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# Search for Proton Decay via $p \to e^+\pi^0$ and $p \to \mu^+\pi^0$ in 0.31 megaton-years exposure of the Super-Kamiokande Water Cherenkov Detector

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We have searched for proton decay via  $p \to e^+\pi^0$  and  $p \to \mu^+\pi^0$  using Super-Kamiokande data from April 1996 to March 2015, 0.306 megaton years exposure in total. The atmospheric neutrino background rate in Super-Kamiokande IV is reduced to almost half that of phase I-III by tagging neutrons associated with neutrino interactions. The reach of the proton lifetime is further enhanced by introducing new signal criteria that select the decay of a proton in a hydrogen atom. No candidates were seen in the  $p \to e^+\pi^0$  search. Two candidates that passed all of the selection criteria for  $p \to \mu^+\pi^0$  have been observed, but these are consistent with the expected number of background events of 0.87. Lower limits on the proton lifetime are set at  $\tau/B(p \to e^+\pi^0) > 1.6 \times 10^{34}$  years and  $\tau/B(p \to \mu^+\pi^0) > 7.7 \times 10^{33}$  years at 90% confidence level.

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#### I. INTRODUCTION

Grand Unified Theories (GUTs) [1] are motivated by the apparent convergence of the running couplings of the strong, weak, and electromagnetic forces at high energy  $(10^{15}-10^{16}\,\text{GeV})$ . Such a high energy scale is out of the reach of accelerators; however, a general feature of GUTs is their prediction of the instability of protons by baryon number violating decays. The grand unification idea is successful in many aspects; these include an understanding of electric charge quantization, the co-existence of quarks and leptons and their quantum numbers, and as an explanation of the scale of the neutrino masses. Proton decay now remains as a key missing piece of evidence for grand unification [2].

In GUTs, nucleon decay can proceed via exchange of a massive gauge boson between two quarks. The favored gauge-mediated decay mode in many GUTs is  $p \to e^+\pi^0$ . On the other hand, the flipped SU(5) GUT model [3] predicts that the  $p \to \mu^+ \pi^0$  mode can have a branching ratio comparable to that of the  $p \to e^+\pi^0$  mode. Water Cherenkov detectors are suitable for these decay modes because all final state particles after the proton decay are detectable since they are above the Cherenkov threshold, enabling reconstruction of the proton mass and momentum to distinguish these events from atmospheric neutrino backgrounds. The dominant inefficiency comes from Fermi momentum of protons and pion interactions inside the nucleus, which distorts the reconstructed proton mass and momentum distributions. However, the two hydrogen atoms in a water molecule are outside of the oxygen nucleus: these act as free protons which are not subject to the nuclear effects. As a result, water Cherenkov detectors can achieve high efficiency for  $p \to e^+ \pi^0$  and  $p \to \mu^+ \pi^0$  searches.

In the minimal SU(5) GUT [4], the predicted proton lifetime to  $e^+\pi^0$  is  $10^{31\pm1}$  years, which has been ruled out by experimental results from IMB [5], Kamiokande [6], and Super-Kamiokande [7–9]. However, longer lifetimes for this decay mode ( $\sim 10^{35}$  years) are predicted by other classes of GUTs e.g., minimal SUSY SU(5) [10],

flipped SU(5) [3], SO(10) [11], etc., which are subject to experimental tests. The experimental searches for the gauge-mediated proton decays are further motivated by the discovery of a Higgs-like boson with a mass around  $125\,\mathrm{GeV/c^2}$  [12, 13]. This paper describes the search for  $p\to e^+\pi^0$  and  $p\to \mu^+\pi^0$  by improved analysis techniques with 0.306 megaton-years of Super-Kamiokande data.

