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Phys. Rev. D **84**, 123530 — Published 30 December 2011

DOI: [10.1103/PhysRevD.84.123530](https://doi.org/10.1103/PhysRevD.84.123530)

Effects of Inhomogeneity on the Causal Entropic prediction of Λ

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(Dated: December 9, 2011)

The Causal Entropic Principle aims to predict the unexpectedly small value of the cosmological constant Λ using a weighting by entropy increase on causal diamonds. The original work assumed a purely isotropic and homogeneous cosmology. But even the level of inhomogeneity observed in our universe forces reconsideration of certain arguments about entropy production. In particular, we must consider an *ensemble* of causal diamonds associated with each background cosmology and we can no longer immediately discard entropy production in the far future of the universe. Depending on our choices for a probability measure and our treatment of black hole evaporation, the prediction for Λ may be left intact or dramatically altered.

PACS numbers:

I. INTRODUCTION

A broad line of argument intended to resolve or ameliorate the notorious problem of the apparent smallness of the cosmological constant ($\rho_\Lambda \approx 1.25 \times 10^{-123}$ in Planck units) is to reject the notion of a fundamental value for Λ altogether. In this approach, well-known from the string theory landscape as well as other “multiverse” notions, the problem is transformed to the search for a selection principle that may explain why a value as small as observed is probable. In order to make this formulation two broad decisions must be made, both of which can be controversial: the choice of selection principle, and the probability measure. The first tends to be controversial because a choice of selection principle is a choice about how to categorize our imagined experimental sample of universes in which measurements occur, and thus leads to difficult questions about observers. The choice of probability measure has its own well-known difficulties relating to defining probabilities across different infinite spaces. Different choices for either selection principle or probability measure can lead to wildly different probability predictions, easily changing a prediction of likelihood to an exponentially disfavored one.

Bousso *et al.* [1] suggested a novel combined approach, the so-called “Causal Entropic Principle” (CEP). For flat universes with a positive fundamental cosmological constant, one can define the *causal diamond* for a particular world line $\lambda(\tau)$ as the intersection of interiors of the future cone at earliest times and the past cone at late times¹. The resulting region is finite in comoving volume in this flat positive-lambda FRW universe, and diamond-shaped when drawn in comoving coordinates and conformal time. (See Fig. 1). If we restrict our probability measure to the finite interior of this diamond, we can avoid the difficulty in defining a probability measure on infinite spaces. Moreover, the proposed selection principle

is a simple weighting proportional to the entropy production ΔS occurring within the causal diamond. Loosely one may interpret this as an assumption that the number of observers is proportional to the entropy increase within the causal diamond, but in the spirit of [1] we may simply take this weight as a hypothesis and remark that ΔS has several advantages over some other weightings: 1) It is hearteningly generic, allowing at least the theoretical possibility of application to universes with much different low energy physics from ours. 2) It seems less contrived than typical “anthropic” reasoning; though we may contemplate observers in a universe with no galaxies, it is difficult to imagine them without significant entropy increase. 3) As shown in [1], it can actually reproduce and improve upon previous anthropic results. This work has since been taken in a number of interesting directions [4–6].

Even after accepting the program to calculate likelihoods of physical parameters from some a priori theoretical distribution and after fixing a probability measure, a full calculation of the probability distribution for Λ is a formidable task. In an ideal case we would have a background theory giving us some set of cosmological parameters and their prior distribution. We would then allow all parameters to vary and make a prediction for Λ by marginalizing over the other parameters, in a scheme such as that in [7]. As a first step, Bousso *et al.* [1] follow the usual simplification of holding all other physical parameters fixed while modifying only the positive value of Λ in a flat FRW universe. Other work has discussed aspects of the CEP for $\Lambda \leq 0$ [8, 9], but in this work we keep the same $\Lambda > 0$ assumption used in the first papers on this subject.

A common drawback of varying only Λ in such an approach is the possibility that variation in other parameters could significantly affect the prediction for Λ itself. The classic instance is that Weinberg’s prediction of $\rho_\Lambda < 10^{-121}$ [10] under the selection principle that galaxies must form is softened by allowing the density contrast Q or the baryon-to-photon ratio to increase from that observed in our universe. Greater early anisotropies or matter densities and reduced radiation pressure could al-

¹ The CEP has been extended to provide predictions of curvature and other cosmological features in [2, 3]

low structure to form earlier and thus significantly push up the allowable value of Λ [11, 12]. Cline *et al.* [13] have shown that the entropic approach, at least for Λ , is resilient when varying Q . More recently it has been shown that allowing the curvature of the universe to vary along with Λ can dramatically change the CEP predictions for Λ , depending on exactly what priors one take on the cosmic curvature[2]. Other authors have suggested potential limitations of the CEP along with related approaches [8, 14].

This paper examines a different simplification that has been made so far in all work on the CEP: that of an isotropic, homogeneous universe. Our own universe's small primordial fluctuations allow us to make these approximations to great effect for the overall evolution of the universe. But as time progresses we know that structure formation proceeds apace and entropy production, if it is associated with structure, becomes less spatially homogeneous. Because the causal entropic approach examines only the causal region surrounding a particular world line, we must try to formulate how departures from homogeneity may affect the entropic weight, and whether those variations can affect the prediction for Λ . (In the process we estimate entropy production well into the era of cosmological constant domination, but we note that our approach is not directly related to the arguments in [8] about the cosmological heat death of observers.)

In section II we briefly review the CEP method. In section III we discuss the the resulting prediction for Λ and comment on the increasing inhomogeneity of entropy produced at late times, illustrated by black hole evaporation. In section IV we describe the necessity of replacing a single causal diamond with an ensemble representing the diverse possible behaviors of worldlines due to the inhomogeneities, even when the background cosmology is fixed. Section V discusses the nature of long-term entropy sources that might compete with stellar entropy production for causal diamonds containing collapsed structures. In section VI we discuss effects on the predicted probability distribution for ρ_Λ , and in section VII we summarize our conclusions. Throughout we use Planck units with $\hbar = c = G = 1$.

