



This is the accepted manuscript made available via CHORUS. The article has been published as:

Improved measurements of the β-decay response of liquid xenon with the LUX detector

D. S. Akerib et al. (LUX Collaboration)

Phys. Rev. D **100**, 022002 — Published 10 July 2019

DOI: 10.1103/PhysRevD.100.022002

Improved Measurements of the β -Decay Response of Liquid Xenon with the LUX Detector

```
D.S. Akerib, ^{1,\,2,\,3}S. Alsum, ^4H.M. Araújo, ^5X. Bai, ^6J. Balajthy, ^{7,\,8}A. Baxter, ^9P. Beltrame, ^{10}E.P. Bernard, ^{11}A. Bernstein, ^{12}T.P. Biesiadzinski, ^{1,\,2,\,3}E.M. Boulton, ^{11,\,13,\,14}B. Boxer, ^9P. Brás, ^{15}S. Burdin, ^9
      D. Byram, <sup>16, 17</sup> M.C. Carmona-Benitez, <sup>18</sup> C. Chan, <sup>19</sup> J.E. Cutter, <sup>8</sup> L. de Viveiros, <sup>18</sup> E. Druszkiewicz, <sup>20</sup>
 S.R. Fallon,<sup>21</sup> A. Fan,<sup>2,3</sup> S. Fiorucci,<sup>13,19</sup> R.J. Gaitskell,<sup>19</sup> J. Genovesi,<sup>21</sup> C. Ghag,<sup>22</sup> M.G.D. Gilchriese,<sup>13</sup> C. Gwilliam,<sup>9</sup> C.R. Hall,<sup>7</sup> S.J. Haselschwardt,<sup>23</sup> S.A. Hertel,<sup>24,13</sup> D.P. Hogan,<sup>11</sup> M. Horn,<sup>17,11</sup> D.Q. Huang,<sup>19</sup> C.M. Ignarra,<sup>2,3</sup> R.G. Jacobsen,<sup>11</sup> O. Jahangir,<sup>22</sup> W. Ji,<sup>1,2,3</sup> K. Kamdin,<sup>11,13</sup> K. Kazkaz,<sup>12</sup> D. Khaitan,<sup>20</sup>
 E.V. Korolkova, <sup>25</sup> S. Kravitz, <sup>13</sup> V.A. Kudryavtsev, <sup>25</sup> E. Leason, <sup>10</sup> B.G. Lenardo, <sup>8,12</sup> K.T. Lesko, <sup>13</sup> J. Liao, <sup>19</sup>
    J. Lin, <sup>11</sup> A. Lindote, <sup>15</sup> M.I. Lopes, <sup>15</sup> A. Manalaysay, <sup>8</sup> R.L. Mannino, <sup>26,4</sup> N. Marangou, <sup>5</sup> M.F. Marzioni, <sup>10</sup>
       D.N. McKinsey, <sup>11,13</sup> D.-M. Mei, <sup>16</sup> M. Moongweluwan, <sup>20</sup> J.A. Morad, <sup>8</sup> A.St.J. Murphy, <sup>10</sup> A. Naylor, <sup>25</sup> C. Nehrkorn, <sup>23</sup> H.N. Nelson, <sup>23</sup> F. Neves, <sup>15</sup> A. Nilima, <sup>10</sup> K.C. Oliver-Mallory, <sup>11,13</sup> K.J. Palladino, <sup>4</sup> E.K. Pease, <sup>11,13</sup> Q. Riffard, <sup>11,13</sup> G.R.C. Rischbieter, <sup>21</sup> C. Rhyne, <sup>19</sup> P. Rossiter, <sup>25</sup> S. Shaw, <sup>23,22</sup>
       T.A. Shutt, <sup>1,2,3</sup> C. Silva, <sup>15</sup> M. Solmaz, <sup>23</sup> V.N. Solovov, <sup>15</sup> P. Sorensen, <sup>13</sup> T.J. Sumner, <sup>5</sup> M. Szydagis, <sup>21</sup>
  D.J. Taylor, <sup>17</sup> R. Taylor, <sup>5</sup> W.C. Taylor, <sup>19</sup> B.P. Tennyson, <sup>14</sup> P.A. Terman, <sup>26</sup> D.R. Tiedt, <sup>6</sup> W.H. To, <sup>27</sup> M. Tripathi, <sup>8</sup> L. Tvrznikova, <sup>11, 13, 14</sup> U. Utku, <sup>22</sup> S. Uvarov, <sup>8</sup> A. Vacheret, <sup>5</sup> V. Velan, <sup>11</sup> R.C. Webb, <sup>26</sup> J.T. White, <sup>26</sup> T.J. Whitis, <sup>1, 2, 3</sup> M.S. Witherell, <sup>13</sup> F.L.H. Wolfs, <sup>20</sup> D. Woodward, <sup>18</sup> J. Xu, <sup>12</sup> and C. Zhang <sup>16</sup>
          <sup>1</sup>Case Western Reserve University, Department of Physics, 10900 Euclid Ave, Cleveland, OH 44106, USA
                    <sup>2</sup>SLAC National Accelerator Laboratory, 2575 Sand Hill Road, Menlo Park, CA 94205, USA
                                               <sup>3</sup>Kavli Institute for Particle Astrophysics and Cosmology,
                                         Stanford University, 452 Lomita Mall, Stanford, CA 94309, USA
                                              <sup>4</sup> University of Wisconsin-Madison, Department of Physics,
                                                      1150 University Ave., Madison, WI 53706, USA
                                                      <sup>5</sup>Imperial College London, High Energy Physics,
                                                Blackett Laboratory, London SW7 2BZ, United Kingdom
                                                      <sup>6</sup>South Dakota School of Mines and Technology,
                                                  501 East St Joseph St., Rapid City, SD 57701, USA
                             <sup>7</sup> University of Maryland, Department of Physics, College Park, MD 20742, USA
                <sup>8</sup> University of California Davis, Department of Physics, One Shields Ave., Davis, CA 95616, USA
                                    University of Liverpool, Department of Physics, Liverpool L69 7ZE, UK
         <sup>10</sup>SUPA, School of Physics and Astronomy, University of Edinburgh, Edinburgh EH9 3FD, United Kingdom
                         <sup>11</sup> University of California Berkeley, Department of Physics, Berkeley, CA 94720, USA
                        <sup>12</sup>Lawrence Livermore National Laboratory, 7000 East Ave., Livermore, CA 94551, USA
                         <sup>13</sup>Lawrence Berkeley National Laboratory, 1 Cyclotron Rd., Berkeley, CA 94720, USA
                       <sup>14</sup> Yale University, Department of Physics, 217 Prospect St., New Haven, CT 06511, USA
            <sup>15</sup>LIP-Coimbra, Department of Physics, University of Coimbra, Rua Larga, 3004-516 Coimbra, Portugal
                <sup>16</sup>University of South Dakota, Department of Physics, 414E Clark St., Vermillion, SD 57069, USA
                                                    <sup>17</sup>South Dakota Science and Technology Authority,
                                           Sanford Underground Research Facility, Lead, SD 57754, USA
                                                <sup>18</sup>Pennsylvania State University, Department of Physics,
                                                104 Davey Lab, University Park, PA 16802-6300, USA
                        <sup>19</sup>Brown University, Department of Physics, 182 Hope St., Providence, RI 02912, USA
                   <sup>20</sup> University of Rochester, Department of Physics and Astronomy, Rochester, NY 14627, USA
                                                  <sup>21</sup> University at Albany, State University of New York,
                                   Department of Physics, 1400 Washington Ave., Albany, NY 12222, USA
                                        <sup>2</sup>Department of Physics and Astronomy, University College London,
                                                   Gower Street, London WC1E 6BT, United Kingdom
                <sup>23</sup> University of California Santa Barbara, Department of Physics, Santa Barbara, CA 93106, USA
```