#### II. SUPER-KAMIOKANDE DETECTOR

Super-Kamiokande (SK) is a large upright cylindrical water Cherenkov detector, 39 m in diameter and 41 m in height, containing 50 ktons of pure water. Details of the detector have been described in Ref. [14]. The previous publication of  $p \to e^+\pi^0$  and  $p \to \mu^+\pi^0$  limits [9] reported results using 220 kiloton years exposure with 90% confidence level lower limits on the proton lifetime set at  $1.3\times10^{34}$  and  $1.1\times10^{34}$  years, respectively. In the SK-I period, the photocathode coverage inside the inner detector was 40%; this was reduced to 19% during SK-II. After production and installation of replacement 50-cm photomultiplier tubes (PMTs), the photocathode coverage inside the detector was recovered to the original 40% in 2006. The period between July 2006 and September 2008 is defined as SK-III. In the summer of 2008, the detector readout electronics were upgraded with improved performance, including a "deadtime free" data acquisition system that records all successive PMT hit information [15]. This has been the configuration of the detector since September 2008; it is called SK-IV. The new configuration of the detector contributes to improved tagging efficiency of Michel decay electrons in SK-IV. The tagging efficiency of Michel electrons is estimated to be 99% for SK-IV and 82% for the period before SK-IV by using  $p \to \mu^+ \pi^0$  MC samples. It also enables tagging of neutrons in SK-IV. The signature of the neutron, 2.2 MeV gamma ray emission from the neutron capture on hydrogen with a mean capture time of  $200 \,\mu\text{sec}$ , is too faint to be triggered by the data acquisition system used in SK-I through SK-III. The upgraded electronics in SK-IV adopt a trigger-less readout scheme to record every hit, including all hits by 2.2 MeV gamma rays. A software trigger is then issued after every fully-contained event to save all hits within a 500  $\mu$ sec timing window for physics

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analyses. In this paper, we use data from April 1996 up to March 2015, corresponding to 4973 live days or 306.3 kton·years in total by summing up 91.7, 49.2, 31.9, 133.5 kton·years of SK-I, II, III, and IV data.

## III. NEUTRON TAGGING

Neutron tagging can benefit proton decay analyses, providing an additional handle for rejecting the atmospheric neutrino interactions that are the main background to proton decays. Atmospheric neutrino interactions are often accompanied by neutrons, while the probability of a neutron being emitted by deexcitation of a nucleus after proton decay is rather small, and no neutron is produced in the decay of a free proton. Furthermore, these proton decay modes do not produce neutrons in secondary interactions in water because the final state particles in these decay modes are lepton and gammas. The neutron tagging algorithm was originally developed to identify anti-neutrino interactions, in which a neutron is often emitted; the supernova relic neutrino search [16], the cosmic-ray-muon spallation background measurement [17], and the neutrino oscillation analysis [18] have been improved by this technique. To find 2.2 MeV  $\gamma$  candidates, we search for hit clusters with > 7 hits within a 10 ns sliding time window, after time-of-flight (TOF) subtraction using the vertex of the prompt neutrino interaction. Sixteen variables described in Ref. [18] are input to a neural network to distinguish the 2.2 MeV  $\gamma$  signal from background. The efficiency of neutron tagging by this method is estimated to be  $20.5\pm2.1\%$  with a mis-tagging probability of 1.8%. The performance of the neutron tagging was confirmed by introducing a neutron source (Americium-Beryllium) into the SK tank [16].

#### IV. SIMULATION

Our simulation of the atmospheric neutrino cross sections and flux is modeled by NEUT [19] and HKKM [20]. In the case of atmospheric neutrino interactions, neutrons are generated in: primary interactions (10%), hadron and meson interactions in the nucleus (17%), and interactions of hadrons in water (73%). For hadronwater interactions at energies below 3.5 GeV, our simulation uses the Nucleon-Meson-Transport-Code (NMTC), which is based on the Bertini intra-nuclear hadronic cascade model [21]. For low energy (< 20 MeV) neutron propagation, the Monte Carlo Ionization Chamber Analysis Package (MICAP) code [22] is employed. Thermalized neutrons are then simulated until capture on hydrogen and emission of 2.2 MeV  $\gamma$ -rays. Neutrons can also be generated via the deexcitation process and muon capture in water. These are not taken into account in our atmospheric neutrino simulation, but are instead included in our uncertainty by comparison of data and atmospheric

 $\nu$  Monte Carlo. In order to include all possible low energy backgrounds, real data taken by a random trigger are added into the simulated neutron data.

The proton decay simulation has been updated since the previous paper [23] by implementation of proton and neutron emission at deexcitation from s-state [24], and 7.5% of  $p \to e^+\pi^0$  events in oxygen are accompanied by a neutron.

