Superconductivity in twisted graphene \( \text{NbSe}_2 \) heterostructures

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Superconductivity in twisted Graphene NbSe$_2$ heterostructures

Yohanes S. Gani$^1$, Hadar Steinberg$^2$, Enrico Rossi$^1$

$^1$Department of Physics, William & Mary, Williamsburg, VA 23187, USA,
$^2$The Racah Institute of Physics, The Hebrew University of Jerusalem, Jerusalem 91904, Israel

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We study the low-energy electronic structure of heterostructures formed by one sheet of graphene placed on a monolayer of NbSe$_2$. We build a continuous low-energy effective model that takes into account the presence of a twist angle between graphene and NbSe$_2$, and of spin-orbit coupling and superconducting pairing in NbSe$_2$. We obtain the parameters entering the continuous model via ab-initio calculations. We show that despite the large mismatch between the graphene’s and NbSe$_2$’s lattice constants, due to the large size of the NbSe$_2$’s Fermi pockets, there is a large range of values of twist angles for which a superconducting pairing can be induced into the graphene layer. In addition, we show that the superconducting gap induced into the graphene is extremely robust to an external in-plane magnetic field. Our results show that the size of the induced superconducting gap, and its robustness against in-plane magnetic fields, can be significantly tuned by varying the twist angle.

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I. INTRODUCTION

Transition metal dichalcogenides (TMDs) are extremely interesting materials due to their unique electronic properties$^{1–11}$ and the fact that in recent years experimentalists have been able to isolate and probe TMD films only few atoms thick, down to the monolayer limit. Some TMDs monolayers, like MoSe$_2$ and MoS$_2$, are insulators with gaps of the order of 1.5-2 eV. Other TMDs monolayers, such as NbSe$_2$, NbS$_2$, TaSe$_2$, TaS$_2$ are metallic at room temperature and superconducting at low temperature. One feature that all TMDs have in common is a strong spin-orbit coupling (SOC). In monolayer TMDs the strongest effect of the SOC is a spin-splitting of the conduction and valence bands around the $K$, and $K'$, points of the Brillouin zone (BZ)$^{12–14}$. For the TMDs that are superconducting at low temperature, such a spin splitting causes the superconducting pairing to be of the Ising type$^9$ and therefore extremely robust to external in-plane magnetic fields$^{15–18}$. The ability of metallic TMDs to exhibit superconductivity even in the limit in which they are only one-atom thick, and the robustness of such superconducting state to external magnetic fields make them very interesting systems both from a fundamental point of view and for possible applications.

Recent advances in fabrication techniques have made possible the realization of van der Waals (vdW) heterostructures obtained by stacking crystals that are only few atoms thick$^{19,20}$. In these structures the different layers are held together by van der Waals forces. As a consequence the crystals that can be used to create the structures, and their stacking configuration, are not limited to the configurations allowed by chemical bonds. This makes possible the realization of systems with unique properties such as graphene–topological-insulator heterostructures in which graphene has a tunable spin-orbit coupling depending on the stacking configuration$^{21–25}$.

In graphene the conduction and valence bands touch at the corners ($K$ and $K'$ points) of the hexagonal BZ, and around these points the electrons behave as massless Dirac Fermions$^{26,27}$. This fact makes graphene an ideal semimetal in which the polarity of the carriers can easily be tuned via external gates. In addition, graphene has a very high electron mobility due to its very low concentration of defects and the fact that electron-phonons scattering processes do not contribute significantly to the resistivity for temperatures as high as room temperature$^{28–30}$. All these features make graphene an ideal system to probe, via tunneling setups, other materials and to realize novel vdW heterostructures with tunable properties. In particular, the fact, that the low energy states of graphene, in momentum space, are located just at the $K$ points of its BZ, in vdW structures implies that by simply varying the twist angle, graphene can be used as a momentum selective probe of the electronic structure, and properties, of the substrate. The work that we present below is an example of such momentum-selective probing capability of graphene. In monolayer NbSe$_2$ the Fermi surface (FS) is formed by a pocket around the $\Gamma$ point, and pockets around the $K$, and $K'$ points. Contrary to bulk NbSe$_2$, in monolayer NbSe$_2$ there is no selenium-like FS pocket around the $\Gamma$ point. As a consequence monolayer NbSe$_2$ is expected to be a single-gap superconductor with the same gap at the $\Gamma$ pocket as at the $K$ pockets$^{31}$. However, the $\Gamma$ and $K$ pockets differ in the magnitude, and $k$ dependence around the pocket, of the spin-splitting induced by the spin-orbit coupling. The splitting is much larger for the $K$ pockets and therefore the superconducting gap for these pockets is much more robust to external in-plane magnetic fields than for the $\Gamma$ pocket. As we show below a graphene-NbSe$_2$ heterostructure allows to probe separately NbSe$_2$’s states around the $\Gamma$ point, and $K$ point simply by tuning the relative twist angle between graphene and NbSe$_2$ and therefore to study the difference between pockets of the interplay between spin-orbit coupling and superconducting pairing.

In this work we study vdW heterostructures formed by graphene and monolayer NbSe$_2$. Our results show that despite the large mismatch between the lattice constants of graphene and NbSe$_2$ in these structures a large superconducting pairing can be induced into the graphene layer. In addition, we show how such pairing depends, both in nature and structure, on the stacking configuration. Our results are relevant also to other graphene-TMD heterostructures such as the ones that can be obtained by replacing the NbSe$_2$ monolayer by a monolayer of NbS$_2$, TaSe$_2$, or TaS$_2$ that have also been
shown to be superconducting at low temperature\textsuperscript{18,32–34} and show how graphene can be used to probe in these systems the momentum-dependent superconducting gap and in particular its multiband structure.

II. METHOD

In graphene the carbon atoms are arranged in a 2D hexagonal structure formed by two triangular sublattices, $A$ and $B$, with lattice constant $a_0 = \sqrt{3}a = 2.46\AA$, with $a = 1.42\AA$ the carbon-carbon atomic distance. The 2D structure of NbSe$_2$ is also formed by two triangular sublattices. One of the sublattices is formed by the Nb atoms, the other by two Se atoms symmetrically displaced by a distance $u = 1.679\AA$ above and below the plane formed by the Nb atoms. The lattice constant of NbSe$_2$ is $a_s = 3.48\AA$.\textsuperscript{13} Figure 1 shows the Brillouin zone of graphene and NbSe$_2$. In this figure and in the remainder we take $k_x$ to be in the direction connecting the valley $K$ with its time-reversed partner $K'$. Figure 1 (a) shows the relative orientation of the graphene’s and NbSe$_2$’s BZs for the case when the twist angle $\theta$ is zero and Figure 1 (b) for a case when $\theta \neq 0$.

