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An Isotropy Measurement with Gravitational Wave Observations

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We constrain the distribution of merging compact binaries across the celestial sphere using the GWTC-3 catalog from the LIGO-Virgo-KAGRA Collaborations' (LVK) third observing run. With 63 confident detections from O3, we constrain the relative variability (standard deviation) of the rate density across the sky to be $\lesssim 16\%$ at 90% confidence assuming the logarithm of the rate density is described by a Gaussian random field with correlation length $\geq 10^\circ$. This tightens to $\lesssim 3.5\%$ when the correlation length is $\geq 20^\circ$. While the new O3 data provides the tightest constraints on anisotropies available to-date, we do not find overwhelming evidence in favor of isotropy, either. A simple counting experiment favors an isotropic distribution by a factor of $\mathcal{B}_{\text{ani}}^{\text{iso}} = 3.7$, which is nonetheless an improvement of more than a factor of two compared to analogous analyses based on only the LVK's first and second observing runs.

I. INTRODUCTION

The observation of gravitational waves (GWs) from the coalescence of compact binaries provides a new way to study how these systems form, evolve, and are distributed throughout the universe (see Ref. [1] and references therein). In particular, the spatial distribution of GW sources can test the cosmological principle: is the universe statistically homogeneous and isotropic? Deviations from perfect homogeneity have already been proposed as a way to infer cosmological parameters through cross-correlations of clustering within GW and electromagnetic observations (see, e.g., [2, 3]). However, these studies assume *a priori* that GW events follow low anisotropies measured from electromagnetic surveys. That is, they do not directly measure anisotropies from

the GW data. Our goal in this paper is to constrain anisotropies in the population of merging binaries using only GW data.

Although large deviations from isotropy are not expected, it behooves us nevertheless to check this, similar to the motivation within Ref. [4]. Directly constraining anisotropies with GW catalogs may be of interest in several astrophysical situations. For example, resolving clustering scales from GW data alone may be used to test the assumption that GW sources are always associated with galaxies. Along these lines, GW sources could be used to directly trace clustering scales; see, e.g., Refs. [5, 6] for discussion of this in the context of 3rd generation detectors. Similarly, the identification of individual host galaxies for specific events and/or the statistical association between the full GW catalog and different types of galaxies may suggest, perhaps through the mass-dependent galaxy clustering scale, which types of galaxies most often host compact binary coalescences [7, 8]. This could be combined with knowledge of the star formation

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59 history to in turn constrain the delay time distribution
60 between binary formation and coalescence [9]. See, e.g.,
61 Ref. [10] for a similar application to short gamma-ray
62 bursts (GRBs).

63 **It is also worth remembering that** the LIGO-Virgo
64 KAGRA (LVK) collaborations [11, 12] search for unmod-
65 eled “burst” events in addition to compact binaries [13].
66 Given that the source of such events will not be known *a*
67 *priori*, their spatial distribution will likely provide crucial
68 clues as to their origins. Indeed, determining whether
69 burst events correlate with local structure will inform
70 the distance to the sources and therefore their intrinsic
71 energy scales, analogous to GRBs [14] and other high-
72 energy astrophysical phenomena.

73 The detection of anisotropies within the distribution
74 of merging binaries could be the signature of more exotic
75 physics, such as wormholes that may effectively tunnel
76 to larger volumes and therefore higher number of merg-
77 ing binaries [15] or lensed events, which appear as re-
78 peated signals from the same part of the sky [16, 17].
79 In particular, strong lensing may distort the shape of
80 the waveform, particularly the relative phasing between
81 different harmonics [18]. These effects may be difficult
82 to distinguish from more general alternative theories of
83 gravity [19], and the identification of anisotropies may be
84 a cleaner signature of lensing than the waveform’s phas-
85 ing alone. Indeed, many searches for lensed events begin
86 with overlaps on the sky.

87 Several authors have already studied the distribution
88 of merging binaries with the LIGO-Virgo Collabora-
89 tions’ [11, 12] first catalog of 11 detections (GWTC-
90 1 [20]). Specifically, Ref. [21] modeled anisotropies with
91 12 pixels of equal area and a set of Euler angles that
92 rotated the pixelization across the sky. Using an approx-
93 imation of the catalog’s sensitivity that assumed constant
94 and equal power spectral densities for both LIGO detec-
95 tors throughout the run, neglecting the presence of Virgo,
96 but accounting for the diurnal cycle and correlations in
97 when the LIGO interferometers recorded science-quality
98 data [22], they found weak evidence in favor of isotropy.
99 Similarly, Ref. [23] used the same 11 events but a different
100 estimate of survey sensitivity to constrain anisotropies
101 with a model constructed from a low-order spherical har-
102 monic expansion. They considered several models with
103 different numbers of harmonics up to $l_{\max} = 5$, finding
104 equivalently weak evidence in favor of isotropy regardless
105 of l_{\max} . Finally, Ref. [24] attempted to measure the two-
106 point correlation function of GW events with a spherical
107 harmonic decomposition of the sum of individual event
108 localizations while assuming the sensitivity of the detec-
109 tor network was uniform over the entire sky. They also
110 found no evidence for an excess of correlation at any an-
111 gular scale.

112 Maps of upper limits on anisotropies in the stochastic
113 GW background are routinely produced under various
114 assumptions in either the pixel or spherical-harmonic do-
115 mains. Although no statistically significant detection has
116 been made, these analyses typically make assumptions

117 about the power spectrum of the stochastic GW back-
118 ground and produce maximum likelihood estimates of the
119 angular distribution of the intensity. See Ref. [25] for a
120 review. While there has been no unambiguous detection
121 of the stochastic GW background to date, let alone the
122 detection of anisotropies, there may still be information
123 about the distribution of merging binaries at high red-
124 shift encoded in the nondetection (see, e.g., Ref. [26]).

125 Additionally, anisotropies are of general interest in
126 other high-energy astrophysical phenomena. Analyses of
127 GRBs show that they are consistent with isotropic distri-
128 butions, regardless of how the catalog is subdivided [14],
129 the distribution of fast-radio bursts (FRBs) is an active
130 area of research [27], and multiple groups have claimed
131 detections of anisotropies in the arrival directions of cos-
132 mic rays [28–31].

133 Therefore, it is of general interest to develop meth-
134 ods to constrain the rate of mergers as a function of
135 their position on the celestial sphere. We use hierarchi-
136 cal Bayesian inference to construct posterior processes for
137 the distribution of merging compact binaries over the sky
138 using 63 confidently detected binaries, including binary
139 black hole (BBH), neutron star-black hole (NSBH), and
140 binary neutron star (BNS) sources, from the the LVK’s
141 third observing run (O3, 1 April 2019–27 March 2022 [32–
142 34]). In addition to the nearly 6-fold increase in sample
143 size from GWTC-1, our analysis benefits from estimates
144 of survey sensitivity derived from simulated signals in-
145 jected into real detector noise and processed directly with
146 the searches used to construct the catalog [35]. These
147 injections implicitly account for variability in each de-
148 tector’s sensitivity and correlations between the times
149 when detectors record data.¹ This improves upon pre-
150 vious estimates of survey sensitivity, which depended on
151 approximations with poorly quantified systematic uncer-
152 tainties [21, 23]. We also self-consistently incorporate
153 realistic models of the masses, spins, and redshift distri-
154 butions of merging binaries derived from GW observa-
155 tion [1, 36].

156 We find mild evidence in favor of isotropy. This agrees
157 with Refs. [21] and [23], but we place tighter constraints
158 on anisotropies because of the larger sample size now
159 available. In fact, we find Bayes factors in favor of
160 isotropy ($\mathcal{B}_{\text{ani}}^{\text{iso}}$) similar to Refs. [21, 23] when we use only
161 events from GWTC-1, and these increase by a factor of 2
162 when we **only use** the 63 events from O3. Although there
163 are a few persistent “hot pixels” from O3 in all our mod-
164 els on average, we cannot confidently bound the rate den-
165 sity in these directions to be inconsistent with isotropy.
166 Indeed, we bound the relative variability (standard devi-
167 ation) in the rate density to $\lesssim 16\%$ of the isotropic rate
168 at 90% credibility if the correlation length scale in the
169 rate density is $\geq 10^\circ$, and this is improved to $\lesssim 3.5\%$ if
170 the length scale is $\geq 20^\circ$.

¹ Appendix B shows that the O3 catalog’s sensitivity is nearly uni-
form over the entire sky, although measurable deviations exist.

171 The rest of this paper is structured as follows. In
 172 Sec. II, we perform a simple counting experiment by di-
 173 viding the sky into hemispheres, showing that most of
 174 the information about (an)isotropy comes from the best
 175 localized events (less than half our catalog). Sec. III
 176 presents additional models of varying complexity, includ-
 177 ing pixelized representations like Ref. [21] (Sec. III B 1)
 178 and representations based on low-order spherical har-
 179 monic expansions like Ref. [23] (Sec. III B 2), culminat-
 180 ing in a nonparametric description of the rate density as a
 181 Gaussian random field (Sec. III C). We discuss implica-
 182 tions of current constraints and conclude in Sec. IV.

183 II. COUNTING EXPERIMENTS

184 We begin with a simple counting experiment: divide
 185 the sky into two hemispheres and “count” the number of
 186 events that fall within each.² In the context of GW cat-
 187 alogs, this simple model is useful because of the symme-
 188 try inherent in the sensitivity for current interferometers.
 189 Each interferometer’s sensitivity has even parity when re-
 190 flected across the plane defined by its arms. This means
 191 that the sensitivity to each hemisphere will be equal re-
 192 gardless of how many interferometers participate in the
 193 survey and exactly where hemispheres are drawn as long
 194 as they divide the sky in half equally.

195 To wit, we construct a model that divides the sky
 196 in half, assigning a different rate density to each hemi-
 197 sphere: the **expected** fraction of events coming from the
 198 “northern” hemisphere is f , and the corresponding frac-
 199 tion from the “southern” hemisphere is $1 - f$. We also
 200 consider all possible hemispheres by sampling over Euler
 201 angles that rotate the simple **north-south** hemisphere
 202 model into **arbitrarily oriented hemispheres**. This ro-
 203 tated model is similar to the approach in Ref. [21]. **In-**
 204 **ference proceeds by effectively counting the number of**
 205 **events consistent with each hemisphere and inferring the**
 206 **expected fraction of events and the hemispheres’ orienta-**
 207 **tion most consistent with the observations. Uncertainty**
 208 **in the fraction of events in a particular hemisphere, then,**
 209 **roughly corresponds to counting uncertainty from a Bino-**
 210 **mial distribution. We list the prior ranges for the Rotated**
 211 **Hemisphere model and compare it to others in Table II.**

212 Much of the information about isotropy comes from
 213 the best-localized events, and we find that the data prefer
 214 equal fractions of events from each hemisphere ($f = 0.5$)
 215 by a factor of $\mathcal{B}_{\text{ani}}^{\text{iso}} = 3.7$, assuming uniform priors for f
 216 and the Euler angles and calculating $\mathcal{B}_{\text{ani}}^{\text{iso}}$ via the **Savage-**
 217 **Dickey density ratio** [37] (Fig. 1). The model also finds
 218 no preference for specific rotations, which is expected if
 219 $f \sim 0.5$. Furthermore, the number of events in one hemi-
 220 sphere is binomially distributed, and the uncertainty in

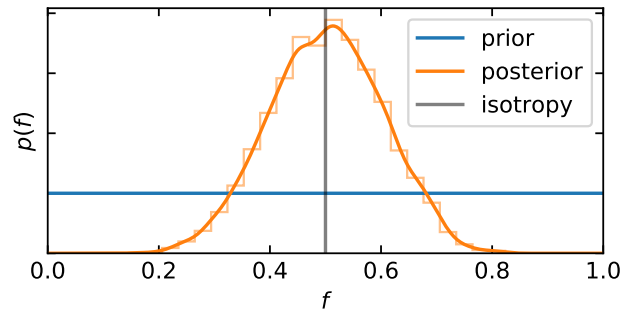


FIG. 1. Marginal prior (blue) and posterior (orange) for the mixing fraction in the Rotated Hemisphere model (Table II). The data favor isotropy (grey, $f = 0.5$) by a factor of $\mathcal{B}_{\text{ani}}^{\text{iso}} = 3.7$ and rule out anisotropies bigger than 3:1.