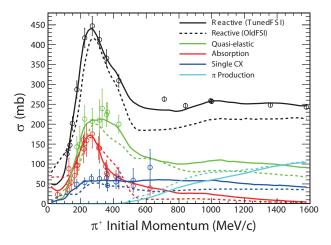
A  $\pi^0$  produced by bound proton decay interacts via the strong interaction and there is a significant probability of re-interaction within the nucleus prior to escape. NEUT also simulates the pion final state interactions ( $\pi$ -FSI). The NEUT  $\pi$ -FSI model is a microscopic cascade where the pion is propagated classically through the nuclear medium in finite steps; it is capable of simulating various nuclei. The  $\pi$ -FSI model has been tuned to various  $\pi^{\pm}$ -nucleus experimental data including C, O, Al, Fe, and has been updated [25] since the previous paper [9]. The left panel in Figure 1 shows the  $\pi^+$  cross sections of the external data and MC on <sup>12</sup>C [26], which has the largest number of data points. After tuning, the estimated rates of neutral pion absorption and charge exchange have increased around 500 MeV/c, which affects the distribution of the number of rings and causes a 5% reduction of estimated signal efficiency. Large angle scattering in the nucleus has also increased, which modifies the reconstructed proton mass and momentum distributions, resulting in a 7% reduction in estimated selection efficiency for  $p \to e^+\pi^0$  and  $p \to \mu^+\pi^0$ . The right panel of Figure 1 shows the fraction of  $\pi^0$  interactions as a function of the pion momentum after the tune. The same plot for the previous simulation can be found in [27].

#### V. SELECTION CRITERIA

The following cuts are applied to signal MC, atmospheric  $\nu$  MC, and data:

- (Cut-1) events must be fully contained in the inner detector with the vertex position within the fiducial volume, which is defined as 2 meters inward from the detector walls (FCFV),
- (Cut-2) there must be 2 or 3 Cherenkov rings,
- (Cut-3) all rings must be electron-like for  $p\to e^+\pi^0$  and one ring must be  $\mu$ -like for  $p\to \mu^+\pi^0$ ,
- (Cut-4) there must be no Michel electrons for  $p \to e^+\pi^0$  and one electron for  $p \to \mu^+\pi^0$ ,
- (Cut-5) reconstructed  $~\pi^0~$  mass should be 85 <  $M_{\pi^0} < 185~{\rm MeV}/c^2$  for 3 ring events,
- (Cut-6) reconstructed total mass should be  $800 < M_{tot} < 1050 \,\mathrm{MeV}/c^2$  and reconstructed total momentum  $P_{tot}$  should be less than 250  $\,\mathrm{MeV}/c$ ,

and for SK-IV only,



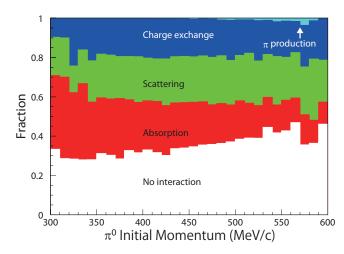


FIG. 1. (color online) The left panel shows  $\pi^+$  cross sections on  $^{12}\mathrm{C}$  as a function of momentum. Open circles indicate existing data [26], solid and dashed lines show after and before the tune, respectively. The colors correspond to the total cross section and exclusive channels: total (black), elastic scattering (green), absorption (red), charge exchange (blue), and  $\pi$  production (light blue). The right panel shows cumulative fractions of neutral pion final state interactions as a function of momentum generated by  $p \to e^+\pi^0$  MC. The fractions of events that undergo charge exchange, multiple  $\pi$  production, scattering, and absorption are shown by the various shades as labeled. Neutral pions that exit the nucleus without experiencing any final state interactions are indicated by the portion labeled "No interaction."

(Cut-7) there must be no tagged neutrons.