II. THE CAUSAL ENTROPIC PREDICTION FOR Λ

Simply stated, the CEP [1] assumes that the probabilistic weighting for cosmological parameters is proportional to the increase in entropy ΔS within a causal diamond associated with that cosmology. Given a multiverse populated with different cosmologies, the CEP thus becomes a tool to calculate probability distributions for measurements of the cosmological parameters themselves. Although in principle one could ask the CEP to thus give predictions for a greater range of cosmological parameters (see for example [2, 3]), following Bousso *et al.* we will leave all cosmological parameters fixed at their

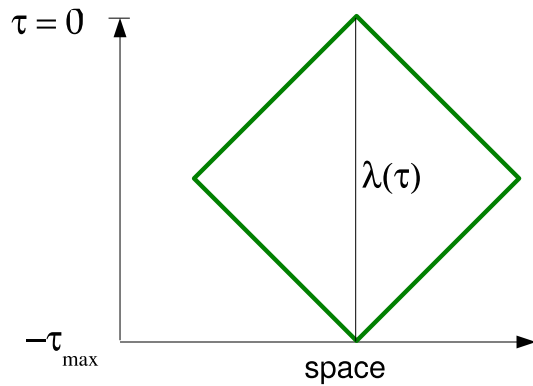


FIG. 1: A causal diamond (depicted schematically here) is the region which can causally impact *and* be causally impacted by a worldline $\lambda(\tau)$. The finite entropy produced in the resulting spacetime volume is used in the Causal Entropic Principle as a cosmological weighting factor

observed values except the cosmological constant Λ .

The causal diamond is defined as the volume contained within the future cone of an early event (taken to be reheating following inflation) as well as within the past cone of a late event on the same world line. The causal diamond is thus the region of space in full causal contact with a particular world line. Following the original argument we will also restrict ourselves to purely positive Λ , so that all cosmologies will eventually be dominated by the cosmological constant. In every case a de Sitter horizon will thus form and define the past light cone for the causal diamond.

The CEP choice to restrict entropy increase to that within a causal diamond originated from a holography argument: the universe simply does not consist of a region larger than a single causal diamond. We will not try and argue the pros and cons of this point here, but simply take this restriction as one of our input assumptions. There is however an important extra step which we will talk about in greater detail. If an entire cosmology is represented only by a single causal diamond, we need some way to choose this causal diamond, or equivalently define a particular world line associated with a particular set of cosmological parameters. There is no difficulty doing so in a homogeneous, isotropic universe, as all causal diamonds are identical. Such is clearly not the case for an inhomogeneous universe, and we will thus introduce the statistical notion of an ensemble of causal diamonds associated with a particular cosmology. It should be emphasized that this complication is required even with a very strictly holographic interpretation of the causal diamond.

Black holes immediately come to mind in calculations of cosmological entropy. The entropy associated with the formation of a black hole horizon is explicitly excluded in the CEP, as is de Sitter horizon entropy. This exclusion is important as a single supermassive ($10^7 M_\odot$) black hole can have an entropy of 10^{91} [15], exceeding all other

non-horizon entropy sources. Reference [1] acknowledged the possibility of including the black hole entropy upon formation; in our universe, the era of peak black hole production approximately overlaps that of peak stellar entropy production, so there would probably be only a modest change in preferred values of Λ compared to [1]. However, including this massively larger black hole entropy during the star-forming epoch would overwhelm and make moot the very long-term entropy sources we discuss in this paper. For the rest of this paper we will hold to the original convention of the CEP and exclude entropy associated directly with the formation of a black hole horizon.

One might object, as noted in [1], that this black hole entropy cannot be hidden forever, as on very long time scales the black hole will evaporate and return its entropy to the rest of the causal diamond. Bousso *et al.* [1] argued that a typical late-time causal diamond is empty and thus we may discount this entropy. We will examine this issue systematically in this paper.

It is also important to note that the weighting $w(\rho_\Lambda) \propto \Delta S$ includes only entropy increase occurring within the causal diamond. Therefore various processes which one might imagine to be strong contributors to entropy increase turn out not to be significant. For example, CMB photons represent a large amount of current entropy, but not of entropy increase within the causal diamond surrounding our world line. The causal diamond at recombination enclosed a much smaller amount of matter (and photons) than a Hubble radius today does, so most CMB photons within our horizon must have entered through the bottom cone of the causal diamond; these photons do not contribute to ΔS . Other events in the early universe such as nucleosynthesis likewise contribute little to this measure of ΔS owing to the small size of the causal diamond. So Bousso *et al.* [1] restricted themselves to processes active during the era of relatively large comoving scale for the causal diamond. One of the purposes of this paper is to examine whether the very long times available for entropy production in the future of a Λ -dominated universe can compensate for the small volume of matter in causal contact with an observer once a de Sitter horizon forms.

