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Hybrid method for understanding black-hole mergers: Inspiralling case

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We adapt a method of matching post-Newtonian and black-hole-perturbation theories on a time-like surface (which proved useful for understanding head-on black-hole-binary collisions) to treat equal-mass, inspiralling black-hole binaries. We first introduce a radiation-reaction potential into this method, and we show that it leads to a self-consistent set of equations that describe the simultaneous evolution of the waveform and of the timelike matching surface. This allows us to produce a full inspiral-merger-ringdown waveform of the $l = 2$, $m = \pm 2$ modes of the gravitational waveform of an equal-mass black-hole-binary inspiral. These modes match those of numerical-relativity simulations well in phase, though less well in amplitude for the inspiral. As a second application of this method, we study a merger of black holes with spins antialigned in the orbital plane (the “superkick” configuration). During the ringdown of the superkick, the phases of the mass- and current-quadrupole radiation become locked together, because they evolve at the same quasinormal mode frequencies. We argue that this locking begins during merger, and we show that if the spins of the black holes evolve via geodetic precession in the perturbed black-hole spacetime of our model, then the spins precess at the orbital frequency during merger. In turn, this gives rise to the correct behavior of the radiation, and produces a kick similar to that observed in numerical simulations.

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I. INTRODUCTION

Black-hole-binary mergers are both key sources of gravitational waves [1] and two-body systems in General Relativity of considerable theoretical interest. It is common to describe the dynamics and the waveform of a quasicircular black-hole binary as passing through three different stages: inspiral, merger, and ringdown (see, e.g., [2]). For comparable-mass black holes, the three stages correspond to the times one can use different approximation schemes. During the first stage, inspiral, the two black holes can be modeled by the post-Newtonian (PN) approximation as two point particles (see, e.g., [3] for a review of PN theory). As the speeds of the two holes increase while their separation shrinks, the PN expansion becomes less accurate (particularly as the two objects begin to merge to form a single body). In this stage, merger, gravity becomes strongly nonlinear (and therefore less accessible to approximation techniques). After the merger, there is the ringdown, during which the spacetime closely resembles a stationary black hole with small perturbations [and one can treat the problem using black-hole perturbation (BHP) theory (see, e.g., [4] for a review of BHP theory)].

Because the merger phase of comparable-mass black holes has been so challenging to understand analytically, there have been many attempts to study it with a variety of analytical tools. One approach has been to develop PN and BHP theories to high orders in the different approximations. Since neither approximation can yet describe the complete merger of black-hole binaries, several

groups worked on developing methods that aim to get the most out of a given approximation technique. The close-limit approximation (see, e.g., [5–8] for early work and [9–12] for more recent work) and the Lazarus project (see, e.g., [13, 14]) both try to push the validity of BHP to early times; the effective-one-body (EOB) approach (see, e.g., [15, 16] for the formative work, and [17–20] for further developments that allow the method to replicate numerical-relativity waveforms) aims to extend the validity of the PN approximation to later times.

There also have been several methods that do not easily fit into the characterization of extensions of PN or BHP theories. For example, the “particle-membrane” approach of Anninos et al. [21, 22] computes the waveform from head-on collisions by extrapolating results from the point-particle limit to the comparable-mass case (and taking into account changes to the horizons computed within the membrane paradigm [23]). More recently, white-hole fission was used in approximate models of black-hole mergers [24–26], and quite recently, Jaramillo and collaborators [27–30] used Robinson-Trautman spacetimes as an approximate analytical model of binary mergers (as part of a larger project correlating geometrical quantities on black-hole horizons with similar quantities at future null infinity).

Analytical approximations are not limited to comparable-mass black-hole binaries, and recently there has been a large body of work on developing techniques to study intermediate- and extreme-mass-ratio inspirals (IMRIs and EMRIs, respectively). Most of these methods aim to produce gravitational waves in ways that are less computationally expensive than computing the exact numerical solution or computing the leading-order gravitational self-force are (see, e.g., [31] for a recent review of the self-force). The majority of the approaches rely heavily on BHP techniques

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combined with some prescription for taking radiative effects into account, though not all approximate methods fall into this classification (Barack and Cutler [32], for example, model EMRIs by instantaneously Newtonian orbits whose orbital parameters vary slowly over the orbital time scale because of higher-order PN effects). A well-known example is that of Hughes [33], Glampedakis [34], Drasco [35], Sundararajan [36] and their collaborators whose semi-analytical approaches are often called Teukolsky-based models. These methods describe the small black hole as moving along a sequence of geodesics whose energy, angular momentum, and Carter constant change from the influence of emitted gravitational waves. They usually involve some additional prescription to treat the transition from the inspiral to the plunge, when the motion is no longer adiabatic. The EOB formalism in the EMRI limit, however, does not require an assumption of adiabatic motion (see, e.g., [37–42]). By choosing the dynamics of the EMRI to follow the EOB Hamiltonian and a resummed multipolar PN radiation-reaction force [43], these authors can calculate an approximate waveform without any assumption on relative time scales of orbital and radiative effects. One can also make an adiabatic approximation with EOB methods, as Yunes et al. [44, 45] recently did in their calibration of the EOB method to a set of Teukolsky-based waveforms. Lousto and collaborators [46–48] took a different approach to the EMRI problem in their recent work. They used trajectories from numerical-relativity simulations of IMRIs as a way to calibrate PN expressions for the motion of the small black hole. They then performed approximate calculations of the gravitational waves using the PN trajectories in a black-hole perturbation calculation, and found good agreement with their numerical results.

In a previous article [49] (hereafter referred to as Paper I), we showed that for head-on collisions, one can match PN and BHP theories on a timelike world tube that passes through the centers of the PN theory’s point particles. The positions of the point particles as a function of time (and, consequently, the world tube) was chosen before evolving the waveform. Moreover, they were selected in such a way that both PN and BHP theories were sufficiently accurate descriptions of the spacetime on the world tube or the errors in the theories did not enter into the waveform. (A plunging geodesic in the Schwarzschild spacetime worked in Paper I.) This allowed us compute a complete waveform for all three phases of black-hole-binary coalescence and gave us a way to interpret the different portions of the waveform. Moreover, when we compared the waveform from the hybrid method with that of a full numerical simulation of plunging equal-mass black holes with transverse, anti-aligned spins, we found very good agreement between the two.

There is no reason, *a priori*, why the same procedure of Paper I (namely, specifying the position of the point particles as a function of time and matching the metrics on a surface passing through their positions) should not

work for inspiralling black holes, as well. The principal difficulty arises from trying to find a way of specifying the positions of the particles for inspiralling black holes (and thus a location at which to match the PN and BHP metrics) that does not introduce errors into any of the three stages of the inspiral, merger, or ringdown portions of the waveform. The most important development that we introduce in this paper, therefore, is a way of achieving this goal by including radiation reaction into the formalism. In the hybrid method, radiation reaction involves using the outgoing waves in the exterior BHP spacetime to modify the PN dynamics in the interior through a radiation-reaction potential [50]. We show, in this formalism, that introducing a radiation-reaction potential is equivalent to solving a self-consistent set of coupled equations that describe the evolution of the point particles’ reduced-mass motion and the outgoing gravitational radiation, where the particles generate the metric perturbations of the gravitational waves and the waves carry away energy and angular momentum from the particles (thereby changing their motion).

Our principal goal in the paper is to explore this coupled set of evolution equations and show, numerically, that it gives rise to convergent and reasonable results. We will use these results to make a refinement of our interpretation of the waveform from Paper I, and we will also compare the waveform generated by the hybrid method to that from a numerical-relativity simulation of an equal-mass, nonspinning inspiral of black holes. The two waveforms agree well during the inspiral phase, but less well during merger and ringdown. The discrepancy at late times is well-understood: we continue to model the final black hole produced from the merger as nonspinning, although, in fact, numerical simulations have shown the final hole to be spinning relatively rapidly (see, e.g., [51]). Adapting the hybrid approach to treat the final black hole as rotating is beyond the scope of this work, but is something that we will investigate in the future.

As an application of the hybrid method for inspirals, we explore the large kicks produced from black-hole binaries with antialigned spins in the orbital plane (the superkick configuration [52, 53]). As noted by Schnittman et al. [54] and emphasized to us by Thorne [55], the spins must precess at the orbital frequency during the final stage of merger. While Brüggemann et al. [56] were able to replicate this effect using a combination of PN and numerical-relativity results, we will need to take a different approach, by using geodetic precession in the exterior Schwarzschild BHP spacetime, to have the spins lock to the orbital motion at merger. When we include the geodetic effect, we are able to recover the correct qualitative profile of the kick, although the magnitude does not match precisely.

We organize the paper as follows: We review the results of Paper I in Sec. II, and we describe the procedure for incorporating radiation reaction and the resulting set of evolution equations in Sec. III. In Sec. IV, we show the convergence of our waveform, we compare with numerical

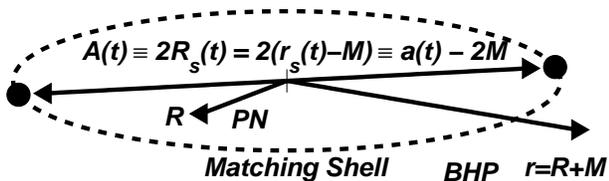


FIG. 1: (Reproduced from Paper I.) The regions of spacetime and the radial coordinates in the hybrid method (at a given moment in time, with one spatial coordinate suppressed). The exterior is a perturbed Schwarzschild and the interior is a PN spacetime. At the position of the shell, the two descriptions of spacetime should both be valid, or should be within a region of spacetime that does not heavily influence physical observables far away.

relativity, and we discuss using the hybrid method to interpret the waveform. Next, we discuss the behavior of spinning black holes and describe spin precession as a mechanism for generating large black-hole kicks in Sec. V. We conclude in Sec. VI. Throughout this paper, we set $G = c = 1$, and we use Einstein summation convention (unless otherwise noted).

II. A BRIEF REVIEW OF PAPER I

In this section, we will review the essentials of the formalism from Paper I. In the hybrid method, we divide the spacetime of an equal-mass, black-hole-binary merger into two regions: a PN region within a spherical shell through the centers of the PN theory's point particles, and a perturbed Schwarzschild spacetime outside that shell. Figure 1 shows this at a given moment in time (with one spatial dimension suppressed). For the hybrid procedure to work, there must be, at least, a spherical shell on which both BHP and PN theories either are simultaneously valid (to a given level of accuracy) or a way to prevent the errors in the approximations from affecting observables, such as the waveform. By finding good agreement between the hybrid waveform and that of numerical relativity in Paper I, we found evidence that matching the theories on a spherical shell that passes through the PN theory's point particles works throughout all three stages of a head-on black-hole-binary merger: infall, merger, and ringdown.

To mesh the two descriptions of spacetime, we match the PN metric to that of the perturbed Schwarzschild black hole, which involves relating the two coordinate systems of PN and BHP theories. In the PN coordinate system, we will use uppercase variables, and we will use a harmonic gauge. For example, we will employ (T, X, Y, Z) when describing the Cartesian coordinates of the background Minkowski space and (T, R, Θ, Φ) when discussing its spherical-polar coordinates. In the perturbed Schwarzschild spacetime, we will use (t, r, θ, φ) , primarily, though sometimes we will also use the light-

cone coordinates, (u, v, θ, φ) , where

$$u = t - r_*, \quad v = t + r_*, \quad (1)$$

and

$$r_* = r + 2M \log \left[\frac{r}{2M} - 1 \right]. \quad (2)$$

One can match the two coordinate systems, accurate to linear order in M/R by identifying

$$T = t, \quad \Theta = \theta, \quad \Phi = \varphi, \quad R = r - M. \quad (3)$$

For the equal-mass binaries that we study, we will denote the separation by $A(t) = 2R(t)$ in PN coordinates and $a(t) = 2r(t)$ in Schwarzschild coordinates. Moreover, because we match the two metrics on a shell passing through the centers of the point particles, we will indicate the position of the shell by adding a subscript “s” to the coordinate radius. For example, we will write $R_s(t) = A(t)/2$ or $r_s(t) = a(t)/2$ to denote this. For clarity, we reproduce a table that reviews the essentials of our notation in Table I.

Because we are investigating only the lowest-order effects in our study of radiation reaction and large black-hole kicks, we shall only need the lowest-order terms in the PN metric that appeared in Paper I to describe the interior of the shell,

$$dS^2 = -(1 - 2M/R - 2U_N^{(l=2)})dt^2 - 8w_b^{(l=2)}dt dx^b + (1 + 2M/R + 2U_N^{(l=2)})(dR^2 + R^2 d^2\Omega). \quad (4)$$

In the above equation, M is the total mass of the binary, $d^2\Omega$ is the area element on the unit sphere, $dx^b = d\theta, d\varphi$, and the additional variables $U_N^{(l=2)}$ and $w_b^{(l=2)}$ are the quadrupole parts of the spherical harmonic expansion of the binary's Newtonian potential and gravitomagnetic potential, respectively,

$$U_N^{(l=2)} = \sum_{m=-2}^2 U_N^{2,m} Y_{2,m}(\theta, \varphi), \quad (5)$$

$$w_b^{(l=2)} = \sum_{m=-2}^2 w_{(o)}^{2,m} X_b^{2,m}(\theta, \varphi). \quad (6)$$

We denote the scalar spherical harmonics by $Y_{2,m}(\theta, \varphi)$, and the coefficients $U_N^{2,m}$ $w_{(o)}^{2,m}$ are functions of R and t .

The functions $X_b^{2,m}(\theta, \varphi)$ are odd-parity vector spherical harmonics, whose θ and φ coefficients are given by

$$X_\theta^{l,m} = -(\csc \theta) \partial_\varphi Y^{l,m}(\theta, \varphi), \quad (7)$$

$$X_\varphi^{l,m} = (\sin \theta) \partial_\theta Y^{l,m}(\theta, \varphi). \quad (8)$$

A more general description is put forth in Paper I, but here we only take the essential components needed for the calculations in the paper.

| | PN spacetime | Matching Shell | Perturbed Schwarzschild spacetime |
|-------------------|---------------------------|--|--------------------------------------|
| Coordinates | (t, R, θ, φ) | $(t, R_s(t), \theta, \varphi)$ or $(t, r_s(t), \theta, \varphi)$ | $(t, r, \theta, \varphi), r = R + M$ |
| Binary Separation | $A(t)$ | $A(t)$ or $a(t)$ | $a(t)$ |
| Matching Radius | $R(t) = A(t)/2$ | $R_s(t) = a(t)/2 - M$ or $r_s(t) = A(t)/2 + M$ | $r(t) = a(t)/2$ |

TABLE I: (Reproduced from Paper I.) The notation for the coordinates, the binary separation, and the matching radius. We express these three variables in the PN spacetime, the BHP spacetime, and the matching surface between the two.

