutron stars) may acquire a *scalar charge*: the sphericallysymmetric, 1/r component of the scalar field sourced by a body. Scalar charges are crucial in the dynamics of a compact-object binary system, as they control the presence or absence of scalar dipole radiation. The presence of dipole radiation would dominate over the otherwiseleading quadrupolar emission of gravitational waves, a so-called "pre-Newtonian" correction. Such an effect can be strongly constrained by both pulsar timing and the direct detection of gravitational waves [12].

In most theories with scalar fields, computing a black hole's scalar charge requires solving the coupled set of metric and scalar field equations in the nonlinear gravity regime. On occasion, this can be accomplished analytically with symmetry reduction [13, 14], and/or simplifying assumptions such as a perturbative treatment away from GR [15]. However, the general case requires numerics for fully nonlinear partial differential equations [16].

A dramatic simplification occurs in linearly-coupled Einstein-dilaton-Gauss-Bonnet gravity (EdGB). Already in [17, 18], the authors had found through explicit calculations, perturbative in α , a coupling parameter, that the dilaton charge q on the Kerr background is (in our conventions)

$$q = \alpha \frac{a^2 - M^2 + M\sqrt{M^2 - a^2}}{2Ma^2} + \mathcal{O}(\alpha^2).$$
(1.1)

However it was not noticed that this particular combination of mass and spin is equal to the Kerr surface gravity (Eq. 12.5.4 of [19]), so that in fact $q = \alpha \kappa_{\text{Kerr}} + \mathcal{O}(\alpha^2)$.

We prove (Theorem 1) that in this theory the dilaton scalar charge is given by the rather simple expression

$$q = \frac{1}{2}\alpha\kappa \text{Euler}(B), \qquad (1.2)$$

where κ is the surface gravity of a Killing horizon, and Euler(B) is the Euler characteristic of the bifurcation surface. Our proof is valid to all orders in α , and moreover does not require explicitly solving any field equations. In fact the metric does not need to satisfy any equations of motion; the proof is valid on any asymptotically flat, stationary-axisymmetric black hole spacetime. Thus in linearly-coupled EdGB, the horizon topology and surface gravity of black holes are known at spatial infinity by looking at the asymptotic falloff of the dilaton.

We present the proof of our main result in § 2, which relies on a certain divergence identity (Eq. 2.8) for a massless scalar field linearly coupled to a topological form and the Killing symmetry of the black hole spacetime. Combining these ingredients allows the 4-dimensional Euler (or Gauss-Bonnet) topological density to descend to the 2-dimensional Euler characteristic on the bifurcation

^{*} kartikprabhu@cornell.edu

 $^{^{\}dagger}$ leostein@tapir.caltech.edu

surface. In § 3, we discuss the implications of this proof, and how it can be generalised to theories where the dilaton is nonlinearly-coupled to the Euler density. We also show how to extend our proof to higher dimensions and other invariants, such as Chern characters of complex *G*-bundles. We demonstrate this with an example of an axion coupled to electromagnetism, wherein the axion charge measures the electromagnetic potential of the horizon times the magnetic monopole charge of the black hole.

NOTATION AND CONVENTIONS

We follow the factor and sign conventions in [19]. Tensor fields on spacetime will be denoted by abstract indices $\mu, \nu, \lambda, \ldots$ from the lowercase Greek alphabet, and we use lowercase letters from the beginning of the Latin alphabet a, b, c, \ldots to denote tensors on the orthonormal frame bundle, summarized in Appendix A. When using an index-free notation for differential forms and vector fields we denote differential forms by a bold-face symbol. When translating differential forms to and from an index notation, we use the symbol \equiv to denote such a translation, for example, for a *p*-form \mathbf{A} we have $(\mathbf{A})_{\mu_1\ldots\mu_p} \equiv A_{\mu_1\ldots\mu_p}$. Our conventions for the volume form $\boldsymbol{\varepsilon}_4$, the Hodge dual * and the interior product are as follows

$$_{4} \equiv \varepsilon_{\mu_{1}...\mu_{4}} \tag{1.3a}$$

$$\varepsilon^{\mu_1\dots\mu_k\mu_{k+1}\dots\mu_4}\varepsilon_{\mu_1\dots\mu_k\nu_{k+1}\dots\nu_4} = -(4-k)!k!\delta^{[\mu_{k+1}}_{\nu_{k+1}}\dots\delta^{\mu_4]}_{\nu_4}$$
(1.3b)

 ε

$$(*\mathbf{A})_{\mu_1...\mu_{4-p}} \equiv \frac{1}{p!} \varepsilon^{\nu_1...\nu_p}{}_{\mu_1...\mu_{4-p}} A_{\nu_1...\nu_p}$$
(1.3c)

$$(X \cdot A)_{\mu_1 \dots \mu_{p-1}} \equiv X^{\nu} A_{\nu \mu_1 \dots \mu_{p-1}}$$
 (1.3d)

where \boldsymbol{A} is a *p*-form and X^{μ} is some vector field.

