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Phys. Rev. D 85, 115014 — Published 19 June 2012
DOI: 10.1103/PhysRevD.85.115014
Supersoft Supersymmetry is Super-Safe

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(Dated: March 30, 2012)

We show that supersymmetric models with a large Dirac gluino mass can evade much of the jets plus missing energy searches at LHC. Dirac gaugino masses arise from “supersoft” operators that lead to finite one-loop suppressed contributions to the scalar masses. A little hierarchy between the Dirac gluino mass \(5 \to 10\) times heavier than the squark masses is automatic and technically natural, in stark contrast to supersymmetric models with Majorana gaugino masses. At the LHC, colored sparticle production is suppressed not only by the absence of gluino pair (or associated) production, but also because several of the largest squark pair production channels are suppressed or absent. We recast the null results from the present jets plus missing energy searches at LHC for supersymmetry onto a supersoft supersymmetric simplified model (SSSM). Assuming a massless LSP, we find the strongest bounds are: 748 GeV from a \(2j + \not{E}_T\) search at ATLAS (4.7 fb\(^{-1}\)), and 684 GeV from a combined jets plus missing energy search using \(\alpha_T\) at CMS (1.1 fb\(^{-1}\)). In the absence of a future observation, we estimate the bounds on the squark masses to improve only modestly with increased luminosity. We also briefly consider the further weakening in the bounds as the LSP mass is increased.

I. INTRODUCTION

The parameter space of the minimal supersymmetric standard model (MSSM) is significantly constrained by impressive searches at the LHC. The strongest limits occur on the mass of colored superpartners, well over 1 TeV in simplified models in which the squark or gluino decays to a quark or gluon and a (nearly) massless lightest supersymmetry particle (LSP). These limits are driven by several search strategies for large missing energy and large amounts of hadronic activity, which we abbreviate \(nj + \not{E}_T\).

The strong constraints from \(nj + \not{E}_T\) searches have (re-)motivated three basic approaches to weak-scale supersymmetry:

1. Discard superpartners that are not directly relevant to electroweak symmetry breaking, including the first and second generation squarks. Well known examples are more minimal supersymmetry [1, 2] and split supersymmetry [3]. The extent to which these approaches successfully retain a light third generation with heavy first and second generations have been explored recently in several scenarios [4–10].

2. Keep superpartners roughly in the sub-TeV region, while removing most or all of the missing energy, thereby rendering \(nj + \not{E}_T\) searches moot. The classic example is \(R\)-parity violation (for a review, see [11]) through the baryon number violating \(u^c \not{d}^c d^c\) term in the superpotential, which allows the LSP to decay into jets (for a recent discussion see [12–15]).

3. Keep superpartners roughly in the sub-TeV region, while removing most or all of the visible energy, which significantly weakens the effectiveness of \(nj + \not{E}_T\) searches. This approach includes compressed supersymmetry [16], stealth supersymmetry [17] (which is a hybrid between approaches 2 and 3) and others.

In this paper, we propose a fourth alternative:

4. Keep most superpartners in the sub-TeV region, while removing much of the \(production\) \(cross\) \(section\), thereby significantly weakening the effectiveness of \(nj + \not{E}_T\) searches. We demonstrate that this alternative allows first and second generation squarks to be as light as \(650 \to 750\) GeV with a massless LSP, and potentially even lighter if there is modest compression by either raising the LSP mass or allowing a cascade decay through intermediate mass superpartners. The key to this alternative is to assume the gluino acquires a large Dirac mass.

Reducing the production cross section of colored superpartners “merely” by raising the Majorana gluino mass in the MSSM would seem to be just as sufficient. However, the squark masses receive substantial log-enhanced contributions to their masses through renormalization group evolution. This includes the stop masses, which in turn feed into the Higgs soft masses through the top Yukawa. Since the Higgs soft masses directly determine the fine-tuning of the electroweak symmetry breaking scale, this implies the stops as well as the gluino should not far exceed the electroweak scale without causing excessive unnaturalness.

A heavy Dirac gluino, by contrast, is completely natural [18–21]. Dirac gaugino masses induce one-loop finite contributions to squark, slepton and Higgs soft masses from “supersoft” operators [21]. The finiteness implies renormalization group evolution of squark masses is insensitive to the gaugino masses, preserving the little hierarchy \(M_3 \simeq (5 \to 10) \times M_q\). The only price we pay is minimality – the matter content must be extended by three gauge adjoint superfields, one for each gauge group.
II. SIMPLIFIED MODELS AND THE SSSM

We are interested in calculating the bounds on supersymmetric models with Dirac gaugino masses. Our approach is to first construct a supersoft supersymmetric simplified model (SSSM) on which we can apply the \( n_j + E_T \) limits from LHC. This is completely analogous to the construction of simplified models of the MSSM [22, 23], which are now widely used in presenting the results from LHC searches for supersymmetry. The SSSM, illustrated in the far left pane of Fig. 1, has a gluino with a large, purely Dirac mass, degenerate first and second generation squarks (of both handedness), and the lightest supersymmetric particle (LSP) at the bottom of the spectrum. In defining the SSSM, we have explicitly chosen the Dirac gluino mass to have a fixed large value, \( M_3 = 5 \) TeV. The large gluino mass implies gluino pair production is kinematically forbidden while associated gluino/squark production is highly suppressed, leaving squark production as the only potentially viable colored sparticle production at the LHC. Squarks decay through \( \tilde{q} \to q + \text{LSP} \), where the quark flavor and chirality depends on the initial squark.

To perform an apples-for-apples comparison of the constraints on supersoft supersymmetry versus the MSSM, we calculate the bounds not only on the SSSM, but also three other simplified models of the MSSM. In all of the simplified models, the first and second generation squarks are degenerate and the LSP is massless. The spectra of the three comparison simplified models of the MSSM are shown in the three right-most panes of Fig. 1. The purpose of the comparison models is to both validate our analysis against the actual bounds from experimental analyses (where available), as well as to directly show the weakness of the bounds on the SSSM in direct contrast to the MSSM. The “equal MSSM” and “intermediate MSSM” simplified models are chosen to provide a comparison with typical MSSM spectra. The “heavy MSSM”, directly compares the results for a Dirac gluino versus a Majorana gluino of the same mass. Generally the LSP mass is taken to be kinematically negligible, however we also comment on the relaxation of the bounds on the SSSM when the LSP is heavier.
Several phenomenological implications of Dirac gauginos as well as fully $R$-symmetric supersymmetry have been explored in [39–60].

