



This is the accepted manuscript made available via CHORUS. The article has been published as:

Global trends of the electric dipole polarizability from shellmodel calculations

José Nicolás Orce, Cebo Ngwetsheni, and B. Alex Brown Phys. Rev. C **108**, 044309 — Published 12 October 2023

DOI: 10.1103/PhysRevC.108.044309

José Nicolás Orce, 1, 2, * Cebo Ngwetsheni, 1 and B. Alex Brown 3

¹Department of Physics & Astronomy, University of the Western Cape, P/B X17, Bellville 7535, South Africa

²National Institute for Theoretical and Computational Sciences (NITheCS), South Africa

³Department of Physics and Astronomy, and the Facility for Rare Isotope Beams,

Michigan State University, East Lansing, MI 48824-1321, USA

(Dated: September 18, 2023)

Shell-model calculations of the electric dipole (E1) polarizability have been performed for the ground state of selected p- and sd-shell nuclei, substantially advancing previous knowledge. Our results are slightly larger compared with the somewhat more scattered photo-absorption cross-section data, albeit agreeing with ab initio calculations at shell closures and presenting a smooth trend that follows the leptodermus approximation provided by the finite-range droplet model (FRDM). The total E1 strengths also show an increasing trend proportional to the mass number which follows from the classical oscillator strength (TRK) sum rule for the E1 operator. The enhancement of the energy-weighted sum over E1 excitations with respect to the TRK sum rule arises from the use of experimental single-particle energies and the residual particle-hole interaction.

PACS numbers: 21.10.Ky, 25.70.De, 25.20.-x, 25.20.Dc, 24.30.Cz Keywords: photo-absorption cross section, nuclear dipole polarizability, shell model

MOTIVATION

The ability for a nucleus to be polarized is driven by the dynamics of the isovector giant dipole resonance (GDR) [1], which can be characterized macroscopically as the collective motion of inter-penetrating proton and neutron fluids out of phase [2–4], and microscopically, through the shell-model (SM) interpretation of a system of independent nucleons plus configuration mixing or the superposition of one particle - one hole (1p-1h) excitations [5–8]. This collective motion can be related to the nuclear symmetry energy $a_{sym}(A)(\rho_N-\rho_Z)^2/\rho_A$ — as defined in the Bethe–Weizsäcker semi-empirical mass formula [9, 10] — acting as a restoring force [11], where $a_{sym}(A)$ is the symmetry energy coefficient, A the atomic mass number, A=N+Z, and ρ_N , ρ_Z and ρ_A the neutron, proton and total fluid densities, respectively.

Within the hydrodynamic model, the dipole polarizability α_{E1} is directly proportional to $A^{5/3}$ and inversely proportional to $a_{sym}(A)$ [2, 12],

$$\alpha_{E1} = \frac{P}{E} = \frac{e}{E} \int_{V} \rho' z^2 dV = \frac{e^2 R^2 A}{40 \ a_{sum}(A)},$$
 (1)

where E is the magnitude of an electric field along the positive z axis, P the dipole moment with density $e\rho'z^2$, $\rho' = \frac{eE\rho_A}{8a_{sym}(A)}$, and R the radius of a nucleus with a well-defined surface, $R = 1.2 \ A^{1/3}$ fm.

Complementary, using non-degenerate perturbation theory, α_{E1} is defined in terms of the energy-shift of the nuclear levels arising from the quadratic Stark effect [13], i.e. $\Delta E = -\frac{1}{2}\alpha E^2$, and can be determined for ground

states with an arbitrary initial angular momentum J_i using the inverse energy-weighted sum rule [14],

$$\alpha_{E1} = \frac{2e^2}{2J_i + 1} \sum_{n} \frac{|\langle i \parallel \hat{E}1 \parallel n \rangle|^2}{E_n - E_i} = \frac{9\hbar c}{8\pi^3} \sigma_{-2}, \quad (2)$$

where $2J_i + 1$ is the normalization constant arising from the Wigner-Eckart theorem [15, 16], and the sum extends over all $|n\rangle$ intermediate states connecting the initial ground state $|i\rangle$ with electric dipole or E1 transitions. The σ_{-2} value is the (-2) moment of the total photoabsorption cross section, $\sigma_{total}(E_{\gamma})$, defined as [17, 18],

$$\sigma_{-2} = \int_0^{E_{\gamma}^{max}} \frac{\sigma_{total}(E_{\gamma})}{E_{\gamma}^2} dE_{\gamma}, \tag{3}$$

which is generally integrated between particle threshold and the available upper limit for monochromatic photons, $E_{\gamma}^{max} \approx 20-50$ MeV [19]. An upper limit of $E_{\gamma}^{max} \approx 50$ MeV approximates the σ_{-2} asymptotic value for light and medium-mass nuclei [20]. Magnetic polarizability contributions [21] are not considered here, but may be relevant for ⁶Li and ⁷Li [22].

The bulk of knowledge on how atomic nuclei polarize arises from photo-absorption cross-section data [19, 23, 24], where most of the absorption (and emission) of photons is provided by the GDR [25]. Data predominantly involve photo-neutron cross sections — although photo-proton contributions are dominant for some light and N=Z self-conjugate nuclei [26] — and mainly concern the ground states of nuclei. To a much lesser extend, $\alpha_{{\scriptscriptstyle E}1}$ has been determined from several experiments using radioactive ion beams [27], inelastic proton scattering [28–32] and virtual photons [33].

