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Quantized Brans Dicke Theory: Phase Transition and Strong Coupling (Large ω) Limit & General Relativity

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(Dated:)

We show that Friedmann-Robertson-Walker (FRW) geometry with flat spatial section in quantized (Wheeler deWitt quantization) Brans Dicke (BD) theory reveals a rich phase structure owing to anomalous breaking of a classical symmetry, which maps the scale factor $a \mapsto \lambda a$ for some constant λ . In the weak coupling (ω) limit, the theory goes from a symmetry preserving phase to a broken phase. The existence of phase boundary is an obstruction to another classical symmetry [arXiv:gr-qc/9902083] (which relates two BD theory with different coupling) admitted by BD theory with scale invariant matter content i.e $T^\mu_\mu = 0$. Classically, this prohibits the BD theory to reduce to General Relativity (GR) for scale invariant matter content. We show that strong coupling limit of BD and GR both preserves the symmetry involving scale factor. We also show that with a scale invariant matter content (radiation i.e $P = \frac{1}{3}\rho$), the quantized BD theory does reduce to GR as $\omega \rightarrow \infty$, which is in sharp contrast to classical behavior. This is a first known illustration of a scenario, where quantized BD theory provides example of anomalous symmetry breaking and resulting binary phase structure. We make a conjecture regarding strong coupling limit of BD theory in generic scenario.

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I. INTRODUCTION

Brans Dicke (BD) theory [1] is one of the closest cousins of General Relativity (GR). The salient feature of BD theory is that the curvature of geometry is non minimally coupled with a scalar field, which makes the Newton's constant G , a space-time dependent quantity. The significance of BD theory lies in the fact that it provides us with a simple prototype example of more realistic, sophisticated and physically motivated models including a wide class of scalar-tensor theories, having interesting application in inflationary scenario [2–8], constructing potential dark energy models [9]. Furthermore, the non minimal coupling appears in context of superstring theory [10] as a low energy effective action for dilaton-gravity sector in supergravity; in Kaluza-Klein theory [11], DGP theory [12], where the extra scalar field of the theory emerges naturally from compactification of extra dimension [13]. It also appears in Galileon theories [14], proposed to explain cosmic acceleration while bypassing the Solar system constraints. To add to the list, BD theory can also be thought of as a limit of Horndeski theories [15, 16]. The further motivation and pertinence of the work that follows comes from the basic expectation that any quantum formulation of gravity requires ingredients foreign to GR, like higher order curvature correction, non minimal coupling to matter. All of these make it meaningful to investigate scalar tensor theories as a quantum cosmological model and not to mention, because of its simplicity, BD theory is the most natural platform to explore such quantum scenario to shed light on a wide class of scalar-tensor theories.

It is widely believed that as coupling ω becomes stronger, BD theory reduces to GR [17–21]. In fact,

this forms the basis to set lower limits of ω parameter in Solar System experiments[1]. Albeit, there are counter-examples of several exact solutions not reducing to GR upon $\omega \rightarrow \infty$ [22–29] and counter-arguments for non-convergence with a scale invariant matter content i.e with $T^\mu_\mu = 0$ [30, 31]. Hence, if we can show that in quantized version, BD does reduce to GR, it would be of utmost importance. The first obstacle in this regard is that we do not have a complete picture of quantum gravity. Nonetheless, there has been recent rejuvenation in Wheeler deWitt quantization [33, 34] process of GR in a series of papers [35–40], where we build an effective quantum mechanical version of cosmological models. Given this resurgence in Wheeler deWitt quantization process, it appears pertinent to explore strong coupling limit of quantized BD using Wheeler de Witt quantization process and to aim to answer the question posed, in this formalism. In fact, there have been recent work regarding quantized BD theory [41, 42].

In this article, we show for the first time that quantized BD theory can provide elegant example of anomalous symmetry breaking leading to existence of a rich phase structure and thus the appeal of this work lies beyond quantum cosmology. Not to mention, the anomalous symmetry breaking is a wide spread phenomena in quantum systems ranging from particle physics to critical phenomenon in condensed matter physics, for example, relativistic quantum field theories admit chiral anomaly, weyl anomaly, in fact, the anomaly cancellation is an important tool to study quantum field theory in general. It is known in condensed matter that 3-body problem with large scattering length admits Efimov states [43] due to anomalous breaking of scale symmetry of inverse square potential down to a discrete scaling group and resulting appearance of limit cycle in RG flow. Generically, in a singular potential like inverse square, renormalization is required to tame the singularity near

