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# Weak anti-localization in quasi two-dimensional electronic states of epitaxial LuSb thin films

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Observation of large non-saturating magnetoresistance in rare-earth monpnictides has raised enormous interest in understanding the role of its electronic structure. Here, by a combination of molecular-beam epitaxy, low-temperature transport, angle-resolved photoemission spectroscopy, and hybrid density functional theory we have unveiled the bandstructure of LuSb, where electron-hole compensation is identified as a mechanism responsible for large magnetoresistance in this topologically trivial compound. In contrast to bulk single crystal analogues, quasi two-dimensional behavior is observed in our thin films for both electron and hole-like carriers, indicative of dimensional confinement of the electronic states. Introduction of defects through growth parameter tuning results in the appearance of quantum interference effects at low temperatures, which has allowed us to identify the dominant inelastic scattering processes and elucidate the role of spin-orbit coupling. Our findings open up new possibilities of band structure engineering and control of transport properties in rare-earth monpnictides via epitaxial synthesis.

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Rare-earth monpnictides are of immense technological and scientific interest due to their potential applications in terahertz sources[1–3], thermoelectrics[4], solar cells[5], plasmonics[6], and as buried epitaxial contacts[7] when incorporated in III-V semiconductor heterostructures. Recently, it has been realized that these compounds also exhibit remarkably large magnetoresistance that has been attributed to either electron-hole compensation[8–10] or the presence of topologically non-trivial surface states[11–14]. To elucidate the origins of these novel properties and for possible device applications it is thus imperative to gain an understanding of the electronic structure and scattering processes, and how they are possibly modified in thin film geometries and/or nanostructures.

Here, we present first demonstration of epitaxial synthesis of LuSb thin films using GaSb buffer layers on GaSb (001) substrates. We have observed Shubnikov de-Haas oscillations[15] from both electron and hole-like carriers in our high mobility LuSb thin films, which are found to be in excellent correspondence with angle-resolved photoemission spectroscopy (ARPES) measurements and density functional theory (DFT) calculations with the Heyd-Scuseria-Emzerhof (HSE06) hybrid functional[16, 17]. This has allowed us to experimentally map out the entire Fermi surface and determine Fermi wavevector ( $k_F$ ), effective mass ( $m^*$ ) and carrier concentration ( $n$ ) of each of the bands constituting the

Fermi surface. Observation of approximately equal concentration of electron and hole-like carriers in LuSb coupled with the absence of any topological surface state in our ARPES measurements leads us to identify electron-hole compensation as the likely mechanism for large non-saturating magnetoresistance observed in this compound. However, in contrast to bulk single crystals, quasi-two-dimensional behavior is observed for all the electronic bands in epitaxial films even with thicknesses as large as  $\approx 14$  nm. This is further corroborated by the observation of two-dimensional weak anti-localization (WAL) effects at low temperatures that also underscores the importance of spin-orbit scattering in this compound. Phase coherence length was found to be limited by electron-phonon scattering down to 2K. However, in comparison to bulk single crystals, Debye temperature ( $\Theta_D$ ) is substantially reduced in our thin films. At low temperatures, characteristic phonon wavelength is found to be larger than the film thickness, placing phonons also in the 2D limit.

Epitaxial thin films of LuSb (6.055 Å) were synthesized on GaSb (6.095 Å) substrates that are nearly perfectly lattice-matched. In addition, the surface atomic arrangement of the antimony (Sb) atoms on the (001) surface provides an excellent template for epitaxial integration of LuSb atomic layers resulting in a continuous Sb sublattice. High angle annular dark field scanning transmission electron microscopy (HAADF-STEM) image shown in Fig. 1 (a) confirms excellent quality of our thin films