Other phenomena that can contribute to σ_{-2} values are the pygmy dipole resonance (PDR) [52–56] — an out-of-phase oscillation of the valence nucleons against the core — and low-energy nuclear resonances arising from cluster

^{*} Corresponding author: jnorce@uwc.ac.za; coulex@gmail.com; http://nuclear.uwc.ac.za

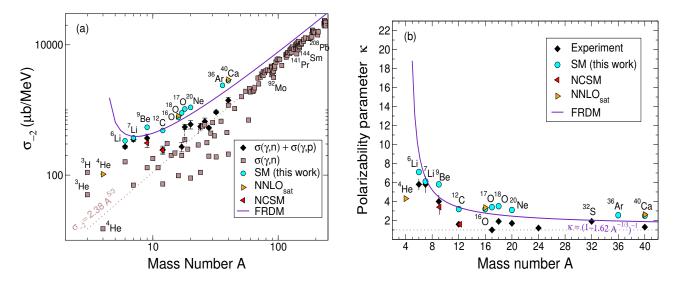


FIG. 1. Experimental and calculated σ_{-2} (left) and κ (right) values for the ground states of selected p and sd-shell nuclei. Data points (square and diamonds) arise from available photo-absorption cross-sections [19, 22, 34–47]. The theoretical results are taken from Refs. [48] (coupled-cluster with NNLO_{sat}) and [49, 50] (NCSM). The WBP and FSU SM calculations (circles) are from the current work. For comparison, the hydrodynamic-model prediction for $\kappa = 1$ in Eq. 4 is shown by dotted lines together with the leptodermous trend (Eq. 6) given by the FRDM symmetry energy coefficients, $S_v = 30.8$ MeV, $S_s/S_v = 1.62$ (solid lines) [51].

formation [57–59]. The PDR has been identified in light nuclei with neutron excesses [41, 52, 53, 56, 60, 61] — being 13 C where the "pygmy resonance" was originally termed [56] — but has never been observed along the N=Z line of stability. Estimates suggest a contribution of about 5-10% to σ_{-2} values, similar to those found in stable neutron-rich nuclei [54]. A larger contribution is expected as N increases and approaches the neutron drip line [62]. An additional contribution could potentially arise from the low-energy enhancement (LEE) of the photon-strength function [63–70], although $^{43-45}$ Sc are the lightest nuclei where it has been identified [63, 64].

Photo-neutron cross-section data for $A \gtrsim 50$ nuclei — where neutron emission is generally the predominant decay mode — show a smooth trend of σ_{-2} values in the left panel of Fig. 1 (dotted line), following the empirical power-law formula [71, 72],

$$\sigma_{-2}(A) = 2.38\kappa \ A^{5/3} \, \mu \text{b/MeV},$$
 (4)

where κ accounts for deviations from the actual GDR effects to that predicted by the hydrodynamic model [2, 12]. Here, all quoted σ_{-2} and κ values are related to Eq. 4. Deviations from the smooth trend ($\kappa = 1$) arise for loosely-bound light nuclei ($\kappa > 1$) [71] and semi-magic nuclei ($\kappa < 1$) [68–70], where the extra stability of shell closures may hinder polarization.

In this work, we investigate α_{E1} for ground states of nuclei within the p and sd shells by performing novel $1\hbar\omega$ SM calculations — following Eq. 2 — with the WBP and FSU Hamiltonians. We further explore deviations from the smooth trend ($\kappa=1$) predicted by the hydrodynamic model in Eq. 4, and compare our results with available

photo-absorption cross-section data [73, 74], sums of E1 strengths and the classical oscillator strength sum rule for the $\hat{E1}$ operator.

SHELL-MODEL CALCULATIONS

Shell-model calculations of the E1 polarizability are demanding since they normally involve hundreds of E1 matrix elements and high-performance computing. Priorly, SM calculations of κ values for ground states have been carried out — following Eqs. 2 and 4 — in ^{9,10}Be [49] and ¹²C [50] using the no-core shell-model (NCSM) with the CD-Bonn 2N and chiral effective field theory (χ EFT) 2N + 3N forces [77–82], $N_{max} = 4$ basis sizes for natural and $N_{max} = 5$ for unnatural parity states. These ab initio calculations included E1 matrix elements from all the transitions connecting about 30 1^- states up to 30 MeV in 10 Be and 12 C, and E1contributions from about 100 intermediate $1/2^+$, $3/2^+$, and 5/2⁺ states in ⁹Be. For the ground states of ⁹Be and ¹²C, values of $\kappa(g.s.) = 3.4(8)$ and $\kappa(g.s.) = 1.6(2)$ were predicted, respectively, in agreement with photoabsorption cross-section data [12, 34, 40]. Additional NCSM calculations of $\alpha_{{\scriptscriptstyle E}\scriptscriptstyle 1}$ values have been performed in ³H, ³He, and ⁴He by Stetcu and collaborators using directly the Schrödinger equation and χ EFT interactions [83].

In the present work, $1\hbar\omega$ SM calculations of the ground-state E1 polarizability have been carried out using the OXBASH code [84] with the Warburton

TABLE I. Experimental and calculated σ_{-2} (columns 3 and 4) and κ (columns 7 and 8) values of ground states in selected p-sd shell nuclei. Experimental data arise from available photo-absorption cross-sections. Previous calculations (column 9) are listed for comparison. All quoted σ_{-2} and κ values presented in this work are related to Eq. 4.

Nucleus	J^{π}	σ^{exp}_{-2}	σ^{SM}_{-2}	E_{max}^{SM}	$\sum_{n} B(E1_n)^{SM}$	κ^{exp}	κ^{SM}	$\kappa^{previous}$
		$\mu { m b/MeV}$	$\mu { m b/MeV}$	MeV	e^2fm^2			
$^6\mathrm{Li}$	1+	272(14) [22, 35–37]	336	34.0	1.7	5.8(6)	7.1	-
$^7{ m Li}$	$3/2_{1}^{-}$	353(26) [22, 38, 39]	374	47.0	1.9	5.8(9)	6.1	-
⁹ Be	$3/2_{1}^{-}$	370(55) [34]	542	58.3	2.5	4.0(8)	5.8	3.4(8) [49]
$^{12}\mathrm{C}$	0_{1}^{+}	244(35) [40]	484	65.1	2.9	1.6(2)	3.2	1.6(2) [50]
¹⁶ O	0_{1}^{+}	616(90) [40]	765	25.9	4.5	2.5(4)	3.2	3.4(1) [48]
¹⁷ O	$5/2_{1}^{+}$	272(45) [41, 42]	901	35.2	4.7	1.2(2)	3.4	-
¹⁸ O	0_{1}^{+}	547(50) [43]	1035	44.3	5.3	1.9(3)	3.5	=
$^{20}\mathrm{Ne}$	0_{1}^{+}	600(90) [44, 45]	1095	47.0	6.3	1.7(3)	3.1	-
$^{24}{ m Mg}$	0_{1}^{+}	559(66) [46, 47]	1132	42.2	5.9	1.2(2)	2.4	-
$^{36}\mathrm{Ar}$	0_{1}^{+}	-	2384	31.8	11.6	_	2.6	-
$^{40}\mathrm{Ca}$	0_{1}^{+}	1405(150) [75, 76]	2813	24.5	13.8	1.3(2)	2.5	2.6(1) [48]

