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Two- and three-nucleon contact interactions and ground-state energies of light- and medium-mass nuclei

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Classes of two-nucleon $(2N)$ contact interactions are developed in configuration space at leading order (LO), next-to-leading order (NLO), and next-to-next-to-next-to-leading order (N3LO) by fitting the experimental singlet np scattering length and deuteron binding energy at LO, and np and pp scattering data in the laboratory-energy range 0–15 MeV at NLO and 0–25 MeV at N3LO. These interactions are regularized by including two Gaussian cutoffs, one for $T=0$ and the other for $T = 1$ channels. The cutoffs are taken to vary in the ranges $R_0 = (1.5-2.3)$ fm and $R_1 = (1.5-3.0)$ fm. The 780 (1,100) data points up to 15 (25) MeV energy, primarily differential cross sections, are fitted by the NLO (N3LO) models with a χ^2 /datum about 1.7 or less (well below 1.5), when harder cutoff values are adopted. As a first application, we report results for the binding energies of nuclei with mass numbers $A = 3-6$ and 16 obtained with selected LO and NLO 2N models both by themselves as well as in combination with a LO three-nucleon $(3N)$ contact interaction. The latter is characterized by a single low-energy constant that is fixed to reproduce the experimental ³H binding energy. The inclusion of the 3N interaction largely removes the sensitivity to cutoff variations in the few-nucleon systems and leads to predictions for the 3 He and 4 He binding energies that cluster around 7.8 MeV and 30 MeV, respectively. However, in ${}^{16}O$ this cutoff sensitivity remains rather strong. Finally, predictions at LO only are also reported for medium-mass nuclei with $A = 40, 48$, and 90.

I. INTRODUCTION

Understanding the interactions among the constituents of atomic nuclei lies at the heart of nuclear physics and is still a subject of intense research. Since the advent of the Effective Field Theory (EFT) paradigm [\[1,](#page-20-0) [2\]](#page-20-1) in the early nineties, two-nucleon $(2N)$ chiral interactions have been developed up to fourth (N3LO) and, more recently, fifth (N4LO) order in the low-energy expansion [\[3–](#page-20-2)[13\]](#page-21-0). These interactions provide an accurate description of 2N scattering data up to the pion production threshold, comparable to that obtained by phenomenological models [\[14–](#page-21-1)[16\]](#page-22-0).

Implicit in the definition of an EFT is a cutoff scale Λ which marks the separation between the domain of applicability and high-energy scales that characterize processes unresolved by the EFT and whose effects are subsumed in the values of low-energy constants (LECs). An interesting version of EFT is one in which the cutoff is taken to be smaller than the pion mass, that is, the pion mass represents the heavy scale. In such a regime, pions are integrated out and the theory only consists of contact terms between two or more nucleons—pionless EFT [\[17,](#page-22-1) [18\]](#page-22-2) ($\#EFT$). A natural question to ask is: how well (or how poorly) will low-energy nuclear structure, including binding energies, charge radii, and magnetic moments, be accounted for by this simpler EFT? As a first step in our attempt to answer this question, we construct in this paper coordinate-space $2N$ contact interactions from fits to scattering observables in a limited range of energies. These $2N$ interactions are complemented by a LO three-nucleon $(3N)$ contact interaction, constrained to reproduce the ³H binding energy. A first set of calculations of the ground-state energies of the hydrogen and helium isotopes, 6 Li, and ${}^{16}O$ (${}^{40}Ca$, ${}^{48}Ca$ and ${}^{90}Zr$) is presented with selected models at LO and NLO (LO only). Results for the same observable with the N3LO models are limited to the ${}^{3}H, {}^{3}He/{}^{4}He/{}^{6}He$ and ${}^{6}Li$ nuclei.

In the 2N system, $\#EFT$ reduces to the effective range expansion [\[19\]](#page-22-3). Due to the unnaturally large values of the 2N scattering lengths, it is convenient, in order to extend the domain of applicability of the theory, to consider the inverse scattering length as a soft scale [\[20–](#page-22-4)[22\]](#page-22-5). As a consequence, this EFT corresponds to an expansion around the unitary limit of infinite scattering length [\[23,](#page-22-6) [24\]](#page-22-7). By introducing a single expansion parameter, the ratio of the interaction range to the scattering length [\[25](#page-22-8)[–28\]](#page-22-9), such a theory accounts for universal phenomena, such as the Efimov effect [\[29–](#page-22-10)[32\]](#page-22-11), in systems of three and more nucleons.

Depending on the renormalization conditions, two low-energy counting schemes can consistently be implemented [\[33\]](#page-22-12), the Weinberg counting, in which the magnitude of the LECs entering the interaction follows naive dimensional analysis [\[34,](#page-22-13) [35\]](#page-22-14), and the Kaplan, Savage, and Wise (KSW) counting [\[20,](#page-22-4) [21\]](#page-22-15), in which their importance is enhanced. In the present paper, we adhere to Weinberg counting (for related work based on KSW counting see Refs. [\[36,](#page-22-16) [37\]](#page-22-17)). This implies a certain amount of fine tuning of the two leading LECs, which have a direct connection to the unnaturally large values of the singlet and triplet scattering lengths. As a matter of fact, we are led to introduce two different cutoffs in the $T = 0$ and $T = 1$ isospin channels, in order to reduce, in the fitting procedure, the correlations induced by such fine tuning. Following common practice in the construction of $2N$ interaction models from EFT, we choose to perform an implicit renormalization of the LECs, through the fitting of low-energy experimental data. Had we chosen to fix each one of the two leading LECs to a single observable, like the corresponding scattering length, we would have obtained a dependence (running) on the associated cutoff (or renormalization point), one from each renormalization condition. Since cutoff-independence in the description of other observables is to be expected only up to neglected orders, the implicit renormalization procedure is likely to drive the LECs away from the renormalization group running, except around some special value of the cutoff, which needs not be the same for the two leading LECs. It is expected that, when higher and higher orders are included, the optimal cutoff regions will grow until a plateau is realized, and eventually will overlap. We should also mention that at least two independent cutoffs were found to be necessary in order to derive the rules of Weinberg counting from the Wilsonian renormalization group [\[38\]](#page-22-18).

The present paper is organized as follows. In Sec. [II](#page-3-0) the 2N contact interaction is introduced up to $N3LO¹$ $N3LO¹$ $N3LO¹$, and is regularized to obtain its coordinate space representation. In Sec. [III](#page-4-0) the associated LECs are determined through an order-by-order fit to 2N scattering observables below 15 MeV and 25 MeV laboratory energies at, respectively, NLO and N3LO, and to the deuteron binding energy. In Sec. [IV](#page-8-0) results for the binding energies of ³H, ³He, ⁴He, ⁶Li, ⁶He, and ¹⁶O are reported for selected models at LO and NLO, and for the binding energies of ⁴⁰Ca, ⁴⁸Ca, and ⁹⁰Zr with selected LO models only. The calculations are carried out with hyperspherical-harmonics (HH) methods in systems with mass number $3 \leq A \leq 6$, and with auxiliary-field diffusion Monte Carlo (AFDMC) methods in $A \geq 16$. Finally, a brief summary and some concluding remarks are given in Sec. [V.](#page-15-0)

¹ We denote the various orders in the expansion of the interaction following the usual convention in pionfull EFT, where NLO is $O(Q^2)$ suppressed relative to LO, and N3LO is $O(Q^2)$ suppressed relative to NLO. Here Q denotes a low-momentum scale.

II. CONTACT INTERACTIONS AT LO, NLO, AND N3LO: A SUMMARY

The structure of two-nucleon (2N) contact interactions at LO, NLO, and N3LO is well known [\[6\]](#page-20-3); we provide a brief summary here for completeness. These interactions consist of charge-independent (CI) terms at LO, NLO and N3LO, and charge-dependent (CD) ones at NLO and N3LO. However, in a departure from common practice (at least in the context of pionless EFT), we require the LO interactions to only act in even partial waves. We explain the rationale for such a choice in Sec. [IV](#page-8-0) below.

