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Initial eccentricity fluctuations and their relation to higher-order flow harmonics

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Monte Carlo simulations are used to compute the centrality dependence of the participant eccentricities (ε_n) in Au+Au collisions, for the two primary models currently employed for eccentricity estimates – the Glauber and the factorized Kharzeev-Levin-Nardi (fKLN) models. They suggest specific testable predictions for the magnitude and centrality dependence of the flow coefficients v_n , respectively measured relative to the event planes Ψ_n . They also indicate that the ratios of several of these coefficients may provide an additional constraint for distinguishing between the models. Such a constraint could be important for a more precise determination of the specific viscosity of the matter produced in heavy ion collisions.

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Collective flow continues to play a central role in ongoing efforts to characterize the transport properties of the strongly interacting matter produced in heavy ion collisions at the Relativistic Heavy Ion Collider (RHIC) [1–16]. An experimental manifestation of this flow is the anisotropic emission of particles in the plane transverse to the beam direction [17, 18]. This anisotropy can be characterized by the even order Fourier coefficients;

$$v_n = \left\langle e^{in(\phi_p - \Psi_{RP})} \right\rangle, \quad n = 2, 4, \dots, \quad (1)$$

where ϕ_p is the azimuthal angle of an emitted particle, Ψ_{RP} is the azimuth of the reaction plane and the brackets denote averaging over particles and events [19]. Characterization has also been made via the pair-wise distribution in the azimuthal angle difference ($\Delta\phi = \phi_1 - \phi_2$) between particles [17, 20, 21];

$$\frac{dN_{\text{pairs}}}{d\Delta\phi} \propto \left(1 + \sum_{n=1} 2v_n^2 \cos(n\Delta\phi) \right). \quad (2)$$

Anisotropic flow is understood to result from an asymmetric hydrodynamic-like expansion of the medium produced by the two colliding nuclei. That is, the spacial asymmetry of the produced medium drives uneven pressure gradients in- and out of the reaction plane and hence, a momentum anisotropy of the particles emitted about this plane. This mechanistic picture is well supported by the observation that the measured anisotropy for hadron $p_T \lesssim 2$ GeV/c, can be described by relativistic hydrodynamics [5, 10, 12, 14, 15, 22–31].

The differential Fourier coefficients $v_2(N_{\text{part}})$ and $v_2(p_T)$ have been extensively studied in Au+Au collisions

at RHIC [20, 32–38]. One reason for this has been the realization that these elliptic flow coefficients are sensitive to various transport properties of the expanding hot medium [5–7, 9, 11, 13, 23, 39–41]. Indeed, considerable effort has been, and is being devoted to the quantitative extraction of the specific shear viscosity η/s (*i.e.* the ratio of shear viscosity η to entropy density s) via comparisons to viscous relativistic hydrodynamic simulations [9–12, 14, 15, 30], transport model calculations [6, 13, 42] and hybrid approaches which involve the parametrization of scaling violations to ideal hydrodynamic behavior [7, 16, 40, 43, 44]. The initial eccentricity of the collision zone and its associated fluctuations, has proven to be an essential ingredient for these extractions.

Experimental measurements of the eccentricity have not been possible to date. Consequently, much reliance has been placed on the theoretical estimates obtained from the overlap geometry of the collision zone, specified by the impact parameter b or the number of participants N_{part} [31, 34, 43, 45–52]. For these estimates, the geometric fluctuations associated with the positions of the nucleons in the collision zone, serve as the underlying cause of the initial eccentricity fluctuations. That is, the fluctuations of the positions of the nucleons lead to fluctuations of the so-called participant plane (from one event to another) which result in larger values for the eccentricities (ε) referenced to this plane.

The magnitude of these fluctuations are of course model dependent, and this leads to different predictions for the magnitude of the eccentricity. More specifically, the ε_2 values obtained from the Glauber [34, 53] and the factorized Kharzeev-Levin-Nardi (fKLN) [54, 55] models, (the two primary models currently employed for eccentricity estimates) give results which differ by as much as $\sim 25\%$ [56, 57] – a difference which leads to an approximate factor of two uncertainty in the extracted η/s value [9, 16]. Thus, a more precise extraction of η/s re-

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quires a clever experimental technique which can measure the eccentricity and/or the development of experimental constraints which can facilitate the requisite distinction between the models used to calculate eccentricity.

