



This is the accepted manuscript made available via CHORUS, the article has been published as:

Shell model analysis of competing contributions to the double- β decay of ^{48}Ca

Mihai Horoi

Phys. Rev. C 87, 014320 — Published 16 January 2013

DOI: 10.1103/PhysRevC.87.014320

Shell model analysis of competing contributions to the double-beta decay of ⁴⁸Ca

Mihai Horoi*

Department of Physics, Central Michigan University, Mount Pleasant, Michigan 48859, USA

Background: Neutrinoless double beta decay, if observed, would reveal physics beyond the Standard Model (SM) of particle physics, namely it would prove that neutrinos are Majorana fermions and that the lepton number is not conserved.

Purpose: The analysis of the results of neutrinoless double beta decay observations requires an accurate knowledge of several nuclear matrix elements (NME) for different mechanism that may contribute to the decay. We provide a complete analysis of these NME for the decay of the ground state (g.s.) of 48 Ca to the g.s. 0_1^+ and first excited 0_2^+ state of 48 Ti.

Method: For the analysis we used the nuclear shell model with effective two-body interactions that were fine-tuned to describe the low-energy spectroscopy of pf-shell nuclei. We checked our model by calculating the two-neutrino transition probability to the g.s. of ⁴⁸Ti. We also make predictions for the transition to the first excited 0_2^+ state of ⁴⁸Ti.

Results: We present results for all NME relevant for the neutrinoless transitions to the 0_1^+ and 0_2^+ states, and using the lower experimental limit for the g.s. to g.s. half-life we extract upper limits for the neutrino physics parameters.

Conclusions: We provide accurate NME for the two-neutrino and neutrinoless double beta decay transitions in A=48 system, which can be further used to analyze the experimental results of double beta decay experiments when they become available.

PACS numbers: 23.40.Bw, 21.60.Cs, 23.40.-s, 14.60.Pq Keywords: Double beta decay, Nuclear matrix elements, Shell model

I. INTRODUCTION

Neutrinoless double beta $(0\nu\beta\beta)$ decay, which can only occur by violating the conservation of the total lepton number, if observed it will reveal physics beyond the Standard Model, and it will represent a major milestone in the study of the fundamental properties of neutrinos [1]-[7]. Indeed, its discovery would decide if neutrinos are their own antiparticles [8], and would provide a hint about the scale of their absolute masses. That is why there are intensive investigations of this process, both theoretical and experimental. Recent results from neutrino oscillation experiments have demonstrated that neutrinos have mass and they can mix [9]-[11]. However, the neutrino oscillations experiments cannot be used to determine the neutrino mass hierarchy and the lowest neutrino mass. Neutrinoless double beta decay is viewed as one of the best routes to decide these unknowns. A key ingredient for extracting the absolute neutrino masses from $0\nu\beta\beta$ decay experiments is a precise knowledge of the nuclear matrix elements (NME) for this process.

There are potentially many mechanisms that could contribute to the neutrinoless double beta decay process that will be briefly reviewed below. Several of these mechanisms do not provide contributions to the decay rate that explicitly depend on the neutrino masses, but their effect would vanish if the neutrinos are not massive Majorana particles [8]. In all cases the half-lives depend on the nuclear matrix elements that need to be accurately calculated using low-energy nuclear structure models. In particular, if the exchange of light left-handed neutrinos is proven to be the dominant mechanism, one could be able to use the experimental results and the associated NME to extract the neutrino mass hierarchy and the lowest neutrino mass [7]. The two-neutrino double beta $(2\nu\beta\beta)$ decay is an associate process that is allowed by the Standard Model, and it was observed in about ten isotopes. Therefore, a good but not sufficient test of nuclear structure models would be a reliable description of the $2\nu\beta\beta$ half-lives.

Since most of the $\beta\beta$ decay emitters are open shell nuclei, many calculations of the NME have been performed within the pnQRPA approach and its extensions [12]-[23]. However, the pnORPA calculations of the more common two-neutrino double beta decay half-lives, which were measured for about 10 cases [24], are very sensitive to the variation of the so called g_{pp} parameter (the strength of the particle-particle interactions in the 1^+ channel) [12]-[14], and this drawback still persists in spite of various improvements brought by its extensions [15]-[20], including higher-order QRPA approaches [21]-[23]. The outcome of these attempts was that the calculations became more stable against g_{pp} variation, but at present there are still large differences between the values of the NME calculated with different QRPA-based methods, which do not yet provide a reliable determination of the twoneutrino double beta decay half-life. Therefore, although the QRPA methods do not seem to be suited to predict the $2\nu\beta\beta$ decay half-lives, one can use the measured $2\nu\beta\beta$ decay half-lives to calibrate the g_{pp} parameters, which are further used to calculate the $0\nu\beta\beta$ decay NME [25]. Other methods that were recently used to provide NME for most $0\nu\beta\beta$ decay cases of interest are the Interacting

^{*}Electronic address: mihai.horoi@cmich.edu

Boson Model (IBM-2) [26, 27], the Projected Hatree-Fock Bogoliubov (PHFB) [28], and the Generator Coordinate Method (GCM) [29].