![Brillouin zone for graphene and NbSe$_2$, and corresponding q-vectors](image)

FIG. 1: Brillouin zone for graphene and NbSe$_2$, and corresponding q-vectors for the case when $\theta = 0$, (a), (c), and $\theta \neq 0$, (b), (d).

To obtain the electronic structure of the graphene-NbSe$_2$ structure for a generic twist angle and in the presence of superconducting pairing in the NbSe$_2$, we first need to estimate the charge transfer between the graphene layer and NbSe$_2$, and the strength of the tunneling $t$ between graphene and the NbSe$_2$ monolayer. To this effect we first obtain via ab-initio the electronic structure of a commensurate graphene-NbSe$_2$ structure. Let $a_{1x} = a_0[\cos(\pi/3+\theta)x - \sin(\pi/3-\theta)y]$, $a_{2x} = a_0[\cos(\pi/3+\theta)x + \sin(\pi/3+\theta)y]$, be the primitive lattice vectors for NbSe$_2$, and $a_{1y} = a_0[\cos(\pi/3)x - \sin(\pi/3)y]$, $a_{2y} = a_0[\cos(\pi/3)x + \sin(\pi/3)y]$, the primitive vectors for graphene, with $x$ and $y$ the unit vectors in the $x$ and $y$ direction, respectively. In a commensurate stacking configuration the primitive vectors satisfy the equation:

$$m_1a_{1x} + m_2a_{2x} = n_1a_{1y} + n_2a_{2y} \quad (1)$$

where $(m_1, m_2, n_1, n_2)$ are four integers constrained by the following second order Diophantine equation:

$$(m_1^2 + m_2^2 - m_1n_2) = \frac{a_0^2}{a_s^2}(n_1^2 + n_2^2 - n_1n_2). \quad (2)$$

Given that the lattice constant of graphene and NbSe$_2$ are highly incommensurate with respect to each other, Eq. 1 (or, equivalently, Eq. 2) can only be satisfied for structures with primitive cells comprising a very large number of atoms ($\sim$1000). It is computationally extremely expensive to study structures with such large primitive cells using ab-initio methods. For this reason we allow for few percents strain of the graphene’s lattice so that Eq. 1 (or, equivalently, Eq. 2) can be satisfied for structures with primitive cells comprising 100 atoms or less. In general the relative strain of the graphene’s and NbSe$_2$’s lattices will depend on the specific structure considered. We did not perform an energy minimization analysis and chose to strain graphene rather than NbSe$_2$ for convenience. This is justified considering that the amount of charge transfer between the graphene layer and NbSe$_2$, and the magnitude of the graphene-NbSe$_2$ tunneling strength, are not expected to be affected by a small change of the graphenes or NbSe$_2$’s lattice constant.

The ab-initio calculation were performed using the Quantum-Espresso package.\textsuperscript{35,36} We use full-relativistic ultrasoft pseudopotentials with the wavefunction kinetic energy cutoff of 50 Ry. We adopted the Perdew-Burke-Ernzerhof (PBE)\textsuperscript{37} as the exchange and correlation functional. We set the vacuum thickness equal to 25Å to isolate the heterostructure and avoid the interactions between the periodic layers along the direction, ($z$), perpendicular to the layers. The interlayer distance between graphene and NbSe$_2$ was obtained by full relaxation in the z-direction. The total energy was calculated by using a $18 \times 18 \times 1$ Monkhorst-Pack scheme grid for the $k$ points.

After having obtained the amount of charge transfer and the strength of the tunneling between the graphene layer and NbSe$_2$ via ab-initio, we use a continuum model\textsuperscript{23,38–40} to obtain the low-energy spectrum of the graphene-NbSe$_2$ heterostructure for different values of the twist angle $\theta$. In general, the Hamiltonian $\hat{H}$ describing the graphene-NbSe$_2$ heterostructure can be written as: $\hat{H} = \hat{H}_g + \hat{H}_s + \hat{H}_t$ where $\hat{H}_g$ is the Hamiltonian for graphene, $\hat{H}_s$ is the Hamiltonian for NbSe$_2$, and $\hat{H}_t$ is the term describing tunneling processes between graphene and NbSe$_2$.

In graphene the low energy states are located at the $K_g$ and $K'_g$ points of the BZ: $K_g = (4\pi/(3a_0),0)$, $K'_g = (−4\pi/(3a_0),0)$ (and equivalent points connected by reciprocal lattice wave vectors). Close the $K_g$ and $K'_g$ points in graphene the electrons, at low energies, are well described as massless Dirac fermions with Hamiltonians $\hat{H}_{K_g} = \sum_{k,\tau,\tau'} c_{k+\mathbf{K}_g,\tau}^\dagger c_{k,\tau'} \hat{H}_{K_g} c_{k+\mathbf{K}_g,\tau'}$. $\hat{H}_{K_g} = \sum_{k,\tau,\tau'} c_{k+\mathbf{K}_g,\tau}^\dagger c_{k,\tau'} \hat{H}_{K_g} c_{k+\mathbf{K}_g,\tau'}$. $\hat{H}_{K_g}$ =
\[
\sum_{k,\tau,\sigma',\sigma} c_{k,\tau,\sigma'}^\dagger H_{\bar{K}_g} c_{k,\tau',\sigma}, \text{ where}
\]
\[
H_{\bar{K}_g} = -i v_F k \cdot \tau \sigma_0 - \mu_g \tau_0 \sigma_0,
\]
\[
H_{\bar{K}_g'} = -i v_F k \cdot \tau^* \sigma_0 - \mu_g \tau_0 \sigma_0,
\]

\(c_{p,\tau,\sigma}^\dagger (c_{p,\tau,\sigma})\) is the creation (annihilation) operator for an electron, in the graphene sheet, with spin \(\sigma\) and two-dimensional momentum \(\hbar \mathbf{p} = h(p_x, p_y), k\) is a wave vector measured from \(\mathbf{K} (\mathbf{K}')\), \(v_F = 10^6 m/s\) is graphene’s Fermi velocity, \(\mu_g\) graphene’s chemical potential, and \(\tau_0, \sigma_0\) \((i = 0, 1, 2, 3)\) are the 2 \(\times\) 2 Pauli matrices in sublattice and spin space, respectively. As a consequence, when considering the states of graphene close to the \(\mathbf{K}_g (\mathbf{K}_g')\) point we have

\(H_g = H_{\bar{K}_g} (H_g = H_{\bar{K}_g})\).