Outside of the shell, we write down a perturbed Schwarzschild metric,

$$ds^2 = -(1 - 2M/r)dt^2 + (1 - 2M/r)^{-1}(dr^2 + r^2 d^2\Omega) + h_{\mu\nu} dx^\mu dx^\nu, \quad (9)$$

where the nonzero components of the perturbed metric $h_{\mu\nu}$ that we shall need in this paper are the quadrupole pieces, $h_{\mu\nu}^{(l=2)}$, and they take the form,

$$(h_{tt}^{(l=2)})_{(e)} = \sum_{m=-2}^2 H_{tt}^{2,m} Y^{2,m}(\theta, \varphi), \quad (10)$$

$$(h_{rr}^{(l=2)})_{(e)} = \sum_{m=-2}^2 H_{rr}^{2,m} Y^{2,m}(\theta, \varphi), \quad (11)$$

$$(h_{\theta\theta}^{(l=2)})_{(e)} = r^2 \sum_{m=-2}^2 K^{2,m} Y^{2,m}(\theta, \varphi), \quad (12)$$

$$(h_{\varphi\varphi}^{(l=2)})_{(e)} = r^2 \sin^2 \theta \sum_{m=-2}^2 K^{2,m} Y^{2,m}(\theta, \varphi), \quad (13)$$

and

$$(h_{t\theta}^{(l=2)})_{(o)} = \sum_{m=-2}^2 h_t^{2,m} X_\theta^{2,m}(\theta, \varphi), \quad (14)$$

$$(h_{t\varphi}^{(l=2)})_{(o)} = \sum_{m=-2}^2 h_t^{2,m} X_\varphi^{2,m}(\theta, \varphi). \quad (15)$$

The subscripts “(e)” and “(o)” refer to the parity of the perturbations (even and odd, respectively), where we call perturbations that transform as $(-1)^l$ even and as $(-1)^{l+1}$ odd.

The interior PN metric must match the perturbed Schwarzschild metric on a spherical shell between the two regions. To make this identification, we note that because $R = r - M$, then the term

$$\left(1 - \frac{2M}{r}\right)^{-1} = \left(1 + \frac{2M}{R}\right) + O[(M/R)^2]. \quad (16)$$

We, therefore, identify the monopole piece of the PN metric with the unperturbed Schwarzschild metric. Moreover, at leading order in M/R , we note that the perturbations of the two metrics match exactly,

$$H_{tt}^{2,m} = H_{rr}^{2,m} = K^{2,m} = 2U_N^{2,m}, \quad (17)$$

$$h_t^{2,m} = -4w_{(o)}^{2,m}. \quad (18)$$

There is then a straightforward procedure that lets one express the metric perturbations in terms of the gauge-invariant perturbation functions of the Schwarzschild spacetime [57] (though in this paper we use the notation of [58]), which are typically called the Zerilli function and the Regge-Wheeler function for the even and odd parity perturbations, respectively. We reproduce the expressions below:

$$\Psi_{(e)}^{2,m} = \frac{2r}{3} \left\{ U_N^{2,m} + \frac{r-2M}{2r+3M} \times \left[\left(1 - \frac{2M}{r}\right) U_N^{2,m} - r \partial_r U_N^{2,m} \right] \right\}, \quad (19)$$

$$\Psi_{(o)}^{2,m} = 2r \left(\partial_r w_{(o)}^{2,m} - \frac{2}{r} w_{(o)}^{2,m} \right). \quad (20)$$

In Paper I, we matched the two metrics on a timelike tube that we specified before evolving the Regge-Wheeler and Zerilli functions. We assumed that this tube would be spherically symmetric, and we found its radius by first assuming the reduced-mass motion of the system followed a radial geodesic of a plunging test mass in the background Schwarzschild spacetime and then setting the radius of the world tube to be half this distance at each time. This allowed us to use the PN data in the form of the Regge-Wheeler and Zerilli functions, Eqs. (19) and (20) on this tube to provide a boundary-value problem for the evolution of the Regge-Wheeler [59] or Zerilli [60] equations,

$$\frac{\partial^2 \Psi_{(e,o)}^{l,m}}{\partial u \partial v} + \frac{V_{(e,o)}^l \Psi_{(e,o)}^{l,m}}{4} = 0. \quad (21)$$

The potentials for the Regge-Wheeler (odd-parity) or Zerilli (even-parity) equations are given by

$$V_{(e,o)}^l(r) = \left(1 - \frac{2M}{r}\right) \left(\frac{\lambda}{r^2} - \frac{6M}{r^3} U_{(e,o)}^l(r)\right), \quad (22)$$

where $\lambda = l(l+1)$ and

$$U_{(o)}^l(r) = 1, \quad U_{(e)}^l(r) = \frac{\Lambda(\Lambda+2)r^2 + 3M(r-M)}{(\Lambda r + 3M)^2}, \quad (23)$$

where $\Lambda = (l-1)(l+2)/2 = \lambda/2 - 1$. After numerically solving the Regge-Wheeler or Zerilli equations above, we computed the gravitational waveforms and the radiated energy and momentum, all of which we found to be in good agreement with the exact quantities computed from numerical-relativity simulations.

In this paper, while much of the procedure we use for matching the metrics is identical to that set forth above, there are several important differences that we will discuss in Sec. III. The most important difference between the first paper and the current one arises in how we find the trajectory of the system's reduced mass (and thence the timelike tube on which we match the metrics). Before, we chose a region, prior to evolving the Regge-Wheeler and Zerilli equations, that would not introduce spurious effects into the results; here we determine the position of timelike world tube through a evolving the position of the reduced-mass of the binary subject to radiation reaction. We will discuss the details of this procedure in the next section.

III. RADIATION-REACTION POTENTIAL AND EVOLUTION EQUATIONS

In this section, we introduce a radiation-reaction potential into the hybrid method, and we show that it leads to a set of evolution equations that simultaneously evolve both the outgoing radiation and dynamics of the reduced mass of the system. This, in turn, allows us to produce a full inspiral-merger-ringdown waveform. We first qualitatively discuss how our method works and how it compares to other analytical methods. We then discuss the hybrid method in further detail, and we close this section by showing, analytically, that the procedure recovers the correct Burke-Thorne radiation-reaction potential [50] in the weak-field limit.

A. Qualitative Description

It is easiest to discuss our method with the aid of the spacetime diagram in Fig. 2. We describe the region within the solid black timelike curve with the near-zone PN metric, and outside this curve, we use a perturbed Schwarzschild region. The black line, which passes through the PN point particles, is where we match the two metrics. We suppress both angular coordinates, so that each point on the curve represents the matching shell that we discuss in Sec. II. The shaded region represents the black-hole potential; the yellow (light gray) shade depicts the strong-field portion of the black-hole potential (the strong-field near zone) and the green (gray) shade shows the region where centrifugal potential is significant (the weak-field near zone). There is a wave zone near the horizon (large u), and, consequently, the region where there is a large black-hole potential is confined to a small space in this diagram.

To have Fig. 2 be an effective description of the spacetime of a black-hole binary, both PN and BHP theories both must be sufficiently accurate at the PN point particles (the black line where we match the metrics), or the PN approximation could break down if the point particles are well-hidden within the black-hole effective potential.

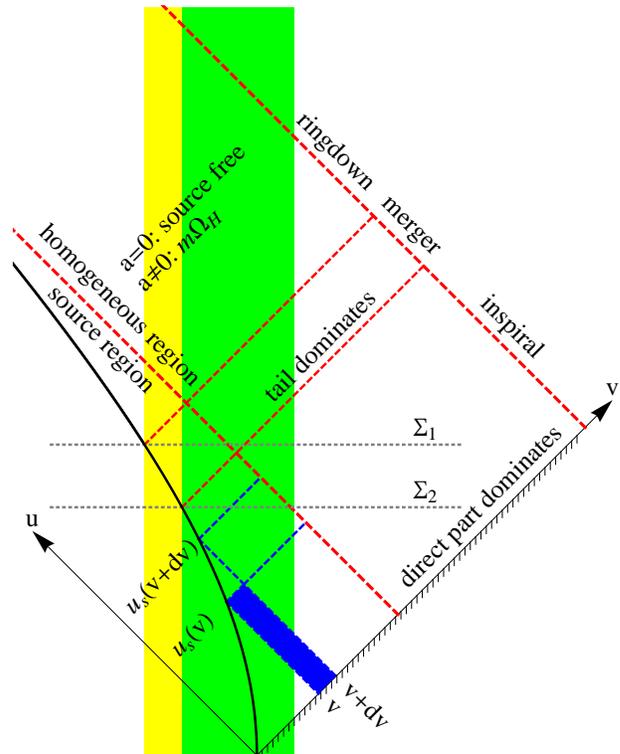


FIG. 2: (color online). A spacetime diagram of our method. The solid black timelike curve depicts the region where we match PN and BHP spacetimes (passing through the centers of the PN theory's point particles). Inside this curve the spacetime can be reasonably approximated by PN theory, whereas outside, the spacetime is better described by BHP theory. The yellow (light gray) shade shows the the strong field region, whereas the green (gray) shade represents where the black-hole potential is weaker, but the centrifugal barrier of flat space still is important. The dark blue (dark gray) shaded region, surrounded by the blue (dark gray) dashed lines shows how the value of the perturbations in the exterior (along with the no ingoing wave condition) determines the value of the radiation-reaction potential at the next matching point. The horizontal dashed lines represent the region of spacetime where the close-limit approximation or Lazarus approach would begin. The red (gray) dashed lines show how one can connect the near-zone behavior to the wave (through lines of constant u), and thereby tie the motion of the matching region through the black-hole effective potential to portions of the waveform. This gives an interpretation of inspiral, merger, and ringdown phases in terms of the direct and scattered parts of the waves. Further discussion of this figure is given in the text of Sec. III.

For the errors to stay within the potential, the particles must rapidly fall to the horizon; thus one can see in Fig. 2 that the black curve approaches an ingoing null ray asymptotically. (Recall that u and v are the light-cone coordinates of the BHP spacetime.) Following this trajectory, the perturbations induced by the PN spacetime will become strongly redshifted, and they will not escape the black-hole potential (as Price had found in his description of stellar collapse [61]), because the potential

reflects low frequency perturbations.

As in Paper I, we will again be able to interpret different portions of the waveform by connecting a region of the waveform with the position of the PN binary's point particles in the near zone (via constant values of the light-cone coordinate, u). In the figure these are the thick red (gray) dashed lines of constant u . The inspiral part of the waveform, which propagates directly along the light cone, comes from the part of the trajectory within the weak-field near zone. Once the trajectory reaches within the strong-field near zone, the waves scatter off of the potential and propagate within the light cone (often referred to as the PN tail part of the wave) in addition to propagating out directly. We view this mixed wave as characteristic of the merger phase. Finally, as the trajectory falls within the effective potential, for a Schwarzschild black hole the direct part vanishes and only the scattered waves emerge; this part is the quasinormal ringing of the final black hole and should be associated with the ringdown phase. We distinguish between Schwarzschild and Kerr black holes for the ringdown phase, because Mino and Brink [62] and, subsequently, Zimmerman and Chen [63] found that for Kerr black holes, frame dragging generates a part of the waveform at the horizon frequency (that decays at a rate proportional to the horizon's surface gravity). This piece of the waveform looks like a source, and, thus, only when the final black hole is not spinning, do we consider the spacetime to appear to be source-free. For this reason (and since the matching surface asymptotes to a line of constant v), we call the values of v greater than this limiting value the homogeneous region, and the values of v less than this the source region.

An important development in this paper is that we no longer prescribe the evolution of the reduced mass of the system (and thereby a matching region) before evolving the Regge-Wheeler or Zerilli equations; rather, we specify a set of evolution equations for the conservative dynamics of the binary, and let the outgoing waves provide back reaction onto the dynamics. This, in turn, leads to a self-consistent system of equations including radiation reaction. More concretely, we continue to match the PN and perturbed Schwarzschild metrics at the centers of the PN theory's point particles. Moreover, we will again let the reduced-mass motion of the binary system follow that of a point particle in a Schwarzschild background; in this paper, however, we will use the fact that there are no ingoing waves to specify a radiation-reaction potential that acts as a dissipative force on the Hamiltonian dynamics of the reduced mass. This follows the spirit of the Burke-Thorne radiation-reaction potential, but the radiation propagates within a BHP spacetime, and, therefore, also takes the effects of the background curvature into account.

Furthermore, allowing for radiation-reaction in the hybrid method leads to a set of equations that simultaneously evolve the Zerilli equation (the waveform) and the reduced-mass motion of the binary. In Fig. 2 we represent schematically how this occurs. We start at a given v

[a dark blue (dark gray) dashed line given in Fig. 2] and assume that there is a no-ingoing-wave boundary condition along the line $u = 0$. In addition, we suppose that we have determined the black-hole-perturbation functions for all smaller values of v , up to the timelike matching surface. By evolving the Zerilli equation, Eq. (21), one can find the Zerilli function at $v + dv$ up to the time $u_s(v)$ [within the dark blue (dark gray) shaded region]. The no-ingoing-wave condition combined with the boundary condition on the matching surface, however, fixes how the Zerilli function will evolve to larger values of u . When solved simultaneously with the Hamiltonian dynamics describing the binary's motion, this lets one find the position of the reduced mass of the binary at $v + dv$, denoted by $u_s(v + dv)$ in the figure, and the new value of the Zerilli function there. One can evolve the system for all v in such a manner.

Including radiation reaction does not greatly change the hybrid method as reviewed in Sec. II. The matching procedure works the same; one modification that comes about is that we must include both the Newtonian potential and the radiation-reaction potential in the PN metric (and, therefore, gain an additional term in the Zerilli function). The evolution system is now quite different, because it is a coupled system of Hamiltonian ordinary differential equations and a one-dimensional partial differential equation. We will discuss the system of evolution equations in greater detail after we compare our method with other analytical methods in the next subsection.

