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# Lifshitz Singularities

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## **Abstract**

Lifshitz spacetimes are possible gravitational duals to strongly coupled field theories with an anisotropic scaling symmetry. These spacetimes however, have a null curvature singularity. We find that higher dimensional embeddings of Lifshitz also have a similar singularity. We study the propagation of test strings in this background and find that they become infinitely excited if they propagate through the singularity. This means that the Lifshitz geometry is unstable and will receive large corrections in string theory.

# 1 Introduction

The AdS/CFT correspondence [1, 2, 3] provides weakly coupled and calculable gravitational descriptions of certain strongly coupled field theories. This is a realization of holography – the idea that a non-gravitational theory is equivalent to a gravitational theory in a higher dimension. While the original AdS/CFT correspondence describes a duality between a conformal field theory and a string theory, the idea of holography seems to be much broader. The more general gauge/gravity duality has been explored as a means to describe a wide range of strongly coupled systems within QCD [4] and condensed matter physics [5, 6].

In particular, a gravitational description of Lifshitz-like fixed points was proposed [7]. In the context of condensed matter, various systems exhibit a dynamical scaling near fixed points:

$$t \rightarrow \lambda^z t, \quad x \rightarrow \lambda x, \quad z \neq 1. \quad (1.1)$$

That is, rather than obeying the conformal scale invariance,  $t \rightarrow \lambda t$ ,  $x \rightarrow \lambda x$ , the temporal and spatial coordinates scale anisotropically. Also imposing invariance under time and space translations, spatial rotations, spatial parity, and time reversal, the authors of [7] propose the following  $D$ -dimensional spacetime metric:

$$ds^2 = \ell^2 \left( -r^{2z} dt^2 + \frac{dr^2}{r^2} + r^2 dx_i dx^i \right), \quad (1.2)$$

where  $2 \leq i \leq D - 1$ . These spacetimes obey the scaling relation (1.1) if one also scales  $r \rightarrow \lambda^{-1} r$ . If  $z = 1$ , this spacetime is the usual AdS metric in Poincaré coordinates with AdS radius  $\ell$ .

Metrics of the form (1.2) can be obtained as solutions to general relativity with a negative cosmological constant and appropriate matter content. For example, solutions were found by introducing one and two-form gauge fields [7], a massive vector field [8] (or abelian Higgs model [9]), or a charged perfect fluid [10]. Black hole solutions with Lifshitz asymptotics were also found [11, 12, 13, 14]. There are by now many embeddings of Lifshitz in supergravity and string theory following the original work of [15, 16, 17, 18].

However, there is a problem with the Lifshitz metric (1.2) as  $r \rightarrow 0$ . Despite the fact that all scalar curvature invariants are constant, there is a curvature singularity if  $z \neq 1$  [5, 7, 19]. This can be seen by computing the tidal forces between infalling geodesics.

We study the nature of this singularity in string theory. The starting point is the observation of Adams et al. [20] that perturbative stringy corrections only renormalize  $z$  and the

AdS radius  $\ell$ . So the Lifshitz spacetime is a solution to all orders in  $\alpha'$  and these corrections cannot resolve the singularity unless  $z$  is driven to one. One might hope that the higher dimensional embeddings of Lifshitz will be free of singularities, but we will show that this is not the case.

Of course, not all spacetimes that are singular in the sense of general relativity (i.e. geodesically incomplete) are singular in the sense of string theory (e.g. orbifolds or conifolds). To see if a spacetime is singular in string theory we must study the motion of test strings. It turns out that the Lifshitz singularity is identical to a singular plane wave. In retrospect this is not surprising since the singularity is null and the spacetime is homogeneous in the  $D - 2$  transverse directions. Fortunately, string propagation in plane-wave backgrounds was studied in the early 1990's [21, 22]. Using those results we will see that strings propagating through a Lifshitz singularity become infinitely excited, and hence the Lifshitz spacetime is indeed singular in string theory. This means that quantum corrections are important and the Lifshitz metric does not describe the far infrared physics of a Lifshitz critical point.