Varying the cosmological constant directly affects the size of the causal diamond, with the comoving 4-volume contained proportional to Λ^{-1} . Therefore even before accounting for the effects of entropy production, the CEP rewards smaller values of Λ with greater weight, owing to their larger causal diamonds, at least measured in comoving volume. If we found entropy production to be dominated by a process producing a constant entropy rate per comoving volume, such a process would translate a flat prior distribution of ρ_Λ

$$\frac{dp}{d\rho_\Lambda} = \text{const}$$

into a flat distribution in $\log(\rho_\Lambda)$

$$\frac{dp}{d\rho_\Lambda} \propto w(\rho_\Lambda) = \rho_\Lambda^{-1}$$

$$\frac{dp}{d\log(\rho_\Lambda)} \propto w(\rho_\Lambda)\rho_\Lambda = \text{const}$$

The reduction from a flat distribution to one flat in log space is an indication of how much work the causal diamond portion of the CEP is doing on its own. For realistic entropy sources, the total entropy production (and thus probabilistic weight) was calculated via

$$w(\rho_\Lambda) \propto \Delta S(\rho_\Lambda) = \int_0^\infty dt V_c(\rho_\Lambda, t) \frac{\partial^2 S(\rho_\Lambda, t)}{\partial V_c \partial t}$$

Here V_c is the directly calculable comoving 3-volume of the causal diamond as a function of Λ and t and $\partial \dot{S}/\partial V_c$ is the entropy production rate per comoving volume.

During the current cosmological era for cosmologies similar to ours, calculations in [1] revealed stars to be the greatest contributor to ΔS due to photons absorbed and reemitted by cool dust. This large contribution may be seen from estimating entropy increase for a process by $\Delta S = \frac{\Delta E}{T}$ where ΔE is the energy released and T is the typical temperature ($k_B = 1$). For stars, typical energies released in fusion are about 7 MeV/nucleon. While typical stars produce visible light with an effective $T \sim eV$, perhaps half of the photons are absorbed and re-emitted by cool dust with a $T \sim 20 meV$. It is the combination of high energy per nucleon, ubiquity of stellar burning, and the low effective temperature of much of the reprocessed starlight which gives stellar entropy the edge over other processes.

III. COMOVING VOLUME OF UNIVERSE

Stellar entropy production per comoving volume reaches a maximum of $2.7 \times 10^{63}/Mpc^3/yr$ comoving, as shown in Fig. 2. The causal diamond gets as large as $\sim 10^{13} Mpc^3$. With $\sim 10^{10}$ years that gives an integrated stellar entropy production $\Delta S_0 \approx 10^{86}$ reached by about 10 billion years.

Under the CEP with stars as the major source of entropy production, one obtains a weighting and hence a predicted probability distribution for ρ_Λ . With several different star formation models [1, 13, 16] the predicted 1- σ probability band of roughly $10^{-124} \lesssim \rho_\Lambda \lesssim 10^{-122}$ easily contains our universe's observed value.

A comoving volume of a particular scale contains a fixed amount of matter so long as the universe is homogeneous over the scale of consideration. But a flat universe with a cosmological constant will form a horizon of fixed physical size. Eventually the the comoving radius corresponding to the horizon length will drop below the scale of matter inhomogeneity. In physical terms, for a world

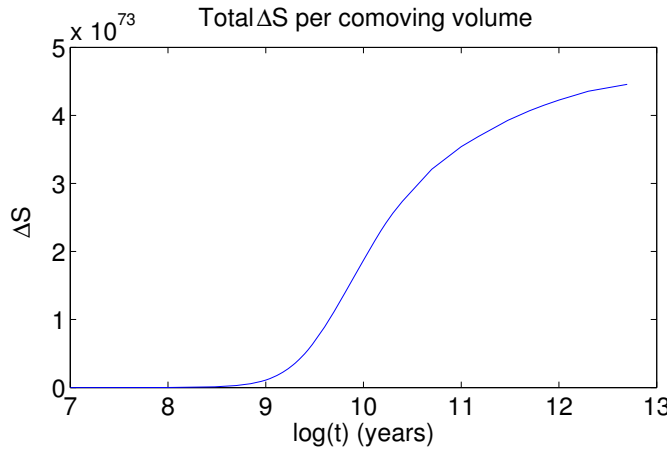


FIG. 2: Integrated stellar entropy production per comoving Mpc^3 , calculated using the Nagamine *et al.* star formation model [17] considered in [1]. The long tail is produced by low-mass white dwarfs with lifetimes up to 10^{13} years, but by 10^{10} years we have already seen a large fraction of stellar entropy production.

line near a gravitationally collapsed halo, the amount of mass enclosed by a causal diamond will eventually approximate a constant value, rather than exponentially emptying out. Comoving coordinates are no longer a particularly good choice within a collapsed halo.

In our universe a large halo might have mass $10^{15} M_\odot$. Today's $\rho_m \approx 3.3 \times 10^{-10} \frac{M_\odot}{Mpc^3}$ gives a corresponding comoving volume of about $3 \times 10^4 Mpc^3$, which is nearly a factor of a billion smaller than the maximum comoving size. Any late-time entropy source must therefore compensate for effectively having a causal diamond 3-volume approximately 10^{-9} of that during peak stellar entropy production. Whether this is possible depends upon details such as the lengths of time available and the scale of entropy production. The most dramatic example would be the inclusion of Hawking radiation from a black hole. Release of a $10^7 M_\odot$ black hole's 10^{91} entropy as Hawking radiation would completely swamp entropy produced by stars in the first 10^{10} years of cosmic evolution. The time scale is enormous: $\log_{10}(\tau_{BH}) = 83 + 3\log_{10}[M_{BH}/10^6 M_\odot]$, or about 10^{86} years in this case, but we cannot ignore the situation out of hand as any worldline which tracks matter has a high chance of ultimately ending up near (or even in) a black hole.