2. LAGRANGIAN AND THE DILATON CHARGE

We consider a theory with gravity on a 4-dimensional spacetime M with a Lorentzian metric $g_{\mu\nu}$ and a scalar dilaton field ϑ . The dynamics of the theory is given by the Lagrangian 4-form

$$\boldsymbol{L} = \boldsymbol{L}_{gravity} + \boldsymbol{L}_{\vartheta} \tag{2.1}$$

where $L_{gravity}$ is some gravitational Lagrangian which is independent of the dilaton ϑ .

There are several theories in the literature which are referred to as *Einstein-dilaton-Gauss-Bonnet*, commonly with an exponential coupling [20, 21] between a dilaton and the Euler density (defined below). For our main result we will consider a linear coupling (which admits a shift symmetry $\vartheta \rightarrow \vartheta + \text{const.}$), and comment on the extension to more general couplings in § 3. We take the

dilaton-Gauss-Bonnet Lagrangian L_{ϑ} to be

$$\begin{aligned} \boldsymbol{L}_{\vartheta} &= \frac{1}{2} (*d\vartheta) \wedge d\vartheta + \frac{\alpha}{8} \vartheta \boldsymbol{\mathcal{E}} \\ &= \boldsymbol{\varepsilon}_4 \left(-\frac{1}{2} \nabla_{\mu} \vartheta \nabla^{\mu} \vartheta + \frac{\alpha}{8} \vartheta \boldsymbol{\mathcal{E}} \right) \end{aligned}$$
(2.2)

where the 4-form $\boldsymbol{\mathcal{E}}$ corresponds to the 4-dimensional *Euler density* as

$$\mathcal{E} = \varepsilon_4 \mathcal{E}$$
with $\mathcal{E} = -({}^*R^*)^{\mu\nu\lambda\rho}R_{\mu\nu\lambda\rho}$

$$= R_{\mu\nu\lambda\rho}R^{\mu\nu\lambda\rho} - 4R_{\mu\nu}R^{\mu\nu} + R^2,$$
(2.3)

and the double dual of the Riemann tensor is defined as

$$({}^{*}R^{*})^{\mu\nu\lambda\rho} := \frac{1}{4}\varepsilon^{\mu\nu\sigma\tau}R_{\sigma\tau\gamma\delta}\varepsilon^{\gamma\delta\lambda\rho}.$$
 (2.4)

While our final result can be presented in terms of tensor fields on spacetime, it will be, instead, convenient to use orthonormal tetrads e^a and a connection $\omega^a{}_b$. It is more natural in the following analysis to treat e^a and $\omega^a{}_b$ as globally well-defined fields on a principal bundle, following the treatment in [22] (see also [23])—we summarise the essential points in Appendix A. Readers unfamiliar with the bundle formalism can skip to Eq. 2.12 where we present the tensorial form of the charge used in our main result Theorem 1.

In terms of the connection $\boldsymbol{\omega}^{a}{}_{b}$, we can write $\boldsymbol{\mathcal{E}}$ as an exact form [22, 24, 25]

$$\boldsymbol{\mathcal{E}} = \epsilon_{abcd} \boldsymbol{R}^{ab} \wedge \boldsymbol{R}^{cd} = d\boldsymbol{\Upsilon}$$

with $\boldsymbol{\Upsilon} = \epsilon_{abcd} \boldsymbol{\omega}^{ab} \wedge \left(\boldsymbol{R}^{cd} - \frac{1}{3} \boldsymbol{\omega}^{c}{}_{e} \wedge \boldsymbol{\omega}^{ed} \right),$ (2.5)

where $\mathbf{R}^{a}{}_{b}$ is the curvature 2-form of the connection (see Eq. A.6). We emphasise that the 3-form $\boldsymbol{\Upsilon}$ cannot be represented as a covariant tensor on spacetime—even if one writes $\boldsymbol{\Upsilon}$ in some local coordinate system it necessarily involves undifferentiated Christoffel symbols which are not covariant tensors. In the language of principal bundles, while $\boldsymbol{\Upsilon}$ is globally well-defined, it is not a horizontal form on the bundle.

Varying the Lagrangian with the dilaton ϑ gives the dilaton equation of motion

$$0 = \boldsymbol{E}_{\vartheta} = d * d\vartheta + \frac{\alpha}{8} \boldsymbol{\mathcal{E}} = \boldsymbol{\varepsilon}_4 \left(\Box \vartheta + \frac{\alpha}{8} \boldsymbol{\mathcal{E}} \right)$$
(2.6)

where $\Box := \nabla_{\mu} \nabla^{\mu}$ is the wave operator. Note that we *do not* impose the gravitational equations of motion obtained by varying the metric $g_{\mu\nu}$, that is the metric can be considered as a "background field".

Using Eq. 2.5 the dilaton equation of motion can be written as an exact form, and one could attempt to integrate this over some region of spacetime bounded by two Cauchy surfaces to get the scalar charge. However, since Υ is not covariant (as explained above), the result would depend on the choice of coordinate system, or equivalently on the choice of orthonormal tetrads.