After (Cut-1), 41k FCFV events remain in data for the entire period. The events which contain two rings are allowed in (Cut-2) because one gamma ray from  $\pi^0$  may fail to reconstruct if opening angle of the two gammas is small, or energy of the gamma is small. The fraction of 2 ring and 3 ring events in  $p \to e^+\pi^0$  MC before (Cut-5) are 45% and 55%, respectively. The requirement (Cut-7) rejects about 50% of the background but reduces selection efficiency by 3%, in which both true and fake neutrons contribute. Figure 2 shows the number of tagged neutrons for  $p \to e^+\pi^0$  (upper) and  $p \to \mu^+\pi^0$ (lower) after applying (Cut-1) through (Cut-5), excluding the signal region defined by (Cut-6). These figures show that the neutron multiplicity in the atmospheric neutrino MC (solid histogram) agrees well with data (dots). The dashed histograms show the true number of captured neutrons in the MC, indicating that additional background rejection can be achieved if the neutron tagging efficiency is improved in the future when gadolinium is dissolved in the SK water [28].

A new analysis technique [29] is applied in this paper. The signal region defined by (Cut-6) is divided into two regions:  $P_{tot} < 100~{\rm MeV}/c$  and  $100 \le P_{tot} < 250~{\rm MeV}/c$ . The region below  $100~{\rm MeV}/c$  is dominated by free protons, and the region  $100 \le P_{tot} < 250~{\rm MeV}/c$  is dominated by bound protons. A reduced systematic error for  $< 100~{\rm MeV}/c$  can be achieved because the initial protons and the products of the proton decay do not suffer from any of the various nuclear interactions. In addition, background contamination from atmospheric neutrinos

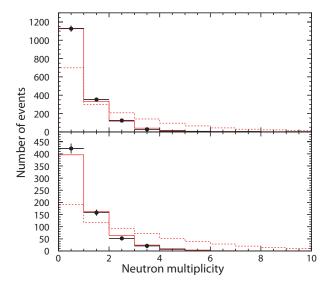


FIG. 2. Distribution of the number of tagged neutrons for  $p \to e^+\pi^0$  (top) and  $p \to \mu^+\pi^0$  (bottom) after applying (Cut-1) through (Cut-5), excluding the signal region defined by (Cut-6). Dots show SK-IV data with 133.5 kton-years exposure, the solid histogram shows the multiplicity of tagged neutrons per event in the atmospheric  $\nu$  MC, and the dashed histogram shows the true multiplicity of neutrons per event.

is concentrated in the  $100 \leq P_{tot} < 250~{\rm MeV}/c$ , while the region below  $100~{\rm MeV}/c$  is nearly background free. Figure 3 shows the reconstructed proton mass vs. total momentum distribution for signal and atmospheric

 $\nu$  MC, combining all data from SK-I through SK-IV. In the signal MC plots, the light blue dots show the free proton case and the dark blue dots show bound protons. Figure 4 shows one-dimensional distributions of reconstructed proton mass and momentum of  $p \to e^+\pi^0$  and  $p \to \mu^+\pi^0$  for the signal, atmospheric  $\nu$  MC, and data after all cuts except the cut on the plotted variable. The data and the atmospheric  $\nu$  MC agree in both cases.

The new two-box analysis achieves better discovery reach. For example, the  $3\sigma$  discovery reach in the proton lifetime for  $p \to e^+\pi^0$  is 13.3% higher than the conventional single-box analysis for the current exposure, and 20.9% higher for an exposure of 1 megaton-year, which may be achieved by the next generation of detectors [30].

## VI. RESULTS

Table I shows efficiency, expected number of background events, and number of observed events for each region of total momentum for  $p \to e^+\pi^0$  and  $p \to \mu^+\pi^0$ . The signal efficiencies in SK-I and -II are decreased in comparison to the previous paper due to the updated  $\pi$ -FSI model. Efficiencies for  $p \to \mu^+ \pi^0$  in SK-IV are significantly higher than the other data-taking periods because of Michel electron tagging efficiency that was achieved with the new electronics. The background rate in SK-I/II/III is consistent with the measurement in the 1 kton water Cherenkov detector using the K2K accelerator neutrino beam [31]. Background rates in SK-IV for both decay modes are almost half that of SK-I/II/III, which is a result of the contribution from neutron tagging. In the entire signal region, with 306.3 kiloton-years exposure, the expected background for  $p \to e^+\pi^0$  is 0.61 events (0.07 and 0.54 events in lower and higher momentum box. respectively) and no candidates were observed, while for  $p \to \mu^+ \pi^0$  the estimated background is 0.87 events (0.05) and 0.82 events in lower and higher momentum box, respectively) and two candidates have been observed in the higher momentum box. Assuming a Poisson distribution with mean 0.87, the probability to see  $\geq 2$  events is 22% and is still consistent with background. The first candidate was observed at 257 kton-years exposure and the second candidate was observed at 277 kton-years exposure. A Kolmogorov-Smirnov test is carried out to examine the assumption of a constant event rate. The obtained p-value is 5.2%, which is consistent with the assumption.

