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Alejandro Casallas-Lagos, Javier M. Antelis, Claudia Moreno, Michele Zanolin, Anthony Mezzacappa, and Marek J. Szczepańczyk

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# Characterizing the temporal evolution of the high-frequency gravitational wave emission for a core collapse supernova with laser interferometric data: A neural network approach

Alejandro Casallas-Lagos <sup>1,2, a</sup> Javier M. Antelis <sup>3,1</sup> Claudia Moreno <sup>2,1, b</sup>  
Michele Zanolin <sup>1</sup> Anthony Mezzacappa <sup>4</sup> and Marek J. Szczepańczyk <sup>5</sup>

<sup>1</sup>*Department of Physics and Astronomy, Embry-Riddle Aeronautical University, Prescott, AZ 86301, USA*

<sup>2</sup>*Departamento de Física, Universidad de Guadalajara, Guadalajara, Jal., 44430, México*

<sup>3</sup>*Escuela de Ingeniería y Ciencias, Tecnológico de Monterrey, Monterrey, N.L., 64849, México*

<sup>4</sup>*Department of Physics and Astronomy, University of Tennessee, Knoxville, TN 37996-1200, USA*

<sup>5</sup>*Department of Physics, University of Florida, PO Box 118440, Gainesville, FL 32611-8440, USA*

We present a methodology based on the implementation of a fully-connected neural network algorithm to estimate the temporal evolution of the high-frequency gravitational wave emission for a Core Collapse Supernova (CCSN). For this study, we selected a fully-connected deep neural network (DNN) regression model because it can learn both linear and non-linear relationships between the input and output data, it is more appropriate for handling large-dimensional input data, and it offers high performance at a low computational cost. To train the ML algorithm, we construct a training data set using synthetic waveforms, and several CCSN waveforms are used to test the algorithm. We performed a first-order estimation of the high-frequency gravitational wave emission on real interferometric LIGO data from the second half of the third observing run (O3b) with a two detector network (L1 and H1). The relative error associated with the estimate of the slope of the resonant frequency versus time for the GW from CCSN signals is within 13% for the tested candidates included in this study up to different Galactic distances (1.0kpc, 2.3kpc, 3.1kpc, 4.3kpc, 5.4kpc, 7.3kpc, and 10kpc). This method is, to date, the best estimate of the temporal evolution of the high-frequency emission in real interferometric data. Our methodology of estimation can be used in future studies focused on physical properties of the progenitor. The distances where comparable performances could be achieved for Einstein Telescope and Cosmic Explorer roughly rescale with the noise floor improvements.

## I. INTRODUCTION

We are witnessing the era of ground-based gravitational wave (GW) detectors. Since 2015, the rate of confirmed events, the sensitivity and accuracy of the GW interferometers, and the detector network, have been improved to levels that might open the door to new and complex Galactic sources of GW such as Core Collapse Supernovae (CCSNe) (for a review, see [1, 2]). A detection of GW's from CCSNe could be one of the main scientific achievements for the Advanced LIGO [3], VIRGO [4], and KAGRA [5] detectors (LVK).

CCSNe designate the final life stage for a massive star ( $M_{\odot} > 8$ ), a highly energetic process of stellar explosion recorded and observed since ancient times. (For a review, see [6–11].) The explosion process begins once the star's iron core mass exceeds its Chandrasekhar limit and collapses on itself. After core collapse, a compact, dense (above nuclear matter density  $1 - 2 \times 10^{14} g/cm^3$ ) star is created, a Proto-Neutron Star (PNS), whose physical properties are inherited from the progenitor

star. Several processes involving different regions of the PNS are associated with the generation of high-frequency (above 100 Hz) GW: convective instabilities, convective overshoot, and accretion onto the PNS (e.g., see [12–14]).

A central problem in transient GW astronomy is to reconstruct the physical parameters associated with the source of the gravitational radiation when the signal is detected in laser interferometric data. This problem involves two parts: The identification of the relevant deterministic parameters, and the best procedure to estimate them. The GW from CCSN numerical simulations manifest as strongly stochastic signals [12–51]; nevertheless, some features can be classified as deterministic. A feature that emerges from all CCSN numerical simulations is commonly referred to as the “*g-mode*” [13, 14, 16, 20, 24, 25, 27–29, 31, 33–35, 40, 44–46, 49, 50, 52–54].

This feature is recognizable in a time-frequency spectrogram as a continuous, strictly increasing, and to a first order approximation linear feature, in the sense that, the cWB reconstructed events in current interferometric are mostly reliable for frequencies below 1000 Hz. Starting at around 100 Hz and increasing up to  $\sim 1 - 2$  KHz with time after bounce. Here, instead, we

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<sup>a</sup> E-mail: [alejandroc.lagos@alumnos.udg.mx](mailto:alejandroc.lagos@alumnos.udg.mx)

<sup>b</sup> E-mail: [claudia.moreno@academico.udg.mx](mailto:claudia.moreno@academico.udg.mx)

refer to this feature simply as the high-frequency feature, or HFF. It has been shown that the modal classification of the HFF is more complex than previously thought and can include both  $f$  and  $g$  modes [27] or possibly multiple  $g$  modes (e.g., surface and interior) [11]. The rate of increase of the HFF is a deterministic feature present in several studies focused on physical properties of the progenitor [7, 27, 28, 50, 53, 55, 56], parameter estimation [16, 31, 52, 57, 58], and data analysis strategies [59–63]. The first attempt to estimate the slope of the HFF with real interferometric noise was performed in [63], applying a chi-squared method to a low-order polynomial evolution of the resonant frequency. The authors applied the procedures on CCSN events identified by cWB, the flagship algorithm for the detection of GW bursts. Other studies [39, 53, 64], proposed an approach involving normal mode decomposition, along with a polynomial interpolation and simulated Gaussian noise, to infer the time evolution of a combination of the mass and radius of the compact remnant.

In this work we use an optimized neural network approach for the estimation of the slope of the HFF from CCSN events detected by cWB. The results of the estimation associated with the evolution of the HFF resonant frequency with time are produced in real interferometric LIGO noise, equatorial orientation, and different Galactic distances across 1.0 kpc, 2.3 kpc, 3.1 kpc, 4.3 kpc, 5.4 kpc, 7.3 kpc and 1.0 kpc (see tables V and VI). This aims to quantify the capability to use the slope of the HFF in different studies where numerical determination of the slope constitutes the component of a framework for parameter estimation that can be used once the GW from a CCSN are detected by the LIGO, Virgo, and KAGRA detectors.

In the rest of the text we focus our attention on the estimation of the evolution of the HFF resonant frequency with time. In order to estimate the HFF slope, we develop a deep neural network (DNN) model for regression, consisting in a set of machine learning algorithms that encompass diverse computational and statistical methods acting simultaneously to extract information, and to infer properties from a large variety of datasets using hierarchical structures to recognize prominent features from input data. To identify the detected events, we use Coherent WaveBurst (cWB) [65–68], a powerful computational pipeline designed to detect and reconstruct GW bursts with minimal assumptions about the morphology of the signal. We perform cWB event production on two different kinds of GW signals: The first is used to train our algorithm, providing estimated slopes associated with spectrograms in absence of noise, that reveal a linear growth of the HFF. These signals will be designated as synthetic waveforms. Once the algorithm is trained from the synthetic waveforms [52], a set of gravitational waveforms from CCSN simulations [12, 13, 15, 16, 24, 27, 31] are included as testing data.

Figure 1 illustrates the different steps proposed in this manuscript. We quantify the accuracy of the deep learning regression model, to estimate the slope of the HFF, using the standard deviation. Graphically (see Figures 10 and 11) a band around the mean value of the estimated slope, denoted  $\hat{s}$ , in the spectrograms of the CCSN GW signals illustrates the possible outcomes of the estimation process, controlled by the standard deviation, induced by the NN model. We compute this quantity for different DNN architectures (see Table II).

The manuscript is organized as follows. Section II describes the stages for the construction of the training and testing data sets, the cWB event production analyses performed to obtain the signal detections and likelihood maps for the CCSN signals selected, the corresponding processing for the cWB outcomes, and the topology of the neural network model and the hyper-parameters that determine the performance of the neural network. In section III we present the results of the implementation and the accuracy of the model. Finally, in section IV we present the conclusions and future directions for this work.

## II. METHODOLOGY

In this section we describe the methodology carried out to estimate the slope of the HFF fundamental resonant frequency evolution associated with CCSN GW events detected with cWB. The methodology uses (A) GW from CCSN signals, (B) the cWB algorithm to obtain likelihood time-frequency maps for detected events, (C) processing of the likelihood time-frequency maps to construct an image, and (D) the DNN model used to estimate the HFF slope value. Figure 2 illustrates the variability of the HFF slope in the synthetic, and in the GW from CCSN signals implemented in this study.