Recently, significant attention has been given to the study of the influence of initial geometry fluctuations on higher order eccentricities $\varepsilon_{n,n \geq 3}$ [30, 31, 47, 50–52, 58–60], with an eye toward a better understanding of how such fluctuations manifest into the harmonic flow correlations characterized by v_n (for odd and even n), and whether they can yield constraints that could serve to pin down the “correct” model for eccentricity determination. For the latter, the magnitude of ε_n and its detailed centrality dependence is critical. Therefore, it is essential to resolve the substantial differences in the ε_n values reported and used by different authors [30, 31, 47, 50–52, 58–60].

Here, we argue that the magnitudes and trends for the eccentricities ε_n imply specific testable predictions for the magnitude and centrality dependence of the flow coefficients v_n , measured relative to their respective event planes Ψ_n . We also show that the values for ε_n obtained for the Glauber [34, 53] and fKLN [54, 55] models, indicate sizable model dependent differences which could manifest into experimentally detectable differences in the centrality dependence of the ratios $v_3/(v_2)^{3/2}$, $v_4/(v_2)^2$ and $v_2/v_{n,n \geq 3}$. Such a constraint could be important for a more precise determination of the specific viscosity of the hot and dense matter produced in heavy ion collisions.

I. ECCENTRICITY SIMULATIONS

Monte Carlo (MC) simulations were used to calculate event averaged eccentricities (denoted here as ε_n) in Au+Au collisions, within the framework of the Glauber (MC-Glauber) and fKLN (MC-KLN) models. For each event, the spatial distribution of nucleons in the colliding nuclei were generated according to the Woods-Saxon function:

$$\rho(\mathbf{r}) = \frac{\rho_0}{1 + e^{(r-R_0)/d}}, \quad (3)$$

where $R_0 = 6.38$ fm is the radius of the Au nucleus and $d = 0.53$ fm is the diffuseness parameter.

For each collision, the values for N_{part} and the number of binary collisions N_{coll} were determined within the Glauber ansatz [53]. The associated ε_n values were then evaluated from the two-dimensional profile of the density of sources in the transverse plane $\rho_s(\mathbf{r}_\perp)$, using modified versions of MC-Glauber [53] and MC-KLN [55] respectively.

For each event, we compute an event shape vector S_n and the azimuth of the the rotation angle Ψ_n for n -th

harmonic of the shape profile [47, 50];

$$\begin{aligned} S_{nx} &\equiv S_n \cos(n\Psi_n) = \int d\mathbf{r}_\perp \rho_s(\mathbf{r}_\perp) \omega(\mathbf{r}_\perp) \cos(n\phi) \\ S_{ny} &\equiv S_n \sin(n\Psi_n) = \int d\mathbf{r}_\perp \rho_s(\mathbf{r}_\perp) \omega(\mathbf{r}_\perp) \sin(n\phi) \\ \Psi_n &= \frac{1}{n} \tan^{-1} \left(\frac{S_{ny}}{S_{nx}} \right), \end{aligned} \quad (6)$$

where ϕ is the azimuthal angle of each source and the weight $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^2$ and $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^n$ are used in respective calculations. Here, it is important to note that the substantial differences reported for ε_n in Refs. [30, 31, 47, 50–52, 58–60] is largely due to the value of $\omega(\mathbf{r}_\perp)$ employed.

The eccentricities were calculated as:

$$\varepsilon_n = \langle \cos n(\phi - \Psi_n) \rangle \quad (7)$$

and

$$\varepsilon_n^* = \langle \cos n(\phi - \Psi_m) \rangle, \quad n \neq m. \quad (8)$$

where the brackets denote averaging over sources and events belonging to a particular centrality or impact parameter range; the starred notation is used here to distinguish the n -th order moments obtained relative to an event plane of a different order Ψ_m .