A. Contact interactions in momentum space

The interactions in momentum space are listed below order by order in the power counting (Q denotes generically a low-momentum scale). The momenta **k** and **K** are defined as $\mathbf{k} = \mathbf{p}' - \mathbf{p}$ and $\mathbf{K} = (\mathbf{p}' + \mathbf{p})/2$, where **p** and **p**' are the initial and final relative momenta of the two nucleons, and σ_i and τ_i denote respectively the Pauli spin and isospin operators:

• CI terms of LO (Q^0) :

$$
v_{\text{LO}}^{\text{CI}} = C_{01} P_0^{\sigma} P_1^{\tau} + C_{10} P_1^{\sigma} P_0^{\tau} , \qquad (2.1)
$$

where P_0^{σ} (P_0^{τ}) and P_1^{σ} (P_1^{τ}) are spin (isospin) projection operators on pairs with S (T) equal to 0 and 1,

$$
P_0^{\sigma} = \frac{1 - \sigma_1 \cdot \sigma_2}{4} , \qquad P_1^{\sigma} = \frac{3 + \sigma_1 \cdot \sigma_2}{4} , \qquad (2.2)
$$

and similarly for P_0^{τ} and P_1^{τ} ;

• CI term of NLO (Q^2) :

$$
v_{\rm NLO}^{\rm CI}(\mathbf{k}, \mathbf{K}) = C_1 k^2 + C_2 k^2 \tau_1 \cdot \tau_2 + C_3 k^2 \sigma_1 \cdot \sigma_2 + C_4 k^2 \sigma_1 \cdot \sigma_2 \tau_1 \cdot \tau_2 + C_5 S_{12}(\mathbf{k}) + C_6 S_{12}(\mathbf{k}) \tau_1 \cdot \tau_2 + i C_7 \mathbf{S} \cdot (\mathbf{K} \times \mathbf{k}) ,
$$
\n(2.3)

where $S_{12}(\mathbf{k}) = 3 \sigma_1 \cdot \mathbf{k} \sigma_2 \cdot \mathbf{k} - k^2 \sigma_1 \cdot \sigma_2$;

• CI terms of N3LO $(Q⁴)$:

$$
v_{\text{NSLO}}^{\text{CI}}(\mathbf{k}, \mathbf{K}) = D_1 k^4 + D_2 k^4 \tau_1 \cdot \tau_2 + D_3 k^4 \sigma_1 \cdot \sigma_2 + D_4 k^4 \sigma_1 \cdot \sigma_2 \tau_1 \cdot \tau_2 + D_5 k^2 S_{12}(\mathbf{k}) + D_6 k^2 S_{12}(\mathbf{k}) \tau_1 \cdot \tau_2 + i D_7 k^2 \mathbf{S} \cdot (\mathbf{K} \times \mathbf{k}) + i D_8 k^2 \mathbf{S} \cdot (\mathbf{K} \times \mathbf{k}) \tau_1 \cdot \tau_2 + D_9 [\mathbf{S} \cdot (\mathbf{K} \times \mathbf{k})]^2 + D_{10} (\mathbf{K} \times \mathbf{k})^2 + D_{11} (\mathbf{K} \times \mathbf{k})^2 \sigma_1 \cdot \sigma_2 ,
$$
\n(2.4)

where $S = (\sigma_1 + \sigma_2)/2$;

• CD terms of NLO (Q^2) :

$$
v_{\rm NLO}^{\rm CD} = C_0^{\rm IT} T_{12} \,, \tag{2.5}
$$

where $T_{12} = 3 \tau_{1z} \tau_{2z} - \tau_1 \cdot \tau_2$ is the isotensor operator;

• CD terms of N3LO $(Q⁴)$:

$$
v_{\text{NSLO}}^{\text{CD}}(\mathbf{k}, \mathbf{K}) = \left[C_1^{\text{IT}} k^2 + C_2^{\text{IT}} k^2 \sigma_1 \cdot \sigma_2 + C_3^{\text{IT}} S_{12}(\mathbf{k}) + i C_4^{\text{IT}} \mathbf{S} \cdot (\mathbf{K} \times \mathbf{k}) \right] T_{12} . \tag{2.6}
$$

We note that at N3LO there are four additional CI terms. Following Ref. [\[39\]](#page-22-19), we have dropped them, since they lead to operator structures in configuration space which depend quadratically on the relative momentum operator, and are difficult to implement in quantum Monte Carlo calculations. Their inclusion was shown to lead to no improvement in the fit to the 2N database [\[39\]](#page-22-19). As a matter of fact, three combinations of such terms vanish off the energy shell [\[13\]](#page-21-0) and their effect can be absorbed into a redefinition of the $3N$ interaction [\[40\]](#page-22-20). We have also ignored five additional charge-symmetry-breaking (CSB) terms (one at NLO and four at N3LO) in the CD sector. There is only a single observable sensitive to these terms, the difference between the pp and nn scattering lengths. Since the interactions at NLO and N3LO without CSB already give nn scattering lengths reasonably close to the empirical value (as shown below), we have made no attempt in constraining the associated LECs, and have therefore set them to zero.

B. Regularization and contact interactions in configuration space

The contact interactions are regularized by multiplying each term by a Gaussian cutoff depending only on the momentum transfer k but which differentiates between the pair isospin $T = 0$ and $T = 1$ channels, that is

$$
\widetilde{C}(k) = e^{-R_0^2 k^2/4} P_0^{\tau} + e^{-R_1^2 k^2/4} P_1^{\tau} \longrightarrow C(r) = C_0(r) P_0^{\tau} + C_1(r) P_1^{\tau} , \qquad C_{\alpha}(r) = \frac{1}{\pi^{3/2} R_{\alpha}^3} e^{-(r/R_{\alpha})^2} . \tag{2.7}
$$

We have investigated five different combinations of R_0/R_1 as listed in Table [I,](#page-4-1) and have designated them as models a, b, c, d, and o. For this latter model (o stands for optimized), the cutoffs have been determined by constraining them along with the LECs C_{01} and C_{10} in a LO fit designed to reproduce the np effective range expansions (including scattering lengths and effective radii) in $S/T = 0/1$ and 1/0. We also note that the relationship between the cutoff Λ_{α} in momentum space and the cutoff R_α in coordinate space is $\Lambda_\alpha = 2/R_\alpha$ (with $\alpha = 0$ or 1), and so Λ_0 and Λ_1 vary in the ranges (172–263) MeV and (132–263) MeV as R_0 and R_1 decrease from 2.3 to 1.5 fm and from 3.0 to 1.5 fm, respectively.

TABLE I. Cutoff values corresponding to models a-d and o.

Model \parallel a \parallel b \parallel			
			R_0 (fm) 1.7 1.9 2.1 2.3 1.54592984
			R_1 (fm) 1.5 2.0 2.5 3.0 1.83039397

The coordinate-space representation of the interaction is written as

$$
v = v^{\text{EM}} + v^{\text{CI}} + v^{\text{CD}}, \qquad (2.8)
$$

where v^{EM} is the electromagnetic component, and

$$
v^{\text{CI}} = v_{\text{LO}}^{\text{CI}} + v_{\text{NLO}}^{\text{CI}} + v_{\text{N3LO}}^{\text{CI}} = \sum_{l=1}^{11} v^l(r) O_{12}^l , \qquad (2.9)
$$

$$
v^{\rm CD} = v_{\rm NLO}^{\rm CD} + v_{\rm N3LO}^{\rm CD} = \sum_{l=12}^{15} v^l(r) O_{12}^l . \qquad (2.10)
$$

The various operator structures of the CI and CD components read

$$
O_{12}^{l=1,\dots,11} = 1, \tau_1 \cdot \tau_2, \sigma_1 \cdot \sigma_2, \sigma_1 \cdot \sigma_2, \tau_1 \cdot \tau_2, S_{12}, S_{12} \tau_1 \cdot \tau_2, \mathbf{L} \cdot \mathbf{S}, \mathbf{L} \cdot \mathbf{S} \tau_1 \cdot \tau_2, (\mathbf{L} \cdot \mathbf{S})^2, \mathbf{L}^2, \mathbf{L}^2 \sigma_1 \cdot \sigma_2, (2.11)
$$

and

$$
O_{12}^{l=12,\dots,15} = T_{12}, \sigma_1 \cdot \sigma_2 T_{12}, S_{12} T_{12}, \mathbf{L} \cdot \mathbf{S} T_{12}, \qquad (2.12)
$$

where S_{12} and \bf{L} denote the tensor and orbital angular momentum operators, respectively. Hereafter, we will refer to these operators as

$$
l = 1, \ldots, 15 \longrightarrow l = c, \tau, \sigma, \sigma\tau, t, t\tau, b, b\tau, bb, q, q\sigma, T, \sigma T, tT, bT.
$$
\n
$$
(2.13)
$$

We note that v^{EM} includes the complete electromagnetic interaction up to terms quadratic in the fine structure constant (first and second order Coulomb, Darwin-Foldy, vacuum polarization, and magnetic moment terms), see Ref. [\[15\]](#page-22-21) for explicit expressions. The radial functions $v^l(r)$ multiplying the operators O_{12}^l are given in Ref. [\[11\]](#page-21-2) and reported in Appendix [A](#page-19-0) for completeness. Because of the regularization scheme we have adopted, these functions have an implicit dependence on the isospin T of the pair.

III. FITS TO THE DATABASE

The (configuration-space) LO, NLO, and N3LO interactions are defined as

$$
v_{\rm LO} = v^{\rm EM} + v_{\rm LO}^{\rm CI} \tag{3.1}
$$

$$
v_{\rm NLO} = v^{\rm EM} + v_{\rm LO}^{\rm CI} + v_{\rm NLO}^{\rm CI} + v_{\rm NLO}^{\rm CD} \tag{3.2}
$$

TABLE II. The LO LECs determined by reproducing the np singlet scattering length and deuteron binding energy as obtained for models a-d; for model o, the cutoff radii along with the LO LECs have been constrained by fitting the np scattering lengths and effective radii in the singlet and triplet channel, and the deuteron binding energy.

