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## Search for WZ+ZZ production with missing transverse energy+jets with b enhancement at sqrt[s]=1.96 TeV T. Aaltonen *et al.* (CDF Collaboration) Phys. Rev. D **85**, 012002 — Published 6 January 2012 DOI: 10.1103/PhysRevD.85.012002

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#### I. INTRODUCTION

Measurements of diboson production cross sections provide tests of the self-interactions of the gauge bosons. Deviations from the standard model (SM) prediction for the production rates could indicate new physics [1, 2], specifically in hadronic final states [3]. Furthermore, given that hadronic final states in diboson production are similar to associated Higgs boson production (Higgs-strahlung),  $p\bar{p} \rightarrow VH + X$  (V=W, Z), the analysis techniques described in this Letter are important for Higgs boson searches [4].

Diboson production has been observed at the Tevatron in fully leptonic final states [5, 6]. In the case of partially hadronic decay modes, the CDF collaboration observed a signal for combined measurement of WW, WZ, and ZZusing an integrated luminosity of  $3.5 \,\mathrm{fb}^{-1}$  where the signal is dominated by WW [7, 8]. In this paper, we describe a measurement where we isolate the WZ and ZZ signals in partially hadronic decay channels by requiring the presence of *b*-jet candidates. We perform a fit to the dijet invariant mass spectrum  $(m_{jj})$ , splitting events into two non-overlapping classes: with at least two *b*-jet candidates (two-tag channel), and fewer than two *b*-jet candidates (no-tag channel) [9]. This ensures maximum acceptance to the WZ + ZZ events, and fitting in both the two-tag and the no-tag channel improves our signal sensitivity significantly compared to using only one channel (with or without *b*-tagging). The signatures to which we are sensitive are  $WZ \to \ell \nu b \bar{b}$  and  $ZZ \to \nu \bar{\nu} b \bar{b}$  in the two-tag channel and all decays with unbalanced transverse momentum  $(\not \! E_T)$  in the no-tag channel ( $WZ \to \ell \nu q \bar{q}, q \bar{q}' \nu \bar{\nu}$  and  $ZZ \to \nu \bar{\nu} q \bar{q}$ ) [10].

#### II. THE CDF DETECTOR

The CDF II detector is described in detail elsewhere [11]. The detector is cylindrically symmetric around the proton beam axis which is oriented in the positive z direction. The polar angle,  $\theta$ , is measured from the origin of the coordinate system at the center of the detector with respect to the z axis. Pseudorapidity, transverse energy, and transverse momentum are defined as  $\eta = -\ln \tan(\theta/2)$ ,  $E_T = E \sin \theta$ , and  $p_T = p \sin \theta$ , respectively. The central and plug calorimeters, which respectively cover the pseudorapidity regions of  $|\eta| < 1.1$  and  $1.1 < |\eta| < 3.6$ , surround the tracking system with a projective tower geometry. The detector has a charged particle tracking system immersed in a 1.4 T magnetic field, aligned coaxially with the  $p\bar{p}$  beams. A silicon microstrip detector provides tracking over the radial range 1.5 to 28 cm. A 3.1 m long open-cell drift chamber, the central outer tracker (COT), covers the radial range from 40 to 137 cm and provides up to 96 measurements with alternating axial and  $\pm 2^{\circ}$  stereo superlayers. The fiducial region of the silicon detector extends to  $|\eta| \sim 2$ , while the COT provides coverage for  $|\eta| \leq 1$ . Muons are detected up to  $|\eta| < 1.0$  by drift chambers located outside the hadronic calorimeters.

#### **III. DATASET AND EVENT SELECTION**

We analyze a dataset of  $p\bar{p}$  collisions corresponding to an integrated luminosity of 5.2 fb<sup>-1</sup> collected with the CDF II detector at a center-of-mass energy of 1.96 TeV. Events are selected via a set of triggers with  $\not{E}_T$  requirements. The bulk of the data is collected with a trigger threshold  $\not{E}_T > 45$  GeV. Other triggers have a lower  $\not{E}_T$  requirement but also include additional requirements on jets in the event, or sometimes correspond to smaller effective integrated luminosity. We measure the trigger efficiency using an independent  $Z \to \mu\mu$  sample and verify that the trigger logic used does not sculpt the shape of the dijet invariant mass.