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origin. We find similar singular potential in quantum cosmological description of BD theory where the singularity appears owing to bigbang singularity. Thus, the purpose of the communication is twofold, firstly to provide yet another physical scenario to the list of examples ranging from superconductivity[44, 45], discrete Hamiltonian models[46, 47], quantum field theory models[48] to S-matrix models[49, 50]; where limit cycle, anomalous behavior; such rich physics can be realized, while on the other hand, it is expected to elucidate the quantum behavior of scalar-tensor theories in quantum cosmological set up, specifically to show the BD theory with scale invariant matter does reduce to GR in large ω limit. To be specific, we will study the quantized Friedmann-Robertson-Walker (FRW) metric in BD theory with radiation like matter content, having conformal invariance. It deserves mention that the conformal properties of BD theory has been studied classically [51] as well as in Loop quantized version [52], but such a existence of phase structure remains to be explored. Furthermore, such novel physics has never been before reported or emphasized in context of quantum cosmology to best of our knowledge.

The FRW model with flat spatial section has a symmetry under scaling of “scale factor” in GR. Under the scaling $a \mapsto \lambda a$, the Einstein equation of motion remains invariant. This symmetry is present in BD theory as well with a homogeneous scalar field. In this work, we show that the symmetry does not survive quantization process in BD theory. For some range of coupling, the symmetry is broken anomalously solely due to quantum effects and this leads to a binary-phase structure of quantized BD theory. We will show that the strong coupling ($\omega \rightarrow \infty$) limit of BD theory is in symmetry preserving phase and so is the quantized GR. We argue that quantum mechanically, the presence of a phase wall must be an obstacle to the classical argument showing BD does not reduce to GR for scale invariant matter. In fact, exploiting the symmetry we explicitly show that BD theory does reduce to GR in strong coupling limit for a FRW universe with flat spatial section and radiation (scale invariant) matter content, which is in sharp contrast with classical behavior. This contrasting behavior along with existence of rich quantum phenomenon should initiate more research exploring quantum BD theory along with other scalar-tensor theories, its strong coupling limit in generic scenario.

II. BRANS DICKE THEORY

The BD theory in Jordan frame with a perfect fluid ($P = \alpha\rho$) is described by following Lagrangian:

$$\mathcal{L} = \phi R - \frac{\omega}{\phi} \partial_\mu \phi \partial^\mu \phi + \alpha \rho, \quad (1)$$

where the scalar field ϕ is manifestly non minimally coupled with Ricci scalar.

The line element of FRW universe with flat spatial

slice is given by

$$ds^2 = -n^2 dt^2 + a^2(t) [dx^2 + dy^2 + dz^2]. \quad (2)$$

where $n^2(t)$ is the lapse function and $a(t)$ is the scale factor.

We parametrize the scale factor and ϕ in following way: $a(t) = e^{\kappa(t)}$; $\phi(t) = e^{\gamma(t)}$. Since, we have assumed an isotropic homogeneous universe, it is only natural to assume that ϕ is a function of time only. Now, we define a new variable $\beta(t) \equiv \kappa(t) + \frac{\gamma(t)}{2}$ and trade it in against κ (as we will see this redefinition allows us to write Lagrangian in a nice manner where β and γ gets decoupled, otherwise, we would have terms like $\kappa\dot{\gamma}$).

Using this parametrization, the Lagrangian for the gravity sector can be written as

$$L_g = \frac{e^{3\beta - \frac{\gamma}{2}}}{n} \left[-6\dot{\beta}^2 + \frac{2\omega + 3}{2} \dot{\gamma}^2 \right]. \quad (3)$$

The corresponding Hamiltonian is given by

$$H_g = n e^{\frac{\gamma}{2} - 3\beta} \left(-\frac{p_\beta^2}{24} + \frac{p_\gamma^2}{2(2\omega + 3)} \right). \quad (4)$$

where p_β and p_γ are momenta conjugate to β and γ respectively.

For the matter sector, we take up a perfect fluid with $\alpha = \frac{1}{3}$ i.e radiation. Using standard thermodynamical considerations, the Hamiltonian for the matter sector is derived as

$$H_f = n e^{3(\frac{\gamma}{2} - \beta)\alpha} p_T = n e^{(\frac{\gamma}{2} - \beta)} p_T. \quad (5)$$

where p_T is the momentum associated with fluid. A nice and crisp exposition of using fluid sector to define a time variable T and conjugate momentum p_T , is given in [35]. The fact that Hamiltonian of fluid sector turns out to be linear in p_T facilitates writing down a Schrodinger-like equation.

