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# Nonzero $\theta_{13}$ signals nonmaximal atmospheric neutrino mixing

David A. Eby and Paul H. Frampton

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# T2K Signals Non-Maximal Atmospheric Neutrino Mixing

David A. Eby and Paul H. Frampton

*Department of Physics and Astronomy, University of North Carolina, Chapel Hill, NC 27599-3255, USA*

From recent groundbreaking experiments, it is now known that the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) mixing differs significantly from the tribimaximal model in which  $\theta_{13} = 0$  and  $\theta_{23} = \pi/4$ . Flavor symmetry can require that the departures from these two equations are linearly related.  $T'$  and  $A_4$ , which successfully accommodated the pre-T2K PMNS matrix, predict that  $38.07^\circ \leq \theta_{23} \leq 39.52^\circ$  at 95% C.L.. The best fit values, combining the model predictions with T2K, MINOS, Double Chooz, Daya Bay, and RENO data, are  $\theta_{23} = 38.7^\circ$  and  $\theta_{13} = 8.9^\circ$ .

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Of the parameters in the standard model of particle theory, we will focus on the mixing matrices for down-types quarks and for neutrinos, named respectively for Cabibbo, Kobayashi, and Maskawa (CKM) [1, 2], and for Pontecorvo, Maki, Nakagawa, and Sakata (PMNS) [3, 4]. Without losing generality, we choose a basis in which the flavor and mass eigenstates coincide for the three up-type quarks and all three charged leptons.

This investigation will consider one of three mixing angles of CKM quark mixing ( $\Theta_{12}$ ) and two of the three mixing angles of PMNS neutrino mixing ( $\theta_{13}$  and  $\theta_{23}$ ), ignoring for the moment the CP violating phases in both cases.

We recall the values of the angles  $\theta_{13}$  and  $\theta_{23}$  listed in the 2010 Review of Particle Physics<sup>1</sup> [5] since these two are, we suggest, both changed by the T2K measurement [6–11]. The values then were:

$$36.8^\circ \lesssim \theta_{23} \leq 45.0^\circ, \quad 0.0^\circ \leq \theta_{13} \lesssim 11.4^\circ \quad (1)$$

consistent with vanishing  $\theta_{13}$  and maximal  $\theta_{23}$ .

The other angles are not considered to be variables in this analysis, although the superior experimental accuracy of the CKM Gell-Mann-Lévy quark mixing angle [12],

$$\Theta_{12} = (13.03 \pm 0.06)^\circ, \quad (2)$$

played an important role in our investigation of flavor symmetry.

To accommodate the new data, we invoke broken binary tetrahedral ( $T'$ ) flavor symmetry as a promising approach to explaining the mixing angles [13–22].

This flavor symmetry was first used in Ref. [13] solely as a symmetry for quarks, because neutrinos were still believed to be massless. After neutrino masses and mixings were discovered [23], the mixing matrix for neutrinos was measured and found to be very different from the CKM mixing matrix for quarks. A number of theories arose [24–28] to explain this. Eventually a useful approximation to the empirical PMNS mixing was determined to be the tribimaximal (TBM) matrix [29]:

$$U_{TBM} = \begin{pmatrix} \sqrt{2/3} & \sqrt{1/3} & 0 \\ -\sqrt{1/6} & \sqrt{1/3} & -1/\sqrt{2} \\ -\sqrt{1/6} & \sqrt{1/3} & 1/\sqrt{2} \end{pmatrix}. \quad (3)$$

Flavor symmetry based on the Tetrahedral Group,  $A_4 = T$ , was introduced by Ref. [30] to underpin TBM neutrino mixing. Further investigation revealed that this model could not be extended to quarks because a viable CKM matrix could not be obtained [31].  $A_4$  is not a subgroup of its double cover [20],  $T'$ , nevertheless from the viewpoint of kronecker products used in model building [14],  $A_4$  behaves *as if* it were a subgroup. This explains why the larger group can act as a successful flavor symmetry for both quarks and leptons.

We shall consider only the projection on the two-dimensional  $\theta_{23}$  -  $\theta_{13}$  plane of the three-dimensional  $\theta_{12}$  -  $\theta_{23}$  -  $\theta_{13}$  space. At leading order, requiring  $\sin \alpha \sim \alpha^2$  for  $\theta_{13}$  and  $(\frac{\pi}{4} - \theta_{23})$ , the calculation of the perturbation of this projection from the TBM matrix in Eq.(3) is independent of the solar neutrino mixing angle  $\theta_{12}$ . The relevant perturbation away from Eq.(3) was explicitly calculated in Ref. [18, 19].