B. Descriptive Comparison with Other Analytical and Semi-Analytical Models

In this section, we will compare the similarities and differences between the hybrid method described above and the most closely related methods mentioned in the introduction: the close-limit approximation, the Lazarus program, the comparable-mass EOB methods, the Teukolsky-based approach, the EOB description of EMRIs, and the IMRI calculation calibrated to numerical-relativity data. The comparison between the hybrid method and the other methods will be descriptive, but we will compare the waveform from the hybrid method with a numerical-relativity waveform in Sec. IV

To compare with the Lazarus project or the close-limit approximation, we again refer to Fig. 2, where we show two spacelike hypersurfaces (the horizontal dashed lines labeled by Σ_1 and Σ_2). In close-limit and Lazarus methods, initial data is posed on these surfaces at a time near the merger of the black holes. While these approaches have been successful, posing initial data at late times makes it more difficult to smoothly connect the initial inspiral of the binary to the merger and ringdown later. Moreover, because the initial data extends inside the black-hole potential, if it contains high-frequency perturbations, these could escape the potential barrier and en-

ter into the waveform. The hybrid approach escapes this problem by setting boundary data on a timelike world tube rather than on a spacelike hypersurface. This also lets the method connect the inspiral, merger, and ring-down portions of the dynamics and waveform more directly.

The EOB approach, for comparable-mass ratio binaries, only describes times prior to merger (the hypersurfaces Σ_1 and Σ_2 in Fig. 2). To create a full inspiral-merger-ringdown waveform, the EOB method must fit a sequence of quasinormal modes to the end of the inspiral-plunge waveform. This procedure makes a very accurate waveform, but it makes connecting the behavior of the spacetime before and after merger more difficult. The hybrid method, with its interior PN region that falls toward the horizon at late times, allows one to make a more clear connection between the dynamics of the spacetime during inspiral and merger to that during ringdown. In its current implementation, however, it does not produce a waveform nearly as accurate as that of the EOB.

Although the hybrid method is designed for describing comparable-mass black-hole binaries, it shares a few similarities and has several significant differences from various approximate techniques that model EMRIs. It is possible to draw a few general comparisons between the hybrid method and the procedures for studying EMRIs, before moving to more specific comparisons. While the hybrid method evolves perturbations on a black-hole background (as most EMRI methods do), EMRI methods assume a source term as the generator of the perturbations in the background. The hybrid approach, however, does not have a source term; rather the perturbations of the background come from boundary data that correspond to the multipolar structure of a comparable-mass PN binary. Because the hybrid approach is a boundary-value problem, the details of the implementation will be different from those methods that use a point mass as a source term.

Moving to specific EMRI models, we first compare the hybrid approach with the Teukolsky-based methods of Sundararajan and collaborators [36] (for example). The hybrid approach is similar to that of [36], in that both use time domain codes and are capable of producing smooth inspiral-merger-ringdown waveforms. An important difference is that the hybrid method calculates the waveform simultaneously with the evolution of the matching region, whereas the EMRI method of Sundararajan computes the trajectory before the evolution (using an adiabatic frequency-domain code during inspiral, and a prescription for the plunge and merger) and then finds the waveform from this trajectory. Moreover, the hybrid method incorporates the effects of radiation-reaction by matching the near-zone PN solution to an outgoing solution in the exterior BHP spacetime, whereas the Teukolsky-based methods include radiative effects by evolving the orbital parameters of geodesics from averaged fluxes at infinity.

The EOB model of Yunes et al. [45], is a calibration

of the EOB method to Teukolsky-based waveforms for EMRIs; it, therefore, shares the same similarities and differences as the EOB and the Teukolsky-based methods discussed above. Han and Cao [42] develop an EOB model that uses the a Teukolsky-based energy flux (in the frequency domain) to treat radiative effects. In comparing with the hybrid model, therefore, it also falls somewhere between an EOB model and a Teukolsky-based method. The recent EOB work of Bernuzzi and collaborators [39–41] shares more similarity with the hybrid method, because they evolve the Regge-Wheeler-Zerilli equations in the time domain. The most notable specific difference (as opposed to the general differences between the hybrid-method and all analytical approaches to EMRIs noted above) is in the radiation-reaction force. The EOB model uses a high-PN-order, resummed energy flux, whereas (as also noted above) the hybrid method determines radiative effects from directly matching a near-zone PN solution to an outgoing BHP solution.

We conclude this section by comparing the hybrid method with the recent analytical work of Lousto et al. [46–48]. They take two approaches to calculating waveforms for IMRIs perturbatively. In their initial work, they transform the trajectory of the small black hole from their numerical-relativity simulations into the Schwarzschild gauge, and they compute the waveform using this numerical trajectory in a BHP calculation. To be able to study a wider range of mass ratios, they use PN expressions for the change in frequency and the radial trajectory, but use the numerical-relativity values of the frequency to calibrate the PN functions. The hybrid method differs from this, because it calculates the matching region simultaneously with the waveform, and it does not use numerical-relativity data to calibrate results. Consequently, the hybrid method does not agree as well with exact results as well as the other methods discussed here, but it does present a distinct way of calculating the approximate spacetime and gravitational waveform.

C. Radiation Reaction and Evolution Equations

In this section, we will discuss the details of radiation reaction in the hybrid method. The end result will be the set of evolution equations described in Eqs. (48) – (52), and the majority of this section will be devoted to deriving this system of equations.

We begin, as in Paper I, with the PN metric at Newtonian order,

$$dS^2 = -(1 - 2U_N)dt^2 + (1 + 2U_N)(dR^2 + R^2 d^2\Omega), \quad (24)$$

the same as Eq. (4) of Sec. II, though without the gravitomagnetic terms. Here, however, we write the Newtonian

potential (expanded to quadrupole order) as

$$U_N = U_N^{(l=0)} + U_N^{(l=2)} \\ = \frac{M}{r} + \sum_{m=-2}^2 \left(\frac{Q_m}{R^3} Y^{2,m} + F_m R^2 Y^{2,m} \right). \quad (25)$$

The first term is the monopole piece (M is the total mass of the binary) and the first term in the sum is the quadrupole part (and Q_m are the quadrupole moments of the binary). These two terms above are identical to those of Paper I, but the second term in the sum (the polynomial in R with coefficients F_m) is different. One can include the terms proportional to F_m , because like the Newtonian potential, they are solutions to Poisson's equation. These terms diverge at infinity (which restricts their use to the near zone), but they cannot be determined from the near-zone dynamics alone, however. Burke showed [50], using the technique of matched asymptotic expansions, that the terms with coefficients F_m could represent the reaction of the binary in the near-zone to radiation losses to infinity. The portion of the potential due to the moments F_m , therefore, is called the Burke-Thorne radiation-reaction potential.

In the hybrid method, we will find a similar quantity in the interior PN spacetime by matching the PN near-zone solution to a solution in the Schwarzschild exterior with no ingoing waves. Namely, when we assume that there are no ingoing waves from past-null infinity in the exterior BHP spacetime, this determines a radiation-reaction potential within the interior PN spacetime. This allows us to incorporate the effects of wave propagation in the background black-hole spacetime into the dynamics of the binary. While the Schwarzschild background does not capture every detail of the curvature of a binary at small separation, we see that it does capture much of the important effects.

Proceeding with the calculation, we assume we have an equal-mass, nonspinning binary in the x - y plane, located at

$$\mathbf{X}_A(t) = -\mathbf{X}_B(t) = \frac{1}{2}A(t)(\cos \alpha(t), \sin \alpha(t), 0), \quad (26)$$

where A and B are labels for the two members of the binary. Each black hole has mass $M/2$, and a straightforward calculation shows that

$$Q_2(t) = \sqrt{\frac{3\pi}{10}} \frac{MA(t)^2}{4} e^{-2i\alpha(t)}, \quad (27)$$

$$Q_0(t) = -\frac{MA(t)^2}{4} \sqrt{\frac{\pi}{5}}, \quad (28)$$

$$Q_{-2}(t) = \overline{Q_2(t)}, \quad (29)$$

where the overline stands for complex conjugate, and where the $m = \pm 1$ components must be zero for this equal-mass binary by symmetry. Throughout this paper, we focus just on the $m = \pm 2$ multipoles, because as one can see from the expressions above, the $m = 0$ moment

only evolves due to radiation reaction (for circular orbits), and, therefore, is less significant than the $m = \pm 2$ multipoles, which change on the orbital time scale. Moreover, the $m = -2$ quantity is the complex conjugate of the corresponding $m = 2$ quantity, so when we write $Q(t)$ (or any other variable that might be indexed by m), we refer to the $m = 2$ variable, and similarly, for $\overline{Q}(t)$, we mean the $m = -2$ element. This way, the notation can be simplified by dropping the m label on multipole coefficients. Thus, we can write the quadrupole perturbation as

$$U_N^{2,2} = \overline{U_N^{2,-2}} = \frac{Q(t)}{R^3} + F(t)R^2, \quad (30)$$

where

$$Q(t) = \sqrt{\frac{3\pi}{10}} \frac{MA(t)^2}{4} e^{-i2\alpha(t)}, \quad (31)$$

and $F(t)$, an undetermined function of time, is the radiation-reaction potential.

One can substitute Eq. (30) into Eq. (19) and use the fact that $r = R - M$ to find the Zerilli function. Calculating the Zerilli function introduces many factors of M/R into the end result, which, because our calculation is only accurate to Newtonian order, we will keep only the leading-order terms in R . We find that

$$\Psi_{(e)} = \frac{2Q(t)}{R^2} + \frac{F(t)R^3}{3}. \quad (32)$$

We will also shortly need expressions for the derivative of the Zerilli function with respect to the tortoise coordinate r_* , Eq. (2), which we compute it here, as well. Again, we will keep the leading-order expression in R , but we will also retain the factor of

$$\frac{dr}{dr_*} = \left(1 - \frac{2M}{r} \right) = \frac{(R - M)}{(R + M)}, \quad (33)$$

since although $\Psi_{(e)}$ may be constant on the horizon, $\partial\Psi_{(e)}/\partial r_*$ should vanish there [61]. The result of this calculation is that

$$\frac{\partial\Psi_{(e)}}{\partial r_*} = \left(\frac{R - M}{R + M} \right) \left(-\frac{4Q(t)}{R^3} + F(t)R^2 \right). \quad (34)$$

The Zerilli function satisfies the simple wave equation in a potential, Eq. (21). As before, the value of the Zerilli function at the matching surface, $R_s(t) = A(t)/2$, provides a boundary condition for the Zerilli equation on the matching surface, but now there is an additional boundary condition on the Zerilli functions derivative with respect to the tortoise coordinate. The two boundary conditions state that

$$\Psi_{(e)}(t) = \frac{8Q(t)}{A(t)^2} + \frac{F(t)A(t)^3}{24}, \quad (35)$$

$$\frac{\partial\Psi_{(e)}(t)}{\partial r_*} = \left(\frac{A(t) - 2M}{A(t) + 2M} \right) \\ \times \left(-\frac{32Q(t)}{A(t)^3} + \frac{F(t)A(t)^2}{4} \right). \quad (36)$$

By eliminating the unknown function $F(t)$ from the above equations, one can impose a mixed (Robin) boundary condition at the matching surface between the PN and BHP spacetimes,

$$\frac{\partial \Psi_{(e)}(t)}{\partial r_*} = \left(\frac{A(t) - 2M}{A(t) + 2M} \right) \left(\frac{6}{A(t)} \Psi_{(e)}(t) - \frac{80Q(t)}{A(t)^3} \right). \quad (37)$$

This specifies a boundary condition at a given moment in time, but it does not yet describe how to evolve the matching surface (through evolving the reduced-mass motion of the system) and the value of the Zerilli function on this surface.

One can determine the value of the Zerilli function at later times through the boundary condition above, and the following additional constraint. By integrating the Zerilli equation with respect to u , one finds that

$$\frac{\partial \Psi_{(e)}(t)}{\partial v} = -\frac{1}{4} \int_0^{u_s(t)} V^{(l=2)}(r) \Psi_{(e)}(u', v) du' + \frac{\partial \Psi_{(e)}(0, v)}{\partial v}, \quad (38)$$

where we have written r implicitly as a function of u and v , and $u_s(t)$ denotes the value of u at the matching surface for a given time t . Having no ingoing waves forces the second term to be zero, so

$$\frac{\partial \Psi_{(e)}(t)}{\partial v} = -\frac{1}{4} \int_0^{u_s(t)} V^{(l=2)}(r) \Psi_{(e)}(u', v) du'. \quad (39)$$

Because both $\partial \Psi_{(e)}(t)/\partial v$ and $\partial \Psi_{(e)}(t)/\partial r_*$ are constrained at the point of matching surface, this determines the evolution of $\Psi_{(e)}(t)$ on the matching surface. It is easiest to express the Zerilli function on the matching surface as a function of time via $\Psi_{(e)}(t, r_*(t))$. Then, taking the total derivative,

$$\frac{d\Psi_{(e)}(t)}{dt} \equiv \dot{\Psi}_{(e)}(t) = \frac{\partial \Psi_{(e)}}{\partial t} + \frac{dr_*}{dt} \frac{\partial \Psi_{(e)}}{\partial r_*}, \quad (40)$$

using the facts that

$$\frac{\partial \Psi_{(e)}}{\partial t} = 2 \frac{\partial \Psi_{(e)}}{\partial v} - \frac{\partial \Psi_{(e)}}{\partial r_*}, \quad (41)$$

and

$$\frac{dr_*}{dt} = \left(1 - \frac{2M}{r} \right)^{-1} \frac{dr}{dt}, \quad (42)$$

along with the relationship $a(t) = 2r(t)$, one can write

$$\begin{aligned} \dot{\Psi}_{(e)}(t) &= 2 \frac{\partial \Psi_{(e)}(t)}{\partial v} \\ &- \left[1 - \frac{1}{2} \left(\frac{A(t) + 2M}{A(t) - 2M} \right) \dot{A}(t) \right] \frac{\partial \Psi_{(e)}(t)}{\partial r_*}. \end{aligned} \quad (43)$$

In the above equation, $\partial \Psi_{(e)}(t)/\partial v$ is given by the integral of the Zerilli function up to that time, Eq. (39),

and $\partial \Psi_{(e)}(t)/\partial r_*$ is given by the boundary condition, Eq. (37), at that instant. As a result, the only term in Eq. (43) that is not yet fixed is the expression for $\dot{A}(t)$.

