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### The $\Delta_{mix}$ parameter in the overlap on domain-wall mixed action

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#### Abstract

A direct calculation of the mixed-action parameter  $\Delta_{mix}$  with valence overlap fermions on a domainwall fermion sea is presented. The calculation is performed on four ensembles of the 2+1-flavor domain-wall gauge configurations:  $24^3 \times 64$  ( $am_l = 0.005$ , a = 0.114 fm) and  $32^3 \times 64$  ( $am_l =$ 0.004, 0.006, 0.008, a = 0.085 fm). For pion masses close to 300 MeV we find  $\Delta_{mix} = 0.030(6)$  GeV<sup>4</sup> at a = 0.114 fm and  $\Delta_{mix} = 0.033(12)$  GeV<sup>4</sup> at a = 0.085 fm. The results are quite independent of the lattice spacing and they are significantly smaller than the results for valence domain-wall fermions on Asqtad sea or those of valence overlap fermions on clover sea. Combining the results extracted from these two ensembles, we get  $\Delta_{mix} = 0.030(6)(5)$  GeV<sup>4</sup>, where the first error is statistical and the second is the systematic error associated with the fitting method.

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#### I. INTRODUCTION

Mixed action approaches have been studied by several groups, such as Domain-wall fermion (DWF) valence on Asquad fermion sea [1], overlap valence on DWF sea [2], overlap valence on clover sea [3], and overlap valence on twisted-mass fermion sea [4]. In view of the fact that it is numerically intensive to simulate chiral fermions (DWF or overlap), it is deemed practical to use the cheaper fermion formulation for generating gauge configurations and the more expensive fermion discretization for the valence as an expedient approach toward dynamical QCD simulations with chiral fermions. Many current algebra relations depend only on the chiral properties of the valence sector. The mixed action theory with different fermions for the valence and the sea is a generalization of the partially quenched theory with different sea and valence quark masses. The mixed action partially quenched chiral perturbation theory (MAPQ $\chi$ PT) has been developed for Ginsparg-Wilson fermions on Wilson sea [5] and staggered sea [6], and has been worked out for many hadronic quantities to next-to-leading order (NLO), such as pseudoscalar masses and decay constants [5–7], isovector scalar  $a_0$  correlator [8–11], heavy-light decay constants [12], and baryon masses [11, 13].

In the mixed action chiral perturbation theory with chiral valence fermions, it is shown [5] that to NLO there is no  $\mathcal{O}(a^2)$  correction to the valence-valence meson mass due to the chiral symmetry of the valence fermion. Furthermore, both the chiral Lagrangian and the chiral extrapolation formulas for hadron properties to the oneloop level (except  $\theta$ -dependent quantities) are independent of the sea fermion formulation [14]. The LO mixedaction chiral Lagrangian involves only one more term with  $\mathcal{O}(a^2)$  discretization dependence which is characterized by a low energy constant  $\Delta_{mix}$ . The LO pseudoscalar meson masses for overlap valence and DWF sea are given as

$$m_{vv'}^{2} = B_{ov}(m_{v} + m_{v'}),$$
  

$$m_{vs}^{2} = B_{ov}m_{v} + B_{dw}(m_{s} + m_{res}) + a^{2}\Delta_{mix},$$
  

$$m_{cc'}^{2} = B_{dw}(m_{s} + m_{s'} + 2m_{res}),$$
(1)

where  $m_{vv'}/m_{ss'}$  is the mass of the pseudoscalar meson made up of valence/sea quark and antiquark.  $m_{vs}$  is the mass of the mixed valence and sea pseudoscalar meson. Up to numerical accuracy, there is no residual mass for the valence overlap fermion. The DWF sea has a residual mass  $m_{res}$  which vanishes as  $L_S \to \infty$ .  $\Delta_{mix}$  enters in the mixed meson mass  $m_{vs}$  as an  $\mathcal{O}(a^2)$  error which vanishes in the continuum limit. We should note that, unlike the partially quenched case, even when the quark masses in the valence and sea match, the unitarity is still violated due to the use of mixed actions. The degree of unitarity violation at finite lattice spacing depends on the size of  $\Delta_{mix}$ .