In the following section, we review the tidal forces in the Lifshitz geometry and show that the higher dimensional embeddings are also singular. In section 3, we motivate the plane-wave approximation to Lifshitz spacetimes near the singularity, and in the following section we analyze the motion of test strings in this plane-wave background. The final section has some concluding comments.

## 2 Tidal Forces

We start by considering tidal forces in the Lifshitz metric (1.2). In these coordinates, the components of the Riemann tensor are finite, and therefore, all curvature invariants constructed from the Riemann tensor are also finite. Nevertheless, if  $z \neq 1$  there is a curvature singularity at  $r = 0$  due to diverging tidal forces [5, 7, 19]. This singularity is also reached in finite proper time by infalling observers so the spacetime is geodesically incomplete. For  $z = 1$ , the metric is the familiar AdS metric in Poincaré coordinates, and the would-be curvature singularity is merely a coordinate singularity.

Consider a radial timelike geodesic with tangent vector  $T = (\dot{t}, \dot{r}, \vec{0})$ , where the dots denote  $d/d\tau$ . There is a conserved energy  $E = \dot{t}\ell^2 r^{2z}$ , and the normalization  $T_\mu T^\mu = -1$  gives

$$\dot{r}^2 = \frac{E^2 r^{2(1-z)}}{\ell^4} \left( 1 - \frac{\ell^2 r^{2z}}{E^2} \right). \quad (2.1)$$

Now we choose an orthonormal frame parallelly propagated along such a geodesic:

$$(e_0)^\mu = \frac{E}{\ell^2 r^{2z}} \left( \frac{\partial}{\partial t} \right)^\mu - \frac{E r^{1-z}}{\ell^2} \sqrt{1 - \frac{\ell^2 r^{2z}}{E^2}} \left( \frac{\partial}{\partial r} \right)^\mu, \quad (2.2a)$$

$$(e_1)^\mu = \frac{E}{\ell^2 r^{2z}} \sqrt{1 - \frac{\ell^2 r^{2z}}{E^2}} \left( \frac{\partial}{\partial t} \right)^\mu - \frac{E r^{1-z}}{\ell^2} \left( \frac{\partial}{\partial r} \right)^\mu, \quad (2.2b)$$

$$(e_i)^\mu = \frac{1}{\ell r} \left( \frac{\partial}{\partial x^i} \right)^\mu. \quad (2.2c)$$

Then using the notation

$$R_{abcd} = R_{\mu\nu\rho\sigma} (e_a)^\mu (e_b)^\nu (e_c)^\rho (e_d)^\sigma, \quad (2.3)$$

the nonzero components of the Riemann tensor in this frame are given by (no sum over repeated indices)

$$R_{0101} = \frac{z}{\ell^2}, \quad (2.4a)$$

$$R_{ijij} = -\frac{1}{\ell^2} \quad (i \neq j), \quad (2.4b)$$

$$R_{0i0i} = \frac{E^2(z-1)}{\ell^4 r^{2z}} + \frac{1}{\ell^2}, \quad (2.4c)$$

$$R_{1i1i} = \frac{E^2(z-1)}{\ell^4 r^{2z}} - \frac{z}{\ell^2}, \quad (2.4d)$$

$$R_{0i1i} = \frac{E^2(z-1)}{\ell^4 r^{2z}} \sqrt{1 - \frac{\ell^2 r^{2z}}{E^2}}. \quad (2.4e)$$

Thus, if  $z \neq 1$ , the tidal forces diverge as  $(z-1)/r^{2z}$ .

Since constant  $r$  surfaces are timelike, their limit as  $r \rightarrow 0$  must be either timelike or null. The vectors normal to surfaces of constant  $r$  become null as  $r \rightarrow 0$ :

$$\nabla_\mu r \nabla^\mu r = g^{rr} = \frac{r^2}{\ell^2} \quad (2.5)$$

suggesting that  $r = 0$  is a null curvature singularity. A more precise way to show this is to consider radial null geodesics. If the singularity were timelike, an outgoing light ray from  $r = 0$  could lie entirely to the future of an ingoing one. However radial null geodesics satisfy  $dt = \pm dr/r^{1+z}$ , so  $t \rightarrow \pm\infty$  as  $r \rightarrow 0$  showing this is impossible.