The term $\dot{A}(t)$ specifies the time evolution of the reduced mass of the binary, which, because it is twice the radius of the matching surface between the Schwarzschild and PN metrics, could conceivably evolve via either the PN equations of motion or those of a particle in Schwarzschild. We will choose the latter, for the same reason as described in Paper I: the Schwarzschild Hamiltonian has the advantage that a particle falling toward the horizon approaches it exponentially in time, in the limit that the particle is near the horizon. Because we are using this motion to approximate the region inside of which PN theory holds, we want this space to quickly fall toward the horizon as the theory begins to converge slowly. Moreover, the motion should move smoothly toward the horizon (so as not to introduce high frequency modes that could escape the black-hole effective potential). The PN equations of motion do not have these desirable features; we consequently favor the point-particle evolution equations in Schwarzschild.

We write the evolution equations for the reduced mass of the system in their Hamiltonian form. As in paper I, we will describe the dynamics of the reduced mass in PN coordinates, because at late times, this causes the point particles in the PN metric to approach the horizon in the external Schwarzschild spacetime as the reduced-mass of the system does the same. The equations of motion for the reduced mass, μ , are

$$\begin{aligned} \dot{A}(t) &= \frac{\partial H}{\partial p_A(t)}, & \dot{\alpha}(t) &= \frac{\partial H}{\partial p_\alpha(t)} \\ \dot{p}_A(t) &= -\frac{\partial H}{\partial A(t)}, & \dot{p}_\alpha(t) &= \mathcal{F}_\alpha(t), \end{aligned} \quad (44)$$

where Hamiltonian of Schwarzschild is given by

$$\frac{H(A(t), p_A(t), p_\alpha(t))}{\mu} = \quad (45)$$

$$\sqrt{\left(1 - \frac{2M}{A(t)} \right) \left[1 + \left(1 - \frac{2M}{A(t)} \right) \frac{p_A(t)^2}{\mu^2} + \frac{p_\alpha(t)^2}{\mu^2 A(t)^2} \right]}.$$

The radiation-reaction force is given by the derivative of the radiation-reaction potential with respect to φ , and it should be evaluated at the location of the matching region,

$$\frac{\mathcal{F}_\alpha(t)}{\mu} = \frac{1}{\mu} \frac{\partial U_N^{(l=2),F}}{\partial \varphi} = -A^2(t) \sqrt{\frac{15}{2\pi}} \Im[F(t) e^{2i\alpha(t)}]. \quad (46)$$

where $U_N^{(l=2),F}$ represents the quadrupole part of the radiation-reaction potential. By solving Eq. (35) for $F(t)$ in terms of $\Psi_{(e)}(t)$ [and because $Q(t)$ is proportional to a real amplitude times $e^{-2i\alpha(t)}$, see Eq. (31)], one can write

$$\frac{\mathcal{F}_\alpha(t)}{\mu} = -\sqrt{\frac{15}{2\pi}} \frac{24}{A(t)} \Im[\Psi_{(e)}(t) e^{2i\alpha(t)}]. \quad (47)$$

With the above relationship between the radiation-reaction force and the Zerilli function, there is now a complete set of evolution equations for the reduced-mass motion of the system, the Zerilli function on the matching surface, and the Zerilli function in the exterior spacetime. This system of equations is given by

$$\dot{A}(t) = \frac{\partial H}{\partial p_A(t)}, \quad \dot{\alpha}(t) = \frac{\partial H}{\partial p_\alpha(t)}, \quad (48)$$

$$\dot{p}_A(t) = -\frac{\partial H}{\partial A(t)}, \quad (49)$$

$$\dot{p}_\alpha(t) = -\mu \sqrt{\frac{15}{2\pi}} \frac{24}{A(t)} \Im[\Psi_{(e)}(t) e^{2i\alpha(t)}], \quad (50)$$

$$\begin{aligned} \dot{\Psi}_{(e)}(t) = & -\frac{1}{2} \int_0^{u_s(t)} V^{(l=2)}(r) \Psi_{(e)}(u', v) du' \\ & - \left[\left(\frac{A(t) - 2M}{A(t) + 2M} \right) - \frac{\dot{A}}{2} \right] \\ & \times \left(\frac{6\Psi_{(e)}(t)}{A(t)} - \frac{80Q(t)}{A(t)^3} \right), \end{aligned} \quad (51)$$

$$\frac{\partial^2 \Psi_{(e)}}{\partial u \partial v} = -\frac{V_{(e)}^{(l=2)}(r) \Psi_{(e)}(u, v)}{4}, \quad (52)$$

where the Hamiltonian is given by Eq. (45), the potential by Eq. (22), and the quadrupole by Eq. (31). By including radiation reaction, we arrived at a set of evolution equations that simultaneously evolve the reduced-mass motion of the binary and the gravitational waves emitted, taking into account the back action of the emitted radiation on the reduced-mass motion.

D. Weak-Field Analytical Solution

First, we will confirm that our procedure recovers the correct Burke-Thorne radiation-reaction potential in the weak-field limit. If we have an equal-mass binary in a circular orbit at a large separation, $r_* \approx r \approx R \gg M$, then the leading-order behavior of the Zerilli equation, Eq. (21) is just a wave equation in flat space,

$$\frac{\partial^2 \Psi_{(e)}}{\partial t^2} - \frac{\partial^2 \Psi_{(e)}}{\partial R^2} + \frac{6}{R^2} \Psi_{(e)} = 0. \quad (53)$$

If one assumes a product solution $\Psi_{(e)} = e^{i\omega t} \psi(R)$, then for the radial motion, one must solve the ordinary differential equation

$$\frac{d^2 \psi}{dR^2} + \omega^2 \psi = \frac{6}{R^2} \psi. \quad (54)$$

The solutions for ψ/R are spherical Hankel functions $\psi/R = h_2(\omega A/2) = j_2(\omega A/2) + in_2(\omega A/2)$, assuming there are no ingoing waves. Here ω corresponds to the gravitational wave frequency. We must match this wave zone solution to the PN near-zone expression for the Zerilli function given by Eq. (35); additionally, we must also

match the derivative of the Hankel function with the radial derivative of the PN Zerilli function given in Eq. (36).

We will write these conditions in the frequency domain, where

$$B(\omega) A h_2(\omega A/2) = \frac{8Q(\omega)}{A^2} + \frac{F(\omega) A^3}{24}, \quad (55)$$

$$B(\omega) A h_2'(\omega A/2) = -\frac{32Q(\omega)}{A^3} + \frac{F(\omega) A^2}{4}, \quad (56)$$

and we must solve for the unknown amplitude $B(\omega)$ and the radiation-reaction potential $F(\omega)$ in terms of the quadrupole moment $Q(\omega)$ and the spherical Hankel function $h_2(\omega A/2)$. Since the matching takes place at very large radii, and, by Kepler's law $A\omega \sim A^{-1/2}$ for circular orbits, one can expand the Hankel function in $A\omega/2$. This allows one to solve for F as a series in $1/A$, whose three lowest terms are given by

$$F = \frac{16}{5A^3} \omega^2 Q + \frac{8}{25A} \omega^4 Q + i \frac{2}{15} \omega^5 Q + O(A^{-6}). \quad (57)$$

The third term is the familiar Burke-Thorne radiation-reaction potential (written in the time domain, this is proportional to five derivatives of the quadrupole moment). The first two terms resemble 1PN and 2PN corrections to the Newtonian potential in the near zone; however, these terms represent the effects of time retardation that are needed to match the near-zone solution to an outgoing wave solution in the wave zone. As a result, our method recovers, asymptotically, the expected result. Consequently, the evolution system Eqs. (48) – (52) will also give rise to the correct dynamics in the weak-field limit.

IV. NUMERICAL METHOD AND RESULTS

We begin this section by describing the numerical method that we use to solve the system of evolution equations, Eqs. (48) – (52). We then show that the evolution equations give rise to reasonable and convergent results. With this established, we compare our waveform with one from a numerical-relativity simulation, and we close this section by interpreting the spacetime of the hybrid method.

A. Numerical Methods and Consistency Checks of the Evolution Equations

Because the set of evolution equations Eqs. (48) – (52) has a somewhat unusual form, we describe our numerical method in detail, and we present a few basic checks of the waveform and its convergence. To find the field outside the matching surface, we use the same method as that described in Paper I, a second-order accurate, characteristic method. If we define the following points on

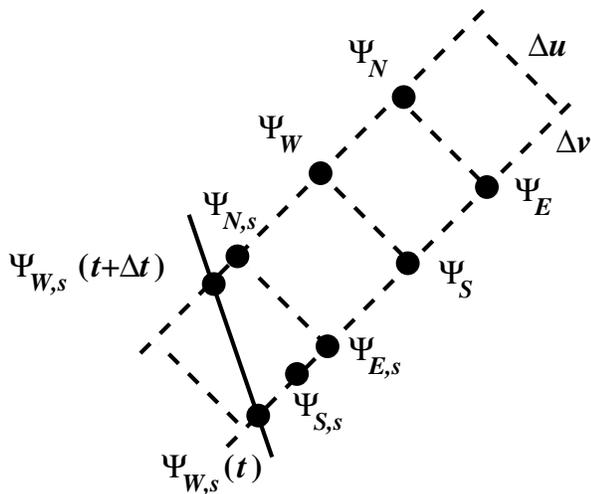


FIG. 3: A diagram of how we discretize and evolve the Zerilli function. The dots represent the Zerilli function evaluated at the grid points (the points of intersection of the dashed lines), and the solid black line is the matching surface. For all points except those adjacent to the solid line, one can use Eq. (59) directly to numerically evolve the Zerilli function. Near the solid line, one can use the same procedure as described in Eq. (59), except that one must interpolate the Zerilli function to the point $\Psi_{S,s}$ to use the same procedure. Further detail is given in the text of this section.

the discretized grid (see the portion on the right, away from the solid line, in Fig. 3),

$$\begin{aligned} \Psi_N &= \Psi_{(e)}^{l,m}(u + \Delta u, v + \Delta v), & \Psi_W &= \Psi_{(e)}^{l,m}(u + \Delta u, v), \\ \Psi_E &= \Psi_{(e)}^{l,m}(u, v + \Delta v), & \Psi_S &= \Psi_{(e)}^{l,m}(u, v), \end{aligned} \quad (58)$$

then discretizing Eq. (52), one can solve for Ψ_N in terms of the other three discretized points and the potential:

$$\begin{aligned} \Psi_N &= \Psi_E + \Psi_W - \Psi_S - \frac{\Delta u \Delta v}{8} V_{(e)}^l(r_c) (\Psi_E + \Psi_W) \\ &\quad + O(\Delta u^2 \Delta v, \Delta u \Delta v^2). \end{aligned} \quad (59)$$

Here r_c is the value of r at the center of the discretized grid, $(u + \Delta u/2, v + \Delta v/2)$.

We must evolve this partial differential equation simultaneously with the five ordinary differential equations describing the Zerilli function on the matching surface and the surface's position, because all these equations are coupled together. We solve the ordinary differential equations using a second-order accurate Runge-Kutta method. As in Paper I, the Zerilli function along the matching surface does not always lie on the uniform grid in the u - v plane, and we must be careful when finding the Zerilli function at grid points adjacent to the matching surface. For example, at a given value of u along the discretized grid, it is rare that the Zerilli function on the matching surface, denoted by

$$\Psi_{W,s}(t) = \Psi_{(e)}(u_s(t), v_s(t)). \quad (60)$$

will actually fall along a grid point (see the left side of Fig. 3 near the solid line). Similarly, when evolving the discretized version of Eqs. (48) – (52), it is again unlikely that the Zerilli function along the matching surface at the next value of u (advanced by one unit of Δu),

$$\Psi_{W,s}(t + \Delta t) = \Psi_{(e)}(u_s(t) + \Delta u, v_s(t + \Delta t)), \quad (61)$$

will all at a grid point or even at the same value of v as the previous earlier value of the Zerilli function, $\Psi_{W,s}(t)$.

To be able to use Eq. (59) to find the Zerilli function at $u = u_s(t) + \Delta u$ for the next grid point in v (which we denote by $\Psi_{N,s}$), we must interpolate the Zerilli function at fixed $u = u_s(t)$ to the same value of $v = v_s(t)$ as $\Psi_{W,s}(t + \Delta t)$. We will label this point by

$$\Psi_{S,s} = \Psi_{(e)}(u_s(t), v_s(t + \Delta t)). \quad (62)$$

As in Paper I, this interpolation does not influence the convergence of the algorithm when done with cubic interpolating polynomials. With the value of the Zerilli function at $u = u_s(t)$ and the nearest grid point in v (which we will call $\Psi_{E,s}$), one can then find the point $\Psi_{N,s}$ using Eq. (59), where Ψ_E , Ψ_W , and Ψ_S are replaced by $\Psi_{E,s}$, $\Psi_{W,s}(t + \Delta t)$ and $\Psi_{S,s}$, respectively.

As a final note on the numerical methods, we point out that in the evolution equation for the Zerilli function on the matching surface, Eq. (43), the term $\partial \Psi_{(e)}(t)/\partial v$ involves an integral of the Zerilli function times the potential, Eq. (39). Explicitly evaluating this integral adds to the computational expense of significantly, so we compared the value of $\partial \Psi_{(e)}(t)/\partial v$ obtained through performing the integral with the value found from evaluating $\partial \Psi_{(e)}(t)/\partial v$ numerically using a fourth-order finite difference approximation of the derivative, calculated from the Zerilli function in the adjacent exterior BHP spacetime. Since the two agreed to within the numerical accuracy of our solution, we used the finite-difference approximation of $\partial \Psi_{(e)}(t)/\partial v$ in our numerical evolutions.

We now examine a few consistency checks of the numerical solutions to the system of evolution equations, Eqs. (48) – (52). In Fig. 4, we show, in black, the trajectory of the reduced mass of the binary in the PN coordinates. On this same figure, we have depicted the Schwarzschild black hole by a filled black circle, the light ring of this black hole by a red (light) dashed circle, and the innermost stable circular orbit (ISCO) by a blue (dark) dashed and dotted circle. One can see that the radiation-reaction force causes the matching region to adiabatically inspiral, until it approaches the ISCO. Once at the ISCO, it begins plunging more rapidly toward the light ring, and then falls past the light ring and asymptotes to the horizon of the final black hole.