In NbSe\(_2\) the low energy states are located close to the \(\Gamma, \mathbf{K},\) and \(\mathbf{K}'\) points of the BZ: \(\mathbf{K}_s = (4\pi/(3a_s), 0), \mathbf{K}'_s = (-4\pi/(3a_s), 0)\) and equivalent points connected by reciprocal lattice wave vectors. Close the \(\mathbf{K}\) point the effective low-energy Hamiltonian for NbSe\(_2\) takes the form

\[H_{\Gamma_s} = \sum_{k,\sigma} d_{k,\sigma}^\dagger H_{\Gamma_s} d_{k,\sigma}, \text{ where} \ d_{k,\sigma}^\dagger (d_{k,\sigma}) \text{ is the creation (annihilation) operator for an electron in NbSe}_2 \text{ with momentum k and spin } \sigma, \text{ and } H_{\Gamma_s} \text{ is the effective low energy Hamiltonian for the conduction band of NbSe}_2. \]

By fitting the ab-initio results we obtain:

\[H_{\Gamma_s} = \epsilon_{0\Gamma}(k)\sigma_0 + \lambda_{\Gamma}(k)\sigma_z\]

where

\[
\epsilon_{0\Gamma}(k) = \eta_{0\Gamma} + \eta_{2\Gamma} k^+ k^-,
\]

\[\lambda_{\Gamma}(k) = l_{3\Gamma} \left[ \left( k_+^3 + k_-^3 \right) \cos(3\theta) + i \left( k_+^3 - k_-^3 \right) \sin(3\theta) \right],\]

\(k_{\pm} = k_x \pm ik_y, \) and \(\eta_{0\Gamma}, \eta_{2\Gamma}, l_{3\Gamma}\) are constants:

\[
\eta_{0\Gamma} = 0.5641 \text{ eV},
\]

\[
\eta_{2\Gamma} = -7.0640 \text{ eV } [a_s/(2\pi)]^2,
\]

\[
l_{3\Gamma} = 0.5085 \text{ eV } [a_s/(2\pi)]^3.
\]

Close to the corners of the BZ of NbSe\(_2\), the \(\mathbf{K}_s\) and \(\mathbf{K}'_s\) points, for NbSe\(_2\) we have

\[H_{\bar{K}_s} = \sum_{k,\sigma} d_{k,\sigma}^\dagger H_{\bar{K}_s} d_{k,\sigma}, \quad H_{\bar{K}'_s} = \sum_{k,\sigma} d_{k,\sigma}^\dagger H_{\bar{K}'_s} d_{k,\sigma}, \]

where \(k\) is now a wave vector measured from the \(\mathbf{K}_s, \mathbf{K}'_s\) points, respectively, and

\[H_{\bar{K}_s} = \epsilon_0(k)\sigma_0 + \epsilon_s(k)\sigma_0 + \lambda(k)\sigma_z\]

\[H_{\bar{K}'_s} = \epsilon_0(k)\sigma_0 - \epsilon_s(k)\sigma_0 - \lambda(k)\sigma_z\]

where,

\[
\epsilon_0(k) = \eta_0 + \eta_2 k^+ k^-,
\]

\[
\epsilon_s(k) = \eta_3 \left[ \left( k_+^3 + k_-^3 \right) \cos(3\theta) + i \left( k_+^3 - k_-^3 \right) \sin(3\theta) \right],
\]

\[\lambda(k) = l_0 + l_2 k^+ k^- .\]

and \(\eta_0, \eta_2, \eta_3, l_0, l_2\) are constants that we extracted from the ab-initio results for an isolated monolayer of NbSe\(_2\):

\[
\eta_0 = 0.4526 \text{ eV},
\]

\[
\eta_2 = -9.0940 \text{ eV } [a_s/(2\pi)]^2,
\]

\[
\eta_3 = 3.07 \text{ eV } [a_s/(2\pi)]^3,
\]

\[
l_0 = 0.0707 \text{ eV},
\]

\[
l_2 = -0.33 \text{ eV } [a_s/(2\pi)]^2.\]

Let \(p_g, p_s\) be the wave vector of an electron in graphene, NbSe\(_2\), respectively. In the remainder we consider only momentum and spin conserving tunneling processes. Conservation of crystal momentum requires

\[p_s + G_s = p_g + G_g,\]

where \(G_s\) and \(G_g\) are reciprocal lattice vectors for graphene and NbSe\(_2\) respectively. For the purpose of developing a continuum low energy model for a graphene-NbSe\(_2\) heterostructure it is more convenient to consider the twist angle \(\theta\) as relative twist between BZ’s, as shown in Fig. 1. For \(\theta = 0\) the \(\mathbf{K}\) point of graphene’s and NbSe\(_2\)’s BZs are on the same axis. Depending on the value of \(\theta\) we can have two situations: the low energy states of graphene, in momentum space, are close to NbSe\(_2\)’s Fermi pockets around the \(\mathbf{K}\) and \(\mathbf{K}'\) points, or, considering NbSe\(_2\)’s extended BZ, to NbSe\(_2\)’s Fermi pocket around the \(\Gamma\) point. In the first case the conservation of the crystal momentum, Eq. (13), takes the form:

\[k_s = k_g + (K_g - K_s) + (G_g - G_s)\]

(14)

where \(k_g, k_s\) are momentum wave vectors measured from \(K_g\) and \(K_s\) respectively. By replacing \(K_g, K_s, \) with \(K'_g, \) and \(K'_s\) in Eq. (14) we obtain the momentum conservation equation valid for monomer taken around the \(K'\) points. In the second case Eq. (13) takes the form:

\[k_s = k_g + K_g + (G_g - G_s)\]

(15)

and similarly for monomer around \(K'_g\).