²⁴ University of Massachusetts, Amherst Center for Fundamental
 Interactions and Department of Physics, Amherst, MA 01003-9337 USA

 ²⁵ University of Sheffield, Department of Physics and Astronomy, Sheffield, S3 7RH, United Kingdom
 ²⁶ Texas A & M University, Department of Physics, College Station, TX 77843, USA

 ²⁷ California State University Stanislaus, Department of Physics, 1 University Circle, Turlock, CA 95382, USA

We report results from an extensive set of measurements of the β -decay response in liquid xenon. These measurements are derived from high-statistics calibration data from injected sources of both $^3{\rm H}$ and $^{14}{\rm C}$ in the LUX detector. The mean light-to-charge ratio is reported for 13 electric field values ranging from 43 to 491 V/cm, and for energies ranging from 1.5 to 145 keV.

I. Introduction

The Large Underground Xenon experiment (LUX) was a liquid-xenon (LXe) time-projection chamber (TPC). Before it was decommissioned in 2016, LUX was located in the Davis cavern of the Sanford Underground Research Facility (SURF) in Lead, South Dakota, on the 4,850' level [1]. In total, it contained about 370 kg of xenon, 250 kg of which was active. Energy deposits in the sensitive volume were detected using two arrays of 61 photomultiplier tubes (PMTs) at the top and bottom of the detector.

LUX was initially designed as a WIMP dark-matter detector. The LUX full exposure of 3.35×10^4 kg days combines the first data-taking run (WS2013), which took place from April to August 2013 [2, 3], with the second data-taking run (WS2014-2016), which ran from September 2014 until May 2016 [4]. A 50 GeV/c² WIMP with a cross section greater than $1.1\times10^{-46}~{\rm cm}^2$ is excluded with 90% confidence. Recently, stronger limits have been placed by the XENON-1T and PandaX experiments [5, 6].

As a two-phase TPC, LUX was sensitive to light and charge signals via primary (S1) and secondary (S2) scintillation, respectively. The light and charge yields (Ly) and (Ly) are defined as the average number of quanta (photons and electrons) per keV of energy deposited in the LXe. These depend upon the energy of the event, the magnitude of the electric field applied at the event's location, and whether the interaction leads to a nuclear recoil (NR) or an electron recoil (ER). The yields of an electron recoil may also depend upon further specifics of the interaction. For instance, interactions of β -particles in LXe may produce different yields from those of gammas, because the latter has some energy-dependent probability of photoabsorption, while the former does not [7]. Such variations will be seen in sec-

tion V.2 when comparing values of Qy from β interactions with those from $^{83m}{\rm Kr}$ and $^{131m}{\rm Xe}$ decays.

The most prevalent and problematic backgrounds in current and future LXe dark matter experiments are β -decays of Rn daughters [5, 6, 8, 9]. It is therefore important to understand the β -decay-induced light and charge yields in LXe as a function of electric field and energy. Previous measurements of these yields using LUX WS2013 data, including a set of measurements using a 3 H injection source at both 105 V/cm and 180 V/cm were reported in Ref. [10–12]. In this article we use the data from a novel 14 C injection and a high statistics 3 H calibration, which were conducted after WS2014-2016, to extend the previous results over a much wider range of energies and electric fields.

The electric field in the WS2014-2016 LUX detector was highly non-uniform, ranging from less than 50 V/cm to over 500 V/cm. In this article we divide the detector into thirteen electric field bins, with central values spanning from 43 to 491 V/cm, and obtain measurements of the light and charge yields for each associated field value [13]. The use of a $^{14}{\rm C}$ injection source in addition to $^{3}{\rm H}$ increases the energy range of our measurements by nearly an order of magnitude. The radioactive isotope $^{14}{\rm C}$ β -decays to the ground state of $^{14}{\rm N}$ with a Q-value of 156 keV, which is 8.6 times greater than the $^{3}{\rm H}$ Q-value of 18.1 keV [10, 14, 15].