To avoid using non-covariant quantities, we proceed

instead as follows. Using Eq. A.9b we have, for any vector field X^{μ}

$$\epsilon_{abcd} \mathbf{R}^{ab} \wedge \pounds_X \boldsymbol{\omega}^{cd} = \epsilon_{abcd} \mathbf{R}^{ab} \wedge D(X \cdot \boldsymbol{\omega}^{cd}) + \frac{1}{2} X \cdot \boldsymbol{\mathcal{E}}$$
$$= d \left[\epsilon_{abcd} \mathbf{R}^{ab} (X \cdot \boldsymbol{\omega}^{cd}) \right] + \frac{1}{2} X \cdot \boldsymbol{\mathcal{E}}$$
(2.7)

Using this in Eq. 2.6, we get the divergence identity

$$\mathcal{J}_{X} = d\mathcal{Q}_{X} + X \cdot \boldsymbol{E}_{\vartheta}$$

= $\pounds_{X}(*d\vartheta) + \frac{\alpha}{4}\epsilon_{abcd}\boldsymbol{R}^{ab} \wedge \pounds_{X}\boldsymbol{\omega}^{cd}$ (2.8a)

where
$$\boldsymbol{\mathcal{Q}}_X = X \cdot (*d\vartheta) + \frac{\alpha}{4} \epsilon_{abcd} \boldsymbol{R}^{ab} (X \cdot \boldsymbol{\omega}^{cd})$$
 (2.8b)

The current \mathcal{J}_X is conserved for any vector field X^{μ} , i.e., $d\mathcal{J}_X = 0$, on solutions to the dilaton equation of motion. Further, $\mathcal{J}_X = 0$ whenever X^{μ} is a symmetry i.e. $\pounds_X g_{\mu\nu} = \pounds_X \vartheta = 0$. \mathcal{J}_X vanishes on symmetries because it is related to the Lie derivative of the Noether current for the shift symmetry $\vartheta \to \vartheta + \text{const.}$ In this case, the expression for the charge can be simplified using Eq. A.10 to get

$$\mathbf{Q}_X = X \cdot (*d\vartheta) - \frac{\alpha}{4} \epsilon_{abcd} \mathbf{R}^{ab} (e^c_{\mu} e^d_{\nu} \nabla^{\mu} X^{\nu}) \,. \tag{2.9}$$

We also provide a tensorial expression as follows. Define $\mathcal{Q}_X^{\mu\nu}$ from \mathcal{Q}_X by

$$(\mathbf{Q}_X)_{\mu\nu} \equiv \mathcal{Q}_X^{\lambda\rho} \varepsilon_{\lambda\rho\mu\nu} \tag{2.10}$$

so that the current vector \mathcal{J}_X^{μ} is given by

$$(\mathcal{J}_X)_{\mu\nu\lambda} \equiv \mathcal{J}_X^{\rho} \varepsilon_{\rho\mu\nu\lambda}$$

with
$$\mathcal{J}_X^{\mu} = \nabla_{\nu} \mathcal{Q}_X^{\mu\nu} + X^{\mu} (\Box \vartheta + \frac{\alpha}{8} \mathcal{E}) .$$
(2.11)

For a symmetry X^{μ} we can compute (using Eq. 2.9)

$$\mathcal{Q}_X^{\mu\nu} = -2X^{[\mu}\nabla^{\nu]}\vartheta + \frac{\alpha}{2}({}^*R^*)^{\mu\nu\lambda\rho}\nabla_\lambda X_\rho. \qquad (2.12)$$

It can be checked that $\mathcal{J}_X^{\mu} = 0$, using the identities $\nabla_{\mu}({}^*R^*)^{\mu\nu\lambda\rho} = 0$ (which follows from the Bianchi identity Eq. A.8), $\nabla_{\mu}\nabla_{\nu}X_{\lambda} = R_{\lambda\nu\mu}{}^{\rho}X_{\rho}$ for a Killing field X^{μ} (see Eq. C.3.6 [19]), and $C^{\mu\lambda\rho\sigma}C_{\nu\lambda\rho\sigma} = \frac{1}{4}\delta^{\mu}_{\nu}C^{\tau\lambda\rho\sigma}C_{\tau\lambda\rho\sigma}$ for the Weyl tensor $C_{\mu\nu\lambda\rho}$ in 4-dimensions [26]. The result of Theorem 1 can be obtained by evaluating the integral $0 = \int_{\Sigma} u_{\mu}\mathcal{J}_{\mu}^{\mu}$ where, K^{μ} is the horizon Killing field and u^{μ} is the future-pointing unit time-like normal to a Cauchy surface Σ , as described below.

We now consider an asymptotically flat, stationaryaxisymmetric black hole spacetime $(M, g_{\mu\nu})$ shown in Fig. 1, with a stationary-axisymmetric dilaton field ϑ satisfying the equation of motion Eq. 2.6 (we take all fields to be smooth (C^{∞}) throughout M). We assume the spacetime has a bifurcate Killing horizon $\mathcal{H} := \mathcal{H}^+ \cup \mathcal{H}^-$, with a bifurcation surface $B := \mathcal{H}^+ \cap \mathcal{H}^-$. We assume that B is compact but do not assume other restrictions

* * *



FIG. 1. Schematic diagram for black hole spacetime. Note this should not be considered as a Carter-Penrose diagram, in particular we make no assumptions about the existence of null infinity.

on its topology.¹ Let the Killing field generating \mathscr{H} be $K^{\mu} = t^{\mu} + \Omega_{\mathscr{H}} \phi^{\mu}$ where t^{μ} denotes the time translation Killing field and ϕ^{μ} denotes the axial Killing field associated with the horizon rotation parameter $\Omega_{\mathscr{H}}$. Let Σ denote a Cauchy surface for the black hole exterior. We assume that Σ has one asymptotically flat end (with asymptotic conditions given by Eq. 2.14 below), and a boundary at B.