### A. GW from CCSN signals

#### 1. Synthetic signals

We created stochastic signals with increasing frequency over time, observable in their spectrograms, thus emulating CCSN GW signals containing the HFF feature. The synthetic signals are to be used in cWB event production analyses to obtain a training data set of likelihood time-frequency maps with estimated values of the HFF slope. These signals were created based on the damped harmonic oscillator with an external stochastic driving force; i.e., a second order, non-homogeneous differential equation, as proposed in recent work (see Equation (1) in [52]). The solution to such differential equations is performed numerically, and the choice of several parameters such as the duration, and initial and final frequencies (which encode the HFF) can be modified easily to

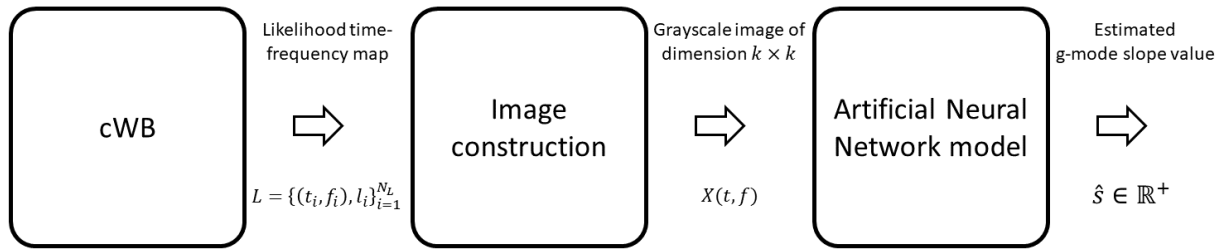


FIG. 1. Graphical description of the follow-up deep learning method proposed to estimate physical parameters of GW from CCSN events detected with cWB – specifically, the slope of the HFF oscillatory feature. The cWB pipeline detects a GW event and provides reconstructed signal information, such as the likelihood time-frequency map  $L$ . This time-frequency information is processed to construct a gray-scale image  $X(t, f)$ , which in turn is used as input for a deep learning regression model that estimates the value of the HFF slope,  $\hat{s}$ .

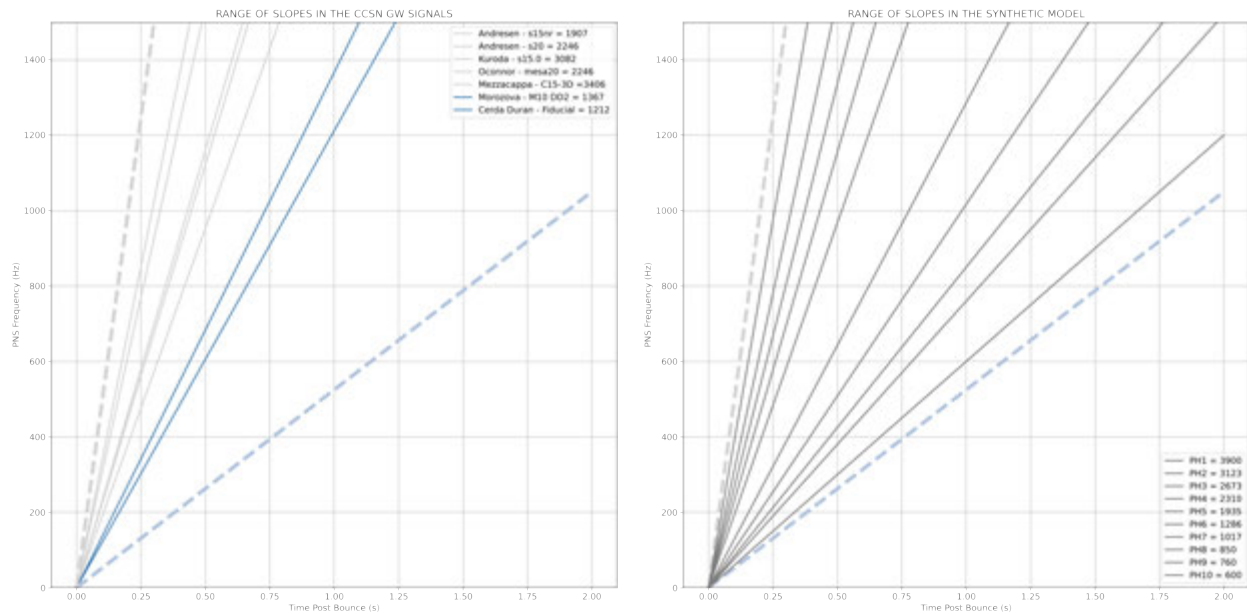


FIG. 2. Range of HFF slopes associated with (i) CCSN GW signals included in this study [see Section II A 2] and (ii) 10 different synthetic signals [see Section II A 1].

obtain different solution signals. Even though these synthetic signals do not carry any physical information, they are highly beneficial because it is straightforward to vary their associated parameters and because the computational cost to generate them is very low. Therefore, we can obtain signals that resemble GW from CCSN, with the HFF feature, and for each signal we directly have the value of the HFF slope,  $s$ , which is simply computed as the difference between the higher and lower frequency divided by its duration. We generated 100 different synthetic CCSN GW signals with HFF slopes ranging from 500 to 5000 Hz/s and duration ranging between 0.1 s to 1.6 s. these values cover the range of durations found in the CCSN GW signals implemented in this study, which will be described in the next subsection. Figure 3 shows a sample of three synthetic CCSN GW signals included in this study, with HFF slope values of 626, 2319, and

4765 Hz/s.

## 2. Numerical simulation signals

We also used CCSN GW signals from 2D and 3D numerical simulations, all of which contain the HFF feature. This set of CCSN GW signals is used in our cWB event production analyses as a test data set, with its estimated values of the HFF slope in the absence of noise, denoted  $s$ , computed in the following way: (i) Using the spectrogram of a CCSN GW signal, we select, for each time, the more intense pixels in its time-frequency evolution. (ii) Using the pixels described in (i), we apply a simple linear regression in the frequency range where the HFF is present. The linear regression provides the value of the slope in the absence of noise,  $s$ , along with the corre-

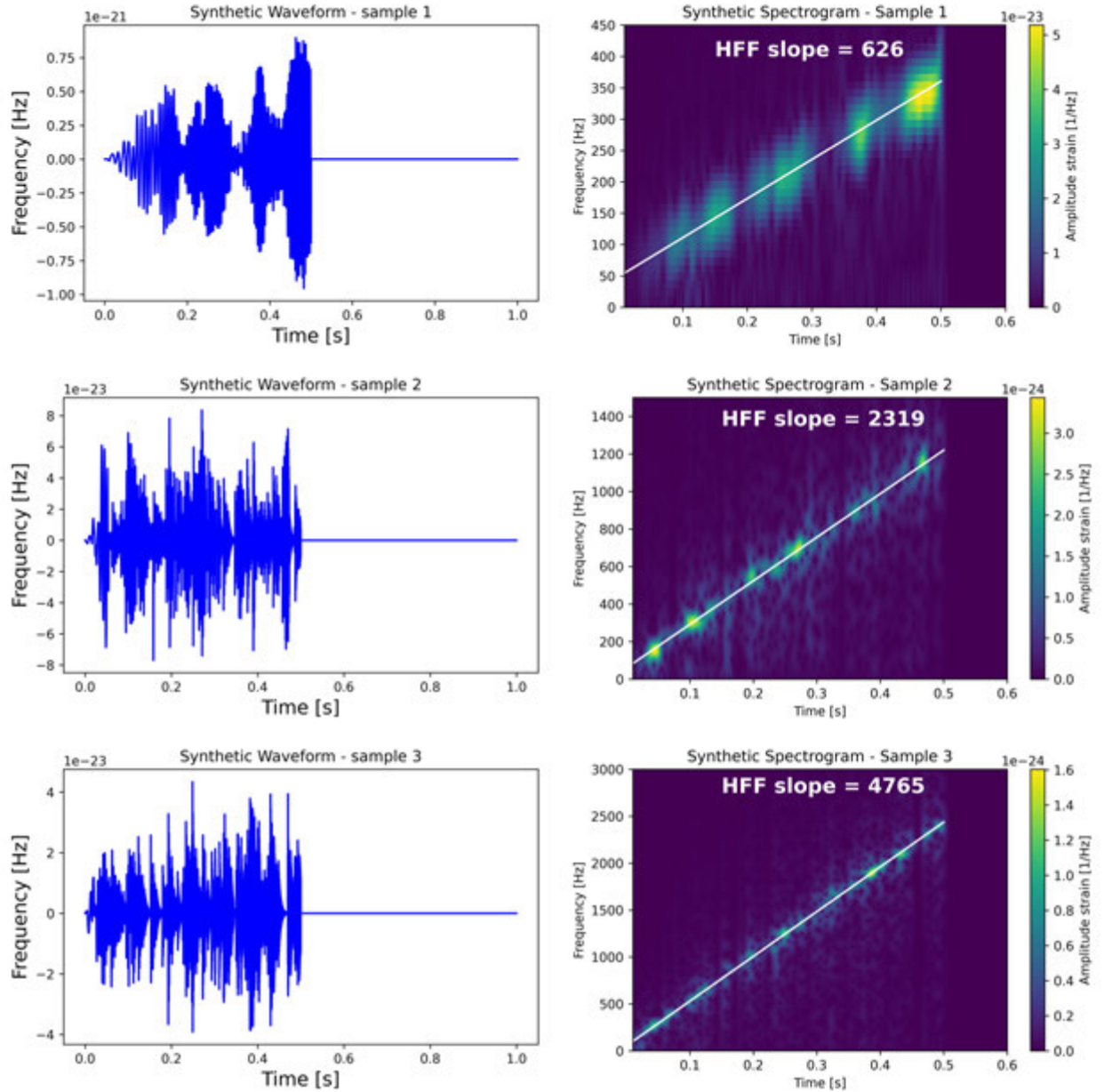


FIG. 3. Examples of three synthetic CCSN GW signals with HFF slopes of 626, 2319, and 4765 Hz/s. The amplitude and SNR of the synthetic waveforms range between  $1 \times 10^{-21}$  and  $1 \times 10^{-23}$  and between  $6 < SNR < 30$ , respectively. Left panels show the strain signals, while the right panels shows their spectrograms. The solid white lines in the spectrograms trace the rising frequency over time.