² Because GW events often have very broad localizations, we always employ hierarchical Bayesian inference to account for measurement uncertainty. See Sec. III A for more details.

221 the fraction of events will be $\sigma_f^2 = f(1 - f)/N$ with N
 222 events. With our selection of 63 events and assuming
 223 isotropy, we expect $\sigma_f = 6.3\%$. However, this is signif-
 224 icantly smaller than the actual standard deviation ob-
 225 served in Fig. 1, which corresponds to only 23.5 effective
 226 events ($\sigma_f = 10.3\%$). While this could be due in part to
 227 the trials factor associated with sampling over possible
 228 rotations, it is likely because many of the events in our
 229 catalog have uninformative broad localization uncertain-
 230 ties. Indeed, if we only use the 25 best-localized events
 231 from our catalog,³ we find $\sigma_f = 12.6\%$ and $\mathcal{B}_{\text{ani}}^{\text{iso}} = 2.7$,
 232 only slightly less constraining than the uncertainty ob-
 233 tained with the full catalog. Similarly, if we only include
 234 the 10 best-localized events, we obtain $\sigma_f = 17.5\%$ and
 235 $\mathcal{B}_{\text{ani}}^{\text{iso}} = 1.9$, only slightly worse than expected from the
 236 binomial distribution ($\sigma_f = 15.8\%$ with 10 events).

237 This should be contrasted with the constraints ob-
 238 tained using only GWTC-1: $\mathcal{B}_{\text{ani}}^{\text{iso}} = 1.3$ and $\sigma_f = 18.9\%$.⁴
 239 Ref. [21] found $\mathcal{B}_{\text{ani}}^{\text{iso}} = 1.3$ and Ref. [23] quote $\mathcal{B}_{\text{ani}}^{\text{iso}} \sim 1.1 -$
 240 1.6 depending on how many spherical harmonics they in-
 241 clude. We see, then, that our larger sample size provides
 242 the tightest constraints to-date.

243 Note that one could combine all events from O1, O2,
 244 and O3 in order to obtain an even tighter constraint on
 245 anisotropy under the Rotated Hemisphere model with-
 246 out accurate estimates of search sensitivity because of the
 247 symmetry in the model. We avoid this because of the ex-
 248 pectation that systematic uncertainty from the relatively
 249 strong assumptions behind the shape of anisotropies al-
 250 lowed by this model will be more important than any
 251 improved statistical uncertainty, and therefore focus on
 252 more flexible models of isotropy, for which accurate esti-

³ In general, selecting events in this way may significantly complicate our estimate of the catalog’s sensitivity. However, our Rotated Hemisphere model is immune to such considerations because of the symmetry of the interferometer antenna patterns.

⁴ Although our sensitivity estimates only cover O3, we can analyze GWTC-1 without accounting for selection effects because of the symmetry in this model.

253 mates of search sensitivity are more important, in what
254 follows.

255 III. HIERARCHICAL MODELS

256 We now consider several additional representations of
257 the distribution of merging binaries and construct maps
258 of the merger rate across the sky with each. Section III A
259 briefly reviews hierarchical Bayesian inference before Sec-
260 tion III B presents our results. Comparing different mod-
261 eling choices allows us to examine, to some extent, which
262 features are constrained by the data and which are dom-
263 inated by our modeling choices.

264 In what follows, we assume fixed distributions for the
265 source-frame component masses, redshift, spins, inclina-
266 tion, orientation, and arrival time of GWs from binary
267 systems. These are described in Table I. In order to fo-
268 cus on isotropy, we only infer the parameters of the dis-
269 tribution over right ascension (α) and declination (δ).
270 While we do not expect the assumption of, e.g., fixed
271 mass, redshift, and spin distributions to affect our con-
272 clusions about (an)isotropy, it would be worthwhile to
273 check this. However, we leave studies of possible cor-
274 relations between the direction to the source and other
275 source properties to future work.

276 We only consider events from O3 (GWTC-2 [32],
277 GWTC-2.1 [33], and GWTC-3 [34]), as the publicly avail-
278 able set of simulated signals processed with real searches
279 used to estimate the catalog’s sensitivity only covers
280 O3 [35]. However, we consider *all* events from O3, in-
281 cluding BNS, NSBH, and BBH systems. We approxi-
282 mate the catalog selection by requiring the false alarm
283 rate (FAR) from at least one pipeline within GWTC-3
284 to be $\leq 1/\text{year}$.⁵ With this selection threshold, we retain
285 63 events from O3. See Appendix B for more details.

286 A. Formalism

287 We employ hierarchical Bayesian inference to infer the
288 rate density of merging compact binaries:

$$\frac{dN}{d\theta} = \mathcal{R}p(\theta|\Lambda) \quad (1)$$

289 where each event is described by parameters θ (masses,
290 redshift, right ascension, declination, etc), the popula-
291 tion distribution $p(\theta|\Lambda)$ is described by some set of pa-
292 rameters Λ (minimum and maximum masses, anisotropy
293 parameters, etc), and \mathcal{R} acts as an overall normalization
294 constant.

295 Specifically, we sample from the rate-marginalized in-
296 homogeneous Poisson likelihood for the observed data
297 $\{D_i\}$ from N events

$$p(\{D_i\}|\Lambda) = \prod_i^N \frac{\int d\theta p(D_i|\theta)p(\theta|\Lambda)}{\int d\theta P(\text{det}|\theta)p(\theta|\Lambda)} \quad (2)$$

298 with a corresponding prior for Λ . Here, $P(\text{det}|\theta)$ is the
299 (time-averaged) probability of detecting a signal with pa-
300 rameters θ . Eq. 2 implicitly assumes $p(\mathcal{R}) \sim 1/\mathcal{R}$ within
301 the marginalization over \mathcal{R} . We estimate the numerators in Eq. 2 via Monte Carlo importance sampling of
302 single-event posterior samples for each event, and the de-
303 nominator with a set of detected simulated signals (Ap-
304 pendix B). See, e.g., Refs. [39–42] and references therein
305 for more details.
306

307 B. Cartography

308 Within the hierarchical framework, we consider several
309 different representations of the distributions over the sky.
310 Broadly, these can be classified as those based on pix-
311 elizations (like the Rotated Hemisphere model in Sec. II)
312 and those based on spherical harmonic decompositions.
313 Table II summarizes our models, their parameters, and
314 the priors chosen for those parameters. While there is
315 no fundamental difference between the two approaches,
316 each introduces different priors on the types of variation
317 over the sky. Nonetheless, as we will see, we obtain com-
318 parable results regardless of the precise model choices.

319 1. Pixelized Representations

320 The simple Rotated Hemisphere model (Section II)
321 found weak evidence for isotropy, but this could be due
322 to the assumptions implicit in the model. We now fo-
323 cus on pixelization schemes that allow for more complex
324 anisotropies. Fig. 2 shows Mollweide projections of $p(\Omega)$
325 derived from different modeling assumptions. Specifi-
326 cally, we employ the Healpix pixelization scheme [43] and
327 consider models with 12, 48, and 192 pixels, respectively.
328 In each model, the rate density in each pixel is indepen-
329 dently, exponentially distributed *a priori*. The exponen-
330 tial distribution is convenient because it only has sup-
331 port for non-negative rate densities. Furthermore, the
332 independent priors for each pixel give models with more
333 pixels more freedom. That is, as the number of pixels in-
334 creases, the prior not only allows for, but actually prefers
335 increased variation across the sky.

336 We additionally consider a radically different pixeliza-
337 tion scheme: the 88 constellations⁶ defined by the In-
338 ternational Astronomical Union (IAU) [44]. Although

⁵ We include all searches present in GWTC-3: both modeled (Gst-LAL, MBTA, PyCBC broad, and PyCBC BBH) and unmodeled (cWB) searches. See Ref. [34] for more details about individual searches.

⁶ Although there are only 88 constellations, we fit the rate in 89 pixels, dividing Serpens (Ser) into its two disjoint regions.

TABLE I. Fixed population models for the source-frame primary mass (m_1), secondary mass ($m_2 \leq m_1$), Cartesian spin vectors for each component (\vec{s}_1, \vec{s}_2), and redshift (z). We employ the *maximum a posteriori* values for the Broken Power-Law + Dip model from Ref. [36] as well as a flat Λ CDM cosmology with $H_0 = 67.32$ km/s/Mpc, $\Omega_M = 0.3158$, and $\Omega_\Lambda = 1 - \Omega_M$ (first column of Table 1 in Ref. [38]). **While there is evidence that the (BBH) population evolves with redshift and the spins are not isotropically distributed, these effects are not expected to strongly influence our inference for the right ascension and declination.** We also assume events’ orbital inclinations are isotropically distributed, events’ phases at coalescence and polarization angles are uniformly distributed throughout their physical ranges, and that events’ arrival times are uniformly distributed throughout the duration of the experiment.

Variates	Name/Description	Functional Form	Visualization
m_1, m_2	Broken Power-Law + Dip (BPL+Dip)	$p(m_1, m_2)$ $\propto p(m_1)p(m_2)$ $\times p_{\text{pair}}(m_2, m_2/m_1)$ see Refs. [1, 36]	
z	uniform in comoving volume & source-frame time	$p(z) \propto (dV_c/dz)/(1+z)$	
\vec{s}_1, \vec{s}_2	uniform in magnitude & isotropic orientation	$p(\vec{s}_i) = 1/4\pi \vec{s}_i ^2$	

339 we expect the IAU constellations to be completely un-
 340 related to GW events, which come from much greater
 341 distances than the stars that make up the constellations,
 342 they provide a convenient and memorable alternative pix-
 343 elization. As with the Healpix models, the rate in each
 344 constellation is independently exponentially distributed
 345 *a priori*. Because we parametrize the model in terms of
 346 the rate (count per steradian) within each constellation,
 347 this implies that the expected number of events from a
 348 constellation scales with the constellation’s area.

349 Unlike our Rotated Hemisphere model and Ref. [21],
 350 we do not consider rotations of these pixelizations.
 351 Ref. [21] only used 12 pixels and introduced 3 Euler an-
 352 gles to attempt to control for model systematics associ-
 353 ated with the placement of the 12 pixels. We instead use

354 models with more pixels and different methods of parti-
 355 tioning the sky to test for model systematics.

356 Fig. 2 shows one-dimensional summary statistics de-
 357 fined for each direction on the sky. We show the average
 358 *a posteriori* rate for each direction (Ω)

$$\langle p(\Omega) \rangle = \int d\Lambda p(\Lambda|\{D_i\})p(\Omega|\Lambda) \quad (3)$$

359 where $p(\Lambda|\{D_i\})$ is the hyperposterior distribution in-
 360 ferred via Eq. 2. This average is normalized by the equiv-
 361 alent rate for an isotropic distribution: $p(\Omega|\text{iso}) = 1/4\pi$.
 362 We also show the difference $\langle p(\Omega) \rangle - p(\Omega|\text{iso})$ divided by
 363 the standard deviation of the one-dimensional marginal
 364 posterior distribution: $\sigma_{p(\Omega)}$.

365 We find similar features with all models. Although
 366 there are “hot pixels” throughout the sky for each, on

TABLE II. Population models for the distribution over right ascension (α) and declination (δ). See text for more detailed definitions of each model’s parameters. We denote the uniform distribution between X and Y as $U(X, Y)$, the exponential distribution with scale parameter Z as $\text{Exp}[Z]$ ($p(x) = Z^{-1}e^{-x/Z}$), and the multivariate Normal distribution with mean vector μ and covariance matrix Ξ as $\mathcal{N}(\mu, \Xi)$. Where relevant, we denote the area of pixel i with A_i .