Recent progress in computer power, numerical algorithms, and improved nucleon-nucleon effective interactions, made possible large scale shell model calculations (LSSM) of the $2\nu\beta\beta$ and $0\nu\beta\beta$ decay NME [30]-[32]. The main advantage of the large scale shell model calculations is that they seem to be less dependent on the effective interaction used, as far as these interactions are consistent with the general spectroscopy of the nuclei involved in the decay. Their main drawback is the limitation imposed by the exploding shell model dimensions on the size of the valence spaces that can be used. The most important success of the large scale shell model calculations was the correct prediction of the $2\nu\beta\beta$ decay half-life for ⁴⁸Ca [30, 33]. In addition, these calculations did not have to adjust any additional parameter, i.e. given the effective interaction and the Gamow-Teller (GT) quenching factor extracted from the overall spectroscopy in the mass-region (including beta decay probabilities and charge-exchange strength functions), one can reliably predict the $2\nu\beta\beta$ decay half-life of ⁴⁸Ca.

Clearly, there is a need to further check and refine these calculations, and to provide more details on the analysis of the NME that could be validated by experiments. We have recently revisited [34] the $2\nu\beta\beta$ decay of ⁴⁸Ca using two recently proposed effective interactions for this mass region, GXPF1 and GXPF1A, calculating the NME and half-lives for the transition of the ⁴⁸Ca g.s. to the g.s. and the first excited 2⁺ state of ⁴⁸Ti.

In this paper we add to the analysis the $2\nu\beta\beta$ transition to the first excited 0_2^+ state of ⁴⁸Ti. We also extend our analysis [36] of the $0\nu\beta\beta$ decay of ⁴⁸Ca by providing the NME associated with the most important $0\nu\beta\beta$ mechanisms for transitions to the g.s. 0_1^+ and first excited 0_2^+ state of ⁴⁸Ti. Future experiments on double beta decay of ⁴⁸Ca (CANDLES [37] and CARVEL [38]) may reach the required sensitivity of measuring such transitions, and our results could be also useful for planning these experiments.

II. TWO-NEUTRINO DOUBLE BETA DECAY

LSSM calculations of $2\nu\beta\beta$ decay NME can now be carried out rather accurately for many nuclei [39]. In the case of ⁴⁸Ca, Ref. [30] reported for the first time a full pfshell calculation of the NME for the $2\nu\beta\beta$ decay mode, for both transitions to the g.s. and to the 2_1^+ excited state of ⁴⁸Ti. As an effective interaction it was used the Kuo-Brown G-matrix [40] with minimal monopole modifications, KB3 [41]. In Ref. [34] we use the recently proposed GXPF1A two-body effective interaction, which has been successfully tested for the pf shell [42]-[44], to perform $2\nu\beta\beta$ decay calculations for ⁴⁸Ca. Our goal was to obtain the values of NME for this decay mode, for both transitions to the g.s. and to the 2_1^+ state of ⁴⁸Ti, with increased degree of confidence, which would allow us to consider similar calculations for the $0\nu\beta\beta$ decay mode of this nucleus [32]. The $2\nu\beta\beta$ transitions to excited states have longer half-lives, as compared with the transitions to the g.s., due to the reduced values of the corresponding phase space factors, but they were measured in some cases, such as ¹⁰⁰Mo [45].

For the $2\nu\beta\beta$ decay mode the relevant NME are of Gamow-Teller type, and has the following expression for decays to states in the grand-daughter that have the angular momentum J = 0, 2 [1]-[6],

$$M_{GT}^{2\nu}(J^+) = \frac{1}{\sqrt{J+1}} \sum_k \frac{\langle J_f^+ || \sigma \tau^- || 1_k^+ \rangle \langle 1_k^+ || \sigma \tau^- || 0_i^+ \rangle}{(E_k + E_J)^{J+1}}.$$
(1)

Here E_k is the excitation energy of the 1_k^+ state of intermediate odd-odd nucleus, and $E_J = \frac{1}{2}Q_{\beta\beta}(J^+) + \Delta M$. $Q_{\beta\beta}(J^+)$ is the Q-value corresponding to the $\beta\beta$ decay to the final J_f^+ state of the grand-daughter nucleus, and ΔM is the mass difference between the parent and the intermediate nucleus ⁴⁸Sc. The most common case is the decay to the 0_1^+ g.s. of the grand-daughter, but decays to the first excited 0_2^+ and 2_1^+ states are also investigated.

The $2\nu\beta\beta$ decay half-life expression is given by

$$\left[T_{1/2}^{2\nu,J}\right]^{-1} = G_J^{2\nu} |M_{GT}^{2\nu}(J)|^2 \tag{2}$$

where $G_J^{2\nu}$ are $2\nu\beta\beta$ phase space factors. Specific values of $G_J^{2\nu}$ for different $2\nu\beta\beta$ decay cases can be found in different reviews, such as Ref. [3]. For a recent analysis of $G_J^{2\nu}$ see Ref. [46]. In Ref. [34] we explicitly analyzed the dependence of the double-Gamow-Teller sum entering the NME Eq. (1) vs the excitation energy of the 1⁺ states in the intermediate nucleus ⁴⁸Sc. This sum was recently investigated experimentally [35], and it was shown that indeed, the incoherent sum (using only absolute values of the Gamow-Teller matrix elements) would provide an incorrect NME, thus validating our prediction. We have also corrected by several orders of magnitude the probability of transition of the g.s. of ⁴⁸Ca to the first excited 2⁺ state of ⁴⁸Ti reported in Ref. [30].