The initial conditions of this evolution correspond to a binary with a PN separation of $A(0) = 14$ in a circular orbit, with no ingoing gravitational waves from past null infinity, and with the radiation-reaction force initially set to zero. We do not let the radiation-reaction force enter into the dynamics (thereby holding the binary at a

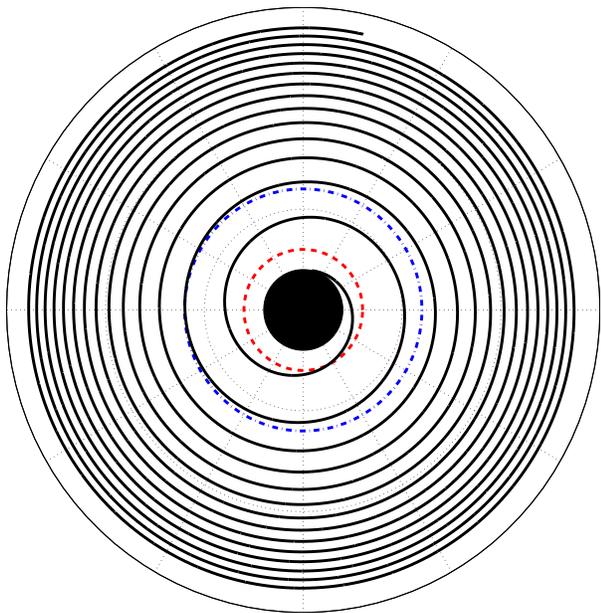


FIG. 4: (color online). In black, the trajectory of the reduced-mass motion of the binary, in the PN coordinate system. The blue (dark) dotted and dashed circle shows the Schwarzschild ISCO, and the red (light) dashed circle depicts the light ring of the Schwarzschild spacetime. The large filled black circle represents the horizon. One can see that the binary plunges soon after it reaches the ISCO of the exterior Schwarzschild spacetime.

fixed separation) until we have a stable estimate of the force. At this point, we include the radiation-reaction force (thereby letting the binary begin its inspiral). To minimize eccentricity, we introduce a small change in the radial momentum $p_A(0)$ that corresponds to the radial velocity of a PN binary at that separation. Explicitly, we find this value of $p_A(0)$ by solving

$$\dot{A}(0) = \left. \frac{\partial H}{\partial p_A(t)} \right|_{t=0} = -\frac{16}{5} \frac{M^3}{A(0)^3}, \quad (63)$$

(see, e.g. [3]), while assuming that $p_\alpha(0)$ continues to have the value for circular orbits

$$p_\alpha(0) = \frac{MA(0)}{\sqrt{A(0)/M - 3}}. \quad (64)$$

This is necessary to make the orbit as circular as possible once the binary begins to inspiral. We do not show the initial few orbits before we include the radiation-reaction force, and we denote the zero of our time to be the moment when we let the radiation-reaction force begin acting on the binary.

We also calculate the Zerilli function corresponding to these initial conditions, as a function of increasing numerical resolution. In Fig. 5, we show that the Zerilli function at large constant v , does converge in a way consistent with the second-order accurate code we are using. We show the L^2 norm of the difference between the

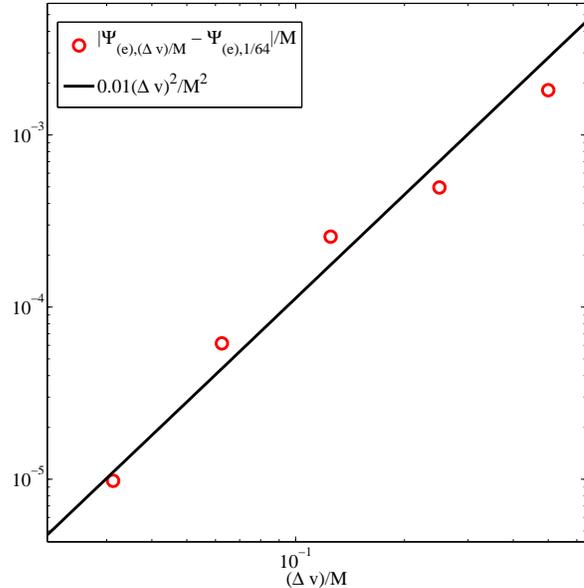


FIG. 5: (color online). The L^2 norm of the Zerilli function at a given resolution, $\Delta v/M$, minus the Zerilli function at the highest resolution, $(\Delta v)/M = 1/64$, which we denote by $|\Psi_{(e),(\Delta v)/M} - \Psi_{(e),1/64}|/M$. We also include a power law proportional to $[(\Delta v)/M]^2$ to indicate the second-order convergence of our result.

Zerilli function at a given resolution, which we denote $\Psi_{(e),(\Delta v)/M}$ and the highest resolution, $(\Delta v)/M = 1/64$, which we denote by $\Psi_{(e),1/64}$. The L^2 norm, therefore, we write as $|\Psi_{(e),(\Delta v)/M} - \Psi_{(e),1/64}|$, and we normalize this by the number of data points in the evolution, and the mass. We also include a power law, proportional to $[(\Delta v)/M]^2$, which indicates the roughly second-order convergence of the waveform.

We then plot the real part of the Zerilli function extracted at large constant v , for the highest resolution $(\Delta v)/M = 1/64$, in Fig. 6. The top panel depicts the Zerilli function throughout the full evolution. Because it is difficult to see the slow increase of the amplitude and frequency during early times and the smooth transition from inspiral to merger and ringdown at late times, we highlight the early stages of the inspiral in the lower-left panel, and we depict the merger and ringdown in the lower-right panel. Because $\sqrt{6}\Psi_{(e)} = r(h_+ - ih_\times)$, for the $l = 2$ modes at large r [see Eq. (101)], the Zerilli function is essentially identical to the gravitational waveform. From this one can see the hybrid method produces a smooth inspiral-merger-ringdown waveform. Because of the hybrid waveform has the correct qualitative features of a full inspiral-merger-ringdown waveform, it is natural to ask how well it could match a numerical relativity waveform. We, therefore, turn to this question in the next section.

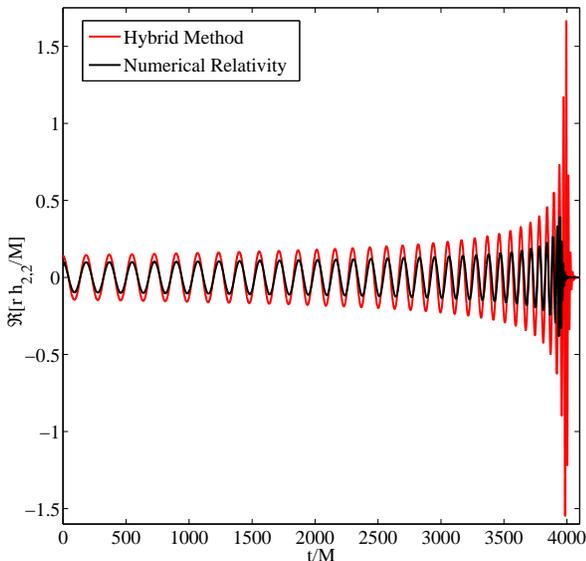


FIG. 7: (color online). In black is the $l = 2$, $m = 2$ mode of a numerical-relativity waveform, whereas in red (gray) is the equivalent quantity from the approximate method of this paper. The agreement of the waveforms' phases is quite good throughout the entire inspiral, although the amplitudes differ. The approximate and numerical-relativity waveforms differ during ringdown, because the approximate method uses a black-hole with zero spin, whereas the final black hole in the numerical relativity simulation has considerable spin.

2. Differences in the Instantaneous Frequency during Merger and Ringdown

The discrepancy between the two waveforms at late times in Fig. 7 is most evident in the instantaneous frequency, often defined as

$$M\omega = i \frac{\dot{\Psi}_{(e)}}{\Psi_{(e)}}, \quad (68)$$

where $\Psi_{(e)}$ is the Zerilli function measured at large r . We calculate this frequency for both the hybrid and the numerical-relativity waveforms, and we show the real and the imaginary parts (the oscillatory and damping portions, respectively) in Fig. 8. The numerical-relativity waveform was offset from zero at late times by a small constant of order 10^{-4} . We subtracted this constant from the waveform to find the instantaneous frequency; otherwise, when the amplitude of the waveform becomes comparable to this constant, there are spurious oscillations in the frequency as it becomes dominated by this constant offset. The hybrid waveform needed no modification.

Solid curves depict the instantaneous frequency of the numerical-relativity waveform in Fig. 8; the real (oscillatory) part is the black curve and the imaginary (decaying) part is the red (gray) curve. Similarly, the

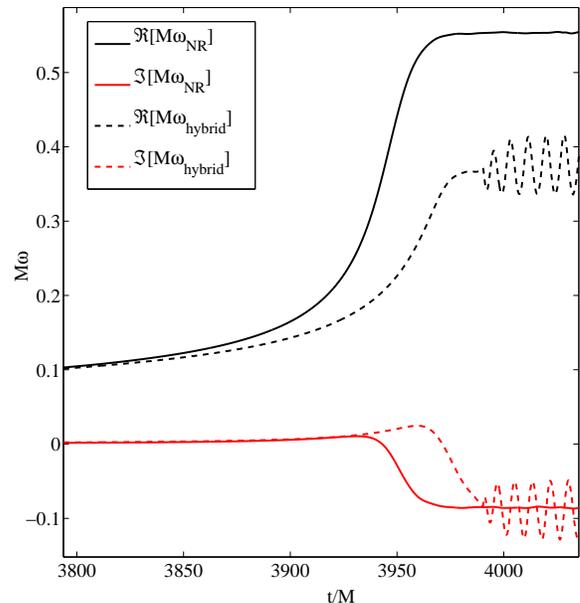


FIG. 8: (color online). The solid curves are the instantaneous frequency [see Eq. (68)] of the numerical-relativity waveform; the black curve is the real, oscillatory part and the red (gray) curve is the imaginary, decaying part. The black, dashed curve and the red (gray) dashed curve are the real and imaginary parts, respectively, of the frequency for the hybrid method. The frequencies agree quite well during the inspiral, but at late times they begin to differ. The qualitative transition from inspiral to merger and ringdown is similar, but the final quasinormal-mode frequencies that the waveforms approach differ, because the numerical-relativity simulation results in a Kerr black hole of dimensionless spin $\chi = 0.7$, whereas the hybrid waveform is generated on a Schwarzschild background. The oscillations in the hybrid waveform arise from the interference of positive- and negative-frequency modes that can arise in a Schwarzschild background, as explained in the text of this section.

black dashed curve is the real part of the instantaneous frequency of the hybrid method, and the red (gray) dashed curve is its imaginary part. The hybrid and the numerical-relativity frequencies are in very good agreement for the inspiral up until the late stages highlighted here. The numerical-relativity waveform quickly transitions after the plunge and merger to the least-damped $l = 2$, $m = 2$ quasinormal-mode frequency and decay rate for a Kerr black hole of final dimensionless spin equal to roughly $\chi \approx 0.7$ (see, e.g., [64]). The frequency of the hybrid waveform, however, undergoes a similar qualitative transition, but it approaches the least-damped $l = 2$, $m = 2$ ringdown frequency of a non-spinning black hole (the background of the hybrid method). The hybrid method, however, oscillates around this value with a frequency that is proportional to twice the frequency of this least-damped, $l = 2$, $m = 2$ quasinormal mode.

The origin of this oscillation is simple and, in fact, was explained by Damour and Nagar [38]. For each l and

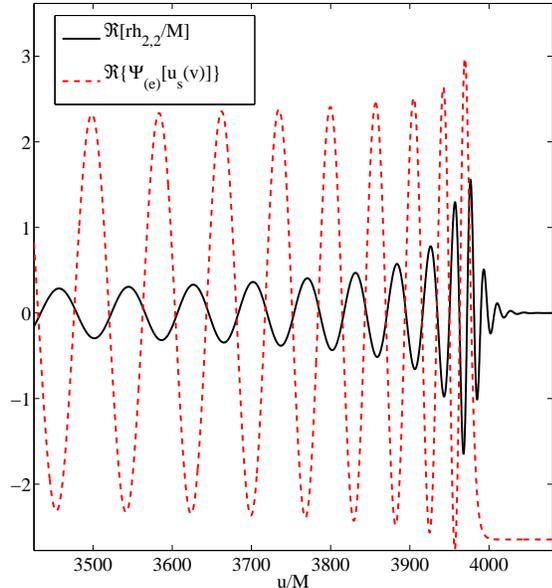


FIG. 9: (color online). The real part of the Zerilli function on the matching surface, the red (gray) dashed curve, and the real part of the gravitational waveform, proportional to the real part of the Zerilli function at large v , (the black solid curve). The two functions are nearly out of phase for the inspiral, and the wave propagates more or less directly out. During merger, they begin to lose this phase relationship, and during ringdown the Zerilli function on the matching surface becomes constant. This implies that the ringdown waveform is due just to the waves scattered from the potential, as also illustrated in Fig. 10.

m , there are quasinormal modes with both positive and negative real parts, which both have a negative decay rate. For a Schwarzschild black hole, the decay rates are the same and the real frequencies are identical, but have the opposite sign. For a Kerr black hole, however, the positive-frequency modes have a lower decay rate than the negative-frequency modes (and the positive frequency is larger in absolute value than the negative frequency is). While a counter-clockwise orbit will tend to excite predominantly the mode with positive real part, it can also generate the negative real-frequency mode, as well. In the hybrid waveform, because the background is Schwarzschild, the positive- and negative-frequency modes decay at the same rate, and they can interfere to make the oscillations at twice the positive real frequency. In the numerical-relativity waveform, however, the difference of the frequencies and decay rates prevents this from happening.

C. Interpreting the Hybrid Waveform and Spacetime

Since the phase during inspiral agrees so well, and because the transition from inspiral to merger and ring-down is qualitatively similar, this leads one to wonder to what extent the hybrid approach may also be a useful tool for generating gravitational-wave templates for gravitational-wave searches. To capture the correct ring-down behavior, the hybrid method would need to be extended to a Kerr background; however, it is likely that calibrated approaches using the effective-one-body method (see, e.g., [18]) or phenomenological frequency-based templates (see, e.g., [65]) will be more efficient for these purposes. The hybrid approach, as described here, will likely be more helpful as a model of how the near-zone motion of the binary connects to different portions of the gravitational waveform.