Variates	Name	Parameters	Functional Form	Example
$\Omega \equiv \alpha, \delta$	Rotated Hemisphere (RH)	$f \sim U(0, 1)$ $\phi \sim U(0, 2\pi)$ $\theta \sim U(0, 2\pi)$ $\psi = 0$	Rotate by Euler angles (ϕ, θ, ψ) . $\alpha, \delta \rightarrow \tilde{\alpha}, \tilde{\delta}$ In the rotated frame $p = (f\Theta(\tilde{\delta} > 0) + (1 - f)\Theta(\tilde{\delta} < 0))/2\pi$	
	Simple Dipole (SD)	$ \vec{b} \sim U(0, 1)$ $\arctan(b_y/b_x) = \phi \sim U(0, 2\pi)$ $b_z/ b = \cos \theta \sim U(-1, +1)$	$p = (1 + \vec{b} \cdot \hat{\Omega})/4\pi$ $ \vec{b} \leq 1$	
	Healpix pixelization (HP: $N_{\text{pix}} = 12, 48, 192$)			
	IAU Constellations (IAU: $N_{\text{pix}} = 89$)	$f_i \sim \text{Exp}(A_i^{-1})$ $\forall i \in [1, \dots, N_{\text{pix}}]$		
	Gaussian Random Field (GRF)	$\log(f_i/A_i) \sim \mathcal{N}(0, \Xi_{ij})$ $\Xi_{ij} = \sigma_{\text{wn}}^2 \delta_{ij} + \sigma^2 e^{-(\Delta\theta_{ij})^2/\vartheta^2}$ $\vartheta \sim U(\vartheta_{\text{min}}, \pi/3)$ $\sigma \sim U(0, 3)$ $\sigma_{\text{wn}} = \sigma/10$	$p = \sum_i^{N_{\text{pix}}} f_i A_i^{-1} \Theta((\alpha, \delta) \in A_i)$ $\sum_i^{N_{\text{pix}}} f_i = 1$	
	Exponentiated Spherical Harmonics (ESH: $l_{\text{max}} = 1, 2, 3, 4$)	$\mathbb{R}\{b_l^{m=0}\}_{l \leq l_{\text{max}}} \sim U(-10, +10)$ $\mathbb{I}\{b_l^{m=0}\} = 0$ $\mathbb{R}\{b_l^{m>0}\}_{l \leq l_{\text{max}}} \sim U(-10, +10)$ $\mathbb{I}\{b_l^{m>0}\}_{l \leq l_{\text{max}}} \sim U(-10, +10)$	$p \propto \exp\left(\sum_{lm} b_l^m Y_l^m(\alpha, \delta)\right)$ $b_l^{-m} = (b_l^{+m})^*$	

average (left column of Fig. 2) there is a consistently hot pixel near $(\alpha, \delta) = (-45^\circ, +10^\circ)$. This hot spot lies within Equuleus (Equ: the “little horse”), which has the second smallest area of any of the IAU constellations and is associated with a handful of relatively well localized events (see Appendix B). Even though the horse is little, at face value it may play a big role in GW anisotropy measurements. However, while hot pixels can at times correspond to rates several times larger than the rate for an isotropic distribution, there is still significant uncertainty in the posterior. In fact, the expected value of the rate in any pixel is always less than ± 1.5 standard deviations away from isotropy *a posteriori* (right column of Fig. 2).

We note that the size of the deviations from isotropy are less significant within models with more pixels. This is because there are fewer expected events per pixel and therefore greater relative uncertainty in each pixel’s rate density. Indeed, while there are always some pixels for which the rate is not confidently bounded away from zero, there are (many) more poorly constrained pixels for mod-

els with larger N_{pix} . See Appendix A for more discussion.

While comparisons based on only one-dimensional marginal posteriors do not actually represent a full test of isotropy (the rate density must be consistent with isotropy in all pixels simultaneously, not just separately for each pixel), this is nevertheless suggestive. We also eschew $\mathcal{B}_{\text{ani}}^{\text{iso}}$ for these models due to the possibly strong dependence on our prior choices (see discussions in, e.g., Refs. [45, 46]). We quantify constraints on anisotropies in more detail in Section III D.

2. Spherical Harmonic Representations

We now turn our attention to representations of $p(\Omega)$ based on spherical harmonic decompositions. There are many ways to construct a representation of a positive semi-definite function defined on \mathbb{S}_2 in terms of spherical

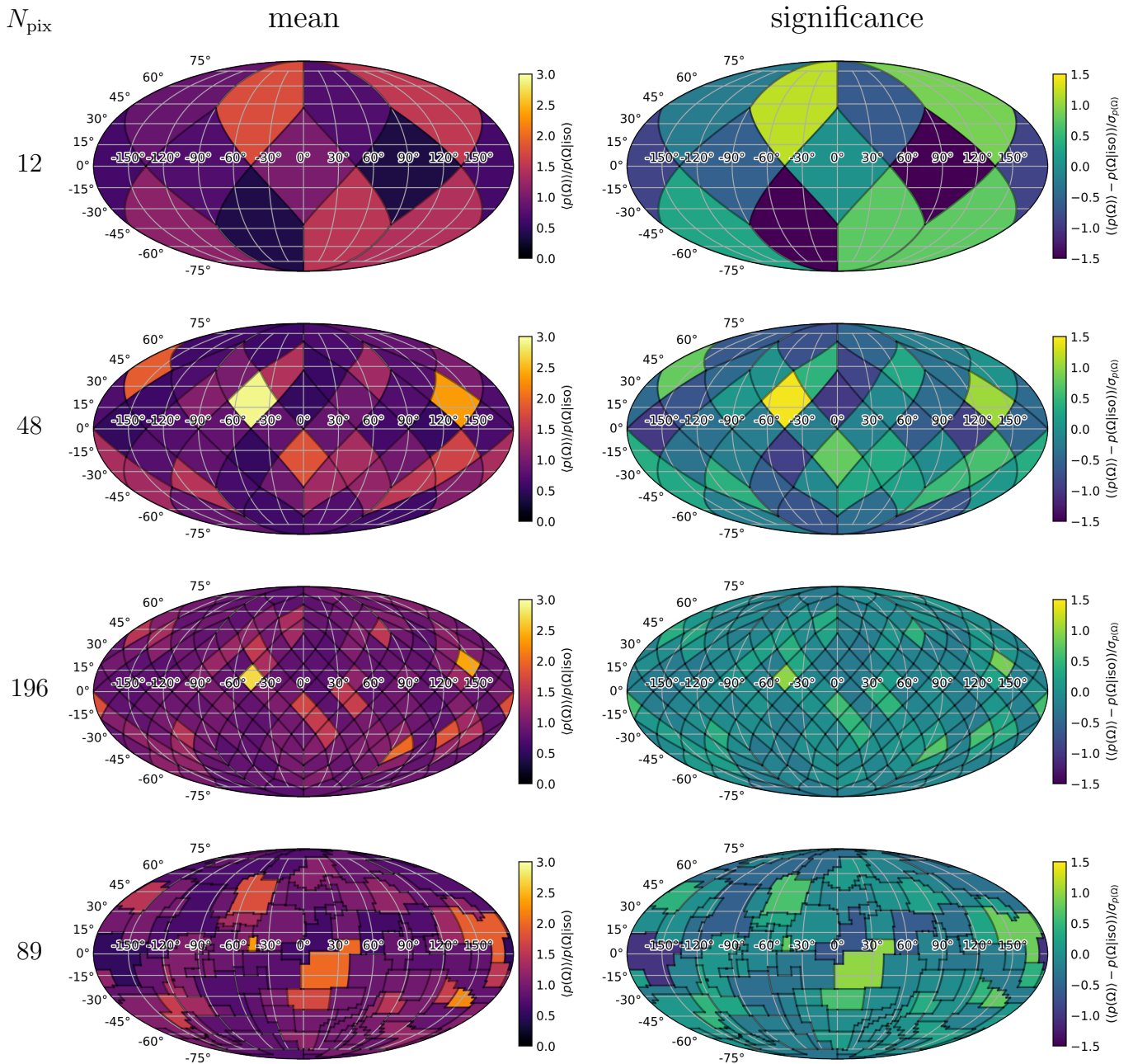


FIG. 2. Mollweide projections of the posterior for the rate density across the sky with pixelized representations. (*top to bottom*) Healpix pixelizations with 12, 48, and 192 pixels as well as a pixelization based on the 88 IAU Constellations (89 pixels; Serpens is divided into two disjoint regions). (*left*) The average rate density *a posteriori* scaled by the rate for an isotropic distribution. (*right*) A measure of statistical significance: the difference between the average rate density and the rate for an isotropic distribution scaled by the standard deviation of the rate density in each pixel *a posteriori*.

403 harmonics. We explored several models of the form

$$p(\Omega) = F \left(\sum_{l=0}^{l_{\max}} \sum_{m=-l}^{m=+l} b_l^m Y_l^m(\Omega) \right) \quad (4)$$

404 with the additional constraint $b_l^{-m} = (b_l^{+m})^*$ to ensure
 405 the sum is real, where $(\cdot)^*$ denotes complex conjugation.
 406 To begin, we consider a Simple Dipole model described

407 by a single vector \vec{b} so that

$$p(\Omega) = \frac{1}{4\pi} \left(1 + \vec{b} \cdot \hat{\Omega} \right), \quad (5)$$

408 with $|\vec{b}| \leq 1$. This model is similar to the Rotated Hemi-
 409 sphere model from Sec. II, but avoids sharp features in
 410 the rate density. It corresponds to $F(x) = x$ and $l_{\max} = 1$
 411 in Eq. 4. With a uniform prior over $|\vec{b}|$ and isotropic