The asymptotic flatness conditions on our spacetime are as follows. There exist asymptotically Minkowskian coordinates $x^{\mu} = (t, x, y, z)$ such that the global Killing fields asymptote to the Minkowski ones at the rates

$$t^{\mu} = (\partial_t)^{\mu} + \mathcal{O}(1/r), \quad \phi^{\mu} = (\partial_{\phi})^{\mu} (1 + \mathcal{O}(1/r)), \quad (2.13)$$

the metric and dilaton asymptote at the rates

$$g_{\mu\nu} = \mathring{g}_{\mu\nu} + \mathcal{O}(1/r), \quad \vartheta = \vartheta_{\infty}(\theta) + \frac{q(\theta)}{r} + \mathcal{O}(1/r^2)$$
(2.14)

where $\mathring{g}_{\mu\nu}dx^{\mu}dx^{\nu} \equiv -dt^2 + dx^2 + dy^2 + dz^2$ is the Minkowskian flat metric in these coordinates, and (r, θ, ϕ) are defined in terms of (x, y, z) in the standard way. In addition, all n^{th} derivatives of the above quantities (with respect to these coordinates) are required to fall off faster by an additional factor of $1/r^n$.²

For such spacetimes, we define the *scalar charge* to be the spherically-symmetric part of the asymptotic 1/r

¹We need not assume that B is connected but, for notational convenience, we will assume that this is the case.

 $^{^2 \}rm Since$ we do not impose the gravitational equations of motion we do not need to ensure that such asymptotically flat spacetimes exist as solutions.

falloff (see also [13, 27])

$$q := -\frac{1}{4\pi} \int_{\infty} \varepsilon_2 \partial_r \vartheta = \frac{1}{4\pi} \int_{\infty} \varepsilon_2 \frac{q(\theta)}{r^2} \,. \tag{2.15}$$

Here, and henceforth, \int_{∞} means that the integral is evaluated over an asymptotic 2-sphere S_r of radius r and then one takes the limit $r \to \infty$, and ε_2 is the induced area element on S_r .³

Now, we use Eq. 2.8 to prove our main result.

Theorem 1. On any asymptotically flat, stationaryaxisymmetric black hole spacetime (as defined above) the scalar charge Eq. 2.15 is given by

$$q = \frac{1}{2}\alpha\kappa \text{Euler}(B), \qquad (2.16)$$

where κ is the surface gravity of the black hole and Euler(B) is the Euler characteristic of the bifurcation surface B.

Proof. For $X^{\mu} = K^{\mu}$, the horizon Killing field, integrate $0 = \mathcal{J}_K = d\mathcal{Q}_K$ on the Cauchy surface Σ to get

$$\int_{\infty} \boldsymbol{\mathcal{Q}}_K = \int_B \boldsymbol{\mathcal{Q}}_K \tag{2.17}$$

where we have assumed that the induced orientations in both integrals are outward-pointing i.e. the space-like normals in Σ point towards ∞ . We now evaluate each side of the above expression using Eq. 2.9.

To compute the contribution to the charge at infinity, let $\mathring{e}^a = (dt, dx, dy, dz)$ be an asymptotic tetrad adapted to the asymptotically Minkowskian coordinates. From Eq. 2.14, we have near infinity

$$\boldsymbol{e}^{a} = \boldsymbol{\dot{e}}^{a} + \mathcal{O}(1/r), \quad \boldsymbol{\omega}^{ab} = \mathcal{O}(1/r^{2}), \quad \boldsymbol{R}^{ab} = \mathcal{O}(1/r^{3}).$$
(2.18)

Then, using Eq. 2.15, we find

$$\int_{\infty} \mathbf{Q}_K = \int_{\infty} K \cdot (*d\vartheta) = -\int_{\infty} \mathbf{\varepsilon}_2 \partial_r \vartheta$$

$$= 4\pi q , \qquad (2.19)$$

where the curvature terms do not contribute due to the falloffs in Eq. 2.18, and the contribution from the ϕ^{μ} -part of K^{μ} vanishes since ϕ^{μ} is tangent to the spheres at infinity.