 $\Delta_{mix}$  has been calculated for DWF valence and Asqtad fermion sea which gives  $\Delta_{mix} = 0.249(6) \text{ GeV}^4$  [15] at a = 0.125 fm,  $0.211(16) \text{ GeV}^4$  at a = 0.12 fm [10], and  $0.173(36) \text{ GeV}^4$  at a = 0.09 fm [10]. It is also calculated for overlap valence and clover sea which yields  $\Delta_{mix} = 0.35(14)/0.55(23) \text{ GeV}^4$  at  $m_{\pi} = 190/300 \text{ MeV}$ and a = 0.09 fm [16]. This means that for a valence pion of 300 MeV,  $\Delta_{mix}$  produces, at a = 0.12 fm, a shift of  $\sim 110 - 240 \text{ MeV}$  and, at a = 0.09 fm, a shift of  $\sim 55 - 153 \text{ MeV}$  for these cases, which are substantial portions of the valence pion mass. We have used valence overlap fermions on the 2+1flavor DWF sea to study hadron spectroscopy [17–20]. In this work, we calculate  $\Delta_{mix}$  for such a mixed action approach which is needed for chiral extrapolation in MAPQ $\chi$ PT.

#### **II. CALCULATION DETAILS**

The  $\Delta_{mix}$  parameter is calculated on four ensembles of the 2+1-flavor domain wall fermion gauge configurations [21, 22]. Two different lattice spacings were used to study the dependence on the cutoff. In addition, we also used multiple sea masses, for the  $32^3 \times 64$  lattices, to study the sea quark mass dependence of  $\Delta_{mix}$ . Details of the ensembles are listed in Table I.

Lattice Size	$a^{-1}(\mathrm{GeV})$	$am_l$	$m_{ss}(MeV)$
$24^3 \times 64$	1.73(3)	0.005	329(1)
$32^3 \times 64$	2.32(3)	0.004	298(1)
$32^3 \times 64$	2.32(3)	0.006	350(2)
$32^3 \times 64$	2.32(3)	0.008	399(1)

TABLE I: Details of the DWF ensembles used in this work.

There are different parameterization schemes [10, 15] one can use to relate  $\Delta_{mix}$  to the quark and pseudoscalar masses from Eq. (1). In this paper we choose to parameterize  $\Delta_{mix}$  as

$$m_{vs}^2 - \frac{1}{2}m_{ss}^2 = B_{ov}m_v + a^2\Delta_{mix}.$$
 (2)

This is similar to the parameterization used in [10]. The quantity  $\delta m^2 \equiv m_{vs}^2 - \frac{1}{2}m_{ss}^2$ , has a linear behavior in  $m_v$  and can be calculated directly by computing only the pseudoscalar masses  $m_{vs}$ , and  $m_{ss}$ . We see that in the regime where Eq. (2) is valid,  $\Delta_{mix}$  is equivalent to  $\delta m^2$  in the limit  $m_v \to 0$ .

In this work we used an overlap operator with a HYPsmeared kernel. This was shown to have better numerical properties [17]. We calculated the masses  $m_{vs}$  and  $m_{ss}$  using 50 configurations for each ensemble. Recall from Section I that  $m_{ss}$  requires the propagators for the sea quark mass which were computed with DWF.  $m_{vs}$ needs the propagators for both overlap and DWF. The DWF propagators were made available by LHPC. The overlap propagators were computed using a polynomial approximation [23] to the matrix sign function. They were used to compute 14 - 16 values of  $m_{vs}$ . A multishifted version of the conjugate gradient algorithm [24] was implemented for the overlap propagator calculation to compute all masses at once. To accelerate the inversions for the overlap propagators we employed a deflation technique which has been seen to speed up the calculation significantly [17].

We fitted correlators using single state exponential fits to extract the pion masses. The fitting windows were adjusted to get a reasonable  $\chi^2/dof$  and are the same for all the masses in each ensemble. For the  $24^3 \times 64$ ensemble the details are presented in Table II. For one of the sea quark mass in the  $32^3 \times 64$  ensemble, the results are presented in Table III.