Model					
$\overline{C_{01}}$ (fm ²)	$-0.438524414 \times 10^{1}$	$-0.572220536 \times 10^{1}$	-0.700250932×10^{1}	-0.822926713×10^{1}	$-0.527518671 \times 10^{1}$
C_{10} (fm ²)	$-0.800783936 \times 10^{1}$	-0.934392090×10^{1}	$-0.107734100 \times 10^{2}$	$-0.122993164 \times 10^{2}$	$-0.704040080 \times 10^{1}$

$$
v_{\text{N3LO}} = v^{\text{EM}} + v_{\text{LO}}^{\text{CI}} + v_{\text{NLO}}^{\text{CI}} + v_{\text{N3LO}}^{\text{CI}} + v_{\text{NLO}}^{\text{CD}} + v_{\text{N3LO}}^{\text{CD}} ,
$$
\n(3.3)

where, as already noted, the full EM interaction is retained at each order (and in all partial waves). At each order the values of cutoffs that are considered are those listed in Table [I.](#page-4-1) The LO interaction involves 2 LECs, the NLO interaction 7 additional LECs in the CI sector and 1 LEC in the CD sector, and the N3LO interaction further 11 and 4 LECs in the CI and CD sectors, respectively. As per the operator structure, $v_{\text{LO}}^{\text{CI}}$ involves the 4 operators c, τ , σ , and $\sigma\tau$; $v_{\rm NLO}^{\rm CI}$ and $v_{\rm NLO}^{\rm CD}$ involve, respectively, the 7 operators c, τ , σ , $\sigma\tau$, t, $t\tau$, and b, and the single operator T; $v_{\text{NSLO}}^{\text{CI}}$ and $v_{\text{NSLO}}^{\text{CD}}$ involve, respectively, the 11 operators c, τ , σ , $\sigma\tau$, t, $t\tau$, b, $b\tau$, bb , q , and $q\sigma$, and the 4 operators $\overline{T}, \sigma \overline{T}$, tT bT . However, because of the isospin dependence of the radial functions $v^{l}(r)$, the interactions $v_{\text{NLO}}^{\text{CI}}$ and $v_{\text{NSLO}}^{\text{CI}}$ effectively also include, respectively, the $b\tau$ operator, and the $\tau_1 \cdot \tau_2$ -dependent bb, q , and $q\sigma$ operators. Lastly, the values adopted for the proton and neutron masses are, respectively, 938.27192 MeV and 939.56524 MeV, and $\hbar c$ is taken as 197.32697 MeV fm.

The 2 LECs in the LO interactions are determined by reproducing the singlet np scattering length $(1_{a_{np}})$ and the deuteron binding energy (B_d) in models a-d. In model o, the cutoff radii along with the LO LECs have been constrained by fitting the np scattering lengths and effective radii in the singlet and triplet channel, and the deuteron binding energy. Their values are listed in Table [II.](#page-5-0) The NLO and N3LO interactions are fitted to np and pp scattering data (including normalizations), as assembled in the Granada database [\[41–](#page-22-22)[43\]](#page-22-23), over the laboratory energy range 0–15 MeV at NLO and 0–25 MeV at N3LO, and, simultaneously, to B_d . The corresponding LECs are reported in Table [XIV](#page-21-3) at NLO and Table [XV](#page-21-4) at N3LO, in Appendix [B.](#page-20-4) The optimization of the objective function χ^2 with respect to the LECs is carried out with the Practical Optimization Using No Derivatives (for Squares), POUNDERS [\[44\]](#page-22-24). We list the numbers of np , pp , $np + pp$ data (including normalizations) and corresponding χ^2 /datum for all models in Table [III.](#page-6-0) The NLO and N3LO fits are optimized by minimizing the χ^2 corresponding to the total number of $np + pp$ data. The numbers of data points change slightly for each of the various models because of fluctuations in the number of normalizations, see Ref. [\[11\]](#page-21-2) for more details on the fitting procedure. Finally, in Table [III](#page-6-0) we also report the χ^2 /datum to the np data in the laboratory energy range 0–1 MeV for the LO models. We stress that these χ^2 values do not result from fits, but rather correspond to the sets of LECs as determined in Table [II.](#page-5-0) We do not report the χ^2 /datum values relative to the pp data, since they are in the thousands to tens of thousands (the number of pp data points in 0–1 MeV is about 160), and therefore meaningless.

The χ^2 improves slightly or remains essentially unchanged in going from NLO to N3LO, albeit the number of data points included in the fits increases from about 780 at 15 MeV to about 1,100 at 25 MeV; the χ^2 improvement is drastic, by about a factor of 2 for model d, corresponding to $R_0/R_1 = 2.3/3.0$ fm. But for this model, all χ^2 at N3LO are well below 2. Even in the limited range of laboratory energy we have considered, the data points number in the several hundreds, and consist primarily of differential cross sections. The χ^2 values at NLO and N3LO relative to the pp data are generally significantly better than those relative to np data, except again at N3LO for model d for which this trend is reversed (it worthwhile reiterating here that the fits are optimized by minimizing the χ^2 relative to the np and pp data).

We conclude this section by noting that in an earlier exploratory phase of the present work, we considered interactions regularized by a single cutoff function, namely without differentiating between pairs in isospin $T = 0$ and 1. This is equivalent to setting $R_0 = R_1 = R$, and

$$
C(r) = \frac{1}{\pi^{3/2} R^3} e^{-(r/R)^2} . \tag{3.4}
$$

Both NLO and N3LO interactions were fitted to the database over the energy range 0–15 MeV (albeit the deuteron binding energy was not included in the fits), and with cutoff R varying between 1.0 and 2.5 fm, see Table [IV.](#page-6-1) We found the χ^2 corresponding to the np data fits to be rather large when the cutoff R was taken either too small $R \lesssim 1.0$ fm or too large $R \gtrsim 2.5$ fm. Moreover, the deuteron binding energy was generally poorly reproduced at both NLO and N3LO; for example, with $R = 2.5$ fm it was calculated to be 1.243 (1.312) MeV at NLO (N3LO). This led us to (i) introduce two cutoffs differentiating between $T = 0$ and 1 pairs in order to allow for different ranges in these channel interactions, (ii) restrict the variability of the R_0 cutoff between 1.5 fm and 2.3 fm, in order to improve the χ^2 , and

TABLE III. Values of the χ^2 /datum at LO, NLO and N3LO. The χ^2 /datum values reported at LO over the lab-energy range $T_{\text{lab}} = 0$ –1 MeV are obtained with the LECs of Table [II.](#page-5-0) The NLO (N3LO) fits are performed over the range $T_{\text{lab}} = 0$ –15 $(0-25)$ MeV; N_{np} , N_{pp} , and N denote, respectively, the total number of np , pp , and $np+pp$ data, including observables and normalizations. The NLO and N3LO fits are carried out by enforcing that the deuteron binding energy be reproduced exactly, and are optimized by minimizing the χ^2 corresponding to the total number of $np + pp$ data.

Model	order	$T_{\rm lab}$ (MeV)	N_{np}	$(np)/\text{datum}$	N_{pp}	$\chi^2(pp)/\mathrm{d}$ atum	N	χ^2 /datum
a	LO.	$0 - 1$	91	5.54	157		248	
	NLO	$0 - 15$	381	1.83	394	1.53	776	1.67
	N3LO	$0 - 25$	643	1.60	451	1.24	1096	1.45
b	LO	$0 - 1$	91	37.6	157		248	
	NLO	$0 - 15$	382	1.39	395	1.09	778	1.24
	N3LO	$0 - 25$	646	1.42	452	1.06	1099	1.27
\mathbf{c}	LO	$0 - 1$	91	24.8	157		248	
	NLO	$0 - 15$	378	2.34	392	1.97	771	2.15
	N3LO	$0 - 25$	645	1.83	453	1.33	1099	1.62
d	LO	$0 - 1$	91	41.2	157		248	
	NLO	$0 - 15$	377	10.2	392	6.88	770	8.51
	N3LO	$0 - 25$	638	2.03	446	8.09	1085	4.52
\circ	LO	$0 - 1$	91	2.16	157		248	
	NLO	$0 - 15$	382	1.27	394	1.08	777	1.17
	N3LO	$0 - 25$	650	1.25	452	1.10	1103	1.19

TABLE IV. Values of the χ^2 /datum at NLO and N3LO obtained by setting $R_0 = R_1 = R$, namely without differentiating the range of the interactions between $T = 0$ and $T = 1$ pairs. Remaining notation is as in Table [III.](#page-6-0) The NLO and N3LO fits are optimized by minimizing the χ^2 corresponding to the total number of $np+pp$ data over the same range $T_{\rm lab} = 0$ –15 MeV. Note that the deuteron binding energy was not included in the fits.

(iii) include in the fits the deuteron binding energy which, because of the small experimental error associated with it, puts a very tight constraint on the χ^2 .

