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Search for dark photons from neutral meson decays in p+p and d+Au collisions at $\sqrt{s_{NN}}=200$ GeV

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1 Search for dark photons from neutral meson decays in $p+p$ and $d+Au$ collisions at
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164 The standard model (SM) of particle physics is spectacularly successful, yet the measured value
 165 of the muon anomalous magnetic moment $(g - 2)_\mu$ deviates from SM calculations by 3.6σ . Several
 166 theoretical models attribute this to the existence of a “dark photon,” an additional U(1) gauge
 167 boson, which is weakly coupled to ordinary photons. The PHENIX experiment at the Relativistic
 168 Heavy Ion Collider has searched for a dark photon, U , in $\pi^0, \eta \rightarrow \gamma e^+ e^-$ decays and obtained
 169 upper limits of $\mathcal{O}(2 \times 10^{-6})$ on U - γ mixing at 90% CL for the mass range $30 < m_U < 90$ MeV/ c^2 .
 170 Combined with other experimental limits, the remaining region in the U - γ mixing parameter space
 171 that can explain the $(g - 2)_\mu$ deviation from its SM value is nearly completely excluded at the 90%
 172 confidence level, with only a small region of $29 < m_U < 32$ MeV/ c^2 remaining.

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174 *Introduction.* The standard model (SM) of particle physics provides unprecedented numerical accuracy for quantities
 175 such as the anomalous magnetic moment of the electron $(g - 2)_e$, as well as predicting the existence of the vector
 176 bosons W^\pm and Z^0 and the recently discovered Higgs boson. Hence, measurements which lie outside SM predictions
 177 warrant special scrutiny. One such result is the measured value of $(g - 2)_\mu$ for the muon [1], which deviates from
 178 SM calculations by 3.6σ [2]. An intriguing explanation for this discrepancy has been proposed by adding a “dark”
 179 gauge boson [3–6]. While the possibility of a hidden U(1) gauge sector had been considered shortly after the advent
 180 of the Standard Model [7, 8], it has recently gained more relevance, because it provides a simultaneous explanation of
 181 various beyond-the-standard-model phenomena in addition to $(g - 2)_\mu$. These include, for example, the discrepancy
 182 between the world’s data on proton charge radius [9] and that obtained by the Lamb shift in muonic hydrogen [10, 11],
 183 and the positron excess in cosmic rays observed by ATIC [12], PAMELA [13] and AMS-II [14] by providing a new
 184 mechanism for the decay of dark matter [15, 16].

185 While a variety of mechanisms can be introduced to parameterize dark sector physics, a simple formulation pos-
 186 tulates a “dark photon” of mass m_U which mixes with QED photons via a “kinetic coupling” term in the La-
 187 grangian [7, 8, 17, 18]

$$\mathcal{L}_{\text{mix}} = -\frac{\varepsilon}{2} F_{\mu\nu}^{\text{QED}} F_{\text{dark}}^{\mu\nu}, \quad (1)$$

188 where ε parametrizes the mixing strength. Dark photons can then mix with QED photons through all processes that
 189 involve QED photons, with an effective strength $\alpha_U = \varepsilon^2 \alpha_{EM}$. If the dark photon mass exceeds twice the electron
 190 mass, it can decay into an e^+e^- pair, and in the minimal version of the model, this is its dominant decay mode in the
 191 interval $2m_e < m_U < 2m_\mu$. To date, a wide range of searches [18] have excluded most of the $[m_U, \varepsilon]$ parameter space
 192 that could explain the deviation of $(g - 2)_\mu$ from its SM value. In this work, we report on new limits that exclude at
 193 the 90% confidence level essentially all of the remaining allowed parameter space, thereby rendering the dark photon
 194 an unlikely candidate to resolve the discrepancy of $(g - 2)_\mu$ with the Standard Model.