Let us now comment on how these singularities arise when the Lifshitz metric comes from a higher dimensional spacetime. There are two broad classes of embeddings in supergravity or string theory. We now demonstrate that both classes suffer from singularities due to

diverging tidal forces.

In the first approach, the metric takes the form [16, 17, 23, 24, 25, 26]

$$ds^2 = r^2(2d\sigma dt + dx_i dx^i) + \gamma \frac{dr^2}{r^2} + f d\sigma^2 + ds_E^2, \quad (2.6)$$

where  $\gamma$  is a dimension dependent constant,  $ds_E^2$  is the metric on some Sasaki-Einstein manifold, and  $f$  is a function of  $\sigma$  and the coordinates on  $E$ . This metric looks like AdS with an extra line and Sasaki-Einstein manifold added, and appears to be nonsingular. However, it can be rewritten in the form

$$ds^2 = \left[ -\frac{r^4}{f} dt^2 + r^2 dx_i dx^i + \gamma \frac{dr^2}{r^2} \right] + f \left( d\sigma + \frac{r^2}{f} dt \right)^2 + ds_E^2 \quad (2.7)$$

If  $\sigma$  is periodic and  $f$  is constant, one can do a standard Kaluza-Klein reduction and obtain the Lifshitz metric with  $z = 2$ . Even when  $f$  is not constant, one can argue that the effective geometry on scales large compared to the compact directions will look like Lifshitz. One does not usually create singularities by dimensional reduction on a circle unless that circle becomes null (or pinches off) [27], which is not the case here. So one expects that the original metric must itself be singular.<sup>1</sup>

To establish this, it suffices to show that one component of the Riemann curvature tensor diverges in a parallelly propagated orthonormal frame. We will be concerned with the component  $R_{0i0i}$ . Consider the tangent vector  $T = (\dot{t}, \dot{\sigma}, \dot{r}, \vec{0})$ . The Killing field  $\partial/\partial t$  gives a conserved energy  $E = \dot{\sigma} r^2$ . Then the normalization  $T_\mu T^\mu = -1$  implies

$$\dot{t} = -\frac{1}{2E} \left( 1 + \frac{E^2 f}{r^4} + \gamma \frac{\dot{r}^2}{r^2} \right). \quad (2.8)$$

In order for the vector  $T^\mu$  to be tangent to a geodesic,  $r(\tau)$  must solve the geodesic equation. But even without knowing the solution explicitly, one can show there is a singularity as follows. Two basis vectors of an orthonormal frame parallelly propagated along this geodesic are

$$(e_0)^\mu = -\frac{1}{2E} \left( 1 + \frac{E^2 f}{r^4} + \gamma \frac{\dot{r}^2}{r^2} \right) \left( \frac{\partial}{\partial t} \right)^\mu + \frac{E}{r^2} \left( \frac{\partial}{\partial \sigma} \right)^\mu + \dot{r} \left( \frac{\partial}{\partial r} \right)^\mu, \quad (2.9a)$$

$$(e_i)^\mu = \frac{1}{r} \left( \frac{\partial}{\partial x^i} \right)^\mu. \quad (2.9b)$$

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<sup>1</sup>This was also shown in [26].

It follows that

$$R_{0i0i} = \frac{1}{\gamma} \left( 1 + \frac{E^2 f}{r^4} \right). \quad (2.10)$$

Note that this component does not depend on  $\dot{r}$ . Comparing with (2.4), we see that this component of the tidal forces diverges in a similar way to Lifshitz with  $z = 2$ .

A related construction in [28] yields Lifshitz with  $z = 3$ . A similar argument shows that the higher dimensional solution again has a curvature singularity.