In this study we do not consider bounds on the third generation squarks. Third generation squarks receive modifications to their masses through their interactions with the Higgs supermultiplets. Given that supersoft supersymmetry has a suppressed $D$-term for the Higgs potential, typically this requires heavier stop masses as well as separating the scalar masses of the adjoint superfields from the corresponding Dirac gaugino masses. This could be accomplished through additional $R$-symmetric $F$-term contributions to their masses. In any case, third generation squarks have distinct signals involving heavy flavor (with or without leptons), and thus require incorporating a much larger class of LHC search strategies. We believe there are interesting differences between the third generation phenomenology of a supersoft model versus the MSSM, but we leave this for future work.

We also do not consider potentially large flavor-violation in the squark-gaugino (or squark-gravitino) interactions, as could occur in an $R$-symmetric model [30]. This would add to the heavy flavor component of signals while subtracting from the $nj + E_T$ signals that concern us in this paper. In the interests of demonstrating the differences between the SSSM and the simplified models of the MSSM, the latter of which cannot have large flavor violation, we do not consider flavor-violation in the squark interactions of the SSSM.

III. ASPECTS OF DIRAC GAUGINO MASSES

A. Supersoftness

A supersoft supersymmetric model contains chiral superfields in the adjoint representation of each gauge group of the SM in addition to the superfields of the MSSM. Supersymmetry breaking communicated through a $D$-term spurion leads to Dirac gaugino masses that pair up the fermionic component from each field strength with the fermionic component of the corresponding adjoint superfield. The adjoint superfields also contain a complex scalar, whose real and imaginary component masses are not uniquely determined in terms of the Dirac gaugino mass. The Lagrangian for this setup, in terms of four component spinors, is given in Appendix A.

The scalar components of chiral superfields receive one-loop finite contributions to their soft masses from gauginos and adjoint scalars, as was shown clearly by [21]

$$M^2_f = \sum_i C(f) \alpha_i M^2_i \frac{\pi}{\pi} \log \frac{\tilde{m}_i^2}{M_i^2}.$$  \hspace{1cm} (1)

The sum runs over the three SM gauge groups where $C(f)$ is quadratic Casimir of the fermion $f$ under the gauge group $i$. The $\tilde{m}_i$ are the soft masses for the real scalar components of the adjoint superfields. The $M_i$ are the Dirac masses for the gauginos. Assuming the contribution to the squark masses is dominated by the Dirac gluino,

$$M^2_\tilde{q}_i \simeq (700 \text{ GeV})^2 \left( \frac{M_3}{5 \text{ TeV}} \right)^2 \frac{\log \tilde{r}_i}{\log 1.5}.$$  \hspace{1cm} (2)

where $\tilde{r}_i \equiv \tilde{m}_i^2/M_i^2$. Somewhat smaller or larger soft masses can be achieved by adjusting the ratio $\tilde{r}_i$, since we hold the Dirac gluino mass $M_3 = 5$ TeV fixed in the SSSM.

B. Naturalness

The up-type Higgs mass-squared $m^2_{H_u}$ receives positive one-loop finite contributions from the Dirac electroweak gauginos as well as negative one-loop contributions from the stops. As was emphasized in Ref. [21], the latter contribution can easily overwhelm the former, leading to a negative Higgs mass-squared and thus radiative electroweak symmetry breaking. Unlike the MSSM, however, the usual logarithmic divergence from the stop contributions to the Higgs mass is cutoff by the Dirac gluino mass, giving

$$\delta m^2_{H_u} = -\frac{3\lambda^2}{8\pi^2} M^2_\tilde{f} \log \frac{M^2_\tilde{f}}{M^2_i}.$$  \hspace{1cm} (3)

Using Eq. (1), and approximating $\log[M^2_\tilde{f}/M^2_i] \simeq \log [3\pi/(4\alpha_s)]$, we obtain

$$\delta m^2_{H_u}|_{\text{SSSM}} \simeq -\left( \frac{M_3}{22} \right)^2 \frac{\log \tilde{r}_3}{\log 1.5}.$$  \hspace{1cm} (4)

Contrast this expression with the analogous one from the MSSM [7]

$$\delta m^2_{H_u}|_{\text{MSSM}} \simeq -\left( \frac{M_3}{4} \right)^2 \left( \frac{\log \Lambda/M_3}{3} \right)^2.$$  \hspace{1cm} (5)

where $\tilde{M}_3$ corresponds to the Majorana gluino mass. This makes it clear that a Dirac gluino can be several times larger than a Majorana gluino in an MSSM-type model and yet be just as natural, even when comparing against an MSSM model with a mediation scale that is as low as conceivable, $\Lambda \simeq 20 M_3$. Our choice of Dirac gluino mass $M_3 = 5$ TeV with $\tilde{r}_3 \simeq 1.5$ is thus roughly equivalent, in the degree of naturalness, to a low-scale mediation MSSM model with Majorana gluino mass $\tilde{M}_3 \simeq 900$ GeV.

C. Colored Sparticle Production

For LHC phenomenology, there are several implications of a heavy Dirac gluino. First, gluino pair production and associated gluino/squark production is
completely negligible due to the kinematic suppression. Squark-anti-squark production can proceed at tree-level through $gg,q\bar{q} \rightarrow \tilde{q}_L \tilde{q}_L, \tilde{q}_R \tilde{q}_R$, while the $t$-channel Dirac gluino exchange diagrams are suppressed by a factor $1/M_3^2$. There are also mixed-handedness production processes $pp \rightarrow \tilde{q}_L \tilde{q}_R, \tilde{q}_R \tilde{q}_L$, but again suppressed by $1/M_3^2$ in the amplitude. The contribution of these Dirac gluino exchange diagrams with $M_3 = 5$ TeV are at the level of a few percent — far smaller than the NLO QCD corrections — and thus negligible. The remaining tree-level unsuppressed Feynman diagrams that contribute to squark production are shown in Fig. 2. We emphasize that all of these subprocesses require sea quarks or gluons to initiate at the LHC.