TABLE II: Pion mass fitting details for the  $24^3 \times 64$  lattice.  $m_{ss} \simeq 329 \,\mathrm{MeV}.$ 

$am_v$	$m_{vs}(MeV)$	$\chi^2_{vs}/dof$	$m_{vv}(MeV)$	$\chi^2_{vv}/dof$
0.0014	274(3)	1.0	122(4)	1.8
0.0027	278(2)	1.1	154(2)	1.4
0.0046	287(2)	1.2	188(2)	1.6
0.0081	305(2)	1.2	242(2)	1.6
0.0102	315(2)	1.2	270(2)	1.4
0.0135	331(2)	1.1	308(2)	1.3
0.0153	339(1)	1.1	327(2)	1.3
0.0160	342(1)	1.1	334(2)	1.3
0.0172	347(1)	1.1	346(2)	1.3
0.0243	378(1)	1.2	409(1)	1.6
0.0290	397(1)	1.2	445(1)	1.7
0.0365	426(1)	1.2	498(1)	1.5
0.0434	451(1)	1.2	542(1)	1.3
0.0489	471(1)	1.2	576(1)	1.2
0.0670	531(1)	1.0	677(1)	1.3
0.0710	543(1)	1.0	698(1)	1.4

TABLE III: Pion mass fitting details for the  $32^3 \times 64$  lattice for  $am_l = 0.004$ .  $m_{ss} \simeq 298$  MeV.

$am_v$	$m_{vs}(MeV)$	$\chi^2_{vs}/dof$	$m_{vv}(MeV)$	$\chi^2_{vv}/dof$
0.0007	237(3)	1.0	126(10)	1.5
0.0015	238(2)	1.0	136(7)	1.2
0.0025	246(2)	1.0	160(4)	1.2
0.0035	254(2)	1.0	184(3)	1.2
0.0046	264(2)	1.0	209(3)	1.2
0.0059	274(2)	1.0	235(3)	1.2
0.0068	282(2)	1.0	253(3)	1.3
0.0076	289(2)	1.0	268(3)	1.4
0.0089	299(2)	1.0	288(3)	1.6
0.0112	317(2)	1.1	324(4)	1.0
0.0129	329(2)	1.1	337(5)	1.0
0.0152	344(2)	1.2	365(5)	1.3
0.0180	362(2)	1.3	396(6)	1.5
0.0240	399(3)	1.6	454(9)	1.9

#### **III. FITTING STRATEGIES AND RESULTS**

Extracting  $\Delta_{mix}$  requires fits to squares of multiple pion propagators with different valence quark masses; the



FIG. 1:  $(am_{vv})^2/2(am_v)$  as a function of  $am_v$  for the  $24^3 \times 64$  ensemble (left) and the three  $32^3 \times 64$  ensembles (right).

resulting masses will be correlated since they all come from a single ensemble. To take these correlations into account in the standard way, we would need to perform a simultaneous  $\chi^2$ -fit involving the pion propagators for all valence quark masses. This is not numerically stable due to the large number of parameters in the model. We thus have to use an alternative procedure to take into account these correlations. To gauge the systematic errors introduced by our choice of fitting method we will use multiple fitting procedures. In this section we will describe three different fitting strategies. These methods differ in the way we account for correlations among the different valence masses.

In method I we follow the standard jackknife philosophy by defining a  $\Delta_{mix}$  estimator directly in terms of the raw pion propagators; the error is then determined by the variance over the jackknife ensemble. In method II we use the jackknife procedure to estimate the  $\delta m^2$  covariance matrix and then compute  $\Delta_{mix}$  using a standard correlated fit [25]. These two procedures are used for both the  $24^3 \times 64$  and  $32^3 \times 64$  ensembles. However, since there are three sea quark masses for the  $32^3 \times 64$  volume, we can perform an *uncorrelated* fit using  $\delta m^2$  computed on the three independent ensembles—this is our method III. From the different methods we can obtain an estimate of the systematic uncertainty in our results.

In each method we performed a binned jackknife analysis of  $\delta m^2 = m_{vs}^2 - \frac{1}{2}m_{ss}^2$ . Because we performed four inversions with four different point sources per configuration we binned in units of four and eight. This allowed us to drop one or two whole configurations in each jackknife subsample. We find the uncertainties in both cases to be of comparable size, indicating that autocorrelation is negligible.