The other class of higher dimensional solutions consist of a warped product of Lifshitz with some other space [18, 29]. They are schematically of the form

$$ds^2 = f(\rho) ds_{L_i}^2 + g(\rho) d\rho^2 + ds_\rho^2. \quad (2.11)$$

where  $ds_{L_i}^2$  is the Lifshitz metric (1.2),  $ds_\rho^2$  is the metric for some space with possible dependence on the  $\rho$  coordinate. (For simplicity, we will absorb the AdS radius  $\ell$  into the function  $f$ .) Let us fix the coordinate  $\rho = \rho_0$  and choose a tangent vector  $T = (\dot{t}, \dot{r}, \vec{0})$ . The conserved quantity  $E$  and the usual normalization  $T_\mu T^\mu = -1$  lets us write down two of the components of an orthonormal frame

$$(e_0)^\mu = \frac{E}{r^{2z} f(\rho_0)} \left( \frac{\partial}{\partial t} \right)^\mu - \frac{E r^{1-z}}{f(\rho_0)} \sqrt{1 - \frac{r^{2z} f(\rho_0)}{E^2}} \left( \frac{\partial}{\partial r} \right)^\mu, \quad (2.12a)$$

$$(e_i)^\mu = \frac{1}{r \sqrt{f(\rho_0)}} \left( \frac{\partial}{\partial x^i} \right)^\mu. \quad (2.12b)$$

In general,  $T^\mu$  is not tangent to a geodesic. However, observers can follow a path with tangent vector  $T^\mu$  with a constant acceleration. The norm of the acceleration  $A^\mu = T^\nu \nabla_\nu T^\mu$  is

$$A^\mu A_\mu = \frac{f'(\rho_0)^2}{4f(\rho_0)^2 g(\rho_0)}. \quad (2.13)$$

Note that this is independent of  $E$  and  $r$ . As long as  $f(\rho_0)$  and  $g(\rho_0)$  are nonzero, this acceleration is finite, and if  $f'(\rho_0) = 0$  this curve is a geodesic. Even when the curve is not a geodesic, computing the Riemann curvature in this frame gives

$$R_{0i0i} = \frac{E^2(z-1)}{f(\rho_0)^2 r^{2z}} + \frac{f'(\rho_0)^2}{4f(\rho_0)^2 g(\rho_0)} + \frac{1}{f(\rho_0)}. \quad (2.14)$$

Therefore, up to a factors of  $f(\rho_0)$ , these warped products of Lifshitz also suffer from the same singularities.

### 3 A Plane Wave Approximation

As mentioned in the introduction, the fact that the Lifshitz singularity is null and the spacetime is homogeneous in the transverse directions suggests that the region near the singularity can be modeled by a plane wave<sup>2</sup>. We now demonstrate this explicitly.

First, let us define the tortoise coordinate  $r_*$  such that

$$dr_* = r^{-1-z} dr, \quad r_* = -\frac{1}{zr^z}, \quad (3.1)$$

and then define the null coordinates  $u = t - r_*$ ,  $v = t + r_*$ . The metric (1.2) becomes

$$ds^2 = \frac{\ell^2}{z^2 r_*^2} (-dt^2 + dr_*^2) + \ell^2 \left( \frac{1}{z^2 r_*^2} \right)^{1/z} dx_i dx^i, \quad (3.2a)$$

$$= -\frac{4\ell^2}{z^2 (u-v)^2} dudv + \ell^2 \left( \frac{4}{z^2 (u-v)^2} \right)^{1/z} dx_i dx^i. \quad (3.2b)$$

From the coordinate transformations, we see that

$$r^z = -\frac{1}{zr_*} = \frac{2}{z(u-v)}, \quad (3.3)$$

so small  $r$  corresponds to  $u \gg v$ . Then near the singularity  $r = 0$ , we can make the approximation

$$ds^2 \approx -\frac{4\ell^2}{z^2 u^2} dudv + \ell^2 \left( \frac{4}{z^2 u^2} \right)^{1/z} dx_i dx^i. \quad (3.4)$$