The Eq. (4) and Eq. (5) can be combined to yield the total Hamiltonian:

$$H = n e^{\frac{\gamma}{2} - \beta} \left(-\frac{e^{-2\beta} p_\beta^2}{24} + \frac{e^{-2\beta} p_\gamma^2}{2(2\omega + 3)} + p_T \right). \quad (6)$$

The operators are now ordered following the prescription as laid out in [35, 37], and varying the Hamiltonian with respect to n results to a Hamiltonian constraint, given by

$$\left(-\frac{1}{24} e^{-\beta} p_\beta e^{-\beta} p_\beta + \frac{e^{-2\beta} p_\gamma^2}{2(2\omega + 3)} + p_T \right) = 0. \quad (7)$$

As we quantize the system, the operators are realized in “position” space in following way: $p_\beta \mapsto -i\partial_\beta$, $p_\gamma \mapsto$

$-\imath\partial_\gamma$ and $p_T \mapsto -\imath\partial_T$, leading to Wheeler deWitt equation:

$$\left(\frac{1}{24} e^{-\beta} \partial_\beta e^{-\beta} \partial_\beta - \frac{e^{-2\beta} \partial_\gamma^2}{2(2\omega + 3)} \right) \psi = \imath \partial_T \psi. \quad (8)$$

A change of variable $\chi_B = e^\beta$ recasts this Hamiltonian constraint (8) into

$$\frac{1}{24} \frac{\partial^2 \psi}{\partial \chi_B^2} - \frac{1}{2(2\omega + 3)} \frac{1}{\chi_B^2} \frac{\partial^2 \psi}{\partial \gamma^2} = \imath \frac{\partial \psi}{\partial T}. \quad (9)$$

We use separation of variable technique $\psi(\gamma, \chi_B, T) = \xi(\gamma) \varphi(\chi_B) e^{\imath ET}$ to obtain:

$$\frac{\partial^2 \xi}{\partial \gamma^2} = -k^2 \xi; \quad (10)$$

with solution given by $\xi = e^{\imath k \gamma}$, where k appears due to separation of variable; subsequently, φ satisfies

$$\frac{1}{24} \frac{\partial^2 \varphi}{\partial \chi_B^2} + \frac{k^2}{2(2\omega + 3)} \frac{1}{\chi_B^2} \varphi = -E \varphi. \quad (11)$$

We define parameters

$$g = \frac{12k^2}{2\omega + 3}, \quad E' = 24E, \quad (12)$$

to cast the eq. (11) in following form:

$$-\frac{\partial^2 \varphi}{\partial \chi_B^2} - \frac{g}{\chi_B^2} \varphi = E' \varphi. \quad (13)$$

So, we have transformed this problem to a well known inverse square potential problem with attractive potential for $g > 0$ i.e $\omega > -\frac{3}{2}$, repulsive one for $g < 0$ i.e $\omega < -\frac{3}{2}$. Apparently eq. (13) admits a scaling symmetry under $\chi_B \mapsto \lambda \chi_B$, which is reminiscent of classical scale symmetry. To be specific, if $\phi(\chi_B)$ is an eigenstate with energy E' , then $\phi(\lambda \chi_B)$ is an eigenstate with energy $\lambda^2 E'$. This also implies a continuous spectra i.e if E' is an eigen-energy, then there exist state with energy $\lambda^2 E'$ for $\lambda \in \mathbb{R}$. For $g < \frac{1}{4}$, one can show that $E' > 0$ and we have a spectra bounded below. For strongly coupled regime, $g > \frac{1}{4}$, there exist states with negative E' which indicates that if we have to preserve scaling symmetry, there can not be any ground state. This comes out of *S-Theorem* elucidated nicely in the appendix of [53]. Hence, in strongly coupled regime, we need to do a self-adjoint extension of Hamiltonian [54] or equivalently we need to regularize and renormalize [55] the coupling so as to ensure a ground state. This is precisely what leads to anomalous (quantum) breaking of scale symmetry for $g > \frac{1}{4}$ [56]. In summary, owing to quantum effects, we have two distinct phases, in weakly attracting and repulsive regime ($g < \frac{1}{4}$), the symmetry is preserved while in strongly attractive regime ($g > \frac{1}{4}$) the symmetry breaks down. It has been shown [55, 57] that the symmetry is not lost completely, rather broken down to a discrete scaling symmetry and we have limit cycle behavior in theory