As an example of this, we show the real part of the gravitational waveform at large v , the black solid curve, and the corresponding value of the Zerilli function on the matching surface, the red (gray) dashed curve in Fig. 9. Interestingly, the Zerilli function on the matching surface and that extracted at large constant v are roughly out of phase with one another during the inspiral; namely along a ray of constant u , the Zerilli function undergoes nearly one half cycle as it propagates out to infinity. This feature is also visible in Fig. 10, but it is harder to discern there. This behavior holds through inspiral up to the beginning of merger. During merger, however, the two transition away from the out-of-phase relationship, before the Zerilli function on the matching surface becomes a constant during the ringdown (when the reduced mass of the binary falls toward the horizon along a line of constant v).

This change in phasing between the Zerilli function on the matching surface and that at large v (along a line of constant u) allows one to give an interpretation to the different parts of the waveform. The inspiral occurs when the waveform propagates out directly, but nearly out of phase with the matching surface. The merger is the smooth, but brief, transition during which the phase relationship between the matching surface and the waveform evolves, and the ringdown is the last set of waves that are disconnected from the behavior on the surface (they are the scattered waves from the potential barrier).

We also show in Fig. 10 a contour-density plot of the real part of the Zerilli function in the u - v plane during the last few orbits of inspiral, the merger, and the ringdown (for the evolution discussed in this section). This is a spacetime diagram, where time runs up, and the radial coordinate, r_* increases to the right. The matching surface is the dark timelike curve running up that turns to a line of constant v at the end. The region to the left of the surface, the solid green (gray) is the interior PN region, but we do not show the metric perturbation in this region. On its right is the BHP region, where we show the Zerilli function colored so that blue colors (dark

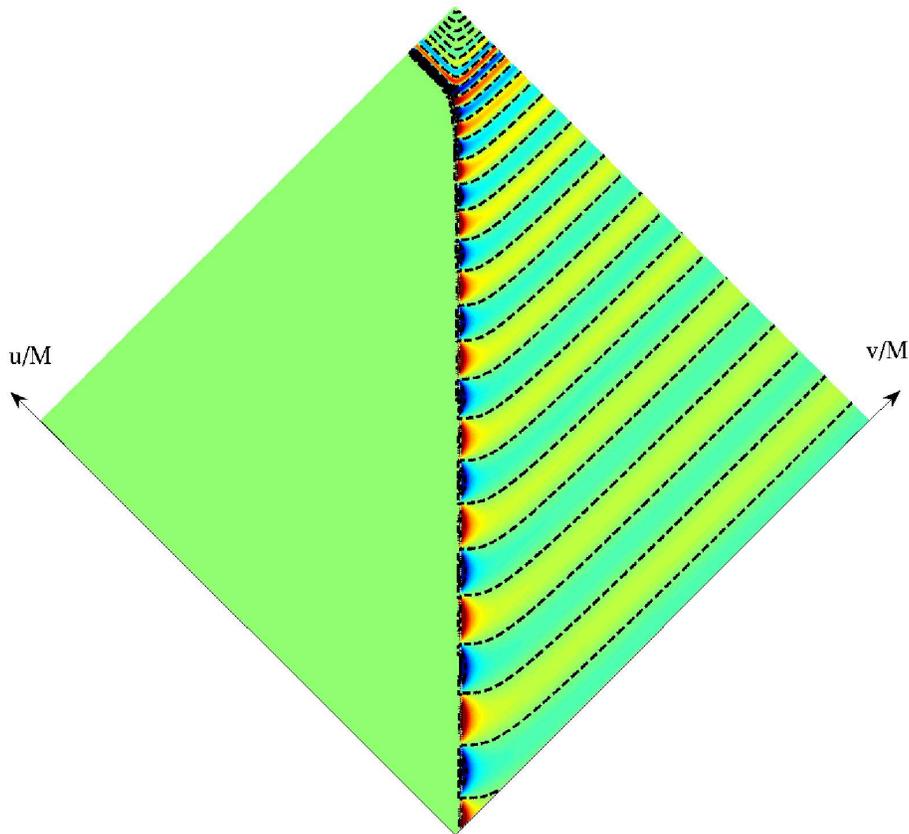


FIG. 10: (color online). A contour-density plot of the real part of the Zerilli function for the evolution discussed in this section. We only show the last few orbits of the inspiral, followed by the merger and ringdown. In this spacetime diagram, time runs up, r_* increases to the right, and the coordinates u and v run at 45 degree angles to the two. The line that starts at nearly constant t and evolves to a line of constant v is the matching surface, and to the left of this line, the solid green (gray) region is the interior PN region (where we do not show any metric perturbations). The exterior is the BHP region, where we show the Zerilli function. During inspiral, the Zerilli function propagates out almost directly, and it oscillates between positive, yellow (light gray) colors, and negative, light blue (darker gray). Black dashed contour curves are used to highlight this oscillation. As the reduced mass of the binary plunges into the potential during merger, the amplitude and frequency of the radiation increases, but it promptly rings down to emit little radiation, in the upper green (gray) diamond of the diagram. There are black dashed contours here as well to indicate that there is still oscillation, even though it is exponentially decaying (and hard to see through the color scale).

gray) are negative and red colors (light gray) are positive. Away from the matching surface, the Zerilli function oscillates between yellow (light gray) and light blue (darker gray) for several orbits before inspiral. Each oscillation is bounded between a black, dashed contour curve. As the reduced mass of the binary plunges toward the horizon, the outgoing waves increase in frequency and amplitude, which is how we describe the transition from the inspiral to the merger phase. The merger phase is short, and the black hole rings down (leading to very little gravitational wave emission in the top corner of the diagram). As the reduced mass of the system approaches the horizon, there is a small wavepacket of ingoing radiation that accompanies it.

We close this section with one last observation. If we were to plot the equivalent quantities to those in Figs. 9 and 10 for the evolution in Sec. III, then one would see

that the Zerilli function on the matching surface increases during ringdown instead of approaching a constant. This does not have any effect on the waveform, because it is a low frequency change that occurs within the potential barrier, and is hidden from the region of space outside the potential. In some sense, it is a strong confirmation of Price's idea that the details of the collapse will be hidden within the potential barrier. At the same time, however, this behavior arises from the fact that the derivative of the Zerilli function with respect to r_* vanishes on the matching surface. When this condition was neglected in this section, it led to a more regular behavior there. This suggests that it may be worth while to do a more careful analysis of how the Zerilli function and its derivatives near the horizon should scale in the presence of radiation reaction.

V. SPINNING BLACK HOLES, SPIN PRECESSION, AND THE SUPERKICK MERGER

In this section, we will incorporate the effects of black-hole spins into our method, with the aim of understanding the large kick that arises from the merger of equal-mass black holes with spins antialigned and in the orbital plane (the “superkick” configuration). To do this, we will first discuss adding odd-parity metric perturbations to the results in the previous section. We will then motivate why spin precession is important in producing large kicks and discuss two ways of implementing spin precession: the PN equations of precession and geodetic precession in the Schwarzschild spacetime. In our method, we will use the geodetic-precession approach, and we will present numerical results for the kick that use this equation of spin precession.

A. Odd-Parity Metric Perturbations of Spinning Black Holes

To incorporate the effects of spin into our model, we will add the lowest-order metric perturbation arising from using spinning bodies in the PN metric, as we did in Paper I. This comes from the metric coefficients

$$h_{0i} = -\frac{2\epsilon_{ijk}S_A^j n_A^k}{R_A^2} - \frac{2\epsilon_{ijk}S_B^j n_B^k}{R_B^2}. \quad (69)$$

Here we use the notation of Paper I, where we label the two bodies by A and B . The new variables S_A^j represent the spin angular momentum of the body, R_A is the distance from body A and n_A^k is a unit vector pointing from body A . The variables for body B are labeled equivalently. Since we will focus on the extreme kick configuration, we will assume the black holes lie in the x - y plane, at positions $\mathbf{X}_A(t)$ and $\mathbf{X}_B(t)$ [identical to Eq. (26) of Sec. III C], and that the spins are given by

$$\mathbf{S}_A(t) = -\mathbf{S}_B(t) = S(\cos\beta(t), \sin\beta(t), 0), \quad (70)$$

where $S = \chi(M/2)^2$ is the magnitude of the spin, and χ is the dimensionless spin, ranging from zero to one.

Under these assumptions, one can show that the Cartesian components of the metric coefficients above are

$$h_{0X} = -\frac{3SA(t)}{R^3} \sin 2\theta \sin\beta(t) \cos(\alpha(t) - \varphi), \quad (71)$$

$$h_{0Y} = \frac{3SA(t)}{R^3} \sin 2\theta \cos\beta(t) \cos(\alpha(t) - \varphi), \quad (72)$$

$$h_{0Z} = \frac{2SA(t)}{R^3} \sin[\alpha(t) - \beta(t)] + \frac{6Sa(t)}{R^3} \sin^2\theta \cos(\alpha(t) - \phi) \sin(\beta(t) - \varphi). \quad (73)$$

One can then convert the Cartesian components into

spherical polar coordinates to find that

$$h_{0R} = \frac{2SA(t)}{R^3} \sin[\alpha(t) - \beta(t)] \cos\theta, \quad (74)$$

$$h_{0\theta} = -\frac{2SA(t)}{R^2} \sin[\alpha(t) - \beta(t)] \cos\theta - \frac{6SA(t)}{R^2} \sin\theta \cos(\alpha(t) - \varphi) \sin(\beta(t) - \varphi), \quad (75)$$

$$h_{0\varphi} = \frac{6SA(t)}{R^2} \sin^2\theta \cos\theta \cos(\alpha(t) - \varphi) \times \cos(\beta(t) - \varphi). \quad (76)$$

As written above, the metric perturbations do not take the form of an odd-parity vector harmonic, because there is a dipole-like piece in two of the components. This can be eliminated by making a gauge transformation,

$$\xi_0 = -\frac{SA(t)}{R^2} \cos\theta \sin[\alpha(t) - \beta(t)]. \quad (77)$$

A small gauge transformation produces a change in the metric via

$$\hat{h}_{\mu\nu} = h_{\mu\nu} - \xi_{\mu,\nu} - \xi_{\nu,\mu}, \quad (78)$$

which in this case sets $\hat{h}_{0R} = 0$. The remaining terms in the metric can then be expressed in terms of the odd-parity, vector spherical harmonics,

$$\begin{aligned} \mathbf{X}^{2,\pm 2} &= (X_\theta^{2,\pm 2}, X_\varphi^{2,\pm 2}) \\ &= \frac{1}{2} \sqrt{\frac{15}{2\pi}} \sin\theta e^{\pm i2\varphi} (\mp i, \sin\theta \cos\theta), \end{aligned} \quad (79)$$

$$\mathbf{X}^{2,0} = (X_\theta^{2,0}, X_\varphi^{2,0}) = -\frac{3}{2} \sqrt{\frac{5}{\pi}} \sin^2\theta \cos\theta (0, 1) \quad (80)$$

A short calculation shows that

$$\begin{aligned} (\hat{h}_{0\theta}, \hat{h}_{0\varphi}) &= 2\Re \left[\frac{SA(t)}{r^2} \sqrt{\frac{6\pi}{5}} e^{-i[\alpha(t)+\beta(t)]} \mathbf{X}^{2,2} \right] \\ &\quad - \frac{8SA(t)}{r^2} \sqrt{\frac{\pi}{5}} \cos[\alpha(t) - \beta(t)] \mathbf{X}^{2,0}. \end{aligned} \quad (81)$$

As with the even-parity, mass-quadrupole perturbations discussed in the previous section, we will only be interested in evolving the $m = 2$ perturbation (though in this case it is an odd-parity, current quadrupole moment). The reason for this is subtle, and will be clarified in the next section. Nevertheless, we will mention here that during the merger and ringdown (when the kick is generated), the spins precess at the orbital frequency [namely $\dot{\alpha}(t) = \dot{\beta}(t)$]. As a result, the $m = 0$ part of the perturbations which depend on $\alpha(t) - \beta(t)$ become constant, and the only changes in the perturbations come from changes in $A(t)$. We mentioned in Sec. III C that we would also neglect the $m = 0$ part of the even-parity perturbations, because it also evolved from time variations in $A(t)$, which occur on the time scale of radiation reaction (2.5 PN orders below the leading-order orbital

motion). Consequently, because we are interested in the behavior of the binary during merger and ringdown, we can neglect the $m = 0$ parts of the odd-parity metric perturbations for this same reason. In addition, because we are treating just the $m = \pm 2$ perturbations (and the $m = -2$ term is the complex conjugate of the $m = 2$ moment), we will again drop the label m on the perturbations.

Thus, the relevant piece of the gravitomagnetic potential for our calculation will be

$$w_{(o)} = -\frac{SA(t)}{4R^2} \sqrt{\frac{6\pi}{5}} e^{-i[\alpha(t)+\beta(t)]}, \quad (82)$$

and one can then use Eq. (20) and the fact that $R = r - M$ to find that the Regge-Wheeler function is (at leading order in r),

$$\Psi_{(o)} = \frac{2SA(t)}{R^2} \sqrt{\frac{6\pi}{5}} e^{-i[\alpha(t)+\beta(t)]}. \quad (83)$$

This means that on the matching surface,

$$\Psi_{(o)} = \frac{8S}{A(t)} \sqrt{\frac{6\pi}{5}} e^{-i[\alpha(t)+\beta(t)]}. \quad (84)$$

We can then evolve the Regge-Wheeler equation, Eq. (21), (with the odd-parity $l = 2$ potential) using Eq. (84) as the boundary condition along the matching surface. We will not take any radiation-reaction effects from the current-quadrupole perturbations into account (since they are 1.5 PN orders below the leading-order Newtonian radiation reaction of Sec. III C); as a result, we will evolve the Regge-Wheeler function using the matching surface generated by the even-parity, mass-quadrupole perturbations alone.

B. Spin Precession

Before we discuss the evolution of the Regge-Wheeler and Zerilli functions, we will mention an effect that is important for our recovering the correct qualitative behavior of the kick in superkick simulations. This effect was observed by Schnittman et al. in [54] and clarified to us by Thorne [55]. In Schnittman et al.'s discussion of the superkick configuration, the authors observe that the spins precess in the orbital plane very rapidly during merger, approaching the orbital frequency just before ringdown. We will give a heuristic argument of why this effect should occur before we explore two models that produce spin precession (one based on the PN equations of motion and the other based on geodetic precession in the Schwarzschild spacetime). We will ultimately favor the latter.