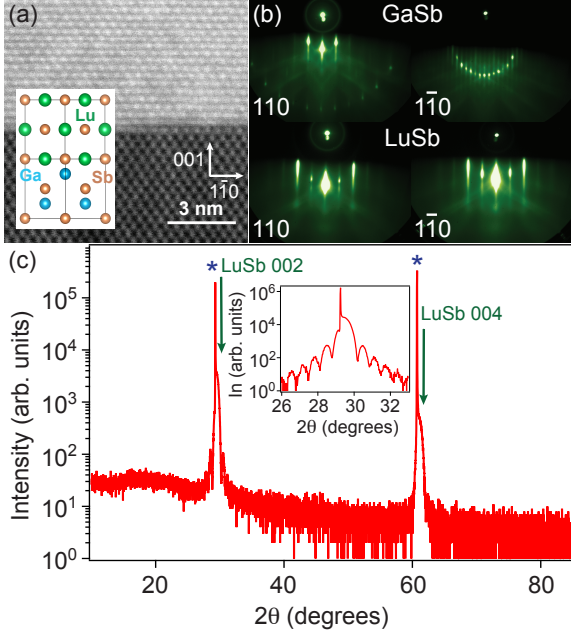


FIG. 1. Structural characterization of LuSb/GaSb (001) thin films. (a) HAADF-STEM image along the  $[110]$  zone-axis. Inset shows the schematic of a proposed atomic arrangement across GaSb-LuSb interface when viewed along the  $[110]$  direction. (b) RHEED images recorded after completion of growths of GaSb and LuSb epitaxial layers both along the  $[110]$  and  $[\bar{1}\bar{1}0]$  azimuths. (c) Out-of-plane  $\theta$ - $2\theta$  XRD scan establish that our thin film is single phase. Substrate peaks are marked by asterisks. Inset shows thickness fringes around (002) LuSb Bragg peak.

with minimal inter-diffusion at the interface. By changing the substrate temperature and/or Lu:Sb beam flux ratio during growth we are able to introduce defects in our thin films that results in the appearance of quantum interference effects at low temperatures[18]. RHEED images from such thin film samples are shown in Fig. 1 (b). Sb-rich  $c(2 \times 6)$  reconstruction is observed for GaSb buffer layers that quickly changes to a  $(1 \times 1)$  reconstruction expected from stoichiometric rock-salt LuSb atomic layers. Out-of-plane  $\theta - 2\theta$  x-ray diffraction (XRD) further confirms that these thin films are single-phase with (001) LuSb out-of-plane orientation. Smooth surfaces of our thin films results in thickness fringes from which we estimate a film thickness of 14.2 nm. All transport measurements presented in this work are from samples that exhibited quantum interference effects at low temperatures unless mentioned otherwise.

WAL effect becomes manifest in our transport measurements below 8K leading to a dramatic drop in resistivity (Fig. 2 (a)) that is readily suppressed on application of magnetic field perpendicular to the sample plane (Fig. 2 (b)). Temperature dependence of resistivity follows Bloch Grüneisen functional form[19] with estimated Debye temperature of  $\Theta_D = 267$  K, which is about one-half in magnitude to what has been found in single