A. Deuteron properties, effective range parameters, and phase shifts

Deuteron properties obtained at NLO and N3LO are reported in Table [V](#page-7-0) and compared to available experimental values. The binding energy B_d is fitted exactly and includes the contributions (about 20 keV) of electromagnetic interactions, among which the largest is that due to the magnetic moment term. The asymptotic D/S ratio η is reasonably close to experiment for models a, b, and d, but is significantly overpredicted and underpredicted in model c and o, respectively. Deuteron waves at LO, NLO, and N3LO are shown in Fig. [1.](#page-7-1) They are compared to the S- and D-wave obtained with the AV18 [\[15\]](#page-22-21) for reference. Note that the tensor term in the $n-p$ magnetic-moment interaction induces at LO tiny D-waves, which are not displayed in Fig. [1.](#page-7-1) The NLO and N3LO D-waves in all models are smaller than the AV18 D-wave, and are pushed out relative to it. By contrast, the LO, NLO, and N3LO S-waves at short range are significantly larger than the AV18 S-wave, reflecting the absence of a repulsive core in the contact interactions.

The effective range parameters at LO, NLO, and N3LO are given in Tables [VI,](#page-7-2) [VII,](#page-8-1) and [VIII,](#page-8-2) respectively, where

TABLE V. Deuteron binding energy B_d (in MeV), D-to-S state ratio η , and D-state probability (P_D) obtained at NLO and N3LO; the experimental values [\[49–](#page-22-25)[52\]](#page-22-26) are, respectively, $B_d = 2.224575(9)$ MeV and $\eta = 0.0256(4)$. The superscript * indicates that the corresponding observable is fitted.

Model										
	NLO	N3LO	NLU	N3LC	NLC	N3LC	NLO	N3LC	NLO	N3LC
$\sqrt{\text{MeV}}$ B_d	$2.2246*$	$2.2246*$	$2.2246*$	$2.2246*$	$2.2246*$	$2.2246*$	$2.2246*$	$2.2246*$	$2.2246*$	$2.2245*$
	0.0233	$_{0.0235}$	0.0237	0.0238	0.0373	$_{0.0351}$	0.0231	0.0226	0.0169	0.0170
'%	2.93	2.96	2.24	2.30	4.11	4.39	$1.20\,$	1.84	2.04	$_{1.93}$

FIG. 1. (Color online). The deuteron S-wave radial functions at LO (left panel), corresponding to the LECs of Table [II,](#page-5-0) and deuteron S- and D-wave radial functions at NLO (middle panel) and N3LO (right panel), corresponding to the best fits of Table [III,](#page-6-0) are compared to those of the AV18. Note that at LO the tensor term from the np magnetic-moment interaction induces tiny D-wave components, which are not shown.

they are compared to experimental values. The experimental value of the singlet np scattering length is reproduced exactly by design in the case of the LO models a-d. However, the LO model o has been constrained to reproduce the np effective range expansions in the singlet and triplet channels as well as the deuteron binding energy. At all orders of the power counting, the singlet and triplet np , and singlet pp and nn , scattering lengths are calculated with the inclusion of electromagnetic interactions. Without the latter, the effective-range function is simply given by $F(k^2) = k \cot \delta = -1/a + r k^2/2$ up to terms linear in k^2 . In the presence of electromagnetic interactions, a more complicated effective-range function must be used; it is given explicitly in Appendix D of Ref. [\[11\]](#page-21-2), along with relevant references.

The predicted scattering lengths at NLO and N3LO are typically within a % of the experimental values for all models considered. However, the effective radii display more variability from model to model, but are all reasonably close to experiment.

	Experiment	a	b	\mathbf{c}	d	\circ
$\frac{1}{a_{pp}}$	$-7.8063(26)$	-8.1234	-8.8643	-9.5462	-10.1886	-8.6207
	$-7.8016(29)$					
$1r_{pp}$	2.794(14)	2.180	2.909	3.640	4.371	2.662
	2.773(14)					
a_{nn}	$-18.90(40)$	-22.13	-22.68	-23.01	-23.21	-22.53
$1_{r_{nn}}$	2.75(11)	2.26	3.05	3.87	4.71	2.78
$1a_{np}$	$-23.740(20)$	$-23.740*$	$-23.740*$	$-23.740*$	$-23.740*$	$-23.740*$
$1r_{np}$	2.77(5)	2.25	3.04	2.65	4.69	$2.77*$
${}^3a_{np}$	5.419(7)	5.515	5.650	5.783	5.913	$5.410*$
${}^{3}r_{np}$	1.753(8)	1.89	2.06	2.21	2.36	$1.757*$

TABLE VI. The singlet and triplet np , and singlet pp and nn , scattering lengths and effective radii obtained at LO; experimental values are from Refs. [\[16,](#page-22-0) [45–](#page-22-27)[48\]](#page-22-28). The superscript [∗] indicates the corresponding observable is fitted.

The pp and (isovector and isoscalar) np S-, P-, and D-wave phase shifts obtained with the NLO and N3LO interaction models up to a laboratory energy of 40 MeV, are displayed in Figs. [2–](#page-11-0)[4,](#page-13-0) and are compared to partial-wave analyses (PWAs) by the Nijmegen [\[53\]](#page-22-29), Granada [\[41–](#page-22-22)[43\]](#page-22-23), and Gross-Stadler [\[54\]](#page-22-30) groups. The Gross-Stadler PWA is limited to np data only. The pp phases are relative to electromagnetic functions [\[11\]](#page-21-2), while the np ones are relative to spherical Bessel functions. Except for the S-wave phase shifts, there is a rather large spread in the P- and D-wave phase shifts

TABLE VII. Same as in Table [VI](#page-7-2) but at NLO.

	Experiment	a	b	C.	d	\circ
a_{pp}^-	$-7.8063(26)$	-7.7489	-7.7557	-7.7463	-7.7119	-7.7570
	$-7.8016(29)$					
$1r_{pp}$	2.794(14)	2.649	2.676	2.622	2.439	2.682
	2.773(14)					
$1_{a_{nn}}$	$-18.90(40)$	-17.19	-17.21	-16.90	-16.45	-17.23
$1_{r_{nn}}$	2.75(11)	2.78	2.80	2.79	2.72	2.80
a_{np}	$-23.740(20)$	-23.765	-23.740	-23.746	-23.740	-23.738
$1r_{np}$	2.77(5)	2.71	2.75	2.78	2.78	2.75
${}^3a_{np}$	5.419(7)	5.392	5.424	5.418	5.415	5.426
$3r_{np}$	1.753(8)	1.746	1.796	1.831	1.838	1.782

TABLE VIII. Same as in Table [VI](#page-7-2) but at N3LO.

and mixing angles among the different models. This spread does not appear to be reduced in going from NLO to N3LO, although it is worthwhile reiterating here that the fits to the database were restricted to a rather low upper limit in the energy range and that in such a range the data, which consist primarily of differential cross sections, are not very sensitive to higher-order partial waves.

IV. BINDING ENERGIES OF LIGHT AND MEDIUM-WEIGHT NUCLEI

In this section we report the results for the binding energies of ³H, ³He, ⁴He, ⁶He, ⁶Li, ¹⁶O, ⁴⁰Ca, ⁴⁸Ca, and ⁹⁰Zr. The calculations are carried out with 2N interactions up to N3LO in the $A = 3-6$ systems, up to NLO in ¹⁶O, and at LO only for the heavier nuclei with $A \geq 40$, and make use of hyperspherical-harmonics (HH) methods in $A \leq 6$ and auxiliary-field diffusion Monte Carlo (AFDMC) methods for $A > 16$, see below. Of course, a consistent study of nuclei must retain the complete interaction at the different orders. In the present work, which deals primarily with the construction of 2N interactions, we include the three-nucleon $(3N)$ contact interaction at LO only, and postpone the study of higher order 3N terms [\[58\]](#page-23-0) to a subsequent work (a preliminary study of these higher order terms can be found in Ref. [\[59\]](#page-23-1)). At LO we consider

$$
V_{\rm LO} = c_E \frac{f_\pi^4}{\Lambda_\chi} \frac{(\hbar c)^6}{\pi^3 R_3^6} \sum_{\text{cyclic } ijk} e^{-(r_{ij}^2 + r_{jk}^2)/R_3^2} , \qquad (4.1)
$$

where $\Lambda_{\chi} = 1 \text{ GeV}$ is the breaking scale of the theory and $f_{\pi} = 92.4 \text{ MeV}$ is the pion decay constant. The LEC c_E can be determined from a single three-nucleon data point for different choices of the range R_3 . Examples of this procedure can be found in Refs. [\[24,](#page-22-7) [60,](#page-23-2) [61\]](#page-23-3) where correlations between the three-, four-, six-nucleon systems, and nuclear matter have been analyzed. Here, for each 2N model, we fix c_E to reproduce $B(^3H) = 8.475$ MeV, for different choices of the cutoff R3. The values so obtained are listed in Table [IX.](#page-9-0) They are generally found to be natural, at least when the cutoff R_3 is in the range $1.0 \lesssim R_3 \lesssim 2.0$ fm for models a, b, and o.

(A) and (B) report the c_E values obtained by either ignoring (A) or retaining (B) the full v^{EM} in the ³H calculations.