On *B*, we have $K^{\mu}|_{B} = 0$ and $\nabla_{\mu}K_{\nu}|_{B} = \kappa \tilde{\varepsilon}_{\mu\nu}$ where $\tilde{\varepsilon}_{\mu\nu}$ is the *binormal* to the bifurcation surface (see § 12.5 [19]). It can be shown that κ is a constant over *any* bifurcate Killing horizon \mathscr{H} [28]. Using this in Eq. 2.9, and noting that $(\varepsilon_{2})_{\mu\nu} = -\frac{1}{2} \varepsilon^{\lambda\rho}{}_{\mu\nu} \tilde{\varepsilon}_{\lambda\rho}$ is the intrinsic area

element to B, we get

$$\int_{B} \mathbf{Q}_{K} = \frac{\alpha}{2} \kappa \int_{B} \epsilon_{ab} \mathbf{R}^{ab} = \frac{\alpha}{2} \kappa \int_{B} \boldsymbol{\varepsilon}_{2} R_{2}$$
$$= 2\pi \alpha \kappa \text{Euler}(B), \qquad (2.20)$$

where ϵ_{ab} is the tetrad component of ε_2 , R_2 is the intrinsic Ricci scalar of B, and the last line uses the 2-dimensional Gauss-Bonnet theorem [29].

Combining Eqs. 2.17, 2.19 and 2.20, we have our result Eq. 2.16. $\hfill \Box$

We note here that the contribution to the scalar charge from B (Eq. 2.20) can be written as

$$\int_{B} \mathbf{Q}_{K} = -\frac{\alpha}{8} \kappa \int_{B} \varepsilon_{2} \frac{\delta \mathcal{E}}{\delta R_{\mu\nu\lambda\rho}} \tilde{\varepsilon}_{\mu\nu} \tilde{\varepsilon}_{\lambda\rho} \qquad (2.21)$$

in analogy with the *Wald entropy formula* [30, 31]. This relation arises due to the second term in Eq. 2.8b.

3. DISCUSSION AND EXTENSION

A concise interpretation of this result is that black holes communicate their horizon topology and surface gravity to spatial infinity, by encoding this information in the asymptotic falloff of the dilaton.

When the bifurcation surface B is a topological 2-sphere, as is the case for a Kerr spacetime (or any continuous deformation of Kerr), we have $q = \alpha \kappa$, consistent with Eq. 1.1. However our result is valid to *all orders* in α , not just the decoupling limit, and at no point have we imposed the metric equations of motion. Our proof also generalises to stationary stars as long as the matter fields do not couple to ϑ (the matter Lagrangian is independent of ϑ). In this case there is no interior boundary and we get q = 0 (see [27] for an earlier approach based on the generalised-Gauss-Bonnet-Chern theorem; the main advantage of our proof is that it is based on local and covariant quantities).

Our proof can also be adapted to any theory (in any number of spacetime dimensions) where the dilaton field is linearly coupled to a topological density \mathcal{T} which depends on the curvature with suitable modifications of Eq. 2.8 with terms of the form $\delta \mathcal{T}/\delta R_{\mu\nu\lambda\rho}$. We can also consider theories where a scalar field is linearly-coupled to a topological density of any *G*-bundle where *G* is some, possibly non-abelian, group. In this case, the charge contribution takes a form similar to Eq. 2.21 with the Riemann tensor replaced by the curvature in the *G*-bundle (see [22]). As an example we consider briefly a massless axion field φ coupled with strength *g* to electromagnetism through the second *Chern character* [29], via the Lagrangian

$$\begin{aligned} \boldsymbol{L}_{\varphi} &= \frac{1}{2} (*d\varphi) \wedge d\varphi + \frac{g}{2} \varphi \ \boldsymbol{F} \wedge \boldsymbol{F} \\ &= \frac{1}{2} (*d\varphi) \wedge d\varphi + \frac{g}{2} \varphi \ d(\boldsymbol{F} \wedge \boldsymbol{A}) \,, \end{aligned}$$
(3.1)

³The induced area element ε_2 grows as r^2 and Eq. 2.15 converges in the limit as $r \to \infty$.

where \boldsymbol{A} is the electromagnetic vector potential, i.e. a U(1)-connection, and $\boldsymbol{F} := d\boldsymbol{A}$ is the field strength. For the analogue of Eq. 2.8, we then have

$$\boldsymbol{\mathcal{J}}_{X}^{(\varphi)} = \pounds_{X}(*d\varphi) + g\boldsymbol{F} \wedge \pounds_{X}\boldsymbol{A}$$
(3.2a)

$$\boldsymbol{\mathcal{Q}}_{X}^{(\varphi)} = X \cdot (\ast d\varphi) + g\boldsymbol{F}(X \cdot \boldsymbol{A}). \qquad (3.2b)$$

Following through the proof of Theorem 1 under the assumption that $\mathbf{A} = \mathcal{O}(1/r)$ at spatial infinity, the axion scalar charge for a black hole is given by

$$q^{(\varphi)} = g V_{\mathscr{H}} Q_m$$
 where $Q_m := \frac{1}{4\pi} \int_B \boldsymbol{F}$, (3.3)

and $V_{\mathscr{H}} := K \cdot A|_B$ is the horizon potential, which is constant on \mathscr{H} (see [32] and Theorem 1 [22]). Here Q_m is the black hole's magnetic charge, proportional to the first Chern number of the U(1)-bundle over B [29].