Events with large  $\not{E}_T$  ( $\not{E}_T > 50 \text{ GeV}$ ) and two or more jets are selected in this analysis. Jets are reconstructed in the calorimeter using the JETCLU cone algorithm [12] with a cone radius of 0.4 in  $(\eta, \phi)$  space. The energy measured by the calorimeter is corrected for effects that distort the true jet energy [13]. Such effects include the non-linear response of the calorimeter to particle energy, loss of energy in uninstrumented regions of the detector, energy radiated outside of the jet cone, and multiple proton antiproton interactions per beam crossing. The jets must have  $E_T > 20 \text{ GeV}$  and be within  $|\eta| < 2$ . To suppress the multi-jet background contribution, we require the azimuthal angle between the  $\not{E}_T$  vector and any identified jet,  $\Delta \phi(\not{E}_T, \text{jet})$ , to be larger than 0.4 radians [14]. The  $\not{E}_T$ -significance, as defined in [7], measures the likelihood that the  $\not{E}_T$  in the event comes from actual particles escaping detection as opposed to resolution effects and is typically low when  $\not{E}_T$  arises from mis-measurements. We require  $\not{E}_T$ -significance to be larger than 4 (see [7, 15]). Beam halo events are removed by requiring the event electromagnetic fraction, defined as the ratio between the amount of energy measured in the electromagnetic calorimeter and the sum of electromagnetic and hadronic calorimeter measurements,  $E_{\rm EM}/E_{\rm total}$ , to be between 0.3 and 0.85. We remove cosmic ray events based on timing information from the electromagnetic and hadronic calorimeters.

#### IV. SELECTING b QUARK JETS

To gain sensitivity to the *b*-quark content of our jet sample, we employ a new multivariate neural network based tagger that provides a figure of merit to indicate how *b*-like a jet appears to be. This tagger is unique in its emphasis on studying individual tracks. A more detailed description of this tagger may be found in [16]. The tagger identifies tracks with transverse momentum  $p_T > 0.4$  GeV/c that have registered hits in the innermost (silicon) tracking layers, and uses a track-by-track neural network to calculate a figure of merit for a given track's "bness", *i.e.*, the likelihood that it comes from the decay of a *B* hadron. The observables used in the track neural network are the transverse momentum of the track in the laboratory frame, the transverse momentum of the track with respect to the jet axis, the rapidity with respect to the jet axis and the track impact parameter with respect to the primary vertex and its uncertainty. The output of the track neural network is a numerical value in the range from -1 to 1.

Having the track bnesses, we proceed to calculate the jet-by-jet bnesses. We use tracks with track-by-track NN values greater than -0.5 in the fitting of a secondary vertex. The observables used as inputs to the jet neural network are the top five track bnesses in the jet cone, the number of tracks with positive track bness, the significance [17] of the displacement of the secondary vertex from the *B*-hadron decay in the *xy* plane, the invariant mass of the tracks used to fit the displaced vertex, the number of  $K_S$  candidates found in the jet, and muon information for semileptonic *B* decays as described in [18]. We include the number of  $K_S$  candidates found since a much higher fraction of *b* jets than non-*b* jets contain  $K_S$  particles. The final output of the algorithm is a number between -1 and 1, the bness. By requiring values of bness closer to 1, one can select increasingly pure samples of *b* jets. The training for the track neural network as well as the jet-by-jet network is performed using jets matched to *b* quarks from  $Z \to b\bar{b}$  events for signal and jets not matched to *b* quarks for background in a PYTHIA *ZZ* Monte Carlo sample.