Shell model calculations below ¹⁷O are from the WBP interaction whereas for $A \ge 17$ we quote the values from the FSU interaction. Slightly smaller values are determined with the WBP interaction in the middle and end of the sd shell.

and Brown (WBP) [85] and Florida State University (FSU) [86–88] Hamiltonians within the spsdpf model space. For nuclei near 16 O, the FSU Hamiltonian [86–88] is the same as the WBP Hamiltonian from Ref. [85]. The single-hole energies are fixed to the experimental separation energies between the 16 O ground state and states in 15 O; -22.11 and -15.54 MeV for $0p_{1/2}$ and $0p_{3/2}$, respectively. The single-particle states are determined by the separation energies between states in 17 O and the 16 O ground state, as used in the USDB Hamiltonian [89], -3.93, -3.21 and 2.11 MeV for $0d_{5/2}$, $1s_{1/2}$ and $0d_{3/2}$, respectively. The energies of the pure 1p-1h states range from 11.6 to 24.1 MeV.

The two-body matrix elements (TBME) involving both 0p and 0d - 1s in the WBP Hamiltonian for nuclei near ¹⁶O were obtained from a realistic potential model that contains a fixed one-pion exchange part, plus adjusted strengths of central, spin-orbit and tensor contributions from one-boson exchange (see Eq. 4 in [85]). There is no explicit velocity dependence [90]. The parameters were obtained by fitting to the data given in Table IV of [85]. The FSU Hamiltonian starts with the WBP Hamiltonian, and then adjusts linear combinations of TBME of the type $(0d - 1s, 0f - 1p, J, T \mid V \mid 0d - 1s, 0f - 1p, J, T)$ to fit the data shown in Fig. 6 of Ref. [86] — which include particle-hole states originating from cross-shell excitations that give rise to intruder states — and is built upon tuning the monopole terms across the shell gaps N=8 and N=20 to reproduce the experimental data.

The general procedure in our SM calculations is calculating all E1 matrix elements following Eq. 2. For

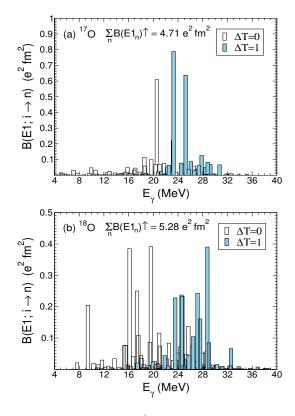


FIG. 2. Calculated $B(E1;0_1^+ \to 1_n^-)$ isovector distribution as a function of transition energies for ¹⁷O and ¹⁸O for both $\Delta T = 0$ and $\Delta T = 1$ transitions.

even-even nuclei, we calculate $\langle 0_1^+ \parallel \hat{E1} \parallel 1_n^- \rangle$ E1 matrix

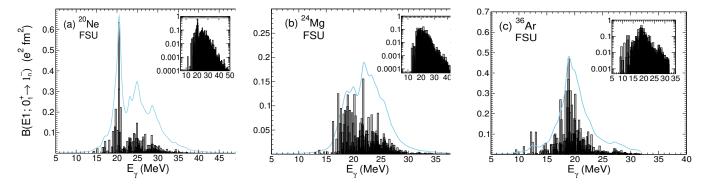


FIG. 3. Calculated $B(E1; 0_1^+ \to 1_n^-)$ isovector distribution ($\Delta T = 1$) as a function of transition energies for ²⁰Ne, ²⁴Mg and ³⁶Ar. The light blue curves represent the strength distributions folded with a Lorentzian function with 1-MeV width, and illustrate the spreading of the strength.

elements connecting the ground state with up to 1000 intermediate 1_n^- isovector excitations with a maximum calculated energy $E_{max}^{^{SM}}$ listed in Table I, which include the GDR region. Similarly, we calculate all possible E1 matrix elements from the various intermediate states for odd-even and odd-odd nuclei. SM calculations for 28 Si and 32 S were not feasible because of limiting computing power and accuracy.

Isospin selection rules for E1 transitions [91] have to be considered according to the corresponding Wigner 3j symbols; ergo, isovector contributions for N=Z self-conjugate nuclei $(T_z=\frac{N-Z}{2}=0)$ arise only from $\Delta T=1$ transitions, whereas both $\Delta T=0,1$ isovector transitions have to be considered otherwise. Such an isospin splitting of E1 strengths is shown in Fig. 2 for $^{17}{\rm O}$ (top) and $^{18}{\rm O}$ (bottom), where $B(E1_n)$ is the reduced transition probability connecting the ground state $|i\rangle$ with each final state $|n\rangle$ [92],

$$B(E1_n) = B(E1; i \to n) = \frac{1}{2J_i + 1} |\langle n||\hat{E1}||i\rangle|^2.$$
 (5)

Isospin mixing at high-excitation energies is less than 5% in the GDR region [57, 93, 94].

Moreover, E1 effective charges are not required since all the E1 matrix elements are calculated in the full $1\hbar\omega$ model space, i.e., as shown in Fig. 3, we do a full and fully converged $1\hbar\omega$ calculation of 1p-1h excitations that occur between major shells. Dipole excitations far above the GDR region have a negligible effect. Although $3\hbar\omega$ 1p-1h matrix elements are all zero in the harmonic oscillator (H.O.), novel SM calculations by Sieja in the neon isotopes show that possible admixtures with $1\hbar\omega$ 1p-1h + 2p-2h transitions may suppress the E1 strength by about 15% [95, 96].