IV. WHY WE CARE ABOUT PARTICULAR WORLD LINES

In the the formulation of Bousso *et al.* [1] the universe is considered to be exactly FRW homogeneous and isotropic, which makes a distinction between comoving volume and mass unnecessary. Indeed over the size of the causal diamond this assumption is quite accurate at the

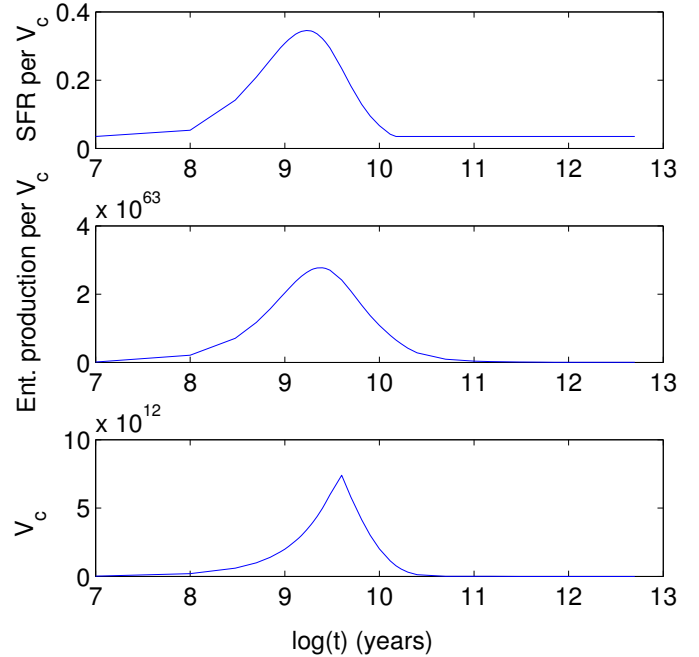


FIG. 3: A peak in star formation (top plot) is followed by a peak in entropy production (middle plot) per comoving volume. In our universe the peak in comoving 3-volume of the causal diamond (bottom plot) is near the time of maximal stellar entropy production per V_c . The 3-volume $V_c(t)$ of the causal diamond is determined by Λ ; a much earlier peak (larger Λ) would not allow the diamond to capture as much entropy production. Universes with smaller Λ would give larger causal diamonds in late times, but would capture little more stellar entropy production, and are less likely owing to our flat prior. All plots are assuming a homogeneous universe.

beginning of our universe and well through the current time, as the universe is homogeneous well below scales approaching the Hubble length or the current size of the causal diamond. As mentioned above it is in the future that differences among causal diamonds may arise.

Because of the assumption of homogeneity in [1], a particular set of cosmological parameters resulted in a unique, representative causal diamond. Thus the probability is given by

$$\frac{dp}{d\rho_\Lambda} \propto w(\rho_\Lambda) \frac{dp}{dN} \frac{dN}{d\rho_\Lambda}$$

where $dN/d\rho_\Lambda$ represents the density of vacua per value of Λ . We may take $dN/d\rho_\Lambda$ to be flat if the landscape has values spaced tightly in the region of interest, and if 0 is not a special value. With these assumptions, the spacings of vacua can be assumed to be uniform for Λ near 10^{-123} . The quantity dp/dN is the term representing the theory's prior probability for Λ . Following previous work, we assume prior probability is flat; in other words, the background theory is indifferent to vacua, choosing

among them with equal probability.

Critically, in [1], the weighting $w(\rho_\Lambda)$ is the weight of a single representative causal diamond with cosmological constant density ρ_Λ . If a particular set of cosmological parameters does not yield a single causal diamond, we must replace our single calculation of $w(\rho_\Lambda)$ with a probability distribution

$$w(\rho_\Lambda) = \int_\lambda w(\rho_\Lambda, \lambda) d\lambda$$

where the integral over λ is one over all possible world lines (and hence causal diamonds) given a particular set of cosmological parameters from our background theory.

This discussion may seem counter to the spirit of the causal diamond approach in [1]. Yet unless our background theory is itself phrased in terms of causal diamonds, we cannot skip smoothly from a distribution of cosmological parameters to a distribution of results for causal diamonds. Our prior distribution of Λ or the spacing of vacua is phrased in terms of cosmological parameters, not particular world lines. Assuming perfect homogeneity simply means taking the weight function $w(\rho_\Lambda, \lambda)$ to be proportional to a delta function peaked at a particular world line λ_0 that is “typical” of a perfect FRW universe. Given the tremendous variety of world lines for any structure-forming cosmology, this assumption seems unrealistic: an extreme counterexample would be a world line that runs directly into a black hole horizon at an early era. Nonetheless it remains to be seen whether considering an ensemble of world lines for a cosmology rather than a single one makes a difference in predictions for ρ_Λ .

In order to calculate the entropy production probability distribution over an ensemble of world lines λ , we need to describe how the density of a bundle of world lines behaves over time relative to the coordinates in which we wish to measure entropy production. We argue that for an inhomogeneous universe there are multiple ways to parametrize these world lines and that the choice of parametrization directly affects the results of CEP calculation.

It should be noted that even in the case of a perfectly homogeneous FRW universe not all world lines (and hence causal diamonds) are created identically, as one could imagine arbitrary boosts or even accelerated paths relative to a comoving observer. Even with modest boosts, observers on these paths would have a different experience of the universe owing for example to a strong CMB dipole. Since the group of boosts is not compact, one might expect a “typical” boost to be arbitrarily far from the comoving rest frame, with correspondingly anisotropic physics. Given a homogeneous, isotropic universe, the preservation of symmetry afforded by the choice of a comoving observer seems an enticing motivation for picking a comoving causal diamond. But it must be emphasized that this is indeed a choice, and any appeal that comoving coordinates are natural in the sense that they follow typical matter distributions (and

perhaps thus observers) has implications for the inhomogeneous case.

When we move to an inhomogeneous universe we cannot even appeal to a notion of preserving symmetry. For the purposes of simplification we will leave out accelerated world lines and describe our collection of world lines as a congruence of timelike geodesics, with each space-time point lying on a single geodesic. One can construct such a congruence by specifying a spacelike slice and examining geodesics orthogonal to this slice. Different slices, however, typically result in different inherited parameterizations for the world lines. We will describe two such choices in what follows, but there are of course many others.