A. Binding energies of $A = 3, 4$, and 6 nuclei with HH methods

The ${}^{3}H$, ${}^{3}He$, ${}^{4}He$, ${}^{6}Li$ and ${}^{6}He$ binding energies obtained with the different 2N contact interactions are reported in Table [X.](#page-10-0) As already noted, the calculations have been carried out with the HH method, as described in the recent reviews [\[55,](#page-22-31) [56\]](#page-22-32) (and references therein). These binding energies are expected to be accurate at the level of 1 keV and 10 keV for the three- and four-nucleon systems, respectively. For the six-nucleon system the HH basis is largely degenerate requiring detailed studies. Accordingly, the HH states are partitioned in different "classes of convergence" and within each of these an extrapolation is made to estimate the missing energy. The estimates for all classes of convergence are then added up to obtain the total extrapolated value for the binding energy. A complete discussion of these aspects—in particular, the definition of classes of convergence, and the extrapolation in each of these classes can be found in Ref. $[62]$ for 6 Li and in Ref. $[63]$ for 6 He. The errors on the extrapolated energies are in general larger for 6 He because of the loosely bound structure and the slower convergence as compared to 6 Li.

We find that at LO there is a large spread in the results, reflecting a large dependence on the cutoffs. The threenucleon binding energies vary by more than 4 MeV, whereas the spread in the four- and six-nucleon binding energies exceeds 20 MeV. This large variation as a function of the cutoffs is related to the Thomas collapse phenomenon [\[57\]](#page-23-6): as the range of the interaction is reduced these systems tend to become more and more bound. This is especially apparent in the limiting case in which the interaction is of zero-range, corresponding to the limiting case of the LO interaction. As is apparent from Table [X,](#page-10-0) the dependence on the cutoffs is much less drastic for the NLO and N3LO

TABLE X. Binding energies (in MeV) corresponding to the 2N contact interaction models a-d and o, obtained at LO, NLO, and N3LO with the HH method for nuclei with mass number $A = 3, 4$, and 6; the numbers in parentheses for $A = 6$ are estimates of extrapolation errors (see text). The experimental values are 8.48, 7.72, 28.3, 32.0, and 29.3 MeV for, respectively, ³H, ³He, 4 He, 6 Li, and 6 He.

Model	order	$^3\mathrm{H}$	$\rm{^{3}He}$	4 He	$^6\mathrm{Li}$	6 He
a	LO	10.705	9.917	40.89	46.71(3)	43.03(7)
	NLO	8.588	7.889	31.18	36.28(17)	31.99(19)
	N3LO	8.584	7.886	31.15	36.27(15)	31.93(17)
b	LО	8.463	7.795	30.55	35.89(2)	32.10(5)
	NLO	8.790	8.084	32.49	37.29(7)	33.15(9)
	N3LO	8.964	8.249	33.36	38.73(6)	34.51(8)
$\mathbf c$	LО	7.066	6.483	24.29	29.20(2)	25.52(4)
	NLO	7.967	7.307	28.13	32.00(10)	27.66(14)
	N3LO	8.443	7.757	30.08	39.10(15)	33.97(10)
d	LO	6.136	5.617	20.21	24.78(2)	21.24(3)
	NLO	7.941	7.299	28.29	32.54(5)	28.35(5)
	N3LO	8.589	7.912	31.02	50.10(3)	44.26(3)
\circ	LO.	9.696	8.958	36.88	42.27(4)	37.71(8)
	NLO	8.816	8.107	32.41	37.38(12)	33.14(16)
	N3LO	8.937	8.221	33.17	38.68(11)	34.30(11)

interactions. However, the 6 Li and 6 He results show a peculiar behavior, in that at N3LO the spread is relatively small, about 3 MeV, for the a, b, c, and o models; on the other hand, model d seems to be an outlier and yields large binding energies, when compared to those of the other models. Lastly, it should be noted that ⁶He is found to be bound with all models, except with model c at NLO.

Next, we include the 3N contact interaction discussed earlier in the binding energy calculations of the $A = 3$ and 4 nuclei. The results are summarized in Fig. [5.](#page-14-0) The left, center, and right panels present the binding energies obtained with the 2N contact interactions at LO, NLO, and N3LO (each including the full electromagnetic interaction and hence each in combination with the LO 3N contact interaction corresponding to set B of c_E values), whereas the different symbols in each panel correspond to the five possible choices of 2N cutoffs. The lower and upper subpanels show the $B(^{3}He)$ and $B(^{4}He)$ results (note the energy scales). The two dashed lines indicate the experimental values, $B(^{3}\text{He}) = 7.72 \text{ MeV}$ and $B(^{4}\text{He}) = 28.3 \text{ MeV}$. The constraint $B(^{3}\text{H}) = 8.475 \text{ MeV}$ is verified by all models by construction. The figure shows that at LO a fine tuning of the cutoffs in the $2N$ interaction could be used to reproduce $B^{(4)}$ He), since models a and b are just below and above the experimental value; such fine tuning is in fact achieved with model o. Increasing the order of the expansion, at NLO and N3LO, leads to much more stable results, clustering around 7.78 MeV for $B(^3\text{He})$ and around 30 MeV for $B(^4\text{He})$. We expect these binding energies to be correctly reproduced by including higher-order terms in the 3N interaction.

B. 16 O nucleus with AFDMC methods

The auxiliary-field diffusion Monte Carlo (AFDMC) method [\[66\]](#page-23-7) is used to study nuclei with $A > 6$ nucleons—see Ref. [\[67\]](#page-23-8) for a recent review (see also Ref. [\[68\]](#page-23-9) for AFDMC applications with NLO χEFT interactions). The AFDMC method uses imaginary-time projection techniques to filter out the ground-state of the system starting from a suitable trial wave function, $|\Psi_0\rangle = e^{-(H-E_0)\tau} |\Psi_T\rangle$, and exhibits a favorable polynomial scaling with the number of nucleons, which is made possible by the use of a single-particle spin-isospin basis. This representation is preserved during the imaginary-time evolution by using Hubbard-Stratonovich transformations to linearize the quadratic spin-isospin operators entering the short-time propagator. Applying these transformation to treat the isospin-dependent spinorbit term, implicit in the $v_{\text{NLO}}^{\text{CI}}$ interaction, involves non-trivial difficulties. To circumvent them, we perform the imaginary-time propagation with a modified interaction, as described below. The $v_{\text{NLO}}^{\text{CI}}$ interactions reads

$$
v_{\rm NLO}^{\rm CI} = \sum_{l=1}^{6} v^{l}(r) O_{12}^{l} + [v^{b}(r) + v^{b\tau}(r) \tau_1 \cdot \tau_2] \mathbf{L} \cdot \mathbf{S} , \qquad (4.2)
$$

FIG. 2. (Color online). Phase shifts in pp channels at NLO (top panel) and N3LO (bottom panel) corresponding to the best fits of Table [III](#page-6-0) are compared to the results of the Nijmegen and Granada partial-wave analyses.

FIG. 3. (Color online). Phase shifts in isovector np channels at NLO (top panel) and N3LO (bottom panel) corresponding to the best fits of Table [III](#page-6-0) are compared to the results of the Nijmegen, Granada, and Gross partial-wave analyses.

FIG. 4. (Color online). Phase shifts in isoscalar np channels at NLO (top panel) and N3LO (bottom panel) corresponding to the best fits of Table [III](#page-6-0) are compared to the results of the Nijmegen, Granada, and Gross partial-wave analyses.

FIG. 5. (Color online). Binding energies of ³He (lower sub-panels) and ⁴He (upper sub-panels) with the inclusion of the LO $3N$ contact interaction corresponding to set B, determined by fitting the ${}^{3}H$ binding energy, as a function of the cutoff R_3 . The left, center, and right panels refer to the LO, NLO and N3LO 2N contact interactions (each in combination with the LO 3N contact interaction), while circles, squares, stars, triangles down, triangles up correspond to models, a-d and o, respectively. The lines are only drawn to guide the eye. For ⁴He the LO binding energies obtained with models c and d are out of scale (too large) and are not shown. The dash lines indicate the experimental values; note the different energy scales adopted for ³He and 4 He.

where, referring to Appendix A, the radial functions of the spin-orbit components are defined as

$$
v^{b}(r) = -\frac{C_{7}}{r} \frac{3 C_{1}^{(1)}(r) + C_{0}^{(1)}(r)}{4} , \qquad (4.3)
$$

$$
v^{b\tau}(r) = -\frac{C_7}{r} \frac{C_1^{(1)}(r) - C_0^{(1)}(r)}{4} , \qquad (4.4)
$$

with $C_T^{(1)}$ $T^{(1)}(r)$ denoting the derivative of the Gaussian cutoff in isospin channel T. The isospin dependence of the spinorbit term comes on account of the fact that $C_0^{(1)}(r)$ and $C_1^{(1)}(r)$ have different ranges. The modified NLO interaction is defined as

$$
v_{\rm NLO}^{\rm CI'}(\alpha) = \sum_{1=1}^{6} v^{l}(r) O_{12}^{l} + [v^{b}(r) + \alpha v^{b\tau}(r)] \mathbf{L} \cdot \mathbf{S} . \qquad (4.5)
$$

The imaginary time propagation is performed with this modified interaction. The expectation values of both $v_{\text{NLO}}^{\text{CI}}(\alpha)$ and the original $v_{\text{NLO}}^{\text{CI}}$ are then evaluated, and the parameter α is adjusted so as to make these expetaction values

the same within statistical errors. Note that a similar procedure has been adopted in Refs. [\[69,](#page-23-10) [70\]](#page-23-11) to include the commutator term of the three-body chiral interaction.