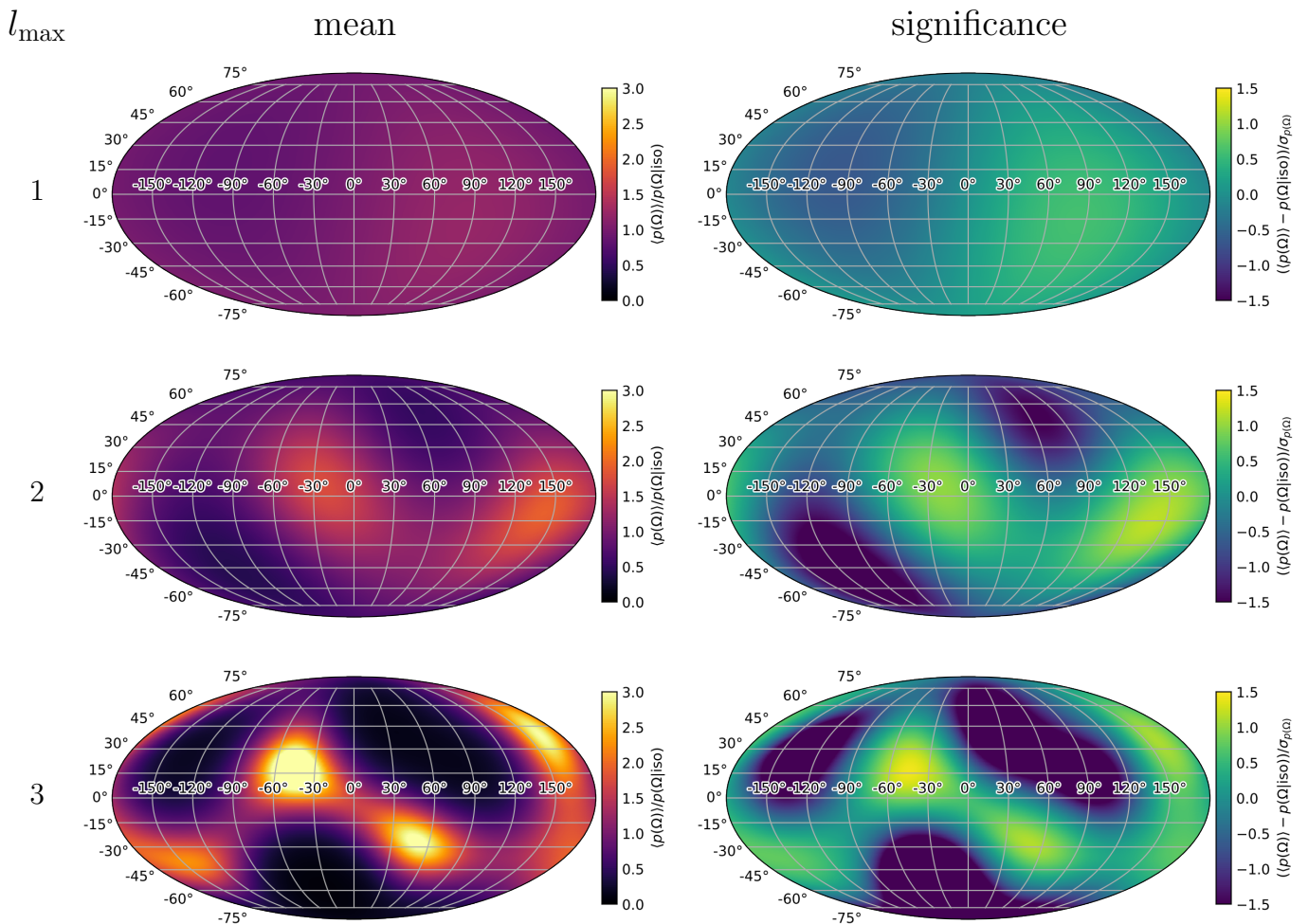


FIG. 3. Mollweide projections of the posterior rate density across the sky when it is represented by a spherical harmonic decomposition. Analogous to Fig. 2. (*top to bottom*) Spherical harmonics are included up to $l_{\max} = 1, 2,$ and 3 . As more harmonics are included, we observe structure across the sky similar to what is found with the pixelized representations. However, as in Fig. 2, the fluctuations in the posterior are larger than the difference between the mean *a posteriori* and an isotropic distribution.

412 priors on its orientation, we constrain $|\vec{b}| \leq 0.5$ at 90%
 413 credibility, in agreement with the Rotated Hemisphere
 414 model. We also find $\mathcal{B}_{\text{ani}}^{\text{iso}} = 2.5$, slightly smaller than the
 415 Rotated Hemisphere model. This is because the simple
 416 dipole lacks sharp features in $p(\Omega)$ and therefore larger
 417 anisotropies are harder to constrain.

418 We now additionally consider $l_{\max} \geq 1$. Although we
 419 find consistent results with several choices of $F(x)$,⁷ we

420 focus on $F(x) = e^x$, which we refer to as the Exponen-
 421 tiated Spherical Harmonic (ESH) model. That is, we
 422 model the logarithm of the probability density with a
 423 spherical harmonic decomposition. This preserves the
 424 parity of all Y_l^m , thereby removing many of the degen-
 425 eracies introduced by other choices and simplifying the
 426 interpretation of posterior constraints for b_l^m .

427 We consider independent, uniform priors for the real
 428 and imaginary parts of b_l^m (subject to the reality con-
 429 straint) up to several maximum harmonic numbers
 430 (l_{\max}). Just as larger N_{pix} allow for more model free-
 431 dom, larger l_{\max} allow the spherical harmonic decompo-
 432 sition to represent more complex distributions over the
 433 sky. Fig. 3 shows maps constructed with this Exponen-
 434 tiated Spherical Harmonic model for $l_{\max} = 1, 2,$ and
 435 3 .

436 As a rule of thumb, constraints on low- l coefficients
 437 weaken as l_{\max} increases. However, we consistently find
 438 that the $l = 1$ (dipole) coefficients are constrained to

⁷ Ref. [23] chose $F(x) = x^2$, and we also explored $F(x) = |x|$. However, both of these approaches complicate the interpretation of the model as they introduce strong degeneracies. That is, multiple distinct sets of b_l^m can produce similar $p(\Omega)$. For example, a distribution with only $b_1^0 \neq 0$ produces similar $p(\Omega)$ to a distribution with only $b_2^0 \neq 0$. It is this mixing between different l that can be difficult to interpret. These degeneracies render the posterior for $\{b_l^m\}$ multimodal, which in part motivated Ref. [23]'s choice to limit the magnitude of b_l^m to small values *a priori*.

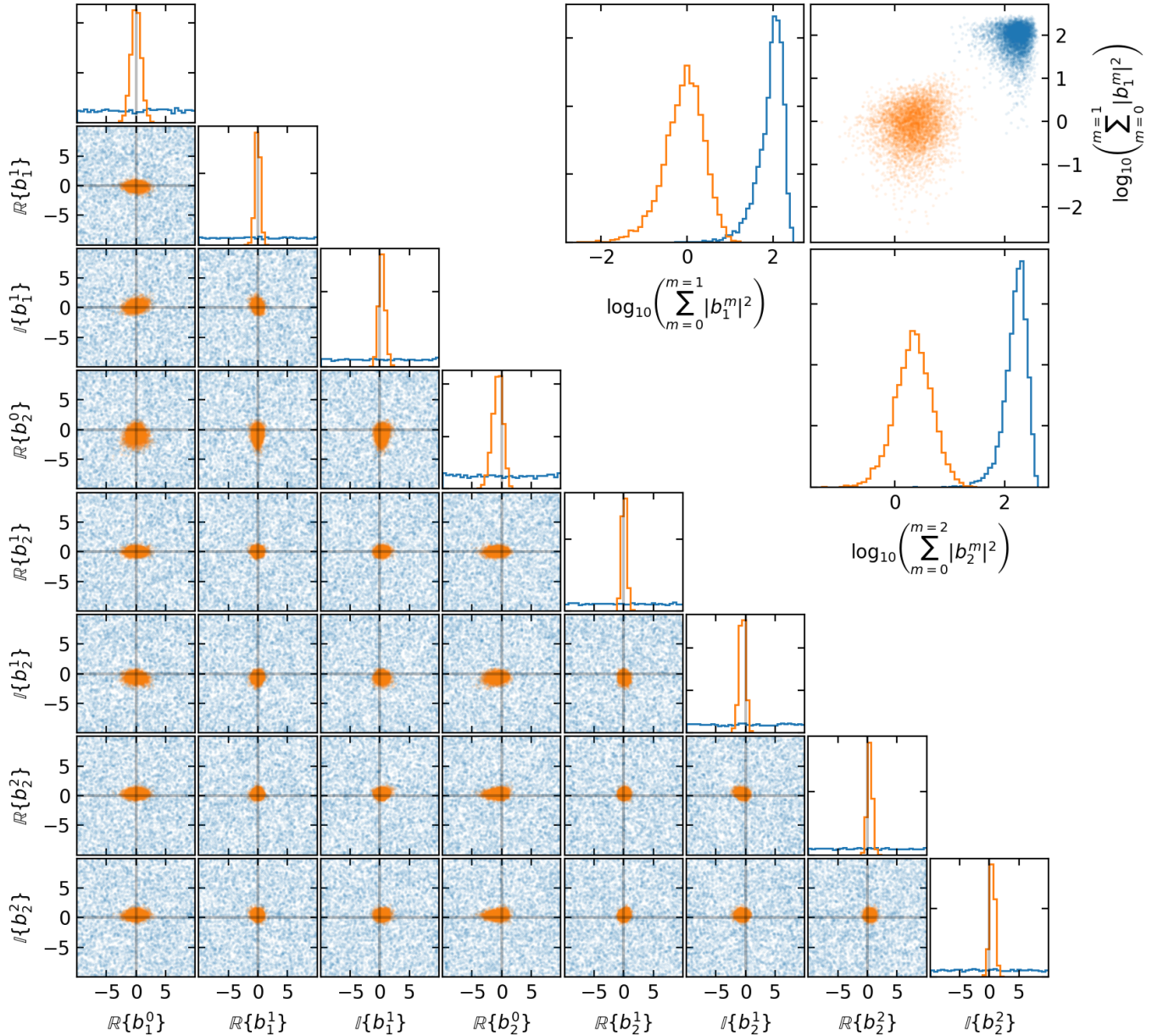


FIG. 4. Prior (blue) and posterior (orange) for the spherical harmonic coefficients (b_l^m) with $l_{\max} = 2$. Other l_{\max} produce similar behavior. (lower left) Distributions over b_l^m . Although the marginal posteriors for some $b_{l>0}^m$ peak at nonzero values, they are all consistent with isotropy. Our priors are, perhaps, unrealistically broad, but were intentionally chosen to be much broader than the posterior. (upper right) Distributions over the power in each angular harmonic. Even though isotropy is strongly disfavored *a priori*, the data constrain the power in higher harmonics to be small compared to the prior.

439 be rather small, consistent with the Rotated Hemisphere
 440 and Simple Dipole models. Constraints on higher har-
 441 monics are weaker, but they are also constrained to be
 442 relatively small. Fig. 4 shows the prior and posterior for
 443 individual b_l^m when $l_{\max} = 2$. We again eschew $\mathcal{B}_{\text{ani}}^{\text{iso}}$
 444 for this model because of ambiguity in the choices for the
 445 prior bounds on the $\{b_l^m\}$. Indeed, because the posterior
 446 is consistent with isotropy, we can make $\mathcal{B}_{\text{ani}}^{\text{iso}}$ as large as
 447 we like by simply increasing the extent of the prior.

448 When $l_{\max} \geq 2$, we begin to see structure appear across

449 the sky on average *a posteriori* (Fig. 3). This resem-
 450 bles the structure observed with pixelized representation,
 451 and, like the pixelized representations, there are large
 452 fluctuations in the posterior that render the difference
 453 between the marginal means and isotropy statistically
 454 insignificant. Another way to view this is to examine the
 455 power in each harmonic. Fig. 4 shows these distributions
 456 as well. Indeed, the power allowed in each harmonic *a*
 457 *posteriori* is larger for higher harmonics, but it is always
 458 much smaller than the prior, showing that the data favor

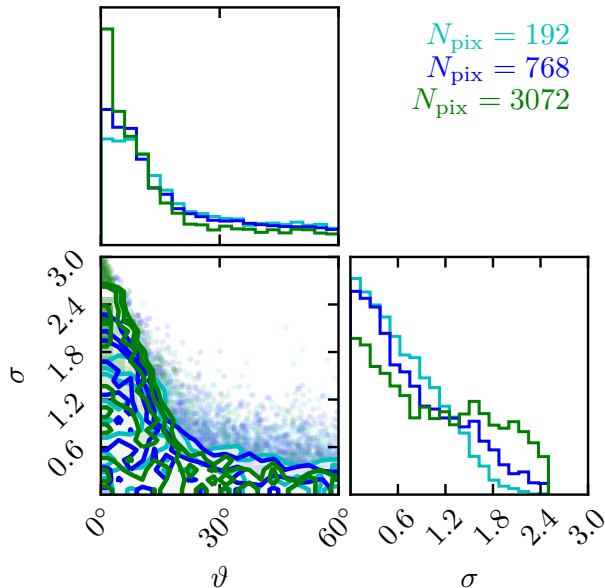


FIG. 5. Posterior probability for GRF parameters (σ : marginal uncertainty; ϑ : correlation length) assuming independent, uniform priors for each (see Table II) when we use Healpix decompositions with (light blue) 192, (dark blue) 768, and (green) 3072 pixels. The distributions do not depend strongly on the number of pixels used.

isotropy.

C. Gaussian Random Fields

We complete our survey of the impact of model choices by modeling the (logarithm of the) rate density as a Gaussian random field (GRF, also known as a Gaussian process [47]).