The conservation of the crystal momentum implies that the tunneling term takes the form:

\[\hat{H}_t = \sum_{G_g, G_g, \tau} \hat{T}_{\tau,\sigma} (p_g + G_g) e^{-i\mathbf{G}_g \cdot \mathbf{d}_\tau} c_{p_g + G_g, \tau}^\dagger d_{p_g + G_g, \sigma} + h.c.\]

(16)

where \(d_\tau\) is the position of the carbon atom on sublattice \(\tau\) within the primitive cell of the graphene sheet. For sublattice \(A 0) = (a_0, 0), \) for sublattice \(B d_\tau = (a_0, 0), \) with \(a_0\) the carbon-carbon distance.

Considering that, as shown in table I, the separation \(d = 3.57 \text{Å}\) between the graphene sheet and NbSe\(_2\) is much larger than the interatomic distance in each material, in momentum space, the tunneling amplitude \(t(p)\) decays very rapidly as a function of \(p\) and so in Eq.(16) we can just keep the terms for which \((p_g + G_g)\) is smallest, i.e., restrict the sum to \(G_g = 0\) and the two \(G_g\) that map \(K (K')\) to the two other equivalent points in the BZ and set \(t = t(K)\). The sum over
\[ T_{K_g} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & t & 0 \\ 0 & 0 & t \end{bmatrix} \]  
(19)

\[ T_{K_g} = \begin{bmatrix} 0 & 0 & 0 \\ t & 0 & 0 \\ 0 & te^{-iG_3d} & 0 \end{bmatrix} \]  
(20)

In the remainder, supported by DFT results, we take \( t \) to be the same both when the graphene’s low energy states tunnel into states around the \( K (K') \) point and the \( \Gamma \) point of NbSe\(_2\). Let \( \gamma = t/hv_F |q_i| \). When \( \gamma < 1 \) we can develop a perturbative approach in which \( \gamma \) is the small parameter\(^{40,41}\). terms of order \( \gamma^n \) correspond n-tuple tunneling processes. For our situation, as we show in the following section, \( \gamma \ll 1 \) and so we can retain just the lowest order terms in \( \gamma \).

It is convenient to define the following spinors:

\[ C_k^\dagger = (c_k^\dagger, c_{k,1}^\dagger, c_{k,2}^\dagger, c_{k,3}^\dagger) \]
\[ D_k^\dagger = (d_1^\dagger, d_2^\dagger) \]
\[ \Psi_{K_g,K}^\dagger = (\Psi_{K_g,K}^\dagger, D_{K_g,K}^\dagger) \]

For the case when the graphene’s FS overlaps with the NbSe\(_2\)’s pocket close to the \( K \) point, we can then express the Hamiltonian for the graphene-NbSe\(_2\) system as \( H_{K_g,K} = \sum_k \Psi_{K_g,K}^\dagger (k) \Psi_k (k) + \text{h.c.} \)

\[ H_{K_g,K} = \]  
\[ T_{K_g} \]

For the case when we consider graphene states close to the \( K' \) point, so that \( \hat{H} = H_{K'} \), the expression of the Hamiltonian matrix \( H_{K'_g,K'}(k) \) for the graphene-NbSe\(_2\) system, within the approximations described above, can be obtained from Eq. (21) by doing the following substitutions: \( K_s \to K', G_{sK} \to G_{sK'} \), \( q_{1K} \to q_{1K} \), and noticing that \( T_{K_g} = T_{K_g} \). Similarly, when the low energy states of

\[ T_{K_g} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & t & 0 \\ 0 & 0 & t \end{bmatrix} \]  
(21)

graphene are close to the \( \Gamma \) point of NbSe\(_2\) the Hamiltonian \( H_{K'_g,T}(k) \) is obtained from the expression (21) for \( H_{K'_g,K}(k) \) via the substitutions \( K_s + G_{sK} \to K'_s \), \( q_{1K} \to q_{1K} \), and \( q_{1K} \to -q_{1K} \).

Including the superconducting pairing, the effective low-energy Hamiltonian for NbSe\(_2\) for states close to the \( \Gamma \) point
takes the form
\[ \hat{H}^{(SC)}_{\Gamma_s} = \sum_k \Psi^\dagger_{k_s} H^{(SC)}_{\Gamma_s} \Psi_{k_s}, \]
(22)
where \( \Psi^\dagger_{k_s} \) is the Nambu spinor \( \Psi^\dagger_{k_s} = (D^\dagger_k, D^-_k) \),
\[ H^{(SC)}_{\Gamma_s} = \begin{bmatrix} H_{\Gamma_s} (k) & i\Delta_K \sigma_2 \\ -i\Delta_K \sigma_2 & -H^T_{\Gamma_s} (-k) \end{bmatrix}, \]
(23)
where \( H_{\Gamma_s} (k) \) is given by Eq. (5), and \( \Delta_K \) is the size of the superconducting gap of NbSe\(_2\) close to the \( \Gamma \) point.

For states close to \( K_s \), including the superconducting pairing, the Hamiltonian for NbSe\(_2\) becomes
\[ \hat{H}^{(SC)}_{sK} = \sum_{k_n} \Psi^\dagger_{k_s} H^{(SC)}_{sK} \Psi_{k_s}, \]
(24)
where now \( k \) (\(-k\)) is understood to be measured from \( K_s \) \((K'_s)\), and
\[ H^{(SC)}_{sK} = \begin{bmatrix} H_{sK} (k) & i\Delta_K \sigma_2 \\ -i\Delta_K \sigma_2 & -H^T_{sK} (-k) \end{bmatrix}, \]
(25)
\( H_{sK} (k) \), \( H_{sK'} (-k) \) are given by Eq. (8).