In Ref. [34] we fully diagonalized 250 1⁺ states in the intermediate nucleus to calculate the $2\nu\beta\beta$ decay NME for ⁴⁸Ca. This procedure can be used for somewhat heavier nuclei using the J-scheme shell model code NuShellX [48], but for cases with large dimension one needs an alternative method. The pioneering work on ⁴⁸Ca [30] used a strength-function approach that converges after a small number of Lanczos iterations, but it requires large scale shell model diagonalizations when one wants to check the convergence. Ref. [49] proposed an alternative method, which converges very quickly, but it did not provide a complete recipes for all its ingredients, and it was never used in practical calculations. Recently [47], we proposed a simple numerical scheme to calculate all coefficients of the expansion proposed in Ref. [49]. Following Ref. [49],

TABLE I: Matrix elements and half-lives for 2ν decay calculated using GXPF1A interaction and two quenching factors. Matrix elements are in MeV⁻¹ for transitions to 0⁺ states and in MeV⁻³ for transitions to 2⁺ states.

	qf = 0.77		qf = 0.74	
J_n^{π}	$M^{2\nu}$	$T_{1/2}^{2\nu}$ (y)	$M^{2\nu}$	$T_{1/2}^{2\nu}$ (y)
0_{1}^{+}	0.054	3.3×10^{19}	0.050	3.9×10^{19}
		$8.5 imes 10^{23}$		
		$1.6 imes 10^{24}$		

we choose as a starting Lanczos vector, L_1^{\pm} , either the initial or final state in the decay (only 0^+ to 0^+ transitions are considered), to which we apply the Gamow-Teller operator. This approach is very efficient for large model spaces, as for example the jj55 space (consisting of the $0g_{7/2}$, 1d, 2s, and $h_{11/2}$ orbits), which for the ¹²⁸Te decay leads to m-scheme dimensions of the order of 10 billions necessary to calculate the g.s. of 128 Xe. In the calculation of ⁴⁸Ca decay we use the standard quenching factor, qf = 0.77, for the Gamow-Teller operator $\sigma\tau$. We checked the result reported in Ref. [34] using this alternative method and we found the same result. The novel result report here for the first time is for the transition to the first excited 0^+ state in ⁴⁸Ti at 2.997 MeV. The matrix element when using GXPF1A interaction is 0.050, very close to that for the transition to the g.s. Using the phase space factor $G_{0_2^+}^{2\nu} = 2.43 \times 10^{-22} MeV^{-1}$ from Ref. [3] (a new set of phase space factors were recently proposed [46], but for $2\nu\beta\beta$ decays they differ only by 4% from those of Ref. [3]) we found that the half-life for this transition is 1.6×10^{24} y. We recall here that our results reported in [34] for the half-lives of the transitions to g.s. and to the first 2^+ excited state are 3.3×10^{19} y and 8.5×10^{23} y, respectively. One can see that the transition to the first excited 0^+_2 state at 2.997 MeV is predicted to compete with the transition to the first excited 2^+_1 state at 0.994 MeV.

The half-life for the transition to the g.s. 0_1^+ was measured by several groups with increased precision (see e.g. [24]). The most recent result from NEMO-3 (see [24] and references therein) is $T_{1/2}^{2\nu} = 4.4_{-0.4}^{+0.5}(stat.) \pm 0.4(syst.)$. Our GXPF1A result is marginally out of the recently reduced error bars. However, a recent publication [50] found a quenching factor of 0.74 for the *pf*-shell nuclei using GXPF1A interaction. The same quenching factor of 0.74 brings the calculated half-life within the experimental limits. A comparison of the matrix elements and the associated half-lives for the two quenching factors

used here is given in Table I. Potential observation of the $2\nu\beta\beta$ transitions to the excited states of ⁴⁸Ti could shed some light on the variation of the quenching factor for the Gamow-Teller operator in this nucleus. One should also mention that the excitation energy of the 0^+_2 state in ⁴⁸Ti calculated with GXPF1A interaction is about 1 MeV higher than the experimental value, while it is about right for ⁴⁸Ca. Other available effective interactions do no provide a better description of this state. This result may raise concerns about the validity of the nuclear structure description of the $2\nu\beta\beta$ transition to this state could be used to validate (or not) our result.

III. NEUTRINOLESS DOUBLE BETA DECAY

The $0\nu\beta\beta$ decay, $(Z, A) \rightarrow (Z + 2, A) + 2e^-$, requires the neutrino to be a massive Majorana fermion, i.e. it is identical to the antineutrino [8]. We already know from the neutrino oscillation experiments that some of the neutrinos participating in the weak interaction have mass, and that the mass eigenstates are mixed by the PNMS matrix U_{lk} , where l is the lepton flavor and k is the mass eigenstate number (see e.g. Ref. [52]). However, the neutrino oscillations experiments cannot decide the mass hierarchy, the mass of the lightest neutrino, and some of the CP non-conserving phases of the PNMS matrix (assuming that neutrinos are Majorana particles).

Considering only contributions from the exchange of light, left-handed(chirality), Majorana neutrinos [7], the $0\nu\beta\beta$ decay half-live is given by

$$\left[T_{1/2}^{0\nu}\right]^{-1} = G^{0\nu} \left|M_{\nu}^{0\nu}\right|^2 \left(\frac{|\langle m_{\beta\beta}\rangle|}{m_e}\right)^2 .$$
(3)