For a slice picked at a constant cosmic time in the very homogeneous early stages of a universe like ours, there is a natural parametrization: our entropy production can be measured on a per-mass or, equivalently, comoving coordinate basis, and so we can simply imagine a grid of world lines piercing each spacelike surface with constant cosmic time. In the homogeneous limit for comoving coordinates this grid simply remains fixed in time, yielding a fixed world line density, and corresponding to the simple choice made in Bousso *et al.* [1]

The generalization to a more realistic, slightly inhomogeneous universe requires one to make further choices. A starting point is to imagine placing test particles in a fixed, constant spatial density at an early cosmic time, and watching the particles trace out geodesics as the universe evolves. Of course, our universe seems to have performed this very experiment, and as Λ dominates we have a picture of most matter eventually residing within isolated gravitationally bound halos, with exponentially emptying space in between. In this picture, at late times the spatial density distribution of geodesics parallels that of matter itself, so at least roughly, a probability distribution for entropy production over world lines would be equivalent to integration over the matter distribution. This “early comoving” choice could also be motivated by a combination of constraints on the initial distribution of world lines and enough inflation to turn the initial distribution into a comoving one.

There are other choices that yield dramatically different answers, however. If we choose a slice at late cosmic time and parametrize world lines to have a constant density in physical coordinates, the vast majority of world lines at late times will be located in nearly empty regions with almost no entropy production. When we trace back the world lines to the beginning of the universe, they will not be homogeneously distributed relative to matter, but for the purposes of calculating entropy increase at early times there is no significant difference since the entropy production itself is homogeneous in space.

On the other hand, with this second choice, any entropy production at late times will be exponentially suppressed by the rarity of world lines that are located near matter, and so given this choice it is justifiable to discard late-time entropy sources. It is important to observe,

however, that the second choice seems at best no better motivated than the first, and indeed that one could imagine many other intermediate choices for parameterizing world lines. For the remainder of the paper we treat this choice as an open question, and will estimate the effect of late-time entropy production where it seems to matter: that is, under the “early comoving” assumption that typical world lines follow matter distribution from an early time. Therefore we begin by asking what astrophysical processes may produce substantial entropy well into the future. As we will discover in section (V.E), carefully considering scenarios in the very far future provokes additional questions about the distribution of world lines.

V. LONG-TERM ENTROPY PRODUCTION

A. Black holes

Black holes contain much more entropy than all other astrophysical sources. In [1], black hole horizon entropy as well as that associated with the formation of a de Sitter horizon were explicitly excluded from the tally of entropy increase. Maor et al. [14] raise the possibility that gravitons produced during black hole mergers could by themselves exceed stellar entropy increase. But even if one does not count a significant early-time increase in entropy from black holes, on the very long time scale of black hole evaporation, this entropy increase can no longer be avoided. Hawking radiation returns entropy to the matter sector, and it will typically dominate the early-time stellar entropy production as estimated in section (III).

B. Stellar entropy

Low-mass white dwarfs may continue burning for as long as 10^{13} years. Moreover, even though star formation is already dropping dramatically in our universe due to depletion of cool gas, some small but finite star formation rate will likely exist far into the future owing to collisions among sub-stellar masses and white dwarfs. Further, one might wonder about the time behavior of star formation in universes with very different values of Λ .

Can stars in a collapsed region far into the future ever exceed the 10^{86} entropy produced by the stars in the first 10^{10} years? We can calculate an upper bound by simply imagining all baryons within a halo are converted into stars and burned. Consider a massive halo ($10^{15} M_{\odot}$). Baryons make up about 1/6 of the matter content, or $1.6 \times 10^{14} M_{\odot} = \frac{3 \times 10^{44} \text{ kg}}{2 \times 10^{-27} \text{ kg/baryon}} \approx 1.5 \times 10^{71}$ baryons, or perhaps 10^{71} hydrogen atoms.

Each instance of fusion releases about 7 MeV per baryon. At a temperature of 20 meV for dust-reprocessing, that is about 3×10^8 entropy per dust-processed baryon. Even if over very long times 100%

of baryons are burned to hydrogen, and half are reprocessed by dust (an overestimate as dust is depleted over time), that allows only $\approx 10^{79}$ entropy, 7 orders of magnitude less than is produced by stellar entropy ΔS_0 up to 10^{10} years. It would seem that for the observed cosmological parameters future stellar entropy production can not compete with that in the past.

Varying the cosmological constant affects the estimate in two ways: increasing Λ leads to earlier vacuum domination and a smaller value of ΔS_0 . However, it simultaneously leads to a smaller typical halo size as discussed later. Eventually large Λ will lead to a severe drop in star formation rates at both early and later times. Similarly, small values of Λ will push vacuum domination later and later, eventually leaving less stellar entropy to be produced in the vacuum-dominated era. Thus it does not appear that stellar entropy in late eras is a strong competitor to ΔS_0 , even when the cosmological constant is varied.

C. Dark Matter annihilation

To compete with ΔS_0 we need approximately 10^{15} entropy per baryon. With the possible exception of Hawking radiation, this appears to be a tall order. We need a process with a combination of high energy released, low effective temperature, and near universal occurrence. One possibility is annihilations of dark matter. Dark matter masses perhaps 6 times baryonic matter, so the total available energy is ≈ 6 GeV per baryon. Cline et al. [13] chose a low-mass dark matter model in which decays could compete even at early times with stellar entropy, and used the CEP to make predictions for this model. Because our paper focuses on possible effects on the CEP for entropy sources at late times, we will instead consider a simple model of an annihilating WIMP with approximately weak scale mass and estimate the entropy production over very long time scales. With such a high mass, the typical handful of WIMP annihilation products by themselves cannot produce anywhere near enough entropy. So the interesting case is if the annihilation happens in a low-temperature context so that many low-energy products (typically photons) can be produced by a single annihilation.