For monolayer NbSe\(_2\) the superconducting gap is expected to have the same value, \( \Delta \), on the \( \Gamma \) and \( K \) pocket. In the remainder we conservatively assume \( \Delta = 0.5 \text{ meV} \). The Hamiltonian for the graphene-NbSe\(_2\) system including the superconducting pairing in NbSe\(_2\). For the case when \( K_g \) is close to \( K_s \) the Hamiltonian becomes
\[ \hat{H}^{(SC)}_{K_gK_s} = \sum_k \Psi^\dagger_{K_gK_s,SC,k} H^{(SC)}_{K_gK_s} (k) \Psi_{K_gK_s,SC,k}, \]

\[ H^{(SC)}_{K_gK_s} (k) = H_{K_gK_s} (k) \begin{bmatrix} \Delta_K \Lambda & \Delta_K \Lambda^T \\ \Delta_K \Lambda & -H^T_{K'_sK'_s} (-k) \end{bmatrix}, \]
(26)
and
\[ \Lambda = \begin{bmatrix} 0_{4\times4} & 0_{4\times2} & 0_{4\times2} \\ 0_{2\times4} & i\sigma_2 & 0_{2\times2} \\ 0_{2\times4} & 0_{2\times2} & \sigma_2 \\ 0_{2\times4} & 2\sigma_2 & 0_{2\times2} \end{bmatrix}, \]
(27)
where 0\(_{m\times n}\) is the zero matrix with \( m \) rows and \( n \) columns.

Similarly, for the case when the low energy states of graphene are close to the \( \Gamma \) point of the extended BZ of NbSe\(_2\) the Hamiltonian for the whole system becomes
\[ \hat{H}^{(SC)}_{K_g\Gamma_s} = \sum_k \Psi^\dagger_{K_g\Gamma_s,SC,k} H^{(SC)}_{K_g\Gamma_s} (k) \Psi_{K_g\Gamma_s,SC,k}, \]
with \( \Psi^\dagger_{K_g\Gamma_s,SC,k} = (\Psi^\dagger_{k_gK_s,SC,k}, \Psi^\dagger_{k_g\Gamma_s,SC,k}) \),
\[ H^{(SC)}_{K_g\Gamma_s} (k) = H_{K_g\Gamma_s} (k) \begin{bmatrix} \Delta_{\Gamma_s} \Lambda & \Delta_{\Gamma_s} \Lambda^T \\ \Delta_{\Gamma_s} \Lambda & -H_{K'_sK'_s} (-k) \end{bmatrix}. \]
(28)

### III. RESULTS

The large lattice mismatch between graphene and NbSe\(_2\) would suggest that even in the absence of any twist angle the electronic states of the two systems would not hybridize. However, this does not take into account the large size of NbSe\(_2\)’s Fermi pockets. As shown in Fig. 2 there is a large set of values of \( \theta \) for which the Dirac point of graphene intersects the NbSe\(_2\)’s FS either around the \( K \) points, or around the \( \Gamma \) point in the repeated zone scheme. For these points the electronic states of graphene and NbSe\(_2\) are expected to hybridize.

From the results shown in Fig. 2 we see that for small values of \( \theta \), we can expect that the graphene’s low energy states close to the Dirac point will hybridize with the NbSe\(_2\)’s states close to the \( K \) point. For values of \( \theta \) close to 30°, we see that graphene’s states will hybridize with NbSe\(_2\)’s states close to the \( \Gamma \) point. For this reason, to estimate the charge transfer and the strength of the graphene-NbSe\(_2\) tunneling in the two situations, we performed ab-initio calculations for a commensurate heterostructure with \( \theta = -65.2° \), and one with \( \theta = 33.0° \). The parameters identifying these commensurate structures are given in Table 1 and the corresponding primitive cells are shown in Fig. 3.

| TMD \( (m_1,m_2,n_1,n_2) \) | \( a_0 (\text{Å}) \) | \( d_{\text{AB}} (\text{Å}) \) | \( \theta \) | \( |A(\text{Å})| \) | \( d(\text{Å}) \) | \( \mu_c (\text{eV}) \) |
|-----------------|------|---------------|-----|------|--------|--------|
| NbSe\(_2\) \((-2,1,-4,-3)\) | 3.48 | 2.55 | 3.7% | -65.2° | 9.2 | 3.57 | -0.40 |
| NbSe\(_2\) \((-1,2,1,4)\) | 3.48 | 2.55 | 3.7% | 33.0° | 9.2 | 3.57 | -0.40 |

**TABLE I: Parameters for graphene-NbSe\(_2\) commensurate structures.**

The ab-initio calculations return the band structure shown in Fig. 4, 5. In these figures the dashed blue lines show the bands of isolated graphene. The left panels show the results
The results of Fig. 4, 5 clearly show that there is a significant charge transfer between graphene and monolayer NbSe$_2$ resulting in hole doping of the graphene sheet corresponding to a Fermi energy of about -0.4 eV. They also show that the amount of charge transfer does not depend on the value of the twist angle $\theta$. Considering the finite extension of the graphene’s FS due to the charge-transfer shown in Fig. 4, 5 between NbSe$_2$ and graphene, we obtain that there is a significant range of values of $\theta$ for which the graphene’s FS intersects the NbSe$_2$ FS and for which we can then expect non-negligible hybridization of the graphene’s and NbSe$_2$ states. This is shown in Fig. 2 in which the red circles delimit the boundaries of the graphene’s FS as $\theta$ is varied. Table II shows the range of values of $\theta$ extracted from Fig. 2 for which the graphene’s FS is expected to intersect either one of the NbSe$_2$’s FS pockets around the $K$ ($K'$) point, or around the $\Gamma$ point. In this table $\theta_m(K)$ ($\theta_m(\Gamma)$) is the angle in the middle of the range $2\delta\theta(K)$ ($2\delta\theta(\Gamma)$) of angles for which the graphene’s FS intersects the NbSe$_2$’s FS pocket.

<table>
<thead>
<tr>
<th>TMD (1L)</th>
<th>$\theta_m(K)$</th>
<th>$\delta\theta(K)$</th>
<th>$\theta_m(\Gamma)$</th>
<th>$\delta\theta(\Gamma)$</th>
</tr>
</thead>
<tbody>
<tr>
<td>NbSe$_2$</td>
<td>$0^\circ + n \times 60^\circ$</td>
<td>$7.2^\circ$</td>
<td>$21.9^\circ + n \times 60^\circ$</td>
<td>$3.9^\circ$</td>
</tr>
<tr>
<td></td>
<td>$37.5^\circ + n \times 60^\circ$</td>
<td>$3.9^\circ$</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The ab-initio results allow us also to estimate the strength of the tunneling between graphene and NbSe$_2$. In Figs. 4 (c), (d), 5 (c), (d) we can see the avoided crossings close to the Fermi energy between the graphene’s and NbSe$_2$’s bands. The amplitude of such crossings provides an estimate of the tunneling strength $t$ between the graphene sheet and the monolayer of NbSe$_2$. We find that both for the case when the graphene’s FS intersects the NbSe$_2$’s pocket around the $K$ point and when it intersects the NbSe$_2$’s FS pocket around the $\Gamma$ point, $t \approx 20$ meV and so in the remainder we set $t = 20$ meV.