195 *Searching for $\pi^0, \eta \rightarrow \gamma U, U \rightarrow e^+e^-$.* We search for possible decays of $\pi^0, \eta \rightarrow \gamma U, U \rightarrow e^+e^-$ by examining the
 196 invariant mass m_{ee} of e^+e^- pairs in a large sample of Dalitz decays, $\pi^0, \eta \rightarrow \gamma e^+e^-$ for $30 < m_U < 90 \text{ MeV}/c^2$ in
 197 the dark photon parameter space, where the possibility of disentangling the $(g - 2)_\mu$ anomaly by the dark photon
 198 survives at the 90% confidence level. The invariant yield of virtual photons from the Dalitz decays of π^0, η is given
 199 by the Kroll-Wada equation [19]:

$$\left(\frac{dN_{ee}}{dm_{ee}}\right)_{\gamma e^+e^-} = N_{2\gamma} \frac{4\alpha_{EM}}{3\pi} \frac{1}{m_{ee}} KW_{\pi^0, \eta}(m_{ee}) |F(m_{ee}^2)|^2, \quad (2)$$

200 where

$$KW_{\pi^0, \eta}(m_{ee}) = \sqrt{1 - \frac{4m_e^2}{m_{ee}^2}} \left(1 + \frac{2m_e^2}{m_{ee}^2}\right) \left(1 - \frac{m_{ee}^2}{m_{\pi^0, \eta}^2}\right)^3, \quad (3)$$

201 $N_{2\gamma}$ is the invariant yield of 2γ decays of π^0, η , α_{EM} is the fine structure constant, and $m_e, m_{\pi^0, \eta}$ are masses for
 202 the electron, π^0 and η , respectively. The deviation of the transition form factor $F(q^2)$ from unity is 0.0157 even at
 203 $m_{ee} = 90 \text{ MeV}/c^2$ from the parameterization of $F(q^2) = (1 - q^2/\Lambda^2)^{-1}$ with $\Lambda = 0.72 \text{ GeV}$ [20]. Therefore, the
 204 variation of $F(q^2)$ is small enough in the mass range of interest to set $F(q^2) = 1$ in the calculation. The weak coupling
 205 of the dark photon to the QED photon implies that the natural width of the dark photon is very narrow, and as a
 206 result the expected line shape of the dark photon is set by the mass resolution, σ , of the detector

$$\left(\frac{dN_{ee}}{dm_{ee}}\right)_{\gamma U} = N_{2\gamma} \frac{2\varepsilon^2}{\sqrt{2\pi}\sigma} e^{-\frac{(m_{ee}-m_U)^2}{2\sigma^2}} KW_{\pi^0, \eta}(m_{ee}). \quad (4)$$

207 From the peak height ratio,

$$R(m_U) = (dN_{ee}/dm_{ee})_{\pi^0, \eta \rightarrow \gamma U} / (dN_{ee}/dm_{ee})_{\pi^0, \eta \rightarrow \gamma e^+e^-}, \quad (5)$$

208 the dark photon mixing parameter can then be determined as:

$$\varepsilon^2 = \frac{2\alpha_{EM}}{3\pi} \frac{\sigma}{m_U} \sqrt{2\pi} R(m_U). \quad (6)$$

209 Note that in this approach the efficiencies for detection of e^+e^- pairs from Dalitz decays and from dark photons
 210 cancel in the ratio $R(m_U)$.

211 The analysis presented here is based on a precise measurement of virtual photons from π^0 and η Dalitz decays [21]
 212 across three PHENIX data sets at a collision energy of $\sqrt{s_{NN}} = 200$ GeV with an integrated luminosity of 4.8 pb^{-1} of
 213 $p+p$ collected in 2006, 82.3 nb^{-1} of $d+\text{Au}$ collected in 2008, and 6.0 pb^{-1} of $p+p$ collected in 2009. Here, the $d+\text{Au}$
 214 statistics corresponds to $2 \times 197 \times 82.3 \text{ nb}^{-1} = 32.4 \text{ pb}^{-1}$ of nucleon-nucleon collisions. All three data sets include
 215 an electron triggered sample, and the single electron trigger threshold for the $d+\text{Au}$ run was higher than that for the
 216 $p+p$ runs. A hadron blind detector (HBD) [22], was installed in the experiment around the primary collision point
 217 prior to the 2009 data taking period. The additional material of the HBD resulted in a corresponding increase in
 218 the external photon conversion rate. The experiment was also operated with a reduced magnetic field integral during
 219 the period of HBD data taking. These effects substantially alter the shape of the 2009 e^+e^- mass spectrum below
 220 $35 \text{ MeV}/c^2$ relative to the spectra from 2006 and 2008. Therefore, we restrict the 2009 analysis to the mass region
 221 above $40 \text{ MeV}/c^2$ to avoid the edge effect at parameterization of the Dalitz contribution.