Adams *et al.* [18] explore WIMP capture by white dwarfs. Over the long term white dwarfs make up the bulk of collapsed stellar objects, and they have densities great enough to capture massive WIMPs over time. Due to DM annihilations the dwarfs have a very extended period of low luminosity and low temperature. Adams *et al.* give typical $T \approx 63$ K, or about 5 meV for DM annihilations, which with 6 GeV/baryon energy gives only 10^{12} entropy from annihilating all DM.

D. Proton decay

One can also ask about proton decay within white dwarfs (88% of final stellar mass). For a typical GUT decay such as $p \rightarrow e^+ + \pi^0$, 1 GeV per nucleon is ultimately released. Typically about 1/3 is lost to neutrinos which freely stream out of even white dwarfs rather than thermalizing. Thus we need a temperature of $T \approx 10^{-6}$ eV or about 10^{-2} K. For proton decay in white dwarfs, $T \approx .06$ K with proton decay lifetime $\Gamma = 10^{37}$ years. Using the same bounds on proton decay as Adams *et al.* [18], $32 < \log \Gamma < 41$, but since $T^4 \propto \Gamma e^{-\Gamma t}$, we can only push that temperature down another order of magnitude with the simplest proton decay models. But a proton decay mechanism originating from a higher order operator could produce much longer lifetimes and correspondingly lower temperatures, perhaps allowing this process to compete with early stellar evolution.

E. Dynamical effects

Given the approximations involved, either proton decay or WIMP annihilation might be considered reasonable competitors to stellar entropy production ΔS_0 in the matter-dominated era. In order to calculate the maximum entropy for each we have simply given each process a maximal value assuming complete conversion of a certain large halo. But halo masses themselves may not be stable on the time scales considered ($\tau \approx 10^{24}$ years for WIMP annihilation and $\approx 10^{37}$ years for proton decay). There are two competing dynamic processes within halos over the very long term ([18]). Interactions between stars lead to dynamic relaxation and ejection of individual stars on a time scale of $\tau_{evap} \approx 100\tau_{relax} \approx 100 \frac{R}{v} \frac{N}{12 \ln(\frac{N}{2})} \approx 10^{19} - 10^{20}$ years for typical galactic radius R , random velocity v , and number of stars N . At the same time, gravitational radiation should cause orbits to decay and eventually drop matter into a central black hole, on a time scale of $\approx 10^{24}$ years. Adams *et al.* estimate perhaps 1-10% of matter remains bound to the central black hole while the remainder is lost from the galaxy.

Matter ejected from the gravitational bounds of a galaxy will in general be lost from the de Sitter horizon as well. Taking the point of view of a world line following an example white dwarf ejected this way, within a few Hubble times the former host halo will have redshifted beyond the horizon and the only continuing source of entropy increase within an observer's horizon and causal diamond would be that produced from the single white dwarf star. Even the complete proton decay of such a star would produce a completely negligible amount of entropy compared to ΔS_0 given the small matter content within the horizon. On the other hand, we may still wonder about a single large black hole ejected in this fashion, since Hawking radiation over extremely long times could

compete with early entropy.

If this picture of dynamical effects is correct, for a world line near the leftover central black hole in a halo, of the processes considered again it is only Hawking radiation that could compete with ΔS_0 , as on time scales much shorter than proton decay, essentially all matter will have either been ejected from the halo or have already collapsed into the central black hole. WIMP annihilation within white dwarfs has a time scale of $\approx 10^{25}$ years, so the story is relatively similar: white dwarfs experiencing this process will typically be isolated and the resulting entropy gain will not be within the causal diamond of the bulk of remaining matter.

Dynamical effects may also have important implications for counting entropy from Hawking radiation. We intentionally made the choice to parametrize world lines so that they essentially followed typical paths of matter. We claimed that this choice was in essence arbitrary, if straightforward. On time-scales well before black hole evaporation, there are only two common fates for matter: either it is within a black hole, or part of a small amount of matter with no black holes within the de Sitter horizon. The question then becomes how literally to accept this picture when talking about idealized world lines rather than actual matter particles.

If we imagine our geodesics to be the paths of perfect test particles in a zero-mass limit, it is easy to imagine that these orbits are stable over very long periods. The time estimates earlier quoted for dynamical ejection or decay by gravitational radiation were for ordinary massive objects with typical virial velocities. In comparison, for the simple case of a perturbations to a geodesic orbiting a black hole (due to e.g. Hawking radiation, or classical estimates from the changing mass of the black hole), there is ultimately just one time scale: that of the black hole evaporation itself. Therefore such world lines would be exposed to the bulk of black hole evaporation entropy, and if they are common they could easily ruin the CEP predictions, as discussed in the next section.

We might take a different view: that we are really interested in typical world lines associated with matter, and that we should thus turn away from perfectly idealized geodesics in favor of the attractor behavior for matter far in the future. In this case it is quite common for world lines themselves to intersect black holes, but it is not common for world lines to stay within a Hubble radius of a black hole long enough to observe black hole evaporation. Classical world lines may end at the singularity of a black hole, but our approach of ignoring horizon entropy is not nearly so obvious once the horizon itself is crossed. In this picture the CEP may be safe from the need to count Hawking radiation, but the details are far from immediate.

It should be noted that the differences between the above approaches are very subtle in practice except perhaps at times long in the future, and that both are variants of the “early comoving” choice that we have already explicitly made. Subtle changes in parametrization

clearly can yield very different ideas of what constitutes a typical world line, and we next make the argument that if there is a significant late-time entropy source in our parametrization, it can drastically affect the CEP prediction for a cosmological parameter.