For the MC-Glauber calculations, an additional entropy density weight was applied reflecting the combination of spatial coordinates of participating nucleons and binary collisions [48, 56];

$$\rho_s(\mathbf{r}_\perp) \propto \left[\frac{(1-\alpha)}{2} \frac{dN_{\text{part}}}{d^2\mathbf{r}_\perp} + \alpha \frac{dN_{\text{coll}}}{d^2\mathbf{r}_\perp} \right], \quad (9)$$

where $\alpha = 0.14$ was constrained by multiplicity measurements as a function of N_{part} for Au+Au collisions [61]. These procedures take account of the eccentricity fluctuations which stem from the event-by-event misalignment between the short axis of the “almond-shaped” collision zone and the impact parameter. Note that ε_n (cf. Eq. 7) corresponds to v_n measurements relative to the so-called participant planes [34, 53]. That is, each harmonic ε_n is evaluated relative to the principal axis determined by maximizing the n -th moment. This is analogous to the measurement of v_n with respect to the n -th order event-plane in actual experiments [62]. It however, contrasts recent experimental measurements in which a higher order coefficient (v_4) has been measured with respect to a lower order event plane (Ψ_2) [38, 63]. Note as well that we have established that the angles Ψ_n for the odd and even harmonics are essentially uncorrelated for the N_{part} range of interest to this study.

A. Results for $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^2$ and $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^n$

Figure 1 shows a comparison of $\varepsilon_{n,n \leq 6}$ vs. N_{part} for $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^2$, for MC-Glauber (a) and MC-KLN (b) for

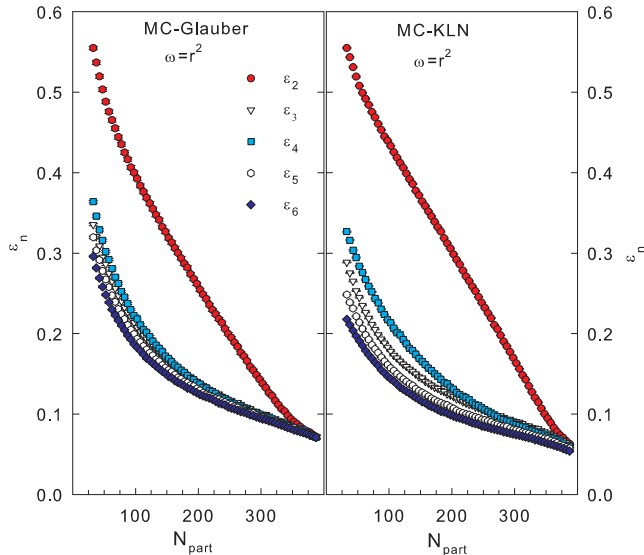


FIG. 1. Calculated values of $\varepsilon_{n,n \leq 6}$ vs. N_{part} for $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^2$ for MC-Glauber (a) and MC-KLN (b) for Au+Au collisions. The open and filled symbols indicate the results for odd and even harmonics respectively.

75 Au+Au collisions. The filled and open symbols indi-
 1 indicate the results for the even and odd harmonics respec-
 2 tively. For this weighting scheme, ε_n is essentially the
 3 same for $n \geq 3$, and have magnitudes which are signifi-
 4 cantly less than that for ε_2 , except in very central colli-
 5 sions where the effects of fluctuation dominate the mag-
 6 nitude of $\varepsilon_{n,n \geq 2}$. Note the approximate $1/\sqrt{N_{\text{part}}}$ de-
 7 pendence for $\varepsilon_{n,n \geq 3}$. The smaller magnitudes for $\varepsilon_{n,n \geq 3}$
 8 (with larger spread) apparent in Fig. 1(b), can be attri-
 9 buted to the sharper transverse density distributions
 10 for MC-KLN.