Before T2K, the neutrino mixing angles were all empirically consistent with the TBM values. However, as the experimental accuracy has now improved in recent data from T2K [6–11], MINOS [32–38], Double Chooz [39–43], Daya Bay [44, 45], and RENO [46, 47], this situation has changed dramatically, as discussed in the global fits of Refs. [48–50]; of these we shall use Fogli et al. [49]. These five remarkable experiments have provided us with a rich new perspective on mixing angles. From flavor symmetry, it is then possible to predict quantitatively how departures from the TBM values,

$$\theta_{12} = \tan^{-1} \left( \frac{1}{\sqrt{2}} \right), \quad \theta_{23} = (\pi/4), \quad \theta_{13} = 0, \quad (4)$$

are related. The model allows one to address this question by relating the perturbations around TBM,

$$\theta_{ij} = (\theta_{ij})_{TBM} + \epsilon_k, \quad (5)$$

<sup>1</sup> The reader is directed to the references summarized in RPP.

<sup>2</sup> This is a  $< 1\%$  approximation for  $\theta_{13}$  and  $(\frac{\pi}{4} - \theta_{23})$  since both angles are less than  $\alpha = 12^\circ = 0.2094$  radians with  $\sin \alpha = 0.2079$ .

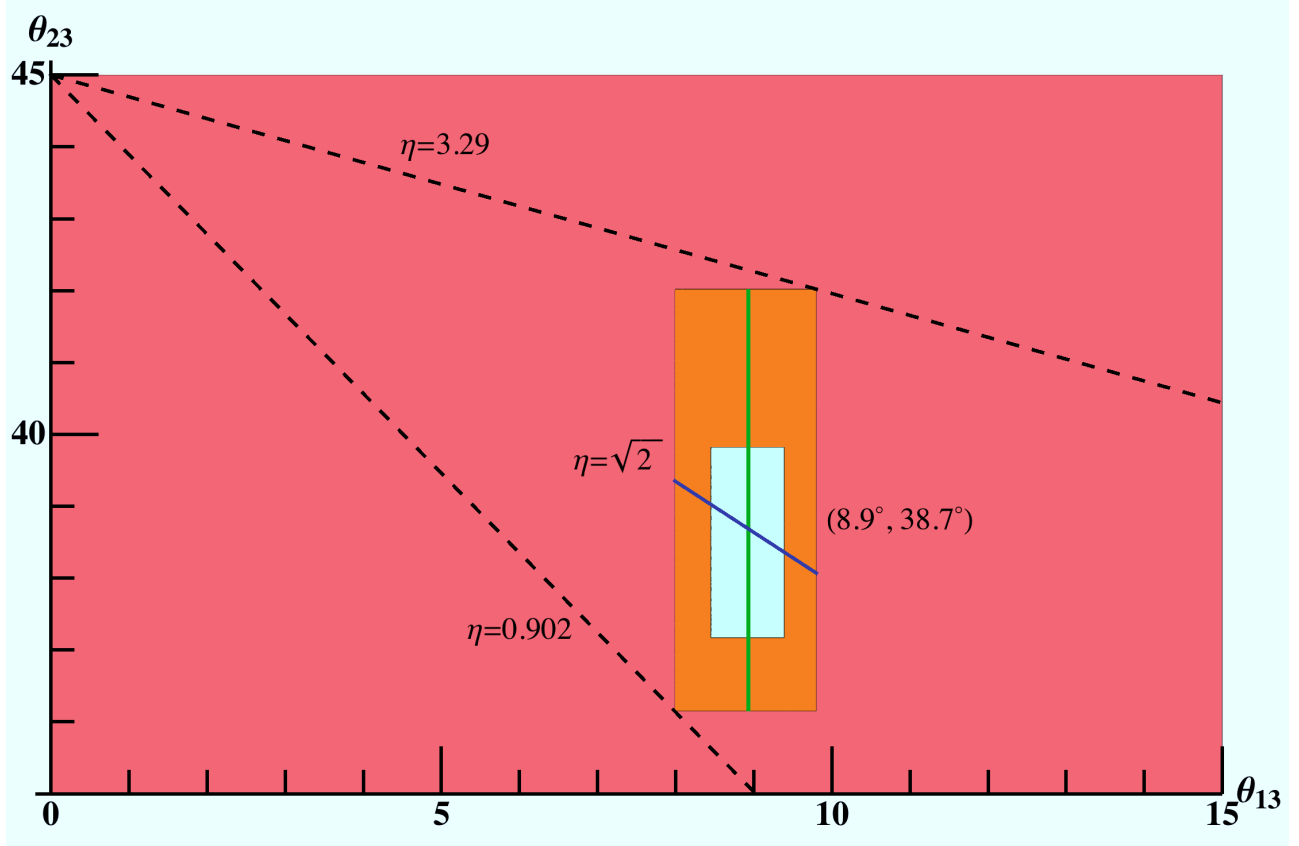


FIG. 1. The global analysis of Ref. [49], incorporating SBL, LBL, Solar, and Atmospheric neutrino observations, excludes the red-shaded region at  $2\sigma$ . The same assessment excludes the orange-shaded region at  $1\sigma$ . The best fit value for  $\theta_{13}$  is indicated by the vertical green line at  $\theta_{13} = 8.9^\circ$ . Extreme values of the linear correlation coefficient,  $\eta$ , are indicated by dashed lines at  $\eta = 0.902$  and  $\eta = 3.29$ , while our predicted correlation of  $\eta = \sqrt{2}$  is indicated by the solid dark blue line. The intersection of our correlation prediction and the  $\theta_{13}$  best fit occurs at  $\theta_{13} = 8.9^\circ$  and  $\theta_{23} = 38.7^\circ$ , a close match to the current experimental best fit of  $\theta_{23} = 38.4^\circ$ . (The color plot is in the online version of the paper.)

(where  $\epsilon_3$  corresponds to  $\theta_{12}$ , and so on) to the analogous perturbations around the minimal model's prediction for the CKM Gell-Mann-Lévy quark mixing angle,

$$\tan 2(\Theta_{12}) = \left( \frac{\sqrt{2}}{3} \right). \quad (6)$$

The data from KamLAND, LBL accelerators (like T2K and MINOS), solar experiments, SBL accelerators (such as Double Chooz, Daya Bay, and RENO), and Super-Kamiokande, as combined in Ref. [49] indicate (accounting for CP violation)

$$\sin^2 \theta_{13} = 0.0241^{+0.0049}_{-0.0048} \quad \text{with 95\% C.L.} \quad (7)$$

for a normal neutrino mass hierarchy, as favored by  $T'$ .

Because Eq. (6) yields a value of  $\Theta_{12} = 12.62^\circ$ , which while close, is significantly below the experimental value, Eq. (2), it is possible to perturb to the empirical  $\Theta_{12}$  and to track the deviations in the PMNS mixing matrix