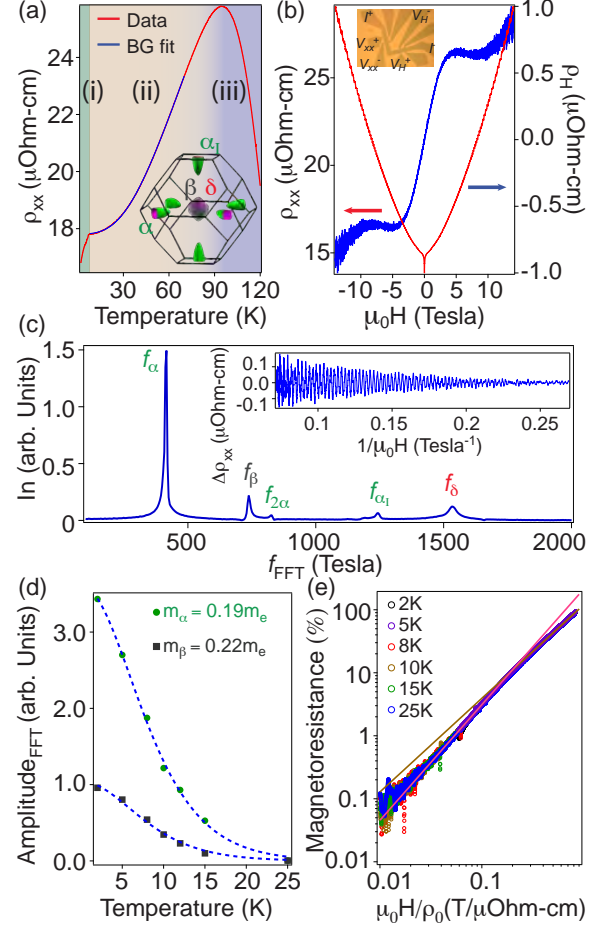


FIG. 2. Transport and Fermiology in LuSb/GaSb (001) thin films. (a) Longitudinal resistivity as a function of temperature indicating three different regimes - (i) substrate dominated (blue background) (ii) LuSb dominated (brown background) (iii) LuSb dominated, where quantum corrections become significant (green background). Blue line is a fit to the data in region (ii), between 8K and 70K, to the Bloch-Grüneisen functional form. Inset shows the calculated Fermi surface of LuSb. Current is applied along the  $[110]$  crystallographic direction. (b) Longitudinal and Hall resistivity as a function of magnetic field perpendicular to the sample plane. Optical micrograph of a hall bar device is shown in the inset. (c) Fast Fourier Transform (FFT) of the quantum oscillation reveals three distinct frequencies corresponding to  $\alpha$ ,  $\beta$  and  $\delta$  Fermi surface pockets. (d) Temperature dependence of the amplitudes of two main peaks in the FFT spectra in (c). Blue dotted lines are fits to thermal damping of the oscillations, as described in the main text. (e) Kohler's plot for magnetoresistance curves at different sample temperatures.

crystals[20], and in other Lu monpnictides[21]. Transverse magnetoresistance shows non-saturating behavior and reaches 110% at 14 Tesla field. Magnetoresistance curves taken at different temperatures follow Kohler's scaling[19]  $(\rho(B) - \rho(0))/\rho_0 = c(B/\rho_0)^m$ , shown in Fig. 2 (e), indicating single dominant scattering process. However, unlike in single crystals the value of the exponent