Here, $G^{0\nu}$ is the phase space factor, which depends on the $0\nu\beta\beta$ decay energy, $Q_{\beta\beta}$, the charge of the decaying nucleus Z, and the nuclear radius [3, 46]. The effective neutrino mass, $\langle m_{\beta\beta} \rangle$, is related to the neutrino mass eigenstates, m_k , via the left-handed lepton mixing matrix, U_{ek} ,

$$\langle m_{\beta\beta} \rangle / m_e \equiv \eta_{\nu L} = \sum_{k=light} m_k U_{ek}^2 / m_e.$$
 (4)

 m_e is the electron mass. The NME, $M_{\nu}^{0\nu}$, is given by

$$M_{\nu}^{0\nu} = M_{GT}^{0\nu} - \left(\frac{g_V}{g_A}\right)^2 M_F^{0\nu} - M_T^{0\nu} , \qquad (5)$$

where $M_{GT}^{0\nu}$, $M_F^{0\nu}$ and $M_T^{0\nu}$ are the Gamow-Teller (GT), Fermi (F) and tensor (T) matrix elements, respectively. Using closure approximation these matrix elements are defined as follows:

$$M_{\alpha}^{0\nu} = \left\langle 0_{f}^{+} \mid \sum_{m,n} \tau_{-m} \tau_{-n} O_{mn}^{\alpha} \mid 0_{i}^{+} \right\rangle$$

$$= \sum_{j_{p} j_{p'} j_{n} j_{n'} J_{\pi}} TBTD\left(j_{p} j_{p'}, j_{n} j_{n'}; J^{\pi}\right) \left\langle j_{p} j_{p'}; J^{\pi}T \mid \tau_{-1} \tau_{-2} O_{12}^{\alpha} \mid j_{n} j_{n'}; J^{\pi}T \right\rangle_{a},$$
(6)

where O_{mn}^{α} are $0\nu\beta\beta$ transition operators, $\alpha = (GT, F, T)$, $| 0_i^+ >$ is the g.s. of the parent nucleus, and $| 0_f^+ >$ is the final 0^+ state of the grand daughter nucleus. The two-body transition densities (TBTD) can be obtained from LSSM calculations [36]. Expressions for the anti-symmetrized two-body matrix elements (TBME) $\langle j_p j_{p'}; J^{\pi}T | \tau_{-1}\tau_{-2}O_{12}^{\alpha} | j_n j_{n'}; J^{\pi}T \rangle_a$ can be found elsewhere, e.g. Refs. [36, 53]. Assuming that one can unambiguously measures a $0\nu\beta\beta$ half-life, and one can reliably calculate the NME for that nucleus, one could use Eqs. (3) and (4) to extract information about the lightest neutrino mass and the neutrino mass hierarchy [52]. In addition, one could consider the contribution from the right-handed currents to the effective Hamiltonian, which can mix light and heavy neutrinos of both chiralities (L/R)

where
$$N_k$$
 are the heavy neutrinos that are predicted by
several see-saw mechanisms for neutrino masses [52]. U_{lk}
and V_{lk} are the left and right-handed components of the
unitary matrix that diagonalizes the neutrino mass ma-
trix [54]. One should also mention that there are several
other mechanisms that could contribute to the $0\nu\beta\beta$ de-
cay, such as the exchange of supersymmetric (SUSY) par-
ticles (e.g. gluino and squark exchange [55]), etc, whose
effects are not directly related to the neutrino masses,
but indirectly via the Schechter-Valle theorem [8]. As-
suming that the masses of the light neutrinos are smaller
than 1 MeV and the masses of the heavy neutrinos, M_k ,
are larger than 1 GeV, the particle physics and nuclear
structure parts get separated, and the inverse half-life
can be written as

$$\nu_{eL} = \sum_{k=light} U_{ek}\nu_{kL} + \sum_{k=heavy} U_{ek}N_{kL}$$
$$\nu_{eR} = \sum_{k=light} V_{ek}\nu_{kR} + \sum_{k=heavy} V_{ek}N_{kR} , \qquad (7)$$

$$\begin{bmatrix} T_{1/2}^{0\nu} \end{bmatrix}^{-1} = G^{0\nu} \left| \eta_{\nu L} M_{\nu}^{0\nu} \right|^{+} < \lambda > \tilde{X}_{\lambda} + \langle \eta > \tilde{X}_{\eta} + (\eta_{NL} + \eta_{NR}) M_{N}^{0\nu} \\ + \eta_{\lambda'} M_{\lambda'}^{0\nu} + \eta_{\tilde{q}} M_{\tilde{q}}^{0\nu} + \eta_{KK} M_{KK}^{0\nu} \Big|^{2},$$
(8)

where $\eta_{\nu L}$ was defined in Eq. (4), and

$$\eta_{NL} = \sum_{k=heavy} U_{ek}^2 \frac{m_p}{M_k},$$

$$\eta_{NR} \approx \left(\frac{M_{W_L}}{M_{W_R}}\right)^4 \sum_{k=heavy} V_{ek}^2 \frac{m_p}{M_k},$$

$$<\lambda > = \epsilon \sum_{k=light} U_{ek} V_{ek},$$

$$<\eta > = \left(\frac{M_{WL}}{M_{WR}}\right)^2 \sum_{k=light} U_{ek} V_{ek} .$$
 (9)

Here ϵ is the mixing parameter for the right heavy boson W_R and the standard left-handed heavy boson W_L , $W_R \approx \epsilon W_1 + W_2$, M_{WR} and M_{WL} are their respective masses, and m_p is the proton mass. The $\eta_{\lambda'}$ and $\eta_{\tilde{q}}$ are the R-parity violation contributions in supersymmetric (SUSY) Grand Unified Theories (GUT) related to the long range gluino exchange and squark-neutrino mechanism, respectively [52]. Finally, the η_{KK} term is due to possible Kaluza-Klein (KK) neutrino exchange in an extra-dimensional model [56]. The set of nuclear matrix elements $M_{\nu}^{0\nu}$, \tilde{X}_{λ} , \tilde{X}_{η} , $M_{N}^{0\nu}$, $M_{\lambda'}^{0\nu}$, and $M_{\tilde{q}}^{0\nu}$ are discussed in many reviews, e.g. Ref. [52]. The $M_{KK}^{0\nu}$ analysis can be found in Ref. [56]. In particular, using the factorization ansatz [56] one gets