sponding intercept in time. In this way, as a result of the cWB event production, every likelihood time-frequency map encodes the slope of the HFF. Specifically, we selected the following set of GW from CCSN signals computed from different progenitors and degree of rotation:

- Model *s20* from Andresen et al. 2017 [69]. The GW signal is extracted from a 3D approximately general relativistic radiation (neutrino) hydrodynamics simulation with a  $20 M_{\odot}$  non-rotating progenitor.
- Model *s15.nr* from Andresen et al. 2019 [12]. The GW signals are extracted from three different models based on 3D approximately general relativistic radiation (neutrino) hydrodynamics simulations with a single progenitor with a zero-age main-sequence mass (ZAMS) of  $15 M_{\odot}$ , solar metallicity, and with different rotation rates  $0 \text{ rad/s}$ ,  $0.2 \text{ rad/s}$ , and  $0.5 \text{ rad/s}$
- Model *Fiducial* from Cerdá-Durán et al. 2013 [52]. This is a GW signal generated from a 2D general



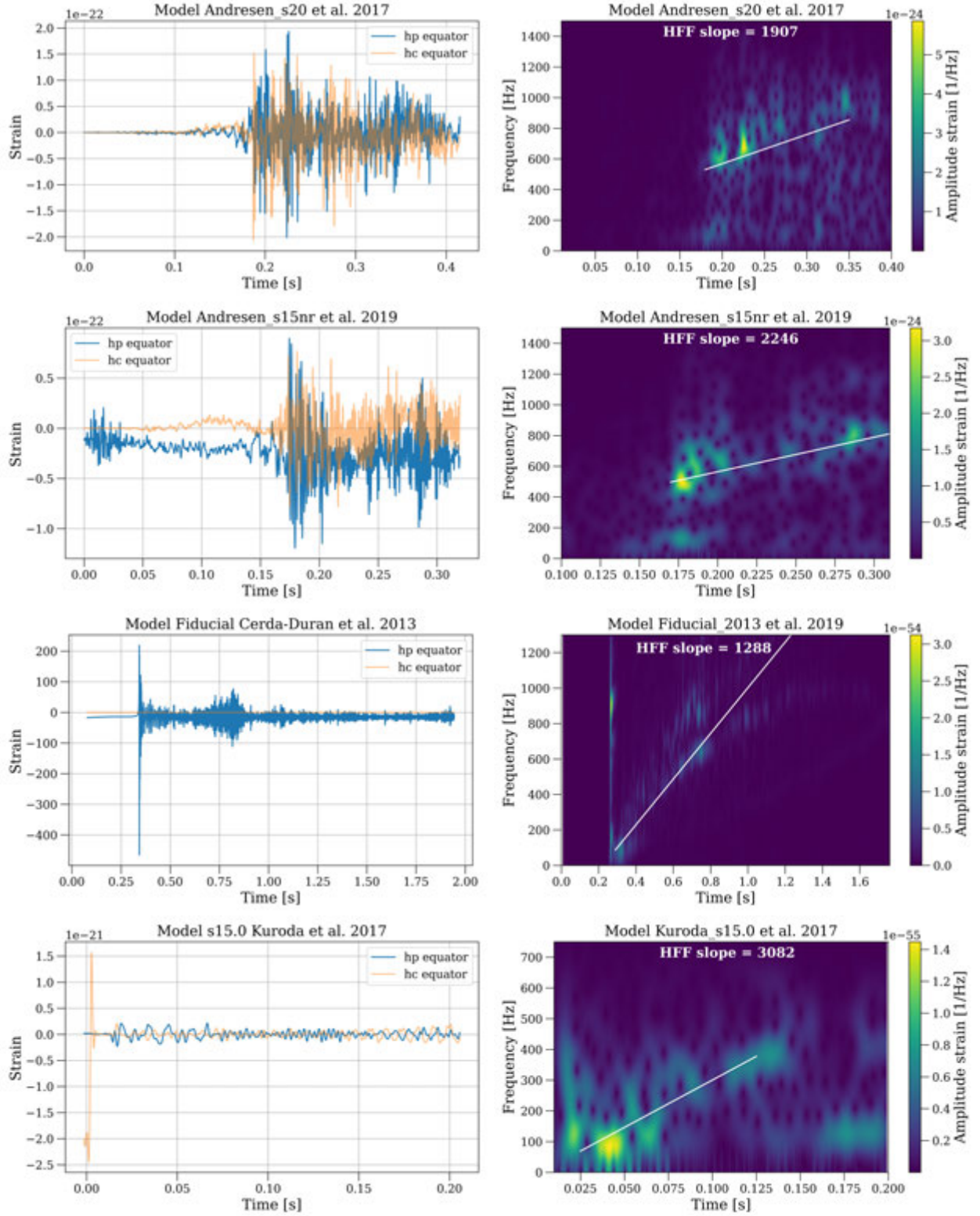


FIG. 4. Strain signals (left panels) and time-frequency evolution spectrograms (right panels) for CCSN GW models: Model *s20* from Andresen et al. 2017, Model *s15.nr* from Andresen et al. 2019, Model *Fiducial* from Cerda-Durán et al. 2013, Model *s15.0* from Kuroda et al. 2017, Model *C15-3D* from Mezzacappa et al. 2020, Model *M10-DD2* from Morozova et al. 2018, and Model *mesa20* from O’Connor and Couch 2018. The solid white lines in the spectrograms trace the HFF.

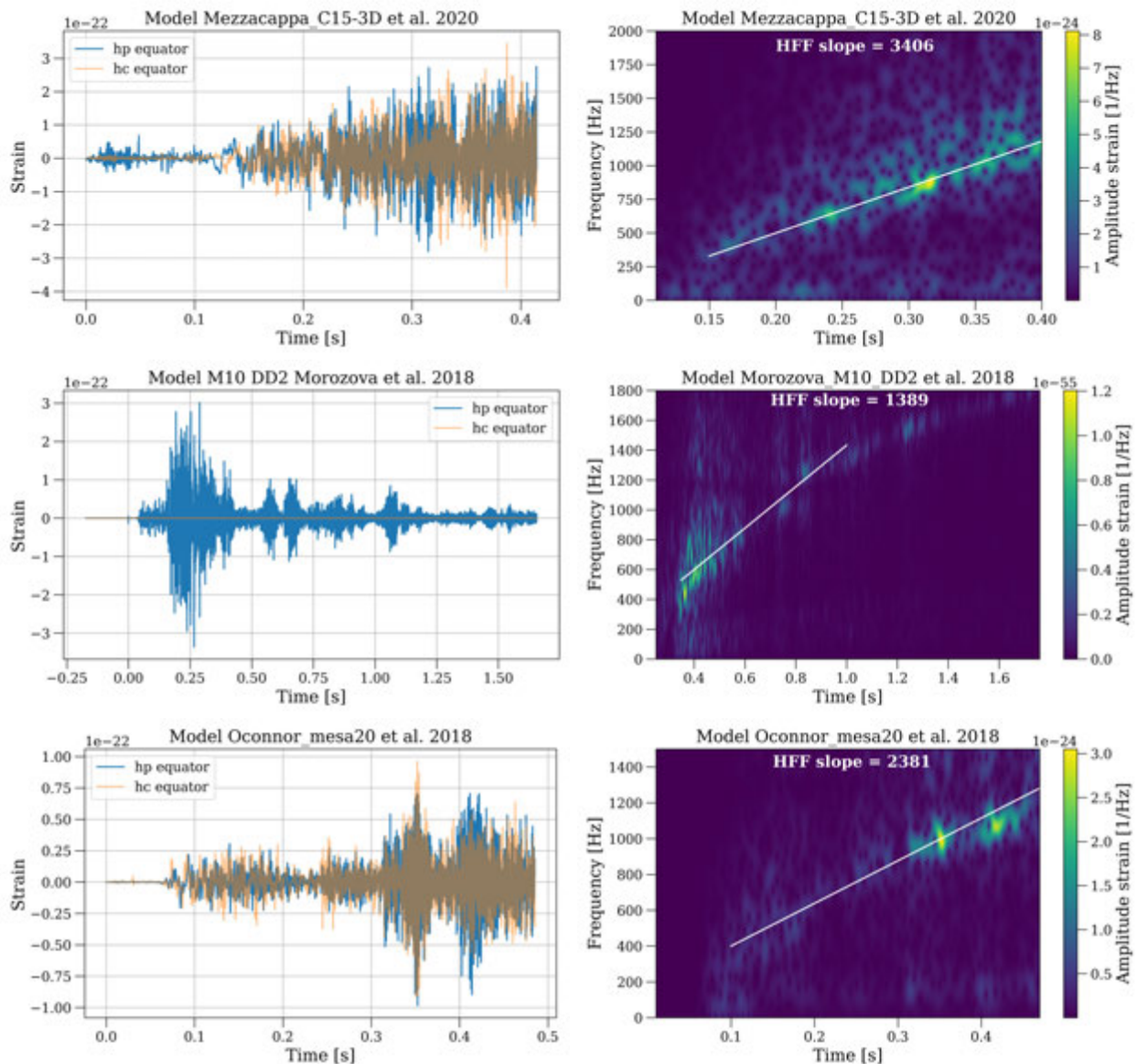


FIG. 5. Strain signals (left panels) and time-frequency evolution spectrograms (right panels) for CCSN GW models: Model *s20* from Andresen et al. 2017, Model *s15.nr* from Andresen et al. 2019, Model *Fiducial* from Cerdá-Durán et al. 2013, Model *s15.0* from Kuroda et al. 2017, Model *C15-3D* from Mezzacappa et al. 2020, Model *M10-DD2* from Morozova et al. 2018, and Model *mesa20* from O’Connor and Couch 2018. The solid white lines in the spectrograms trace the HFF.

relativistic hydrodynamics simulation associated with a low-metallicity, rapidly-rotating progenitor, with a zero-age main-sequence mass of  $35M_{\odot}$  whose initial central angular velocity is  $2 \text{ rad/s}$ .