Table II shows a summary of the systematic errors. The dominant systematic uncertainty in the efficiency of both decay modes in the  $P_{tot}$  <100 MeV/c region comes from uncertainty in the Fermi momentum. In the proton decay MC, the momentum distribution of the bound nucleon is simulated based on experimental data [32], which is compared with the Fermi gas model to estimate the uncertainty. Uncertainties in energy scale, uniformity of the detector, particle identification, vertex shift, opening angle, and ring counting are all taken into account as reconstruction error. Uncertainties in the

 $100 \le P_{tot} < 250 \text{ MeV}/c \text{ region are generally larger be-}$ cause they are mostly bound protons that suffer from uncertainties associated with  $\pi$ -FSI, correlated decays with other nucleons [33], and Fermi motion. The leading uncertainties in the background estimate come from cross section,  $\pi$ -FSI, and reconstruction. An additional systematic error for neutron tagging is assigned only for SK-IV. As shown in Figure 2 and Ref. [18], neutron multiplicity in atmospheric neutrino data and MC agree well. Also, as described in Section III, the data with neutron source is compared with MC in several detector positions. The systematic error on neutron tagging is assigned to be 10% estimated by the maximum deviation between data and MC. The run time of SK is well defined and systematic uncertainty in the exposure is negligibly small (1%).

Both of the observed candidates for  $p \rightarrow \mu^+ \pi^0$ are located near the boundary of the signal region. The first candidate has a reconstructed proton mass of  $903\pm19~{\rm MeV}/c^2$  and  $248\pm5~{\rm MeV}/c$  total momentum. The first candidate is categorized as a two-ring event; the e-like ring has momentum 375 MeV/c, the  $\mu$ -like ring has 551 MeV/c momentum, and there is a 158 degree opening angle between the two rings. For the second candidate, the ring counting algorithm originally found one  $\mu$ -like ring and two e-like rings. However, one of e-like rings (orange ring in Figure 5) is judged as a fake ring at the final stage of ring counting. This final stage discards rings caused by multiple coulomb scattering of charged particles, which is done by examination of a ring's angle relative to other rings and by visible energy; it is applied to both data and MC. As a result, the second candidate is judged as a two-ring event. In the final result of the reconstruction, the second candidate has a reconstructed proton mass of  $832\pm17\,\mathrm{MeV}/c^2$  and a total momentum of  $238\pm5 \text{ MeV}/c$  calculated from the remaining e-like ring with 461 MeV/c, the  $\mu$ -like ring with 391 MeV/c, and the 149 degree angle between the two rings. If the third ring had not been rejected, the reconstructed invariant mass from the two e-like rings would have been 104 MeV/ $c^2$ , which could be gammas from  $\pi^0$  decay. The total momentum and proton mass would increase to 289 MeV/cand 880 MeV/ $c^2$ , respectively, which would move the event outside of the defined signal region <sup>1</sup>.

## VII. LIFETIME LIMIT

The observed events are consistent with expected backgrounds and a proton lifetime limit is calculated using a Bayesian method [34] [35]. In Ref. [23], the proton lifetime was calculated combining different search methods as well as different run periods. The same

This event is judged as an 3 ring event, but leaves the signal box if we use updated PMT gain correction, introduced in 2016, which depends on PMT production year.