The MSSM also contains the same-handedness processes $pp \rightarrow \tilde{q}_L \tilde{q}_R, \tilde{q}_R \tilde{q}_L$ through $t$-channel Majorana gluino exchange, leading to contributions suppressed by just one power of the gluino mass, $1/M_3$. These processes, as well as the mixed-handedness ones ($pp \rightarrow \tilde{q}_L \tilde{q}_R, \tilde{q}_R \tilde{q}_L$) are initiated by two valence quarks, and can lead to a large fraction of the total $pp \rightarrow$ (colored superpartner) cross section. In the SSSM, the same-handedness processes are simply absent (no chirality-flipping Majorana mass) while the mixed-handedness processes are more suppressed by $1/M_3^2$ instead of $1/M_3$. This means the cross section for squark production in the SSSM can thus be smaller by a factor of 3 or more even when comparing the SSSM ($M_3 = 5$ TeV) against the “heavy MSSM” simplified model ($\tilde{M}_3 = 5$ TeV). Also, the difference between the SSSM and the MSSM grows as the squark mass increases, because the final state requires more energy, and thus higher partonic $x$, where valence quark distributions dominate over gluons or sea quark distributions.

D. Electroweakinos

The SSSM, by definition, does not include the effects of the Higgsinos or electroweak gauginos. For general electroweakino masses, there are two potential effects on our results: squark cross sections could change due to virtual Higgsino or electroweak gaugino exchange; squark decay chains could change due to cascades through Higgsinos or electroweak gauginos.

Higgsino exchange contributions to first and second generation squark production is negligible, due to the small Yukawa couplings. Electroweak gaugino exchange is suppressed by the smaller electroweak couplings, and thus not relevant unless the electroweak gauginos are significantly lighter than the squarks. We thus do not expect that our squark production cross section calculations to be significantly affected by the Higgsino and electroweak gaugino spectrum.

Moreover, while the masses of the electroweak gauginos are model-dependent, a supersoft supersymmetric model would predict the electroweak gauginos to be $\approx 4\pi/g$ heavier than sleptons. Imposing the LEP II bound on slepton masses implies the electroweak gauginos are generically heavier than the masses of the squarks we consider in this paper. Thus, squark cascade decay through electroweak gauginos is kinematically forbidden in supersoft models, and thus we do not need to consider it further.

Higgsinos, however, may be lighter than both the squarks and the electroweak gauginos. Naturalness — obtaining the right electroweak symmetry breaking vacuum without significant tuning — certainly favors lighter Higgsinos. Squark cascade decay through Higgsinos would lead to changes in the energies of the decay products, as well as the potential addition of charged leptons or neutrinos in the final state. Detailed simulation of these cases is highly model-dependent. Nevertheless, the jets plus missing energy bounds on models with lighter Higgsinos could be substantially weaker if the average hadronic activity is reduced. On the other hand, the bounds from other supersymmetric searches could be substantially stronger if the squark cascade through Higgsinos results in hard leptons or photons. We note however that searches more specific to models with Majorana
neutralinos, such as same-sign lepton final states, may not yield strong bounds if the model is approximately R-symmetric, and so again we are left to model-dependent investigations to make quantitative statements.

IV. RECASTING LHC LIMITS

To recast LHC limits on colored superparticle production into the SSSM, we follow the analyses searching for supersymmetry through $n_j + E_T$ signals performed by ATLAS [61] and CMS [62–64]. Of the existing supersymmetry searches, jets plus missing energy is the simplest, and involves the fewest assumptions about the spectrum.

To simulate the supersymmetric signal, we use PYTHIA6.4 [65]; the first and second generation squarks are set to have equal mass, the gravitino is chosen to be the LSP, and all other superpartners are decoupled (set to 5 TeV). We use CTEQ6L1 parton distribution functions, generating a sufficient number of events such that statistical fluctuations have negligible effect on our results. To incorporate detector effects into our signal simulations, all events are passed through the Delphes [66] program using ATLAS or CMS detector options and adopting the corresponding experiment’s jet definitions: anti-$k_T$, $R = 0.4$ for the ATLAS search [61], and anti-$k_T$, $R = 0.5$ for the CMS searches [62–64]. We repeat the same steps for the three simplified models of the MSSM (c.f. Fig. 1) allowing all combinations of $\tilde{q}\tilde{q}^*$, $\tilde{q}^*\tilde{q}^*$, $\tilde{q}\tilde{q}^*$ as well as gluino pair production and associated squark plus gluino production. Note that our “heavy MSSM” simplified model is an existing CMS simplified model, “T2” [67].

Colored superpartner production cross sections receive sizable next-to-leading order (NLO) corrections. To incorporate these corrections, we feed the spectra into PROSPINO [68], restricting the processes appropriately for each simplified model (i.e., just $pp \rightarrow \tilde{q}\tilde{q}$ for the SSSM). The cross sections are shown in Fig. 3 for each of the simplified models as a function of squark mass. Depending on the scale choice and the squark mass, we find the $K$-factor ranges from 1.7-2.1. This takes into account the increased rate at NLO, through not the kinematic distribution of events.

The analyses we are interested in [61–64], are broken up into several channels. For some analyses the channels are orthogonal, while in other analyses one event can fall into multiple channels. To set limits we begin by counting the number of supersymmetry events in each analysis channel for several squark masses. The number of supersymmetric events passing cuts is translated into a mass-dependent acceptance for each channel. We then form the 95% CL limit, using the likelihood ratio test statistic [69]:

$$0.05 = \frac{\int_0^\infty db' \sum_{N_i, b^0} N_i, b^0 e^{-\mu_i, b^0 e^{-\mu_i, b^0}} G(\mu_i, b^0)}{\int_0^\infty db' \sum_{N_i, b^0} N_i, b^0 e^{-\mu_i, b^0} G(\mu_i, b^0)}$$

FIG. 3. Cross sections at the 7 TeV LHC for colored superpartner production. The four lines correspond to the four simplified models shown in Fig. 1, where the first and second generation squarks are degenerate with mass $M_q$. The solid line shows the cross section for the SSSM where the cross section is dominated by $\tilde{q}\tilde{q}^*$ final states, while the dashed lines show cross sections for the three simplified models of the MSSM. All cross sections are calculated to next-to-leading order using PROSPINOv2.1 [68], CTEQ6L1 parton distribution functions, and default scale choices. For event generation, we use PYTHIA6.4 [65] and rescale the cross section to match those shown here.