Specifically, we assume a Healpix pixelization scheme with many pixels but, importantly, do not assign independent priors to each pixel. Instead, we assume the rate density in each pixel is correlated with neighboring pixels according to a covariance kernel

$$\begin{aligned} \text{Cov}[p(\Omega_i), p(\Omega_j)] &\equiv \Xi_{ij} \\ &= \sigma_{\text{wn}}^2 \delta_{ij} + \sigma^2 \exp\left(-\frac{(\Delta\theta_{ij})^2}{\vartheta^2}\right) \end{aligned} \quad (6)$$

composed of a white noise component (uncorrelated variance within each pixel scaled by σ_{wn}^2) and a squared exponential component (described by a marginal variance σ^2 and correlation length scale ϑ) that correlates neighboring pixels based on the angular separation between their centers ($\Delta\theta_{ij}$). We fix $\sigma_{\text{wn}} = \sigma/10$, as we wish pixels to be significantly correlated and only include the white-noise variance for numerical stability. While this choice was made primarily to guarantee numerical sta-

bility within Cholesky decompositions of (at times) ill-conditioned covariance matrices with large ϑ , it also introduces a natural resolution scale at which σ_{wn} from many pixels tends to dominate the variance in $p(\Omega)$ over the sky. For large ϑ (strong squared-exponential correlations), we expect σ_{wn} to contribute a significant fraction of the overall variability when $N_{\text{pix}} \gtrsim 100$ if $\sigma_{\text{wn}} = \sigma/10$. However, for $\vartheta \sim 60^\circ$, this increases to $N_{\text{pix}} \sim 1100$, and for $\vartheta \sim 10^\circ$ it increases to $N_{\text{pix}} \sim 41,000$. We therefore expect our results to not depend strongly on the choice $\sigma_{\text{wn}} = \sigma/10$ given the range of ϑ included and the number of pixels used. We confirmed this by also investigating $\sigma_{\text{wn}} = \sigma/100$ and $\sigma_{\text{wn}} = \sigma/1000$, finding consistent results.

Just as our GRF model is related to our pixelized models with a different prior, it can also be expressed in terms of a spherical harmonic representation. Specifically, the b_l^m are independently, Normally distributed within a GRF prior, and their individual variances depend on the form of the covariance kernel (see, e.g., Ref. [48, 49]). The GRF prior controls how the prior uncertainty in b_l^m decreases as l increases; the contribution of high- l modes are limited and the resulting rate density is smooth. Similarly, the same prior controls how quickly the rate density is allowed to vary from pixel to pixel.

The key advantages of the GRF approach are that it is straightforward to learn the correlation parameters at the same time we fit the data and that it does not depend strongly on how many pixels or harmonics are included. That is, we need not make strong (and poorly understood) prior choices about how many pixels or b_l^m to include. The data itself will determine which correlations are preferred. Fig. 5 shows the resulting posteriors for the GRF parameters. The data prefer small σ when $\vartheta \gtrsim 15^\circ$, and are consistent with the isotropic limit ($\sigma \rightarrow 0$) for all ϑ .

The data do not strongly constrain the correlation length, although the constraints on σ are more stringent for longer ϑ . In other words, if neighboring pixels are significantly correlated, then the data are less consistent with large fluctuations in the rate density across the sky. This is similar to the fact that we are able to more tightly constrain the low- l coefficients in the spherical harmonic model compared to high- l coefficients.

Finally, Fig. 6 shows Mollweide projections analogous to Figs. 2 and 3 when we impose several lower limits on the correlation length ($\vartheta \geq \vartheta_{\text{min}}$). The key differences between Figs. 6 and 2 are that the most extreme excursions of the posterior's mean are smaller for the GRF models due to the correlations between neighboring pixels from the prior. We also note that, correspondingly, the fluctuations that do occur in the GRF model appear even less significant.

Nevertheless, we see features reminiscent of individual events within the posterior process's mean when ϑ_{min} is small. This is not unexpected, as the posterior mean is related to the sum of individual events' posteriors when the anisotropies are small. Appendix A describes this in

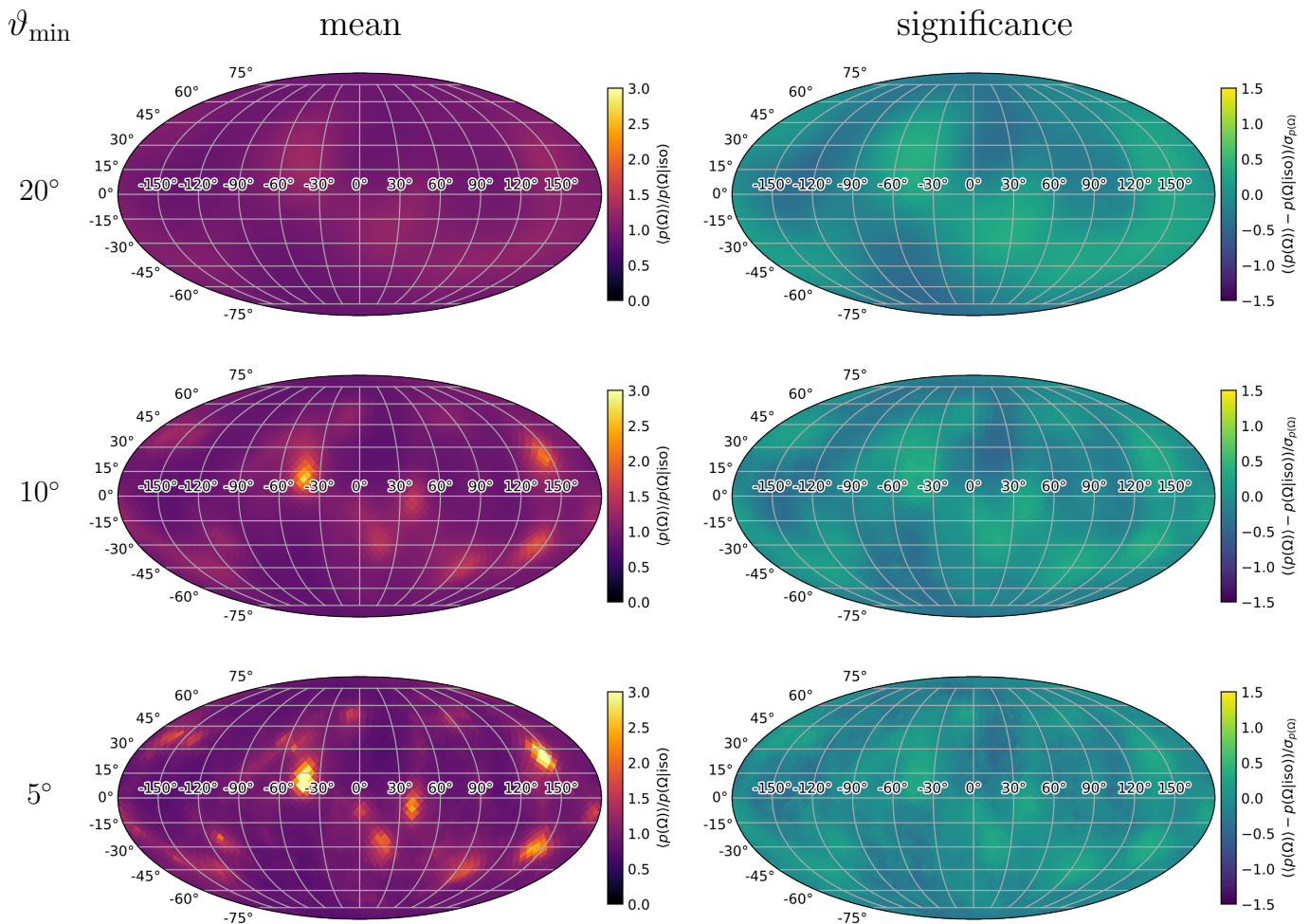


FIG. 6. Mollweide projections of the mean and significance of the rate density marginalized over correlation parameters within the GRF model with $N_{\text{pix}} = 3072$. We observe generally consistent results with the rest of our models, with a decrease in the difference between the posterior mean and isotropy as the minimum allowed correlation length (ϑ_{min}) increases.

532 more detail.

533 D. Quantifying Constraints on Anisotropy

534 As we have discussed, it can be difficult to interpret
 535 Bayes factors within our models because of ambiguity
 536 in the choices of prior ranges. For example, $\mathcal{B}_{\text{ani}}^{\text{iso}}$ can be
 537 made as large or as small as one would like within the Ex-
 538 ponentiated Spherical Harmonic model by changing the
 539 prior ranges allowed for each b_l^m . Therefore, we propose
 540 a more direct measure of the extent of anisotropies: the
 541 variance of the rate density across the sky,

$$\sigma_{p(\Omega)}^2 \equiv \frac{1}{4\pi} \int d\Omega \left(p(\Omega) - \frac{1}{4\pi} \right)^2. \quad (7)$$

542 This is closely related to the GRF model's σ parameter.
 543 Isotropy corresponds to the limit $\sigma_{p(\Omega)} = 0$.

544 In both the Healpix and Exponentiated Spherical Har-
 545 monic models, we find that the posterior supports larger

546 amounts of variability as we increase N_{pix} or l_{max} . That
 547 is, the data does not strongly constrain rapid oscillations
 548 within the distribution over the sky, and the variability
 549 in the inferred distribution is dominated by the prior in-
 550 duced over these high- l modes. In particular, both the
 551 Healpix and Exponentiated Spherical Harmonic *a priori*
 552 have vanishingly small support for small variance over
 553 the sky. This carries over to the posterior, and any upper
 554 limit on the variance will strongly depend on the prior.

555 The GRF model, on the other hand, naturally avoids
 556 this issue by simultaneously sampling over both the cor-
 557 relation parameters and the distribution over the sky.
 558 Because the GRF model contains support for all correla-
 559 tion lengths (ϑ), as opposed to a fixed choice of N_{pix} or
 560 l_{max} , we do not observe vanishing support for small vari-
 561 ances. Indeed, we obtain a consistent upper limit within
 562 the GRF model when $\vartheta_{\text{min}} \gtrsim 10^\circ$ regardless of the num-
 563 ber of pixels used. The distribution of merging binaries
 564 produces $\sigma_{p(\Omega)} \lesssim 16\%$ of the isotropic rate at 90% credi-
 565 bility when $\vartheta \geq 10^\circ$. That is, the rate density fluctuates

566 by $\lesssim 16\%$ across the sky. When $\vartheta \geq 20^\circ$, this is reduced
567 to $\lesssim 3.5\%$.

568 Additionally, we compare multiple representations of
569 the rate-density in order to assess possible model sys-
570 tematics associated with each. These systematics can be
571 thought of as correlations within the prior process for
572 the distribution over the sky that determine the allowed
573 shapes of the distribution. See Ref. [50] for more dis-
574 cussion in the context of the Neutron Star equation of
575 state. These correlations can be very high-dimensional
576 and therefore difficult to visualize. What’s more, statis-
577 tics based on one-dimensional marginal prior distribu-
578 tions analogous to those shown in Figs. 2, 3, and 6 are
579 uninformative; our priors were intentionally designed to
580 have the same marginal distribution for the rate in all
581 directions (although this is only approximately true for
582 the ESH models).

583 Because the high-dimensional correlations induced by
584 different model assumptions are difficult to visualize di-
585 rectly, we only compare a few summaries of the posterior
586 process over the sky. Nevertheless, these show that prior
587 assumptions can strongly affect the types of anisotropies
588 inferred *a posteriori*. While we leave a full investigation
589 to future work, Appendix A presents techniques to help
590 diagnose which features are constrained by the data and
591 which are constrained by the prior.

592 IV. DISCUSSION

593 Using 63 confidently detected GW sources from the
594 LVK’s third observing run, including BNS, NSBH, and
595 BBH systems, we constrained the distribution of merging
596 binaries across the celestial sphere. Our constraints im-
597 prove upon previous work that used the 11 events from
598 GWTC-1, finding constraints on anisotropies ($\mathcal{B}_{\text{ani}}^{\text{iso}}$) that
599 are a factor of a few stronger. However, because of ambi-
600 guity in the interpretation of $\mathcal{B}_{\text{ani}}^{\text{iso}}$ due to arbitrary prior
601 choices, we instead quantify constraints on anisotropies
602 with a direct measure of how much $p(\Omega)$ varies over the
603 sky. Modeling anisotropies as a Gaussian random field,
604 we constrain the fluctuations to be $\lesssim 16\%$ if the field
605 is correlated with a length scale $\geq 10^\circ$. That is, the
606 distribution of merging binaries varies by $\lesssim 16\%$ of the
607 isotropic rate at 90% credibility.