- Model *s15.0* from Kuroda et al. 2017 [24]. For this signal, the GW emission is obtained from a 3D general relativistic radiation (neutrino) hydrodynamics with a  $14 M_{\odot}$ , solar metallicity, non-rotating progenitor.
- Model *C15-3D* from Mezzacappa et al. 2020 [13]. For this signal the GW emission is computed for a 3D approximately general relativistic radiation

(neutrino) hydrodynamics simulation with a non-rotating  $15 M_{\odot}$  progenitor of solar metallicity.

- Model *M10-DD2* from Morozova et al. 2018 [27]. This signal was generated from a 2D approximately general relativistic radiation (neutrino) hydrodynamics CCSN simulation with a  $10 M_{\odot}$  progenitor with solar metallicity and moderate rotation:  $0.2 \text{ rad/s}$ .
- Model *mesa20* from O’Connor and Couch 2018 [31]. In this model, the GW emission is modelled from a 3D approximately general relativistic radiation (neutrino) hydrodynamics CCSN simulation

with a  $20 M_{\odot}$ , solar metallicity, non-rotating progenitor.

Note that all of these CCSN GW signals are from 3D numerical simulations except for the signals models *Fiducial* and *M10-DD2*, which correspond to 2D simulations. In addition, these signals were used in recent studies involving targeted searches [62], false detection rates [70], and sensitivity analyses of GW’s from CCSNe [61], using strain data of the LIGO, VIRGO, and KAGRA detectors. Figures 4 and 5 shows the strain signals and the spectrograms of signal models *s20*, *s15.nr*, *Fiducial*, *s15.0*, *C15-3D*, *M10-DD2*, and *mesa20*. Note how the spectrograms manifest the HFF feature.

## B. cWB event production

Coherent WaveBurst (cWB) is a standard method for detecting and reconstructing GW embedded in strain data recorded with the LIGO, VIRGO, and KAGRA detectors. The method uses minimal assumptions about the signal morphology [65–68], which has been a successful methodology in the search of “unmodelled” GW’s, including those from CCSNe, although GW’s from CCSNe are by now far from “unmodelled.” The cWB algorithm (1) searches for coincident signal power across detectors by projecting the multi-detector data onto the wavelet (i.e., time-frequency) domain using the Wilson-Daubechiers-Meyer transform [71], (2) identifies a collection of coherent time-frequency components with amplitudes above noise levels, and (3) clusters them to obtain a likelihood time-frequency map  $L = \{(t_i, f_i), l_i\}_{i=1}^{N_L}$ , where  $l_i$  is the likelihood point value at time  $t_i$  and frequency  $f_i$ , and  $N_L$  is the number of time-frequency points. Figure 6 shows the likelihood time-frequency map  $L$  for a detected event from a synthetic GW signal. We use in this study the likelihood time-frequency map  $L$  to estimate the HFF slope value because it contains the significant time-frequency information that is used to reconstruct the detected GW signal. cWB event production analyses were performed using LIGO data from the second half of the third observing run (O3b) with a two-detector network (L1 and H1). The aim was to obtain distributions of likelihood time-frequency maps of detected GW from CCSNe, to train and to test the deep learning algorithm that estimates the value of the HFF slope. The cWB event production was developed at standard configuration [68]. Known GW from CCSN signals were injected every 50 s, at seven different Galactic distances 1.0 kpc, 2.3 kpc, 3.1 kpc, 4.3 kpc, 5.4 kpc, 7.3 kpc, and 10 kpc, with equatorial orientation, into the detector strain data on the second half of the third observing run O3b. Along this paper we use the cWB detections, and we performed the HFF slope estimation without going into the details of how the significance is assigned. The amount of detections vary depending on the injected signals, we obtained 12.221 detections for the synthetic waveforms, and 5134

detections for the GW from CCSN signals. Some relevant parameters involved in the configuration file, that controls the operation of cWB for the event production are: The optimal probability for black pixel selection, ( $bpp$ ), that was set at  $bpp = 0.05$ , and the subnetwork threshold, ( $subnet$ ),  $subnet = 0.5$ . Along with this parameters the production thresholds,  $netRHO$  and  $netCC$ , was fixed at  $netrho = 4.0$  and  $netCC = 0.4$ . The lowest and highest frequencies were adjusted at  $fLow = 100$  and  $fHigh = 750$  respectively. Then, the search for GW is carried out, and for each detected event, the likelihood time-frequency map  $L$  is computed, along with some reconstructed signal attributes. All cWB event production analyses were performed in two separate stretches of strain data. The first, comprising 1 day of coincident data, was used to obtain the training data, while the second stretch of data, comprising 8 days of coincident data, was used to obtain the testing data. In addition, our cWB analyses were performed separately with the synthetic and with the CCSN GW signals containing the characteristic HFF feature, as was described below. The set of synthetic signals constructed as part of this study (see subsection II A 1) was used in the first stretch of strain data, to obtain the training data set,  $\mathcal{D}_{train} = \{L_j, s_j\}_{j=1}^{N_{train}}$ , where  $L_j$  and  $s_j$  are the likelihood time-frequency map and the HFF slope value of the  $j$ -th detected event, respectively, and  $N_{train}$  is the number of training instances. Furthermore, the set of GW from CCSN signals (see subsection II A 2) was used in the second stretch of strain data, to obtain the test data set of likelihood time-frequency maps,  $\mathcal{D}_{test} = \{L_j, s_j\}_{j=1}^{N_{test}}$ , where  $N_{test}$  is the number of test instances. It is important to remark at this point that training and test data sets are mutually exclusive, which is a necessary condition to assess the robustness of the machine learning algorithm used to perform the estimation of the HFF slope with unknown GW from CCSN signals.

## C. Image construction

The likelihood time-frequency map,  $L = \{(t_i, f_i), l_i\}_{i=1}^{N_L}$ , varies across detected events in the number of points, the frequency range, and the time range. Therefore, it is necessary to produce a data representation with standard dimensions so that it can be used as input to the machine learning model that estimate the slope of the HFF. Hence, the goal is to define a function  $f(\cdot)$  that maps  $L$  into a two-dimensional data matrix  $X(t, f)$ ; that is,  $f: L \rightarrow X(t, f)$ , where the width ( $t$ -dimension) and height ( $f$ -dimension) are the same for any detected GW. Given  $L = \{(t_i, f_i), l_i\}_{i=1}^{N_L}$ , the image construction is carried out through the following procedure. First, we select the time-frequency point that has the maximum likelihood value,  $\{t_m, f_m\}$ . Then, we select a region around  $t_m$  in the interval  $[t_m - \delta_t, t_m + \delta_t]$  (width in the  $t$ -dimension of  $2 \cdot \delta_t$ ), and around  $f_m$  in the interval  $[50 \text{ Hz}, 750 \text{ Hz}]$ , where the cWB reconstructed signal is more accurate.



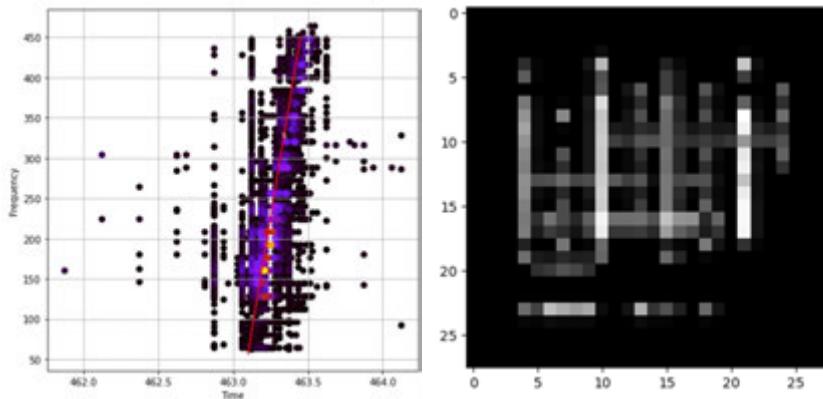


FIG. 6. Likelihood time-frequency map,  $L$ , of a detected event from a synthetic signal and its corresponding two-dimensional data matrix  $X(t, f)$  of dimension  $k \times k$  with  $k = 28$ .