Here $\mu_i, b \equiv N_i, b^{\text{exp}}$ is the number of expected SM background events and $\mu_i, s \equiv N_i, s^{\text{SUSY}}$ is the number of signal events. To estimate the effects of systematic errors, the number of SM events is modulated by a Gaussian weighting factor [70]. Specifically, we shift $\mu_i \rightarrow \mu_i (1 + f_i)$, where $f_i$ is drawn from a Gaussian distribution centered at zero and with standard deviation $\sigma_i = \sigma_{i, SM}/N_i, b^{\text{exp}}$, where $\sigma_{i, SM}$ is the quoted systematic uncertainty (taken directly from [61–64]). Whenever the systematic error is asymmetric, we use the larger (in absolute value) number. To combine channels (when appropriate), we simply replace the right-hand side of Eq. (6) with the product over all channels.

The number of supersymmetry events in a particular channel is the product of the cross section, luminosity, acceptance and efficiency,

$$N_i, \text{SUSY} = \mathcal{L} \cdot K(M_\tilde{q}) \sigma(M_\tilde{q}) \cdot A(M_\tilde{q}) \cdot \epsilon,$$

where $K(M_\tilde{q})$ is the mass-dependent $K$-factor to account for the larger rate at NLO. Within our simplified setup, the only parameter the cross section and acceptance depend upon is the mass of the squark – thus Eq. (6) is simply a limit on the squark mass.
While the likelihood ratio test statistic is particularly well suited to analyses with low event counts, it is just one possibility. To test that our results do not depend on this choice, we have also computed limits using the sum-$\chi^2$ test statistic,

$$\sum_{i=1}^{\text{chan}} \frac{(N_{i,\text{obs}} - (N_{i,\text{exp}} + N_{i,\text{SUSY}}))^2}{N_{i,\text{exp}} + N_{i,\text{SUSY}} + \sigma^2_{i,\text{SM}}}.$$  \hspace{1cm} (8)

We find our results using different test statistics are broadly consistent, with the biggest differences being, as expected, when the number of events in a particular channel is low.

The constructions in Eq. (6) and (8) are only approximate. Both formulations assume a Gaussian treatment of the systematics is appropriate, and correlations among uncertainties when combining channels are completely neglected. A more complete treatment of the correlated experimental uncertainties may be possible through RECAST [71], which we leave for future work.

The exact limits we can place from the experimental analyses depend on several factors. The luminosity and the systematic uncertainties on the background are examples of factors that evolve with time, while the signal cross section and acceptance (for a given analysis) are fixed. To make our study as general as possible, we show our derived acceptances as a function of squark mass in a series of Figures in Appendix B. These numbers allow us to estimate limits as the luminosity increases, at least for a fixed analysis strategy. Nevertheless, we do calculate the squark mass limits using the experiments’ quoted luminosities and background uncertainties in Table I. Both the limits from individual channels, as well as combined limits (in cases where the channels are distinct and nonoverlapping) are given. The cross sections have already been shown in Fig. 3, leaving the derived acceptance times efficiency as the only undetermined factor in Eq. (7).

In the following subsections we present the set of analyses used to bound the parameter space of our SSSM. The details of the analyses cuts can be found in Refs. [61–64]. For ease of comparison, all of the bounds we obtain for each analysis strategy from each experiment are presented in Table I. The table provides the bounds on the SSSM, as well as the three simplified models of the MSSM shown in Fig. 1. In the following, we discuss the important observables for each analysis, then describe our extracted limits.

A. ATLAS Limits with 4.7 fb$^{-1}$

The first analysis we consider is the ATLAS jets plus missing energy search performed in Ref. [61]. Events with no leptons and large missing energy are subjected to several subanalyses, each with a different jet multiplicity requirement (2-6 jets). Within each multiplicity subanalysis, cuts are then placed on the individual jet transverse momenta, the effective mass for a given multiplicity: $m_{\text{eff}}(N) = \sum_{i=1}^{N} p_{T,i} + E_{T}$, and the ratio of missing energy to effective mass. To further reduce backgrounds from poorly measured QCD jets, a cut is also placed on the minimum azimuthal angle between the missing momenta vector and any (sufficiently hard) jet. Surviving events are then classified according to their inclusive $m_{\text{eff}}(\text{inc})$, which differs from $m_{\text{eff}}(N)$ in that all jets with $p_{T} > 40$ GeV are included in the sum. The $m_{\text{eff}}(\text{inc})$ classifications are referred to as “loose”, “medium” and “tight”. There are eleven total channels, since not every jet multiplicity has all three $m_{\text{eff}}(\text{inc})$ classifications.

The derived $A(M_{\tilde{q}}) \cdot \epsilon$ for the 11 different channels in the ATLAS jets plus missing energy search [61] are shown in Fig. B.1 in Appendix B. We show the acceptance times efficiency as a function of squark mass both in the SSSM as well as the simplified models of the MSSM.

We emphasize that Fig. B.1 only gives a piece of the limit calculation — a large efficiency does not necessarily mean a good limit, as the background may also be large. Applying Eq. (8) using the observed event counts from Ref. [61], we find the 2-jet (A, A$'$) channels have the best sensitivity: $M_{\tilde{q}} > 737$ GeV and $M_{\tilde{q}} > 748$ GeV respectively (95% CL, see Table I for full details). For the simplified models of the MSSM, we find the bounds range from $M_{\tilde{q}} > 1063$ GeV (for the “heavy MSSM” simplified model) to $M_{\tilde{q}} > 1453$ GeV (for the “equal MSSM” simplified model). The acceptance/efficiency factors for the different scenarios are similar, as shown in Fig. B.1 in Appendix B, and thus the difference in the limits is driven by the larger cross sections in the simplified models of the MSSM.