		SK-I	SK-II	SK-III	SK-IV
Exp.	kt·yrs	91.7	49.2	31.9	133.5
$p \to e^+ \pi^0$					_
Low $P_{tot}$	Eff.(%)	$18.8 \pm 1.9$	$18.3 {\pm} 1.9$	$19.6 \pm 2.0$	$18.7 {\pm} 1.9$
	BKG	$0.03^{+0.03}_{-0.02}$	< 0.01	< 0.01	$0.02^{+0.03}_{-0.02}$
	$(/\mathrm{Mt}\cdot\mathrm{yr})$	$0.36^{+0.30}_{-0.20}$	$0.26^{+0.27}_{-0.17}$	$0.09^{+0.21}_{-0.08}$	$0.18^{+0.25}_{-0.13}$
	OBS	0	0	0	0
High $P_{tot}$	Eff.(%)	$20.4 \pm 3.6$	$20.2 \pm 3.6$	$20.5 \pm 3.6$	$19.4 \pm 3.4$
	BKG	$0.22 \pm 0.08$	$0.12{\pm}0.04$	$0.06{\pm}0.02$	$0.15{\pm}0.06$
	$(/Mt \cdot yr)$	$2.4 \pm 0.8$	$2.5 {\pm} 0.9$	$1.8 {\pm} 0.7$	$1.1 \pm 0.3$
	OBS	0	0	0	0
$p \to \mu^+ \pi^0$					
Low $P_{tot}$	Eff.(%)	$16.4 \pm 1.5$	$16.0 {\pm} 1.5$	$16.4 {\pm} 1.5$	$20.1 {\pm} 1.9$
	BKG	$0.03^{+0.02}_{-0.02}$	< 0.01	< 0.01	$0.01^{+0.02}_{-0.01}$
	$(/\mathrm{Mt}\cdot\mathrm{yr})$	$0.31^{+0.26}_{-0.17}$	$0.10^{+0.13}_{-0.07}$	$0.22^{+0.22}_{-0.14}$	$0.09^{+0.21}_{-0.08}$
	OBS	0	0	0	0
High $P_{tot}$	Eff.(%)	$15.3 \pm 2.8$	$15.3 \pm 2.8$	$16.5 \pm 3.0$	$18.2 \pm 3.3$
	BKG	$0.33 \pm 0.10$	$0.14 {\pm} 0.05$	$0.12{\pm}0.04$	$0.23 {\pm} 0.08$
	$(/\mathrm{Mt}\cdot\mathrm{yr})$	$3.6 \pm 1.1$	$2.9 \pm 0.9$	$3.7{\pm}1.2$	$1.7 {\pm} 0.6$
	OBS	0	0	0	2

TABLE I. Summary of the  $p \to e^+\pi^0$  and  $p \to \mu^+\pi^0$  proton decay modes. The selection efficiencies and expected backgrounds with quadratic sum of statistical and systematic errors, and number of observed events are shown for each detector period. Low  $P_{tot}$  and high  $P_{tot}$  are defined as  $P_{tot} < 100 \text{MeV}/c$  and  $100 \le P_{tot} < 250 \text{MeV}/c$ , respectively.

		r			
		$p \to e^+ \pi^0$		$p \to \mu^+ \pi^0$	
		low $P_{tot}$	high $P_{tot}$	low $P_{tot}$	high $P_{tot}$
Efficiency					
	$\pi ext{-}\mathrm{FSI}$	2.8	10.6	2.9	12.1
	Corr. decay	1.9	9.1	1.7	9.0
	Fermi mom.	8.5	9.3	8.0	9.6
	Reconstruction	4.6	5.6	3.7	3.3
	Total	10.2	17.7	9.4	18.2
Background					
	Flux	7.0	6.9	7.0	7.0
	Cross section	14.5	10.4	8.4	7.8
	$\pi ext{-}\mathrm{FSI}$	15.4	15.4	14.2	14.4
	${\bf Reconstruction}$	21.7	21.7	21.7	21.7
	(neutron tag)	10	10	10	10
	Total (I/II/III)	31.2	29.4	28.1	28.1
	(IV)	32.7	31.1	29.9	29.8
Exposure		1.0	1.0	1.0	1.0

TABLE II. Summary of systematic errors for efficiencies, backgrounds, and exposures in percent (%). Low  $P_{tot}$  and high  $P_{tot}$  are defined as  $P_{tot} < 100 \text{MeV}/c$  and  $100 \le P_{tot} < 250 \text{MeV}/c$ , respectively. For SK-IV only, a neutron tagging uncertainty is included, and the total systematic error for SK-IV is also shown including this uncertainty, both in parentheses.