The length of  $\delta_t$  is fixed at 0.3 s such that the time interval is large enough to contain the primary evolution of the early high-frequency HFF present in the GW from the CCSN models considered in this study [see section II A 2]. This region is then transformed into a high-resolution, gray-scale image where the intensity is given by the likelihood value of the corresponding time-frequency points, while pixels with no corresponding time-frequency points are set to zero. Finally, this gray-scale image is downsized to dimension  $N_r \times N_c$  to obtain the final two-dimensional data matrix or image  $X(t, f)$ , where  $N_r$  and  $N_c$  represent the number of rows and columns, respectively. Equivalently, the data matrix or image  $X(t, f)$  can be flattened to construct the  $N$ -dimensional column vector  $\mathbf{x} \in \mathbb{R}^N$ , where  $N = N_r \cdot N_c$ . Figure 6 shows the two-dimensional data matrix  $X(t, f)$ , with dimension  $N_r = N_c = 28$ , for a likelihood time-frequency map,  $L$ , of a detected event given a synthetic signal.

#### D. Deep Neural Network model for regression

To estimate the HFF slope of CCSN GW events detected with cWB, we use a deep learning regression model. The input to this model is the column vector representation  $\mathbf{x} \in \mathbb{R}^N$  of the processed likelihood time-frequency map,  $X(t, f)$ , while the output is the estimated HFF slope value  $\hat{s}$ . The HFF slope values estimated in this work are continuous, real, and positive (i.e.,  $s \in \mathbb{R}^+$ ), ranging from 500 Hz/s to 5000 Hz/s. Hence, there are several regression methods that can be used to address this task; for instance, linear and polynomial models, decision trees, and artificial neural networks [58–61, 72–75]. For this study, we selected a fully-connected deep neural network (DNN) regression model because it can learn both linear and nonlinear relationships between the input and output data, it is more appropriate for handling large-dimensional input data, and it offers high performance at a low computational cost. DNN are machine learning models inspired by biological neural network models of

the brain, consisting of many interconnected processing units known as neurons, which vaguely mimic biological neurons [59, 73, 76]. The structure of a DNN comprises an input layer, one or more hidden layers, and an output layer, thus resembling a brain neural network [58–60]. The input layer consists of nodes that receive the input data and pass them directly into the first hidden layer for further processing, whereas hidden and output layers consist of many neurons [59, 76] interconnected by weighted synaptic links. In a DNN, the information flows from the input towards the output while being processed in the layers, through the following function:

$$f : \mathbb{R}^m \mapsto \mathbb{R}^n, \quad (1)$$

where  $m$  and  $n$  denote the number of nodes or neurons of two successive layers. Therefore, the  $j$ -th neuron in a layer (hidden or output) with  $n$  neurons is connected to all of the  $m$  outputs of the preceding layer, via the weighted synaptic connections [76], in such a way that the neuron produces the output  $y_j$  as a function of linear combinations of the input information as follows:

$$y_j = g \left( \sum_{i=1}^m w_{i,j} x_i \right), \quad (2)$$

where  $w_{i,j}$  are the weights connecting all  $m$  inputs to the  $j$ -th neuron and  $g(\cdot)$  is a bounded, differentiable, real, and nonlinear function known as an activation function [73, 75]. The activation function allows the nonlinearity between the input–output relationship. In regression problems, typical activation functions are linear, sigmoid, or the rectified linear unit [76]. Note that the number of nodes of the input layer corresponds to the input variables (in our case,  $N$  inputs), the number of neurons in the output layer corresponds to the output variables (in our case, one output), whereas the number of hidden layers, the number of neurons in each layer, and the activation functions are hyper-parameters that can be freely varied to obtain different DNN models. There-

TABLE I. Architectural description of the deep neural network (DNN) regression models used to assess the estimation of the HFF slope from likelihood time-frequency maps.

	# of hidden layers	# of neurons	# of weights
M1	1	16	12,577
M2	2	32-16	25,665
M3	3	64-32-16	52,865
M4	4	128-64-32-16	111,361
M5	5	256-128-64-32-16	244,737

fore, the synaptic weights are the parameters that are fitted from a training data set. We considered five DNN models with different numbers of hidden layers and neurons. Table I presents the technical details of the DNN models, which are named M1, M2, M3, M4, and M5. In all models, the activation functions correspond to the rectified linear unit (ReLU) in the hidden layers and to the linear function in the output layer. The training of the models (i.e., the fitting of the synaptic weights) was based on the back-propagation learning algorithm using the root-mean-squared propagator (MNSprop) with the mean-squared error as a loss function, a learning rate of 0.001, a batch size of 512 samples of the training data, and 300 epochs.

### III. RESULTS

In this section we present the results of two analyses devoted to assessing the performance of the estimation of the HFF slope of CCSN GW events using DNN regression models.

#### A. Hold-out cross-validation with $\mathcal{D}_{train}$

We first assessed the effectiveness and reliability of the proposed DNN model for the estimation of the HFF slope, testing the training data set of likelihood time-frequency maps,  $\mathcal{D}_{train}$ , through a hold-out cross-validation (HOCV) procedure [76, 77], where the entire data set was randomly split into two parts for training (70%) and for testing (30%). This procedure was repeated 30 times to account for the randomness of the process and to be able to compute distributions of the performance metrics. Note that in each repetition the training and testing data are mutually exclusive. The training set is used to fit the weights of the DNN model, while the test set is used to assess the model performance [59, 60]. To assess the performance, we used the following metrics: (i) the coefficient of determination ( $r^2$ ), defined as:

$$r^2 = 1 - \frac{\sum_i (s - \hat{s}_i)^2}{\sum_i (s - \bar{s}_i)^2} \quad (3)$$

where  $(s - \hat{s}_i)^2$  denote the sum of the squares of the residuals,  $\bar{s}_i = \frac{1}{n} \sum_i s_i$  is the mean of the estimated slopes in absence of noise (for  $n$ -observations), and  $(\sum_i s - \bar{s}_i)^2$  corresponds to the total sum of squares. This metric measures for every model the linear correlation between the estimated slopes in absence of noise, ( $s$ ), present in the likelihood maps and the corresponding estimated slopes ( $\hat{s}$ ); (ii) the root-mean-square error (RMSE) defined as:

$$RMSE = \sqrt{\frac{\sum_i (\hat{s}_i - s_i)^2}{n}} \quad (4)$$

This metric is computed, like the coefficient of determination, using the residuals, ( $s - \hat{s}$ ). Its computation serves to discriminate how far from the mean the estimated slopes are, and finally (iii) the mean-absolute-percentage error, (MAPE), defined as:

$$MAPE = \frac{100\%}{n} \sum_{i=1}^n \frac{|s - \hat{s}|}{s} \quad (5)$$

is a performance metric that measures the accuracy of our estimations evaluating the residuals, ( $s - \hat{s}$ ), divided by ( $s$ ) and summed over every estimated value on a certain number, ( $n$ ), of observations. These performance metrics provide support to evaluate the accuracy of each model from different perspectives and clarify the outputs obtained. Table II shows the average values of the performance metrics achieved with the five DNN models.

According to the scores presented in the table, we conclude that model three (M3) (see Table I) exhibits the best performance in estimating the slope of the HFF, among the five different DNN architectures (M1 to M5), because (1) it has the higher linear correlation (0.76) expressed through the  $r^2$  coefficient and (2) the lowest residuals (594.64) and percentage error (21%) reported by the RMSE and MAPE, respectively. Figure 7 illustrates the performance metrics for each model. Model 3 (in green) shows a lower dispersion with respect to the mean of the estimated slopes for the HFF, and lower residuals compared with those associated with the remaining models: M1 (blue), M2 (orange), M4 (red) and M5 (purple). To give a more individual characterization of the different DNN models, Figure 8 illustrates how distinct architectures estimate a single slope contained in the training data set. This figure clarifies the fact that estimation performed by model M3 produces the best fit compared with the other DNN architectures.

#### B. Estimation of the HFF slope of 3D CCSN GW signals

After the design [Section II], construction [Section II C], and successive sanity checks performed on the training