To verify that the *b*-tagger data response is reproduced by the Monte Carlo simulation, we use two control samples, one dominated by  $Z(\rightarrow \ell \ell) + 1$  jet events, and one dominated by  $t\bar{t}$  pair events using a lepton + jets selection. The former offers a comparison of jets that largely do not originate from bottom quarks, while the latter compares jets in a heavily *b*-enhanced sample. We examine the *b*ness distributions in simulation and data and use these comparisons to derive a correction to the tagging efficiency and mistag rates, the rate of misidentification of non-*b* jets as *b* jets, in the Monte Carlo simulation for the cuts on the jet *b*ness that define our tagged selection. The operating point of our *b* tagger utilizes a tight cut on the highest *b*ness jet in the event, and a looser cut on the second highest *b*ness jet. We list the tagging efficiencies and mistag rates for these cuts in Table I. Further details of their determination are in [16]. We correct the MC, as it underestimates the observed mistag rate and overestimates the observed efficiency.

		Data	Scale Factor on MC
Mistag Rate	1 <sup>st</sup> jet	$1.00 \pm 0.21\%$	$1.15 \pm 0.24$
	$2^{nd}$ jet	$8.19 \pm 0.34\%$	$1.14\pm0.05$
Tag Efficiency	1 <sup>st</sup> jet	$65.2 \pm 4.0\%$	$0.95\pm0.06$
	$2^{nd}$ jet	$62.2 \pm 5.4\%$	$0.91\pm0.08$

TABLE I. Mistag rates and efficiencies on jet bness cuts determined from comparisons of data and MC in our Z+ jet and  $t\bar{t}$  control samples. As we order jets in bness, the 1<sup>st</sup> jet is the highest bness jet in the event, and the 2<sup>nd</sup> jet is the 2<sup>nd</sup> highest bness jet in the event. The MC tends to overestimate the tagging efficiency and underestimate the mistag rate, and so we apply a correction.

#### V. BACKGROUND ESTIMATION

We define our signal sample as events in the  $40 < m_{jj} < 160 \text{ GeV}/c^2$  region. In the calculation of the invariant mass  $m_{jj}$  we use the two jets in the events with the highest bness score. The final number of events is extracted by a simultaneous fit to the dijet invariant mass distribution in the two-tag and no-tag channels, as defined above. Since we apply b-tagging and allow for two or more jets,  $t\bar{t}$  and single t production are a significant background. To further suppress these backgrounds, we require the events to have no more than one identified lepton (electrons or muons), where a very loose lepton identification is used to increase the efficiency of this rejection. In addition, the sum of the number of identified electrons, muons and jets with  $E_T > 10$  GeV must not exceed 4.

After this selection, we have four major classes of backgrounds:

1. Electroweak (EWK) V boson+jet processes that are estimated using Monte Carlo simulations and cross-checked using a  $\gamma$ +jets data set, described below.

- 3. Single top and top quark pair production. We estimate this background using a Monte Carlo simulation.
- 4.  $WW \rightarrow l\nu jj$  production. This is indistinguishable from the signal in the non-b-tagged region. This background is evaluated using a Monte Carlo simulation.

Monte Carlo simulations used for signal and background estimates are performed with a combination of PYTHIA [19], ALPGEN [20] and MADGRAPH [21] event generators interfaced with PYTHIA for parton showering. The geometric and kinematic acceptances are obtained using a GEANT-based simulation of the CDF II detector [22]. For the comparison to data, all sample cross sections are normalized to the results of NLO calculations performed with MCFM V5.4 program [23] and using the CTEQ6M parton distribution functions (PDFs) [24].

#### A. Multi-jet background

Multi-jet production does not typically contain large intrinsic  $\not{E}_T$ . The underlying assumption of how multi-jet background enters the analysis is that either jets are mis-measured, or that a charged or neutral hadron or a  $\gamma$  is lost in an uninstrumented region of the detector. We expect the dominant effect to be jet mis-measurement. Because of the high cross section of multi-jet production, this can be a significant background in a  $\not{E}_T$ +jets based analysis. We derive both the normalization and the dijet mass shape of the multi-jet background from data. The final measure of the amount of multi-jet background will be determined from the extraction fit.