There is another ATLAS supersymmetry search focusing on very high jet multiplicity, ≥ 6 jets [72]. This search is most sensitive to supersymmetric events with long decay chains, such as from gluino pair production. For events dominated by short decay chains, i.e., the SSSM, we expect the high-multiplicity tails are not large enough to be seen over the background uncertainty. We verified this by passing the SSSM through the analysis strategy following Ref. [72], where we find the limits are indeed poor in comparison to the other strategies, and so we do not present them.

B. CMS Limits with ~ 1-5 fb$^{-1}$

We now turn to supersymmetry searches performed by the CMS collaboration. We follow three different jets plus $E_{T}$ search strategies. The first, in Ref. [62], uses the $a_{T}$ variable to distinguish signal – events with real missing energy – from background events where the missing energy comes from mismeasurement. The second, Ref [63] relies on large $E_{T}$ and $H_{T}$ to suppress background, while the third uses the so-called $m_{\text{soft}}$ variables developed in [73]. We follow the same procedure as in Sec. IV A; we derive $A(M_{\tilde{q}}) \cdot \epsilon$ for each analysis using
Monte-Carlo events, then follow Eq. (6) to set limits on the squark masses. The $A(M_{\tilde{q}})\cdot\epsilon$ curves depend only on the analysis cuts and can be applied unchanged to future data sets with increased luminosity or improved background modeling.

\[ \alpha_T = \frac{E_{T,2}}{M_T,jj}. \]  

### 1. Search based on $\alpha_T$, 1.1 fb$^{-1}$

In addition to basic identification cuts, this analysis requires that the leading two jets have $p_T > 100$ GeV and that the leading jet lies within the tracker. After vetoing events with leptons or photons, events are binned according to their overall $H_T = \sum_i E_T,i$, starting with $H_T = 275$ GeV; two 50 GeV bins spanning up to 375 GeV, four 100 GeV bins, then one bin containing all events with $H_T > 875$ GeV.

The hadronic activity in each event is massaged into two pseudojets, which are used to calculate $\alpha_T$, defined as

<table>
<thead>
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<th>search channel</th>
<th>SSSM $(M_3 = 5$ TeV)</th>
<th>“equal MSSM” $(M_3 = M_9)$</th>
<th>“intermediate MSSM” $(M_3 = 2 \times M_9)$</th>
<th>“heavy MSSM” $(M_3 = 5$ TeV)</th>
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<td><strong>1453 GeV</strong></td>
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<td>1342 GeV</td>
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<td>$H_T \in [375, 475)$ GeV</td>
<td>509 GeV</td>
<td>698 GeV</td>
<td>631 GeV</td>
<td>548 GeV</td>
</tr>
<tr>
<td>$H_T \in [475, 575)$ GeV</td>
<td>540 GeV</td>
<td>786 GeV</td>
<td>694 GeV</td>
<td><strong>570 GeV</strong></td>
</tr>
<tr>
<td>$H_T \in [575, 675)$ GeV</td>
<td>487 GeV</td>
<td>859 GeV</td>
<td>770 GeV</td>
<td>565 GeV</td>
</tr>
<tr>
<td>$H_T \in [675, 775)$ GeV</td>
<td>373 GeV</td>
<td>932 GeV</td>
<td>833 GeV</td>
<td>460 GeV</td>
</tr>
<tr>
<td>$H_T \geq 875$ GeV</td>
<td><strong>960 GeV</strong></td>
<td><strong>960 GeV</strong></td>
<td><strong>960 GeV</strong></td>
<td><strong>960 GeV</strong></td>
</tr>
<tr>
<td><strong>combined</strong></td>
<td>684 GeV</td>
<td>1178 GeV</td>
<td>1032 GeV</td>
<td>786 GeV</td>
</tr>
<tr>
<td>CMS $\alpha_T$, 1.1 fb$^{-1}$</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$\not{E}_T &gt; 350$ GeV, $H_T &gt; 500$ GeV</td>
<td><strong>593 GeV</strong></td>
<td>989 GeV</td>
<td>844 GeV</td>
<td>648 GeV</td>
</tr>
<tr>
<td>$H_T &gt; 500$ GeV</td>
<td><strong>500 GeV</strong></td>
<td>989 GeV</td>
<td>799 GeV</td>
<td>563 GeV</td>
</tr>
<tr>
<td>$\not{E}_T &gt; 500$ GeV, $H_T &gt; 800$ GeV</td>
<td>416 GeV</td>
<td><strong>1154 GeV</strong></td>
<td><strong>981 GeV</strong></td>
<td><strong>661 GeV</strong></td>
</tr>
<tr>
<td>CMS razor, 4.4 fb$^{-1}$</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0 $\ell$, S1</td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td></td>
</tr>
<tr>
<td>0 $\ell$, S2</td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td></td>
</tr>
<tr>
<td>0 $\ell$, S3</td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td></td>
</tr>
<tr>
<td>0 $\ell$, S4</td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td></td>
</tr>
<tr>
<td>0 $\ell$, S5</td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td></td>
</tr>
<tr>
<td>0 $\ell$, S6</td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td><strong>639 GeV</strong></td>
<td></td>
</tr>
<tr>
<td>combined</td>
<td>588 GeV</td>
<td>1137 GeV</td>
<td>961 GeV</td>
<td>677 GeV</td>
</tr>
</tbody>
</table>

In addition to basic identification cuts, this analysis requires that the leading two jets have $p_T > 100$ GeV and that the leading jet lies within the tracker. After vetoing events with leptons or photons, events are binned according to their overall $H_T = \sum_i E_T,i$, starting with $H_T = 275$ GeV; two 50 GeV bins spanning up to 375 GeV, four 100 GeV bins, then one bin containing all events with $H_T > 875$ GeV.

The hadronic activity in each event is massaged into two pseudojets, which are used to calculate $\alpha_T$, defined as

\[ \alpha_T = \frac{E_{T,2}}{M_{T,jj}}. \]  

1 For events with only two jets this massaging is trivial. For events with multiple jets, the jets are combined until the event contains only two pseudojets. The choice of how the jets are added is determined by minimizing the difference between the scalar sum of the jet $E_T$ between the two pseudojets. See Ref. [62].
Cutting at $\alpha_T > 0.55$, the pure QCD contribution to the background becomes highly suppressed.