The first step in our fitting is to determine a range of quark masses where the tree-level relation between  $m_{vv}^2$  and  $m_v$  holds so that we can use Eq. (1). Below this range, one expects to see chiral logs including partially quenched logs and other non-linear  $m_v$  dependence from the NLO in  $\chi$ PT. Above this range, tree-level  $\chi \text{PT}$  is not expected to be valid. We do this by plotting  $(am_{vv})^2/2(am_v)$  as a function of  $am_v$  as shown in Fig. 1. We choose the fitting range in the region where the ratio  $(am_{vv})^2/2(am_v)$  is fairly flat; these ranges are tabulated in Table IV and are used in all three fitting methods. We note that in the range we are fitting,  $m_{\pi}L > 4$  for both  $m_{vs}$  and  $m_{vv}$  so that the volume dependence is expected to be small.

Lattice	$am_l$	$am_v$ fit range
$24^{3}64$	0.005	0.0243 - 0.0489
$32^{3}64$	0.004	0.0112 - 0.0240
$32^{3}64$	0.006	0.0112 - 0.0240
$32^{3}64$	0.008	0.0112 - 0.0240

TABLE IV: Range of quark masses,  $am_v$ , used in the fitting procedures to extract  $\Delta_{mix}$  via Eq. (1).

#### A. Method I and II: correlated fitting

In our first method we used a weighted linear fit to extract the value of  $\Delta_{mix}$  for each bin. The weights,  $\sigma_{\delta m^2}^2$ , are given by

$$\sigma_{\delta m^2}^2 = 4m_{vs}^2 \sigma_{m_{vs}}^2 + m_{ss}^2 \sigma_{m_{ss}}^2, \tag{3}$$

where  $\sigma_{m_{vs}}$ , and  $\sigma_{m_{ss}}$  are the uncertainties of the mixed and DWF pion masses respectively. Eq. (3) was derived using the standard error propagation formula neglecting correlation between  $m_{vs}$  and  $m_{ss}$ . The cross-correlations will be accounted for by the external jackknife procedure. By using weighted fitting, less importance is given to data points with larger uncertainties. After fitting each bin we then have a jackknife ensemble { $\Delta_{mix}$ }. We use square brackets, [], to indicate a particular jackknife sample and angle brackets,  $\langle \rangle$ , to denote jackknife averages. For the



FIG. 2: Extracting  $\Delta_{mix}$  from a linear extrapolation of  $\delta m^2$  for the  $24^3 \times 64$  ensemble (left) and the three  $32^3 \times 64$  ensembles (right). For the  $32^3 \times 64$  plot the inset shows the intercept of the fit and the error bars of the extracted values of  $\Delta_{mix}$ .

case of  $\Delta_{mix}$ , its jackknife average and the corresponding uncertainty is given by

$$\langle \Delta_{mix} \rangle = \frac{1}{N} \sum_{k=1}^{N} [\Delta_{mix}]_k$$

$$\sigma_{\Delta_{mix}} = \sqrt{(N-1) \left( \langle \Delta_{mix}^2 \rangle - \langle \Delta_{mix} \rangle^2 \right)}.$$

$$(4)$$

The extracted values of  $\Delta_{mix}$ , using this method, are presented in Table V. We also list the corresponding values of  $B_{ov}$ . Figure 2 shows  $a^2 \delta m^2$  as a function of  $am_v$ and the corresponding linear fit.

Lattico am.		$\Delta_{mix}(\mathrm{GeV}^4)$		$B_{ov}(\mathrm{GeV})$	
Lattice	$um_l$	Ι	II	Ι	II
$24^{3}64$	0.005	0.032(6)	0.028(5)	1.85(2)	1.88(2)
$32^{3}64$	0.004	0.040(14)	0.025(9)	1.88(10)	1.95(6)
$32^{3}64$	0.006	0.054(9)	0.050(8)	1.81(5)	1.81(5)
$32^{3}64$	0.008	0.059(13)	0.063(13)	1.74(6)	1.73(6)

TABLE V: Extracted values of  $\Delta_{mix}$  and  $B_{ov}$  using fitting methods I and II.