Removing spurious states is of particular relevance for E1 transitions in $N \approx Z$ nuclei because the motion of a particle involves the recoil of the rest of the nucleus, with the total center of mass remaining at rest [92]. Following the Gloeckner-Lawson method [97], the center-of-mass Hamiltonian incorporated in OXBASH conveniently

pushes away 1p-1h spurious states involving 0s to 0p, 0p to 0d1s and 0d1s to 0f1p, and decouples them from intrinsic excitations [98]. Table I and Fig. 1 show the calculated σ_{-2}^{SM} and κ^{SM} values for the ground states of selected p and sd-shell nuclei. For comparison, other theoretical results are also presented together with available photo-absorption cross-section measurements of σ_{-2}^{exp} and κ^{exp} values. It should be noted that previous ab initio calculations of α_{E1} in $^{16}{\rm O}$ and $^{40}{\rm Ca}$ [48] using integral transforms and the coupled-cluster method with the NNLOsat interaction (right triangles in Fig. 1) agree with our results.

RESULTS AND DISCUSSION

Pronounced deviations from hydrodynamic-model estimates (dotted $\kappa = 1$ lines in Fig. 1) are calculated for the ground states of ^{6,7}Li and ⁹Be, with slightly larger σ_{-2} and κ values than those found experimentally. Such deviations from the GDR effect are not surprising considering the fragmentation of the GDR spectrum into different 1p-1h states [57], which include the possibility of α cluster configurations [99, 100] and the virtual breakup into the continuum [101, 102]. The latter even led Smilansky, Weller and co-workers to suggest that the main contribution to the polarizability in ⁷Li may not actually be the GDR, but instead the virtual breakup into the α -t continuum. The rise of cluster structures in ^{6,7}Li, ⁹Be as well as ¹⁷O can be pinned down to the extra looselybound or slightly unbound particle [103, 104] — whose wave function extends far apart from the α -cluster configurations, i.e. $\alpha + d$, $\alpha + t$, $2\alpha + n$, $4\alpha + n$, respectively — as inferred from the dipole resonances observed at relatively low-excitation energies [41, 58, 59]. Deviations from the hydrodynamic model in self-conjugate N=Z nuclei could also arise because of cluster formation [100, 105–110] and/or the missing admixtures with $1\hbar\omega$ 1p-1h + 2p-2h transitions [95, 96]. Despite slightly larger values are generally calculated compared to measurements, the right panel of Fig. 1 present similar theoretical and experimental trends of κ values, and suggest that the bulk of these effects are implicitly incorporated in the phenomenological Hamiltonians. The larger κ^{SM} value in ¹⁷O is about 3 times larger than the experimental one and deserves further investigation as a substantially larger $\kappa=8.4(6)$ has also been experimentally determined for its first excitation [111].

Interestingly, the overall smooth trend of σ_{-2}^{SM} and κ^{SM} values shown in Fig. 1 can be independently correlated with the leptodermous approximation $(A^{-1/3} \ll 1)$ of the symmetry energy [71, 112, 113],

$$a_{sym}(A) = S_v \left(1 - \frac{S_s}{S_v} A^{-1/3} \right),$$
 (6)

where S_v is the volume symmetry-energy coefficient and $\frac{S_s}{S_v}$ the surface-to-volume ratio for finite nuclei.

The set of S_v and S_s parameters that best reproduce the overall trends in Fig. 1 is provided by the finite-range droplet model (FRDM) [114], i.e. the combination of the finite-range droplet macroscopic model and the folded-Yukawa single-particle microscopic model [51]. The leptodermus trends for σ_{-2} and κ are shown in Fig. 1 (solid lines) and determined by combining the FRDM parameters ($S_v = 30.8$ MeV and $S_s/S_v = 1.62$) with Eqs. 1, 2, and 4,

$$\sigma_{-2}(A) = \frac{2.35 A^2}{A^{1/3} - 1.62},\tag{7}$$

$$\kappa(A) \cong \frac{1}{1 - 1.62A^{-1/3}},$$
(8)

which characterize the enhancement observed for light nuclei. Additional sets of S_v and S_s/S_v parameters are discussed in Ref. [33] and references therein, albeit presenting larger deviations from the overall trends of SM calculations and data.

Further support of SM calculations may arise from the calculated sum of E1 strengths, $\sum_{n} B(E1_n)$, shown in Tables I and II. The $B(E1_n)$ values are calculated with H.O. radial wave functions. The simplest approximation is $\hbar\omega=41~A^{-1/3}$ MeV for uncorrelated or independent-particle motion of the nucleons. That is, when there is no particle-hole interaction, the 1^- , T=1 GDR is split among all possible 1p-1h states at an energy of $E_{GDR}=1\hbar\omega$. The total E1 strength then obeys the classical oscillator strength or TRK sum rule [92, 115–118],

$$S(E1)^{TRK} = E_{GDR} \Sigma B(E1_n)$$

$$= 14.8 \frac{NZ}{4} \text{ MeV } e^2 \text{ fm}^2.$$
(9)

For N=Z, $S(E1)^{^{TRK}}=3.7A$ MeV e^2 fm 2 , and $\Sigma B(E1_n)^{^{TRK}}=0.090A^{4/3}$ e^2 fm 2 when $E_{_{GDR}}=1\hbar\omega$. For our calculations we use the $\hbar\omega$ required to reproduce

the rms charge radii [119], which are listed in Table II — in closer agreement with Blomqvist and Molinari's $\hbar\omega = 45A^{-1/3} - 25A^{-2/3}$ MeV [120] — together with the corresponding $\Sigma B(E1_n)^{rms}$ for N=Z. For example, for $\hbar\omega = 13.26$ MeV in ¹⁶O, there are five 1p-1h 1⁻, T=1 states with $\Sigma B(E1_n) = 4.47$ e² fm⁴.

TABLE II. Classical $S(E1)^{TRK}$ (Eq. 10) and $S(E1)^{SM}$ (Eq. 10) sum rules. The H.O. energy $\hbar\omega$ in column 4 is fit to reproduce the rms charge radii — instead of using $\hbar\omega=41~A^{-1/3}$ MeV — providing $\Sigma B(E1_n)^{rms}$ for N=Z nuclei without particle-hole interactions. The f_{GDR} ratio indicates the fraction of the TRK sum rule exhausted for each nucleus.