222 The PHENIX apparatus [23] was designed with only 0.39% of a radiation length (X_0) in front of the tracking
 223 detectors. It generates a small rate of conversions in the experimental aperture and provides excellent momentum
 224 resolution and electron identification. The HBD brought an additional material budget of $2.4\% \times X_0$ for the 2009
 225 run. The tracking system comprises drift wire and pad chambers with a momentum resolution of $\delta p/p = 1\% \oplus 1.1\% \times$
 226 p [GeV/ c]. Charged tracks with momenta above $0.2 \text{ GeV}/c$ and pseudorapidity $|\eta| < 0.35$ fall within the PHENIX
 227 acceptance. Electron identification requires hits in a Ring Imaging Čerenkov detector and energy-momentum matching
 228 in an electromagnetic calorimeter with an energy resolution of $\delta E/E < 10\%/\sqrt{E}$ [GeV].

229 All combinations of electrons and positrons in an event are taken as pairs for the analysis. The contributions
 230 due to random combinations, correlated fake pairs from double Dalitz decays ($\pi^0, \eta \rightarrow e^+e^-e^+e^-$) and jet-induced
 231 correlations are evaluated using like-sign pairs. After scaling by the number of nucleon-nucleon collisions, the correlated
 232 backgrounds in $p+p$ and $d+\text{Au}$ are very similar, indicating these background contributions are well understood. Pairs
 233 stemming from photon conversions in the material of the detector are removed by a cut on their characteristic angular
 234 orientation with respect to the magnetic field [24]. For the 2009 $p+p$ data, conversion pairs are rejected by a cut on
 235 the cluster size in the HBD, which depends on the pair opening angle [25], because the lower magnetic field of the
 236 2009 run reduces the rejection power of the angular orientation cut. Conversions in the HBD readout plane were
 237 removed by an analysis technique of mass reconstruction assuming electrons come from the HBD readout plane [26].
 238 In the 2009 dataset we consider pairs with an invariant mass above $40 \text{ MeV}/c^2$, where the contribution of conversion
 239 pairs becomes negligible. Excluding these nonhadronic background pairs, we obtained 67k, 167k and 75k e^+e^- pairs
 240 for 2006 $p+p$, 2008 $d+\text{Au}$, and 2009 $p+p$, respectively in the mass range $30 < m_{ee} < 90 \text{ MeV}/c^2$, where most pairs
 241 originate from π^0, η Dalitz decays. Contributions to the electron pair spectrum are estimated by a GEANT3 based
 242 detector simulation using the measured invariant yields for hadrons as input. Effects such as the single electron trigger
 243 efficiency and inactive areas in the detector are taken into account. Figure 1 shows the raw spectra of e^+e^- pairs
 244 with the hadronic decay and background contributions for the 2006 $p+p$, 2008 $d+\text{Au}$ and 2009 $p+p$ data sets.