VI. EFFECTS ON PREDICTION FOR ρ_Λ

All of our late-time effects are at approximately fixed mass within a horizon. Assuming we are examining an astrophysical process which is independent of halo scale (which is certainly true for proton decay itself, but should be considered a simplification for black holes and white dwarf processes, since larger halos may have different astrophysics), the only determinant of entropy production is the mass of the halo. Diamonds containing different masses would also have slightly different volumes owing to the different metric compared to an empty de Sitter diamond, but entropy production at late times takes place within compact objects, and does not depend on the volume of the causal diamond. Thus we describe our entropy weight as a function of the mass of the halo, $w(\rho_\Lambda, \lambda) \rightarrow w(\rho_\Lambda, M_{\text{halo}})$. If we assume matter fairly traces worldlines (the “early comoving” assumption), then we can take advantage of the Press-Schechter (P-S) mass function[19] to estimate the probability for each world line (and thus causal diamond) to be within a halo of mass M and hence to have a weight $w(\rho_\Lambda, M)$.

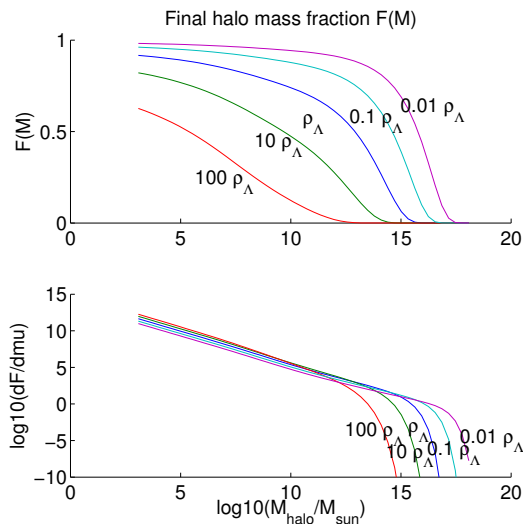


FIG. 4: Top: Fraction of total mass at late times in halos smaller than mass M , plotted for a range of cosmological constants relative to the observed value ρ_Λ . Bottom: the late-time differential Press-Schechter halo fraction $f(M)$. As Λ increases, the halo fraction shifts towards smaller masses.

The P-S mass function $F(M, \Lambda) = \text{erfc}[\frac{\delta_c}{\sqrt{2}\sigma(M, \Lambda)}]$ gives the fraction of total mass contained in haloes of mass larger than M . In a Λ -dominated universe, these mass fractions approach a fixed value on the timescale of $t_\Lambda \approx$

16.7 billion years for our universe, as the cosmological constant freezes structure formation[7]. The final halo mass fraction and differential P-S function are given in Fig. 4, assuming the functional form for σ given in [7].

For calculations of total entropy production at late times only the mass of the halo should be relevant. For many of the potential sources mentioned, such as particle decays, we can approximate the entropy production as simply proportional to the halo mass. For Hawking radiation from black holes, $S \propto M^2$, so if the black hole mass is proportional to the halo mass, the entropy production will be proportional to the square of the halo mass. These correspond to different choices for the weight $w(M)$, while the differential P-S mass function $-\frac{dF}{dM}$ will give the probability distribution for halo masses as a function of Λ . Using a general power-law weighting $\Delta S \propto M^n$ for halo mass M , we have:

$$\begin{aligned} w(\rho_\Lambda) &\equiv \int w(\rho_\Lambda, \lambda) d\lambda \\ &= \int_0^\infty w(\rho_\Lambda, M) p(M) dM \\ &= - \int_0^\infty M^n \frac{dF}{dM}(M, \Lambda) dM \\ &= \int_0^\infty M^{n-1} \text{erfc}[\frac{\delta_c}{\sqrt{2}\sigma(M, \Lambda)}] dM \end{aligned}$$

In the case of $n = 1$, the weight given to a given value of Λ is simply proportional to the mass of the halo a typical piece of matter finds itself within. One expects this typical halo mass to decrease for larger Λ . Indeed, from Fig. 4, we can see that for Λ within a few orders of magnitude of the observed value, $\frac{dF}{dM}(M, t)$ scales approximately inversely in mass with increasing ρ_Λ . Below and in Fig. 5 we analyze in the important small- Λ limit of this scaling behavior.

In the late universe δ_c reaches an asymptotic value of 1.63, while the typical fluctuation on a mass scale M may be factorized at late times as [7]

$$\sigma(M, \Lambda) \propto \frac{s(M)}{\Lambda^{1/3}}$$

where $s(M)$ is a function that, for fixed matter-to-light ratio, depends solely on the halo mass. $s(M)$ grows logarithmically towards small masses while decreasing as $M^{-\frac{2}{3}}$ for large masses. The break in the power-law occurs at the horizon mass at matter-energy equality: smaller mass scales entered the horizon in a radiation-dominated universe and had a period of suppressed growth. We will be interested in estimating the asymptotic Λ dependence of the integral for small values of Λ , where typical haloes are far larger than this critical mass scale and the integral

is dominated by the large M power-law behavior. So in this limit:

$$w(\rho_\Lambda) \propto \int_0^\infty M^{n-1} \text{erfc}[C\Lambda^{1/3} M^{2/3}] dM$$

for some constant C

$$\propto \Lambda^{-n/2} \int_0^\infty x^{\frac{3n}{2}-1} \text{erfc}[x] dx$$

with a suitable change of variables.