Nucleus	$S(E1)^{TRK}$	$S(E1)^{SM}$	$\hbar\omega$	$\Sigma B(E1_n)^{rms}$	f_{GDR}
	${\rm e}^2{\rm fm}^2{\rm MeV}$	${\rm e}^2{\rm fm}^2{\rm MeV}$	MeV	${\rm e}^2{\rm fm}^2$	
$^6\mathrm{Li}$	22.2	36.2	11.74	1.89	1.63
$^7{ m Li}$	25.4	42.5	13.71	1.85	1.67
$^9\mathrm{Be}$	32.9	51.1	13.51	2.43	1.55
$^{12}\mathrm{C}$	44.4	71.2	15.66	2.84	1.60
$^{16}\mathrm{O}$	59.2	106.3	13.26	4.47	1.80
$^{17}\mathrm{O}$	62.7	106.6	13.35	5.87	1.70
¹⁸ O	65.8	117.7	12.51	5.26	1.79
$^{20}\mathrm{Ne}$	74.0	149.5	11.88	6.23	2.02
$^{24}{ m Mg}$	88.8	128.0	12.62	7.04	1.44
$^{36}{ m Ar}$	133.2	235.5	11.05	12.05	1.78
$^{40}\mathrm{Ca}$	148.0	274.8	10.77	13.74	1.86

When the particle-hole interaction is turned on, the 1p-1h states mix and are pushed up in energy forming the collective dipole resonance. In our 1p-1h model the summed B(E1) strength remains the same after mixing. The resulting SM energy-weighted sum over E1 excitations up to E_{max}^{SM} is then given by,

$$S(E1)^{SM} = \sum_{n}^{E_{max}^{SM}} E_n^{SM} B(E1_n)^{SM}.$$
 (10)

Our results for $S(E1)^{^{SM}}$ are presented in Table II, together with the fraction of the TRK sum rule exhausted for each nucleus,

$$f_{GDR} = \frac{S(E1)^{SM}}{S(E1)^{TRK}}.$$
 (11)

The $\sum_n B(E1_n)^{SM}$ values are presented in Table I. In general, f_{GDR} values exceedingly exhaust the TRK sum rule by approximately 1.5-2 times. In ¹⁶O, the 1p-1h states are formed by linear combinations of the five possible excitations. The strongest state that comes at 23.6 MeV contains 89% of the E1 strength. The TRK sum is enhanced by a factor of 23.6/13.3 = 1.8. For ²⁴Mg, we

are missing some of the E1 strength in the lowest 2000 1^- T=1 states, presenting the smallest $f_{\scriptscriptstyle GDR}$ value of 1.44

Below $^{16}{\rm O}$ one includes both 0s to 0p and 0p to 0d-1s. Above 16 O and below 40 Ca one includes 0p to 0d-1s and 1d-0s to 0f-1p. For these nuclei the strength becomes more fragmented — as shown in Fig. 3¹ — due to coupling with 1⁻ states that are built on other other (non closed-shell) positive-parity states. For example, in ²⁰Ne there are 1525 non-spurious 1^- , T=1 states (one can make spurious 1^- , T=1 states by coupling spurious 1^- , T=0 states to positive parity states with T=1). As expected, in 20 Ne there is a negligible E1 strength at low excitation energies below ≈ 14 MeV, in agreement with recent calculations using the microscopic configuration interaction method [96]. The same happens for ²⁴Mg. Nonetheless, some E1 strength starts developing at low energies in 36 Ar as the Z=N=20 shell closures approach.

The TRK sum is increased due to the increase in energy of the GDR from $1\hbar\omega$. In these SM calculations, the TRK enhancement factor comes from using realistic single-particle energies (as given above for ¹⁶O) and the attractive particle-hole interaction, which is dominated by the central part of the potential.

The centroid of our calculated GDR is systematically higher that those obtained from (p, p') experiments for sdshell nuclei [121]. For example, for ²⁴Mg the experimental GDR is distributed over a range of 16-18 MeV [121] compared to the calculations in Fig. 3 that have a range of 16-26 MeV. Using an experimental centroid energy of 17 MeV together with our B(E1) value would give $f_{\scriptscriptstyle GDR}$ \approx 1.35. The data used to obtained the parameters of the WBP and FSU Hamiltonians did not include the energy of the GDR. Thus, it is possible that the energy of the calculated energies of the GDR states could be modified by further adjustments of the potential and TBME parameters of the FSU Hamiltonian. It is also possible that the energy of the GDR is lowered and the width is increased by coupling with the continuum, which is not included in the calculations. Values of $f_{\scriptscriptstyle GDR}\gg 1$ have generally been associated with additional degrees of freedom arising from velocity-dependent interactions or short-range correlations — e.g. the aforementioned cluster formations — between protons and neutrons [18, 90, 123–127].

CONCLUSIONS AND FURTHER WORK

This work explores the GDR and related electric dipole polarizability effects from SM calculations using, for the first time, the WBP and FSU interactions, and extend previous knowledge towards the end of the sd shell. Strong deviations from the hydrodynamic model are calculated following the smooth trend predicted independently by the leptodermus approximation using the FRDM model, and in agreement with calculations from first principles at shell closures. The sum of E1 strengths also follow a smooth, linear trend directly proportional to the mass number, which supports to some extent the complementary macroscopic nature of the GDR. Throughout, the classical oscillator strength sum rule is exceedingly exhausted by a factor of 1.5-2. The enhancement of the energy-weighted sum over E1 excitations with respect to the TRK sum rule comes from the use of realistic single-particle energies and the repulsive particle-hole interaction. The inclusion of missing admixtures with $1\hbar\omega$ 1p-1h + 2p-2h transitions may slightly suppress the E1 strength in closer agreement with photoabsorption cross-section measurements.

Further NCSM calculations of sd-shell nuclei up to A=24 are now potentially available [128] and their results keenly expected; particularly using a new generation of χEFT interactions and large N_{max} basis sizes [128]. Considerable experimental work is also required to accurately determine the currently scarce (γ, p) cross sections as well as the high-resolution structure of the GDR in order to benchmark the calculations presented here. More broadly, it will also be exciting to investigate the E1 polarizability of excited states [129] as well as unstable nuclei, where $\kappa(g.s.) = 1.26(10)$ remains the only data point corresponding to the ground state of the semimagic nucleus 68 Ni [27].

BAB acknowledges NSF grant PHY-2110365.