The ¹⁴C calibration was performed at the end of the LUX operational lifetime and just before decommissioning in September, 2016. We will refer to this period as "post-WS". The activities used in post-WS calibrations were allowed to be significantly greater than previous calibrations because maintaining low detector backgrounds was no longer a requirement. This resulted in a data set of roughly 2 million ¹⁴C events. After fiducial cuts, each of the thirteen electric field bins has between 60,000 and 120,000 events. A separate post-WS ³H dataset is also analyzed, which has about one third

of the number of events as the ¹⁴C set.

II. Data Selection

An interaction in the sensitive LXe produces primary scintillation photons and ionization electrons. The primary scintillation is collected by the PMTs and constitutes the S1 signal. The electrons are transported through an electric drift field to the top of the detector, where the electrons are extracted from the liquid surface into a region of gaseous xenon and produce the S2 signal through electroluminescence. The S2 signal is proportional to the number of electrons extracted.

The low-energy electronic depositions studied in this work have very short track lengths ($\sim 0.3 \text{ mm}$) [16], and are treated as occurring at points in space. The signals caused by these depositions will therefore have a single S1 followed by a single S2. The S2 light generated by an extracted electron is highly localized in the x-y plane at the top of the detector, so the x-y position of an event can be reconstructed by analyzing the relative size of the pulses in the top PMT array. The drifting electrons take many microseconds longer to reach the liquid surface than the S1 photons take to be detected. The resulting difference in time between the S1 and S2 is referred to as the "drift time". In a detector with a uniform electric drift field, the electrons drift vertically at a constant velocity, so drift time gives a direct measurement of the z-position of an event [17].

The charge and light collection efficiencies have some dependence upon position due to the attachment of drifting electrons to impurities and detector geometry. These effects are measured using the response to 83m Kr and 3 H [4]. A new set of efficiency-corrected data has been produced in which these effects are corrected for. In this article, S1 and S2 refer to these corrected values unless otherwise specified.

To avoid edge effects in our analysis, we reject events near the boundaries of the sensitive volume. Events within about 3 cm from the walls of the detector were rejected using a radial cut which is described in section 4.2.2 of Ref. [13]. For the same reason, events with drift times greater than 330 μ s or less than 10 μ s were also rejected.

The simplest selection cut used to isolate single site events is to reject any event that does not contain exactly one S1 and one S2, with the S1 occurring before

the S2. The efficiency of this cut is found to decrease at higher energies due to correlated pile-up in both S1 and S2. In this work we use a modified version of this cut which is described in detail in section 4.2.1 of Ref. [13]. We require a selected event have at least one S2, and at least one S1 before the first S2. The first S1 and S2pulses are required to contain at least 93% of the total S1 and S2 area, respectively. This modified selection cut increases the acceptance of 131m Xe events from 61%to 92%, and improves the acceptance of ¹⁴C events to more than 90% across the entire energy spectrum [13]. We use 131m Xe as a test of our selection cut because it is a mono-energetic peak at 163.9 keV, just above the ¹⁴C Q-value. The background rate remains very small in comparison to the injected sources, so the additional leakage of noise events due to the relaxed cut is negligi-

During and after WS2014-2016, the electric fields in LUX were highly non-uniform. A comprehensive study of the drift-field was performed and is detailed in Ref. [18]. This study produced high-resolution maps of the electric field. Using a 3-dimensional linear interpolation of these maps, we assign a specific field value to every event in our data sets. This enables us to define 13 bins in electric field whose centers range from 43 to 491 V/cm, and where each bin extends 10% above and below its central value. We also limit the range of drift times that are drawn from so that a bin does not extend past the central drift time values of its adjacent bins. These bins are described in greater detail in section 4.2.3 of Ref. [13].

III. Calibration Source Injections

After WS2014-2016 was completed in June of 2016, the detector was exercised with a variety of ER and NR calibration sources. The usual ER calibrations of 83m Kr [19–21] and tritiated methane (CH₃T) [10] were performed, along with NR calibrations using the deuterium-deuterium (DD) neutron generator [22, 23]. In addition to these standard calibrations, novel techniques and sources were implemented. The timeline and activities of these injections can be seen in Figure 1.

1. 131m Xe and 37 Ar

The isotope ^{131m}Xe de-excites through a gamma transition to its ground state with an energy of 163.93 keV and a half life of 11.84 days. It is generated using a commercially available ¹³¹I pill and is introduced into the primary xenon circulation path using the ³H injection system described in Ref. [10].

An injection of 37 Ar was also deployed. This isotope decays via electron capture to 37 Cl with a half-life of 35 days. In 90% of these decays, a K-shell electron is captured, followed by the emission of x-rays and Auger electrons which total to 2.82 keV. There are also nonzero branching ratios for the capture of L- and M-shell electrons. 37 Ar can be produced through stimulated α emission of a 40 Ca target using a neutron beam. The 37 Ar sample used in LUX was produced by irradiating an aqueous solution of CaCl₂ with neutrons from an AmBe source. The gas above this solution was then collected and purified to obtain the gaseous sample of 37 Ar [24]. This sample was injected into the LUX xenon circulation using the same system as the 83m Kr calibrations [20].

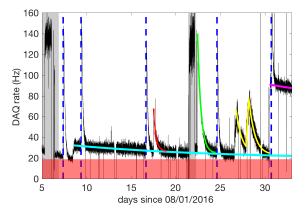


FIG. 1. The black line shows the data acquisition rate for the post-WS injection campaign. The red shaded region shows the constant baseline, while the grey shaded regions show the DD NR-calibration campaign. The vertical dashed blue lines show the times of standard $^{83m}{\rm Kr}$ injections. The cyan, red, green, and magenta lines trace the activities following the $^{131m}{\rm Xe},~^3{\rm H},~^{14}{\rm C},$ and $^{37}{\rm Ar},$ respectively. Additionally, the yellow lines indicate injections of $^{220}{\rm Rn},$ which are not detailed in the text.