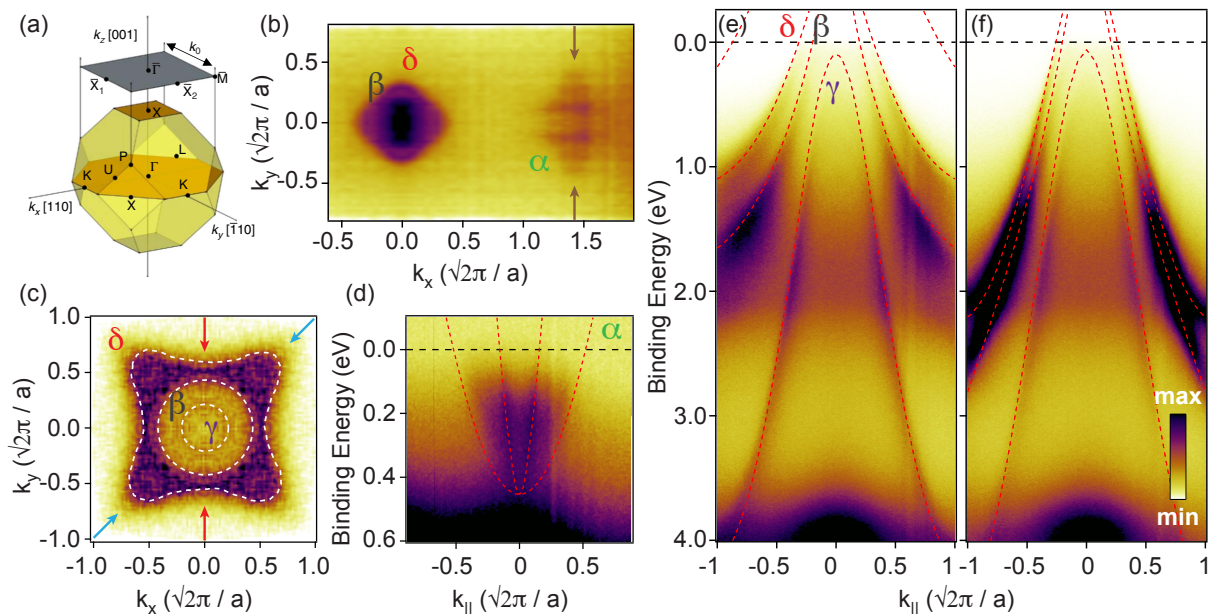


FIG. 3. ARPES spectra of LuSb/GaSb (001) thin films. (a) Bulk three-dimensional Brillouin zone of LuSb and its surface projection showing high-symmetry points. (b) Two-dimensional Fermi surface map near the bulk  $\Gamma$  point showing both hole-like( $\beta, \delta$ ) and electron-like( $\alpha$ ) Fermi surface sheets. (c) Two-dimensional map near the bulk  $\Gamma$  point at a binding energy of 0.495eV illustrating anisotropy of the  $\delta$  pocket. (d)  $E - k$  spectral map along  $\bar{\Gamma}-\bar{M}-\bar{\Gamma}$  as indicated by brown arrows in panel (b).  $E - k$  spectral maps along (e)  $\bar{M}-\bar{\Gamma}-\bar{M}$  and (f)  $\bar{X}-\bar{\Gamma}-\bar{X}$  indicated by (e) blue and (f) red arrows in (c). Red dotted lines are calculated band dispersions from DFT.

$m$  changes from 1.835 in the low field, high temperature regime to 1.486 in the high field, low temperature regime. Hall resistance shows multi-carrier behavior as is expected from a compensated semi-metal such as LuSb. Longitudinal and Hall resistivities were used to estimate mobility ( $\mu$ ) and diffusion coefficient ( $D$ ) of each of the electronic bands (see Table 1 and ref. [18])

We observe clear evidence of Shubnikov de-Haas (SdH) oscillations for fields stronger than 3.5 Tesla, which is extracted after subtracting a smooth 5<sup>th</sup> order polynomial from the magnetoresistance data, shown in the inset of Fig. 2 (b). Characteristic frequencies corresponding to an electron pocket at the zone edge ( $\alpha, \alpha_I$ ) and two hole pockets at the zone center ( $\beta, \delta$ ) are identified that matches very well with both ARPES measurements and predictions from DFT calculations, summarized in Table 2. Our results indicate that LuSb is a compensated semimetal with  $n_{holes}/n_{electrons} = 1.06$ . From thermal damping of the amplitude of SdH oscillations[15]  $R(T) = \frac{\lambda m^* T/B}{\sinh(\lambda m^* T/B)}$ , where  $\lambda = \frac{2\pi^2 k_B m_e}{eh}$ , we estimate the effective masses for the  $\alpha$  and  $\beta$  pocket to be  $0.19m_e$  and  $0.22m_e$ , respectively.

In Fig. 3, we present  $E - k$  spectral map along both  $\bar{M}-\bar{\Gamma}-\bar{M}$  and  $\bar{X}-\bar{\Gamma}-\bar{X}$  directions of the surface Brillouin zone for the hole pockets and along  $\bar{\Gamma}-\bar{M}-\bar{\Gamma}$  for the electron pocket. Effective masses are determined from parabolic fittings of the band dispersions at the Fermi level[18], which are in agreement with SdH and DFT results. Surface projection of the elliptical elec-

tron pocket allowed us to estimate effective masses along both the semi-major and semi-minor axes of the ellipse (see Table 2). We observe three hole-like bands near the  $\bar{\Gamma}$  point with the third band ( $\gamma$ ) completely below the chemical potential in agreement with our DFT calculations. We must highlight the importance of using hybrid functionals[16] in DFT calculations of rare-earth monpnictides. Use of generalized gradient approximation (GGA)[22] erroneously predicts that all three hole-like bands in LuSb cross the Fermi level, in clear disagreement with both our ARPES and quantum oscillation results[18].

Having established the Fermiology of our LuSb thin films we now turn to the magnetotransport results. Angle-dependent magnetotransport shows quasi-two-dimensional behavior for all the electronic bands in LuSb in marked contrast to its bulk single crystal analogues[20]. The angular dependence of the SdH frequencies follows a 2D Fermi surface model  $f_\theta = f_0/\cos\theta$ , where  $\theta$  is the angle between the magnetic field vector and normal to the sample plane and  $f_0$  is the SdH frequency at  $\theta = 0$ . Although a similar angle-dependent behavior is expected from the bulk elliptical  $\alpha$  band[9], an ellipticity ( $k_{semi-major} / k_{semi-minor}$ ) much greater than the measured value of  $\approx 3$  is required to satisfactorily fit the observed angular dependence. Observed onset-fields at which SdH oscillations begin to appear for different angular orientation further lends support to its quasi-2D behavior[23][18].