We first consider the case when graphene’s FS intersects the pocket of NbSe$_2$ close to the $K$ point, i.e., $-7.2^\circ < \theta < 7.2^\circ$, and $\Delta = 0$. Figure 6 shows the results for the FS of the hybridized system in the limit when no superconducting pairing is present in NbSe$_2$: the left (right) column shows the FS around the K ($K'$) of graphene. Figure 6 (a), (b) show the relative position in momentum space of graphene’s FS and NbSe$_2$’s FS for the case when $\theta = 0$ and $t = 0$, taking into ac-
count the “folding” of the NbSe₂’s FS pockets due to the fact that the three K (K’) corners of the BZ are equivalent. The graphene FS is shown in red and the spin split NbSe₂’s FS in blue and green. We use this color-convention throughout this work. A zoom closer to the graphene’s K point, Figs 6 (c), (d), clearly shows the overlap of the graphene’s FS with the NbSe₂’ FS pockets. When \( t \neq 0 \) the graphene’s and NbSe₂’s states hybridize giving rise to the reconstructed FSs shown in Fig. 6 (e), (f). Figures 6 (e), (f) show that the graphene’s FS, due to the hybridization with NbSe₂, becomes spin split.

![Graphene's and NbSe₂'s FS for different twist angles](image)

**FIG. 6:** (a) Graphene’s FS at the K point (in red) and NbSe₂’s FS (in red and green) for \( \theta = 0 \), for which graphene’s low energy states are close to NbSe₂’s K point. Because of SOC the NbSe₂ FS for spin-up, shown in blue is different from the NbSe₂’s FS for spin down, shown in green. The arrows show the vectors \( q_iK \). (b) Same as (a) but for graphene’s valley around the K’ point. (c), (d) zoom of (a), and (b), respectively. (e) FS of graphene-NbSe₂ heterostructure around graphene’s K valley for the case when a finite tunneling \( t = 20 \text{ meV} \) between graphene and NbSe₂ is present. (f) Same as (e) for graphene’s K’ valley.

Figure 7 shows the results for the case when \( \theta = 2^\circ \), left column, and \( \theta = 6^\circ \), right columns. For these values of the twist angle the low energy states of graphene are still close to the low energy states of NbSe₂ located around NbSe₂’s K points. For \( \theta = 2^\circ \) the graphene’s and NbSe₂’s low energy states are still close enough (in momentum and energy) that, for \( t = 20 \text{ meV} \), the hybridization is strong enough to significantly modify the FS of the combined system, as shown in Fig 7 (c), obtained setting \( \Delta = 0 \). For \( \theta = 6^\circ \) the graphene’s and NbSe₂’s FSs are tangent at isolated points as shown in Fig. 7 (b). As a consequence, when \( t \neq 0 \) the states at the FS of graphene and NbSe₂ only hybridize around these “tangent-points”, as shown in Fig. 7 (d) obtained for \( t = 20 \text{ meV} \) and \( \Delta = 0 \).

![Graphene's and NbSe₂'s FS for different twist angles](image)

**FIG. 7:** Graphene’s and NbSe₂’s FSs for \( \theta = 2^\circ \), (a), and \( \theta = 6^\circ \) in the limit \( t = 0 \). (c) FS of graphene-NbSe₂ heterostructure for the case when \( t = 20 \text{ meV} \), and \( \theta = 2^\circ \). (d) Same as (c) for \( \theta = 6^\circ \).

We now consider the case when a superconducting gap is present in NbSe₂. We find that for \( \theta = 0 \) the FS is completely gapped but the gap is not uniform. Figure 8 (a) shows the lowest positive electron energy, \( E_c \), as a function of \( k \). The smallest value of \( E_c(k) \) corresponds to the induced superconducting gap \( \Delta_{\text{ind}} \). For \( \theta = 0 \) we find \( \Delta_{\text{ind}} = 0.05 \text{ meV} \). By calculating the smallest value of \( E_c(k) \) for each angle \( \phi_k = \arctan(k_g/k_z) \) we obtain the angular dependence of \( \Delta_{\text{ind}} \). This is shown in Fig. 8 (b) for the case when the twist angle is zero. We see that \( \Delta_{\text{ind}} \) is strongly anisotropic, with a \( C_{3v} \) symmetry, a reflection of the structure of the reconstructed FS, Fig. 6 (e), (f).

As the twist angle \( \theta \) increases \( \Delta_{\text{ind}} \) decreases becoming vanishing small for \( \theta \gtrsim 9^\circ \). Figure 8 (c) shows \( E_c(k) \) when \( \theta = 9^\circ \). From this figure we see that the location where \( E_c(k) \) is minumum appears to correspond to the original graphene’s FS for which \( |k| = k_{F,g} \). A closer inspection however reveals small oscillations as a function of \( \phi_k \), as shown in Fig. 8 (d) where \( E_c(k) \) is plotted as function of \( \phi_k \) and \( |k| \) for a small range of \( |k| \) centered at \( k_{F,g} \).

We now consider the case when the graphene’s FS touches, in the extended BZ, the NbSe₂’s FS pocket around the \( \Gamma \) point. Figure 9 shows the results when \( \theta = 20^\circ \), situation for which the overlap between the graphene’s FS and the NbSe₂’s pocket at the \( \Gamma \) point is largest. The left row show the results for the \( K \) point, the right the ones for the \( K’ \) point. Figure 9 (a), (b), show, on a fairly large scale, the configuration of the graphene’s and NbSe₂’s FSs, in the absence of any interlayer tunneling, and the corresponding \( q_i \) vectors. Figure 9 (c), (d) show a zoom, at small momenta, of Fig. 9 (a) and (b), respectively, from which we can see that the graphene’s FS and the NbSe₂’s spin-split FS inter-
distinct at several points. At these intersections the graphene’s and NbSe$_2$’s states strongly hybridize causing the FS of the system to take the form shown in Fig. 9 (e), (f), for the case when $t = 20$ meV, and $\Delta_F = 0$.