A number of recent investigations [33–35] focused on a non-linear coupling between the dilaton and Euler density, replacing $\vartheta \mathcal{E} \to f(\vartheta) \mathcal{E}$ in the Lagrangian Eq. 2.2 (with a nonlinear function $f(\vartheta)$, the theory no longer has the shift symmetry $\vartheta \to \vartheta + \text{const.}$). These authors pointed out that when $f'(\vartheta)$ vanishes at some value ϑ_0 , such a theory admits standard ("no hair") GR solutions with a constant dilaton field $\vartheta = \vartheta_0$. However, if $f''(\vartheta_0) > 0$, these solutions can be unstable, and revert to a stable branch of black hole solutions with dilaton hair.

Analyzing this coupling, we again have Eq. 2.8a with

Note that in the nonlinear case, \mathcal{J}_X is still conserved, but $\mathcal{J}_X \neq 0$ even for a symmetry X^{μ} of the solution, because there is no more symmetry under the shift $\vartheta \rightarrow \vartheta + \text{const.}$ Therefore, a bulk integral term will remain in the computation of the charge. A repetition of our proof gives the dilaton charge

$$q = \frac{\alpha}{8\pi} \kappa \int_{B} \boldsymbol{\varepsilon}_{2} f'(\vartheta) R_{2} - \frac{\alpha}{8\pi} \int_{\Sigma} \boldsymbol{\varepsilon}_{3} f''(\vartheta) u_{\mu}(^{*}R^{*})^{\mu\nu\lambda\rho} \nabla_{\nu} \vartheta \nabla_{\lambda} K_{\rho} , \qquad (3.5)$$

where ε_3 is the induced volume element and u^{μ} is the unit timelike normal to the Cauchy surface Σ .

In Eq. 3.5, we can easily see the difference between the linearly coupled case and the non-linear case. Because of the lack of shift symmetry in the nonlinear case, the bulk term remains (i.e. $f''(\vartheta) \neq 0$), and thus the dilaton charge depends on the metric and dilaton solutions throughout the entire spacetime. We no longer get a relation between quantities evaluated purely on the boundaries. However,

if the dilaton field has a small variation throughout spacetime, it may be possible to expand the theory [27] around some typical value $\vartheta_1 \neq \vartheta_0$, where $f'(\vartheta_1) \neq 0$. Then if we expand the coupling function to linear order around ϑ_1 , we recover the shift-symmetric, linearly-coupled theory.

ACKNOWLEDGMENTS

We would like to thank Béatrice Bonga and Robert M. Wald for useful conversations. We also thank David Garfinkle for comments on an earlier draft of the paper. K.P. is supported in part by the NSF grants PHY-1404105 and PHY-1707800 to Cornell University. L.C.S. acknowledges the support of NSF grant PHY-1404569 and the support of the Brinson Foundation. Some calculations used the computer algebra system MATHEMATICA [36], in combination with the xACT/xTENSOR suite [37, 38].

Appendix A: Short primer on tetrads and spin connection

In this appendix we give a short introduction to tetrads and connections. We will use the language of principal bundles, for the details of which we refer the reader to the classic treatment⁴ of [39–41] (see also the appendix of [22]).

On spacetime, the oriented orthonormal tetrads $(e^a)_{\mu} \equiv e^a_{\mu}$ are defined by

$$g_{\mu\nu} = \eta_{ab} e^a_{\mu} e^b_{\nu} , \quad \varepsilon_{\mu\nu\lambda\rho} = \epsilon_{abcd} e^a_{\mu} e^b_{\nu} e^c_{\lambda} e^d_{\rho} , \qquad (A.1)$$

where $\eta_{ab} = \text{diag}(-1, 1, 1, 1)$ and ϵ_{abcd} are the metric and orientation in \mathbb{R}^4 with $\epsilon_{0123} = 1$. The "inverse" tetrads e_a^{μ} satisfy

$$e^{\mu}_{a}e^{a}_{\nu} = \delta^{\mu}_{\nu}, \quad e^{\mu}_{b}e^{a}_{\mu} = \delta^{a}_{b}.$$
 (A.2)

The torsion-free *spin connection* $\boldsymbol{\omega}^{a}{}_{b}$ is given by

$$(\boldsymbol{\omega}^a{}_b)_{\mu} = e^a_{\nu} \nabla_{\mu} e^{\nu}_b \,. \tag{A.3}$$

Given a metric $g_{\mu\nu}$, the tetrads and the spin connection are only determined up to a local Lorentz transformation $\Lambda^a{}_b(x)$ which depends on the the point x in spacetime

$$e^{a} \mapsto \Lambda^{a}{}_{b}e^{b}, \quad \omega^{a}{}_{b} \mapsto \Lambda^{a}{}_{c}\omega^{c}{}_{d}(\Lambda^{-1})^{d}{}_{b} + \Lambda^{a}{}_{c}d(\Lambda^{-1})^{c}{}_{b}.$$
(A.4)

We note that the connection transforms non-covariantly. Due to this "internal gauge freedom" it is more natural to treat these as fields on a principal bundle with structure group given by the Lorentz group.

⁴Note that these references may use different conventions when converting differential forms to an index notation.