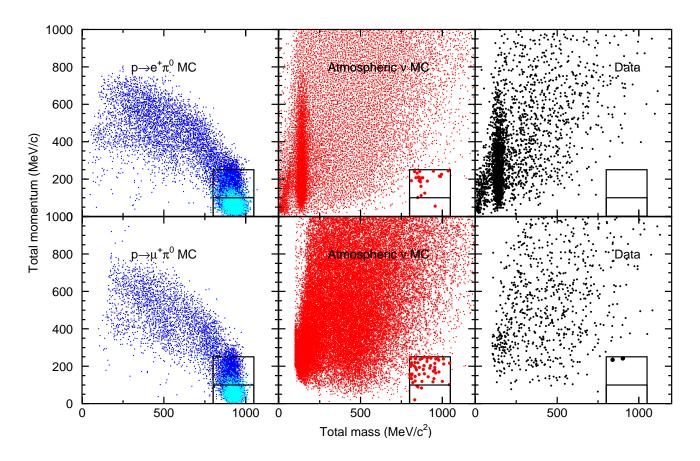


FIG. 3. (color online) Reconstructed proton mass vs. total momentum for  $p \to e^+\pi^0$  (top) and  $p \to \mu^+\pi^0$  (bottom) after all cuts except (Cut-6). The left panels show signal MC, where light blue corresponds to free protons and dark blue is bound protons. The middle panels show atmospheric  $\nu$  MC corresponding to 500 years live time of SK, and the right panels show SK-I to SK-IV data which contain 3408 and 1180 events for  $p \to e^+\pi^0$  and  $p \to \mu^+\pi^0$ , respectively. The dot size is enlarged in the signal box.

method is used here for combining  $P_{tot} < 100 \text{MeV}/c$  and  $100 \le P_{tot} < 250 \text{ MeV}/c$ . For measurements  $i = 1-4 \ (P_{tot} < 100 \text{MeV}/c, \text{SK-I-SK-IV})$  and  $5-8 \ (100 \le P_{tot} < 250 \ \text{MeV}/c, \text{SK-I-SK-IV})$ , the conditional probability distribution for the decay rate is expressed as:

$$P(\Gamma|n_i) = \iiint \frac{e^{-(\Gamma\lambda_i\epsilon_i + b_i)}(\Gamma\lambda_i\epsilon_i + b_i)^{n_i}}{n_i!} \times P(\Gamma)P(\lambda_i)P(\epsilon_i)P(b_i) d\lambda_i d\epsilon_i db_i$$
 (1)

where  $n_i$  is the number of candidate events in the *i*-th proton decay search,  $\lambda_i$  is the true detector exposure,  $\epsilon_i$  is the true detection efficiency, and  $b_i$  is the true number of background events. The decay rate prior probability distribution  $P(\Gamma)$  is 1 for  $\Gamma \geq 0$  and otherwise 0.  $P(\lambda_i)$ ,  $P(\epsilon_i)$ , and  $P(b_i)$  are the prior probability distributions for detector exposure, efficiency, and background, respectively, which are assumed to be Gaussian distributions with  $\sigma$  described in Table II [23]. The lower limit of the nucleon decay rate,  $\Gamma_{\text{limit}}$ , is:

$$CL = \frac{\int_{\Gamma=0}^{\Gamma_{\text{limit}}} \prod_{i=1}^{N=8} P(\Gamma|n_i) d\Gamma}{\int_{\Gamma=0}^{\infty} \prod_{i=1}^{N=8} P(\Gamma|n_i) d\Gamma},$$
 (2)

where CL is the confidence level, taken to be 90%. The lower lifetime limit of  $p \to l^+ \pi^0$  ( $l^+$  denotes  $e^+$  or  $\mu^+$ ) is given by:

$$\tau/\mathbf{B}_{p\to l^+\pi^0} = \frac{1}{\Gamma_{\text{limit}}}.$$
 (3)

The results of the limit calculation combining the two regions are:

$$\tau/B_{p\to e^+\pi^0} > 1.6 \times 10^{34} \text{years},$$

$$\tau/{\rm B}_{p \to \mu^+ \pi^0} > 7.7 \times 10^{33} {\rm years},$$

at the 90% confidence level.  $\tau/B_{p\to\mu^+\pi^0}$  is lower than  $\tau/B_{p\to e^+\pi^0}$ , and this is also lower than our previous publication [9] because of the two observed events, which are consistent with atmospheric neutrino background.