2. 14 C and 3 H

The ³H injection system and procedure was described in detail in Ref. [10]. In the post-WS injection campaign, it was used to deploy a high statistics ³H injection, as well as a novel ¹⁴C injection into the LUX detector. The ¹⁴C was in the form of radio-labeled methane which is chemically identical to the tritiated-methane used in Ref. [10] and was also synthesized by Moravek Biochemical [25]. The methane, and therefore the ¹⁴C activity, is removed from the LUX xenon in the same manner as ³H via circulation through a heated zirconium getter.

IV. Model of the LUX Post-WS β -Decay Data

1. Smearing of Continuous β Spectra

Measurements of energy-dependent parameters from continuous β spectra are affected in nontrivial ways by finite detector resolution. We obtain measurements of yields and recombination by dividing the ¹⁴C and ³H into reconstructed energy bins. Finite detector resolution impacts our measurements by smearing some events into a reconstructed energy bin, whose true energies lie outside of the bin. If we know both the spectral shape and the energy-dependent detector resolution, this effect can be accounted for by integrating the contribution to the i^{th} bin from each point in the spectrum. This type of analysis was done analytically in the previous ³H results [10, 26]. However, the S2 tails described in section IV. 4 make the analytic calculations unwieldy, so in this article we perform the integration numerically using Monte Carlo (MC) data.

In order to estimate these smearing corrections, the charge and light yield is initially taken from the NEST model [7, 27, 28], and an initial set of MC S1 and S2 data is generated. This data set is used to make preliminary measurements of L_y and Q_y , after which the MC data are regenerated using these newly measured yields. This new set of MC data is used to re-measure the smearing corrections, giving us the final measurements of L_y and Q_y .

2. Combined Energy Model

We adapt the combined energy model for ER events [27, 29], which relies on a simplified Platzman equation [30]:

$$E_{ER} = W(n_* + n_i), \tag{1}$$

where n_* is the initial number of excitons generated by an event, and n_i is the initial number of ions prior to recombination. For ER events, the work function, W, has been measured to be 13.7 \pm 0.2 eV/quantum [31]. Recombination converts some ions into excitons so that the observable number of photons (n_{γ}) and electrons (n_e) is given by:

$$n_{\gamma} = n_* + rn_i$$

$$= (\alpha + r)n_i$$

$$n_e = (1 - r)n_i,$$
(2)

where the exciton-ion ratio $\alpha \equiv n_*/n_i$, has been measured to be about 0.06-0.20 [26, 32] for ER events and is typically assumed to be constant with energy. For ER events, we assume a constant value of $\alpha = 0.18$, which is taken from Ref. [26]. For each event, the number of ions that recombine, R, is randomly distributed about an expected value that is equal to the mean recombination probability, r, times the number of ions:

$$\langle R \rangle = rN_i. \tag{3}$$

The mean recombination probability depends on both the energy deposited and the applied electric field.

We model this process using a modified version of the NEST simulation software [7, 27, 28]. The total number of quanta in a simulated event (N_q) is equal to the event energy, divided by the work function. The apportionment of these quanta into exitons and ions $(N_*$ and $N_i)$ is treated as a binomial process, where the probability that a quantum is an ion is equal to $\frac{1}{1+\alpha}$. Recombination is modeled by drawing the number of electrons from a normal distribution with mean equal to $(1-r) \cdot N_i$ and standard deviation equal to σ_R , where r and σ_R depend on the energy and field of the simulated event. The number of photons (N_γ) is then taken to be the number of quanta, minus the number of electrons.

3. Measuring Average Charge and Light Collection Efficiencies

Reconstructed energy is an observable quantity that fluctuates around the true energy deposited in the LXe during an event. In terms of the observable S1 and S2 signals, the reconstructed energy of an event is given by:

$$E_{rec} = W(\frac{S1}{g_1} + \frac{S2}{g_2}),\tag{4}$$

where g_1 and g_2 are average gain factors. These values are used to convert from S1 to n_{γ} and S2 to n_e , accounting for the total efficiency of the detector. Equation 4 also provides a useful tool in measuring the efficiency factors, g_1 and g_2 , through a method introduced by Doke $et\ al.$ in Ref. [32].

For a set of ER events with a constant energy E, Equation 4 can be used to write:

$$\left(\frac{W\overline{S1}}{E}\right) = -\frac{g_1}{g_2} \left(\frac{W\overline{S2}}{E}\right) + g_1,$$
(5)

where $\overline{S1}$ and $\overline{S2}$ are the average S1 and S2 signal observed. This linear expression may then be plotted in the usual way such that $y = \left(\frac{W\overline{S1}}{E}\right)$ and $x = \left(\frac{W\overline{S2}}{E}\right)$ are both in terms of either measurable quantities or known constants. The efficiency factors, g_1 and g_2 , can then be obtained by fitting a line through a set of (x,y) values measured at different energies and fields.

For this analysis, the LUX post-WS values of g_1 and g_2 are measured by dividing the $^{83m}{\rm Kr}$ and $^{131m}{\rm Xe}$ data into separate drift-time regions and plotting the average S1 and S2 values in each region. The results of this analysis are shown in Figure 2. To test for remaining position dependence in g_1 and g_2 , we also calculate a two-point Doke plot using the $^{83m}{\rm Kr}$ and $^{131m}{\rm Xe}$ values from each drift-time region. The systematic uncertainty is taken to be the standard deviation of these two-point values.

