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Phys. Rev. D **92**, 025046 — Published 29 July 2015

DOI: [10.1103/PhysRevD.92.025046](https://doi.org/10.1103/PhysRevD.92.025046)

# Three-loop Correction to the Instanton Density. I. The Quartic Double Well Potential

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## Abstract

This paper deals with quantum fluctuations near the classical instanton configuration. Feynman diagrams in the instanton background are used for the calculation of the tunneling amplitude (the instanton density) in the three-loop order for quartic double-well potential. The result for the three-loop contribution coincides in five significant figures with one given long ago by J. Zinn-Justin. Unlike the two-loop contribution where all involved Feynman integrals are rational numbers, in the three-loop case Feynman diagrams can contain irrational contributions.

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## Introduction

There is no question that instantons [1], Euclidean classical solutions of the field equations, represent one of the most beautiful phenomena in theoretical physics [2]-[3]. Instantons in non-Abelian gauge theories of the QCD type are important component of the non-perturbative vacuum structure, in particular they break chiral symmetries and thus significantly contribute to the nucleon (and our) mass [4]. Instantons in supersymmetric gauge theories lead to derivation of the exact beta function [5], and in the “Seiberg-Witten”  $\mathcal{N}=2$  case to derivation of the super potential by the exact evaluation of the instanton contributions to all orders [6]. The instanton method now has applications in stochastic settings beyond quantum mechanics or field theories, and even physics – in chemistry and biology – see e.g. discussion of its usage in the problem of protein folding in [7].

Since the work by A. Polyakov [1] the problem of a double well potential (DWP) has been considered as the simplest quantum mechanical setting illustrating the role of instantons in more complicated quantum field theories. In the case of the DWP one can perform certain technical tasks – like we do below – which so far are out of reach in more complicated/realistic settings.

Tunneling in quantum mechanical context has been studied extensively using WKB and other semiclassical means. The aim of this paper is not to increase accuracy on these quantum-mechanical results, but rather to develop tools - Feynman diagrams on top of an instanton - which can be used in the context of many dimensions and especially in Quantum Field Theories (QFTs). Therefore we do *not* use anything stemming from the Schrödinger equation in this work, in particular do not use series resulting from recurrence relations or resurgence relations (in general, conjectured) by several authors.

Another reason to study DWP is existing deep connections between the quantum mechanical instantons – via Schrödinger equation – with wider mathematical issues, of approximate solutions to differential equations, defined in terms of certain generalized series. A particular form of an exact quantization condition was *conjectured* by Zinn-Justin [12], which links series around the instantons with the usual perturbative series in the perturbative vacuum. Unfortunately, no rigorous proof of such a connection exist, and it remains unknown if it can or cannot be generalized to the field theory cases we are mainly interested in. Recently, for the quartic double well and Sine-Gordon potentials Dunne and Ünsal (see [8] and also refer-

ences therein) have presented more arguments for this connection, which they call *resurgent relation*.

In [9] the method and key elements (a non-trivial instanton background and new effective vertices) to calculate the two-loop correction to the tunneling amplitude for the DWP were established. In particular, the anharmonic oscillator was considered in order to show how to apply Feynman diagrams technique. In [10] the Green function in the instanton background was corrected, and it was attempted to obtain two- and three-loop corrections. Finally, Wöhler and Shuryak [11] corrected some errors made in [10] and reported the exact result for the two-loop correction.

The goal of the present paper is to evaluate the three-loop correction to the tunneling amplitude and compare it with the results obtained by Zinn-Justin [12] by a completely different method, not available in the field theory settings.

### Three-loop correction to the instanton density

Let us consider the quantum-mechanical problem of a particle of mass  $m = 1$  in a double well potential

$$V = \lambda (x^2 - \eta^2)^2 . \quad (1)$$

The well-known instanton solution  $X_{inst}(t) = \eta \tanh(\frac{1}{2}\omega(t - t_c))$ , with  $\omega^2 = 8 \lambda \eta^2$ , describing the barrier tunneling is the path which possesses the minimal action  $S_0 = S[X_{inst}(t)] = \frac{\omega^3}{12\lambda}$ . Setting  $\omega = 1$ , and shifting to the origin one gets the anharmonic oscillator potential in a form  $V_{anh} = \frac{1}{2}x^2 - \sqrt{2\lambda}x^3 + \lambda x^4$  with one (small) dimensionless parameter  $\lambda$ . Zinn-Justin et al [12] use the same potential with  $\lambda = g/2$ .