TABLE I. Transport parameters in LuSb

<sup>a</sup> FS	$n_{SdH}(\text{cm}^{-3})$	$n_{DFT}(\text{cm}^{-3})$	$v_F(\text{m/s})$	$\mu(\text{cm}^2/\text{Vs})$	$D(\text{m}^2/\text{s})$	$l_e(\text{nm})$	$B_e(\text{T})$
$\alpha$	$1.435 \times 10^{20}$	$1.45 \times 10^{20}$	$1.01 \times 10^6$	$5.42 \times 10^2$	0.06	59	0.047
$\beta$	$1.15 \times 10^{20}$	$1.17 \times 10^{20}$	$7.893 \times 10^5$	$4.078 \times 10^3$	0.318	403	0.001
$\delta$	$3.43 \times 10^{20}$	$2.92 \times 10^{20}$	$6.367 \times 10^5$	$2.036 \times 10^3$	0.188	295	0.002

<sup>a</sup> FS denotes Fermi surface;  $n_{SdH}$  and  $n_{DFT}$  are the carrier concentrations obtained from SdH and DFT, respectively;  $v_F$  is the Fermi velocity;  $\mu$  is the mobility;  $D$  is the diffusion constant;  $l_e$  is the elastic scattering length and  $B_e$  is the corresponding characteristic magnetic field.  $v_F$ ,  $\mu$ ,  $D$ ,  $l_e$  and  $B_e$  are calculated from data taken at 8K [18]. Average value of  $B_e$  is calculated as  $B_{e,avg} = \frac{h}{4eD_{avg}\tau_{avg}}$ , where the average is taken over all of the electronic bands in LuSb.

TABLE II. Fermi Surface of LuSb

FS <sup>a</sup>	SdH	$k_F (\text{\AA}^{-1})$	DFT	SdH	$m^*$	DFT
		ARPES			ARPES	
$\alpha$	0.11( <i>a</i> ), 0.34( <i>b</i> ) <sup>b</sup>	0.1( <i>a</i> ), 0.38( <i>b</i> )	0.11( <i>a</i> ), 0.37( <i>b</i> )	0.19	0.09( <i>a</i> ), 1.02( <i>b</i> )	0.11( <i>a</i> ), 1.16( <i>b</i> )
$\beta$	0.15	0.12( <i>l</i> ), 0.12( <i>l</i> ) <sup>c</sup>	0.15( <i>l</i> ), 0.15( <i>l</i> )	0.22	0.26( <i>l</i> ), 0.26( <i>l</i> )	0.23( <i>l</i> ), 0.21( <i>l</i> )
$\delta$	0.22	0.21( <i>l</i> ), 0.19( <i>l</i> )	0.24( <i>l</i> ), 0.19( <i>l</i> )	—	0.45( <i>l</i> ), 0.36( <i>l</i> )	0.54( <i>l</i> ), 0.31( <i>l</i> )

<sup>a</sup> FS denotes Fermi Surface

<sup>b</sup> *a* and *b* indicates directions along the semi-minor and semi-major axes of the elliptical  $\alpha$  pocket, respectively.

<sup>c</sup> *l* and *l* indicates [100] and [110] crystallographic directions, respectively.

Thin films can be treated as quasi-two-dimensional if the film thickness is smaller than the relevant length-scales. Electronic mean free paths ( $l_e$ ) for all the electronic bands are found to be greater than the film thickness (see Table 1), placing classical diffusive transport in our films in the 2D limit. WAL effects appearing in our thin films can also be considered as two-dimensional as the associated characteristic length scale is the phase coherence length ( $l_\phi$ ), which is required to be much greater than  $l_e$  for such effects to appear in the first place and hence, must also be greater than the thickness of our thin film.

Presence of quantum interference effects, such as WAL in two dimensions leads to an additional contribution to low temperature electron conductance  $\Delta G = A \ln(T/T_0)$ [24],  $T_0$  being the characteristic temperature. The prefactor A is negative for strong spin-orbit scattering ( $\tau_{SO}^{-1} \gg \tau_\phi^{-1}$ ,  $\tau_{SO}$  and  $\tau_\phi$  are the spin-orbit and dephasing time, respectively)(WAL), in agreement with our experimental observation, shown in Fig. 4 (b). Next, we utilize temperature dependence of the quantum interference effects under a perpendicular magnetic field to estimate phase coherence lengths using Hikami-Larkin-Nagaoka (HLN) theory [24] for 2-dimensional electron gas in the diffusive limit that assumes Elliot-Yafet(E-Y)[27, 28] spin-orbit scattering mechanism. The centrosymmetric rock-salt crystal structure of LuSb coupled with the lack of evidence of Rashba-split states in our ARPES data guarantees that both the Dresselhaus and the Rashba effects[29] are unimportant in LuSb precluding us from considering Dyanokov-Perel (D-P)[30] scattering mechanism as a likely origin for the observed WAL effects.