to the linear relationship<sup>3</sup>,

$$\theta_{13} = \eta \left( \frac{\pi}{4} - \theta_{23} \right), \quad (8)$$

with the sharp prediction<sup>4</sup> that  $\eta = \sqrt{2}$ . Thus,

$$\theta_{13} = \sqrt{2} \left( \frac{\pi}{4} - \theta_{23} \right), \quad (9)$$

This prediction is derived in further detail in Ref. [19].

Considering the result, Eq. (8), it requires that if,  $\eta$  is finite as expected, any departure from  $\theta_{13} = 0$  signals that  $\theta_{23} < \pi/4$ . As shown in Fig. (1), the recent experimental data, combined with theory, suggest

<sup>3</sup>  $A_4$  is also capable of producing Eq.(8) with  $\eta = \sqrt{2}$ , though we give preference in this paper to  $T'$  for its capacity to explain CKM mixing.

<sup>4</sup> It is notable that Eq.(8) with  $\eta \simeq \sqrt{2}$  appears *en passant* in Ref. [51]; see also Ref. [52] which implied that  $\eta \sim 2$ . Another, model-independent, correlation was developed in Ref. [53] including the three PMNS mixing angles and the CP-violating phase.

that  $(\theta_{13}, \theta_{23})$  are respectively closer to  $(8.9^\circ, 38.7^\circ)$  than to  $(0.0^\circ, 45.0^\circ)$ . Before T2K,  $\eta$  was unconstrained,  $0 \leq \eta < \infty$ . With the current global fit data, we find  $0.902 \leq \eta \leq 3.29$ .

This is in sharp difference from the previous widespread acceptance of a maximal  $\theta_{23} = \pi/4$  which fitted so well with vanishing  $\theta_{13} = 0$  in the TBM context.

As the measurement of  $\theta_{13}$  sharpens experimentally, so will the prediction for  $\theta_{23}$  from Eq. (9), and measurement of the atmospheric neutrino mixing's departure from maximality will provide an interesting test of the binary tetrahedral flavor symmetry.

Several years ago Super-Kamiokande showed  $\theta_{23} > 36.8^\circ$  [54], and current analysis places it at  $\theta_{23} \simeq 40.7^\circ$  [55]. Once combined in a global fit of  $3\nu$  oscillation,

Ref. [49] states the best fit of  $\theta_{23} = 38.4^\circ$ , tantalizingly close to our central value of  $\theta_{23} = 38.7^\circ$ .

This suggests to us that the  $T'$  flavor symmetry, introduced in Ref. [13], should now be taken much more seriously. As errors in  $\theta_{13}$  and  $\theta_{23}$  diminish even further, it will be interesting to see how the prediction of Eq. (9) by  $T'$  perseveres, as it would inspire further investigation into other mixing angles for quarks and leptons. This, in turn, may show that  $T'$ , first mentioned in physics as an example of an  $SU(2)$  subgroup [56], is actually a useful approximate symmetry in the physical application of quark and lepton flavors.

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