$$\eta_{KK} M_{KK}^{0\nu} = \frac{\langle m \rangle_{SA}}{m_e} M_{\nu}^{0\nu} + m_p \langle m^{-1} \rangle M_N^{0\nu}$$
$$\equiv \eta_{lKK} M_{\nu}^{0\nu} + \eta_{hKK} M_N^{0\nu}, \qquad (10)$$

where $\langle m \rangle_{SA}$ and $\langle m^{-1} \rangle$ KK masses depend on the brane shift and bulk radius parameters, and are given in Table II of [56]. One can see that the mass parameters $\langle m \rangle_{SA} / m_e$ and $m_p < m^{-1} >$ has the effect of modifying $\eta_{\nu L}$ and η_{NR} respectively. $|m_p < m^{-1} > | < 10^{-8}$ and it could in principle compete with η_{NR} . $| < m >_{SA} / m_e |$ varies significantly with the model parameters and it could also compete with $\eta_{\nu L}$. One needs to go beyond the factorization ansatz, and use information from several nuclei [57] to discern any significant contribution from the KK mechanism.

Constraints from colliders experiments suggest that terms proportional with the mixing angles, ϵ , $U_{ek(heavy)}$, and $V_{ek(light)}$ are very small [54]. The present limits are $|\langle \lambda \rangle| \langle 10^{-8}$ and $|\langle \eta \rangle| \langle 10^{-9}$, but they are expected to be smaller. In addition, the contributions from \tilde{X}_{λ} and \tilde{X}_{η} terms in Eq. (8) would produce angular and energy distribution of the outgoing electrons different than that coming from all other terms [2], and these signals are under investigation at SuperNEMO [58]. Here we assume that these contributions are small and can be neglected. In addition, if $\langle \lambda \rangle$ is small, Eq. (9) suggests that η_{NL} is small. Information from colliders also puts some limits on $(M_{WR}, M_N) \sim (2.5 GeV, 1.4 GeV)$, and these limits will be refined at LHC in the coming years. Based on this information and the present limit on the $0\nu\beta\beta$ decay of ⁷⁶Ge one can estimate that $|\eta_{\nu L}| < 10^{-6}$, and $|\eta_{NR}| < 10^{-8}$. Then, the half-life can be written as

$$\left[T_{1/2}^{0\nu}\right]^{-1} = G^{0\nu} \left|\tilde{\eta}_{\nu L} M_{\nu}^{0\nu} + \tilde{\eta}_N M_N^{0\nu} + \eta_{\lambda'} M_{\lambda'}^{0\nu} + \eta_{\tilde{q}} M_{\tilde{q}}^{0\nu}\right|^2,$$
(11)

TABLE II: Matrix elements for 0ν decay using GXPF1A interaction and two SRC models [61], CD-Bonn (SRC1) and Argonne (SRC2). For comparison, the (a) values are taken from Ref. [27], and the (b) value is taken from Ref. [62] for $g_{pp} = 1$ and no SRC.

		$M_{\nu}^{0\nu}$	$M_N^{0\nu}$	$M^{0\nu}_{\lambda'}$	$M_{\tilde{q}}^{0\nu}$
0_{1}^{+}	SRC1	0.90	75.5	618	86.7
	SRC2	0.82	52.9	453	81.8
	others	$2.3^{(a)}$	$46.3^{(a)}$	$392^{(b)}$	
0_{2}^{+}	SRC1	0.80	57.2	486	84.2
	SRC2	0.75	40.6	357	80.6

where we adjusted $\eta_{\nu L}$ and η_{NR} for potential KK contributions, $\tilde{\eta}_{\nu L} = \eta_{\nu L} + \eta_{lKK}$ and $\tilde{\eta}_N = \eta_{NR} + \eta_{hKK}$.

If one neglects the SUSY and KK contributions until a hint of their existence is provided by colliders experiments or future results of $0\nu\beta\beta$ decay experiments show that these contributions are necessary [57], then

$$\left[T_{1/2}^{0\nu}\right]^{-1} = G^{0\nu} \left(\left| M_{\nu}^{0\nu} \right|^2 \left| \eta_{\nu L} \right|^2 + \left| M_N^{0\nu} \right|^2 \left| \eta_{NR} \right|^2 \right) ,$$
(12)

where we used the fact that the interference between the left-handed terms and the right-handed terms is negligible [52].

The structure of the $M_N^{0\nu}$ is the same as that described in Eqs. (5)-(8), with slightly different neutrino potentials

 $H_{\alpha}(r)$ (see e.g. page 68 of Ref. [52]). A detailed description of the matrix elements of O_{12}^{α} for the *jj*-coupling scheme consistent with the conventions used by modern shell model effective interactions is given in Ref. [36]. One should also mention that our method [36] of calculating the TBTD. Eq. (6), is different from that used in other shell model calculations [32]. We included in the calculations the recently proposed higher order terms of the nucleon currents, three old and recent parametrization of the short-range correlations (SRC) effects, finite size (FS) effects, intermediate states energy effects, and we treated careful few other parameters entering the into the calculations. We found very small variation of the NME with the average energy of the intermediate states, and FS cutoff parameters, and moderate variation vs the effective interaction and SRC parametrization. We could also show that if the ground state wave functions of the initial and final nucleus can be accurately described using only the valence space orbitals, the contribution from the core orbitals can be neglected. This situation is different from that of the nuclear parity-nonconservation matrix elements [59], for which the "mean-field" type contribution from the core orbitals could be significant [60]. Another important result that clearly transpires from our formalism is that in the closure approximation the neutrinoless transition to the first excited 2^+ state is zero. This result is due to the rotational invariance of the TBME entering Eq. (6) (see also Appendix of Ref. [36]). The structure of the R-parity breaking SUSY mechanisms NME is similar to that of light and heavy neutrino exchange mechanisms, but with no $\alpha = F$ component [55]. The neutrino potentials used here for the $M_N^{0\nu}$, and those used for the most significant contributions to $M_{\lambda'}^{0\nu}$ and $M_{\tilde{q}}^{0\nu}$ NME are given in Ref. [52], but for completeness they are reviewed in the Appendix with