At low magnetic fields under strong spin-orbit coupling

HLN theory predicts quantum correction to conductance under perpendicular magnetic field as[24]

$$\Delta G_{\perp, WAL}(B) = \alpha N_{channel} \frac{e^2}{\pi h} \left[ \Psi\left(\frac{1}{2} + \frac{B_\phi}{B_\perp}\right) - \ln\left(\frac{B_\phi}{B_\perp}\right) \right] \quad (1)$$

where  $N_{channel}$  is the number of parallel 2D channels,  $\Psi$  is the digamma function,  $B_\phi = \frac{h}{4el_\phi^2}$  is the characteristic magnetic field corresponding to the phase coherence length  $l_\phi$  and  $\alpha = -1/2$  in the limit of strong spin-orbit scattering. We note that the magnitude of the quantum correction effects in our thin films is relatively large, which would generally be construed as arising from 3-dimensional carriers. However, evidence provided so far leads us to consider the electronic states as quasi-2-dimensional indicating the presence of a large number of quasi-2D channels in our thin films due to dimensional confinement. Good fits to the WAL data is achieved using HLN theory, as shown in Fig 5b. Phase coherence lengths, shown in Fig. 4 d) are found to be much larger than the film thickness, thus validating our initial assumption of the applicability of the HLN theory for a 2-dimensional electron gas. We find a  $T^{-n}$  dependence of the dephasing time ( $\tau_\phi$ ) with  $n = 3.47 \pm 0.38$  down to the lowest measured temperature of 2K that can be ascribed to electron-phonon scattering in a two-dimensional electronic system[31]. We estimate phonon velocity  $v_{ph} = 1.69$  km/s and the characteristic phonon wavelength  $\lambda_{ph} \approx 40.5$ nm at 2K[18], which is greater than the film thickness. Therefore, at the lowest measured temperatures phonons in our thin films should be considered as quasi-2-dimensional. Number of independent two-dimensional channels ( $N_{channel}$ ) estimated from the fits decreases exponentially with