Differential forms on spacetime which are covariant under Lorentz transformations are represented by *horizontal* differential forms on the bundle. The connection is represented by a Lie algebra-valued 1-form (which by definition is not horizontal). The connection is then determined uniquely by the torsion-free condition

$$0 = D\boldsymbol{e}^a = d\boldsymbol{e}^a + \boldsymbol{\omega}^a{}_b \wedge \boldsymbol{e}^b, \qquad (A.5)$$

where D is the *covariant exterior derivative* defined by $\boldsymbol{\omega}^{a}{}_{b}$. The curvature 2-form is defined by the horizontal form

$$\boldsymbol{R}^{a}{}_{b} := D\boldsymbol{\omega}^{a}{}_{b} = d\boldsymbol{\omega}^{a}{}_{b} + \boldsymbol{\omega}^{a}{}_{c} \wedge \boldsymbol{\omega}^{c}{}_{b}, \qquad (A.6)$$

Since the curvature is horizontal it represents a covariant form on spacetime related to the Riemann tensor as

$$(\boldsymbol{R}^{a}{}_{b})_{\mu\nu} \equiv R^{\lambda}{}_{\rho\mu\nu} e^{a}_{\lambda} e^{\rho}_{b}, \qquad (A.7)$$

and the Bianchi identity reads

$$D\boldsymbol{R}^{ab} = 0 \implies \nabla_{[\sigma} R^{\lambda\rho}{}_{\mu\nu]} = 0.$$
 (A.8)

- C. M. Will, The Confrontation between General Relativity and Experiment, Living Rev. Rel. 17 (2014) 4 [1403.7377].
- [2] C. Rovelli, Strings, loops and others: A Critical survey of the present approaches to quantum gravity, in Proceedings, 15th International Conference on General Relativity and Gravitation: Gravitation and Relativity: at the Turn of the Millenium: Pune, India, December 16-21, pp. 281–331, 1997, gr-qc/9803024.
- [3] A. Barrau, Testing different approaches to quantum gravity with cosmology: An overview, Comptes Rendus Physique 18 (2017) 189 [1705.01597].
- [4] C. Cheung, P. Creminelli, A. L. Fitzpatrick, J. Kaplan and L. Senatore, *The Effective Field Theory of Inflation*, *JHEP* 03 (2008) 014 [0709.0293].
- [5] S. Weinberg, Effective Field Theory for Inflation, Phys. Rev. D77 (2008) 123541 [0804.4291].
- [6] B. Famaey and S. McGaugh, Modified Newtonian Dynamics (MOND): Observational Phenomenology and Relativistic Extensions, Living Rev. Rel. 15 (2012) 10
 [1112.3960].
- T. Clifton, P. G. Ferreira, A. Padilla and C. Skordis, Modified Gravity and Cosmology, Phys. Rept. 513 (2012) 1 [1106.2476].
- [8] A. Joyce, L. Lombriser and F. Schmidt, Dark Energy Versus Modified Gravity, Ann. Rev. Nucl. Part. Sci. 66 (2016) 95 [1601.06133].
- [9] W. G. Unruh and R. M. Wald, Information Loss, Rept. Prog. Phys. 80 (2017) 092002 [1703.02140].
- [10] D. Marolf, The Black Hole information problem: past, present, and future, Rept. Prog. Phys. 80 (2017) 092001 [1703.02143].
- [11] T. P. Sotiriou, Gravity and Scalar Fields, Lect. Notes Phys. 892 (2015) 3 [1404.2955].
- [12] E. Berti et al., Testing General Relativity with Present

The Lie derivative of the tetrads and connection with respect to vector fields on the bundle is

$$\pounds_X \boldsymbol{e}^a = D(X \cdot \boldsymbol{e}^a) - (X \cdot \boldsymbol{\omega}^a{}_b) \boldsymbol{e}^b \tag{A.9a}$$

$$\pounds_X \boldsymbol{\omega}^a{}_b = X \cdot \boldsymbol{R}^a{}_b + D(X \cdot \boldsymbol{\omega}^a{}_b) \tag{A.9b}$$

From the spacetime point of view, this encodes the fact that Lie derivatives of the tetrads and connection are only defined up to a local Lorentz transformation (encoded in the vertical part of the bundle vector field).

It can be shown (see Lemma A.2 [22]) that a bundle vector field which preserves the tetrads, $\mathcal{L}_X e^a = 0$, projects to a Killing field X^{μ} of the metric on spacetime, and further satisfies

$$X \cdot \boldsymbol{\omega}^{ab} = -e^a_{\mu} e^b_{\nu} \nabla^{\mu} X^{\nu} , \qquad (A.10)$$

where the left-hand-side is computed as a function on the bundle.

and Future Astrophysical Observations, Class. Quant. Grav. **32** (2015) 243001 [1501.07274].