11 Figure 2 shows a similar comparison of $\varepsilon_{n,n \leq 6}$ vs. N_{part}
 12 for calculations performed with the weight $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^n$.
 13 This weighting results in an increase in the sensitivity
 14 to the outer regions of the transverse density distribu-
 15 tions. Consequently, the overall magnitudes for $\varepsilon_{n,n \geq 3}$
 16 are larger than those shown in Fig. 1. This weighting
 17 also lead to a striking difference in the relative magni-
 18 tudes of $\varepsilon_{n,n \geq 2}$ for MC-Glauber (a), MC-KLN (b) and
 19 the results for $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^2$ shown in Fig. 1.

20 II. ECCENTRICITY RATIOS

21 The magnitudes and trends of the calculated eccentrici-
 22 ties shown in Figs. 1 and 2 are expected to influence the
 23 measured values of v_n . To estimate this influence, we
 24 first assume that the resulting anisotropic flow is directly
 25 proportional to the initial eccentricity, as predicted by
 26 perfect fluid hydrodynamics. Here, our tacit assumption
 27 is that a possible influence from the effects of a finite
 28 viscosity (η/s) is small because current estimates indi-

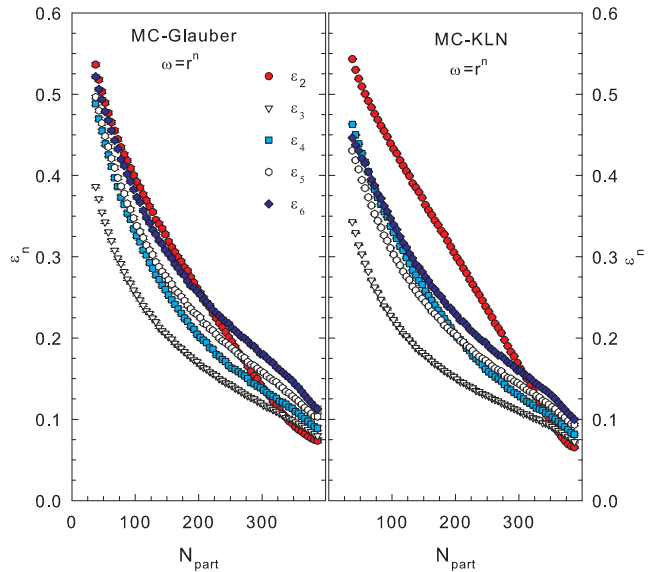


FIG. 2. Same as Fig. 1 for $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^n$.

29 cate that η/s is small [4, 6, 7, 9–16, 30, 40, 43, 44] – of
 30 the same magnitude as for the conjectured KSS bound
 31 $\eta/s = 1/(4\pi)$ [64].

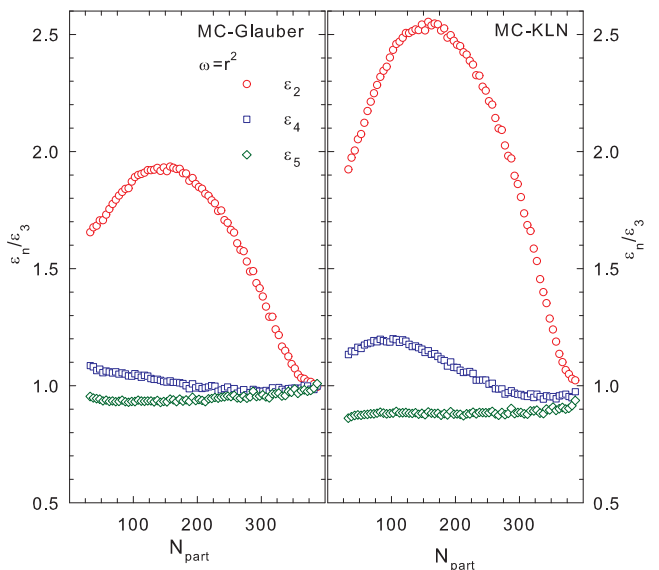


FIG. 3. Comparison of $\varepsilon_{2,4,5}/\varepsilon_3$ vs. N_{part} for Au+Au colli-
 sions. Results are shown for MC-Glauber (a) and MC-KLN
 (b) calculations.