space. The critical point $g = \frac{1}{4}$ translates to a parabola in (k, ω) space [See Fig. 1], given by

$$\omega = \frac{48k^2 - 3}{2}. \quad (14)$$

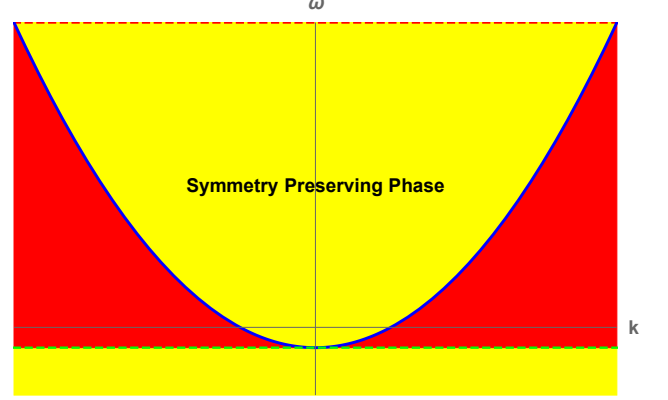


FIG. 1. Phase structure in (k, ω) plane; The red (dark shaded) region is where symmetry is broken due to quantum effects while in the yellow (lightly shaded) region, the symmetry is preserved. The blue thick line represents the phase wall. The dotted red line is supposed to be at $\omega = \infty$. The dotted green line below which we have yellow (lightly shaded) region is at $\omega = -1.5$.

where k is the eigenvalue of p_γ operator i.e k can be thought of momentum associated with γ and ω is coupling of BD theory. This k dependence of critical point can be interpreted in following way, which is very popular in field theory community: the scalar field (hence, the system as a whole) is composed with different momentum k modes, which do not talk with each other, evolve independently; just like a free field theory. Each of this mode exhibits phase transition at a critical point, which is a function of its momenta.

For a given coupling ω such that $2\omega + 3 > 0$, if we are to preserve the symmetry in quantized version, that restricts the possible momentum modes in a range i.e $|k| < \frac{1}{4} \sqrt{\frac{2\omega+3}{3}}$. Only in the limit $\omega \rightarrow \infty$, all the momentum modes are allowed. It worths noticing that for a fixed ω , g is invariant under $k \mapsto -k$. Hence, in the regime where $2\omega + 3 > 0$ i.e g is positive definite; $k > 0$ as well as for $k < 0$, the universe can be in either phase. But, for $2\omega + 3 < 0$, g is negative definite i.e $g < 0 < \frac{1}{4}$, therefore the symmetry is always preserved. The yellow region (lightly shaded) below the $\omega = -\frac{3}{2}$ horizontal line represents this regime in the graph. It also deserves mention that for a given non-zero mode k such that $|k| < \frac{1}{4}$, the broken phase is attained only when ω becomes negative, to be precise when $-\frac{3}{2} < \omega < 0$. Furthermore, the $k = 0$ mode is very special in the sense that it never undergoes phase transition for any value of coupling ω .

III. BREAKDOWN OF FARONI CLASSICAL SYMMETRY

The BD theory with scale invariant matter content has a classical symmetry as pointed out in [31, 32]. Two Brans Dicke space-time $(M, g_{\mu\nu}^{(\omega)}, \phi^{(\omega)})$ and $(M, \tilde{g}_{\mu\nu}, \tilde{\phi})$ are equivalent if we have $\tilde{\phi} = \phi^{1-2\theta} \Leftrightarrow \tilde{\gamma} = \gamma(1-2\theta)$, $\tilde{g}_{\mu\nu} = \phi^{2\theta} g_{\mu\nu} \Leftrightarrow \tilde{\beta} = \beta$ and $\tilde{\omega} = \frac{\omega+6\theta(1-\theta)}{(2\theta-1)^2}$.