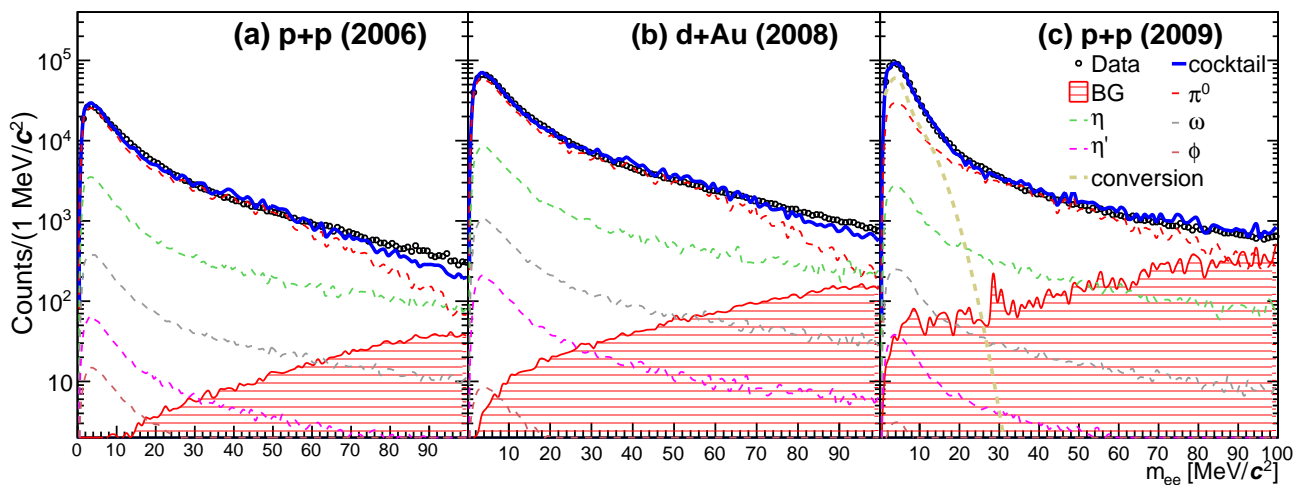


FIG. 1. (Color online) The raw spectra of e^+e^- pairs for the 2006 $p+p$, 2008 $d+\text{Au}$ and 2009 $p+p$ data sets. The contributions of various background components to the measured invariant mass spectra are shown. The 2009 $p+p$ data has a significant contribution to the conversion background coming from the material of the HBD which is not present in the 2006 and 2008 data sets.

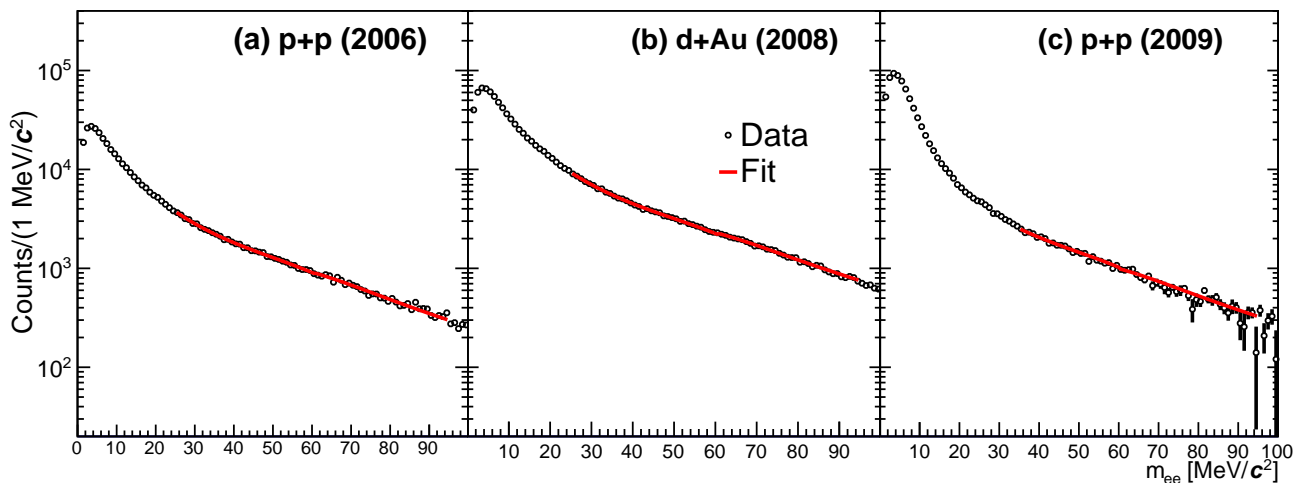
245 If the expected dark photon invariant mass distribution follows a normal distribution, then the standard deviation
 246 is equal to the detector mass resolution, as already described. This resolution is determined using a Monte Carlo
 247 procedure based on a GEANT3 description of the experimental apparatus. Spectra of dark photons with a flat
 248 distribution in transverse momentum for $p_T < 5 \text{ GeV}/c$, covering the full azimuth, with rapidity $|y| < 0.5$, and with
 249 an initial vertex within 35 cm of the nominal vertex position are generated and forced to decay as $U \rightarrow e^+e^-$. Dark
 250 photon masses from 20–90 MeV/c^2 were investigated, with 20 million decays generated at each mass hypothesis. The
 251 reconstructed e^+e^- pairs were then weighted according to their pair p_T to follow the experimental e^+e^- pair spectrum
 252 after background subtraction. The e^+e^- invariant mass resolution for the PHENIX detector in $30 < m_{ee} < 90 \text{ MeV}/c^2$
 253 is $\sigma = 3.1 \text{ MeV}/c^2$ with a 3% uncertainty. The calculated mass resolution is also confirmed with the data via a shape
 254 matching of the π^0 Dalitz peak around 5 MeV/c^2 .