- G. C. Baldwin and G. S. Klaiber, Physical Review 71, 3 (1947).
- [2] A. Migdal, Zhurnal Eksperimentalnoi i Teoreticheskoi Fiziki 15, 81 (1945).
- [3] M. Goldhaber and E. Teller, Physical Review 74, 1046 (1948).
- [4] H. Steinwedel, J. H. D. Jensen, and P. Jensen, Physical Review 79, 1019 (1950).
- [5] J. S. Levinger and D. C. Kent, Physical Review 95, 418 (1954).
- [6] D. H. Wilkinson, Physica 22, 1039 (1956).
- [7] V. V. Balashov, Zhurnal Êksperimental'noi i Teoreticheskoi Fiziki 42, 275 (1962).
- [8] M. Danos and E. G. Fuller, Annual Review of Nuclear Science 15, 29 (1965).
- [9] C. F. Weizsäcker, Zeitschrift für Physik 96, 431 (1935).
- [10] H. A. Bethe and R. F. Bacher, Reviews of Modern Physics 8, 82 (1936).

 $^{^1\}mathrm{Such}$ a broad and fragmented $B(E1;0^+_1\to1^-_{GDR})$ distribution for $^{24}\mathrm{Mg}$ is supported by high-resolution measurements of the GDR region [121], where the fine structure is expected to arise from the deformation driven by α clustering. Albeit high-resolution data being also scarce, promising zero-degree (p,p') measurements at the required proton energies of $\gtrapprox200$ MeV may soon become available for A<60 nuclei through the PANDORA project [122].

- [11] B. L. Berman and S. Fultz, Reviews of Modern Physics 47, 713 (1975).
- [12] J. Levinger, Physical Review 107, 554 (1957).
- [13] V. Flambaum, I. Samsonov, H. T. Tan, and A. Viatkina, Physical Review A 103, 032811 (2021).
- [14] J. de Boer and J. Eichler, Advances in Nuclear Physics 1, 1 (1968).
- [15] M. Rose, New York (1957).
- [16] A. Messiah, Quantum mechanics vol 1 & 2 tr. gm temmer (1961).
- [17] J. S. Levinger, Nuclear Photo-Disintegration (Oxford University Press, Oxford, 1960).
- [18] A. Migdal, A. Lushnikov, and D. Zaretsky, Nuclear Physics 66, 193 (1965).
- [19] S. S. Dietrich and B. L. Berman, Atomic Data and Nuclear Data Tables 38, 199 (1988).
- [20] J. Ahrens, H. Gimm, A. Zieger, and B. Ziegler, Il Nuovo Cimento A (1965-1970) 32, 364 (1976).
- [21] W. Knupfer and A. Richter, Zeitschrift fur Physik A Atoms and Nuclei 320, 253 (1985).
- [22] W. Knupfer and A. Richter, Physics Letters B 107, 325 (1981).
- [23] V. A. Plujko, O. M. Gorbachenko, R. Capote, and P. Dimitriou, Atomic Data and Nuclear Data Tables 123, 1 (2018).
- [24] T. Kawano, Y. Cho, P. Dimitriou, D. Filipescu, N. Iwamoto, V. Plujko, X. Tao, H. Utsunomiya, V. Varlamov, R. Xu, et al., Nuclear Data Sheets 163, 109 (2020).
- [25] B. S. Ishkhanov and I. M. Kapitonov, Physics-Uspekhi 64, 141 (2021).
- [26] J. N. Orce, Atomic Data and Nuclear Data Tables 145, 101511 (2022).
- [27] D. M. Rossi, P. Adrich, F. Aksouh, H. Alvarez-Pol, T. Aumann, J. Benlliure, M. Böhmer, K. Boretzky, E. Casarejos, M. Chartier, et al., Physical Review Letters 111, 242503 (2013).
- [28] A. Tamii, I. Poltoratska, P. von Neumann-Cosel, Y. Fujita, T. Adachi, C. Bertulani, J. Carter, M. Dozono, H. Fujita, K. Fujita, et al., Physical Review Letters 107, 062502 (2011).
- [29] X. Roca-Maza, X. Viñas, M. Centelles, B. K. Agrawal, G. Colo, N. Paar, J. Piekarewicz, and D. Vretenar, Physical Review C 92, 064304 (2015).
- [30] T. Hashimoto, A. M. Krumbholz, P. G. Reinhard, A. Tamii, P. von Neumann-Cosel, T. Adachi, N. Aoi, C. A. Bertulani, H. Fujita, Y. Fujita, et al., Physical Review C 92, 031305 (2015).
- [31] X. Roca-Maza and N. Paar, Progress in Particle and Nuclear Physics 101, 96 (2018).
- [32] S. Bassauer, P. von Neumann-Cosel, P.-G. Reinhard, A. Tamii, S. Adachi, C. A. Bertulani, P. Chan, G. Colò, A. D'Alessio, H. Fujioka, et al., Physics Letters B 810, 135804 (2020).
- [33] J. N. Orce, International Journal of Modern Physics E 29, 2030002 (2020).
- [34] R. Nathans and J. Halpern, Physical Review 92, 940 (1953).
- [35] V. P. Denisov and I. Y. Chubukov, Izvestiya Akademii Nauk SSSR, Seriya Fizicheskaya 37, 107 (1973).
- [36] B. L. Berman, R. L. Bramblett, J. T. Caldwell, R. R. Harvey, and S. C. Fultz, Physical Review Letters 15, 727 (1965).
- [37] E. B. Bazhanov, A. P. Komar, A. V. Kulikov, and E. D. Makhnovsky, Nuclear Physics 68, 191 (1965).