This symmetry is Abelian in nature and described by one parameter θ . By this mapping i.e choosing θ suitably, we can classically relate two ω across a phase transition. In fact, $\omega \rightarrow \infty$ can be thought of moving within this equivalence class. Now GR does not have this classical symmetry, implying GR can not belong to this equivalence class. Thus GR can not be classically realized as a strong coupling limit of BD theory with scale invariant matter content. Nonetheless, in quantized version, $\omega \rightarrow \infty$ limit of BD theory always lie in a symmetry preserving phase. Had this symmetry been there quantum mechanically, we can choose θ aptly ($\theta = \frac{1}{2} \left(1 \pm \sqrt{\frac{\omega_{ns} + \frac{3}{2}}{\omega_s + \frac{3}{2}}}\right)$) to approach the limit and conclude that a theory in broken phase with ω_{ns} is equivalent to a theory in symmetry preserving phase with $\omega_s > \omega_{ns} \geq \frac{-3}{2}$. But quantum mechanically the nature of spectrum changes dramatically across the phase transition. Thus this classical sense of equivalence must break down quantum mechanically and so must the argument proving that the GR is not a strong coupling limit of BD with $T^\mu{}_\mu = 0$.

One can modify the argument by Faroni and say within the symmetric ($a \mapsto \lambda a$) phase, there is no phase wall, hence, the classical Faroni equivalence might survive in this phase and $\omega \rightarrow \infty$ limit is in this symmetry preserving phase, hence lies in the Faroni equivalence class. This modified (restricted) sense of equivalence has no obstruction coming from phase transition wall. Albeit, as we will show below, the strong coupling limit of BD does reduce to GR for a FRW metric with flat spatial slice and radiation like matter content.

IV. STRONG COUPLING LIMIT AND GR

In this section, we will explicitly probe the strong coupling limit of BD and compare it to GR in quantized version. The FRW line element is again given by Eq. (2) and we parametrize $a = e^{\sigma(t)}$.

The fluid sector can be dealt with similar manner as in BD, following the operator ordering prescription to arrive at the Hamiltonian of quantized GR

$$\hat{H} = ne^{3\alpha\sigma} \left(\frac{1}{24} e^{-\frac{3(1-\alpha)}{2}\sigma} \partial_\sigma e^{-3\frac{(1-\alpha)}{2}\sigma} \partial_\sigma + p_T \right), \quad (15)$$

and a change of variable for $\alpha = \frac{1}{3} \neq 1$, $\chi_G = \text{Exp} \left[\frac{3(1-\alpha)}{2} \sigma \right] = \text{Exp}[\sigma]$ recasts Wheeler de Witt equation $\hat{H}\Psi = 0$ into $\frac{1}{24} \frac{\partial^2 \Psi}{\partial \chi_G^2} = i\partial_T \Psi$. Plugging in the ansatz $\Psi = \psi(\chi_G) e^{iET}$, we obtain

$$-\frac{1}{24} \frac{\partial^2 \psi}{\partial \chi_G^2} = E\psi. \quad (16)$$

This precisely mimics the $g \rightarrow 0$ limit of BD theory as in this limit the governing equation (13) becomes

$$-\frac{1}{24} \frac{\partial^2 \varphi}{\partial \chi_B^2} = \frac{1}{24} E' \varphi = E\varphi. \quad (17)$$

Thus governing equations (17) & (16), controlling the behavior of χ_B and χ_G are same, in fact both of them admits symmetry under scaling of χ_B and χ_G , albeit the scale factor behaves differently in these two scenario. In GR, the scale factor a is given by $a = \chi_G$ while in BD theory, it is given by $a = e^{-\frac{\gamma}{2}} \chi_B$.

Now, for $g \neq 0$, $\varphi(\chi_B)$ depends on g (the solution being given by modified Bessel function of order $\sqrt{-g + \frac{1}{4}}$), hence on momentum mode k (12) of scalar field γ (10). As $\omega \rightarrow \infty$, g becomes 0 and this dependence goes away i.e even if we make a time dependent state by superposing energy eigenfunctions φ , the behavior of γ is unaffected and on the other hand, even if we superimpose various momentum modes of γ , that does not affect the evolution of φ . Hence, in $\omega \rightarrow \infty$ limit, the wavefunction $\xi(\gamma)$ controlling the behavior of γ is explicitly time independent, which, in turn implies that on expectation value level, GR FRW thus obtained have a scale factor which is some time independent multiple of scale factor obtained from strong coupling limit of BD. Thus for some constant c , we can write $\langle a_{GR} \rangle = c \langle a_{BD} \rangle$.