255 To establish a limit on the dark photon yield, we first describe the shape of the background-subtracted e^+e^-
 256 spectrum with a physics motivated curve composed of the Kroll-Wada formula for virtual photon yield from both
 257 the π^0 and the η multiplied by a 4th-order Chebychev polynomial $T_4(x)$ to allow for slight deviations due to various
 258 detector effects:

$$f(m_{ee}) = \frac{1}{m_{ee}} \times \left[\left(1 - \frac{m_{ee}^2}{m_{\pi^0}^2} \right)^3 + r_{\eta/\pi^0} \times \left(1 - \frac{m_{ee}^2}{m_{\eta}^2} \right)^3 \right] \times T_4(m_{ee}). \quad (7)$$

259 The η/π^0 ratio, r_{η/π^0} , is fixed at 0.17, a value determined using a realistic “cocktail” of hadronic decays filtered
 260 through a model of the detector acceptance. The ω/π^0 ratio is fixed at 0.03. The shapes of the e^+e^- mass spectra
 261 from η and ω decays are indistinguishable for $m_{ee} < 100 \text{ MeV}/c^2$, and their combined yield relative to the π^0 ,
 262 $0.17 + 0.03 = 0.20$, is taken as the effective η/π^0 ratio for the analysis.

263 We divide the full mass ranges of $25 < m_{ee} < 95 \text{ MeV}/c^2$ and $35 < m_{ee} < 95 \text{ MeV}/c^2$ into lower and higher
 264 mass ranges after nonhadronic background subtraction, use Eq. 7 to describe each portion, and demand continuity
 265 of the model at the mass where the two ranges abut. A simultaneous fit to the three mass spectra, allowing each
 266 an independent normalization, results in a combined description of the Dalitz continuum. This procedure produces
 267 a lower reduced χ^2 for the overall fit than using a single mass range for each dataset. The break point dividing the
 268 lower and upper mass ranges was allowed to vary, with 61 MeV/c^2 giving the best reduced χ^2 . Figure 2 shows the



269 FIG. 2. (Color online) The best fit to the three mass spectra with the physics motivated function describing the e^+e^-
 270 distributions from hadron decays.

271 best fit result to the Dalitz decay contribution in each dataset after subtraction of unphysical background pairs. The
 272 contribution of the fit procedure to the total uncertainty is explored by varying the break point above and below this
 273 preferred value until the reduced χ^2 statistic rises by one and then taking the resulting 16% effect on the experimental
 274 sensitivity as the systematic uncertainty due to the procedure.

275 *Results.* The fitted background describes the yield of e^+e^- counts absent a dark photon signal. We employ the
 276 CL_s statistical approach [27] to determine a limit on the number of dark photon candidates, which is in line with the
 277 current practice of setting limits for a hypothetical particle. This method has the effect of reducing the strength of