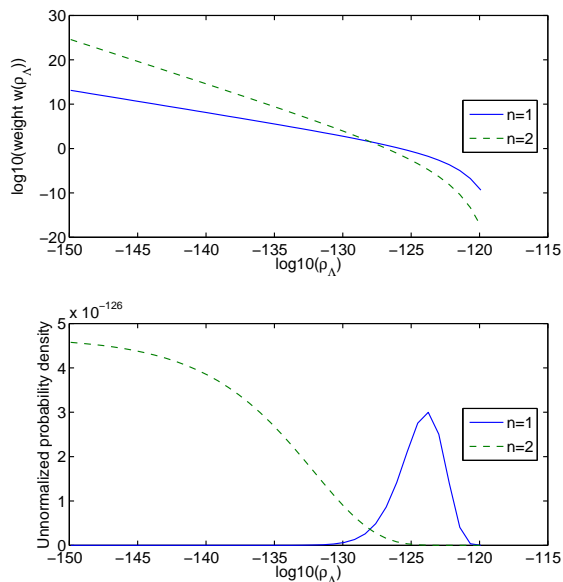


FIG. 5: Top: Unnormalized weight $w(\rho_\Lambda) \propto \int M f(M, \infty) dM$ assigned to a constant entropy production after Λ domination, plotted for $\Delta S \propto M$ (solid) and M^2 (dashed). Bottom: corresponding probability densities, $\frac{dP}{d\log(\rho_\Lambda)} \propto w(\rho_\Lambda) \rho_\Lambda$. The $n = 1$ case provides a peaked prediction for $\log(\rho_\Lambda)$, while the $n = 2$ case does not.

For the $n = 2$ case associated with counting late-time entropy from black holes, $w(\rho_\Lambda) \propto \rho_\Lambda^{-1}$. This replicates (for entirely different reasons) a result from section II, where a flat prior on ρ_Λ combined with a constant-rate entropy source and a causal diamond volume $V \propto \rho_\Lambda^{-1}$ leads to a flat predicted distribution for ρ_Λ in logarithmic space:

$$\frac{dP}{d\log(\rho_\Lambda)} \propto w(\rho_\Lambda) \rho_\Lambda \propto \text{const}$$

A flat probability distribution in logarithmic space provides no explanation for the observed order of magnitude of Λ ; indeed, it is a prediction that $\Lambda = 0$, or else the smallest discrete value allowed by a theory. Higher values of n will simply lead to more sharply peaked predictions of $\Lambda = 0$.

For the $n = 1$ case, we recover a peak in the prediction for ρ_Λ that is very similar to the results in ref. [1]. It is important to note that the peak is driven by the optimization of late-time entropy sources and represents the result of a competition between increased typical halo size associated with smaller values of $\log(\Lambda)$, and the corresponding rarity of those cosmologies. In the original work it was the increased amount of stellar entropy produced before Λ domination, rather than typical halo size, that pushed for smaller values of Λ . Although these effects are not entirely unrelated, the fragility of the correspondence between the early- and late-time calculations is shown by the rather different behavior under other assumptions of the scaling between halo mass and entropy.

We have focused on calculations of late-time entropy production, ΔS_{late} , but the overall weighting for a cosmology will be $\Delta S_0 + \Delta S_{\text{late}}$. In the case where the late time entropy increase dominates, the flat prior in ρ_Λ may be transformed to a flat distribution for $\log(\rho_\Lambda)$. While this result addresses the cosmological constant problem to some degree (as we need only explain the smallness of $\log \Lambda$), compared with earlier work we have lost the peak in the probability distribution associated with a prediction of the actual value of Λ . Unless we can definitively rule out significant late-time entropy sources with $\Delta S \propto M^n$, $n \geq 2$, such a result would undermine some of the success of the CEP. Nonetheless the CEP still benefits to an extent from the suppression of structure formation for large values of ρ_Λ , which shows up in the weighting dropping quickly for values of ρ_Λ significantly larger than the observed value (as can be seen in Fig. 5).

VII. CONCLUSIONS

Standard treatments of the Causal Entropic principle consider a one-to-one mapping between cosmological parameters and causal diamonds. The inhomogeneity of a realistic universe introduces additional complexity because different observers can experience very different causal diamonds, even with the same cosmological parameters. One must have some method of picking a typical causal diamond, or of characterizing an ensemble of causal diamonds for a given cosmology. We have shown that with one reasonable choice of parameterizations for the ensemble of causal diamonds, we are forced to consider very slow entropy sources in the far future. Dynamical effects on the typical halo over long times may prevent these slow entropy sources from being important contributors to the overall measure, but it is easy to imagine particular parameterizations where this is not the case. The entropy associated with black hole evap-

oration or certain models of particle decay could then ruin CEP predictions for the value of the cosmological constant.

It should also be noted that in a universe with enough inhomogeneity and with smaller causal diamond sizes, the effect of the inhomogeneity would be pushed to earlier time scales and we would need to worry about the clumping of stellar entropy production itself rather than merely late-time events. An example would be a universe with much larger Λ and also much larger initial fluctuations.

There are methods to parametrize causal diamonds that seem to avoid the late-time entropy production issue discussed here for universes similar to ours. But this ambiguity seems to point at least to an incompleteness in the CEP as currently formulated. One could of course simply make a felicitous choice of parametrizations and add it to the CEP. But for a wide range of cosmological parameters it may be still be difficult to be sure of capturing a “typical” causal diamond in this fashion. The reliance on entropy associated with a single causal diamond makes this issue much more difficult than it would be for (e.g.) a per-baryon measure, and in that sense is a CEP-specific issue. And it is one that must be addressed to be confident of CEP predictions for nonidealized cosmologies.

Acknowledgments

We wish to thank Steve Carlip, Raphael Bousso, Ben Freivogel, Damien Martin and Brandon Bozek for many fruitful discussions. This work was supported by DOE grant DE-FG03-91ER40674.

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