We know the strong coupling limit of BD and GR, both preserves symmetry even after quantization, hence $\langle a_{GR} \rangle$ and $\langle a_{BD} \rangle$ are related by symmetry transformation. Thus, we have been able to show that quantum FRW obtained from BD does reduce to quantum FRW obtained from GR. For example, by superposing solutions of (10), one can have $\xi(\gamma) = \frac{1}{\sqrt[4]{2\pi^3}} \int dk e^{-k^2 + ik\gamma} = \frac{1}{\sqrt[4]{2\pi}} e^{-\frac{\gamma^2}{4}}$, to obtain $c = \langle e^{-\frac{\gamma}{2}} \rangle = e^{\frac{1}{8}}$. One might wonder about fluctuation of γ , but note, in the strong coupling limit, even the fluctuations are time independent. Hence, even in the sense of operator, we have $a_{GR} = \mathcal{C} a_{BD}$ for constant operator \mathcal{C} . For example, $\sqrt{\langle \mathcal{C}^2 \rangle - \langle \mathcal{C} \rangle^2} = e^{\frac{1}{8}} \sqrt{e^{\frac{1}{4}} - 1}$ for the above mentioned ξ .

V. DÉNOUEMENT

We have shown the existence of a binary phase structure of FRW model with flat spatial section in

Quantized BD theory, identifying the phase transition wall, explaining how the quantum effects break the classical symmetry which maps $a \mapsto \lambda a$. The obstruction provided by phase transition wall implies the argument, showing that BD theory with a scale invariant matter content does not reduce to GR, does not go through in quantized version. Hence, we explore the strong coupling limit of quantized BD theory and show explicitly that in sharp contrast with classical behavior, quantum mechanically, it does reduce to GR for a scale invariant matter content i.e radiation. This result is of utmost importance considering the fact that Solar System experiments and various important aspect of BD theory underlies the assumption that in large ω limit, BD reduces to GR.

Although we have been working with FRW model, it is a straightforward nonetheless exciting exercise to show that the anisotropic homogeneous Bianchi-I model exhibits such scaling symmetry at classical level which breaks down at quantum level for a region in coupling space. Unlike FRW, Bianchi-I exhibits such binary phase structure both in GR and BD theory. We wish to report on it in future.

The invariance under $a \mapsto \lambda a$ plays a role in showing convergence of strongly coupled BD to GR in quantized version. Hence, it seems like in generic scenario, strong coupling limit of quantized BD theory yields a space-time, whose spatial slice (upon ADM decomposition) is conformal to the spatial slice of space-time obtained from quantized GR. At present, this is merely a conjecture, requiring rigorous proof to be established. Nonetheless, this seems quite natural, as in Einstein frame description of BD theory, the scalar field always gets decoupled. There will possibly be a way to establish this decoupling effect in Jordan frame or to be more ambitious, to prove an equivalence between Jordan and Einstein frame description of BD theory in generic scenario.

Last but not least, we list out open questions which we believe are interesting to be explored in future: it is worthwhile

1. to investigate whether the symmetry as laid out by Faroni breaks down quantum mechanically, in generic scenario or it happens only in FRW with flat spatial section. One obvious choice would be to explore FRW with curved spatial slice.

2. to explore strong coupling limit of BD theory and issue of convergence to GR in generic scenario in quantized version. One can investigate a generic scalar-tensor theory with similar set-up.
3. to explore whether any other model in quantized BD exhibits such rich quantum physics like anomalous symmetry breaking.
4. to show (in)equivalence of Einstein and Jordan frame with matter content.
5. to investigate cosmological implication of anomalous symmetry breaking in FRW model.
6. for loop quantum gravity community to test whether the result obtained is robust enough to be independent of quantization scheme and be found in loop quantum cosmological set up as well even though the work above has been done in mini-superspace quantization scheme.

Note Added: A week after this has been posted in the arXiv, a work [58] regarding self-adjoint extension in Brans-Dicke has appeared, where they arrived at similar singular potential and found constraint on operator ordering to ensure self-adjointness. It deserves mention that in context of singular potential, self-adjoint extension and Renormalisation is intricately related. Hence, results of [58] can potentially be translated in the language of renormalisation and anomalous breaking of scale symmetry. They obtained an inequality involving momentum of scalar field and a parameter that depends on the operator ordering, coupling ω , which ensures that Hamiltonian is essentially self-adjoint. The regime of coupling where the Hamiltonian is essentially self-adjoint is precisely the regime where the symmetry is preserved whereas in the complementary regime, the symmetry breaks anomalously.

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