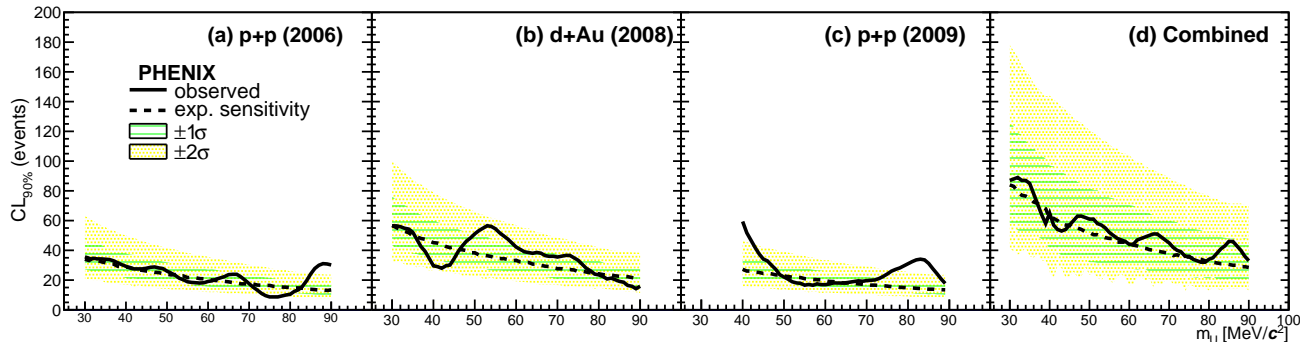


FIG. 3. (Color online) The experimental sensitivity and observed limit on the number of dark photon candidates as a function of the assumed dark photon mass. The $\pm 1\sigma$ and $\pm 2\sigma$ bands of the combined statistical and systematic uncertainties around the experimental sensitivity are shown in green and yellow, respectively.

278 the limit determination in the case of low (or no) signal strength, generally resulting in a conservative estimate of the
 279 CL. We step through the full mass range with a 1 MeV/ c^2 step repeatedly refitting the spectrum with the addition
 280 of a Gaussian of width equal to the mass resolution and centered at each mass hypothesis. This determines the
 281 observed yield as a function of m_U , which may be greater or less than the experimental sensitivity at each mass, with
 282 a significance that is determined by the underlying probability distribution of the background, which is calculated
 283 by a likelihood ratio between the signal + background and background only hypotheses. The assumed background
 284 yield in any mass window will have uncertainties due to statistical fluctuations in the data used to determine the
 285 parameters describing the background by Eq. 7 and from systematic uncertainties in alternative background shapes.
 286 We evaluated the variation in the experimental sensitivity due to fluctuations in these uncertainties in addition to the
 287 uncertainty in the e^+e^- mass resolution. The observed value, the experimental sensitivity, and one- and two-standard
 288 deviation bands around the experimental sensitivity (shown as green and yellow bands) are all indicated on the plots
 289 for the different data sets as well as the combined result in Fig. 3.

290 The p -value under the null hypothesis from the combined result is calculated considering only the statistical uncer-
 291 tainty and is always greater than 0.27 in the entire range $30 < m_U < 90$ MeV/ c^2 . The minimum p -value is consistent
 292 with the background only hypothesis if the *look-elsewhere effect* [28] is taken into account. Therefore the limit on the
 293 number of dark photon candidate events can be translated directly into a limit on the dark photon coupling parameter
 294 using the peak-height ratio, Eq. 5. Figure 4 shows the limit determined by PHENIX along with the 90% confidence
 295 level (CL) limits from the WASA [29], HADES [30], KLOE [31], A1(MAMI) [32] and BABAR [33] experiments and
 296 the 2σ upper limit theoretically calculated from $(g-2)_e$ [34]. The bands indicate the range of parameters which would
 297 allow the dark photon to explain the $(g-2)_\mu$ anomalies with the 90% CL. The upward fluctuation apparent in the
 298 2008 $d+Au$ data compensates for a downward fluctuation of similar scale in the 2009 $p+p$ data, leading to the slightly
 299 modulated limit of the combined result. The PHENIX results cover the mass range $30 < m_U < 90$ MeV/ c^2 , and over
 300 that range set a stricter limit than those of WASA, HADES or KLOE, and complement the A1(MAMI) results for
 301 their less sensitive region below 50 MeV/ c^2 . The PHENIX limits exclude the values of the coupling favored by the
 302 $(g-2)_\mu$ anomaly above $m_U > 36$ MeV/ c^2 . Recently, BABAR reported stricter limits from a search of the reaction
 303 $e^+e^- \rightarrow \gamma U, U \rightarrow l^+l^-$, excluding values of the preferred $(g-2)_\mu$ region for $m_U > 32$ MeV/ c^2 , and covering a mass
 304 range up to 10.2 GeV/ c^2 . As a result, nearly all the available parameter space which would allow the dark photon to
 305 explain the $(g-2)_\mu$ results are ruled out at the 90% CL by independent experiments. Figure 5 shows the PHENIX
 306 limits in the dark photon parameter space with different confidence levels, focusing on the small remaining parameter
 307 space for $30 < m_U < 32$ MeV/ c^2 . The entire parameter space to explain the $(g-2)_\mu$ anomaly by the dark photon
 308 can be excluded at the 85% CL by the PHENIX data alone. The level of the compatibility between our data and the
 309 coupling strength favored for the $(g-2)_\mu$ anomaly is 10% with a statistical test [35].