608 We also observe consistently hot pixels within all of
609 our models of $p(\Omega)$. While none of these are statisti-
610 cally significant, the brightest pixel is in the direction of
611 the constellation Equuleus. Our hot pixels tend to fall
612 near the equator on average, and they do not match the
613 hot spots found in previous work with GWTC-1 [21, 23].
614 This is consistent with the expectation that the distribu-
615 tion is isotropic, and we are in effect “fitting noise” when
616 we construct maps of the mean $p(\Omega)$.

617 Nonetheless, it may be interesting to extend this work
618 in the future. In particular, we have only studied
619 isotropy, and the cosmological principle also predicts
620 homogeneity. It may be of interest to not only con-

621 sider clustering in three spatial dimensions,⁸ but also
622 correlations between intrinsic source properties (masses,
623 spins, etc) and extrinsic properties (location, orientation,
624 etc). Furthermore, correlating anisotropies and/or inho-
625 mogeneities in GW catalogs with other catalogs will be
626 of increasing importance. Under the assumption that
627 GW events only come from galaxies, current galaxy cat-
628 alogs have been used to provide a weak constraint on the
629 Hubble parameter [2]. With larger GW catalogs, it may
630 be possible to directly test the assumption that GWs
631 only come from galaxies, or to determine which types
632 of galaxies are more likely to host GW sources [7, 8].
633 More generally, this may constrain cosmic structure, and
634 clustering scales in GW catalogs could connect to the
635 mass scales of typical host galaxies [9]. Of course, there
636 may also be synergies from connecting the distribution
637 of nearby, well-resolved systems with the stochastic GW
638 background from the myriad more distance sources [26].

639 The LVK also searches for unmodeled “burst” events.
640 If such events are detected and no obvious source presents
641 itself, determining whether the sources are isotropically
642 distributed or correlated with local structure can inform
643 the distance to the events and therefore their energy
644 scale. Demonstrating the ability to perform such a mea-
645 surement and determining the size of the catalog needed
646 to rule out isotropy⁹ may be worth establishing before
647 such events are detected.

648 For all these reasons and more, it is worth studying
649 in greater detail which properties of individual events
650 make them informative and over what angular scales.
651 Indeed, as the size of the catalog grows, we may wish
652 to know whether the isotropy constraints will always be
653 dominated by the best-localized events or whether the le-
654 gion of poorly localized events will eventually dominate
655 through sheer force of numbers. We have also shown that
656 most of the information about (an)isotropy is carried by
657 the best-localized events. As searches become more sen-
658 sitive to quieter events and/or events detected in a single
659 interferometer, we may expect the rate at which isotropy
660 constraints improve to slow as a larger fraction of GW
661 catalogs will have large, uninformative localizations.¹⁰

662 Here we used 63 confident BNS, NSBH, and BBH de-
663 tectations from O3 to place limits on the anisotropy of
664 gravitational wave events on the sky. We do not find
665 any evidence for anisotropy. On the contrary, using flex-
666 ible and data-driven models we bound the variability of

⁸ The rate of GW sources almost certainly evolves over cosmic time [1, 51]. This means we will need to consider the effect of lookback time when considering inhomogeneities in the spatial distribution.

⁹ Note that even a single event may rule out the correlation with local structure, and therefore ruling out isotropy when the events do correlate with local structure is likely to be of greater interest.

¹⁰ Appendix A introduces an eigenvalue analysis of which anisotropies can be best constrained with current data. The magnitude of the eigenvalues rapidly decreases, suggesting that it may take many more events to precisely constrain high- l modes compared to low- l modes.

667 the gravitational wave merger rate over the sky to $\lesssim 16\%$ 683
 668 on scales larger than 10° , or $\lesssim 3.5\%$ on scales larger than 684
 669 20° . As the GW catalog continues to grow, implementa- 685
 670 tion of our methodology will lead to more definitive mea- 686
 671 surements. Understanding the homogeneity and isotropy 687
 672 of GW sources is an important astrophysical and cosmo- 688
 673 logical probe of this newly discovered population. There 689
 674 are still many unknowns about the distribution of merg- 690
 675 ing binaries, and future catalogs will continue to provide 691
 676 surprises if we continue to look for them. 692

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Appendix A: Perturbative Analysis for Small Anisotropies

989

990 We consider in detail how the data constrain different degrees of freedom in the distribution of merging binaries.
 991 Ref. [58] introduced expressions for the Fisher information matrix that describes how constraining the data is expected
 992 to be on average. We instead consider the constraints from a particular realization of data by perturbing the likelihood
 993 directly.

994 In particular, we construct a model that perturbs a “base distribution” over the single-event parameters θ by a
 995 small amount. That is, we consider a rate density

$$\frac{dN}{d\theta} = \mathcal{R}p(\theta|\Lambda)(1 + \eta(\theta)) \quad (\text{A1})$$

with $|\eta| \ll 1 \forall \theta$. Inserting this into the inhomogeneous Poisson likelihood [39–41, 58], we obtain

$$\begin{aligned} \ln p(\{D_i\}|\mathcal{R}, \Lambda, \eta) &= N \ln [\mathcal{R}] - \mathcal{R} \int d\theta P(\det|\theta)p(\theta|\Lambda)(1 + \eta(\theta)) + \sum_i^N \ln \left[\int d\theta p(D_i|\theta)p(\theta|\Lambda)(1 + \eta(\theta)) \right] \\ &= N \ln [\mathcal{R}] - \mathcal{R} \int d\theta P(\det|\theta)p(\theta|\Lambda) + \sum_i^N \ln \left[\int d\theta p(D_i|\theta)p(\theta|\Lambda) \right] \\ &\quad - \mathcal{R} \int d\theta P(\det|\theta)p(\theta|\Lambda)\eta(\theta) + \sum_i^N \left[\frac{\int d\theta p(D_i|\theta)p(\theta|\Lambda)\eta(\theta)}{\int d\theta p(D_i|\theta)p(\theta|\Lambda)} - \frac{1}{2} \left(\frac{\int d\theta p(D_i|\theta)p(\theta|\Lambda)\eta(\theta)}{\int d\theta p(D_i|\theta)p(\theta|\Lambda)} \right)^2 + \dots \right] \end{aligned} \quad (\text{A2})$$

996 We recognize

$$\frac{p(D_i|\theta)p(\theta|\Lambda)}{\int d\theta p(D_i|\theta)p(\theta|\Lambda)} = p(\theta|D_i, \Lambda) \quad (\text{A3})$$

and, retaining only terms up to second order in η , obtain

$$\begin{aligned} \ln p(\{D_i\}|\mathcal{R}, \Lambda, \eta) - \ln p(\{D_i\}|\mathcal{R}, \Lambda, \eta = 0) &= - \int d\theta \eta(\theta) \left[\mathcal{R}P(\det|\Lambda)p(\theta|\det, \Lambda) - \sum_i^N p(\theta|D_i, \Lambda) \right] \\ &\quad - \frac{1}{2} \int d\theta d\theta' \eta(\theta) \left[\sum_i^N p(\theta|D_i, \Lambda)p(\theta'|D_i, \Lambda) \right] \eta(\theta') \end{aligned} \quad (\text{A4})$$

997 We see, then, that the inhomogeneous Poisson likelihood naturally induces a Gaussian process over small perturbations
 998 away from a base distribution. In particular, the Gaussian process has a positive semi-definite inverse covariance matrix

$$\text{Cov}^{-1}[\eta(\theta), \eta(\theta')] = \sum_i^N p(\theta|D_i, \Lambda)p(\theta'|D_i, \Lambda) \quad (\text{A5})$$

999 Examining the mean vector in more detail, we see that it is proportional to the difference of two terms. Taking
 1000 the maximum likelihood estimate for \mathcal{R} conditioned on Λ and N , we expect $\mathcal{R}P(\det|\Lambda) = N$. Therefore, the mean
 1001 vector is proportional to the difference between the distribution over θ for detectable sources and the average of the
 1002 single-event posteriors. This is intuitively appealing and explains why stacking (adding) posteriors can often produce
 1003 useful diagnostics even if it is not the correct way to perform a hierarchical inference [39]. This is also why the mean of
 1004 the GRF model in Sec. III C at times displays features reminiscent of individual events. Some events are well localized
 1005 relative to $p(\theta|\det, \Lambda)$ and therefore the mean looks as if we simply summed the posteriors of each event (compare
 1006 Fig. 6 to Fig. 9).

1007 We can also consider which types of features are constrained by the data by examining the eigenvectors and
 1008 eigenvalues of the inverse covariance matrix. While this analysis is completely general,¹¹ we specialize to the case at

¹¹ Similar “semi-parametric” analyses have been conducted for the mass distribution, although they implemented a spline model for the deviations from the base model [59]. However, considering the full Gaussian process induced by the likelihood and adopting a conjugate prior may allow for a clearer determination of exactly which features are driven by the data and which are driven by

the prior assumptions, particularly when the correlations in the prior span high-dimensional spaces. Furthermore, this type of perturbative analysis can be conducted completely *post hoc* given any base distribution, even semi-parametric or non-parametric representations of $p(\theta|\Lambda)$.

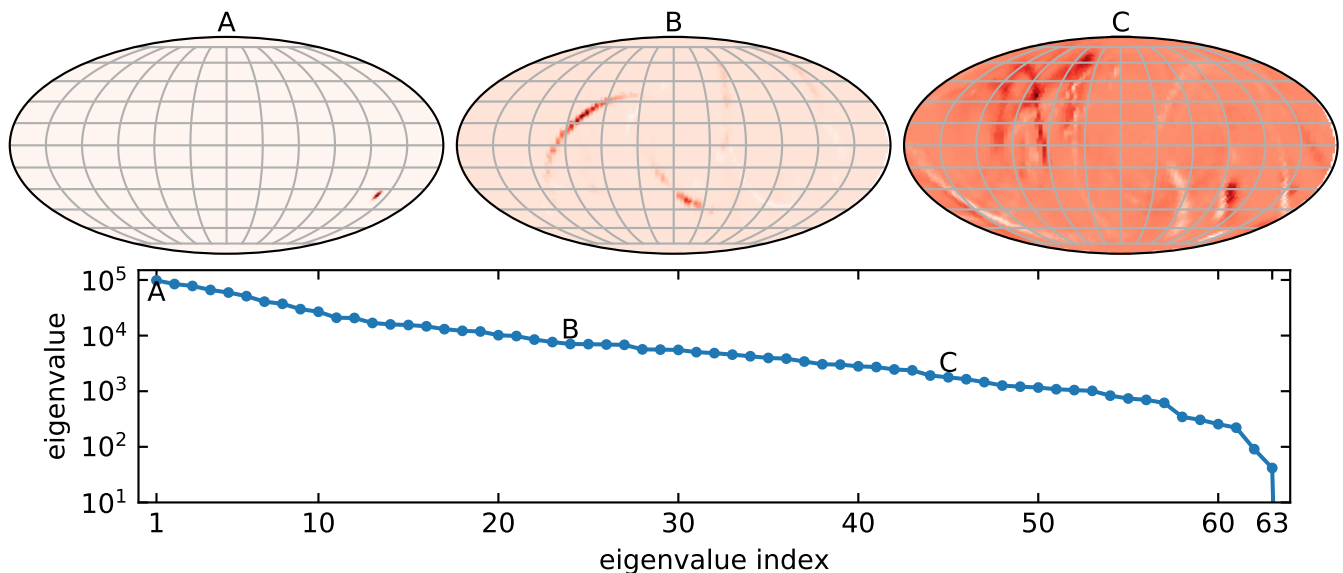


FIG. 7. Non-vanishing eigenvalues and example eigenvectors from the perturbative analysis of 63 events from O3. (*top, left to right*) The best-constrained eigenvector and two less constrained eigenvectors. (*bottom*) The distribution of eigenvalues, which decays roughly exponentially up to the 63rd eigenvalue. After that, the eigenvalues for the remaining eigenvectors are many orders of magnitude smaller.