In our second method we fit the values of  $\delta m$  to the function  $\delta m^2 = B_{ov}m_v + a^2\Delta_{mix}$ . We use a correlated  $\chi^2$  fit because the values of  $\delta m$  for different valence quark masses are correlated. Since  $\delta m$  is itself determined from a fitting procedure, we use the standard jackknife estimator to determine the covariance of  $\delta m$  between different  $m_v$ 's. Results of this method are tabulated in Table V.

#### B. Method III: uncorrelated fitting

The methods described in the previous section closely parallel the method performed by [10] in which for each lattice ensemble a string of partially quenched meson masses are calculated; from them we extract  $\Delta_{mix}$ . In this section we perform a fit on the three  $32^3 \times 64$  ensembles based on the value of  $\delta m^2$  measured at the point where the valence and sea quark masses match [26]. There are no cross-correlations among the masses since the ensembles are independent.

The value of  $\delta m^2$  is computed for the pion mass that most closely satisfies the condition  $m_{ss} \approx m_{vv}$ . Because it is not easy to match a priori the pseudoscalar masses and it is expensive to regenerate overlap propagators with different masses, we performed interpolation among the existing data points to obtain a better approximation of where  $m_{ss}$  and  $m_{vv}$  match.

We do an uncorrelated  $\chi^2$ -fit with the error bars obtained by a jackknife procedure. Figure 3 shows the data points and the fit results. For this case we find  $\Delta_{mix} = 0.042(24) \text{ GeV}^4$ , and  $B_{ov} = 1.82(16) \text{ GeV}$ .

#### IV. DISCUSSION

As a first step, we look at the lattice spacing dependence. We compare the two ensembles with  $m_{ss}$  close to 300 MeV. These correspond to  $am_l = 0.005$  and  $am_l = 0.004$  with a = 0.114 fm and a = 0.085 fm respectively. We average the central values and the errors from the two fitting methods, separately for each lattice spacing. We find at a = 0.114 fm  $\Delta_{mix} = 0.030(6)$  GeV<sup>4</sup> and for a = 0.085 fm  $\Delta_{mix} = 0.033(12)$  GeV<sup>4</sup>. We see that the lattice spacing dependence is smaller than our errors; this indicates that  $\Delta_{mix}$  is capturing the dominant lattice artifact for the parameters used in this study.

To discuss the sea quark dependence of  $\Delta_{mix}$  we plot in Fig. 4 the results of methods I, II, and III for the ensembles with a = 0.085 fm. We note that the results are consistent within two sigma. This is consistent with LO MAPQ $\chi$ PT in Eq. (1) where  $\Delta_{mix}$  is a low energy constant, independent of the valence and sea masses.



FIG. 3: Determining  $\Delta_{mix}$  by performing the linear extrapolation as in Fig. 2. Each point corresponds to one of the  $32^3 \times 64$  lattices where  $m_{ss} \approx m_{vv}$ . A magnified view of the extrapolation in the neighborhood of the chiral limit, along with the extrapolated value, is shown in the inset.

We now combine the  $\Delta_{mix}$  values extracted from the two lightest sea mass ensembles to produce our final result. We use these ensembles because the LO MAPQ $\chi$ PT is expected to describe the data better at lower sea quark masses. For each of the fitting methods we combine the results of the a = 0.114 fm and a = 0.085 fm ensembles. Since the two ensembles are statistically independent, it is straightforward to combine these results: for method I we get  $\Delta_{mix} = 0.033(6) \text{ GeV}^4$  and for method II we get  $\Delta_{mix} = 0.027(5) \text{ GeV}^4$ . We can now use the values determined using these two methods to estimate the systematic fitting errors. We quote the final result with two uncertainties, the first statistical and the second associated with fitting systematics. The central value and the statistical error are taken to be the average of the results from methods I and II. The systematic error is obtained by examining the variance of the two methods. We get  $\Delta_{mix} = 0.030(6)(5) \,\mathrm{GeV}^4.$ 