TABLE III: Single mechanism upper limits for neutrino physics parameters η_j extracted from the lower limit of the half-life for the transition to the ground state of ⁴⁸Ti [52] and using the matrix elements from Table II.

		$ \tilde{\eta}_{\nu L} \times 10^5$	$ \tilde{\eta}_N \times 10^7$	$ \eta_{\lambda'} \times 10^8$	$ \eta_{\tilde{q}} \times 10^7$
0_{1}^{+}	SRC1	3.79	4.52	5.52	3.93
	SRC2	4.16	6.45	7.53	4.17

the specific parameters included in these calculations.

The results for all NME entering Eq. (11) for the transition to the 0_1^+ g.s. and first excited 0_2^+ state of ⁴⁸Ti are presented in Table II. Comparison with results of other models, when available, are also included. For the light neutrino exchange matrix element we choose to compare with the IBA-2 results, which is very different from ours. Other shell model analyses of this particular NME gives similar results for both transitions to 0_1^+ and 0_2^+ states [32, 63]. To our knowledge, with the exception of the light neutrino exchange NME, no other results of shell model calculations for these matrix elements were reported so far (with the possible exception of Ref. [64] where the NME as a function of neutrino mass is reported and it could potentially be used to extract the corresponding $M_N^{0\nu}$). Based on these calculations and using the experimental lower limit of the half-life, one can extract the "single-mechanism dominance" upper limits for $|\eta_j|$, where $j = (\nu L)$, N, λ' , \tilde{q} . At present there is available only the lower limit of the half-life for the transition to the g.s. of ⁴⁸Ti, 1.4×10^{22} y [52]. Using the phase-space factor from Ref. [46], $G^{0\nu} = 61.4 \times 10^{-15}$ y⁻¹ (for $g_A = 1.254$ and $R = 1.2 A^{1/3}$ fm), we obtained the upper limits for $|\eta_i|$ shown in Table III. Alternatively, assuming that two or more mechanisms are contributing to the half-life in Eq. (11) compete, one could use the experimental data from several isotopes to assess the contribution of each mechanism [55]. Clearly, this scenario requires as many as possible accurate half-lives and associated NMEs. For example, in the likely scenario that no more than two mechanisms are competing and they are the light and heavy neutrino exchange, then Eq. (12) can be used to analyze the data. If the exchange of light neutrino will be determined as the dominant mechanism, then our results could possible be used to decide the light neutrino mass hierarchy and the lowest neutrino mass [52].

IV. CONCLUSIONS AND OUTLOOK

In conclusion, we analyzed the $2\nu\beta\beta$ and several mechanisms that could compete to the $0\nu\beta\beta$ decays of ⁴⁸Ca using shell model techniques. We described very efficient techniques to calculate accurate $2\nu\beta\beta$ NME for cases that involve large shell model dimensions. These techniques were tested for the case of 48 Ca, and we provided NME and half-lives for $2\nu\beta\beta$ transitions to the g.s. and excited states of 48 Ti. These techniques can be used to make predictions for 76 Ge, 82 Se using the jj44 model space (0 $f_{5/2},~1p,~0g_{9/2}$), and for 128 Te, 130 Te and 136 Xe using the jj55 model space.

We reviewed the main contributing mechanisms to the $0\nu\beta\beta$ decay, and we showed that based on the present constraints from colliders one could reduce the contribution to the $0\nu\beta\beta$ half-life to the relevant terms described in Eq. (11). A reliable analysis of the $0\nu\beta\beta$ decay experimental data requires accurate calculations of the associated NME. We extended our recent analysis [36] of the $0\nu\beta\beta$ NME for ⁴⁸Ca to include the heavy neutrino exchange NME, the long range gluino exchange NME, and the squark-neutrino mechanism NME. We also presented for the first time shell model results of these new NME for the $0\nu\beta\beta$ transitions to the g.s. and the first excited 0_2^+ state in ⁴⁸Ti.

To extend this analysis to the A > 48 cases, more efforts have to be done to include all spin-orbit partners in the valence space and satisfy the Ikeda sum-rule, reduce the center-of-mass spurious contributions, and better understand the changes in the effective $0\nu\beta\beta$ transition operators [65, 66]. In addition, the closure approximation used to calculate the NME within the shell model approach and by other methods (e.g. IBA-2 [26], PHFB [28], and GCM [29]) needs to be further checked for accuracy, especially for the heavy neutrino exchange, the long range gluino exchange, and the squark-neutrino mechanism. An analysis of the double beta decay of ¹³⁶Xe that addresses some of these issues is in preparation.