The values of g_1 and g_2 we measure are 0.0931 (± 0.0012), and 18.6 (± 0.9), respectively. The uncertainties of these values are dominated by the systematic deviation described above. These are broadly consistent with the values found in Ref. [4], although our measured g_1 is about 3- σ below the lowest value found there. This discrepancy is likely due to a continued decrease in light collection efficiency over the

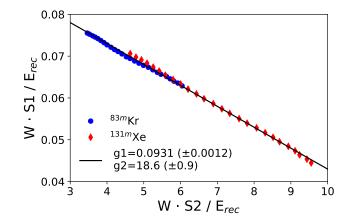


FIG. 2. Doke-style plot of post-WS 83m Kr and 131m Xe data. The uncertainties on the g_1 and g_2 values include systematic variation in drift time, and were calculated as described in the text. The g_1 and g_2 values are highly correlated, with a Pearson correlation coefficient of -0.90.

three months between the end of WS2014-2016 and the beginning of the injection campaign.

4. Empirical Model of S2 Tail Pathology

Figures 3 and 4 show the spectra of the 37 Ar and 131m Xe decays measured during the post-WS calibration campaign. These combined energy spectra have clear non-Gaussian tails toward high energy. The tails in the energy spectra stem from underlying tails in the individual S2 spectra, which result from a pathological effect in the S2 signals. These S2 tails are much more pronounced in the WS2014-2016 and the post-WS data than in WS2013 data. The exact pathology is unknown, but there is some evidence that the tails are caused by either photoionization of impurities or "electron trains". An electron train occurs when electrons from a previous large event fail to be immediately extracted from the liquid surface and instead are emitted into the gas over a millisecond time scale.

To correctly account for smearing effects on the 14 C and 3 H spectra, we use an empirical model of the S2 tails. We begin with simulated S1 and S2 areas from MC events generated without tails, assuming Gaussian detector resolution. The effect of the S2 tails is modeled by assigning additional S2 area to a fraction of the simu-

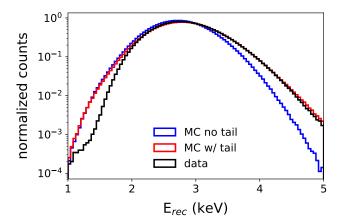


FIG. 3. Comparison of measured 37 Ar energy spectrum (black) versus two simulated spectra; one with the S2 tails modeled (red) and one without (blue). The data shown are taken from the full WIMP-search fiducial volume corresponding to electron drift times of 40 to 300 microseconds.

lated events. The additional tail area for a chosen event is drawn from an exponential distribution whose mean is proportional to uncorrected S2 size. This model of the S2 tails is generated using three steps and three fitting parameters, which are assumed to be independent of position, energy, and field. First, a "true" g_2 value $(g_{2,true})$ is used to generate an initial set of tail-less MC events. The value of $g_{2,true}$ is less than the one measured above, since the observed value of g_2 includes both the "true" S2 area, as well as the tail area. Next, a fraction of events, R, is selected to be assigned additional tail area. Finally, for each of the selected events, a random number of tail electrons is drawn from an exponential distribution with a mean of $b \cdot n_{e,LS}$, where b is a fitting parameter, and $n_{e,LS}$ is the number of simulated electrons that reach the liquid surface. The parameters are tuned in order to reproduce the energy spectrum of the 37 Ar and 131m Xe data. The best fit values of b, R, and $g_{2,true}$ are found to be 0.112 (±0.003), 0.73 (±0.02), and $17.60 \ (\pm 0.05)$, respectively.

Figures 3 and 4 show the best fit spectra for 37 Ar and 131m Xe. Figures 5 and 6 show the best fit model applied to 3 H and 14 C, respectively. The agreement of all of the simulated spectra with data are significantly improved after the addition of the tail model. The 37 Ar MC spectrum over-predicts the amplitude of the data at low energy (<2 keV); however, the same discrepancy is

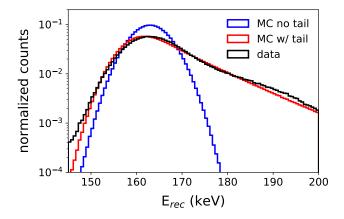


FIG. 4. Comparison of measured 131m Xe energy spectrum (black) versus two simulated spectra; one with the S2 tails modeled (red) and one without (blue). The data shown are taken from the full WIMP-search fiducial volume corresponding to electron drift times of 40 to 300 microseconds.

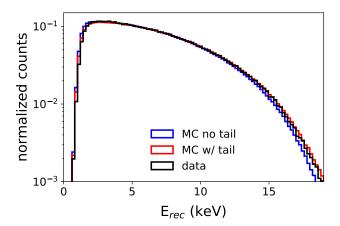


FIG. 5. Comparison of measured $^3\mathrm{H}$ energy spectrum (black) versus two simulated spectra; one with the S2 tails modeled (red) and one without (blue). The data shown are taken from the full WIMP-search fiducial volume corresponding to electron drift times of 40 to 300 microseconds.

not observed in the ³H spectrum.

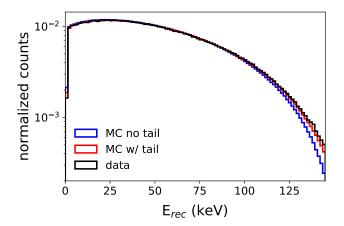


FIG. 6. Comparison of measured 14 C energy spectrum (black) versus two simulated spectra; one with the S2 tails modeled (red) and one without (blue). The data shown are taken from the full WIMP-search fiducial volume corresponding to electron drift times of 40 to 300 microseconds.