The classical action  $S_0$  of the instanton solution is therefore large and  $\frac{1}{S_0}$  is used in the expansion. The ground state energy  $E_0$  within the zero-instanton sector (pure perturbation theory) is written in the form

$$E_0 = \frac{1}{2} \sum_{n=0}^{\infty} \frac{A_n}{S_0^n} , \quad (A_0 = 1) , \quad (2)$$

Another series to be discussed is the splitting  $\delta E = E_{first \ excited \ state} - E_{ground \ state}$  related to the so called instanton density [17] in the one-instanton approximation as

$$\delta E = \Delta E \sum_{n=0}^{\infty} \frac{B_n}{S_0^n} , \quad (B_0 = 1) , \quad (3)$$

where  $\Delta E = 2\sqrt{\frac{6S_0}{\pi}} e^{-S_0}$  is the well-known one-loop semiclassical result [2]. Coefficients  $A_n$  in the series (2) can be calculated using the ordinary perturbation theory (see [15]) while many coefficients  $B_n$  in the expansion (3) were found by Zinn-Justin, 1981-2005 (see [12] and references therein), obtained via the so called *exact Bohr-Sommerfeld quantization condition*.

Alternatively, using the Feynman diagrams technique Wöhler and Shuryak [11] calculated the two-loop correction  $B_1 = -71/72$  in agreement with the result by Zinn-Justin [12]. Higher order coefficients  $B_n$  in (3) can also be computed in this way. Since we calculate the energy difference, all Feynman diagrams in the instanton background (with the instanton-based vertices and the Green's function) need to be accompanied by subtraction of the same diagrams for the anharmonic oscillator (see [9] for details). For  $\frac{1}{\Delta E} \gg \tau \gg 1$  it permits to evaluate the ratio

$$\frac{\langle -\eta | e^{-H\tau} | \eta \rangle_{inst}}{\langle \eta | e^{-H\tau} | \eta \rangle_{anh}}$$

where the matrix elements  $\langle -\eta | e^{-H\tau} | \eta \rangle_{inst}$ ,  $\langle \eta | e^{-H\tau} | \eta \rangle_{anh}$  are calculated using the instanton-based Green's function and the Green function of the harmonic oscillator, respectively.

The instanton-based Green's function  $G(x, y)$  form to be used

$$G(x, y) = G^0(x, y) \left[ 2 - xy + \frac{1}{4} |x-y| (11 - 3xy) + (x-y)^2 \right] + \frac{3}{8} (1-x^2)(1-y^2) \left[ \log G^0(x, y) - \frac{11}{3} \right], \quad (4)$$

is expressed in variables  $x = \tanh(\frac{t_1}{2})$ ,  $y = \tanh(\frac{t_2}{2})$ , in which the familiar Green function  $e^{-|t_1-t_2|}$  of the harmonic oscillator is

$$G^0(x, y) = \frac{1 - |x-y| - xy}{1 + |x-y| - xy}, \quad (5)$$

In its derivation there were two steps. One was to find a function which satisfies the Green function equation, used via two independent solutions and standard Wronskian method. The second step is related to a zero mode: one can add a term  $\phi_0(t_1)\phi_0(t_2)$  with any coefficient and still satisfy the equation. The coefficient is then fixed from orthogonality to the zero mode, see [10].

The two-loop coefficient is [11]

$$B_1 = a + b_1 + b_2 + c,$$

$$a = -\frac{97}{1680}, \quad b_1 = -\frac{53}{1260}, \quad b_2 = -\frac{39}{560}, \quad c = -\frac{49}{60}. \quad (6)$$

The three-loop correction  $B_2$  (3) we are interested in is given by the sum of diagrams, which we group as follows (see Fig. 1)

$$B_2 = B_{2loop} + a_1 + b_{11} + b_{12} + b_{21} + b_{22} + b_{23} + b_{24} \\ + d + e + f + g + h + c_1 + c_2 + c_3 + c_4 + c_5 + c_6. \quad (7)$$