V. APPENDIX

The matrix elements for the light and heavy neutrino exchange in Eq. (11) have the same structure as that described in Eqs. (3)-(6) of Ref. [36]. For $M_{\nu}^{0\nu}$ the neutrino potential is the same as in Eq. (7) of [36]

$$H_{\alpha}(r) = \frac{2R}{\pi} \int_0^{\infty} f_{\alpha}(qr) \frac{h_{\alpha}(q^2)}{q + \langle E \rangle} G_{\alpha}(q^2) q dq, \quad (13)$$

with the same ingredients described in Eqs. (9)-(12) of [36]. Here we corrected the $(\mu_p - \mu_n)$ value to 4.71, an error that seems to be propagating for some time through the literature [7]. This correction explains the small difference between the $M_{\nu}^{0\nu}$ values of Table II and corresponding ones reported in Ref. [36]. Fortunately, this correction only changes the matrix elements by few percents. All other constants are the same as in Ref. [36]. In particular, we used $g_A = 1.254$ and $R = 1.2A^{1/3}$ fm. For the $M_N^{0\nu}$ there is a slight change in the neutrino potentials

$$H_{\alpha}(r) = \frac{2R}{\pi m_e m_p} \int_0^\infty f_{\alpha}(qr) h_{\alpha}(q^2) G_{\alpha}(q^2) q^2 dq, (14)$$

where m_e and m_p are the electron and proton mass, respectively.

The most significant contributions to $M_{\lambda'}^{0\nu}$ and $M_{\tilde{q}}^{0\nu}$ have a similar structure as $M_{\nu}^{0\nu}$ and $M_{N}^{0\nu}$, however, only the $\alpha = GT, T$ terms in Eq. (5) are contributing. The radial neutrino potentials for $M_{\lambda'}^{0\nu}$ have the same form as those used for $M_{N}^{0\nu}$, Eq. (14), but with different h_{α} :

$$h_{GT,T} = -\left(c^{1\pi} + c^{2\pi}\right) \left[\frac{m_e m_p q^2 / m_\pi^4}{1 + q^2 / m_\pi^2} + \frac{2m_e m_p q^2 / m_\pi^4}{\left(1 + q^2 / m_\pi^2\right)^2}\right],\tag{15}$$

where m_{π} is the charged pion mass, 139 MeV. Expressions for $c^{1\pi}$ and $c^{2\pi}$ are given in Ref. [52]. The numerical values we used are $c^{1\pi} = -85.23$ and $c^{2\pi} = 368.0$.

- W.C. Haxton and G.J. Stephenson, Prog. Part. Nucl. Phys. 12, 409 (1984).
- [2] M. Doi, T. Kotani, H. Nishiura, and E. Takasugi, Prog. Theor. Phys. 69, 602 (1983).
- [3] J. Suhonen and O. Civitarese, Phys. Rep. 300, 123 (1998).
- [4] A. Faessler and F. Simkovic, J. Phys. G: Nucl. Part. Phys. 24, 2139 (1998).
- [5] S.R. Elliot and J. Engel, J. Phys. G 30, R183 (2004).
- [6] S.R. Elliot and P. Vogel, Annu. Rev. Nucl. Part. Sci. 52, 115 (2002).
- [7] F.T. Avignone, S.R. Elliott, and J. Engel, Rev. Mod. Phys. 80, 481 (2008).
- [8] J. Schechter and J.W.F. Valle, Phys. Rev. D 25, 2951 (1982).
- [9] B. Aharmim et al., Phys. Rev. C 72, 055502 (2005).
- [10] C. Arsepella et al., Phys. Lett. B 658, 101 (2008); T. Araki et al., Phys. Rev. Lett. 94, 081801 (2005).
- [11] T. Schwetz, Nucl. Phys. B (proc. Suppl) 188, 158 (2008).
- [12] P. Vogel and M. R. Zirnbauer, Phys. Rev. Lett. 57, 3148 (1986).
- [13] K. Grotz and H.V. Klapdor, Nucl. Phys. A 460, 395 (1986).
- [14] J. Suhonen, T. Taigel and A. Faessler, Nucl. Phys. A 486, 91 (1988).
- [15] A. Staudt, K. Muto and H.V. Klapdor-Kleingrothaus, Europhys. Lett. 13, 31 (1990).
- [16] O. Civitarese, A. Faessler, J. Suhonen, X.R. Wu, Phys. Lett. B 251, 333 (1990).
- [17] S. Stoica and W.A. Kaminski, Phys. Rev.C 47, 867 (1993); S. Stoica, Phys. Rev. C 49, 2240 (1994).
- [18] A.A. Raduta, D.S. Delion, A. Faessler, Phys. Rev. C 51, 3008 (1995).
- [19] G. Pantis, F. Simkovic, J.D. Vergados, A. Faessler, Phys. Rev. C 53, 695 (1996).
- [20] A. Bobyk, W.A. Kaminski and P. Zareba, Eur. Phys. J. A 5, 385 (1999).
- [21] A. A. Raduta, A. Faessler, S. Stoica and W. A. Kaminski,

The radial neutrino potentials for $M_{\tilde{q}}^{0\nu}$ have the same form as those used for $M_{\nu}^{0\nu}$, Eq. (13), but with different h_{α} :

$$h_{GT,T} = -\frac{1}{6} \frac{m_{\pi}^2}{m_e \left(m_u + m_d\right)} \frac{q^2 / m_{\pi}^2}{\left(1 + q^2 / m_{\pi}^2\right)^2}, \qquad (16)$$

where m_u and m_d are the current up and down quark masses. In the calculation we used $m_u + m_d = 11.6$ MeV.

Acknowledgments

The author had useful conversations with B.A. Brown and S. Stoica. Support from the US NSF Grant PHY-1068217 and the SciDAC Grant NUCLEI is acknowledged.

Phys. Lett. **B 254**, 7 (1991).