5. Recombination Fluctuations

The fluctuations in the recombination fraction, σ_R , are known to deviate significantly from those of a binomial process [10, 26, 31]. In Ref. [10] we reported that the fluctuations are approximately linear in n_i with a slope of about 0.067 quanta per ion. We do not attempt to obtain an absolute measurement of σ_R using the post-WS data because the S2 tails are correlated with the recombination fluctuations in nontrivial ways. The correlation makes it impractical to separate detector resolution and recombination fluctuations as was done for the WS2013 ³H data. We instead apply an adjustment to the linear model and compare the resulting MC spectrum to data. We find the data are best described by a Gaussian adjustment to the linear model:

$$\sigma_R(r)^2 = r(1-r) \cdot n_i + \left(F_0 \exp\left(\frac{-(r-F_1)^2}{2F_2^2}\right)\right)^2 n_i^2,$$
 (6)

where F_0 , F_1 and F_2 are the constant fitting parameters:

$$F_0 = 0.075 \pm 0.005$$

$$F_1 = 0.413 \pm 0.024$$

$$F_2 = 0.243 \pm 0.024.$$
(7)

These parameters were optimized using post-WS 3 H and 14 C data using using a grid search method described in section 5.5.3 of Ref. [13]. A set of measurements of σ_R from Ref. [31] were also used to help constrain the low-recombination side of the model. When N_i is large and r is close to F_1 , Equation 6 reduces to a linear model with a slope of $0.075(\pm 0.005)$ quanta per ion. The first term on the right side of Equation 6 mimics a binomial variance and prevents σ_R from going to zero at extreme values or r. In our best fit model, the binomial term is negligible across the range of energies and fields tested.

The width of the MC spectra are compared to data in Figure 7. We find that by adding the S2 tail model described in section IV. 4 and a model of recombination fluctuations that follows Equation 6 to our simulation, we are able to reproduce the widths of the S1 and S2 spectra for ¹⁴C β -decay events across all of the electric fields tested. Figure 8 shows a comparison to the σ_R measurements from WS2013. We find the new model matches data better than the linear model. The upward kink in the WS2013 measurements at 16 keV is due to an error that will be described in section V. 2.

There is still tension remaining between simulated and measured widths with this new model included. It may be that this is due to an underlying field dependence in the recombination fluctuations that has not been unaccounted for. This would be a third-order effect in our measurements of the yields, and we are able to reproduce the measured $^3{\rm H}$ and $^{14}{\rm C}$ spectra without accounting for this possible field dependence. We therefore elect to proceed using the model as described above.

V. Results and Discussion

1. Photon-Electron Fraction

Assuming that for ER the total number of generated quanta is fixed, as described in section IV.2, we can reduce L_y , Q_y , and r to a single quantity:

$$\rho \equiv \frac{n_{\gamma}}{n_e}.\tag{8}$$

Using the relations:

$$L_y \equiv \frac{n_\gamma}{E}, \ Q_y \equiv \frac{n_e}{E}, \ \text{and} \ L_y + Q_y = \frac{1}{W},$$
 (9)

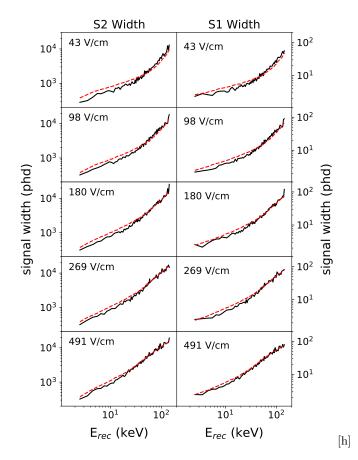


FIG. 7. This figure shows the best-fit Gaussian width of the 14 C S1 (right) and S2 (left) bands for a selection of electric field bins. In these plots, the red dashed lines indicate simulated widths using the model described in sections IV. 4 and IV. 5, while the black lines indicate the widths observed in data.

we can reconstruct the individual yields from ρ :

$$L_y = \frac{1}{W} \frac{\rho}{1+\rho} \text{ and } Q_y = \frac{1}{W} \frac{1}{1+\rho}.$$
 (10)

Further, using the relations:

$$n_Q = (1 + \alpha)n_i = (1 + \rho)n_e \text{ and } r = \frac{n_i - n_e}{n_i},$$
 (11)

where n_Q is the total number of quanta, we can reconstruct the average recombination probability:

$$r = \frac{\rho - \alpha}{1 + \rho}.\tag{12}$$

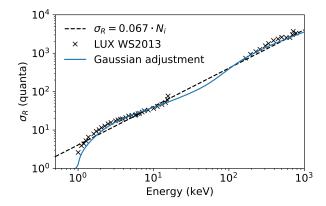


FIG. 8. Comparison of the recombination model developed in section IV.5 (blue line) to the model from [10] (black dashed line). The black markers indicate the σ_R data presented in [26].

Here we report the measured results of $\rho \equiv n_\gamma/n_e$. Figure 10 shows the results for post-WS 14 C, and figure 11 shows the results for post-WS 3 H. The measurements of n_γ and n_e , along with the reconstructed energy have been numerically de-smeared following the procedure laid out in section IV. 1, using the model described in sections IV. 4 and IV. 5. The sizes of these smearing corrections are taken as systematic uncertainty on their respective measurements. The uncertainties in g_1 and g_2 are also included in the systematic error. The systematic uncertainties are combined in quadrature and are shown as the light gray error bars in figures 10 and 11. The statistical fitting uncertainty and the uncertainty due to bin width are shown as the black error bars.