32 Figure 1 indicates specific testable predictions for the
 33 relative influence of $\varepsilon_{n,n \geq 2}$ on the magnitudes of $v_{n,n \geq 2}$.
 34 That is, (i) ε_2 should have a greater influence than $\varepsilon_{n,n \geq 3}$
 35 in non-central collisions, (ii) the respective influence of
 36 $\varepsilon_{n,n \geq 3}$ on the values for $v_{n,n \geq 3}$ should be similar irre-
 37 spective of centrality and (iii) the ratios $v_{4,5,6}/v_3$ should

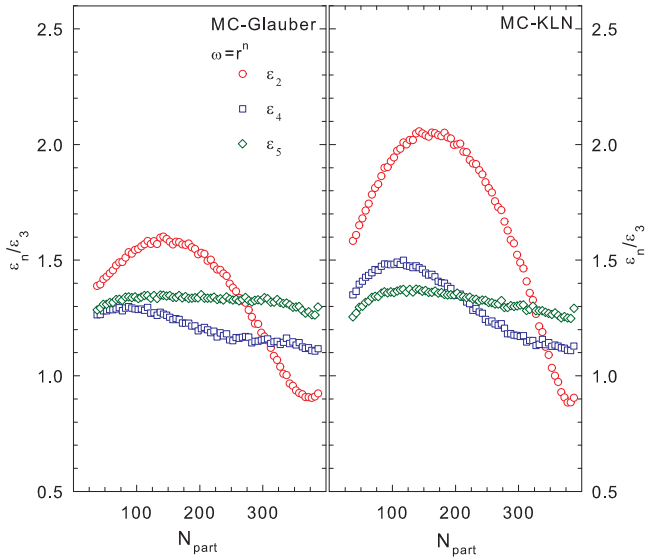


FIG. 4. Same as Fig. 3 for $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^n$.

38 follow a specific centrality dependence due to the influ-
 39 ence of $\varepsilon_{4,5,6}/\varepsilon_3$. Such a dependence is illustrated in Fig.
 40 3 where we show the centrality dependence of the ratios
 41 $\varepsilon_{2,4,5}/\varepsilon_3$, obtained for MC-Glauber (a) and MC-KLN (b)
 42 calculations. They suggest that, if MC-Glauber-like eccen-
 43 tricities, with weight $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^2$, are the relevant
 44 eccentricities for Au+Au collisions, then the measured
 45 ratio v_2/v_3 should increase by a factor ≈ 2 , from central
 46 to mid-central collisions ($N_{\text{part}} \sim 350 - 150$). For
 47 $N_{\text{part}} \lesssim 150$, Fig. 2(a) shows that the ratio v_2/v_3 could
 48 even show a modest decrease. The eccentricity ratios in-
 49 volving the higher harmonics suggest that, if they are
 50 valid, the measured values of $v_{4,5,6}/v_3$ should show lit-
 51 tle, if any, dependence on centrality, irrespective of their
 52 magnitudes.

53 The ratios $\varepsilon_{2,4,5}/\varepsilon_3$ obtained for MC-KLN calculations
 54 are shown in Fig. 3 (b). While they indicate qualita-
 55 tive trends which are similar to the ones observed in Fig.
 56 3 (a), their magnitudes and their detailed dependence
 57 on centrality are different. Therefore, if the qualitative
 58 trends discussed earlier were indeed found in data, then
 59 these differences suggest that precision measurements of
 60 the centrality dependence of the relative ratios for v_2/v_3 ,
 61 v_4/v_3 , v_5/v_3 , ... for several p_T selections, could provide a
 62 constraint for aiding the distinction between fKLN-like
 63 and Glauber-like initial collision geometries. Specifically,
 64 smaller (larger) values of the relative ratios are to be ex-
 65 pected for v_2/v_3 and v_4/v_3 for Glauber-like (fKLN-like)
 66 initial geometries. Note the differences in the expected
 67 centrality dependencies as well.