310 *Conclusions.* In summary, the PHENIX results set limits for the coupling of a dark photon to the QED photon
 311 over the mass range $30 < m_U < 90$ MeV/ c^2 , improving upon the recent results of the KLOE, WASA, HADES, and
 312 A1 experiments. Combining with the BABAR results, the dark photon is ruled out at the 90% CL as an explanation
 313 for the $(g-2)_\mu$ anomaly for $m_U > 32$ MeV/ c^2 , leaving only a small remaining part of parameter space in the region
 314 $29 < m_U < 32$ MeV/ c^2 . The probability that the theoretically predicted coupling strength required to explain the
 315 $(g-2)_\mu$ anomaly is compatible with the PHENIX results is only 10%. Future analyses by PHENIX would be able
 316 to provide even more stringent limits due to both increased data sets and improved detector technology that allow
 317 measurement of displaced vertices. As the coupling to the dark photon gets weaker, the distance traveled by the dark

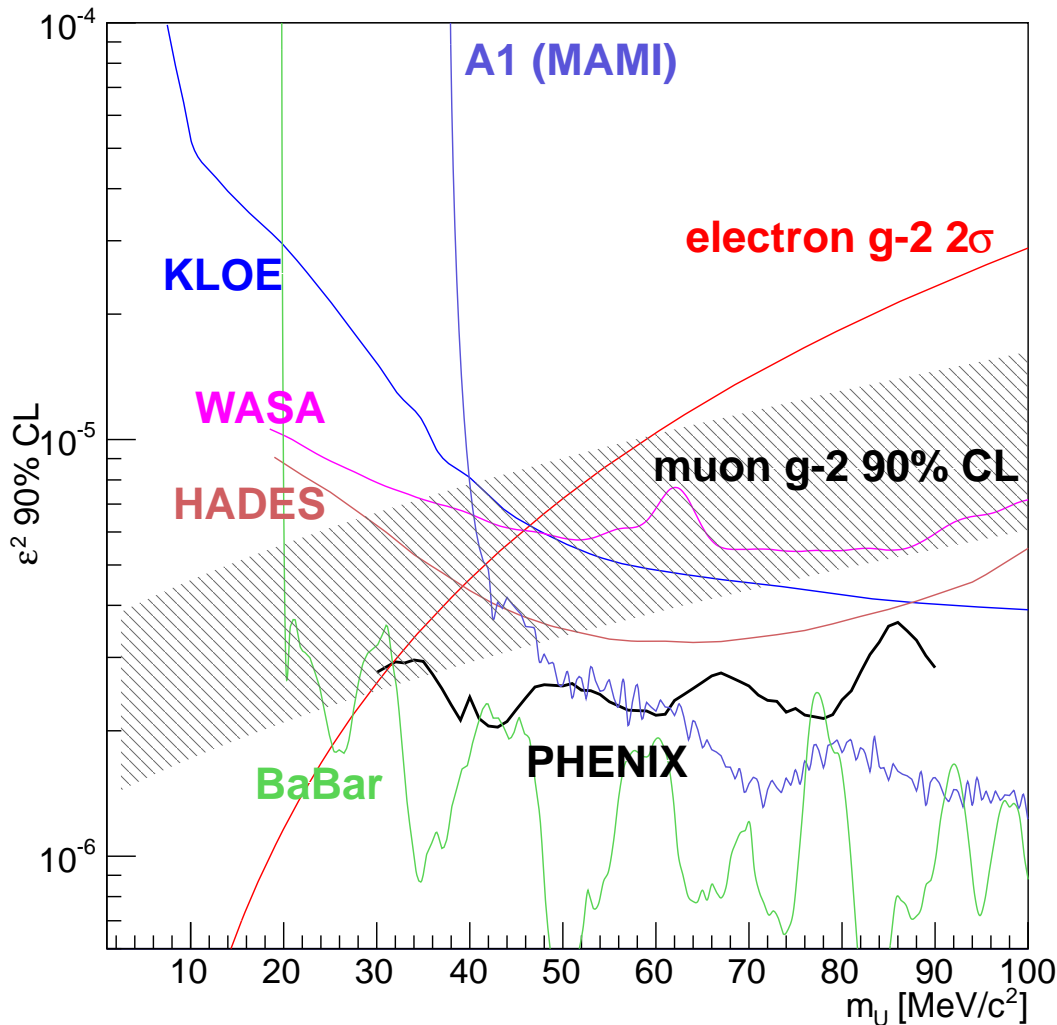