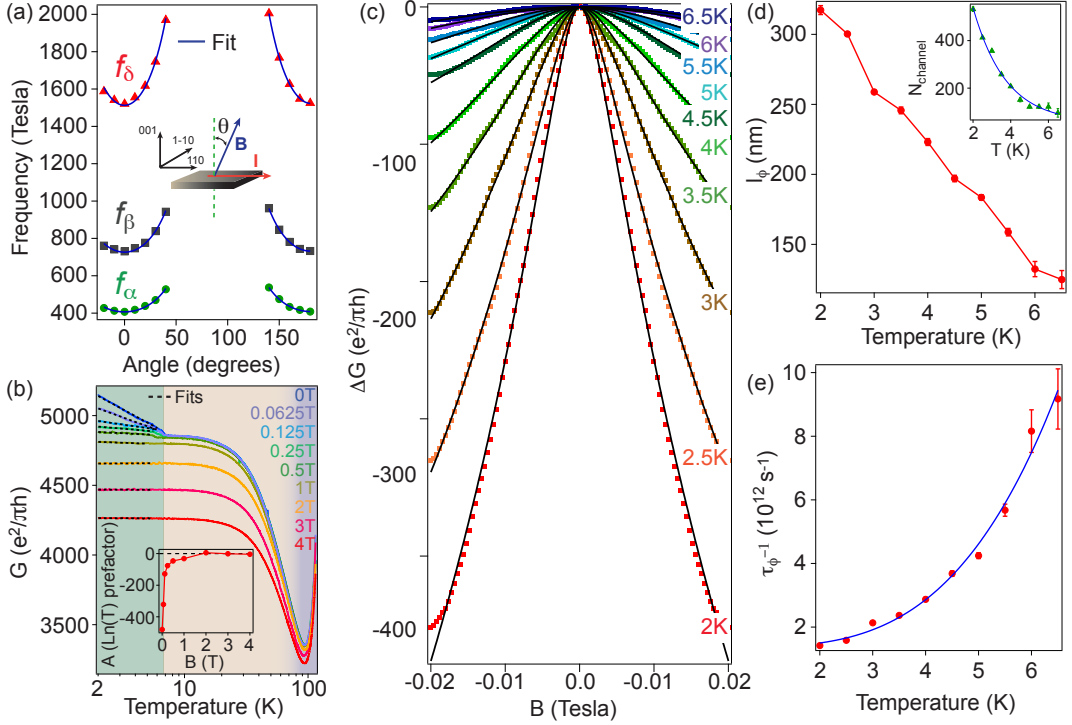


FIG. 4. Weak anti-localization and quasi-two-dimensional behavior in LuSb/GaSb (001) thin films. (a) Dependence of FFT frequencies on relative angles between the surface normal and the magnetic field direction and corresponding fits to the 2D Fermi surface model as described in the main text. The angle is defined in the inset. (b) Temperature dependence of conductance at different out-of-plane magnetic fields. Background colors indicate transport regimes as described in Fig. 2 (a). Fits to quantum corrections to the conductance are shown in dotted black lines. Inset shows the evolution of  $A$ , the coefficient of the  $\ln(T)$  term used in the fits, with magnetic field. (c) Evolution of WAL with temperature. Corresponding fits to 2D HLN theory are overlaid as black solid lines. (d) Extracted phase coherence lengths from the fits in (c). Inset shows decrease in the number of channels with increase in temperature as obtained from the same fits in (c). Blue solid line is a fit showing exponential decay in the number of channels with increasing temperature. (e) Inelastic scattering rate as a function of temperature. Blue line is a fit showing  $T^n$  dependence ( $n = 3.47 \pm 0.38$ ) of the inelastic scattering rate.

increasing temperature plausibly due to enhanced inter sub-band scattering at higher temperatures.

The quantum corrections appearing at low temperatures in our magnetotransport data are found to be sensitive to the normal component of the magnetic field vector, as shown in Fig. 5 (a-b), further underscoring the quasi-2-D nature of the electronic states in our thin films. We provide one final piece of evidence for the quasi-2-

dimensional nature by examining WAL effects that appear when the magnetic field vector is in the film plane. For an ideal 2D system no WAL induced magnetoresistance is expected in this measurement configuration. However, non-zero electron diffusion in the out-of-plane film direction always results in a finite WAL effect on application of in-plane magnetic field. 2D WAL corrections in such a configuration in the strong spin-orbit regime is given by[32–34]

$$\Delta G_{\parallel, WAL}(B) = -N_{channel} \frac{e^2}{\pi h} \left[ \frac{3}{2} \ln \left( 1 + \beta \frac{B_{\parallel}^2}{B_d B_2} \right) - \frac{1}{2} \ln \left( 1 + \beta \frac{B_{\parallel}^2}{B_d B_3} \right) \right] \quad (2)$$

where  $B_d = \frac{4\hbar}{et^2}$  ( $t$  is the film thickness) and is equal to 13.2 Tesla in our case,  $B_2 = B_\phi + \frac{4}{3}B_{SO}$ ,  $B_3 = B_\phi$ .  $B_{SO} = \frac{\hbar}{4el_{SO}^2}$  is the characteristic magnetic field corresponding to the phase coherence length  $l_{SO}$ . We have ignored spin-flip scattering in our LuSb thin films, which

is non-magnetic. The above equation is valid for magnetic field strengths less than 1.65 Tesla beyond which the characteristic magnetic length, given by  $l_B = \sqrt{\frac{\hbar}{2eB}}$ , exceeds the film thickness and transport in our thin films can no longer be considered 2-dimensional. Our data