As $\theta$ moves away from $20^\circ$ the overlap of the graphene’s and NbSe$_2$’s FSs is reduced. For $\theta = 18^\circ$ the overlap is still significant, the graphene’s and NbSe$_2$’s FS still intersect, Fig. 10 (a), resulting in a significantly modified FS for the graphene-NbSe$_2$ system, Fig. 10 (c). For $\theta = 16^\circ$ the graphene’s and NbSe$_2$’s FSs merely touch, Fig. 10 (b). As a consequence the FS of the hybridized system, for $t = 20$ and $\Delta_F = 0$, is quite similar to the FS of the two isolated systems.

The superconducting gap on the NbSe$_2$’s Gamma pocket induces a gap in the graphene layer when $\theta$ is around $22^\circ$. Figure 11 (a)-(c) show the profile of $E_c(k)$ for $\theta = (20^\circ, 22^\circ, 16^\circ)$, respectively. As $\theta$ moves away from $22^\circ$ $\Delta_{ind}$ decrease. Figure 11 (d) show $E_c(k)$ as function of $\phi_k$ and $|k|$ for a small range of $|k|$ centered at $k_F,g$ for the case when $\theta = 16^\circ$ and the original FSs of graphene and NbSe$_2$ barely touch. As for the case then $\theta = 9^\circ$ we see that also for $\theta = 16^\circ$ $\Delta_{ind}$ is very small and oscillates as function of $\phi_k$ for $|k| \approx k_{F,g}$.

Using tunneling experiments it is possible to obtain the density of states, DOS, of van der Waals systems like graphene-NbSe$_2$. From the DOS it is then straightforward to extract the value of the induced superconducting gap. Figure 12 (a) shows the total DOS as a function of energy on a linear-log scale. We observe the coherence peaks corresponding to the NbSe$_2$’s superconducting gap. Below such coherence peaks the DOS remains finite, because of the graphene’s states, until the energy is equal to $\Delta_{ind}$. When the energy is equal to $\Delta_{ind}$ the DOS rapidly goes to zero given that at that energy also the graphene’s states become gapped. By analyzing the DOS at small energies we can find how it depends on the twist angle, as shown in Fig. 12 (b), and (c). Figure 12 (b) shows the low energy DOS for several values of $\theta$ close to zero, i.e., for the case when $K_g$ is close to $K_s$, and Figure 12 (b) shows it for several values of $\theta$ close to $20^\circ$, i.e., for the case when the $K_g$ is close to $\Gamma$ point of NbSe$_2$’s extended

[Note: Add q$^\circ$s. Show spin dependence.]

FIG. 8: (a) $E_c(E)$ for $\theta = 0$. (b) $\Delta_{ind}(\phi_k)$ for $\theta = 0$. (c) $E_c(k)$ for $\theta = 9^\circ$. (d) $E_c(k)$ for $\theta = 9^\circ$ and $|k|$ close to the original graphene’s Fermi wave vector $k_{F,g}$.

FIG. 9: Fermi surfaces for $\theta = 20^\circ$, situation for graphene’s FS overlaps with NbSe$_2$’s pocket $\Gamma$. Left and right panels show the results for the Dirac bands at valley $K$ and $K'$, respectively. (a), (b) FSs for $t = 0$. (c), (d) zoom of (a) and (b), respectively. (e), (f) FSs for $t = 20$ meV.

FIG. 10: (a) FSs for $\theta = 18^\circ$ and $t = 0$. (b) FSs for $\theta = 16^\circ$ and $t = 0$. (c) FSs for $\theta = 18^\circ$ and $t = 20$ meV. (d) FSs for $\theta = 16^\circ$ and $t = 20$ meV.

FIG. 11: (a)-(c) show the Fermi surfaces for several values of $\theta$ close to $20^\circ$, respectively. (c) FSs for $\theta = 16^\circ$ and $t = 0$. (d) FSs for $\theta = 16^\circ$ and $t = 20$ meV.
critical field is much larger than the Pauli paramagnetic limit. Due to the strong spin-orbit coupling in NbSe	extsubscript{2} the in plane critical field is much larger than the field corresponding to the Pauli paramagnetic limit. Due to the fact that SOC is also induced into the graphene layer via proximity effect we find that also for graphene-NbSe	extsubscript{2} heterostructures the in plane upper critical field is much larger than the Pauli paramagnetic limit.

From results like the ones showed in Figs. 12 (b), (c), we can extract the size of the induced superconducting gap and in particular its dependence on the twist angle, Fig. 13. We see that $\Delta_{\text{ind}}$ has a fairly sharp peak for $\theta = 23^\circ$ (we used a 0.5° resolution) where it reaches the value of 0.087 meV. This is due to the fact that for $\theta \approx 23^\circ$ there is a very strong overlap of the graphene’s and NbSe	extsubscript{2} Fermi surfaces. $\Delta_{\text{ind}}$ rapidly decrease as $\theta$ deviates from 23° and becomes an order of magnitude smaller when $\theta = 16^\circ$. $\Delta_{\text{ind}}(\theta)$ has a lower and broader peak for $\theta = 0$, for which $\Delta_{\text{ind}}=0.05$ meV, i.e., for the situation in which the graphene’s FS has the maximum overlap with the NbSe	extsubscript{2} $K$ pockets. As $\theta$ increases from zero $\Delta_{\text{ind}}$ smoothly decreases and becomes negligible for $\theta \approx 9^\circ$. Due to the symmetry of the system the behavior of $\Delta_{\text{ind}}(\theta)$ has a “mirror” symmetry around $\theta = 30^\circ$ and is periodic with period equal to 60°, as exemplified by Fig. 13. We notice that the range of values of $\theta$ for which $\Delta_{\text{ind}}$ is not vanishingly small is larger than what we can infer by simply looking at the overlaps of the graphene’s and NbSe	extsubscript{2}’s FSs, Fig. 2. The reason is that for finite $t$ graphene’s and NbSe	extsubscript{2}’s states that are within the energy window $|t|$ can still hybridize resulting in a nonzero $\Delta_{\text{ind}}$.