The trial wave function is expressed as a product of a long-range Slater determinant of single-particle orbitals and a correlation factor, $|\Psi_T\rangle = F |\Phi\rangle$. Since the NLO Hamiltonian contains both tensor and spin-orbit terms, we consider a trial wave function that includes linear spin-isospin dependent correlations [\[71\]](#page-23-12)

$$
F = \prod_{i < j < k} \left[1 + \sum_{\text{cyc}} u_{3\text{b}}(r_{ij}) u_{3\text{b}}(r_{ik}) \right] \left[1 + \sum_{i < j} \sum_{l=2}^{6} u^l(r_{ij}) O_{ij}^l \right] \prod_{i < j} f^c(r_{ij}) \tag{4.6}
$$

where the spin-isospin operators are defined in Eq. [\(2.9\)](#page-4-2). The functions $u^{l}(r)$ are characterized by a number of variational parameters [\[67\]](#page-23-8), which are determined by minimizing the two-body cluster contribution to the energy per particle of nuclear matter at saturation density. On the other hand, the function $u_{3b}(r)$ associated with the correlations induced by the (LO) 3N contact interaction, and the function $f^c(r)$ are parametrized in terms of cubic splines. The variational parameters are the values of $u_{3b}(r)$ and $f^{c}(r)$ at the grid points, plus the value of their first derivatives at $r = 0$. The optimal values of the variational parameters are found employing the linear optimization method [\[72\]](#page-23-13), which typically converges in ≈ 20 iterations. When solving the LO Hamiltonian, which does not contain tensor or spin-orbit terms, we drop the spin-isospin dependent correlations in Eq. [\(4.6\)](#page-15-1). This simplified ansatz is consistent with that adopted in Ref. [\[72\]](#page-23-13), and allows us to compute nuclei as large as ^{90}Zr with multiple LO Hamiltonians.

In Table [XI](#page-16-0) we report the AFDMC binding energies of ³H and ⁴He obtained in the constrained-path approximation using the linearized spin-isospin correlations of Eq. [\(4.6\)](#page-15-1) and compare them with the HH results. Since in the AFDMC the electromagnetic interaction only includes the Coulomb repulsion between finite-size (rather than pointlike) protons, for a more meaningful comparison, the HH binding energies are also obtained with this approximation; hence these energies are slightly different from those of Table [X](#page-10-0) which retain the full electromagnetic interaction. The AFDMC and HH results for ³H are in excellent agreement with each other: the largest discrepancy between the two methods is ≈ 0.05 MeV for model c at NLO; differences between AFDMC and HH results are much smaller for all the other models. A similar trend is observed for the ⁴He nucleus; the AFDMC and HH energies are quite close; the largest discrepancy, ≈ 0.13 MeV, is again observed for model a at NLO, and is smaller for all other models we analyzed. Some of these differences can be ascribed to a combination of the constrained-path approximation employed in the AFDMC, the approximate treatment of the isospin-dependent spin-orbit term of the interaction, and the convergence of the HH basis expansion.

The binding energies of ⁴He and ¹⁶O at LO, NLO, and N3LO for selected 2N models and including the 3N interaction are listed in Table [XII.](#page-16-1) The agreement between HH and AFDMC calculations of ⁴He—the latter reported in square brackets—remains excellent even when the 3N interaction is included in the Hamiltonian. We note that neglecting the 3N interaction always yields too large a binding energy in ^{16}O , even when the ^{4}He is underbound. On the other hand, fixing the 3N interaction to reproduce the ³H binding energy leads to a sizable cutoff dependence of our results, regardless of the $2N$ interaction model considered. In general, a larger cutoff R_3 corresponds to a lesser bound ¹⁶O, as the repulsive term becomes long-ranged and affects triplets of nucleons belonging to different α -like clusters. In this regard, we observe that in some cases the AFDMC binding energies of ^{16}O are smaller than four times that of ⁴He. Although a fully clusterized wave function can be obtained as done in Ref. [\[72\]](#page-23-13), in this work we use confining single-particle orbitals that effectively prevent the nucleons from diffusing far from the center of mass of the system. Finally, we refrain from carrying out AFDMC calculations of ¹⁶O for models c and d, since for these the LEC c_E is negative and the corresponding 3N interaction would therefore lead to large additional binding for the already overbound results predicted by the 2N models alone.

In Table [XIII](#page-17-0) we list the binding energies of selected light- and medium-mass nuclei at LO computed using the HH and AFDMC methods. We observe a similar trend as in Table [XII,](#page-16-1) with a sizable dependence of the results on the cutoff R_3 . Overbinding or underbinding in ¹⁶O carries over in heavier nuclei. On a positive note, models a and o for $R_3 = 1.0$ fm provide a satisfactory description of ¹⁶O and are also able to reproduce fairly well the binding energies of heavier systems. It would be interesting to fit c_E by reproducing ¹⁶O, as done, for instance in Ref. [\[73\]](#page-23-14), and study the behaviour of such a Hamiltonian in lighter and heavier nuclei.

V. SUMMARY AND CONCLUSIONS

The present work represents the first phase in a program we envision, aimed at establishing whether the energy spectra of, and electroweak transitions between, low-lying states of nuclei can be understood on the basis of nuclear interactions and electroweak currents, derived in an EFT formulation where pion degrees of freedom have been integrated out. Specifically, this first phase has dealt with: (i) the construction of $2N$ contact interactions at LO,

TABLE XI. Binding energies (in MeV) of ³H and ⁴He obtained with LO and NLO 2N interactions using the AFDMC method in the constrained-path approximation are compared to corresponding HH results. Estimated statistical errors in the AFDMC calculations are in parentheses. Note that the electromagnetic interaction only includes the Coulomb repulsion between finitesize (rather than point-like) protons.

Model	order	$B(^3H)$	[HH] $B(^3H)$	$B(^4\text{He})$	$B(^4\text{He})$ [HH]
\rm{a}	LO	10.75(2)	10.756	41.10(5)	41.10
	NLO	8.64(1)	8.639	31.50(2)	31.37
	LÓ	8.47(1)	8.498	30.71(1)	30.69
	NLO	8.82(1)	8.839	32.75(1)	32.68
\mathbf{c}	LO.	7.07(1)	7.093	24.40(1)	24.40
	NLO	7.96(2)	8.013	28.33(2)	28.31
d	LО	6.14(1)	6.158	20.30(2)	20.30
	NLO	7.96(2)	7.981	28.45(1)	28.44
\circ	LО	9.71(1)	9.744	37.08(3)	37.07
	NLO	8.85(1)	8.867	32.66(3)	32.60

TABLE XII. Binding energies (in MeV) of ⁴He and ¹⁶O at LO, NLO, and N3LO obtained with selected combinations of contact $2N+3N$ interaction models, and corresponding to different cutoffs in the $3N$ interaction, as reported in Table [IX.](#page-9-0) Note that in these calculations we have retained in v^{EM} only the Coulomb interaction between protons (albeit accounting for their finite size). Consequently, we have used the c_E values reported in part (A) of Table [IX.](#page-9-0) The $A = 4$ results are calculated with both the HH method and, in square brackets, the AFDMC method in the constrained-path approximation; the latter method is used in the $A = 16$ calculations.

NLO, and N3LO that are local in configuration space and therefore suitable for implementation in quantum Monte Carlo calculations; (ii) the determination of a 3N contact interaction at LO with the single LEC entering at this order fixed to reproduce the tritium binding energy in essentially exact HH calculations; (iii) the extension of the AFDMC method, so as to be capable to handle approximately but reliably tensor and spin-orbit components (with and without isospin dependence) in the $2N$ interactions; (iv) a fairly complete study (albeit not a fully consistent one from a power counting perspective) of the ground-state binding energies of light nuclei with mass number up to $A = 16$ with Hamiltonians based on 2N interactions of increasing order but a 3N interaction included only at LO; (v) an initial set of AFDMC calculations of the binding energies of nuclei with $A \geq 40$ based on Hamiltonians including the contact 2N and 3N interactions at LO only.

The fits to the 2N scattering database (including the deuteron binding energy) have been restricted up to lab energies of 15 MeV at NLO and 25 MeV up to N3LO. Despite the (apparent) flexibility afforded by the 25 LECs (20 in the charge-independent sector and 5 more in the charge-dependent one) present in the interaction at N3LO, it has proven rather difficult to extend the fits much beyond 25 MeV , while at the same time maintaining a $\chi^2/\text{datum} \lesssim 2$.