All Feynman diagrams in (7) are presented in Figs. 1-3. The rules of constructing the integrals for each should be clear from an example, the explicit expression for the Feynman integral  $b_{23}$  in Fig. 2, which is

$$b_{23} = \frac{9}{8} \int_{-1}^1 dx \int_{-1}^1 dy \int_{-1}^1 dz \int_{-1}^1 dw \\ J(x, y, z, w) \left( x y z w G_{xx} G_{xy} G_{yz} G_{yw} G_{zw}^2 - G_{xx}^0 G_{xy}^0 G_{yz}^0 G_{yw}^0 (G_{zw}^0)^2 \right), \quad (8)$$

while for  $c_4$  in Fig. 3 it takes the form

$$c_4 = \frac{3}{8} \int_{-1}^1 dx \int_{-1}^1 dy \int_{-1}^1 dz \frac{x y}{(1-y^2)(1-z^2)} G_{xy} G_{yz}^2 G_{zz}, \quad (9)$$

here we introduced notations  $G_{xy} \equiv G(x, y)$ ,  $G_{xy}^0 \equiv G^0(x, y)$  and  $J = \frac{1}{(1-x^2)} \frac{1}{(1-y^2)} \frac{1}{(1-z^2)} \frac{1}{(1-w^2)}$ . Notice that the  $c$ 's diagrams come from the Jacobian of the zero mode and have no analogs in the anharmonic oscillator problem.

## Results

The obtained results are summarized in Table I. All diagrams are of the form of two-dimensional, three-dimensional and four-dimensional integrals. In particular, the diagrams  $b_{11}$  and  $d$  (see Fig. 2)

$$b_{11} = \frac{1}{48} \int_{-1}^1 dx \int_{-1}^1 dy ( G_{xy}^4 - (G_{xy}^0)^4 ) \\ d = \frac{1}{16} \int_{-1}^1 dx \int_{-1}^1 dy ( G_{xx} G_{xy}^2 G_{yy} - G_{xx}^0 (G_{xy}^0)^2 G_{yy} ), \quad (10)$$

being equal to two-dimensional integrals are the only ones which we are able to calculate analytically

$$\begin{aligned}
b_{11} &= -\frac{1842223}{592704000} - \frac{1}{9800} \left( 367 \zeta(2) - 180 \zeta(3) - 486 \zeta(4) \right) \equiv b_{11}^{rat} + b_{11}^{irrat} \\
d &= \frac{205441}{2469600} + \frac{525}{411600} \zeta(2) \equiv d^{rat} + d^{irrat} ,
\end{aligned} \tag{11}$$

here  $\zeta(n)$  denotes the Riemann zeta function of argument  $n$  (see E. Whittaker and G. Watson (1927)). They contain a rational and an irrational contribution such that

$$\frac{b_{11}^{irrat}}{b_{11}^{rat}} \approx -4.55 \quad , \quad \frac{d^{irrat}}{d^{rat}} \approx 0.025 .$$

It shows that  $b_{11}$  irrational contribution is dominant with respect to the rational part while for diagram  $d$  the situation is opposite. Other diagrams, see Table I, were evaluated numerically with an absolute accuracy  $\sim 10^{-5}$ . Surprisingly, almost all of them are of order  $10^{-1}$  with few of them (diagrams  $a_1, b_{12}, b_{21}$ ) which are of order  $10^{-2}$ .

J. Zinn-Justin (see [12] and references therein) reports a value of

$$B_2^{Zinn-Justin} = -\frac{6299}{10368} \approx -0.607542 , \tag{12}$$

while present calculation shows that

$$B_2^{present} \approx -0.607535 , \tag{13}$$

which is in agreement, up to the precision employed in the numerical integration.

Similarly to the two-loop correction  $B_1$  the coefficient  $B_2$  is negative. For not-so-large barriers ( $S_0 \sim 1$ ), the two-loop and three-loop corrections are of the same order of magnitude. The dominant contribution comes from the sum of the four-vertex diagrams  $b_{12}, b_{21}, b_{23}, e, h, c_1, c_5, c_6$  while the three-vertex diagrams  $a_1, b_{22}, b_{24}, f, g, c_2, c_3, c_4$  provides minor contribution, their sum represents less than 3% of the total correction  $B_2$ . Interesting that for both two and three loop cases the largest contribution comes from diagrams stemming from the Jacobian,  $c$  for  $B_1$  and  $c_5, c_6$  for  $B_2$ . Those diagrams are absent in the perturbative vacuum series, and thus do not have subtractions.