Figure 13 shows that in a graphene-NbSe	extsubscript{2} structure the superconducting gap can be strongly tuned by varying the twist angle and that, counterintuitively, the maximum induced gap is achieved for a value of $\theta$ for which the graphene’s FS overlaps with the $\Gamma$ pocket of NbSe	extsubscript{2} in the second BZ.

Due to the strong spin-orbit coupling in NbSe	extsubscript{2} the in plane critical field is much larger than the field corresponding to the Pauli paramagnetic limit. Due to the fact that SOC is also induced into the graphene layer via proximity effect we find that also for graphene-NbSe	extsubscript{2} heterostructures the in plane upper critical field is much larger than the Pauli paramagnetic limit.

This is shown in Fig. 14 in which we plot the evolution of $\Delta_{\text{ind}}$ in the presence of a Zeeman term $V_z$ both for values of $\theta$ corresponding to the case when the graphene’s FS overlaps NbSe	extsubscript{2}’s $K$ pockets (solid lines and circles), and for values of $\theta$ corresponding to the case when the graphene’s FS overlaps NbSe	extsubscript{2}’s $\Gamma$ pocket (dashed lines and squares). We see that in both cases $\Delta_{\text{ind}}$ remains finite for $V_z$ as large as 40 times the induced gap of the system at zero magnetic field. However, it is also evident that the suppression of $\Delta_{\text{ind}}$ due to the magnetic field is weaker, and almost independent of $\theta$, for the case when graphene’s FS overlaps NbSe	extsubscript{2}’s $K$ pockets. This is a consequence of the fact that in NbSe	extsubscript{2} the bands’ spin splitting due to SOC is much stronger for the $K$ pockets than for the $\Gamma$ pocket.

From Fig. 14 we notice that for $\theta = 22^\circ$ the dependence of $\Delta_{\text{ind}}$ on the Zeeman term deviates from the dependence that we find for the other values of $\theta$: $\Delta_{\text{ind}}$ suddenly decreases when $V_z \approx 15\Delta_{\text{ind}}(V_z = 0)$, and it exhibits oscillations for larger values of $V_z$. The reason is that for this value of $\theta$ there are several points in momentum space for which the induced gap is close to the minimum value and, as shown in Figs. 15 (a)-(c), as $V_z$ increases the point, $k^*$, in momentum space where the induced gap is minimum moves. This is in contrast to what happens for other value of $\theta$, for which the gap is minimum always around the same points in $k$ space, Figs. 15 (d), regardless of the value of $V_z$. This implies, for $\theta = 22^\circ$, depending on the value of $V_z$ the minimum gap
will be located at points with significantly different amount of SOC-induced spin splitting of the original FSs, and therefore different robustness against an in-plane magnetic field.

FIG. 14: Figure (a): Induced gap $\Delta_{\text{ind}}$ as a function of Zeeman field, $V_z$. The solid lines (circles) show the results for values of $\theta$ for which graphene’s FS overlaps with NbSe$_2$’s $K$ pockets. The dashed lines (squares) show the results for values of $\theta$ for which graphene’s FS overlaps with NbSe$_2$’s $\Gamma$ pocket.

IV. CONCLUSIONS

In conclusion, we have shown that, despite the large lattice mismatch between graphene’s and monolayer NbSe$_2$’s lattice constants, in graphene-NbSe$_2$ heterostructures graphene exhibit a significant proximity-induced superconducting gap for a large range of stacking configurations. This is due to the fact that NbSe$_2$ has large FS pockets that overlap with the FS of graphene for most twist angles. Using ab-initio calculations we have obtained the amount of charge transfer between graphene and NbSe$_2$ and estimated the strength of the interlayer tunneling. We have then obtained a continuum model to describe the low energy electronic structure valid in the limit of small interlayer tunneling, condition that the ab-initio results show is satisfied. The continuum model takes into account both the presence of SOC and superconducting pairing in NbSe$_2$ and the fact that, depending on the twist angle, graphene’s FS overlaps either with NbSe$_2$’s FS around the $K$ point or the $\Gamma$ point. Using this model, and the value of the parameters from ab-initio calculations, we find that, assuming conservatively the gap in NbSe$_2$ monolayer to be equal to 0.5 meV, and the graphene-NbSe$_2$ tunneling to be 20 meV, the maximum induced superconducting gap in graphene is $\sim$ 0.09 meV, obtained for a situation when the graphene FS has maximum overlap the NbSe$_2$’s FS around the $\Gamma$ point. We have shown that the superconducting gap induced into the graphene layer is very robust to external in plane magnetic fields: the superconducting gap remains finite for values of the Zeeman term more than 40 times larger then the value of the induced gap in the absence of magnetic fields. In addition, we have shown that such robustness strongly depends on the twist angle in the sense that if $\theta$ is such that the graphene’s FS overlaps with the NbSe$_2$ pockets around the $K$ point the induced gap is much more robust to an external in-plane mag-
netic field than if $\theta$ is such that the graphene’s FS overlaps with the NbSe$_2$ pocket around the $\Gamma$ pocket. This is a consequence of the fact that the spin-splitting of the NbSe$_2$ bands due to SOC is much stronger at the $\Gamma$ point than at the $\Gamma$ point.

The strong dependence on the external magnetic fields of the superconducting gap induced into the graphene layer is a reflection of the fact that graphene can be used, by simply varying the twist angle, as a momentum-selective probe of the electronic structure, and properties, of the substrate. We can therefore envision that tunneling experiments on graphene-based heterostructures could provide very useful, momentum selective, information on the gap structure of systems with more complex gap profiles.

Considering the similarities between the Fermi surface structure of monolayer NbSe$_2$ and other transition metal dichalcogenides our results are relevant also to other graphene-TMDs heterostructures. This also applies to the case in which, instead of a monolayer, a few atomic layers TMD is used. Our results suggest that in general, for a large range of stacking configurations, the graphene and TMD states, despite the large lattice mismatch, are expected to hybridize and, when the TMD is superconducting, induce a significant superconducting gap into the graphene layer. It would be interesting to study how such proximity affect can affect the ground state of twisted-bilayer graphene systems$^{44-48}$.

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