2. Discussion

Our results are in good agreement with previous measurements from WS2013, as is shown in figure 9. In this figure we compare measurements of Q_y from WS2013 $^3\mathrm{H}$ [10] and from the $^{127}\mathrm{Xe}$ electron capture [11, 12] with those from this work at similar electric fields. We find that the measurements agree within systematic error. When comparing our measurements of Q_y from interactions of β 's in LXe to those from the $^{83m}\mathrm{Kr}$ and $^{131m}\mathrm{Xe}$ decays we find a disagreement of about $2\text{-}\sigma$ [12]. This is likely due to a difference in yields between β -decay

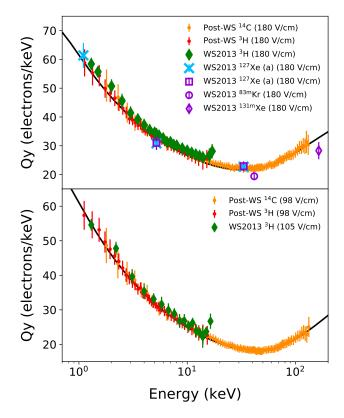


FIG. 9. Comparison of LUX post-WS Q_y measurements using $^3\mathrm{H}$ (red) and $^{14}\mathrm{C}$ (orange) to WS2013 measurements, which were taken at 105 and 180 V/cm. The green diamonds show the WS2013 $^3\mathrm{H}$ measurements [10], and the blue X's and open magenta squares indicate WS2013 measurements of Q_y from $^{127}\mathrm{Xe}$ electron capture [11, 12]. The open circles and diamonds indicate WS2013 measurements of Q_y from the $^{83m}\mathrm{Kr}$ and $^{131m}\mathrm{Xe}$ decays, respectively [12]. The black line shows the final model used to generate the smearing corrections [13].

interactions and those involving composite decays (such as $^{83m}{\rm Kr}$) or photo-absorption [7].

It should be noted that the results we present here are in disagreement with our previous ³H yields and recombination measurements from Ref. [10] above 16 keV. In the previous work, an error in the implementation of the energy smearing correction resulted in some of the data being over-corrected. The error has only a minimal effect for most of the results reported in Ref. [10], but it is manifest at the endpoint of the tritium spectrum as

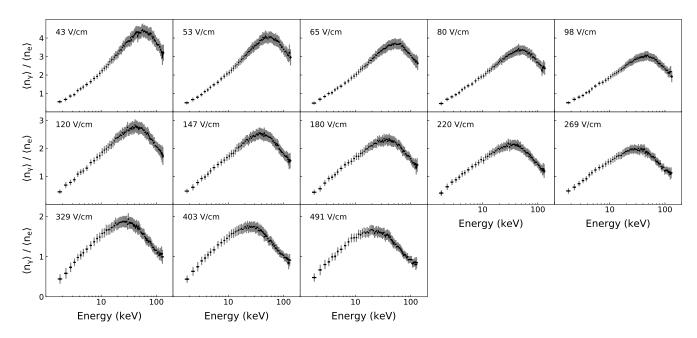


FIG. 10. Measurements of $\langle \rho \rangle$ for post-WS $^{14}\mathrm{C}$ data in the specified electric field bins. The dark error bars show the statistical uncertainty, and the light error bars show the systematic plus statistical uncertainty.

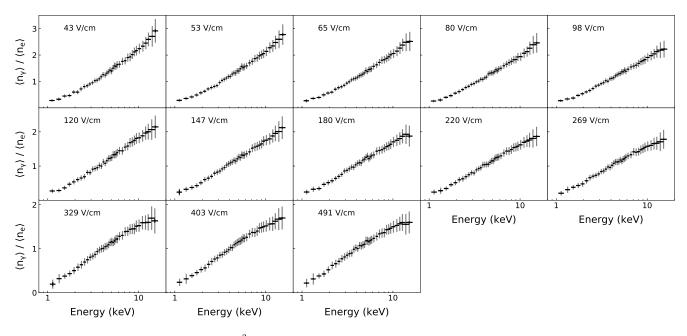


FIG. 11. Measurements of $\langle \rho \rangle$ for post-WS ³H data in the specified electric field bins. The dark error bars show the statistical uncertainty, and the light error bars show the systematic plus statistical uncertainty.

a kink in the yields. A detailed discussion of this error can be found in section 5.3.2 of Ref. [13].

VI. Summary

We have presented improved measurements of the response of liquid xenon to β -decays in the LUX detector, which were taken after WS2014-2016 was completed. We describe the various sources used, along with the time-line and the respective activities of the calibrations. We use the 83m Kr and 131m Xe lines to measure the average g_1 and g_2 efficiency factors and to characterize the positional variation thereof.

The 37 Ar and 131m Xe calibration data are used to alter the existing model of detector resolution to account for the S2 tail pathology in the S2 spectra. We used this updated model to numerically calculate the effect of smearing on the 3 H and 14 C β -decay spectra. We also found it necessary to update the empirical model of recombination fluctuations presented in [10] to better match the data above 20 keV.

We present measurements of the photon-to-electron ratio of β events in liquid xenon from ³H and ¹⁴C. These measurements can be used to calculate the charge yield, light yield, and recombination probability over a wide range of electric fields and energies. This is the most extensive dataset of the quantities for β -decay in liquid xenon, and are directly relevant for understanding the dominant background in future dark matter experiments.

Acknowledgments

This work was partially supported by the U.S. Department of Energy (DOE) under award num-DE-FG02-08ER41549, bers DE-FG02-91ER40688, DE-FG02-95ER40917, DE-FG02-91ER40674, NA0000979, DE-FG02-11ER41738, DE-SC0006605. DE-AC02-05CH11231, DE-AC52-07NA27344, SC0019066, and DE-FG01-91ER40618; National Science Foundation under award numbers PHYS-0750671, PHY-0801536, PHY-1004661, PHY-1102470, PHY-1003660, PHY-1312561, PHY-1347449; the Research Corporation grant RA0350; the Center for Ultra-low Background Experiments in the Dakotas (CUBED); and the South Dakota School of Mines and Technology (SDSMT). LIP-Coimbra acknowledges funding from Fundação para a Ciência e a Tecnologia (FCT) through the project-grant PTDC/FIS-PAR/28567/2017. Imperial College and Brown University thank the UK Royal Society for travel funds under the International Exchange Scheme (IE120804). The UK groups acknowledge institutional support from Imperial College London, University College London and Edinburgh University, and from the Science & Technology Facilities Council for PhD studentship ST/K502042/1 (AB). The University of Edinburgh is a charitable body, registered in Scotland, with registration number SC005336. This research was conducted using computational resources and services at the Center for Computation and Visualization, Brown University.