We already noted that individual three-loop diagrams contain irrational numbers. If J. Zinn-Justin's rational result is correct, then there must be a cancelation of these irrational contributions in the sum (7). From (11) we note that the term  $(b_{11}^{irrat} + d^{irrat})$  gives a contribution of order  $10^{-2}$  to the mentioned sum (7), and therefore the coincidence  $10^{-5}$  between

present result (13) and one of Zinn-Justin (12) is an indication that such a cancelation occurs. Now, we evaluate the coefficients  $A_1, A_2$  in (2) using Feynman diagrams (see [15]). In order to do it let us consider the anharmonic oscillator potential  $V_{anh} = \frac{1}{2}x^2 - \sqrt{2\lambda}x^3 + \lambda x^4$  and calculate the transition amplitude  $\langle x = 0 | e^{-H_{anh}\tau} | x = 0 \rangle$ . All involved Feynman integrals can be evaluated analytically. In the limit  $\tau \rightarrow \infty$  the coefficients of order  $S_0^{-1}$  and  $S_0^{-2}$  in front of  $\tau$  gives us the value of  $A_1$  and  $A_2$ , respectively. As it was mentioned above the  $c$ 's diagrams do not exist for the anharmonic oscillator problem. The Feynman integrals in Fig. 1 give us the value of  $A_1$ , explicitly they are equal to

$$a = \frac{1}{16}, \quad b_1 = -\frac{1}{24}, \quad b_2 = -\frac{3}{16}.$$

The diagrams in Fig. 2 determine  $A_2$  and corresponding values are presented in Table I,  $b_{11} = -\frac{1}{384}$  and  $d = -\frac{1}{64}$ . Straightforward evaluation gives

$$A_1 = -\frac{1}{3}, \quad A_2 = -\frac{1}{4},$$

which is in agreement with the results obtained in standard multiplicative perturbation theory (see [16]). No irrational numbers appear in the evaluation of  $A_1$  and  $A_2$ . It is worth noting that (see Table I) some Feynman integrals give the same contribution,

$$f = g = \frac{3}{32}, \quad b_{22} = b_{24} = \frac{1}{24}.$$

In the instanton background the corresponding values of these diagrams do not coincide but are very close.

## Conclusions and Discussion

In conclusion, we have calculated the tunneling amplitude (level splitting to the instanton density) up to three-loops using Feynman diagrams for quantum perturbations on top of the instanton. Our result for  $B_2$  is found to be in good agreement with the resurgent relation between perturbative and instanton series suggested by Zinn-Justin (for modern reference see [12]).

Let us remind again, that this paper is methodical in nature, and its task was to develop tools to calculate tunneling phenomena in multidimensional or QFT context, in which any results stemming from Schrödinger equation are not available. We use a quantum mechanical



example as a test of the tools we use: but the tools themselves are expected to work in much wider context.

One comment on the results is that the final three-loops answer has a rational value. However, unlike the evaluation of the two-loop coefficient  $B_1$  where all Feynman diagrams turned out to be rational numbers, in our case of  $B_2$  at least two diagrams contain irrational parts. What is the origin of these terms and how they cancel out among themselves are questions left unanswered above, since several diagrams had resisted our efforts to get the analytic answer, so that we used numerical integration methods. Perhaps this can still be improved.

Another intriguing issue is the conjectured relation between the instanton and vacuum series: at the moment we don't understand its origin from the path integral settings. Some diagrams are similar, but expressions quite different and unrelated. New diagrams originate from the instanton zero mode Jacobian, and those have no analogues in the vacuum. Surprisingly, they provide the dominant contribution to two-, three-loop corrections  $B_1$  and  $B_2$ :  $\sim 80\%$  and  $\sim 140\%$ , respectively, see Table I.

Finally, we note that to our knowledge this is the first three-loop calculation on a nontrivial background of an instanton. Similar calculations for gauge theories would be certainly possible and are of obvious interest. One technical issue to be solved is gauge Green function orthogonal to all (including gauge change) zero modes.

## Acknowledgments

MAER is grateful to J.C. López Vieyra for assistance with computer calculations. This work was supported in part by CONACYT grant **166189** (Mexico) for MAER and AVT, and also by DGAPA grant IN109512-3 (Mexico) for AVT. The work of ES is supported in part by the U.S. Department of Energy under Contract No. DE-FG-88ER40388.