68 Figure 4 compares the eccentricity ratios $\varepsilon_{2,4,5}/\varepsilon_3$ ob-
 69 tained for MC-Glauber (a) and MC-KLN (b) calcula-
 70 tions with the weight $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^n$. The magnitudes
 71 of these ratios and their centrality dependencies are dis-
 72 tinct for MC-Glauber and MC-KLN. They are also quite

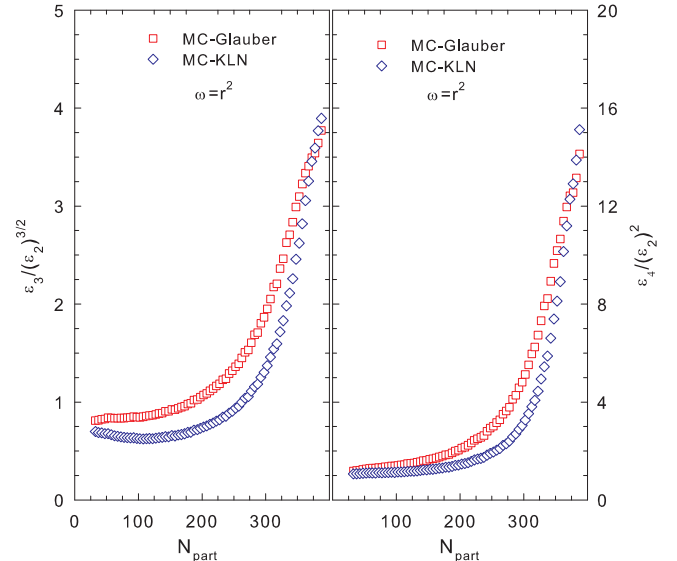


FIG. 5. Comparison of $\varepsilon_3/(\varepsilon_2)^{3/2}$ vs. N_{part} (a) and $\varepsilon_4/(\varepsilon_2)^2$ vs. N_{part} (b) for MC-Glauber and MC-KLN initial geometries (as indicated) for Au+Au collisions.

36 different from the ratios shown in Fig. 3. This suggests
 37 that precision measurements of the centrality dependence
 38 of the relative ratios v_2/v_3 , v_4/v_3 , v_5/v_3 , ... (for several
 39 p_T selections) should not only allow a clear distinction
 40 between MC-Glauber and MC-KLN initial geometries,
 41 but also a distinction between the $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^2$ and
 42 $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^n$ weighting methods.

43 A finite viscosity will influence the magnitudes of v_n .
 44 Thus, for a given p_T selection, the measured ratios for
 45 v_2/v_3 , v_4/v_3 , v_5/v_3 , ... will be different from the eccen-
 46 tricities ratios shown in Figs. 3 and 4. Note as well that,
 47 even for ideal hydrodynamics, the predicted magnitude
 48 of v_4/ε_4 is only a half of that for v_2/ε_2 [59]. Nonethe-
 49 less, the rather distinct centrality dependent eccentricity
 50 patterns exhibited in Figs. 3 and 4 suggests that mea-
 51 surements of the ratios of these flow harmonics should
 52 still allow a distinction between MC-Glauber and MC-
 53 KLN initial geometries, as well as a distinction between
 54 the two weighting methods.

55 The ratios $v_3/(v_2)^{3/2}$ and $v_4/(v_2)^2$ have been recently
 56 found to scale with p_T [65], suggesting a reduction in the
 57 influence of viscosity on them. Thus, the measured ratios
 58 $v_n/(v_2)^{n/2}$ could give a more direct indication of the cen-
 59 trality dependent influence of $\varepsilon_n/(\varepsilon_2)^{n/2}$ on $v_n/(v_2)^{n/2}$.
 60 The open symbols in Figs. 5 and 6 indicate a substantial
 61 difference between the ratios $\varepsilon_3/(\varepsilon_2)^{3/2}$ (a) and $\varepsilon_4/(\varepsilon_2)^2$
 62 (b) for the MC-Glauber and MC-KLN geometries as in-
 63 dicated. Note as well that the ratios in Fig. 6 are sub-
 64 stantially larger than those in Fig. 5. The latter dif-
 65 ference reflects the different weighting schemes used, i.e.
 66 $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^n$ and $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^2$ respectively. Interest-
 67 ingly, the ratios for $\varepsilon_4/(\varepsilon_2)^2$ imply much larger measured
 68 ratios for $v_4/(v_2)^2$ than the value of 0.5 predicted by per-