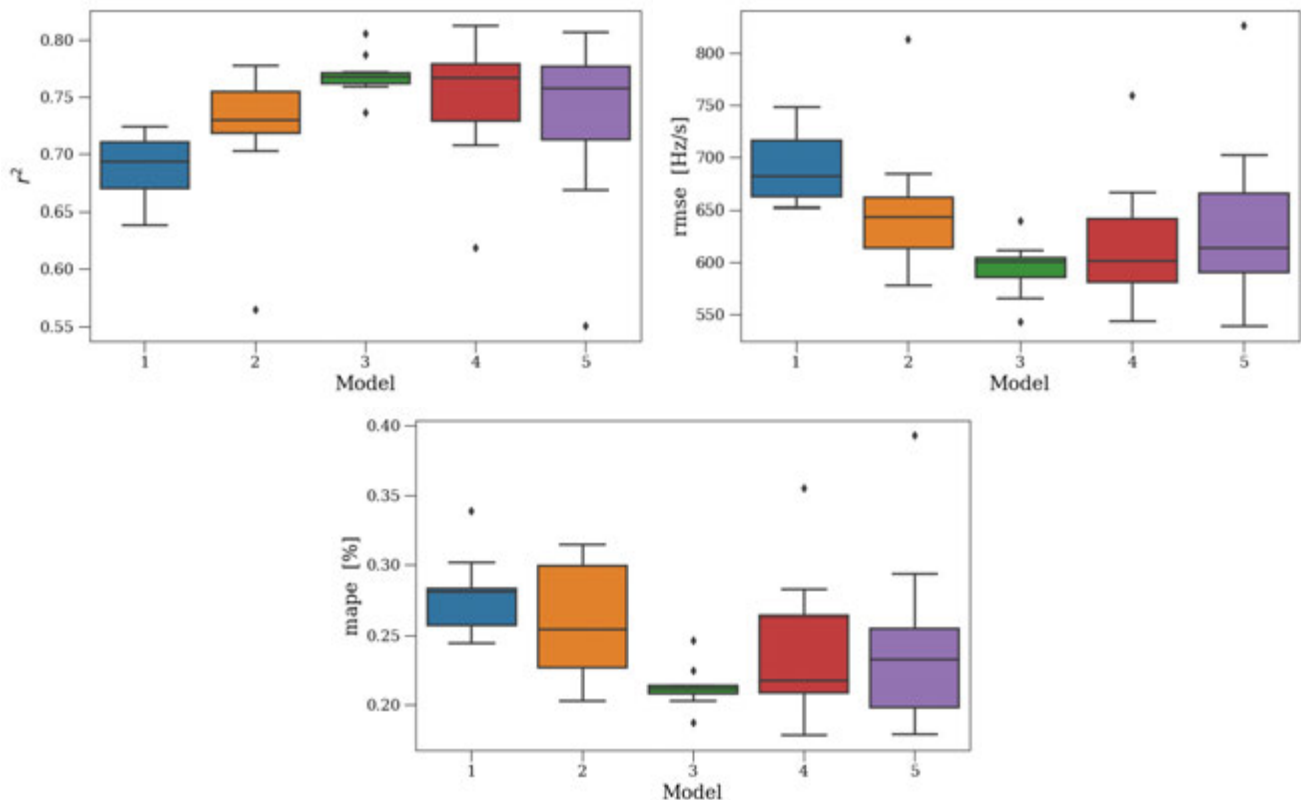


FIG. 7. Distribution of the performance metrics,  $r^2$ , RMSE, and MAPE, achieved with the five DNN regression models in the hold-out cross-validation procedure with the data set  $\mathcal{D}_{train}$ . The horizontal central lines in the box plots denote the median of the estimated HFF slopes.

TABLE II. Summary (mean  $\pm$  standard deviation) of the performance metrics,  $r^2$ , RMSE, and MAPE, achieved with the DNN regression models in the hold-out cross-validation procedure with the data set  $\mathcal{D}_{train}$ .

Metric	M1	M2	M3	M4	M5
$r^2$	$0.68 \pm 0.02$	$0.72 \pm 0.05$	<b><math>0.76 \pm 0.01</math></b>	$0.74 \pm 0.05$	$0.73 \pm 0.07$
RMSE [Hz/s]	$690.57 \pm 32.86$	$651.27 \pm 65.08$	<b><math>594.64 \pm 26.31</math></b>	$616.79 \pm 62.03$	$636.85 \pm 81.94$
MAPE	$0.27 \pm 0.02$	$0.25 \pm 0.04$	<b><math>0.21 \pm 0.01</math></b>	$0.23 \pm 0.05$	$0.24 \pm 0.06$

data set,  $\mathcal{D}_{train}$  [Section III A], we use the M3-DNN architecture [see Table I], along with the processed likelihood maps for the GW from CCSN signals, as a test data set,  $\mathcal{D}_{test}$ , in order to perform the estimation of the HFF slope.  $\mathcal{D}_{test}$  is only composed of processed likelihood maps obtained from CCSN signals [see II A 2] that were not considered in the training process; therefore, the estimation of the HFF slope is carried out on  $\mathcal{D}_{test}$ , an unknown set of signals for the DNN architecture. Table II contains the performance metrics results for every model described in IID on the training data set  $\mathcal{D}_{train}$ ; moreover, Table III shows the results of the implementation for the DNN architecture [see Table I] on the different GW from CCSN signals included in this study [see Section II]. These results reflect a mean-absolute-percentage error, (MAPE), in the estimation of the HFF slope for this sample of 13%, which includes GW from

CCSN signals for different progenitor (ZAMS) masses, metallicities, and rotation rates. In Figures 10 and 11, we show (left column) the histograms that describe the distribution of estimated slopes (in blue) for each CCSN GW signal included in  $\mathcal{D}_{test}$ . The vertical, black, dashed lines stand for the values of the HFF slope in the absence of noise, while the red, dashed lines define the estimated HFF slope means, denoted  $\hat{s}$ . In the right column, the spectrogram of each CCSN GW signal illustrates the estimation error. The solid white lines with circles indicate the values of the slopes in the absence of noise, for each model. Solid red lines with stars indicate the means of the estimated slopes,  $\hat{s}$ , obtained from the M3-DNN architecture. The dashed, gray lines denote the estimation errors according to the STDs reported in Table III. An estimation of the GW temporal evolution of the HFF fundamental resonant frequency for a core col-

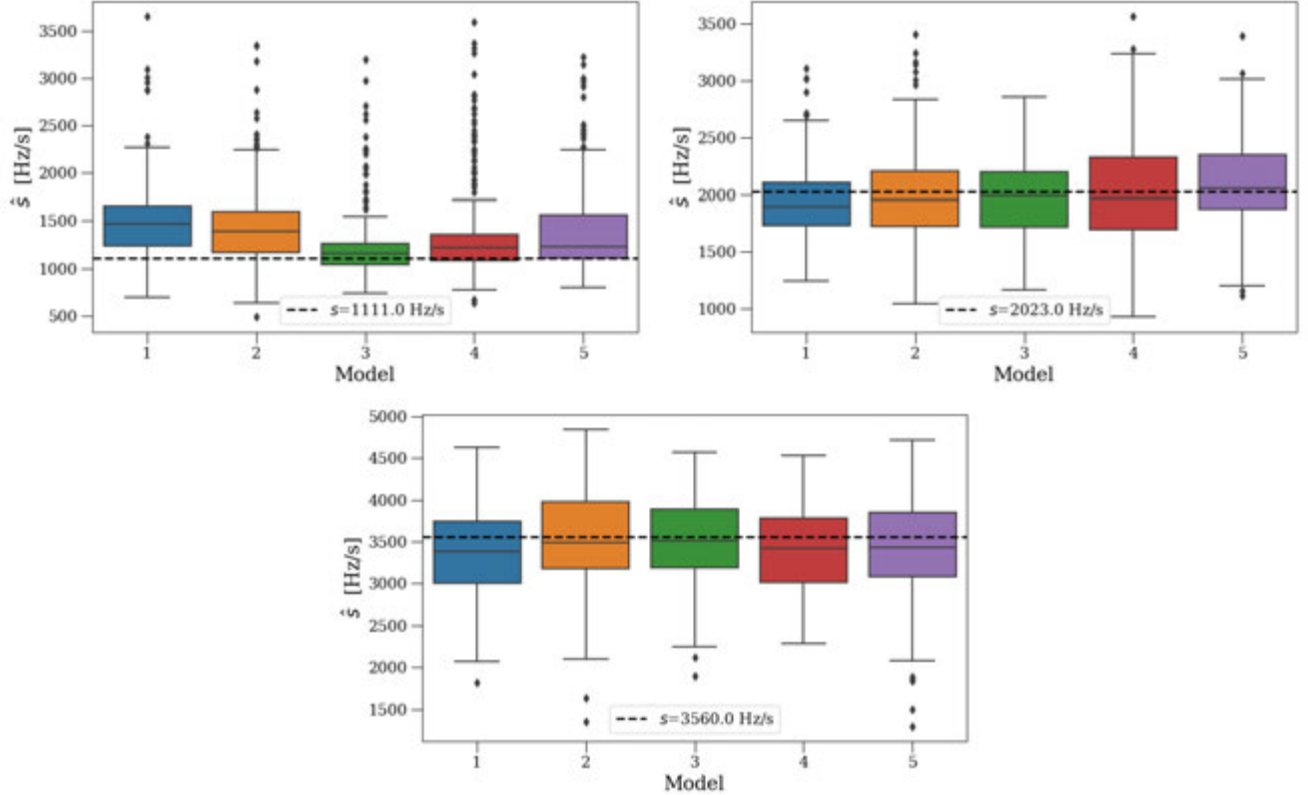


FIG. 8. Distribution of estimated values  $\hat{s}$  achieved with the five DNN models in the hold-out cross-validation procedure with the data set  $\mathcal{D}_{train}$  for the specific cases of real values of  $s = 1111.0$  Hz/s,  $s = 2023.0$  Hz/s, and  $s = 3560.0$  Hz/s.

TABLE III. HFF slope estimation results. Each column contains the values associated with the slope,  $s$ , estimated slope,  $\hat{s}$ , plus its corresponding standard deviation, STD, its RMSE, and its MAPE. All of this is for the M3-DNN architecture.