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- [17] In other words, the energy gap. It was calculated with high accuracy variationally [13] and numerically (from thousands to a million of decimals) [14]

Feynman diagram	Instanton $B_2$	Vacuum $A_2$
$a_1$	-0.0650	$\frac{5}{192}$
$b_{12}$	0.0257	$-\frac{1}{64}$
$b_{21}$	0.0496	$-\frac{11}{384}$
$b_{22}$	-0.1323	$\frac{1}{24}$
$b_{23}$	0.2807	$-\frac{1}{8}$
$b_{24}$	-0.1271	$\frac{1}{24}$
$e$	0.3950	$-\frac{9}{64}$
$f$	-0.3524	$\frac{3}{32}$
$g$	-0.3964	$\frac{3}{32}$
$h$	0.3142	$-\frac{3}{32}$
$c_1$	-0.3268	-
$c_2$	0.6333	-
$c_3$	0.1266	-
$c_4$	0.2975	-
$c_5$	-0.7710	-
$c_6$	-0.8082	-
$I_{2D}$	0.0963	$-\frac{7}{384}$
$I_{3D}$	-0.0158	$\frac{19}{64}$
$I_{4D}$	-0.8408	$-\frac{155}{384}$

Table I: Contribution of diagrams in Fig. (2)-(3) for the three-loop corrections  $B_2$  (left) and  $A_2$  (right). We write  $B_2 = (B_{2loop} + I_{2D} + I_{3D} + I_{4D})$  where  $I_{2D}, I_{3D}, I_{4D}$  denote the sum of two-dimensional, three-dimensional and four-dimensional integrals, respectively. Similarly,  $A_2 = I_{2D} + I_{3D} + I_{4D}$ . The term  $B_{2loop} = 39589/259200 \approx 0.152735$  (see text).

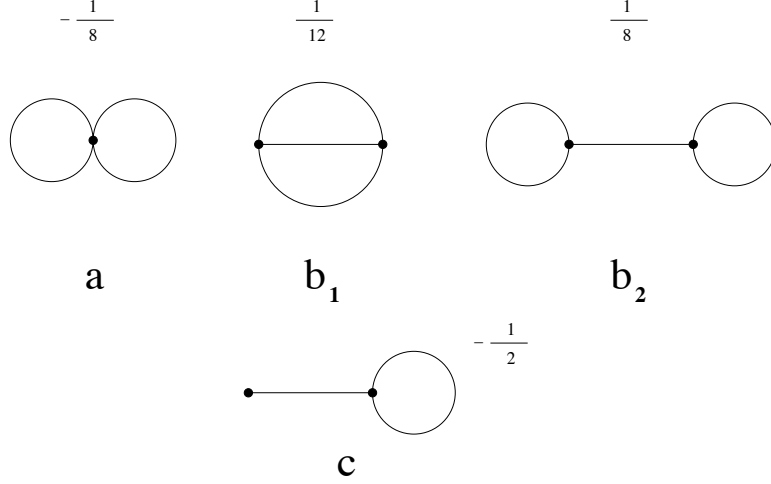


Figure 1: Diagrams contributing to the two-loop correction  $B_1 = a + b_1 + b_2 + c$ . They enter into the coefficient  $B_2$  via the term  $B_{2loop}$ . For the instanton field the effective triple and quartic coupling constants are  $V_3 = -\frac{\sqrt{3}}{2} \tanh(t/2) S_0^{-1/2}$  and  $V_4 = \frac{1}{2} S_0^{-1}$ , respectively, while for the subtracted anharmonic oscillator we have  $V_3 = -\frac{\sqrt{3}}{2} S_0^{-1/2}$  and  $V_4 = \frac{1}{2} S_0^{-1}$ . The tadpole in diagram  $c$ , which comes from the zero-mode Jacobian rather than from the action, is effectively represented by the vertex  $V_{tad} = \frac{\sqrt{3}}{4} \frac{\tanh(t/2)}{\cosh^2(t/2)} S_0^{-1/2}$ . The signs of contributions and symmetry factors are indicated.