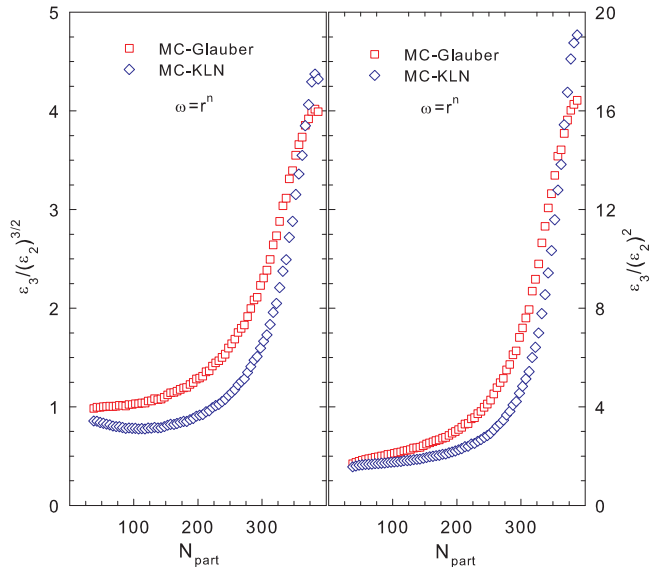


FIG. 6. Same as Fig. 5 for $\omega(\mathbf{r}_\perp) = \mathbf{r}_\perp^n$.

fect fluid hydrodynamics (without fluctuations) [66, 67].
 However, they show qualitative trends which are similar
 to those for the measured ratios $v_4/(v_2)^2$, obtained for
 v_4 evaluations relative to the Ψ_2 plane [38, 63]. The rel-
 atively steep rise of the ratios in Figs. 5 and 6 (albeit
 steeper for MC-Glauber), can be attributed to the larger
 influence that fluctuations have on the higher harmon-
 ics. Note that these are the same fluctuations which give
 rise to the “anomalously low” values of ε_4 evaluated with
 respect to Ψ_2 in central collisions [50].

Figures 3 - 6 suggests that measurements of the cen-
 trality dependence of the ratios $v_3/(v_2)^{3/2}$ and $v_4/(v_2)^2$,
 in conjunction with those for v_2/v_3 , v_4/v_3 , v_5/v_3 ... may
 provide a robust constraint for the role of initial eccen-
 tricity fluctuations, as well as an additional handle for
 making a distinction between Glauber-like and fKLN-
 like initial geometries. These measurements could also
 lend insight, as well as place important constraints for
 the degree to which a small value of η/s and/or the
 effects of thermal smearing, modulate the higher order
 flow harmonics [compared to v_2] as has been suggested
 [31, 52, 60].

III. SUMMARY

In summary, we have presented results for the initial
 eccentricities $\varepsilon_{n,n \leq 6}$ for Au+Au collisions with different
 weighting schemes, for the two primary models currently
 employed for eccentricity estimates at RHIC. The calcu-
 lated values of $\varepsilon_{n,n \leq 6}$, which are expected to influence the
 measured flow harmonics v_n , suggests that measurements
 of the centrality dependence of $v_2/(v_3)$, v_4/v_3 , $v_3/(v_2)^{3/2}$,
 $v_4/(v_2)^2$, etc. could provide stringent constraints for vali-

dating the predicted influence of eccentricity fluctuations
 on v_n , as well as an important additional handle for mak-
 ing a distinction between Glauber-like and fKLN-like ini-
 tial geometries. Measurements of v_n and their ratios are
 now required to exploit these simple tests.

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