FIG. 4. (Color online) A compilation of the limits on the U - γ mixing parameter, showing the PHENIX results. Also shown are the limits at 90% CL from WASA [29], HADES [30], KLOE [31], A1(MAMI) [32], and BABAR [33] experiments and the band indicating the range of mass and coupling parameters favored by the $(g-2)_\mu$ anomaly at 90% CL. Also shown is the 2σ upper limit obtained from $(g-2)_e$ [34].

318 photon before decaying into e^+e^- grows longer [36]. The high statistics dataset taken after the recently commissioned
 319 PHENIX silicon vertex detector was installed in 2011 is being analyzed to look for such weakly coupled dark photons
 320 to provide limits even more restrictive than those reported here.

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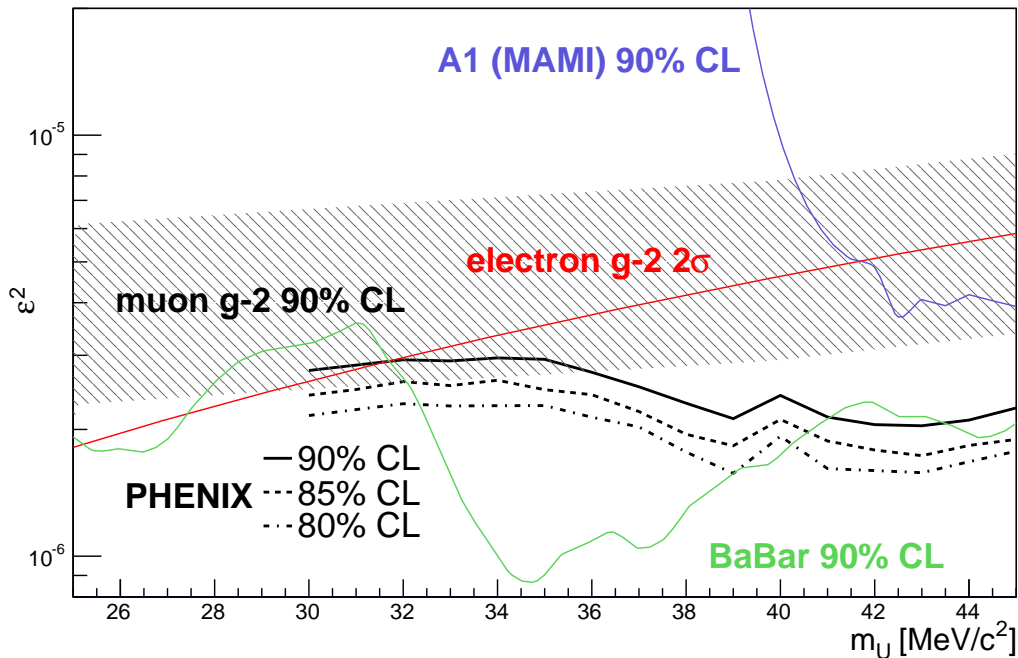


FIG. 5. (Color online) Limits on the U - γ mixing parameters from PHENIX at different confidence levels, together with the 90% CL limits from BABAR [33], and A1(MAMI) [32], the 2σ upper limit derived from $(g-2)_e$ [34] and the region favored by $(g-2)_\mu$.

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