We gratefully acknowledge the logistical and technical support and the access to laboratory infrastructure provided to us by the Sanford Underground Research Facility (SURF) and its personnel at Lead, South Dakota. SURF was developed by the South Dakota Science and Technology Authority, with an important philanthropic donation from T. Denny Sanford, and is operated by Lawrence Berkeley National Laboratory for the Department of Energy, Office of High Energy Physics.

- D. S. Akerib *et al.* (LUX Collaboration), Nucl. Instrum. Meth. **A704**, 111 (2013), arXiv:1211.3788 [physics.insdet].
- [2] D. S. Akerib *et al.* (LUX Collaboration), Phys. Rev. Lett. **112**, 091303 (2014).
- [3] D. S. Akerib *et al.* (LUX Collaboration), Phys. Rev. Lett. **116**, 161301 (2016).
- [4] D. S. Akerib *et al.* (LÚX Collaboration), Phys. Rev. Lett. **118**, 021303 (2017), arXiv:1608.07648.
- [5] E. Aprile *et al.* (XENON Collaboration 7), Phys. Rev. Lett. **121**, 111302 (2018).
- [6] X. Cui et al. (PandaX-II Collaboration), Phys. Rev. Lett. 119, 181302 (2017).
- [7] M. Szydagis, A. Fyhrie, D. Thorngren, and M. Tripathi, Journal of Instrumentation 8, C10003 (2013).
- [8] B. J. Mount *et al.*, (2017), arXiv:1703.09144 [physics.ins-det].
- [9] D. S. Akerib *et al.* (LUX-ZEPLIN), arXiv:1802.06039 [astro-ph.IM].
- [10] D. S. Akerib *et al.* (LUX Collaboration), Phys. Rev. **D93**, 072009 (2016), arXiv:1512.03133 [physics.ins-det].
- [11] D. S. Akerib *et al.* (LUX Collaboration), (2017), arXiv:1709.00800 [physics.ins-det].
- [12] D. S. Akerib et al. (LUX Collaboration), Phys. Rev. D95, 012008 (2017), arXiv:1610.02076 [physics.ins-det].
- [13] J. Balajthy, Purity Monitoring Techniques and Electronic Energy Deposition Properties in Liquid Xenon Time Projection Chambers, Ph.D. thesis, Uniersity of Maryland (2018).
- [14] V. V. Kuzminov and N. J. Osetrova, Physics of Atomic Nuclei 63, 1292 (2000).
- [15] F. E. Wietfeldt, E. B. Norman, Y. D. Chan, M. T. F. da Cruz, A. García, E. E. Haller, W. L. Hansen, M. M. Hindi, R.-M. Larimer, K. T. Lesko, P. N. Luke, R. G. Stokstad, B. Sur, and I. Žlimen, Phys. Rev. C 52, 1028 (1995).
- [16] E. Aprile and T. Doke, Rev. Mod. Phys. 82, 2053 (2010).
- [17] D. S. Akerib *et al.* (LUX Collaboration), JINST **13**, P02001 (2018), arXiv:1710.02752 [physics.ins-det].

- [18] D. S. Akerib *et al.* (LUX Collaboration), (2017), arXiv:1709.00095 [physics.ins-det].
- [19] L. W. Kastens, S. Bedikian, S. B. Cahn, A. Manzur, and D. N. McKinsey, Journal of Instrumentation 5, 5006 (2010), arXiv:0912.2337 [physics.ins-det].
- [20] L. W. Kastens, S. B. Cahn, A. Manzur, and D. N. McKinsey, Phys. Rev. C80, 045809 (2009), arXiv:0905.1766 [physics.ins-det].
- [21] D. S. Akerib *et al.* (LUX Collaboration), Phys. Rev. D 96, 112009 (2017).
- [22] J. R. Verbus et al., Nucl. Instrum. Meth. A851, 68 (2017), arXiv:1608.05309 [physics.ins-det].
- [23] D. S. Akerib *et al.* (LUX Collaboration), (2016), arXiv:1608.05381 [physics.ins-det].
- [24] E. Boulton, E. Bernard, N. Destefano, B. Edwards, M. Gai, S. Hertel, M. Horn, N. Larsen, B. Tennyson, C. Wahl, and D. McKinsey, Journal of Instrumentation 12, P08004 (2017).
- [25] Moravek Biochemical Brea California 92821 U.S.A.
- [26] A. Dobi, Measurement of the Electron Recoil Band of the LUX Dark Matter Detector with a Tritium Calibration Source, Ph.D. thesis, Uniersity of Maryland (2014).
- [27] M. Szydagis, N. Barry, K. Kazkaz, J. Mock, D. Stolp, M. Sweany, M. Tripathi, S. Uvarov, N. Walsh, and M. Woods, Journal of Instrumentation 6, P10002 (2011).
- [28] B. Lenardo, K. Kazkaz, A. Manalaysay, J. Mock, M. Szydagis, and M. Tripathi, IEEE Trans. Nucl. Sci. 62, 3387 (2015), arXiv:1412.4417 [astro-ph.IM].
- [29] E. Aprile and T. Doke, Rev. Mod. Phys. 82, 2053 (2010).
- [30] R. Platzman, The International Journal of Applied Radiation and Isotopes 10, 116 (1961).
- [31] C. E. Dahl, The physics of background discrimination in liquid xenon, and first results from Xenon10 in the hunt for WIMP dark matter., Ph.D. thesis, Princeton University (2009).
- [32] T. Doke, A. Hitachi, J. Kikuchi, K. Masuda, H. Okada, and E. Shibamura, Japanese Journal of Applied Physics 41, 1538 (2002).