The acceptance times efficiency derived for each channel of this analysis is shown in Fig. B.2 in Appendix B. The squark mass directly sets the net transverse energy in an event, so the peak efficiency in a particular $H_T$ bin simply tracks the squark mass.

As before, the channel-by-channel limits from this search are shown in Table I. For the SSSM and the “heavy MSSM” simplified model, the most sensitive channels are for mid-range $H_T$ where the signal rate is still large and the background uncertainties are falling. In comparison, the cross section for the other two simplified models of the MSSM falls much slower with increasing squark mass, propped up by the lighter Majorana gluinos, leading to the highest $H_T$ bins being the most constraining. As the different $H_T$ channels are orthogonal, it is straightforward to combine them, resulting in a better limit. Forming the product of likelihood ratios over all channels, we find an observed 95% CL limit of $M_{\tilde{q}} \gtrsim 684$ GeV for the SSSM, and $M_{\tilde{q}} \gtrsim 786$ GeV for the “heavy MSSM” simplified model. The latter limit is in good agreement with the observed limit shown for the “T2” simplified model in Ref. [67], giving confidence that we have successfully reproduced their analysis. For the other cases, the combined limits are much higher: $M_{\tilde{q}} \gtrsim 1160$ GeV for the “equal MSSM” simplified model, and $M_{\tilde{q}} > 1032$ GeV for the “intermediate MSSM” simplified model.

2. Search based on $E_T, H_T$, 1.1 fb$^{-1}$

The second CMS search strategy we consider is more traditional in that it is based simply on large multiplicity of high-$p_T$ jets and large missing energy (see Ref. [63]). At least three jets of $p_T > 50$ GeV, $|\eta| < 2.5$ are required and no leptons ($p_T > 10$ GeV, $|\eta| < 2.5$) are permitted. Selected events require a minimum $E_T$ or 200 GeV sufficiently separated from the jets and a minimum $H_T = 350$ GeV. Passing events are piled into three further categories depending on $E_T, H_T$: i) $E_T > 350$ GeV, $H_T > 500$ GeV, ii) $H_T > 800$ GeV, and iii) $E_T > 500$ GeV, $H_T > 800$ GeV.

The acceptance/efficiency factors we find for the three channels are shown in Fig. B.3 in Appendix B. This analysis gives a similar trends to the previous search. The channel with lowest $E_T$ and $H_T$ are most constraining for the supersoft and heavy-gluino MSSM, while the channel with the tightest cuts are more stringent for the light gluino MSSM scenarios. The strongest individual channel limits are quite similar to the $\alpha_T$ case. As the channels are not orthogonal we do not combine and simply quote the strongest individual channel: $M_{\tilde{q}} > 593$ GeV (SSSM); $M_{\tilde{q}} > 1154$ GeV (“light MSSM” simplified model); $M_{\tilde{q}} > 981$ GeV (“intermediate MSSM” simplified model); $M_{\tilde{q}} > 661$ GeV (“heavy MSSM” simplified model).

3. Search based on razor variables

The final CMS search strategy we consider from Ref. [64] utilizes the razor variables to discriminate signal from background. For the razor analysis, all objects passing basic identification and selection cuts are grouped into two “mega-jets”. The division of particles into mega-jets is determined by which combination yields mega-jets that are closest in invariant mass. Once the mega-jets are formed, one boosts longitudinally to the frame where the two mega-jets have equal and opposite momenta along the beam direction ($p_z$). In this special frame, one calculates $M_R^R$ and $M_R$ defined as:

$$M_R^R = \frac{1}{2} \left( E_T (p_T,j_1 + p_T,j_2) - \vec{p}_T \cdot (\vec{p}_T,j_1 + \vec{p}_T,j_2) \right)$$

$$M_R = \sqrt{(E_{j_1} + E_{j_2})^2 - (p_{z,j_1} + p_{z,j_2})^2}.$$  \hspace{1cm} (10)

The magnitude of $M_R$ and the ratio $R^2 = (M_R^R/M_R)^2$ are then used to differentiate signal and background. The cut values for $R^2$ and $M_R$ depend on whether the event contains any isolated electrons or muons. For our signal, events with isolated leptons are rare, so we focus on the hadronic channel. The events in each channel are divided up into several bins then compared to the background, which has been extrapolated from a signal-free “fit-region”.

To set limits, we considered the six analysis regions defined by Ref. [64]:

- $S_1$: $R^2 \in [0.18, 0.3], M_R \in [2.0 \text{ TeV}, 3.5 \text{ TeV}]$
- $S_2$: $R^2 \in [0.3, 0.5], M_R \in [2.0 \text{ TeV}, 3.5 \text{ TeV}]$
- $S_3$: $R^2 \in [0.18, 0.5], M_R \in [1.0 \text{ TeV}, 2.0 \text{ TeV}]$
- $S_4$: $R^2 \in [0.3, 0.5], M_R \in [1.0 \text{ TeV}, 2.0 \text{ TeV}]$
- $S_5$: $R^2 \in [0.2, 0.3], M_R \in [650 \text{ GeV}, 1.0 \text{ TeV}]$
- $S_6$: $R^2 \in [0.4, 0.5], M_R \in [400 \text{ GeV}, 1.0 \text{ TeV}]$

The acceptance times efficiency factor for each channel as a function of squark mass is shown in Fig. B.4 in Appendix B.

Since the six regions are orthogonal, we can combine channels, leading to the limits: $M_{\tilde{q}} > 588$ GeV (SSSM), $M_{\tilde{q}} > 677$ GeV (“heavy MSSM” simplified model), and $M_{\tilde{q}} > 1$ TeV for the “equal MSSM” and “intermediate MSSM” simplified models with lighter Majorana gluinos. For the simplified models with heavy gluinos, the colored superpartner cross section falls fastest with increasing $M_{\tilde{q}}$ and thus the bounds are dominated by the lowest $M_R$ bins. As the gluino mass decreases, the superpartner cross section falls less precipitously, and the larger $M_R$ bins provide stronger constraints.
The limits we set with the 6-bin approach are conservative estimates. Utilizing an unbinned likelihood approach (as done in Ref. [64]), our limits may improve. However, the unbinned approach requires a complete, smooth description of the background (and signal) in the two-dimensional \((R, M_R)\) plane and makes our limit more sensitive to details of the detector modeling and correlations among systematics.