1. Motivation for Spin Precession

One can see the need for spin precession from the following simple argument. Just as the even-parity pertur-

bations gave rise to a waveform that increased from twice the orbital frequency to the quasinormal-mode frequency during the merger phase (see Fig. 8), so too must the odd-parity perturbations of the previous section give rise to a part of the waveform that transitions from the orbital frequency to the same quasinormal-mode frequency as the even-parity perturbations. The quasinormal-mode frequencies are the same, because both the Regge-Wheeler and Zerilli functions are generated by $l = 2$, $m = \pm 2$ perturbations. Because the Zerilli function is generated by a boundary condition proportional to $e^{-i2\alpha(t)}$ and the Regge-Wheeler function produced by a boundary condition that changes as $e^{-i[\alpha(t)+\beta(t)]}$, for the two perturbations to evolve in the same way, both $\alpha(t)$, the orbital evolution, and $\beta(t)$, the spin precession, should evolve in identical ways at the end of merger. Stated more physically, at the end of merger, the spins should precess at the orbital frequency.

This rapid precession of the spins was observed by Brüggmann et al. [56] in their study of black-hole superkicks. Using a combination of PN spin precession and numerical relativity data, they were able to match the precession of the spin in their numerical simulations. We will explain in the next section why this worked so well for their simulation, but why it will not work as well in the hybrid method.

2. Post-Newtonian Spin Precession

Brüggmann et al. begin from the well-known spin precession for a binary (see e.g. [66]),

$$\dot{\mathbf{S}}_A(t) = \frac{1}{A(t)^3} \left(2 + \frac{3M_B}{2M_A} \right) [\mathbf{L}_N(t) \times \mathbf{S}_A(t)] \quad (85)$$

where we just write the leading-order effect from the Newtonian angular momentum,

$$\mathbf{L}_N(t) = \mu \{ [\mathbf{X}_A(t) - \mathbf{X}_B(t)] \times [\dot{\mathbf{X}}_A(t) - \dot{\mathbf{X}}_B(t)] \} \quad (86)$$

The vector $\hat{\mathbf{n}}$ is a unit vector from the center of mass. There is an equivalent equation for the precession of $\mathbf{S}_B(t)$, identical to the equation above, under the interchange of A and B . Given the form of the equation above, the magnitude of the spin does not change, and the spin precesses about the Newtonian angular momentum $\mathbf{L}_N(t)$. Moreover, Brüggmann et al. found that for the superkick configuration, where the spins lie in the plane, precession of the spins does not produce a large component out of the plane (the z component in this case).

For simplicity, therefore, we will just consider the components of the spin in the orbital plane, which, at leading order, will precess as a result of coupling to the Newtonian orbital angular momentum. The Newtonian angular momentum is

$$\mathbf{L}_N(t) = \mu A(t)^2 \dot{\alpha}(t) \hat{\mathbf{z}}, \quad (87)$$

where $\dot{\alpha}(t)$ is the orbital frequency. With the assumption that $S_A^z = S_B^z = 0$, the spins precess via the equation

$$\dot{\mathbf{S}}_A(t) = \frac{7M\dot{\alpha}(t)}{8A(t)}[\hat{\mathbf{z}} \times \mathbf{S}_A(t)], \quad (88)$$

where we have also used the fact that this is an equal-mass binary, ($M_A = M_B = M/2$ and $\mu = M/4$). Taking the time derivative of Eq. (70), we obtain the expression for the left-hand side of the equation above,

$$\dot{\mathbf{S}}_A(t) = \dot{\beta}(t)[\hat{\mathbf{z}} \times \mathbf{S}_A(t)], \quad (89)$$

Relating the two expressions, we arrive at the equation of spin precession,

$$\dot{\beta}(t) = \frac{7M}{8A(t)}\dot{\alpha}(t). \quad (90)$$

For the hybrid method, this expression will not lead to the spin precession frequency approaching the orbital frequency, since $A(t) \geq 2M$ for the entire evolution (and hence, the spin precession frequency will not even be half the orbital frequency at its maximum). In the next section, we will put forward an equation of spin precession based on geodetic precession in the external Schwarzschild spacetime, which will have the desired spin-precession behavior.

Before turning to the next section, we address the question of why PN spin precession worked so successfully for Brüggmann et al. Their initial data begins in a gauge that is identical to the 2PN ADMTT gauge, and they assume that it continues to stay in that gauge throughout their evolution. As a result, they use the puncture trajectories as the positions of the black holes, and the 2PN ADMTT gauge expressions to relate the momenta of the black holes to their velocities. Although the PN equations of spin precession are written in harmonic gauge, they use the puncture results to calculate these expressions. This is reasonable, because the harmonic and ADMTT gauge positions do not differ much until separations of roughly $A(t) \approx 2M$. Their puncture separations do reach small values of $A(t) < M$ prior to merger, and they continue to use the harmonic-gauge spin-precession formula in this regime (even as the PN approximation starts becoming less accurate). This works remarkably well, nevertheless, and, as one can see from Eq. (90), when $A(t) \approx 7M/8$, the spins will precess at the orbital frequency. Thus, the work of Brüggmann et al. helps to confirm that the locking of the orbital and spin-precession frequencies is important in the superkick merger, but to replicate this effect in the hybrid method will require a different approach, described below.

3. Geodetic Precession in a Schwarzschild Spacetime

Our approach to spin precession relies on geodetic precession in the Schwarzschild spacetime, which we review

below. The problem of geodetic precession of a spin on a circular orbit in the Schwarzschild spacetime is well understood; its derivation appears in the introductory text by Hartle [67], for example. We will reproduce some of the important elements of the derivation here, using our notation, however. One typically starts with the spin 4-vector S^μ (whose spatial components lie in the orbital plane) that travels along a circular geodesic parametrized by a four-velocity u^μ . As usual $u^\mu u_\mu = -1$, and one also imposes the spin-supplementary condition, $S^\mu u_\mu = 0$. The components of these two vectors are $\vec{S} = (S^t, S^r, 0, S^\varphi)$, and $\vec{u} = u^t(1, 0, 0, \dot{\alpha}(t))$. Because of the spin-supplementary condition and the normalization of the four velocity, the components S^t and u^t are not independent variables. Thus, when one writes the equation of geodetic precession of the spin [Eq. (14.6) of Hartle],

$$\frac{dS^\mu}{d\tau} + \Gamma^\mu_{\rho\nu} S^\rho u^\nu = 0, \quad (91)$$

for circular equatorial orbits, it reduces to two coupled equations for the independent variables $S^r(t)$ and $S^\varphi(t)$ [Eqs. (14.3a) and (14.3b) of Hartle],

$$\dot{S}^r(t) - [r_s(t) - 3M]\dot{\alpha}(t)S^\varphi(t) = 0, \quad (92)$$

$$\dot{S}^\varphi(t) + \frac{\dot{\alpha}(t)}{r_s(t)}S^r(t) = 0. \quad (93)$$

The dot still refers to derivatives with respect to coordinate time t (not proper time τ). If we assume that $\dot{\alpha}(t)$ does not change much over an orbit (which is true during most of the evolution of the binary, as it changes only due to radiation reaction), and we continue to denote the angle of the spin in the orbital plane by $\beta(t)$, then one can write the solution to these equations [Eqs. (14.16a) and (14.16b) of Hartle] as,

$$S^r(t) = S\sqrt{1 - \frac{2M}{r_s(t)}}\cos[\alpha(t) - \beta(t)], \quad (94)$$

$$S^\varphi(t) = \frac{S}{r_s(t)}\sqrt{1 - \frac{2M}{r_s(t)}}\frac{\dot{\alpha}(t)}{\dot{\alpha}(t) - \dot{\beta}(t)} \times \sin[\alpha(t) - \beta(t)], \quad (95)$$

where the spin is normalized $S^\mu S_\mu = S^2$, and where [Eq. (14.15) of Hartle]

$$\dot{\alpha}(t) - \dot{\beta}(t) = \sqrt{1 - \frac{3M}{r_s(t)}}\dot{\alpha}(t). \quad (97)$$

Because we only describe the spins with leading-order physics, we will only keep the leading-order behavior of the spins. Thus, we will describe the spatial components of the spins by

$$S^r(t) = S\cos[\alpha(t) - \beta(t)], \quad S^\varphi(t) = \frac{S}{r_s(t)}\sin[\alpha(t) - \beta(t)], \quad (98)$$

and we will expand the equation for the evolution of $\beta(t)$ in a Taylor series up to linear order in $M/r_s(t)$,

$$\dot{\alpha}(t) - \dot{\beta}(t) = \left(1 - \frac{3M}{2r_s(t)}\right) \dot{\alpha}(t). \quad (99)$$

We ultimately arrive at the expression that we will use to describe spin precession in our formalism,

$$\dot{\beta}(t) = \frac{3M}{A(t)} \dot{\alpha}(t), \quad (100)$$

since at leading order $A(t) = a(t)$.

Although Eq. (100) looks quite similar to the leading-order PN spin precession, Eq. (90), the former equation produces a much stronger spin precession than the latter does. Not even the next-order PN spin-precession terms will produce such strong precession (see, e.g., [68]). The equation of spin precession based on geodesic motion takes on more of the strong-gravity character of the Schwarzschild spacetime. It states that when a spinning particle orbits near the light ring, its spin will lock to the its orbital motion. An effect quite similar to this happens during the merger phase in the superkick simulation, as was shown in the work of Brüggmann et al., and we discussed in the previous section. In the next section, we will show how this contributes to the large kick of the superkick simulations.

C. Numerical Results and Kick

In the first part of this section, we describe how we numerically solve the Regge-Wheeler equation (we continue to solve the Zerilli equation in the same way as described in Sec. IV), and we show a representative waveform obtained from the Regge-Wheeler function. We next describe how we calculate the linear-momentum flux and the kick from the waveforms. Finally, we close this section by studying the dependence of the kick on the initial angle between the spins and the linear momentum of the PN point particles. We recover results that are qualitatively similar to those seen in full numerical-relativity simulations.

1. Numerical Methods and Waveforms

To calculate the Regge-Wheeler function, and thus the radiated energy-momentum in the gravitational waves, we first make the following observation. Because the odd-parity perturbation of the spins of the black holes is a 1.5PN effect, the corresponding radiation-reaction force will also enter at 1.5PN beyond the leading-order radiation reaction discussed in Sec. III C. Consequently, we do not take it into account in the leading-order treatment of radiation reaction. Moreover, we note that the spin-precession angle, $\beta(t)$, does not enter into the evolution equations for the reduced mass or for the Zerilli

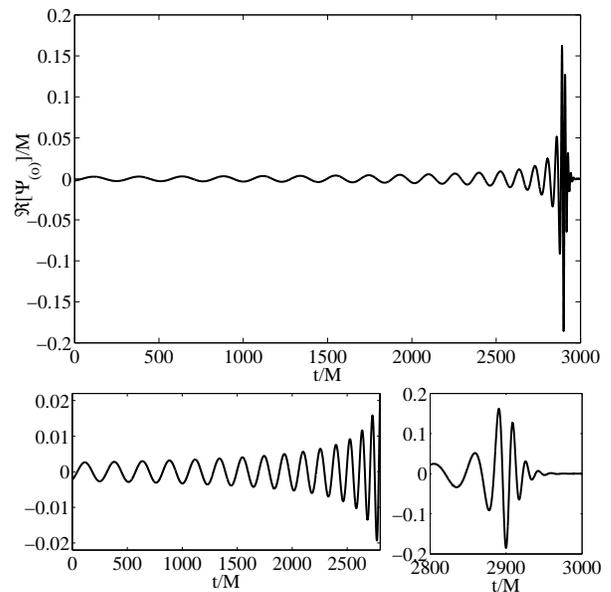


FIG. 11: The top panel shows the real part of the Regge-Wheeler function throughout the entire evolution. The bottom-left panel just focuses on the early times of inspiral, where the Regge-Wheeler function slowly increases in frequency and amplitude because of the binary’s inspiral and the slow spin precession. In the the bottom-right panel, one sees that as the spins begin to precess near the orbital frequency, the Regge-Wheeler function dramatically increases in amplitude and frequency.

function. As a result, the evolution of $\beta(t)$ and $\Psi_{(o)}$ can be performed after the evolution of the binary without spin. In fact, the evolution of $\Psi_{(o)}$ is carried out in the same manner as that described in Paper I, because the matching surface is driven by radiation-reaction from the even-parity Zerilli function alone. Were we to include the radiation reaction arising from the spins, however, we would need to evolve the equations for $\beta(t)$ and $\Psi_{(o)}$ simultaneously, and in a manner identical to that described in Sec. III C.

Our initial conditions are identical to those described in Sec. IV A, but we will set the dimensionless spin $\chi = 1$, and let $\beta(0)$ vary over several values from 0 to 2π , to study the influence of the initial angle on the kick. We first show the real part of the Regge-Wheeler function extracted at large constant v , in Fig. 11. The top panel is the full Regge-Wheeler function, whereas the bottom-left panel features the early part from the inspiral (so that one can see the gradual increase in the amplitude and frequency that comes from the combined effects of the binary inspiral, and the increased rate of spin precession). In the bottom-right panel, we show the merger and ringdown phase, which is obscured in the top panel. As the spins start precessing near the orbital frequency during merger, one can see the rapid growth of the Regge-Wheeler function.