Model	$s$ [Hz/s]	$\hat{s}$ [Hz/s] $\pm$ STD	RMSE [Hz/s]	MAPE
<i>Fiducial</i> from Cerdá-Durán et al. 2013	1288	<b>1204.78</b> $\pm$ <b>120.75</b>	142.37	0.09
<i>s15.0</i> from Kuroda et al. 2017	3082	<b>3169.36</b> $\pm$ <b>67.52</b>	108.68	0.03
<i>M10-DD2</i> from Morozova et al. 2018	1389	<b>1193.24</b> $\pm$ <b>122.76</b>	228.54	0.14
<i>mesa20</i> from O'Connor and Couch 2018	2381	<b>2525.21</b> $\pm$ <b>146.58</b>	204.95	0.06
<i>s15.nr</i> from Andresen et al. 2019	2246	<b>2263.17</b> $\pm$ <b>380.58</b>	380.59	0.13
<i>s20</i> from Andresen et al. 2019	1907	<b>2399.42</b> $\pm$ <b>759.80</b>	904.59	0.33
<i>C15-3D</i> from Mezzacappa et al. 2020	3406	<b>3358.91</b> $\pm$ <b>619.03</b>	719.98	0.20

lapse supernova was recently presented in [63], using a chi squared approach in the context of a multimessenger analysis for the identification and parameter estimation of the Standing Accretion Shock Instability (SASI) with neutrino and GW signals. Following our methodology based on the implementation of a neural network (see sections II and III B), the estimation of the slope of the HFF fundamental resonant frequency for a CCSN is improved by 85% for model *s15.0* from Kuroda et al. 2017 when compared with the value reported in the study of the SASI. This fact reveals that the implementation of a neural network model yields a substantial improvement when compared with chi-squared-based estimation. Assuming we are not aware of theoretical reasons why the

HFF should have a strong dependence on the source orientation, we estimated the robustness of our results with respect to randomizing the orientation of the source. In Figure 9 we present an example of HFF slope estimation for model *s15.0* from Kuroda et al. 2017, considering nine different random orientations. A systematic study was performed for the remaining CSSN GW signals implemented in this paper, and the impact on the slope value varies between a few percent and 10%. For a real CCSN detection, we will have a specific orientation. The source orientation variability discussed in this paragraph will, however, have to be accounted for in future efforts to extract physical information about the progenitor.



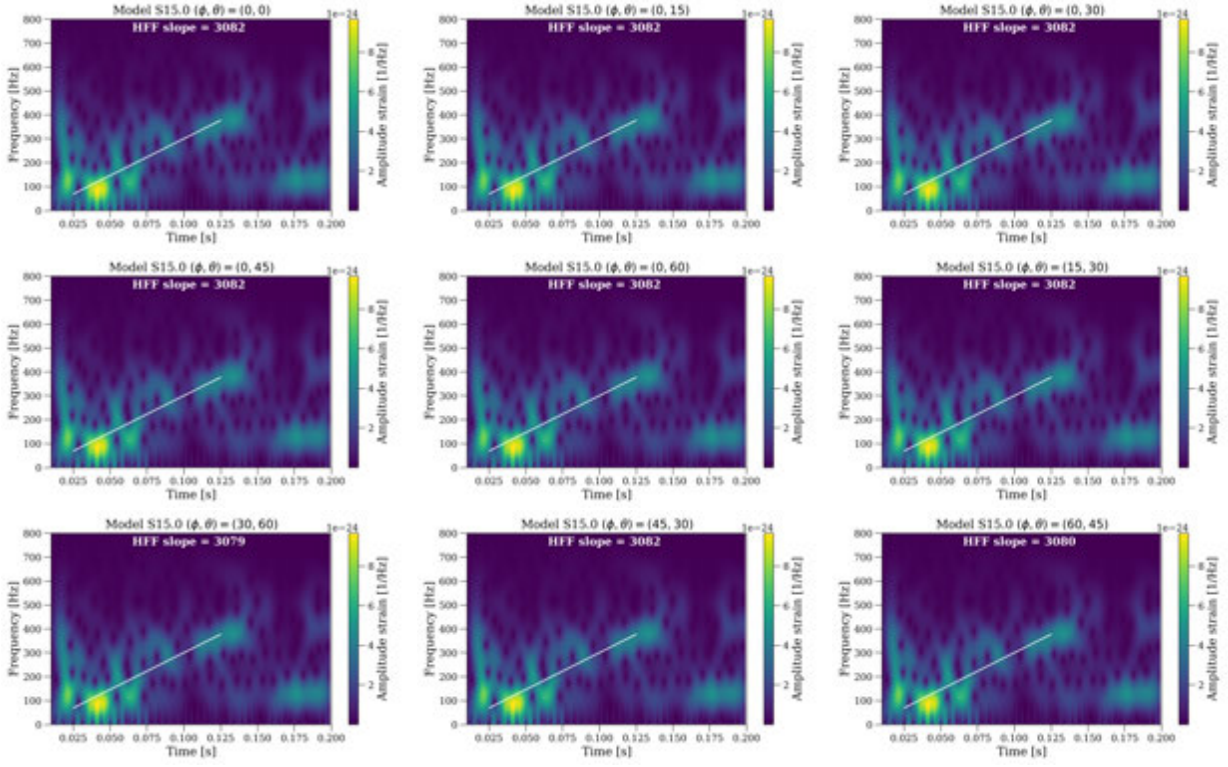


FIG. 9. Estimation of the HFF slope for model *s15.0* from Kuroda et al. 2017, across nine different random orientations.

TABLE IV. Variation of the HFF slope across progenitor mass, rotation, and metallicity, and across EOS.

Model	$s$ [Hz/s]	$\hat{s}$ [Hz/s]	EOS	Mass $M_{\odot}$	Rotation	Metallicity
<i>Fiducial</i> , Cerdá-Durán et al. 2013	1288	<b>1204.78</b>	LS220	35	2 rad/s	Low
<i>mesa20</i> , O'Connor and Couch 2018	2381	<b>2525.21</b>	SFHo	20	-	Solar
<i>s20</i> , Andresen et al. 2017	1907	<b>2399.42</b>	LS220	20	-	Solar
<i>s15.0</i> , Kuroda et al. 2017	3082	<b>3169.36</b>	SFHx	15	-	Solar
<i>C15-3D</i> , Mezzacappa et al. 2020	3406	<b>3358.91</b>	LS220	15	-	Solar
<i>s15.nr</i> , Andresen et al. 2019	2246	<b>2263.17</b>	LS220	15	0.5 rad/s	Solar
<i>M10-DD2</i> , Morozova et al. 2018	1389	<b>1193.24</b>	LS220	10	0.2 rad/s	Solar

#### IV. SUMMARY

We incorporate a set of synthetic CCSN GW signals (see Section II) to train a DNN model (Section III A) to estimate the slope associated with the gravitational wave temporal evolution of the HFF present in CCSN GW signals (Section II A 2). We quantified the accuracy of distinct DNN architectures using three different performance metrics to evaluate the accuracy of every model under different topologies, as presented in Table II, searching for the more accurate configuration to achieve estimation of the HFF slope. Our analysis and implementation of such DNN architectures indicate we can estimate the slope of the HFF in real interferometric data for different Galactic sources and CCSN GW idiosyncrasies (Section II A 2). Tables V and VI show the HFF slope estimation with its corresponding

Standard Deviation, (STD), for seven different Galactic sources at 1.0 *kpc*, 2.3 *kpc*, 3.1 *kpc*, 4.3 *kpc*, 5.4 *kpc*, 7.3 *kpc*, and 10 *kpc*. As we might expect, the number of triggers reduces with distance. As shown in Tables V and VI, the HFF slope estimation presents a higher STD. The results obtained using our methodology reflect that, this implementation could be applied to develop parameter estimation in upcoming LVK scientific runs. We leave this aspect for future publications.