- [13] D. Garfinkle, G. T. Horowitz and A. Strominger, *Charged black holes in string theory*, *Phys. Rev.* D43 (1991) 3140.
- [14] P. Kanti, N. E. Mavromatos, J. Rizos, K. Tamvakis and E. Winstanley, *Dilatonic black holes in higher curvature* string gravity, *Phys. Rev.* D54 (1996) 5049 [hep-th/9511071].
- [15] S. Mignemi and N. R. Stewart, Charged black holes in effective string theory, Phys. Rev. D47 (1993) 5259 [hep-th/9212146].
- [16] B. Kleihaus, J. Kunz, S. Mojica and E. Radu, Spinning black holes in Einstein-Gauss-Bonnet-dilaton theory: Nonperturbative solutions, Phys. Rev. D93 (2016) 044047 [1511.05513].
- [17] N. Yunes, K. Yagi and F. Pretorius, Theoretical Physics Implications of the Binary Black-Hole Mergers GW150914 and GW151226, Phys. Rev. D94 (2016) 084002 [1603.08955].
- [18] E. Berti, K. Yagi and N. Yunes, Extreme Gravity Tests with Gravitational Waves from Compact Binary Coalescences: (I) Inspiral-Merger, Gen. Rel. Grav. 50 (2018) 46 [1801.03208].
- [19] R. M. Wald, *General Relativity*. The University of Chicago Press, 1984.
- [20] R. Metsaev and A. A. Tseytlin, Order alpha-prime (Two Loop) Equivalence of the String Equations of Motion and the Sigma Model Weyl Invariance Conditions: Dependence on the Dilaton and the Antisymmetric Tensor, Nucl. Phys. B293 (1987) 385.
- [21] K.-i. Maeda, N. Ohta and Y. Sasagawa, Black Hole Solutions in String Theory with Gauss-Bonnet Curvature Correction, Phys. Rev. D80 (2009) 104032 [0908.4151].
- [22] K. Prabhu, The First Law of Black Hole Mechanics for Fields with Internal Gauge Freedom, Class. Quant. Grav.

34 (2017) 035011 [1511.00388].

- [23] T. Jacobson and A. Mohd, Black hole entropy and Lorentz-diffeomorphism Noether charge, Phys. Rev. D92 (2015) 124010 [1507.01054].
- [24] H. T. Nieh, Gauss-Bonnet and Bianchi Identities in Riemann-Cartan Type Gravitational Theories, J. Math. Phys. 21 (1980) 1439.
- [25] A. Corichi, I. Rubalcava-García and T. Vukašinac, Hamiltonian and Noether charges in first order gravity, Gen. Rel. Grav. 46 (2014) 1813 [1312.7828].
- [26] S. B. Edgar and A. Hoglund, Dimensionally dependent tensor identities by double antisymmetrization, J. Math. Phys. 43 (2002) 659 [gr-qc/0105066].
- [27] K. Yagi, L. C. Stein and N. Yunes, Challenging the Presence of Scalar Charge and Dipolar Radiation in Binary Pulsars, Phys. Rev. D93 (2016) 024010 [1510.02152].
- [28] B. S. Kay and R. M. Wald, Theorems on the Uniqueness and Thermal Properties of Stationary, Nonsingular, Quasifree States on Space-Times with a Bifurcate Killing Horizon, Phys. Rept. 207 (1991) 49.
- [29] R. Bott and L. W. Tu, Differential Forms in Algebraic Topology, vol. 82 of Graduate Texts in Mathematics. Springer-Verlag, New York, 1982.
- [30] R. M. Wald, Black hole entropy is the Noether charge, Phys. Rev. D48 (1993) 3427 [gr-qc/9307038].
- [31] V. Iyer and R. M. Wald, Some properties of Noether charge and a proposal for dynamical black hole entropy, Phys. Rev. D50 (1994) 846 [gr-qc/9403028].
- [32] B. Carter, Black hole equilibrium states, in Black Holes (1972 Les Houches Lectures) (B. S. DeWitt and

C. DeWitt, eds.), pp. 57–210. Gordon and Breach, New York, 1973.

- [33] D. D. Doneva and S. S. Yazadjiev, New Gauss-Bonnet Black Holes with Curvature-Induced Scalarization in Extended Scalar-Tensor Theories, Phys. Rev. Lett. 120 (2018) 131103 [1711.01187].
- [34] H. O. Silva, J. Sakstein, L. Gualtieri, T. P. Sotiriou and E. Berti, Spontaneous scalarization of black holes and compact stars from a Gauss-Bonnet coupling, Phys. Rev. Lett. 120 (2018) 131104 [1711.02080].
- [35] G. Antoniou, A. Bakopoulos and P. Kanti, Evasion of No-Hair Theorems and Novel Black-Hole Solutions in Gauss-Bonnet Theories, Phys. Rev. Lett. **120** (2018) 131102 [1711.03390].
- [36] Wolfram Research, Inc., Mathematica, Version 11.3, 2018.
- [37] "xAct: Efficient tensor computer algebra for the Wolfram Language." http://www.xact.es/.
- [38] J. M. Martín-García, xPerm: fast index canonicalization for tensor computer algebra, Comp. Phys. Commun. 179 (2008) 597 [0803.0862].
- [39] S. Kobayashi and K. Nomizu, Foundations of Differential Geometry. Volume I, Interscience Tracts in Pure and Applied Mathematics. Interscience, New York, 1963.
- [40] Y. Choquet-Bruhat, C. DeWitt-Morette and M. Dillard-Bleick, Analysis, Manifolds and Physics, Part I: Basics. North-Holland, Amsterdam, 1982.
- [41] Y. Choquet-Bruhat and C. DeWitt-Morette, Analysis, Manifolds and Physics. Part II: 92 Applications. Elsevier, Amsterdam, 2000.