To see how this spin precession leads to a large kick,

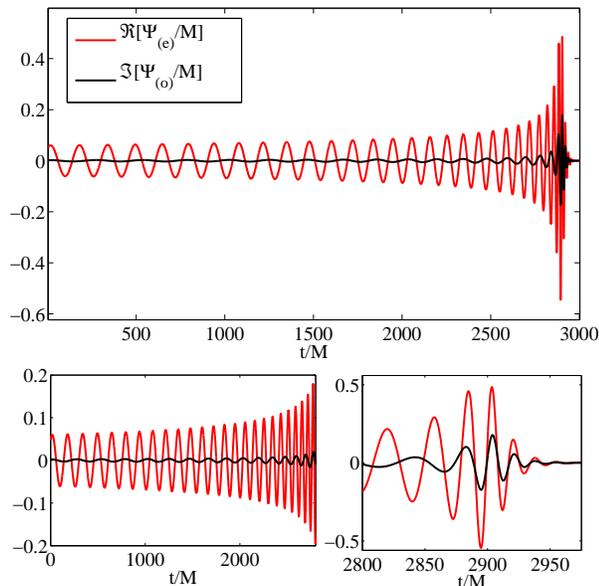


FIG. 12: (color online). The top panel shows the real part of the Zerilli function, the red (gray) curve, and the imaginary part of the Regge-Wheeler function, the black curve, throughout the inspiral, merger, and ringdown. The bottom-left panel shows only the inspiral, where the Regge-Wheeler function is much smaller than the Zerilli function, and oscillates at approximately half the frequency. In the the bottom-right panel, during the merger and ringdown, as the spins precess near the orbital frequency, the Regge-Wheeler function increases in amplitude and frequency, and becomes in phase with the Zerilli function. This leads to a large kick.

we plot both the even- and the odd-parity metric perturbations extracted at large constant v in Fig. 12. We show the real part of the Zerilli function, $\Psi_{(e)}$, in red (gray) and the imaginary part of the Regge-Wheeler function, $\Psi_{(o)}$, in black, for the angle $\beta(0)$ that gives the maximum kick. As we show below, in Eq. (104), it is the relative phase of the product of these components that is important in producing the kick. During the early part of the evolution, the Regge-Wheeler function is quite small and oscillates with roughly half the period of the Zerilli function. This is difficult to see in the upper panel of the full waveforms in Fig. 12, but is more evident in the lower-left panel, showing just the early parts of the evolution. In the last orbit before merger and ringdown (shown in the lower-right panel), the spins start precessing rapidly, and, in the case that produces the maximum kick, the real part of the even-parity perturbation function, and the imaginary part of the odd-parity function oscillate in phase during merger and ringdown. (For the case with zero kick, the two functions are now out of phase by 90 degrees.)

2. Calculation of the Kick

We now discuss, more concretely, how we calculate the kick emitted in gravitational waves. At radii much larger than the reduced gravitational wavelength, $r \gg \lambda_{\text{GW}}/(2\pi)$, one can relate the gravitational-wave polarizations h_+ and h_\times to the Regge-Wheeler and Zerilli functions via the expression,

$$h_+ - ih_\times = \frac{1}{2r} \sum_{l,m} \sqrt{\frac{(l+2)!}{(l-2)!}} \left[\Psi_{(e)}^{l,m} + i \Psi_{(o)}^{l,m} \right] {}_{-2}Y_{lm}, \quad (101)$$

where ${}_{-2}Y_{lm}$ is a spin-weighted spherical harmonic. The energy radiated in gravitational waves is typically expressed as

$$\dot{P}_i(t) = \lim_{r \rightarrow \infty} \frac{r^2}{16\pi} \oint n_i |\dot{h}_+ - i\dot{h}_\times|^2 d\Omega, \quad (102)$$

where n_i is a radial unit vector and $d\Omega$ is the area element on a 2-sphere. A somewhat lengthy calculation can then show that the momentum flux in the z direction is given by

$$\dot{P}_z(t) = \frac{1}{16\pi} \sum_{l,m} \frac{(l+2)!}{2(l-2)!} \left[-ic_{l,m} \dot{\Psi}_{(e)}^{l,m} \dot{\Psi}_{(o)}^{l,m} + d_{l+1,m} \left(\dot{\Psi}_{(e)}^{l,m} \dot{\Psi}_{(e)}^{l+1,m} \dot{\Psi}_{(o)}^{l,m} \dot{\Psi}_{(o)}^{l+1,m} \right) \right], \quad (103)$$

where $c_{l,m} = 2m/[l(l+1)]$, and $d_{l,m}$ is a constant that also depends upon l and m . The equations above appear in several sources; these agree with those of Ruiz et al. [58] [see their Eqs. (84), (11), (94), and (43), respectively].

In our case, however, we just treat the $l = 2$ and $m = \pm 2$ modes of the Regge-Wheeler and Zerilli functions, and the momentum flux coming from these modes greatly simplifies. Because the $m = \pm 2$ modes are complex conjugates of one another, we find that the momentum flux is

$$\dot{P}_z(t) = \frac{1}{\pi} \Im[\dot{\Psi}_{(e)} \dot{\Psi}_{(o)}]. \quad (104)$$

When we discuss the kick velocity as a function of time, we mean that we take minus the time integral of the momentum flux, normalized by the total mass, i.e.

$$v_z^{\text{kick}}(t) = -\frac{1}{M} \int_{t_0}^t \dot{P}_z(t') dt'. \quad (105)$$

We continue to normalize by the total mass M , because numerical-relativity simulations have shown that it changes only by roughly 4% during a black-hole-binary merger (see, e.g., Campanelli et al. [52]); as a result, normalizing by the total mass M will not be a large source of error.

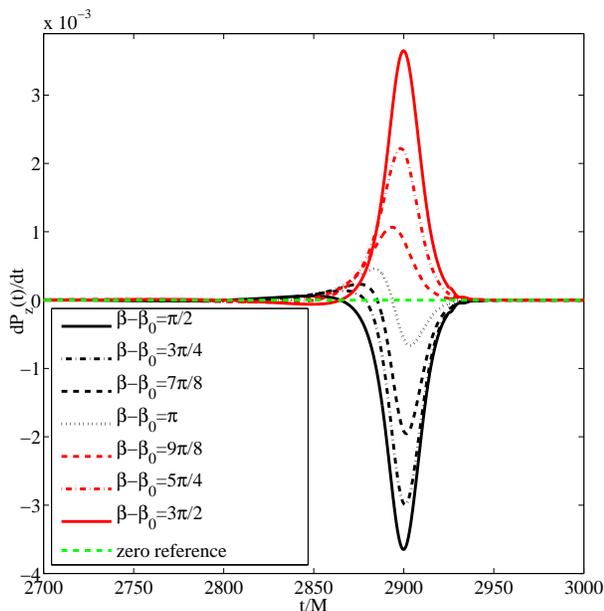


FIG. 13: (color online). The momentum flux, $\dot{P}_z(t)$, as function of time, for several values of $\beta - \beta_0$, where $\beta_0 = 215\pi/192$ is the value that gives zero kick. We also include a straight green (light gray) dashed line at zero flux to indicate how the momentum flux varies around this point.

3. Numerical Results of the Momentum Flux and Kick

We now show the results of our numerical solutions for the superkick configuration. We first show in Fig. 13 the momentum flux for several different initial angles of the spins, β . In the plots, we subtract the value that gives zero kick, which we denote by $\beta_0 = 215\pi/192$. While the shape of the pulse of momentum flux has a similar shape to that seen in numerical-relativity simulations by Brüggmann et al. [56], the absolute magnitude is somewhat larger.

The increased overall magnitude of the kick becomes more apparent when we plot $v_{\text{kick}}(t)$ in Fig. 14, where $v_{\text{kick}}(t)$ is defined by Eq. (105). As one can see, the largest value of the kick is near 0.08 in dimensionless units, which is roughly a factor of 6 times larger than the estimated maximum from numerical-relativity simulations at lower dimensionless spin parameters. This is largely because the even-parity Zerilli function (proportional to the waveform) is also significantly larger in amplitude than that of numerical-relativity simulations.

Nevertheless, we then show, in this model, that the kick depends sinusoidally upon the initial orientation of the spins, as seen in numerical simulations by Campanelli et al. [52]. We plot the final value of the kick, $v_{\text{kick}} \equiv v_z^{\text{kick}}(t_f)$, where t_f is the last time in the simulation, as a function of $\beta - \beta_0$ in Fig. 15. The sinusoidal dependence in our model is exact up to numerical error. One can see this must be the case from examining the

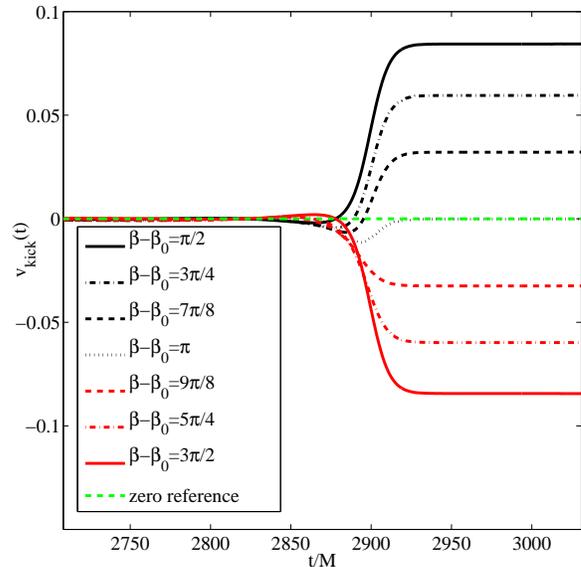


FIG. 14: (color online). The kick as a function of time, for several different initial angles of the spin $\beta - \beta_0$. There is also a straight green (light gray) dashed line at zero velocity to indicate how the kick varies around this point.

form of our expression for the momentum flux, Eq. (104). Because the evolution equations are not influenced by the orientation of the spins, then the Zerilli function will be identical for different initial spin directions. The Regge-Wheeler function, however, will evolve in the same way, but because the value on the matching surface is proportional to $e^{-i\beta(t)}$ [see Eq. (84)], the different evolutions will also differ by an overall phase, $e^{i\beta}$, where β is the initial value of the spin. Thus, when one takes the imaginary part of product of the Regge-Wheeler and Zerilli functions to get the momentum flux in Eq. (104), one will have sinusoidal dependence. (In fact, we could have simply done one evolution and changed the phasing as described above to find the above results; as a test of our method, however, we in fact performed the multiple evolutions to confirm this idea.)

We close this section by making the following observation, which may be known, though we have not seen in numerical-relativity results. Since the dependence on β of the kick is sinusoidal, then for each β , $\beta - \pi$ gives the same kick and momentum flux pattern, $\dot{P}_z(t)$, just opposite in sign. At the same time, though, because of the sinusoidal dependence there are two values that give rise to the same kick in the same direction; however the shape of the momentum flux $\dot{P}_z(t)$ is not the same for these two. One can see this in Fig. 13, where the black dotted and dashed curve and minus the red (gray) dotted and dashed curve give rise to the same kick; nevertheless, the pattern of the momentum flux is very different. A careful study of this would reveal more about how the

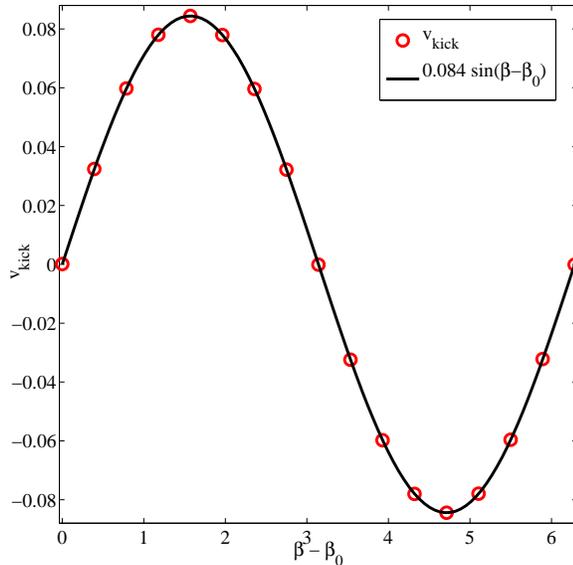


FIG. 15: (color online). The kick calculated for several initial values of β , minus the angle that produces nearly zero kick, $\beta_0 = 215\pi/192$. The data points are calculated from the numerical evolutions of this section, while the solid curve is a sinusoidal fit to the data. The hybrid model produces a sinusoidal dependence of the kick on the initial angle $\beta - \beta_0$ very precisely.

spins precess and would be of some interest.

VI. CONCLUSIONS

In this paper, we extended a hybrid method for head-on mergers to treat inspiralling black-hole binaries. We introduced a way to include radiation reaction in the hybrid method, and this lead to a self-consistent set of equations that evolve the reduced-mass motion of the binary and its gravitational waves. Using just PN and linear BHP theories, we were able to produce a full inspiral-merger-ringdown waveform that agrees well in phase (though less well in amplitude) with those seen in full numerical-relativity simulations. Even though the dynamics during inspiral follow the modified dynamics of a point particle in Schwarzschild rather than the exact dynamics of a black-hole binary, the phasing in the waveform agrees well. Because we assume the background is a Schwarzschild black hole (rather than a Kerr, the true remnant of black-hole binary inspirals), the merger and ringdown parts of the hybrid and numerical relativity waveforms do not match as well. Nevertheless, the hybrid method does produce a waveform that is quite similar to that of numerical relativity.

We also studied spinning black holes, particularly the superkick configuration (antialigned spins in the orbital

plane). We discussed a method to incorporate spin precession, based on the geodetic precession of a spinning point particle in the Schwarzschild spacetime. This caused the spins to lock to the orbital motion during merger and ringdown, which, in turn, helped to replicate the pattern of the momentum flux and the sinusoidal dependence of the merged black-hole's kick velocity seen in numerical simulations. Again, because the amplitude of the emitted gravitational waves does not match that of numerical-relativity simulations, the magnitude of the kick does not completely agree. Nevertheless, because the approximate method was able to capture the pattern of the momentum flux, it gives credence to the idea the locking of the spin-precession frequency to the orbital frequency contributes to large black-hole kicks.

It would be of interest to extend this approach to see if it can recover the results of numerical relativity more precisely. To do this would involve a two-pronged approach: on the one hand, we would need to include higher PN terms in the metric in the interior while using a more accurate Hamiltonian to describe the conservative dynamics of the binary (such as the EOB Hamiltonian); on the other hand, we would need to evolve the perturbations in a Kerr background. It would be simpler to choose the Kerr background to have the spin of the final, merged black hole, but one could also envision evolving perturbations in an adiabatically changing Kerr-like background with a slowly varying mass and angular momentum parameter that change in response to the emitted gravitational waves. It would be of interest to see if such an approach leads to an estimate of the spin of the final black hole similar to that proposed by Buonanno, Kidder, and Lehner [69]. Incorporating the PN corrections and a new Hamiltonian would be the most straightforward improvement, while those involving the Kerr background are technically more challenging, and computationally more expensive.

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