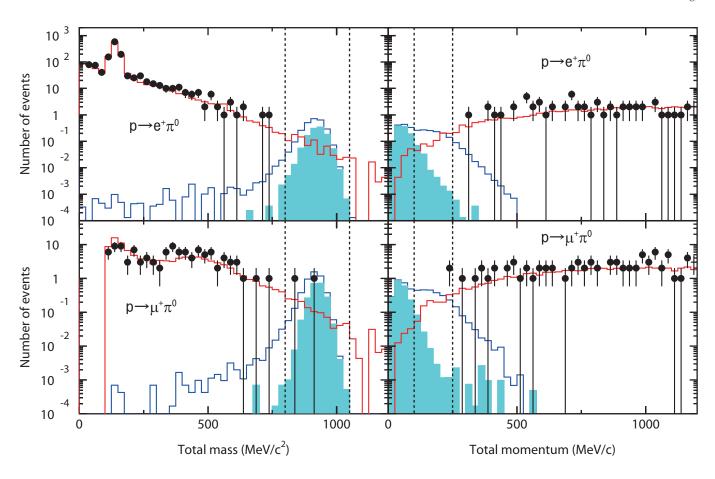


FIG. 4. (color online) Distributions of reconstructed invariant mass (left) and total momentum (right) for  $p \to e^+\pi^0$  in the top panels and for  $p \to \mu^+\pi^0$  in the bottom panels, after all selection cuts except cuts on the plotted variable. The dark blue histograms correspond to 90% confidence level allowed signal and the histograms filled by light blue show the portion contributed by free proton decay. The red histograms show atmospheric  $\nu$  MC, and the dots are data with 0.306 Mton-years exposure. Vertical dashed lines indicate the signal regions. The peak around 150 MeV/ $c^2$  in the total mass distribution of atmospheric  $\nu$  and data in the left top panel arises from  $\pi^0$  decays.

# VIII. CONCLUSION

We analyzed 0.306 megaton-years of Super-Kamiokande data to search for proton decay via  $p \to e^+\pi^0$  and  $p \to \mu^+\pi^0$ . Neutron tagging was introduced in SK-IV and it succeeds in rejecting half of the backgrounds. The signal region from SK-I to SK-IV was divided into two regions of  $P_{tot}$  to obtain better sensitivity. We observed 0 events (0.07 and 0.54 expected background in lower and higher momentum box, respectively) for  $p \to e^+\pi^0$  and 2 events in the higher momentum box (0.05, and 0.82 expected background in lower and higher momentum box, respectively) for  $p \to \mu^+\pi^0$ . The obtained proton lifetime limits at 90% confidence level are  $> 1.6 \times 10^{34}$  years for  $p \to e^+\pi^0$  and  $> 7.7 \times 10^{33}$  years for  $p \to \mu^+\pi^0$ .

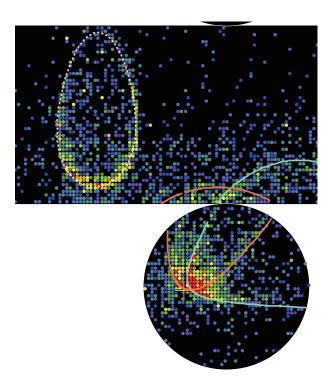


FIG. 5. (color online) Event display of the second candidate event, zoomed to the region of the rings. The blue solid line and the tan dashed line show the reconstructed e-like and  $\mu$ -like ring, respectively. The dark orange solid line shows an additional e-like ring that was identified in the initial ring counting process, but it is rejected by the ring correction because it is too close in angle to the other e-like ring (blue line). As a result, this event is judged as a two-ring event.

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