- [22] J. Toivanen and J. Suhonen, Phys. Rev. Lett. **75**, 410 (1995); Phys. Rev. C **55**, 2314 (1997).
- [23] F. Simkovic, J. Schwieger, M. Veselsky, G. Pantis, A. Faessler, Phys. Lett. **393**, 267 (1997).
- [24] A.S. Barabash, Phys. Rev. C 81, 035501 (2010).
- [25] V. A. Rodin, A. Faessler, F. Simkovic, and P. Vogel, Nucl. Phys. A766, 107 (2006), erratum ibidem.
- [26] J. Barea and F. Iachello, Phys. Rev. C 79, 044301 (2009).
- [27] J. Barea, J. Kotila, and F. Iachello, Phys. Rev. Lett. 109, 042501 (2012).
- [28] P.K. Rath, R. Chandra, K. Chaturvedi, P.K. Raina, and J.G. Hirsch. Phys. Rev. C 82, 064310 (2010).
- [29] T.R. Rodriguez and G. Martinez-Pinedo. Phys. Rev. Lett. 105, 252503 (2010).
- [30] E. Caurier, A. Poves, and A.P. Zuker, Phys. Lett. B252, 13 (1990).
- [31] E. Caurier, F. Nowacki, A. Poves and J. Retamosa, Phys. Rev. Lett. 77, 1954 (1996).
- [32] J. Retamosa, E. Caurier, F. Nowacki, Phys. Rev. C 51, 371 (1995).
- [33] A. Balysh et al, Phys. Rev. Lett. 77, 5186 (1996).
- [34] M. Horoi, S. Stoica, B.A. Brown, Phys Rev C 75, 034303 (2007).
- [35] K. Yako, et al., Phys. Rev. Lett. 103, 012503 (2009).
- [36] M. Horoi and S. Stoica, Phys. Rev. C 81, 024321 (2010).
- [37] S. Umehara, et al., Journal of Physics: Conference Series 39, 356358 (2006).
- [38] Yu. G. Zdesenko, et al., Astropart. Phys. 23, 249 (2005).
- [39] E. Caurier, F. Nowacki, and A. Poves, Phys. Lett. B 711, 62 (2012), arXiv:1112.5039v2 [nucl-th].
- [40] T.T.S. Kuo and G.E. Brown, Nucl. Phys. A114, 235 (1968).
- [41] A. Poves and A.P.Zuker, Phys. Rep. 71, 141 (1981).
- [42] M. Honma, T. Otsuka, B.A. Brown, and T. Mizusaki, Phys. Rev. C 69, 034335 (2004).
- [43] M. Honma, T. Otsuka, B.A. Brown, and T. Mizusaki, Eur. Phys. J. A 25 Suppl. 1, 499 (2005).

- [44] M. Horoi, B.A. Brown, T. Otsuka, M. Honma, and T. Mizusaki, Phys. Rev. C 73, 061305(R) (2006).
- [45] M.J. Hornish, L. De Braeckeleer, A.S. Barabash, and V.I. Umatov, Phys. Rev. C 74, 044314 (2006).
- [46] J. Kotila and F. Iachello, Phys. Rev. C 85, 034316 (2012).
- [47] M. Horoi, AIP Procs. 1304, 106 (2010).
- [48] http://www.garsington.eclipse.co.uk .
- [49] J. Engel, W. C. Haxton, and P. Vogel, Phys. Rev. C 46, 2153(R) (1992).
- [50] A. L. Cole et al., Phys. Rev. C 86, 015809 (2012).
- [51] G. Martinez-Pinedo, A. Poves, E. Caurier, A.P. Zuker, Phys. Rev. C 53, 2602 (1996).
- [52] J.D. Vergados, H. Ejiri, and F. Simkovic, Rep. Prog. Phys. **75**, 106301 (2012), arXiv:1205.0649v2 [hep-ph] (2012).
- [53] A. Neacsu, S. Stoica, and M. Horoi, arXiv:1208.5728 [nucl-th] (2012).
- [54] S. P. Das, F. F. Deppisch, O. Kittel, and J. W. F. Valle, Phys. Rev. D 86, 055006 (2012).
- [55] A. Faessler, A. Meroni, S.T. Petcov, F. Simkovic, and J. Vergados, Phys. Rev. D 83, 113003 (2011).

- [56] G. Bhattacharyya, H.V. Klapdor-Kleingrothaus, H. Pas, and A. Pilaftsis, Phys. Rev. D 67, 113001 (2003).
- [57] F. Deppisch and H. Päs. Phys. Rev. Lett. 98, 232501 (2007).
- [58] R. Arnold et al., arXiv:1005.1241 [hep-ex] (2010).
- [59] M. Horoi and B.A. Brown, Phys. Rev. Lett. 74, 231 (1995).
- [60] E.G. Adelberger and W.C. Haxton, Annu. Rev. Nucl. Part. Sci. 35, 501 (1985).
- [61] F. Simkovic, A. Faessler, H. Muther, V. Rodin, and M. Stauf, Phys. Rev. C 79, 055501 (2009).
- [62] A. Wodecki, W. A. Kaminski, and F. Simkovic, Phys. Rev. D 60, 115007 (1999).
- [63] J. Menendez, A. Poves, E. Caurier and F. Nowacki, Nucl. Phys. A 818, 139 (2009).
- [64] M. Blennow, E. Fernandez-Martinez, J Lopez-Pavon and J. Menendez, JHEP <u>07</u>, 096 (2010).
- [65] J. Engel and G. Hagen, Phys. Rev. C 79, 064317 (2009).
- [66] J. Menendez, D. Gazit, and A. Schwenk, Phys. Rev. Lett. 107, 062501 (2011).