The two important cuts used to reject this background are on the  $\not\!\!E_T$ -significance and  $\min(\Delta \phi(\not\!\!E_T, \text{jet}))$ . These distributions are shown in Fig. 1, which also demonstrates our ability to model the multi-jet background.

When comparing the azimuthal angle ( $\phi$ ) between  $E_T$  and  $P_T$ , we expect the two quantities to align in the case of true  $E_T$  (e.g., for diboson signal and electroweak backgrounds). The difference between these two angles is referred to as  $\Delta \phi_{MET}$ . Electroweak backgrounds (and diboson signal) will be present in all regions, but will dominate at low  $\Delta \phi_{MET}$  due to correctly measured  $E_T$  from neutrinos. To determine the dijet mass shape of the multi-jet background, we subtract all other background predictions obtained with Monte Carlo simulations from data, in the multi-jet enhanced region of  $\Delta \phi_{MET} > 1$ . The normalization of the template obtained this way is then corrected to account for those events with  $\Delta \phi_{MET} \leq 1$ . This correction introduces a 7% uncertainty on the normalization of the multi-jet background, where the uncertainty was assessed by obtaining the correction factor both in data and in a multi-jet Monte Carlo sample. The uncertainty on the shape of the distribution is estimated by comparing the difference in dijet mass shapes for  $\Delta \phi_{MET} > 1$  and  $\Delta \phi_{MET} < 1$  in a control sample defined by  $3 < E_T$ -significance < 4. The resulting multi-jet background dijet mass shape and its uncertainties are shown in Fig. 2 and are used as a shape uncertainty in the fit. For the two-tag channel we do not have enough statistics to measure a shape, so we use the same shape as in the no-tag region.

#### B. Electroweak Shape Systematic

Following the method used in the  $\not\!\!E_T$ +jets analysis of [7], we use a  $\gamma$ +jets data sample to check our modeling of the V+jet background shape. This is motivated by the similarities between the two types of processes. While there are some differences (the W and Z bosons are massive, the photon is not, and unlike the W the photon lacks charge), these are accounted for by a weighting procedure described below.

In order to account for those remaining kinematic differences between  $\gamma$ +jets and V+jets, we correct the  $\gamma$ +jets dijet mass shape in data based on the difference between  $\gamma$ +jets and V+jets Monte Carlo simulations. First, the ratio of the  $m_{jj}$  distributions from V+jets Monte Carlo simulation and inclusive  $\gamma$ +jets Monte Carlo simulation is



FIG. 1. Left: no-tag region. Right: 2-tag region. Top row: Minimum azimuthal angular separation  $\min(\Delta \phi(\vec{E}_T, \text{jet}))$  between all jets with  $E_T > 5$  GeV and the missing  $E_T$ , for events that pass all of the analysis cuts except for the  $\min(\Delta \phi(\vec{E}_T, \text{jet}))$  cut. The analysis cut is at  $\min(\Delta \phi(\vec{E}_T, \text{jet})) > 0.4$ . Bottom row:  $\vec{E}_T$ -significance distribution for events that pass all of the analysis cuts except for the  $\vec{E}_T$ -significance cut. The analysis cut is at  $\vec{E}_T$ -significance > 4. The highest bin is the overflow bin.



FIG. 2. The multi-jet background dijet mass template and its corresponding shape uncertainties.