TABLE XIII. Binding energies (in MeV) of light- and medium-mass nuclei at LO predicted by selected combinations of contact 2N+3N interaction models, corresponding to different cutoffs in the 3N interaction as reported in Table [IX.](#page-9-0) The calculations retain in v^{EM} only the Coulomb repulsion between finite-size (rather than point-like) protons. Consequently, the c_E values reported in part (A) of Table [IX](#page-9-0) have been used for the (LO) 3N interaction. The $A=4-6$ and $A \ge 16$ results are obtained, respectively, with the HH method and AFDMC method in the constrained-path approximation.

Nucleus	a	b	Ω	a	b	Ω	\mathbf{a}		$\mathbf O$	Exp.
		$R_3 = 1.0$ fm			$R_3 = 1.5$ fm			$R_3 = 2.0$ fm		
$\rm ^4He$	26.59	30.50	28.18	26.63	30.52	28.49	27.19	30.53	29.00	28.30
6 Li	28.55(2)	35.62(1)	30.77(1)	26.70(3)	35.59(1)	29.51(1)	25.07(5)	35.57(1)	28.28(1)	31.99
${}^6\textrm{He}$	25.73(2)	31.89(3)	27.26(3)	23.96(5)	31.87(3)	26.20(6)	22.46(9)	31.85(3)	25.22(9)	29.27
${}^{16}O$	111.6(3)	260.5(9)	133.4(4)	76.5(8)	251.0(6)	96.6(4)	69.1(9)	249.3(8)	69.7(5)	127.62
${}^{40}Ca$	297.6(5)	1463.0(9)	395.2(8)	147.2(8)	1491.7(9)	207.2(9)	234(2)	1462(1)	120.7(8)	343.05
^{48}Ca	332.4(5)	1873.3(5)	446.5(9)	159.3(6)	1927(2)	225.9(8)	161(9)	1874(1)	130(2)	416.00
$^{90}\mathrm{Zr}$	654(2)	6511(9)	937(6)	216.9(8)	6866(9)	392(2)	$\overline{}$	6363(8)	$\overline{}$	783.90

This may not be surprising, given that in the present EFT formulation the expansion parameter Q/Λ with Q and Λ being taken, respectively, as the relative momentum and pion mass, is ≈ 0.78 at a lab energy of 25 MeV.

A different but potentially related issue is the presence of local minima in the χ^2 -minimization. There might be more efficient and effective means, like those based on Bayesian methods or machine-learning techniques, to explore the parameter space than standard optimization packages, such as POUNDERS employed in the present work. An exploratory investigation along these lines is in progress.

In a point of departure from the standard approach, we found it helpful to have LO interactions with projections only in the spin singlet $T = 1$ and spin triplet $T = 0$ channels, by fixing the associated C_{01} and C_{10} LECs so as to reproduce, respectively, the (large) singlet scattering length and deuteron binding energy. These interactions vanish in odd partial waves (in particular, P-waves) that are unconstrained by data (at this order), and, as a consequence, significantly improve the LO description of ground-state energies in $A \geq 6$ nuclei. In this respect, we note that a fine tuning of the LECs C_{01} and C_{10} and corresponding cutoffs R_1 and R_0 leads to a LO interaction (model o), that correctly reproduces the np effective range expansions in spin-singlet and spin-triplet channels.

All the N3LO 2N interactions overestimate the ${}^{3}H$ binding energy except for model c, which leads to an under-binding of about of 40 keV (see Table [X\)](#page-10-0). As a result, the LEC c_E in the 3N contact interaction accompanying each of these (N3LO) 2N interactions is positive and therefore produces a repulsive contribution for all models except model c, see Table [IX](#page-9-0) part B. However, when α^2 corrections are ignored in the electromagnetic interaction, the N3LO model c also leads to over-binding in ³H and hence to a repulsive 3N interaction, see again Table [IX](#page-9-0) but now part A. The results in Table [X](#page-10-0) also indicate that the LO and NLO 2N interactions typically overbind (underbind) ${}^{3}H$ when the harder (softer) cutoffs, that is, smaller (larger) values for R_0 and R_1 are adopted. For the 2N interactions with the softer cutoffs the need to have an attractive 3N contribution ($c_E < 0$) in order to reproduce the experimental value of the 3 H binding energy proves catastrophic in larger nuclei, for example, by wildly over-predicting the 16 O binding energy. Indeed, these 2N and 3N models have not been considered in calculations of nuclei with $A \ge 16$.

There is a large dependence of the calculated binding energies, particularly in $A \geq 16$, on the cutoff of the 3N interaction, the softer cutoff $R_3 = 2.0$ fm generally yielding binding energy values closer to experiment, see Table [XIII.](#page-17-0) In one case, model o at LO with $R_3 = 1.0$ fm and at NLO with $R_3 = 2.0$ fm, theory is (miraculously, perhaps) within less than 2% of experiment. As matter of fact, the overall picture of nuclear ground-state spectra that emerges from the LO and NLO Hamiltonians corresponding to model o (that is, the model o 2N interaction at either LO or NLO in combination with the LO 3N interaction having cutoff $R_3 = 1$ fm and 2 fm, respectively) is summarized in Fig. [6,](#page-18-0) where the predicted binding energies per nucleon are shown as function of the mass number A (only LO results are available in $A \geq 40$, and are compared to experimental and liquid-drop mass formula values.

The optimization of the two-body $(R_0 \text{ and } R_1)$ and three-body (R_3) cutoffs has different motivations. As we have already mentioned, the optimization of R_0 and R_1 leads to the correct description of effective range parameters, and to an appreciable improvement in the χ^2 values obtained in fits to the 2N database. On the other hand, the optimization of R_3 is aimed at providing a satisfactory description of nuclear binding energies. We have observed that within model o, when R_3 is set to reproduce reasonably well these energies in the mass range $A \le 16$, the energies for $40 \le A \le 90$ are also reasonably well reproduced. We conclude that this parameter can be used to balance approximately the repulsion-attraction ratio of the nuclear Hamiltonian. A similar situation has been recently observed in the case of bosonic helium clusters [\[64,](#page-23-15) [65\]](#page-23-16).

Because of the more complicated operator structure of the 2N interactions at N3LO (in particular the presence of

FIG. 6. Binding energy per particle as a function of the atomic number A. The liquid drop model results, along with specific experimental values (red symbols) in the cases of $A = 4, 16, 40, 48, 90$, are shown. Predictions obtained in the present work with the $2N$ interaction model o at either LO or NLO in combination with the $3N$ interaction at LO, are indicated by the blue (orange) symbols.

 \mathbf{L}^2 and $(\mathbf{L} \cdot \mathbf{S})^2$ terms), these have yet to be implemented in the AFDMC method, and therefore in the present study no N3LO results are reported for $A \ge 16$. However, even within the context of calculations based on the NLO 2N interactions, there are sub-leading 3N contact interactions, suppressed by Q^2/Λ^2 relative to the LO ones, that need to be accounted for. These terms have a rich operator structure including central, tensor and spin-orbit-like components, but involve a relatively large number (13) of unknown LECs [\[58\]](#page-23-0). Arguments based on the large N_c expansion allow one to reduce the number of LECs and associated operator structures by ranking their relative importance [\[59\]](#page-23-1). Nevertheless, the problem remains of how best to determine these LECs. Two alternative strategies are to constrain them by fitting 3N scattering data (cross sections and polarizations) at low energies or by reproducing the energies of low-lying states of selected light nuclei. Both alternatives should be investigated.

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Appendix A: Configuration-space representation of the interactions

The coordinate-space representation of a generic (regularized) term $O(K, k)$ follows from

$$
O(\mathbf{r}) = \int \frac{d\mathbf{k}}{(2\pi)^3} \int \frac{d\mathbf{K}}{(2\pi)^3} e^{i\mathbf{k}\cdot(\mathbf{r'}+\mathbf{r})/2} O(\mathbf{K}, \mathbf{k}) e^{i\mathbf{K}\cdot(\mathbf{r'}-\mathbf{r})}, \qquad (A1)
$$

where **r** is the relative position and $\mathbf{K} \longrightarrow \mathbf{p} = -i \nabla' \delta(\mathbf{r}' - \mathbf{r})$, the relative momentum operator. For the momentumspace operator structures present in the contact interactions we find:

$$
1 \longrightarrow C(r) \tag{A2}
$$

$$
k^2 \longrightarrow -C^{(2)}(r) - \frac{2}{r}C^{(1)}(r) , \qquad (A3)
$$

$$
k^4 \longrightarrow C^{(4)}(r) + \frac{4}{r} C^{(3)}(r) , \qquad (A4)
$$

$$
S_{12}(\mathbf{k}) \longrightarrow -\left[C^{(2)}(r) - \frac{1}{r} C^{(1)}(r) \right] S_{12} , \qquad (A5)
$$

$$
i\,\mathbf{S}\cdot(\mathbf{K}\times\mathbf{k})\longrightarrow-\frac{1}{r}\,C^{(1)}(r)\,\mathbf{L}\cdot\mathbf{S}\,,\tag{A6}
$$

$$
\left(\mathbf{K} \times \mathbf{k}\right)^2 \longrightarrow -\frac{1}{r^2} \left[C^{(2)}(r) - \frac{1}{r} C^{(1)}(r) \right] \mathbf{L}^2 + \cdots , \qquad (A7)
$$