Table VI lists calculated values of  $\Delta_{mix}$  for pion masses close to 300 MeV using different mixed actions. We notice that our values of  $\Delta_{mix}$  are significantly smaller than overlap on clover or DWF on Asqtad for comparable lattice spacings and pion masses. For both  $m_{vv}$ and  $m_{ss}$  at 300 MeV, our calculated  $\Delta_{mix}$  will shift the pion mass up by 10 and 16 MeV for the  $32^3 \times 64$  lattice at a = 0.085 fm and  $24^3 \times 64$  lattice at a = 0.114 fm, respectively. These are substantially smaller than the corresponding 55 – 153 MeV and 110 – 240 MeV shifts that we mentioned in Sec. I. The value of  $\Delta_{mix}$  is significantly smaller in our case probably because the sea and valence fermion actions are similar. Both of them are approximations of the matrix sign function, but with different kernels.

The previous calculation of  $\Delta_{mix}$  for overlap on the DWF sea [17], has roughly the same value but the sign is negative. The method used in that calculation relied on fitting the difference in two scalar meson corre-



FIG. 4:  $\Delta_{mix}$  for a = 0.085 fm. The empty symbols indicate the results of method I and the full symbols are from method II. The continuous line and the shaded region are the results of the chiral extrapolation in method III.

Mixed Action	Ref.	$a{ m fm}$	$\Delta_{mix} (\mathrm{GeV}^4)$
DWF on staggered	[15]	0.125	0.249(6)
DWF on staggered	[10]	0.12	0.211(16)
DWF on staggered	[10]	0.09	0.173(36)
overlap on clover	[16]	0.09	0.55(23)
overlap on DWF	this work	0.114	0.030(6)
overlap on DWF	this work	0.085	0.033(12)

TABLE VI:  $\Delta_{mix}$  values for DWF valence quarks on staggered sea quarks for pion mass at 300 MeV.

lators: one with periodic boundary conditions and the other with anti-periodic boundary conditions. These two correlators are almost identical. The difference is expected to decay at a rate related to the mass difference of two nearly degenerate states:  $(m_{v_1s} - m_{v_2s})$  where  $v_1, v_2$  are the valence masses for the periodic and anti-periodic correlators— $\Delta_{mix}$  is extracted from this mass difference. For more details the reader is referred to the original paper [17]. It is important to note that while the method is theoretically sound, no attempts were made to asses the systematic errors. Moreover, that calculation used only one ensemble  $(24^3 \times 64)$ . As such, we feel that the discrepancy between the two states is due to an underestimation of the systematic error in the previous study.

#### V. CONCLUSION

We calculated the additive mixed action pseudoscalar meson mass parameter,  $\Delta_{mix}$ , for the case of valence overlap fermions on a DWF sea.  $\Delta_{mix}$  is significant because it enters into mixed action partially quenched chiral perturbation theory (MAPQ $\chi$ PT) for chiral extrapolation of many low energy observables. Two different lattice spacings were used to examine the cut-off behavior. For a pion mass close to 300 MeV we find  $\Delta_{mix} = 0.030(6) \text{ GeV}^4$  at a = 0.114 fm and  $\Delta_{mix} = 0.033(12) \text{ GeV}^4$  at a = 0.085 fm. They are the same within errors. Our calculated  $\Delta_{mix}$  will shift the pion mass up by 10 and 16 MeV for the  $32^3 \times 64$  lattice at a = 0.085 fm and  $24^3 \times 64$  lattice at a = 0.114 fm, respectively. We studied the sea quark mass dependence of  $\Delta_{mix}$  at a = 0.085 fm and we find that they agree within two sigma. Combining the results extracted from the ensembles with the lightest sea quarks, we get  $\Delta_{mix} = 0.030(6)(5) \text{ GeV}^4$ , where the first error is statistical and the second is the systematic error associated with the fitting method.

When compared to previous mixed action studies, DWF on staggered or overlap on clover, the values of  $\Delta_{mix}$  of overlap on DWF are almost an order of magnitude smaller. This is most likely due to the fact that the sea and valence fermions are similar.

There are other sources of systematic error that were

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not investigated in this study, for example the finite-

volume effects. Our assumption is that these errors are

less important but future studies will be needed to verify

this. However, we feel that the main conclusion—the

 $\Delta_{mix}$  parameter for overlap-valence/DWF-sea mixed-

action is small—is unlikely to change even if the system-

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