$E_T + jets$	$\gamma$ +jets				
$\not\!$	$\left  \vec{E_T} + \vec{E_T}_{\text{photon}} \right  > 50 \text{GeV}$				
$\Delta \phi(\vec{E}_T, \text{jet}) > 0.4$	$\Delta \phi(\vec{E_T} + \vec{E_T}_{\text{photon}}, \text{jet}) > 0.4$				
$0.3 < \frac{\mathrm{EM}}{E_{\mathrm{total}}} < 0.85$	$0.3 < \frac{\text{EM}}{E_{\text{total}}}$				
$\not\!$	-				
jet $b$ ness cuts	-				
-	$\gamma$ passes standard CDF cuts				
_	$\Delta R(\text{photon, jet}) > 0.7$				

TABLE II. List of differences between cuts applied to the  $\not\!\!E_T$ + jets vs.  $\gamma$ +jets sample. A "-" denotes a lack of cut.



FIG. 3. Comparison of the  $\gamma$ +jets template with the electroweak MC template in the no-tag (left) and two-tag (right) regions.

obtained. This ratio describes the difference in the physics of  $\gamma$ +jets and V+jets events. Note that since the  $\gamma$ +jets data sample will be contaminated with  $\gamma + W/Z \rightarrow$  jets events peaking in the signal region, their expected contribution is subtracted from the  $\gamma$ +jets distribution. Next, the V+jets /  $\gamma$ +jets  $m_{jj}$  ratio histogram is multiplied bin-by-bin with the  $\gamma$ +jets data histogram, in effect sculpting the  $\gamma$ +jets data to look like V+jets data. Since the Monte Carlo simulated events enter only in the ratio, any production difference is taken into account while effects such as detector resolution, PDF uncertainties and modeling of initial- and final-state radiation cancel. After we apply this correction to the  $\gamma$ +jets data, there is a residual difference, shown in Fig. 3, between the corrected  $\gamma$ +jets background prediction.

#### VI. SIGNAL EXTRACTION AND RESULTS

We extract the number of signal events with a binned maximum likelihood fit to data using the method described in [25]. We supply template histograms for backgrounds and signals and perform a simultaneous fit in two channels, defined by different *b*ness thresholds. The templates, and the uncertainties on their normalizations, are listed below:

- 1. EWK background (W/Z+jets): Normalizations are allowed to float in the fit, unconstrained, with no correlation between the two tagging channels.
- 2.  $t\bar{t}$  and single top: The uncertainties on the theoretical cross sections of these processes are 6% [26] and 11% [27, 28], respectively. We combine these two processes to a single template and treat these uncertainties as uncorrelated, which translates to an uncertainty of 5.8% on the normalization of the no-tag channel template, and 5.4% on the normalization of the two-tag channel template, due to the relative contributions of each process.
- 3. Multi-jet background: We use our data-driven estimate, Gaussian constrained with an uncertainty of 7% in the no-tag channel. Because there are very few events in the two-tag channel template, we assign a normalization



FIG. 4. Result of the fit to data for the double fit to all of WZ/ZZ. Left column is the no-tag channel; right column is the two-tag channel. Bottom row shows data after the background subtraction.

Systematic Uncertainties	channel	WZ/ZZ	WW	$t\bar{t}$ & single $t$	EWK	Multi-jet
Cross Section (Norm.)	no-tag	Unconstr.	$\pm 6\%$	$\pm 5.8\%$	Unconstr.	$\pm 7\%$
	2-tag	Unconstr.	$\pm 6\%$	$\pm 5.4\%$	Un constr.	$\pm 11\%$
Lat Enormy Socia	no-tag	$\pm 7.1\%$	$\pm 7.6\%$	$\pm 2.2\%$		
Jet Energy Scale	2-tag	$\pm 6.9\%$	$\pm 7.6\%$	$\pm 1.7\%$		
bross outs (up)	no-tag	+0.46%	+0.08%	+3.0%		
oness cuts (up)	2-tag	-13.0%	-24.2%	-11.8%		
bross outs (down)	no-tag	-0.51%	-0.08%	-3.6%		
oness cuts (down)	2-tag	+14.5%	+25.9%	+13.8%		

TABLE III. A summary of the systematic uncertainties incorporated into the fit of the dijet mass distribution. The cross section normalizations of the signal and EWK templates are allowed to float in the fit, unconstrained. There are additional uncertainties on the shape of the EWK and Multi-jet templates, as described in the text. There is also an uncertainty on the shape of the diboson processes due to the jet energy scale. This shape uncertainty is correlated with the rate uncertainty shown here.

uncertainty equal to the statistical uncertainty ( $\sqrt{N}/N$ , 11%) of the template. The uncertainties in the two channels are treated as uncorrelated.