- [38] L. A. Kulchitskii, Y. M. Volkov, V. P. Denisov, and V. I. Ogurtsov, Rossiiskoi Akademii Nauk, Ser. Fiz 27, 1412 (1963).
- [39] R. L. Bramblett, B. L. Berman, M. A. Kelly, J. T. Caldwell, and S. C. Fultz, *Photoneutron cross sections for* ⁷Li, Tech. Rep. (Lawrence Livermore Laboratory, University of California, 1973).
- [40] E. G. Fuller, Physics Reports 127, 185 (1985).
- [41] D. Zubanov, M. N. Thompson, B. L. Berman, J. W. Jury, R. E. Pywell, and K. G. McNeill, Phys. Rev. C 46, 1147 (1992).
- [42] J. W. Jury, B. L. Berman, D. D. Faul, P. Meyer, and J. G. Woodworth, Phys. Rev. C 21, 503 (1980).
- [43] J. G. Woodworth, K. G. McNeill, J. W. Jury, R. A. Alvarez, B. L. Berman, D. D. Faul, and P. Meyer, Phys. Rev. C 19, 1667 (1979).
- [44] P. Allen, E. Muirhead, and D. Webb, Nuclear Physics A 357, 171 (1981).
- [45] A. N. Gorbunov, V. A. Dubrovina, V. A. Osipova, V. S. Silaeva, and P. A. Cerenkov, Journal of Experimental and Theoretical Physics 15, 520 (1962).
- [46] V. V. Varlamov, B. S. Ishkhanov, I. M. Kapitonov, V. I. Shvedunov, and Y. I. Prokopchuk, Yad. Fiz.(USSR) 30 (1979).
- [47] D. W. Anderson, B. C. Cook, and T. J. Englert, Nuclear Physics A 127, 474 (1969).
- [48] M. Miorelli, S. Bacca, N. Barnea, G. Hagen, G. R. Jansen, G. Orlandini, and T. Papenbrock, Physical Review C 94, 034317 (2016).
- [49] J. N. Orce, T. E. Drake, M. K. Djongolov, P. Navrátil, and et al., Physical Review C 86, 041303 (2012).
- [50] M. Kumar-Raju, J. N. Orce, P. Navrátil, G. C. Ball, T. E. Drake, S. Triambak, G. Hackman, C. J. Pearson, K. J. Abrahams, E. H. Akakpo, et al., Physics Letters B 777, 250 (2018).
- [51] P. Möller, J. R. Nix, et al., Atomic Data Nuclear Data Tables 66, 131 (1995).
- [52] J. Gibelin, D. Beaumel, T. Motobayashi, Y. Blumenfeld, N. Aoi, H. Baba, Z. Elekes, S. Fortier, N. Frascaria, N. Fukuda, et al., Physical review letters 101, 212503 (2008).
- [53] N. Paar, D. Vretenar, E. Khan, and G. Colo, Reports on Progress in Physics 70, 691 (2007).
- [54] P. von Neumann-Cosel, Physical Review C 93, 049801 (2016).
- [55] N. Arsenyev, A. Severyukhin, V. Voronov, and N. Van Giai, in *EPJ Web of Conferences*, Vol. 107 (EDP Sciences, 2016) p. 05006.
- [56] B. C. Cook, Physical Review 106, 300 (1957).
- [57] R. A. Eramzhyan, B. S. Ishkhanov, I. M. Kapitonov, and V. G. Neudatchin, Physics Reports 136, 229 (1986).
- [58] S. Nakayama, T. Yamagata, H. Akimune, I. Daito, H. Fujimura, Y. Fujita, M. Fujiwara, K. Fushimi, M. Greenfield, H. Kohri, et al., Physical Review Letters 87, 122502 (2001).
- [59] O. Burda, P. von Neumann-Cosel, A. Richter, C. Forssén, and B. A. Brown, Physical Review C 82, 015808 (2010).
- [60] T. Aumann, A. Leistenschneider, K. Boretzky, D. Cortina, J. Cub, W. Dostal, B. Eberlein, T. W. Elze, H. Emling, H. Geissel, et al., Nuclear Physics A 649, 297 (1999).
- [61] A. Leistenschneider, T. Aumann, K. Boretzky, D. Cortina, J. Cub, U. D. Pramanik, W. Dostal, T. W. Elze, H. Emling, H. Geissel, et al., Physical review let-

- ters 86, 5442 (2001).
- [62] J. Terasaki and J. Engel, Physical Review C 74, 044301 (2006).
- [63] A. Bürger, A. Larsen, S. Hilaire, M. Guttormsen, S. Harissopulos, M. Kmiecik, T. Konstantinopoulos, M. Krtička, A. Lagoyannis, T. Lönnroth, et al., Physical Review C 85, 064328 (2012).
- [64] A. C. Larsen, M. Guttormsen, R. Chankova, F. Ingebretsen, T. Lönnroth, S. Messelt, J. Rekstad, A. Schiller, S. Siem, N. U. H. Syed, et al., Physical Review C 76, 044303 (2007).
- [65] A.-C. Larsen, A. Spyrou, S. N. Liddick, and M. Guttormsen, Progress in Particle and Nuclear Physics 107, 69 (2019).
- [66] J. E. Midtbø, F. Zeiser, E. Lima, A.-C. Larsen, G. M. Tveten, M. Guttormsen, F. L. B. Garrote, A. Kvellestad, and T. Renstrøm, Computer Physics Communications 262, 107795 (2021).
- [67] A. Zilges, D. L. Balabanski, J. Isaak, and N. Pietralla, Progress in Particle and Nuclear Physics 122, 103903 (2022).
- [68] C. Ngwetsheni and J. N. Orce, Physics Letters B 792, 335 (2019).
- [69] C. Ngwetsheni and J. N. Orce, Hyperfine Interactions 240, 94 (2019).
- [70] C. Ngwetsheni and J. N. Orce, EPJ Web Conf. 223, 01045 (2019).
- [71] J. N. Orce, Physical Review C 91, 064602 (2015).
- [72] J. N. Orce, Physical Review C 93, 049802 (2016).
- [73] EXFOR: Experimental nuclear reaction data, https://www-nds.iaea.org/exfor/exfor.htm, accessed: 2022-05-21.
- [74] ENDF: Evaluated nuclear data file, https://www-nds. iaea.org/exfor/endf.htm, accessed: 2022-05-21.
- [75] B. I. Goryachev, B. S. Ishkhanov, I. M. Kapitonov, I. M. Piskarev, O. P. Shevchen, and V. G. Shevchen, Soviet Journal of Nuclear Physics-USSR 7, 567 (1968).
- [76] B. I. Goryachev, B. S. Ishkhanov, V. G. Shevchenko, and B. A. Yurev, Soviet Journal of Nuclear Physics-USSR 7, 698 (1968).
- [77] P. Navratil, Few-Body Systems 41, 117 (2007).
- [78] R. Roth, A. Calci, J. Langhammer, and S. Binder, Physical Review C 90, 024325 (2014).
- [79] D. Entem and R. Machleidt, Physical Review C 68, 041001 (2003).
- [80] D. Entem, R. Machleidt, and Y. Nosyk, Physical Review C 96, 024004 (2017).
- [81] D. Entem, N. Kaiser, R. Machleidt, and Y. Nosyk, Physical Review C 91, 014002 (2015).
- [82] S. Bogner, R. J. Furnstahl, and R. Perry, Physical Review C 75, 061001 (2007).
- [83] I. Stetcu, S. Quaglioni, J. L. Friar, A. C. Hayes, and P. Navrátil, Physical Review C 79, 064001 (2009).
- [84] B. Brown, A. Etchegoyen, W. Rae, and N. Godwin, MSU-NSCL Report 524 (1988).
- [85] E. Warburton and B. A. Brown, Physical Review C 46, 923 (1992).
- [86] R. S. Lubna, K. Kravvaris, S. L. Tabor, V. Tripathi, A. Volya, E. Rubino, J. Allmond, B. Abromeit, L. Baby, and T. Hensley, Physical Review C 100, 034308 (2019).
- [87] R. S. Lubna, K. Kravvaris, S. L. Tabor, V. Tripathi, E. Rubino, and A. Volya, Physical Review Research 2, 043342 (2020).
- [88] B. A. Brown, Physics 4, 525 (2022).
- [89] B. A. Brown and W. Richter, Physical Review C 74,