Now let  $u = -4\ell^2/z^2 U$ . From this coordinate transformation, small  $r$  is approximated by

$$r^z \approx -\frac{zU}{2\ell^2}, \quad (3.5)$$

so we should study string propagation in this metric to  $U = 0$  from  $U < 0$ . Our line element becomes

$$ds^2 \approx -dU dv + \ell^2 \left( \frac{zU}{2\ell^2} \right)^{2/z} dx_i dx^i. \quad (3.6)$$

This is a plane wave metric. To bring it into the form used in [22] we use the change of coordinates

$$v = V - \frac{1}{zU} X_i X^i, \quad x_i = \frac{X_i}{\ell} \left( \frac{zU}{2\ell^2} \right)^{-1/z}, \quad (3.7)$$

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<sup>2</sup>A similar approximation was done in a different context in [30].



Then the metric becomes

$$ds^2 \approx -dUdV + dX_idX^i + W(U)X_iX^i dU^2, \quad W(U) = \frac{1-z}{z^2U^2}. \quad (3.8)$$

If  $z = 1$ , the Lifshitz metric (1.2) is AdS and (3.8) is the metric for Minkowski space. Since  $r = 0$  (the Poincaré horizon) is merely a coordinate singularity, test strings will have no trouble crossing it. Similarly,  $U = 0$  causes no trouble for strings in Minkowski space. But even though (3.8) captures this property of (1.2), we have replaced a spacetime with a cosmological constant with one that is Ricci flat. In other words, the approximation (3.4) removes the cosmological constant, so the plane wave metric does not adequately describe Lifshitz when  $z = 1$ .

However if  $z \neq 1$ , the dynamics of test strings close to the curvature singularity  $r = 0$  are dominated by the diverging tidal forces. As we will now show, the tidal forces near  $U = 0$  for the metric (3.8) behave in exactly the same way. Therefore, the Lifshitz metric (1.2) near the singularity is well-approximated by this plane wave.

As in the previous section, we consider radial timelike geodesics with a tangent vector  $T = (\dot{U}, \dot{V}, \vec{0})$ . The killing vector  $\partial/\partial V$  gives a conserved energy  $E = \frac{1}{2}\dot{U}$ , and the normalization  $T_\mu T^\mu = -1$  gives

$$\dot{V} = \frac{1}{2E} (4E^2W(U)X_iX^i + 1). \quad (3.9)$$

Now we choose the parallelly propagated orthonormal frame

$$(e_0)^\mu = 2E \left( \frac{\partial}{\partial U} \right)^\mu + \frac{1}{2E} (4E^2W(U)X_iX^i + 1) \left( \frac{\partial}{\partial V} \right)^\mu, \quad (3.10a)$$

$$(e_1)^\mu = 2E \left( \frac{\partial}{\partial U} \right)^\mu + \frac{1}{2E} (4E^2W(U)X_iX^i - 1) \left( \frac{\partial}{\partial V} \right)^\mu, \quad (3.10b)$$

$$(e_i)^\mu = \left( \frac{\partial}{\partial X^i} \right)^\mu. \quad (3.10c)$$

The nonzero components of the Riemann tensor in this frame are given by

$$R_{0i0i} = R_{1i1i} = R_{0i1i} = -4E^2W(U) = \frac{4E^2(z-1)}{z^2U^2}. \quad (3.11)$$

Using (3.5), and comparing this with (2.4), we see that both metrics have diverging tidal forces that act in the same directions and diverge as  $(z-1)/r^{2z}$ . We therefore conclude that the behavior of test strings near the null singularity of Lifshitz can be well approximated by the plane wave metric (3.8).

## 4 Test Strings

We now study the behavior of (first quantized) test strings in the plane-wave metric (3.8). This is essentially identical to a calculation that was done in [22]. The only difference is that [22] considers vacuum solutions so the  $X^i X_i$  factor in (3.8) is replaced by  $X^2 - Y^2$ . For completeness, we review the calculation below.