- 4. WW: We use the NLO cross section and apply a Gaussian constraint to the number of WW events centered on this value with a width equal to the theoretical uncertainty of 6% [23].
- 5. WZ/ZZ signal: As this is our signal, its normalization is allowed to float unconstrained in the fit. We assume that each signal process contributes proportionally to its predicted SM cross section: 3.6 pb for WZ and 1.5 pb for ZZ ([23]) corrected for our selection's acceptance and efficiencies.

In addition to uncertainties on the normalizations of each template, we consider other systematic uncertainties that may affect the shape of templates. Shape uncertainties have been described for the electroweak and multi-jet backgrounds previously. For top and diboson samples, we consider the impact of the jet energy scale and the effect that uncertainties due to the differences between jet *b*ness behavior in data and Monte Carlo simulation may have on the templates' shapes and normalizations. These uncertainties are summarized in Table III. All of the above uncertainties are treated as nuisance parameters and are incorporated into the fit using a Bayesian marginalization technique [25].



FIG. 5. Confidence bands showing the expected range of measured cross sections as a function of the true cross section, with 68% CL (blue solid region) and 95% CL (blue dotted region). Our measured result of  $\sigma(p\bar{p} \rightarrow WZ, ZZ) = 5.8^{+3.6}_{-3.0}$  pb (red dashed vertical line) corresponds to a 95% CL limit at 13 pb (2.6 ×  $\sigma_{\rm SM}$ ).

We choose the jet *b*ness thresholds that define our two fitting channels to optimize the significance of our final result. The optimization for the two-tag channel points to a broad region where the sensitivity is maximized and we choose the operating point for our *b*ness thresholds in that region. The optimization favors that all remaining events be combined in a no-tag channel, rather than a single-tag channel with a low *b*ness threshold. Figure 4 shows the

Process	Fit $N_{\text{events}}$ (no-tag)	Fit $N_{\text{events}}$ (two-tag)
EWK	$149900^{+5600}_{-5200}$	$749\pm\!48$
$t\bar{t}$ and single $t$	$898^{+59}_{-61}$	$217  {}^{+23}_{-27}$
Multi-jet	$76600^{+4900}_{-5300}$	$76.3 \pm 9.0$
WW	$2720 \pm 200$	$10.5^{+2.1}_{-2.3}$
WZ/ZZ	$1330^{+710}_{-690}$	$52^{+24}_{-23}$

TABLE IV. Extracted number of events from the 2-channel fit for WZ/ZZ, with all systematic uncertainties applied. Each uncertainty is reported to two significant figures, and all event totals are reported to the precision reflected in the uncertainty.

results of the fit, and Table IV shows the number of fitted events.

Our measured result, using the  $1\sigma$  bands from the Feldman-Cousins analysis, is  $\sigma(p\bar{p} \rightarrow WZ, ZZ) = 5.8^{+3.6}_{-3.0}$  pb, in agreement with the standard model prediction  $\sigma_{\rm SM} = 5.1$  pb ([23]). We perform pseudo-experiments to calculate

the probability (p-value) that the background-only model fluctuates up to the observed result (observed p-value) and up to the median expected s + b result (expected p-value). We observe a p-value of 2.7%, corresponding to a signal significance of  $1.9\sigma$  where  $1.7\sigma$  is expected. We set a limit on  $\sigma_{WZ,ZZ} < 13$  pb ( $2.6 \times \sigma_{SM}$ ) with 95% C.L. The techniques used here, in particular the *b* tagging algorithm, are being integrated in the current generation of searches for a low-mass Higgs boson.

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