- 034315 (2006).
- [90] J. S. Levinger and H. A. Bethe, Physical Review 78, 115 (1950).
- [91] E. K. Warburton and J. Weneser, Isospin in Nuclear Physics, ed D. H. Wilkinson, North-Holland, Amsterdam 4, 10 (1969).
- [92] A. N. Bohr and B. R. Mottelson, Nuclear Structure (in 2 volumes) (World Scientific Publishing Company, 1998).
- [93] H. Morinaga, Physical Review 97, 444 (1955).
- [94] F. Barker and A. Mann, Philosophical Magazine 2, 5 (1957).
- [95] K. Sieja, Dipole excitations in nuclei: recent configuration interaction studies, presented at Giant and soft modes of excitation in nuclear structure and astrophysics, ECT* (2022).
- [96] K. Sieja, The European Physical Journal A 59, 147 (2023).
- [97] D. H. Gloeckner and R. D. Lawson, Physics Letters B 53, 313 (1974).
- [98] B. A. Brown, National Super Conducting Cyclotron Laboratory 11 (2005).
- [99] V. Neudatchin, Y. F. Smirnov, and N. Golovanova, Adv. Nucl. Phys.; (United States) 11 (1979).
- [100] W. B. He, Y. G. Ma, X. G. Cao, X. Z. Cai, G. Q. Zhang, et al., Physical Review Letters 113, 032506 (2014).
- [101] U. Smilansky, B. Povh, and K. Traxel, Physics Letters B 38, 293 (1972).
- [102] A. Weller, P. Egelhof, R. Čaplar, O. Karban, D. Krämer, K.-H. Möbius, Z. Moroz, K. Rusek, E. Steffens, G. Tungate, et al., Physical Review Letters 55, 480 (1985).
- [103] F. C. Barker and C. L. Woods, Australian Journal of Physics 42, 233 (1989).
- [104] F. C. Barker, Australian Journal of Physics 37, 267 (1984).
- [105] K. Ikeda, N. Takigawa, and H. Horiuchi, Progress of Theoretical Physics Supplement 68, 464 (1968).
- [106] Y. Kanada-En'yo and H. Horiuchi, Progress of Theoretical Physics 93, 115 (1995).
- [107] W. von Oertzen, M. Freer, and Y. Kanada-En'yo, Physics Reports 432, 43 (2006).
- [108] M. Freer, Reports on Progress in Physics 70, 2149 (2007).
- [109] J.-P. Ebran, E. Khan, T. Nikšić, and D. Vretenar, Nature 487, 341 (2012).
- [110] B. Zhou, Z. Ren, C. Xu, Y. Funaki, T. Yamada, A. Tohsaki, H. Horiuchi, P. Schuck, and G. Röpke, Physical Review C 86, 014301 (2012).
- [111] J. A. Kuehner, R. H. Spear, W. J. Vermeer, M. T. Esat, A. M. Baxter, and S. Hinds, Physics Letters B 115, 437 (1982).
- [112] J. Tian, H. Cui, K. Zheng, and N. Wang, Physical Review C 90, 024313 (2014).
- [113] W. D. Myers and W. J. Swiatecki, Annals of Physics 55, 395 (1969).
- [114] P. Möller, A. J. Sierk, T. Ichikawa, and H. Sagawa, Atomic Data and Nuclear Data Tables 109, 1 (2016).
- [115] W. Thomas, Naturwissenschaften 13, 627 (1925).
- [116] R. Ladenburg and F. Reiche, Naturwissenschaften 11, 584 (1923).
- [117] F. Reiche and W. Thomas, Zeitschrift f
 ür Physik 34, 510 (1925).
- [118] W. Kuhn, Zeitschrift für Physik 33, 408 (1925).
- [119] G. F. Bertsch, *The practitioner's shell model* (North Holland Publishing Company, 1972).
- [120] J. Blomqvist and A. Molinari, Nuclear Physics A 106,

- 545 (1968).
- [121] R. Fearick, B. Erler, H. Matsubara, P. von Neumann-Cosel, A. Richter, R. Roth, and A. Tamii, Physical Review C 97, 044325 (2018).
- [122] A. Tamii, L. Pellegri, P.-A. Söderström, D. Allard, S. Goriely, T. Inakura, E. Khan, E. Kido, M. Kimura, E. Litvinova, et al., arXiv preprint arXiv:2211.03986 (2022).
- [123] M. Ferentz, M. Gell-Mann, and D. Pines, Physical Review 92, 836 (1953).
- [124] M. H. Johnson and E. Teller, Physical Review 98, 783 (1955).
- [125] V. F. Weisskopf, Nuclear Physics 3, 423 (1957).
- [126] S. Rand, Physical Review 107, 208 (1957).
- [127] W. Brenig, Academic Press, New York, New York 1, 59 (1965).
- [128] C. Sarma and P. C. Srivastava, arXiv preprint arXiv:2208.00816 (2022).
- [129] J. Eichler, Physical Review 133, B1162 (1964).