1009 hand: an isotropic base distribution with masses, spins, and redshifts distributed as in Table I. We also only perturb
 1010 the distribution over the sky. Immediately, we see that the only pixels that are constrained by the data are those
 1011 that have non-zero probability of containing at least one event under the base model ($p(\theta|D_i, \Lambda) \neq 0$ for at least
 1012 one D_i). It is natural to control these poorly constrained eigenvectors with a Gaussian process prior, like the one
 1013 introduced in Sec. III C. Indeed, if we fix the base distribution (including the rate), then we can construct a posterior
 1014 for η analytically.

1015 What's more, the magnitude of the inverse-covariance matrix's eigenvalues rapidly decays. Fig. 7 demonstrates
 1016 this with our selection of 63 events from O3. As such, we can always expect there to be many eigenvectors that are
 1017 dominated by the prior for any finite catalog. Fig. 7 also shows a few eigenvectors. Typically, the best-constrained
 1018 eigenvectors resemble well-localized individual events, or just a few pixels on the sky, while less constrained eigenvectors
 1019 resemble the overlap of multiple events.

1020

Appendix B: Selected Events and Catalog Sensitivity

1021 For completeness, we present the estimates of our survey's sensitivity (false alarm rate for any search $\leq 1/\text{year}$)
 1022 across the sky assuming the mass, spin, and redshift populations in Table I. *As the injected distribution within*
 1023 *Ref. [35] is somewhat complicated and not particularly astrophysically motivated, we have reweighed the injections so*
 1024 *the injected distribution matches the distributions listed in Table I.* Fig. 8 shows the distribution of detected events
 1025 from an isotropic source distribution.

1026 While the search sensitivity is nearly uniform, we do observe slight excesses of detected injections from the mid-
 1027 latitudes and a dearth of detections near the equator, in agreement with Fig. 1 of Ref. [22]. We also note that the
 1028 diurnal cycle identified by Ref. [22] during the first observing run (O1) is not apparent in O3. This is likely due to
 1029 a combination of factors: the detector duty cycles were higher in O3 than in O1 [60], and O3 lasted for nearly a full
 1030 calendar year, thereby washing out the impact of a diurnal cycle (determined by the Earth's orientation to the Sun)
 1031 when projected on the celestial sphere.

1032 Fig. 9 shows the superposition of all the individual events' localizations *assuming an isotropic distribuiton and*
 1033 *reweighing individual events' posterior samples to match the mass, spin, and redshift populations listed in Table I.*
 1034 Overdensities of points correspond to hot-spots in Fig. 6, as expected based on the analysis in Appendix A. Table III
 1035 shows the medians and 90% symmetric credible intervals for the component masses, spins, and redshifts of each of
 1036 the 63 selected events *after reweighing the posteriors samples so the prior matches the distributions in Table I and*
 1037 *an isotropic distribution over the sky.*

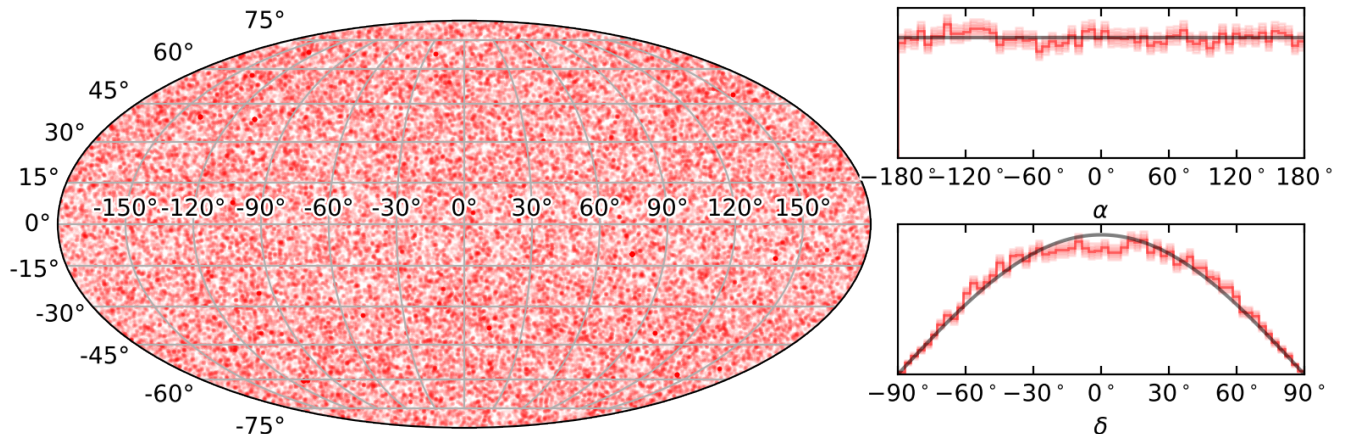


FIG. 8. Distribution of detected injections from O3 [35] assuming an isotropic population with mass, spin, and redshift distributions listed in Table I. (*left*) Scatter plot of detected events in a Mollweide projection. (*right*) Marginal distributions of the detected population (*red*) and the isotropic distribution (*black*) for reference. Shaded regions correspond to 1-, 2-, and 3- σ uncertainty on the detected distribution’s marginals from the finite number of injections.

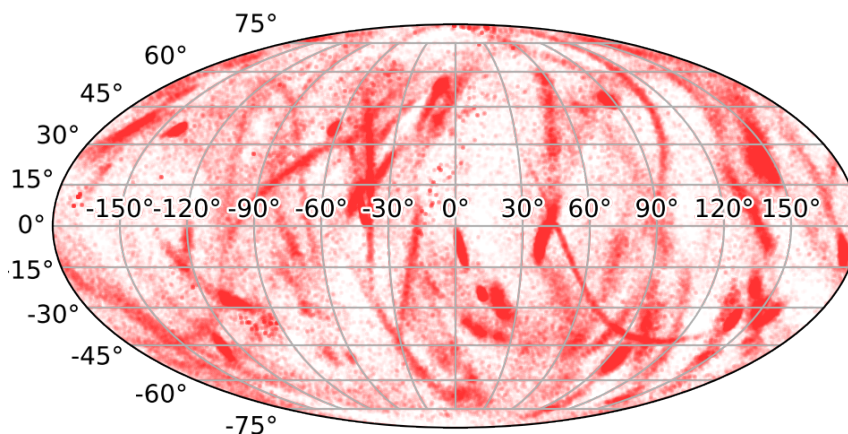


FIG. 9. Superposition of localization estimates from all 63 events considered in this study. Each point is a fair draw from one event’s posterior assuming an isotropic distribution over the sky and the mass, spin, and redshift distributions in Table I. Darker shading corresponds to areas with many overlapping events or extremely well localized events, and roughly correspond to the hot-spots seen in the posterior means in Fig. 6. See Table III for individual events’ localizations.

1038 Single-event posterior samples for all events detected during the first half of O3 (O3a; GWTC-2 [32]) were
 1039 taken from Ref. [61], with the exception of two events first published in GWTC-2.1 [33]: GW190725_174728 and
 1040 GW190805_211137. Posterior samples for these events are available in Ref. [62]. Samples for events from the second
 1041 half of O3 (O3b; GWTC-3 [34]) are available within Ref. [63].

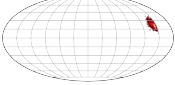
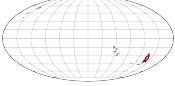
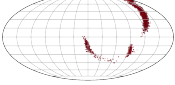
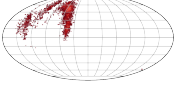
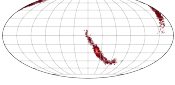
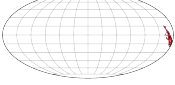
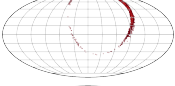
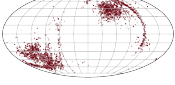
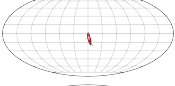
1042 Although only used to benchmark our constraints from O3, posterior samples for events from GWTC-1 are available
 1043 in Ref. [64]. Because these samples do not include all the Cartesian spin components and because spin inference largely
 1044 decouples from localization, we do not include the prior for the spin within analyses of GWTC-1.

TABLE III. Medians and 90% symmetric credible regions for each of our 63 events assuming an isotropic source distribution and the mass, spin, and redshift distributions from Table I. We also show the smallest area on the sky that contains 90% of the posterior probability and a scatter plot of posterior samples. Brighter colors in scatter plots correspond to higher likelihoods, and each point is a fair draw from the posterior.

name	$m_1 [M_\odot]$	$m_2 [M_\odot]$	z	$D_L [\text{Mpc}]$	$\Delta\Omega_{90\%}[\text{deg}^2]$	skymap
GW190408_181802	$22.89^{+4.14}_{-2.11}$	$19.88^{+2.60}_{-3.20}$	$0.29^{+0.07}_{-0.10}$	$1540.36^{+427.70}_{-601.78}$	179.2	
GW190412	$26.10^{+5.91}_{-6.26}$	$9.45^{+3.06}_{-1.53}$	$0.14^{+0.03}_{-0.04}$	$694.54^{+174.19}_{-217.11}$	83.3	
GW190413_052954	$29.96^{+8.25}_{-5.29}$	$25.65^{+6.03}_{-5.34}$	$0.60^{+0.26}_{-0.24}$	$3633.56^{+2041.16}_{-1627.01}$	1425.1	
GW190413_134308	$41.19^{+10.54}_{-7.08}$	$35.24^{+8.75}_{-7.96}$	$0.74^{+0.30}_{-0.31}$	$4734.55^{+2403.83}_{-2238.56}$	562.5	
GW190421_213856	$38.18^{+7.54}_{-5.33}$	$33.24^{+6.73}_{-5.93}$	$0.50^{+0.19}_{-0.20}$	$2936.10^{+1363.16}_{-1339.61}$	1033.4	
GW190424_180648	$37.30^{+7.63}_{-5.57}$	$32.78^{+6.24}_{-5.79}$	$0.41^{+0.21}_{-0.19}$	$2297.44^{+1483.64}_{-1174.10}$	25972.7	
GW190425	$1.90^{+0.45}_{-0.23}$	$1.44^{+0.18}_{-0.25}$	$0.04^{+0.01}_{-0.02}$	$161.23^{+65.85}_{-72.41}$	8517.3	
GW190503_185404	$38.26^{+7.94}_{-5.17}$	$31.87^{+5.56}_{-7.00}$	$0.29^{+0.11}_{-0.12}$	$1519.47^{+692.77}_{-695.95}$	108.3	
GW190512_180714	$18.86^{+5.65}_{-2.52}$	$15.12^{+2.33}_{-3.04}$	$0.28^{+0.09}_{-0.10}$	$1470.52^{+577.61}_{-595.76}$	245.9	
GW190513_205428	$28.33^{+7.97}_{-3.93}$	$23.24^{+4.30}_{-5.91}$	$0.38^{+0.13}_{-0.15}$	$2096.74^{+900.07}_{-941.55}$	462.5	
GW190517_055101	$33.08^{+7.38}_{-5.58}$	$27.98^{+5.03}_{-5.61}$	$0.36^{+0.23}_{-0.15}$	$1998.60^{+1622.41}_{-940.58}$	429.2	
GW190519_153544	$59.04^{+11.70}_{-12.54}$	$44.10^{+9.68}_{-10.02}$	$0.49^{+0.30}_{-0.16}$	$2835.40^{+2212.13}_{-1092.74}$	820.9	
GW190521	$82.90^{+19.55}_{-12.45}$	$70.36^{+17.39}_{-13.88}$	$0.72^{+0.29}_{-0.28}$	$4514.92^{+2346.49}_{-2003.55}$	887.6	
GW190521_074359	$40.25^{+5.25}_{-3.87}$	$34.61^{+4.23}_{-5.26}$	$0.24^{+0.07}_{-0.10}$	$1252.81^{+405.74}_{-552.87}$	491.7	
GW190527_092055	$30.70^{+8.05}_{-5.44}$	$25.67^{+6.36}_{-6.12}$	$0.44^{+0.27}_{-0.20}$	$2506.95^{+1986.41}_{-1268.74}$	3329.4	
GW190602_175927	$61.27^{+12.96}_{-9.71}$	$51.57^{+10.20}_{-11.95}$	$0.51^{+0.25}_{-0.18}$	$2982.27^{+1881.03}_{-1207.87}$	725.1	
GW190620_030421	$48.09^{+12.52}_{-7.76}$	$39.74^{+8.43}_{-9.34}$	$0.54^{+0.21}_{-0.21}$	$3185.49^{+1588.11}_{-1422.76}$	6158.8	