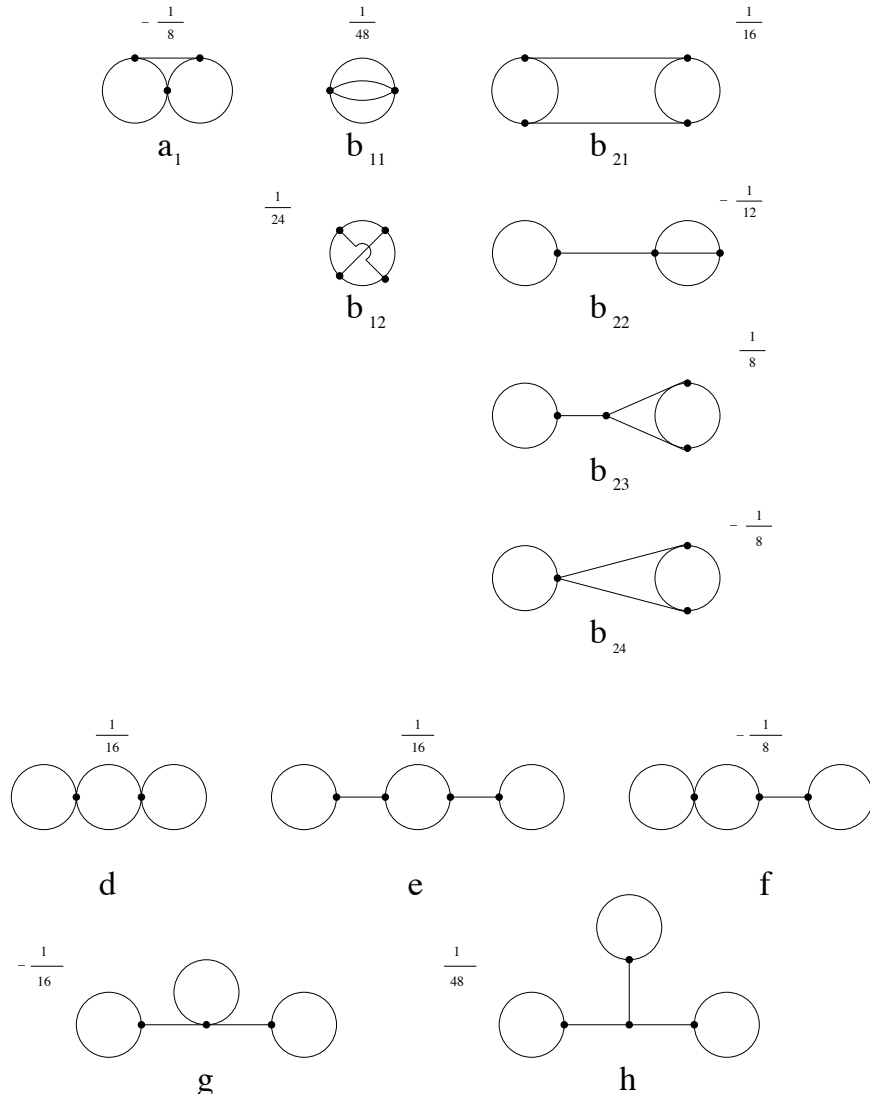


Figure 2: Diagrams contributing to the coefficient  $B_2$ . The signs of contributions and symmetry factors are indicated.

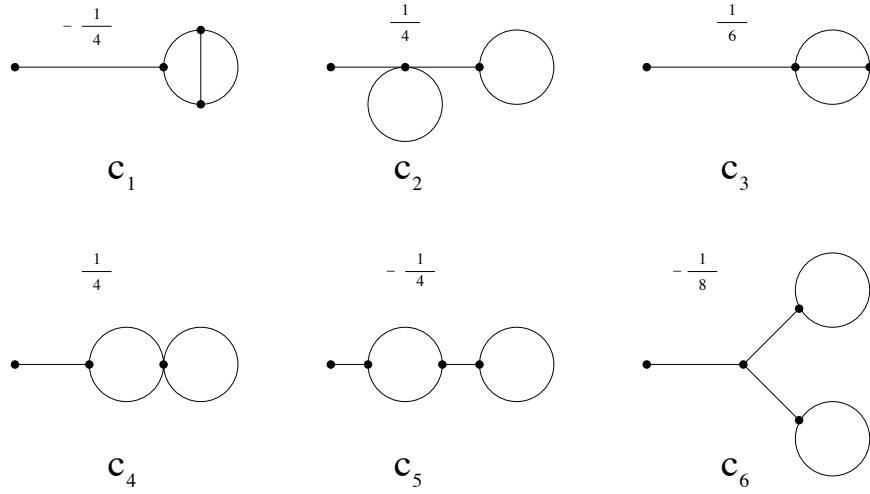


Figure 3: Diagrams contributing to the coefficient  $B_2$ . They come from the Jacobian of the zero mode and have no analogs in the anharmonic oscillator problem. The signs of contributions and symmetry factors are indicated.