The motion of strings on a given background is described by the action

$$S = -\frac{1}{4\pi\alpha'} \int d\tau d\sigma \sqrt{h} h^{ab} g_{\mu\nu}(X) \partial_a X^\mu \partial_b X^\nu , \quad (4.1)$$

where  $X^\mu = X^\mu(\sigma, \tau)$  is the embedding of the string world sheet in spacetime,  $h_{ab}$  is the world-sheet metric, and  $\alpha'$  is the inverse string tension. Weyl invariance and reparametrization invariance allows us to choose the conformal gauge  $h_{ab} = e^{\phi(\sigma, \tau)} \eta_{ab}$ . Since the metric is a plane wave, we also can work in light-cone gauge  $U = \alpha' p\tau$  [21]. If we decompose the  $X^i$  into modes

$$X^i(\sigma, \tau) = \sum_{n=-\infty}^{\infty} X_n^i(\tau) e^{in\sigma} , \quad (4.2)$$

the worldsheet equations of motion for  $X^i$  become

$$\ddot{X}_n^i + \left( n^2 - \alpha'^2 p^2 W(\alpha' p\tau) \right) X_n^i = 0 , \quad (4.3)$$

where the dot denotes differentiation by  $\tau$ . This equation is just like a one-dimensional Schrödinger equation for a particle of energy  $n^2$  in a potential  $\alpha'^2 p^2 W$ . Dividing (4.3) by  $n^2$  shows that the modes must be functions of  $n\tau$ :

$$X_n^i(\tau) = X_1^i(n\tau) . \quad (4.4)$$

The component  $V(\sigma, \tau)$  is determined by

$$\alpha' p \dot{V} = \dot{X}_i^2 + X_i'^2 + \alpha'^2 p^2 W(\alpha' p\tau) X_i X_i , \quad \alpha' p V' = 2\dot{X}_i X_i' . \quad (4.5)$$

To make it easy to identify the excited state of the string, we will consider a plane wave with flat spacetime regions before and after it. Accordingly, we pick a large time  $T$ , set  $W(U) = 0$  for  $|U| \geq T$  and choose  $W(U)$  to reproduce the Lifshitz singularity for  $|U| \leq T$ .

Thus

$$\begin{aligned} W(U) &= \pm k \left( \frac{1}{U^2} - \frac{1}{T^2} \right), & |U| \leq T, \\ W(U) &= 0, & |U| \geq T, \end{aligned} \quad (4.6)$$

where without loss of generality, we have chosen  $k > 0$ . Given a choice of  $z$ , the sign and the value of  $k$  can be determined according to (3.8):

$$\pm k = \frac{1-z}{z^2}, \quad k > 0. \quad (4.7)$$

In particular,  $z > 1$  implies  $W < 0$  and an attractive potential, while  $z < 1$  implies  $W > 0$  and a repulsive potential.

With this choice of  $W$ , the  $X^i$  are given by the usual flat space expansions in the region  $U \leq -T$ :

$$\begin{aligned} X^i(\sigma, \tau) &= q_{<}^i + 2\alpha' p_{<}^i \tau + \sum_{n \neq 0} e^{in\sigma} X_n^i(\tau), & \tau \leq -\tau_0, \\ X_n^i(\tau) &= i \frac{\sqrt{\alpha'}}{n} (\alpha_{n<}^i e^{-in\tau} - \tilde{\alpha}_{-n<}^i e^{in\tau}), & n \neq 0, \end{aligned} \quad (4.8)$$

where  $\tau_0 = T/\alpha'p$  and the mode operators  $\alpha_{n<}^i$ , and  $\tilde{\alpha}_{-n<}^i$  satisfy the usual canonical commutation relations.

In the region  $|U| \leq T$ , the equations of motion for  $X^i$  can be solved in terms of Bessel functions [22]. For our purposes, it suffices to examine the solutions near the singularity  $U \rightarrow 0$ . In that case, the  $X_n^i$  satisfy

$$\ddot{X}_n^i \mp \frac{k}{\tau^2} X_n^i = 0. \quad (4.9)$$

This can be solved exactly, so the solutions for  $\tau \rightarrow 0^-$  behave as

$$X_n^i(\tau) = C_n^i |n\tau|^{\frac{1}{2}(1-\nu_{\pm})} + D_n^i |n\tau|^{\frac{1}{2}(1+\nu_{\pm})}, \quad (4.10)$$

where  $\nu_{\pm} = \sqrt{1 \pm 4k}$ .