name	$m_1 [M_\odot]$	$m_2 [M_\odot]$	z	D_L [Mpc]	$\Delta\Omega_{90\%}[\text{deg}^2]$	skymap
GW190630_185205	$31.73^{+5.66}_{-3.57}$	$26.65^{+3.24}_{-4.89}$	$0.17^{+0.11}_{-0.06}$	$837.61^{+619.59}_{-336.30}$	1558.4	
GW190701_203306	$49.52^{+8.74}_{-5.85}$	$43.15^{+6.51}_{-8.13}$	$0.38^{+0.11}_{-0.11}$	$2096.04^{+738.49}_{-712.16}$	66.7	
GW190706_222641	$55.49^{+15.88}_{-10.15}$	$43.59^{+10.47}_{-10.37}$	$0.82^{+0.29}_{-0.31}$	$5335.31^{+2430.24}_{-2363.03}$	620.9	
GW190707_093326	$10.58^{+1.95}_{-0.97}$	$9.06^{+0.90}_{-1.32}$	$0.17^{+0.06}_{-0.08}$	$857.19^{+333.14}_{-427.25}$	1416.8	
GW190708_232457	$16.31^{+2.76}_{-1.32}$	$14.20^{+1.37}_{-2.16}$	$0.17^{+0.06}_{-0.07}$	$876.65^{+334.85}_{-383.05}$	10021.6	
GW190719_215514	$28.97^{+9.29}_{-5.41}$	$24.50^{+6.37}_{-5.60}$	$0.64^{+0.31}_{-0.28}$	$3942.61^{+2475.88}_{-1938.22}$	2579.4	
GW190720_000836	$10.94^{+2.69}_{-1.29}$	$9.21^{+1.07}_{-1.71}$	$0.18^{+0.11}_{-0.07}$	$882.93^{+668.84}_{-372.22}$	616.7	
GW190725_174728	$9.32^{+2.69}_{-1.01}$	$7.88^{+0.99}_{-1.64}$	$0.20^{+0.10}_{-0.09}$	$1034.40^{+598.20}_{-476.09}$	2162.7	
GW190727_060333	$35.37^{+6.95}_{-4.66}$	$30.87^{+5.82}_{-5.50}$	$0.56^{+0.20}_{-0.22}$	$3367.62^{+1488.39}_{-1502.40}$	741.7	
GW190728_064510	$10.74^{+2.26}_{-0.85}$	$9.25^{+0.87}_{-1.49}$	$0.17^{+0.05}_{-0.07}$	$856.36^{+271.90}_{-355.00}$	325.0	
GW190731_140936	$36.95^{+9.29}_{-6.92}$	$31.37^{+7.43}_{-7.46}$	$0.58^{+0.31}_{-0.26}$	$3535.48^{+2373.90}_{-1769.26}$	3091.9	
GW190803_022701	$33.81^{+7.51}_{-5.18}$	$29.19^{+6.34}_{-5.74}$	$0.57^{+0.24}_{-0.24}$	$3412.79^{+1822.16}_{-1610.66}$	1458.4	
GW190805_211137	$41.34^{+11.95}_{-8.35}$	$34.74^{+9.88}_{-8.26}$	$0.89^{+0.44}_{-0.39}$	$5914.41^{+3760.37}_{-2975.96}$	3533.6	
GW190814	$23.41^{+1.12}_{-0.92}$	$2.57^{+0.08}_{-0.09}$	$0.05^{+0.01}_{-0.01}$	$245.77^{+40.13}_{-43.26}$	29.2	
GW190828_063405	$30.67^{+4.91}_{-3.15}$	$27.12^{+4.26}_{-3.70}$	$0.38^{+0.10}_{-0.15}$	$2128.25^{+668.61}_{-928.63}$	475.0	
GW190828_065509	$17.39^{+6.81}_{-2.57}$	$13.44^{+2.19}_{-3.22}$	$0.32^{+0.10}_{-0.11}$	$1714.51^{+658.82}_{-682.49}$	745.9	
GW190910_112807	$41.62^{+6.16}_{-5.53}$	$36.51^{+5.31}_{-5.82}$	$0.29^{+0.16}_{-0.10}$	$1535.74^{+1021.35}_{-604.01}$	9838.2	
GW190915_235702	$30.84^{+5.22}_{-3.61}$	$26.76^{+3.91}_{-4.28}$	$0.31^{+0.10}_{-0.11}$	$1699.15^{+678.11}_{-649.29}$	362.5	

name	$m_1 [M_\odot]$	$m_2 [M_\odot]$	z	D_L [Mpc]	$\Delta\Omega_{90\%}[\text{deg}^2]$	skymap
GW190924_021846	$7.20^{+1.90}_{-0.59}$	$6.06^{+0.56}_{-1.11}$	$0.12^{+0.04}_{-0.05}$	$572.77^{+215.26}_{-229.08}$	358.4	
GW190929_012149	$50.07^{+17.25}_{-11.85}$	$35.38^{+11.44}_{-11.85}$	$0.71^{+0.35}_{-0.30}$	$4440.24^{+2803.54}_{-2138.08}$	1954.3	
GW190930_133541	$10.58^{+2.24}_{-0.95}$	$9.02^{+0.91}_{-1.48}$	$0.15^{+0.06}_{-0.06}$	$758.74^{+351.63}_{-328.50}$	1683.5	
GW191103_012549	$10.33^{+2.24}_{-0.97}$	$8.98^{+1.01}_{-1.61}$	$0.19^{+0.08}_{-0.09}$	$968.08^{+488.21}_{-468.99}$	2558.5	
GW191105_143521	$9.69^{+1.96}_{-0.86}$	$8.41^{+0.89}_{-1.42}$	$0.22^{+0.07}_{-0.09}$	$1147.37^{+406.73}_{-479.83}$	820.9	
GW191109_010717	$60.18^{+10.44}_{-10.59}$	$48.18^{+12.55}_{-11.35}$	$0.27^{+0.22}_{-0.13}$	$1409.53^{+1453.76}_{-760.26}$	1579.3	
GW191127_050227	$32.60^{+13.87}_{-6.59}$	$28.11^{+10.78}_{-7.04}$	$0.52^{+0.42}_{-0.33}$	$3041.05^{+3244.28}_{-2094.05}$	1029.2	
GW191129_134029	$9.18^{+2.47}_{-0.79}$	$7.77^{+0.85}_{-1.58}$	$0.15^{+0.05}_{-0.06}$	$765.83^{+269.14}_{-328.52}$	1333.4	
GW191204_171526	$10.82^{+2.41}_{-0.95}$	$9.03^{+0.85}_{-1.54}$	$0.13^{+0.04}_{-0.05}$	$632.63^{+192.64}_{-234.48}$	329.2	
GW191215_223052	$22.85^{+4.53}_{-2.76}$	$19.76^{+2.98}_{-3.37}$	$0.34^{+0.14}_{-0.14}$	$1879.79^{+940.85}_{-851.88}$	562.5	
GW191216_213338	$10.40^{+2.76}_{-0.83}$	$8.82^{+0.73}_{-1.70}$	$0.07^{+0.02}_{-0.03}$	$338.99^{+113.86}_{-133.57}$	241.7	
GW191222_033537	$41.82^{+8.59}_{-6.58}$	$36.40^{+7.70}_{-7.21}$	$0.50^{+0.24}_{-0.24}$	$2903.37^{+1792.76}_{-1574.71}$	1991.8	
GW191230_180458	$44.21^{+9.88}_{-7.17}$	$38.46^{+8.55}_{-7.67}$	$0.72^{+0.25}_{-0.27}$	$4529.72^{+2043.75}_{-1969.25}$	1100.1	
GW200105_162426	$9.13^{+2.40}_{-1.57}$	$1.89^{+0.29}_{-0.31}$	$0.06^{+0.02}_{-0.02}$	$271.86^{+113.79}_{-112.24}$	7496.4	
GW200112_155838	$33.67^{+5.33}_{-3.29}$	$29.29^{+3.40}_{-4.90}$	$0.24^{+0.07}_{-0.09}$	$1276.29^{+431.75}_{-490.32}$	3204.4	
GW200115_042309	$6.32^{+1.19}_{-1.08}$	$1.37^{+0.23}_{-0.16}$	$0.06^{+0.03}_{-0.02}$	$288.40^{+128.24}_{-93.89}$	366.7	
GW200128_022011	$38.41^{+9.03}_{-6.73}$	$33.34^{+8.24}_{-6.95}$	$0.57^{+0.28}_{-0.27}$	$3399.78^{+2147.79}_{-1801.03}$	2466.8	
GW200129_065458	$33.66^{+5.33}_{-2.63}$	$29.55^{+3.27}_{-5.16}$	$0.19^{+0.04}_{-0.07}$	$942.17^{+250.98}_{-387.45}$	45.8	

name	$m_1 [M_\odot]$	$m_2 [M_\odot]$	z	$D_L [\text{Mpc}]$	$\Delta\Omega_{90\%} [\text{deg}^2]$	skymap
GW200202_154313	$9.17^{+1.81}_{-0.60}$	$8.09^{+0.59}_{-1.28}$	$0.09^{+0.03}_{-0.04}$	$411.93^{+140.20}_{-176.53}$	158.3	
GW200208_130117	$34.53^{+6.52}_{-4.44}$	$29.72^{+4.72}_{-5.62}$	$0.40^{+0.14}_{-0.14}$	$2244.01^{+992.89}_{-901.31}$	33.3	
GW200209_085452	$32.47^{+7.19}_{-5.42}$	$27.99^{+6.79}_{-5.49}$	$0.56^{+0.24}_{-0.29}$	$3352.15^{+1852.25}_{-1931.87}$	1025.1	
GW200216_220804	$41.65^{+11.55}_{-7.80}$	$35.68^{+8.75}_{-9.53}$	$0.68^{+0.35}_{-0.34}$	$4272.53^{+2811.53}_{-2392.08}$	3104.4	
GW200219_094415	$34.11^{+7.64}_{-5.13}$	$29.40^{+6.34}_{-5.71}$	$0.58^{+0.22}_{-0.24}$	$3522.91^{+1680.98}_{-1655.16}$	745.9	
GW200224_222234	$38.35^{+5.64}_{-3.64}$	$33.67^{+4.29}_{-5.22}$	$0.32^{+0.08}_{-0.12}$	$1725.50^{+488.84}_{-691.54}$	50.0	
GW200225_060421	$17.91^{+3.22}_{-2.11}$	$15.44^{+1.96}_{-2.93}$	$0.22^{+0.09}_{-0.09}$	$1104.17^{+526.97}_{-493.85}$	616.7	
GW200302_015811	$31.47^{+8.84}_{-5.68}$	$24.26^{+5.11}_{-6.64}$	$0.30^{+0.16}_{-0.13}$	$1608.82^{+1041.31}_{-780.03}$	8684.0	
GW200311_115853	$32.60^{+4.69}_{-3.04}$	$28.83^{+3.49}_{-4.53}$	$0.23^{+0.05}_{-0.08}$	$1169.68^{+284.52}_{-433.83}$	45.8	
GW200316_215756	$11.00^{+3.10}_{-1.17}$	$9.20^{+0.97}_{-1.77}$	$0.22^{+0.08}_{-0.08}$	$1120.21^{+448.67}_{-437.91}$	370.9	