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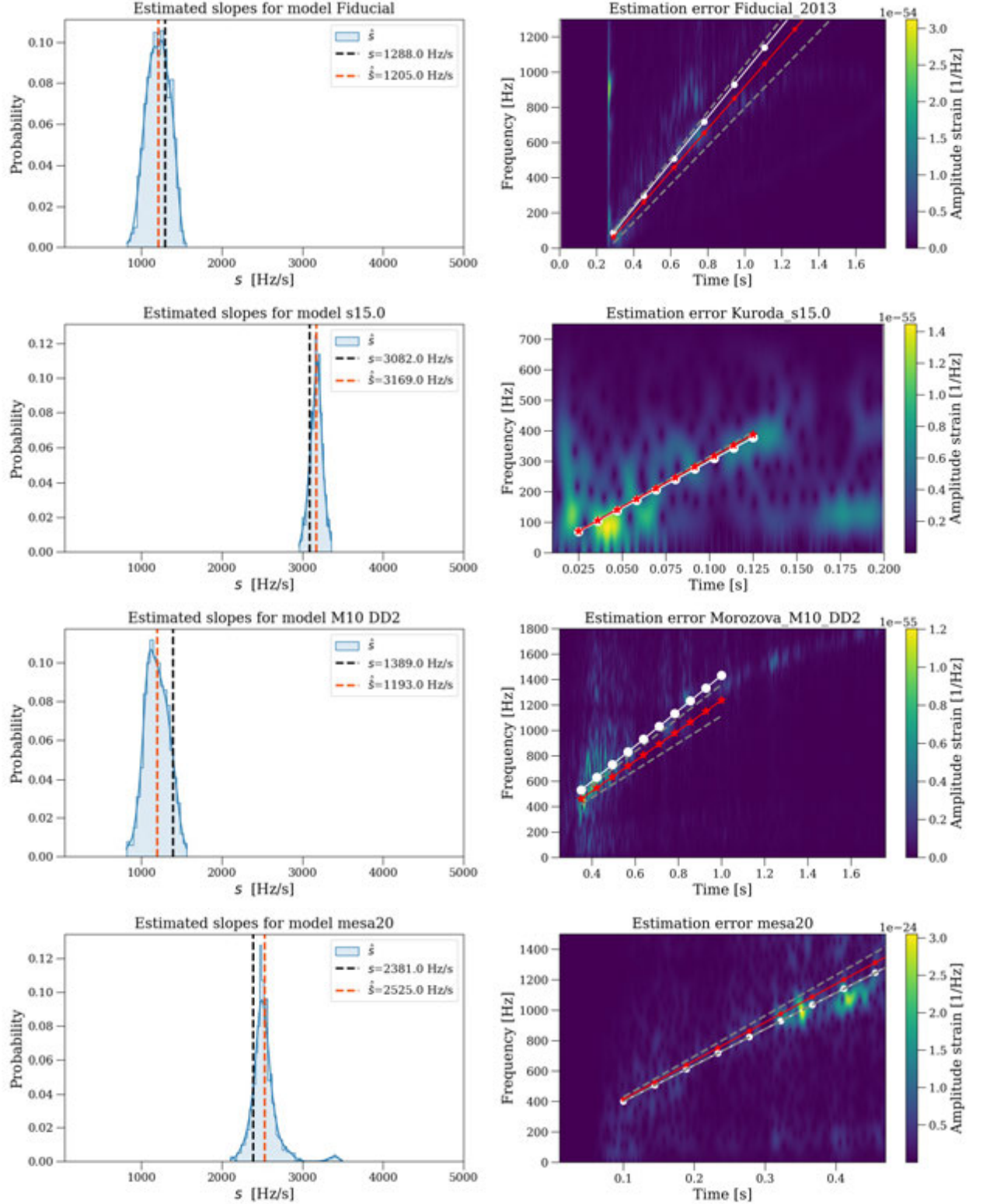


FIG. 10. HFF estimated slope,  $\hat{s}$ , HFF estimated slope mean,  $\hat{\hat{s}}$ , and their corresponding HFF estimation errors, associated with different CCSN GW signals included in this study. The histograms on the left show, in blue, the HFF estimated slopes and, with red, dashed lines, the HFF estimated means. The right column illustrates the estimation error in the spectrograms of the CCSN GW signals described in Section II A 2. The gray, dashed lines denote the estimation errors. White, solid lines with circles denote the slope of the HFF, in the absence of noise. And red lines with stars correspond to the mean of the estimated HFF slopes obtained through the M3-DNN architecture.

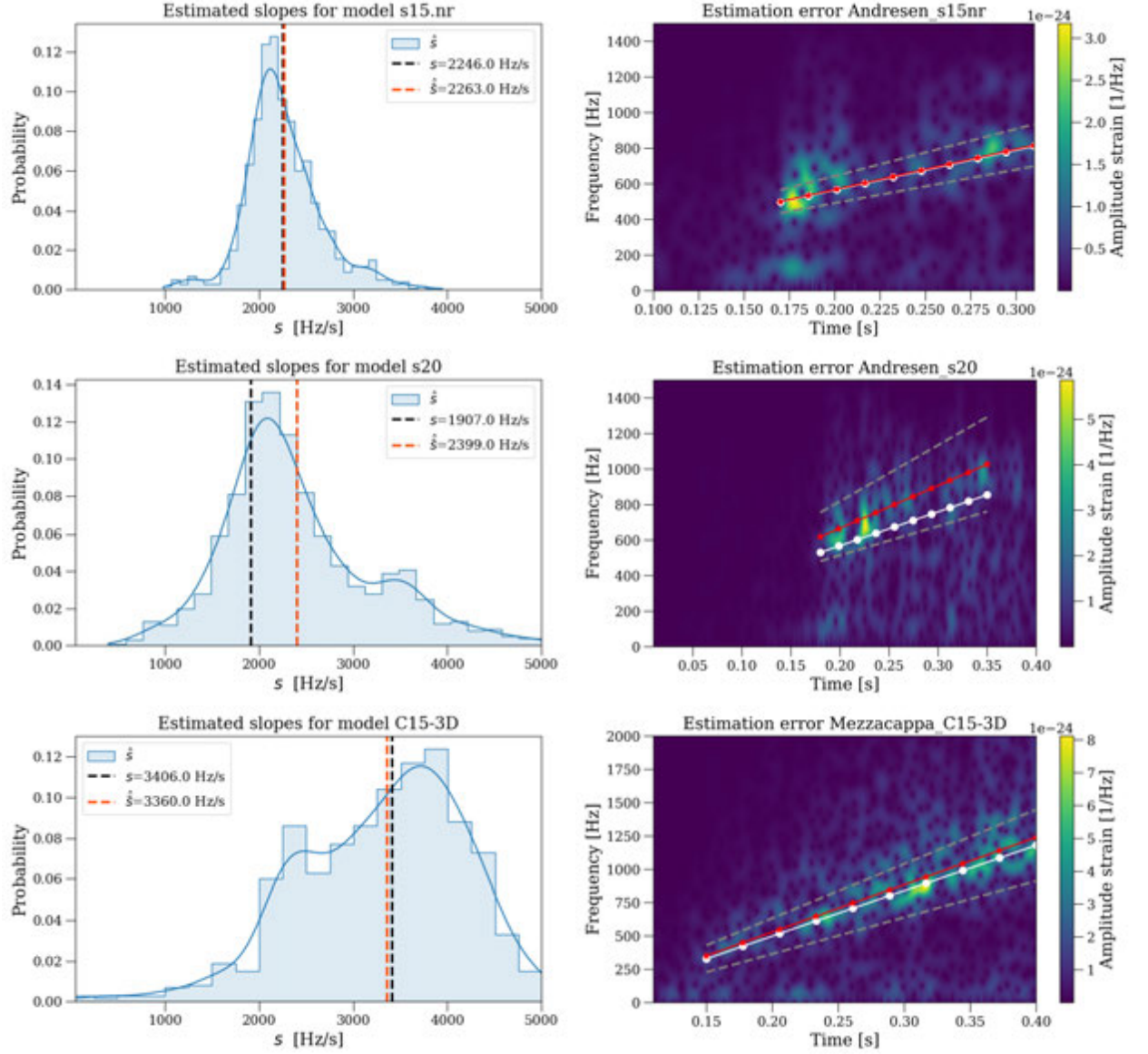


FIG. 11. HFF estimated slope,  $\hat{s}$ , HFF estimated slope mean,  $\hat{\hat{s}}$ , and their corresponding HFF estimation errors, associated with different CCSN GW signals included in this study. The histograms in the left column show, in blue, the HFF estimated slopes and, with red, dashed lines, the HFF estimated mean. The right column illustrates the estimation error in the spectrograms of the CCSN GW signals described in Section II A 2. The gray, dashed lines denote the estimation error. The white, solid lines with circles denote the slope of the HFF, in absence of noise. And the red lines with stars correspond to the mean of the estimated HFF slopes obtained through the M3-DNN architecture.

TABLE V. Variation of the HFF estimated slope across different Galactic distances: 1.0 kpc, 2.3 kpc, 3.1 kpc, and 4.3 kpc.

Model	$s$ [Hz/s]	1.0 kpc	2.3 kpc	3.1 kpc	4.3 kpc
<i>Fiducial</i> , Cerdá-Durán et al. 2013	1288	1204.78	1345 ± 221	1575 ± 496	1954 ± 596
<i>mesa20</i> , O'Connor and Couch 2018	2381	2525.21	2689 ± 145	2903 ± 312	3312 ± 396
<i>s20</i> , Andresen et al. 2017	1907	2399.10	2614 ± 223	3012 ± 496	3324 ± 342
<i>s15.0</i> , Kuroda et al. 2017	3082	3169.36	3243 ± 109	3472 ± 221	3723 ± 443
<i>C15-3D</i> , Mezzacappa et al. 2020	3406	3358.91	3443 ± 237	3743 ± 396	3978 ± 234
<i>s15.nr</i> , Andresen et al. 2019	2246	2263.17	2509 ± 207	2689 ± 441	2945 ± 504
<i>M10-DD2</i> , Morozova et al. 2018	1389	1193.24	1349 ± 115	1576 ± 396	1608 ± 503

TABLE VI. Variation of the HFF estimated slope across different Galactic distances: 5.4 kpc, 7.3 kpc, and 10 kpc.

Model	5.4 kpc	7.3 kpc	10 kpc
<i>Fiducial</i> , Cerdá-Durán et al. 2013	2172 ± 598	2560 ± 698	3012 ± 723
<i>mesa20</i> , O'Connor and Couch 2018	3576 ± 696	3976 ± 621	4217 ± 876
<i>s20</i> , Andresen et al. 2017	3508 ± 554	4295 ± 662	4796 ± 883
<i>s15.0</i> , Kuroda et al. 2017	3998 ± 554	4209 ± 754	4873 ± 952
<i>C15-3D</i> , Mezzacappa et al. 2020	4110 ± 512	4675 ± 370	4975 ± 876
<i>s15.nr</i> , Andresen et al. 2019	3309 ± 555	3775 ± 875	4175 ± 576
<i>M10-DD2</i> , Morozova et al. 2018	1934 ± 634	2375 ± 772	2775 ± 902

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