If  $z < 1$ , the positive sign is chosen in (4.6) and (4.7), and  $\nu_+$  must be used in (4.10). Since  $\nu_+ > 1$  and a generic solution has  $C_n^i \neq 0$ ,  $X^i$  will tend to infinity when the string approaches the singularity  $\tau \rightarrow 0$ ; the repulsive potential pushes the string away in the

transverse directions. Therefore, a generic string will not pass through the singularity and instead becomes very large. It does this, however, in a finite time  $\tau$ .

If instead  $z > 1$ , the negative sign is chosen and  $\nu_-$  is used. The attractive potential pulls  $X^i$  towards the origin. Eventually, the string will hit the singularity in finite time. From (4.7), we see that  $\nu_-$  remains real so the solutions do not oscillate near the singularity.

In the region  $U \geq T$ , the solutions to  $X^i$  are again given by the expansion in flat spacetime:

$$X^i(\sigma, \tau) = q_{>}^i + 2\alpha' p_{>}^i \tau + \sum_{n \neq 0} e^{in\sigma} X_n^i(\tau), \quad \tau \geq \tau_0, \quad (4.11)$$

$$X_n^i(\tau) = i \frac{\sqrt{\alpha'}}{n} (\alpha_{n>}^i e^{-in\tau} - \tilde{\alpha}_{-n>}^i e^{in\tau}), \quad n \neq 0.$$

The operators  $\alpha_{n>}^i, \tilde{\alpha}_{n>}^i$  are related to those in (4.8)  $\alpha_{n<}^i, \tilde{\alpha}_{n<}^i$  by the Bogoliubov transformation

$$\begin{aligned} \alpha_{n>}^i &= A_n^i \alpha_{n<}^i + B_n^i \tilde{\alpha}_{n<}^{i\dagger}, \\ \tilde{\alpha}_{n>}^i &= A_n^i \tilde{\alpha}_{n<}^i + B_n^i \alpha_{n<}^{i\dagger}. \end{aligned} \quad (4.12)$$

The solutions of (4.3) with the boundary condition

$$f_n^i(\tau) = e^{in\tau}, \quad \tau < -\tau_0 \quad (4.13)$$

can be written in the following implicit integral form:

$$f_n^i(\tau) = e^{in\tau} + \frac{p^2 \alpha'^2}{2in} \left( e^{in\tau} \int_{-\infty}^{\tau} d\tau' e^{-in\tau'} f_n^i(\tau') W(\alpha' p \tau') - e^{-in\tau} \int_{-\infty}^{\tau} d\tau' e^{in\tau'} f_n^i(\tau') W(\alpha' p \tau') \right). \quad (4.14)$$

(To see that this is a solution, act on both sides by  $\partial_\tau^2 + n^2$ .) Then from the asymptotic solutions (4.8), (4.11), and the Bogoliubov transformation (4.12), we find

$$B_n^i = \frac{p^2 \alpha'^2}{2in} \int_{-\tau_0}^{\tau_0} d\tau e^{in\tau} f_n^i(\tau) W(\alpha' p \tau). \quad (4.15)$$

In the region  $U > T$ , the mass squared and number operators are given by

$$M_{>}^2 = \frac{1}{\alpha'} \sum_{n=1}^{\infty} \left( \alpha_{n>}^{i\dagger} \alpha_{n>}^i + \tilde{\alpha}_{n>}^{i\dagger} \tilde{\alpha}_{n>}^i \right) + m_0^2, \quad (4.16a)$$

$$N_{>} = \sum_{n=1}^{\infty} \frac{1}{n} \left( \alpha_{n>}^{i\dagger} \alpha_{n>}^i + \tilde{\alpha}_{n>}^{i\dagger} \tilde{\alpha}_{n>}^i \right), \quad (4.16b)$$