VI. LUMINOSITY EXTRAPOLATION

It is interesting to extrapolate the squark mass limits set in the previous section out to higher luminosity. Since we do not have the observed data from the future, we extrapolate using the expected limit, meaning \(N_{i,\text{obs}}\) is set equal to \(N_{i,\text{exp}}\) in Eq. (6). As we want to vary the luminosity, the background number of events is actually \(N_{i,\text{exp}} \times (L/L_0)\) where \(L_0\) is the luminosity used to derive efficiencies (the luminosity in [61–64]), and \(L\) is the projection luminosity. This extrapolation is conservative in that it assumes there is no re-optimization of the analysis cuts and that the systematic uncertainties remain unchanged.

We perform an extrapolation using the individual channel with the strongest limits from the various analyses, as well as the combined channels for the CMS \(\alpha_T\) strategy and the CMS razor strategy. These extrapolations are shown in Fig. 4. As the luminosity increases, we find the limits on the squark mass do not improve dramatically. The CMS \(\alpha_T\) search appears to be the best performing future search on the SSSM, with improvements on the squark mass bounds of expected to be roughly 15-25%. The limits asymptote fairly quickly once the analyses become dominated by systematic uncertainties rather than by statistical uncertainties. If the background systematics improve in the future, these projections could easily be redone using the signal acceptance times efficiency curves shown earlier.

VII. DISCUSSION

We have shown that our simplified model of supersoft supersymmetry is clearly much less constrained by LHC searches for supersymmetry than comparable simplified models of the MSSM. We find the bounds on first and second generation squark masses in the SSSM to be between 680 to 750 GeV, depending on the experiment, the particular search strategy, and the amount of integrated luminosity analyzed. This is fully consistent with the one-loop finite mass generated from a 5 TeV Dirac gluino (with \(\tilde{m}_g \simeq 1.5\)), as we showed in detail in Sec. III. Importantly, these bounds are only modestly improved with the increased luminosity of the LHC. We emphasize that our luminosity extrapolation was done assuming the search strategies were unchanged, and applied to more luminosity at \(\sqrt{s} = 7\) TeV. Nevertheless, the clear conclusion from the extrapolation is that the SSSM with a kinematically inaccessible Dirac gluino production remains safe from LHC bounds now and into the near future.

One of the more striking results is that the CMS \(\alpha_T\) analysis provided the strongest bound on the squark masses of the SSSM at 1 fb\(^{-1}\). The ATLAS jets plus missing energy search strategy, despite the considerable integrated luminosity 4.7 fb\(^{-1}\), resulted in only a slightly better bound. Our interpretation of these results is the \(\alpha_T\) search, which was designed to maximize signal over background with 2 jets plus missing energy, provides an ideal search strategy for the SSSM. This is due in large part because the \(\alpha_T\) strategy implements a wide range of search channels at intermediate values of \(H_T\) that are precisely within the range expected for \(\sim 600 \rightarrow 800\) GeV squarks of the SSSM. This is also borne out by the best bound from the CMS MHT strategy being the lower missing energy, lower \(H_T\) channel (distinctly different from the simplified models of the MSSM with lighter gluinos). Examining the expected limits from Fig. 4, we see that the 1 fb\(^{-1}\) CMS \(\alpha_T\) strategy is expected to yield the same bound on squarks in the SSSM as about a 4 fb\(^{-1}\) jets plus missing energy ATLAS analysis. This appears to be because the 2 jet search strategies done by ATLAS require very large \(m_{\text{eff}}\). Indeed, the ATLAS channel with the best bound on the SSSM (SRA') had the least restrictive cut on \(m_{\text{eff}}\) (greater than 1200 GeV). Similarly, the CMS razor analysis appears to be best optimized for very
high mass superpartner searches.

Our study focused on a nearly massless LSP, so that we could perform an apples-for-apples comparison between the LHC bounds on simplified models of the MSSM versus the SSSM. It is interesting to consider how the bounds change as the LSP mass is increased. Since the strongest expected bound on the squark masses in the SSSM comes from the CMS $\alpha_T$ analysis, we explored a variation of the SSSM where we allowed the LSP mass within the range $0 \leq m_{\text{LSP}} \leq 300$ GeV. We find CMS $\alpha_T$ limits for $m_{\text{LSP}} = 100$ GeV are roughly equal to those of a massless LSP. Raising the LSP mass to $m_{\text{LSP}} = 200$ GeV, the squark mass limit drops from 680 GeV to 651 GeV, and we find there is no limit for $m_{\text{LSP}} = 300$ GeV. This is consistent with the “T2” simplified model studied by CMS [67].

There are many other search strategies for supersymmetry that may be sensitive to more specific models of supersymmetry that have Dirac gaugino masses. One of the often-touted searches for supersymmetry are same-sign dilepton searches, since in the MSSM it is straightforward to obtain a significant same-sign dilepton signature resulting from the chirality-flip of a gaugino due to its Majorana mass. In scenarios with Dirac gauginos, this source of same-sign dileptons is completely absent. Depending on the implementation of the Higgsino sector, models with Dirac gaugino masses may or may not have effectively small Majorana masses and therefore a suppressed same-sign dilepton signal. It would certainly be interesting to follow up on the SSSM with another simplified model of the electroweak gaugino sector and determine the relative weakness of the LHC bounds. We leave this to future work.

Acknowledgments

We thank Ricky Fok, Patrick Fox, and Joe Lykken for many valuable conversations. GDK was supported in part by a Ben Lee Fellowship from Fermilab and in part by the US Department of Energy under contract number DE-FG02-96ER40969. AM and GK are supported by the US Department of Energy under contract number DE-AC02-07CH11359 with the US Department of Energy.