$$
\left[\mathbf{S} \cdot (\mathbf{K} \times \mathbf{k})\right]^2 \longrightarrow -\frac{1}{r^2} \left[C^{(2)}(r) - \frac{1}{r} C^{(1)}(r) \right] \left(\mathbf{L} \cdot \mathbf{S}\right)^2 + \cdots , \qquad (A8)
$$

where $C(r)$ is defined in Eq. [\(2.7\)](#page-4-3) and

$$
C^{(n)}(r) = \frac{d^n C(r)}{dr^n} \tag{A9}
$$

Note that in Eqs. [\(A7\)](#page-19-1) and [\(A8\)](#page-19-1) only the terms proportional to \mathbf{L}^2 and $(\mathbf{L} \cdot \mathbf{S})^2$ are retained; the \cdots represent additional terms which either involve terms quadratic in the relative momentum operator or give rise to structures already accounted for. Using the above expressions, the functions $v^{l}(r)$ for the CI terms are obtained as

$$
v^{c}(r) = v_{\text{LO}}^{c}(r) + C_{1} \left[-C^{(2)}(r) - \frac{2}{r} C^{(1)}(r) \right] + D_{1} \left[C^{(4)}(r) + \frac{4}{r} C^{(3)}(r) \right] , \qquad (A10)
$$

$$
v^{\tau}(r) = v_{\text{LO}}^{\tau}(r) + C_2 \left[-C^{(2)}(r) - \frac{2}{r} C^{(1)}(r) \right] + D_2 \left[C^{(4)}(r) + \frac{4}{r} C^{(3)}(r) \right] , \qquad (A11)
$$

$$
v^{\sigma}(r) = v_{\text{LO}}^{\sigma}(r) + C_3 \left[-C^{(2)}(r) - \frac{2}{r} C^{(1)}(r) \right] + D_3 \left[C^{(4)}(r) + \frac{4}{r} C^{(3)}(r) \right], \tag{A12}
$$

$$
v^{\sigma\tau}(r) = v_{\text{LO}}^{\sigma\tau}(r) + C_4 \left[-C^{(2)}(r) - \frac{2}{r} C^{(1)}(r) \right] + D_4 \left[C^{(4)}(r) + \frac{4}{r} C^{(3)}(r) \right] , \qquad (A13)
$$

$$
v^{t}(r) = -C_{5} \left[C^{(2)}(r) - \frac{1}{r} C^{(1)}(r) \right] + D_{5} \left[C^{(4)}(r) + \frac{1}{r} C^{(3)}(r) - \frac{6}{r^{2}} C^{(2)}(r) + \frac{6}{r^{3}} C^{(1)}(r) \right],
$$
 (A14)

$$
v^{t\tau}(r) = -C_6 \left[C^{(2)}(r) - \frac{1}{r} C^{(1)}(r) \right] + D_6 \left[C^{(4)}(r) + \frac{1}{r} C^{(3)}(r) - \frac{6}{r^2} C^{(2)}(r) + \frac{6}{r^3} C^{(1)}(r) \right] ,\tag{A15}
$$

$$
v^{b}(r) = -C_{7} \frac{1}{r} C^{(1)}(r) + D_{7} \left[\frac{1}{r} C^{(3)}(r) + 2 \frac{1}{r^{2}} C^{(2)}(r) - \frac{2}{r^{3}} C^{(1)}(r) \right],
$$
\n(A16)

$$
v^{b\tau}(r) = D_8 \left[\frac{1}{r} C^{(3)}(r) + 2 \frac{1}{r^2} C^{(2)}(r) - \frac{2}{r^3} C^{(1)}(r) \right] , \qquad (A17)
$$

$$
v^{bb}(r) = -D_9 \frac{1}{r^2} \left[C^{(2)}(r) - \frac{1}{r} C^{(1)}(r) \right] \tag{A18}
$$

$$
v^{q}(r) = -D_{10}\frac{1}{r^{2}}\left[C^{(2)}(r) - \frac{1}{r}C^{(1)}(r)\right],
$$
\n(A19)

$$
v^{q\sigma}(r) = -D_{11}\frac{1}{r^2} \left[C^{(2)}(r) - \frac{1}{r} C^{(1)}(r) \right] \tag{A20}
$$

and those for the CD ones are obtained as

$$
v^{T}(r) = C_0^{\text{IT}} C(r) + C_1^{\text{IT}} \left[-C^{(2)}(r) - \frac{2}{r} C^{(1)}(r) \right] , \qquad (A21)
$$

$$
v_S^{\sigma T}(r) = C_2^{\text{IT}} \left[-C^{(2)}(r) - \frac{2}{r} C^{(1)}(r) \right] \tag{A22}
$$

$$
v^{tT}(r) = -C_3^{\text{IT}} \left[C^{(2)}(r) - \frac{1}{r} C^{(1)}(r) \right] \tag{A23}
$$

$$
v^{bT}(r) = -C_4^{\text{IT}} \frac{1}{r} C^{(1)}(r) \tag{A24}
$$

(A25)

where, of course, only the $T = 1$ component of the cutoff function enters in the CD interactions. For ease of presentation, we have singled out the LO terms which only act in $S/T=0/1$ and $1/0$ channels. They are written as

$$
v_{\text{LO}}^c(r) = \frac{3}{16} \left[C_{01} C_1(r) + C_{10} C_0(r) \right], \tag{A26}
$$

$$
v_{\text{LO}}^{\tau}(r) = \frac{1}{16} \left[C_{01} C_1(r) - 3 C_{10} C_0(r) \right], \tag{A27}
$$

$$
v_{\rm LO}^{\sigma}(r) = \frac{1}{16} \left[-3 C_{01} C_1(r) + C_{10} C_0(r) \right], \tag{A28}
$$

$$
v_{\text{LO}}^{\sigma\tau}(r) = -\frac{1}{16} \left[C_{01} C_1(r) + C_{10} C_0(r) \right] , \qquad (A29)
$$

where there are only two independent LECs, C_{01} and C_{10} , and the cutoff functions $C_0(r)$ and $C_1(r)$ are as given in Eq. [\(2.7\)](#page-4-3).

Appendix B: Fitted LECs at NLO and N3LO

Fitted values of the LECs obtained with interactions a-d and o at NLO and N3LO are reported in Tables [XIV](#page-21-3) and [XV,](#page-21-4) respectively.

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TABLE XIV. The NLO LECs determined by fitting the np and pp database up to 15 MeV laboratory energy, and the deuteron binding energy.

Model	a.				Ω
$\overline{C_{01}(\text{fm}^2)}$	-0.511051122×10^{1}	$-0.515205193 \times 10^{1}$	$-0.524089036 \times 10^{1}$	-0.534645335×10^{1}	$-0.514608170 \times 10^{1}$
C_{10} (fm ²)	$-0.422732988 \times 10^{1}$	$-0.486213195 \times 10^{1}$	$-0.147490885 \times 10^{1}$	$-0.442765927 \times 10^{1}$	-0.564430900×10^{1}
C_1 (fm ⁴)	$-0.112720036 \times 10^{1}$	$-0.182744818 \times 10^{1}$	$-0.412069851 \times 10^{1}$	$-0.483330518 \times 10^{1}$	$-0.938734989 \times 10^{0}$
C_2 (fm ⁴)	$0.909366063 \times 10^{0}$	$0.114092429 \times 10^{1}$	$0.251441807 \times 10^{1}$	$0.143873251 \times 10^{1}$	$0.483260368 \times 10^{0}$
C_3 (fm ⁴)	$0.477208278 \times 10^{-1}$	$0.353463551 \times 10^{0}$	$0.131550606 \times 10^{1}$	$0.145157319 \times 10^{1}$	$0.404430893 \times 10^{0}$
C_4 (fm ⁴)	$-0.475987004 \times 10^{0}$	$-0.249962307 \times 10^{0}$	$-0.137446534 \times 10^{0}$	$0.143861202 \times 10^{1}$	-0.531440872×10^{0}
C_5 (fm ⁴)	$0.494135315 \times 10^{-1}$	$-0.582318500 \times 10^{-2}$	$0.688507262 \times 10^{0}$	$0.347184150 \times 10^{-1}$	$-0.302484884 \times 10^{0}$
C_6 (fm ⁴)	-0.846846770×10^{0}	$-0.100082249 \times 10^{1}$	$-0.180046641 \times 10^{1}$	$-0.125608697 \times 10^{1}$	$-0.621725001 \times 10^{0}$
C_7 (fm ⁴)	$-0.155550814 \times 10^{1}$	$-0.138788868 \times 10^{1}$	$-0.150745124 \times 10^{1}$	$-0.153475063 \times 10^{1}$	$-0.136793827 \times 10^{1}$
$C_0^{\text{IT}}(\text{fm}^4)$	$0.190747072 \times 10^{-1}$	0.242061782×10^{-1}	$0.343911021 \times 10^{-1}$	$0.488093390 \times 10^{-1}$	$0.219960910 \times 10^{-1}$

TABLE XV. The N3LO LECs determined by fitting the np and pp database up to 25 MeV laboratory energy, and the deuteron binding energy.

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