where  $m_0^2$  is the tachyon mass squared. The expectation values in the ingoing ground state  $|0_{<}\rangle$  are

$$\langle M_{>}^2 \rangle = \frac{\langle 0_{<} | M_{>}^2 | 0_{<} \rangle}{\langle 0_{<} | 0_{<} \rangle} = m_0^2 + \frac{2}{\alpha'} \sum_{n=1}^{\infty} \sum_i n |B_n^i|^2, \quad (4.17a)$$

$$\langle N_{>} \rangle = \frac{\langle 0_{<} | N_{>} | 0_{<} \rangle}{\langle 0_{<} | 0_{<} \rangle} = 2 \sum_{n=1}^{\infty} \sum_i |B_n^i|^2. \quad (4.17b)$$

Substituting  $y = n\tau$  into (4.15) and using (4.4), we find that

$$B_n^i = \pm \frac{k}{2i} \int_{-n\tau_0}^{n\tau_0} dy \frac{e^{iy} f_1^i(y)}{y^2} \approx i \frac{z-1}{2z^2} \int_{-\infty}^{\infty} dy \frac{e^{iy} f_1^i(y)}{y^2}, \quad (4.18)$$

which is independent of  $n$ . Using (4.10), one can show with a Fourier transform that the integral is finite. Then for  $z \neq 1$ , each mode is excited equally and the mode number and mass squared operators diverge. The string excitations vanish when  $z = 1$ , as expected, since in this case the spacetime is  $AdS_4$ .<sup>3</sup> The excitation is also suppressed as  $z \rightarrow \infty$  since the geometry then approaches  $AdS_2 \times \mathbb{R}^2$ .

## 5 Discussion

We have shown that the singularity at the origin of the Lifshitz spacetimes is not removed by the known higher dimensional embeddings in supergravity and string theory. We also studied the propagation of test strings in the Lifshitz geometry. If  $z > 1$ , all string modes are turned on equally and the strings become infinitely excited if they attempt to cross the singularity. If  $z < 1$ , strings do not cross the singularity, but instead become very large in a finite time  $\tau$ . In the right context, it may be appropriate to introduce some spatial cutoff  $X_0$

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<sup>3</sup>As we remarked earlier, the plane wave is not a good approximation to the geometry for  $z = 1$ .

so that when  $X \gg X_0$ , the spacetime looks like AdS or flat space. In that case, parts of the string will reach  $X_0$  in finite time and then propagate to infinity in infinite time. However, the case  $z < 1$  violates the null energy condition<sup>4</sup> so this spacetime may not be physical. If  $z = 1$ , the metric is *AdS* and the strings pass through the Poincaré horizon.

The strings we have considered here are bosonic rather than supersymmetric like those in type IIB embeddings of Lifshitz. Although we did not study the propagation of test strings directly in the higher dimensional embeddings, they will presumably behave in a similar way. Including supersymmetry merely allows both fermionic and bosonic modes and shouldn't prevent the strings from becoming infinitely excited.

The fact that test strings become infinitely excited shows that the Lifshitz singularity is not just a singularity in the sense of general relativity, but is also a singularity in string theory. A small nonzero temperature will hide this singularity behind a smooth horizon, but the tidal forces on infalling strings will still be large (just like the black holes in [30]). This indicates an instability in the spacetime since the initial test string is like a perturbation which becomes large and backreacts on the metric. These string perturbations do not respect the Lifshitz symmetries, so even starting at zero temperature the nonrenormalization theorem of [20] does not apply. The endpoint of this instability and the final resolution of the singularity remain unresolved. However, one likely effect is a breakdown of the scaling symmetry in the deep infrared. This is because the corrections to the Lifshitz geometry will become important when the curvature reaches the string scale or the Planck scale. The introduction of these new length scales is likely to modify the original scaling symmetry.

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<sup>4</sup>To see this, consider a radial null vector  $\ell^\mu = (r^{-z}, r, \vec{0})$ . Then  $T_{\mu\nu}\ell^\mu\ell^\nu = R_{\mu\nu}\ell^\mu\ell^\nu = 3(z-1)$ .

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