Appendix A: Supersoft Supersymmetric Simplified Model (SSSM)

The supersoft supersymmetric simplified model Lagrangian we are considering can be expressed as:

$$\mathcal{L} = \mathcal{L}_{\text{kin}} + \mathcal{L}_{\text{yuk}} + \mathcal{L}_{\text{decay}},$$

where $\mathcal{L}_{\text{kin}}$ contains the usual squark and gluino kinetic terms, gauge interactions and masses, $\mathcal{L}_{\text{yuk}}$ contains the gluino-squark-quark interactions, and $\mathcal{L}_{\text{decay}}$ contains the squark-quark-gravitino interactions through which the squarks decay. The kinetic term for the squarks is unchanged from the MSSM, while the gluino is slightly modified to account for the Dirac character of its mass:

$$\mathcal{L}_{\text{kin}} \supset i \bar{\tilde{\chi}}^a \gamma^\mu (\partial_\mu \delta_{ac} + g_s f^{abc} G^b_\mu) \lambda_c - M_3 \bar{\tilde{\chi}}^a \lambda_a, \quad (A.2)$$

where $\lambda_a$ is a four-component Dirac bi-spinor. Schematically

$$\lambda_a = \left( \begin{array}{c} \phi_a \\ \bar{\tilde{g}}_a \end{array} \right), \quad (A.3)$$

where $\bar{\tilde{g}}_a$ is usual gluino, in the sense that it is the superpartner of the gluon, and $\phi_a$ is the fermionic component of a color-adjoint superfield introduced to get a mass with $\bar{\tilde{g}}_a$.

The Yukawa terms are the same as in the MSSM, however if we want to write them in terms of four-component spinors we need to be careful since matter (squarks and quarks) couples only to $\bar{\tilde{g}}_a$ and not to $\phi_a$:

$$\mathcal{L}_{\text{yuk}} = -\sqrt{2} g_s \left( \bar{u}_{L,i} t^a \bar{\tilde{\chi}}^a \gamma^\mu P_L u_i + \bar{d}_{L,i} t^a \bar{\tilde{\chi}}^a \gamma^\mu P_L d_i - \bar{u}_{R,i} t^a \bar{\tilde{\chi}}^a \gamma^\mu P_R t_i - \bar{d}_{R,i} t^a \bar{\tilde{\chi}}^a \gamma^\mu P_R d_i \right) + \text{h.c.}, \quad (A.4)$$

where $t^a$ are the $SU(3)$ generators, $P_{L,R}$ are the usual chiral projection matrices and $i$ labels the flavor index.

The gravitino interactions in the SSSM are exactly the same as in the MSSM. Approximating interactions with the gravitino by interactions with its goldstino longitudinal component, we have

$$\mathcal{L}_{\text{decay}} = \frac{i}{\sqrt{3} M_p m_{3/2}} \bar{q}_{\omega,i} \gamma^\mu D_\mu \tilde{G}_{\omega,i} + \text{h.c.} \quad (A.5)$$

for quark $q$ with helicity $\omega$ and flavor $i$.

In practice, the SSSM contains only two free parameters, the mass of the (Dirac) gluino and the common mass for the first and second generation squarks (both left- and right-handed). The gravitino interaction parameters are irrelevant as we assume the branching fraction of squark to quark plus gravitino to be 100% and the decay is prompt. The Lorentz form of the interactions is important as it determines the kinematics of the final jets, which in turn sets the acceptance for a given analysis.

Appendix B: Acceptances for the Analyses

In this Appendix we collect the series of Figures showing the acceptances for the various analyses discussed in detail in Sec. IV. Fig. B.1 shows the acceptance for the ATLAS jets plus missing energy search described in Sec. IV A; Fig. B.2 shows the acceptance for the CMS $\alpha_T$ search described in Sec. IV B1; Fig. B.3 shows the acceptance for the CMS jets plus missing energy search described in Sec. IV B2; Fig. B.4 shows the acceptance for the CMS razor search described in Sec. IV B3.
FIG. B.1. Acceptance times efficiency for the eleven channels of the ATLAS analysis. The top panel shows $A \cdot \epsilon$ for the lower multiplicity channels: 2j (A) medium in red, 2j (A) tight in blue, 2j (A') medium in green, and 3j (B) tight in purple. The middle multiplicity (4j) channels are shown in the middle panel: loose $m_{\text{eff}}(\text{inc.})$ in red, medium in blue, tight in green. Finally, the highest multiplicity channels are shown in the bottom panel: 5j (D) tight in red, 6j (E) loose in blue, 6j (E) medium in green, and 6j (E) tight in purple. In all panels, the solid lines correspond to the acceptance times efficiency within the SSM, the dotted lines correspond to the “equal MSSM” simplified model with $\tilde{M}_3 = M_{\tilde{q}}$, and the dashed lines correspond to the “heavy MSSM” simplified model with $\tilde{M}_3 = 5$ TeV.

FIG. B.2. Acceptance times efficiency for the SSM (solid), “equal MSSM” simplified model ($\tilde{M}_3 = M_{\tilde{q}}$) (dotted), and “heavy MSSM” simplified model ($\tilde{M}_3 = 5$ TeV) (dashed) models using the CMS $\alpha_T$ analysis. The color indicates the which $H_T$ bin was used. In the top panel, red shows $H_T = 275-325$ GeV, blue shows 325-375 GeV, green for 375-475 GeV and purple for 475-575 GeV. Similarly, in the bottom panel red shows 575-675 GeV, blue is 675-775 GeV, green is 775-875 GeV and purple is $> 875$ GeV.

FIG. B.3. Acceptance $\times$ efficiency factors for the CMS search based on $H_T, E_T$. The line hatching follows the same convention as Fig. B.2. Red shows the limits from baseline selection plus $E_T > 350$ GeV, $H_T > 500$ GeV, blue is baseline plus $H_T > 800$ GeV and green shows baseline $+ \ E_T > 500$ GeV, $H_T > 800$ GeV.
FIG. B.4. Acceptance times efficiency for the CMS search based on the hadronic channel of the razor analysis. The line hatching follows the same convention as Fig. B.2. The six groups of lines correspond to analyses regions S1 (red), S2 (blue), S3 (green), S4 (purple), S4 (black) and S6 (cyan).
[67] https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsSUS11003