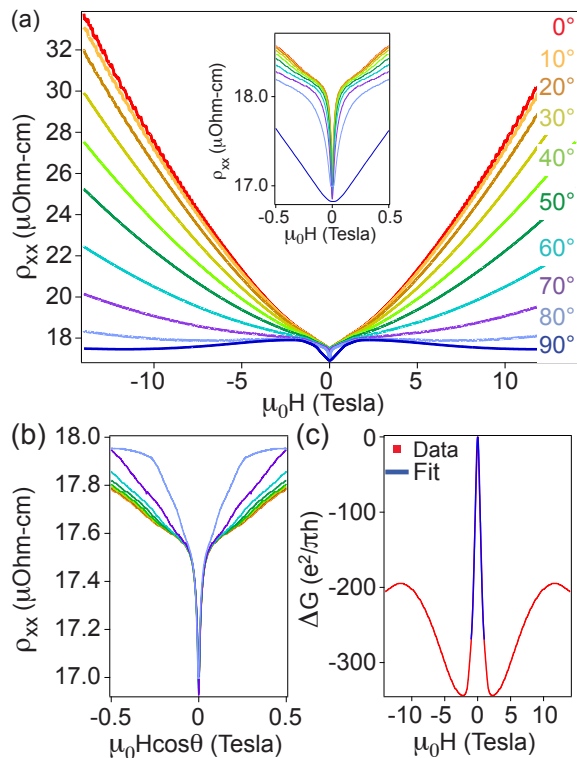


FIG. 5. Angular dependence of Weak antilocalization in LuSb/GaSb (001) thin films. (a) Longitudinal resistance as a function of angle between the surface normal and the magnetic field vector. The angle is defined in the inset of Fig. 4 (a). A zoomed-in image between  $\pm 0.5$  Tesla, showing positive magnetoresistance due to WAL is shown in the inset. (b) Same data in (a) plotted as a function of the normal component of the applied magnetic field showing that the WAL scales with the normal component of the magnetic field vector between  $\pm 0.05$  Tesla (c) Parallel field magnetoconductance. Fits to eqn. (2), as described in the text, to the low field magnetoconductance between  $\pm 1$  Tesla is shown in blue.

under parallel magnetic field is described very well by the 2D theory, as shown by the fit in Fig. 5 (c), with the same  $l_\phi$  and  $N_{channel}$  values as obtained from perpendicular field magnetoresistance, with  $B_{SO}$  and  $\beta$  as the free parameters. We obtained a spin orbit scattering length of 90.7 nm at 2K, which is much smaller than the phase coherence length of 317.4 nm and a  $\beta$  value of 0.097.  $\beta$  is expected to be equal to  $\frac{1}{3}$ , when  $t \gg l_e$ [32] and is  $\frac{1}{16}(\frac{t}{l_e})$  in the opposite limit[33]. Estimated elastic scattering length ( $\frac{t}{l_e}$ ) in our thin film is greater than that of the film thickness, which should place us in the Dugaev-Khimel'nitskii limit[33]. However, our estimated  $\beta$  value suggests an intermediate regime scenario[34], where  $\frac{1}{16}(\frac{t}{l_e}) < \beta < \frac{1}{3}$ , which is attributed to additional contribution from inter sub-band scattering due to the presence of multiple parallel 2D channels in our thin films. Magnetoconductance in our thin films becomes positive at intermediate field values beyond 2.3

Tesla, reminiscent of the observed parallel field magnetoconductance for 2-D channels in III-V semiconductors, suggestive of reduced inter sub-band scattering at higher fields[35]. It becomes negative again at a stronger field of  $\approx 11.4$  Tesla when the thin film is firmly in the 3D limit plausibly due to dominant contribution of classical magnetoresistance at high field values.

In summary, we have demonstrated our ability to synthesize high quality LuSb thin films and controllably introduce defects to access diffusive regime in transport measurements. By employing quantum oscillations, ARPES and DFT calculations we have thoroughly characterized its electronic structure that establish LuSb as a compensated semi-metal and topologically trivial. Large phase coherence lengths coupled with strong spin-orbit scattering led to the observation of weak anti-localization at low temperatures. Quasi 2-dimensionality of the electronic states, significant reduction of the Debye temperature from its bulk value and accessibility to the two-dimensional limit of the phonon spectrum offers novel opportunities to control electron-phonon coupling in epitaxial thin films. Furthermore, recent DFT calculations predict the possibility of a topological phase transition in LuSb and LuBi on application of bi-axial strain[36], which should now be accessible to experimentalists. Our work lays the foundation for further studies of controlled tunability of the electronic properties via epitaxial strain, dimensional confinement and electrostatic gating in this technologically relevant material system for novel device applications.

## METHODS

### 1. Thin film growth

Thin films were grown by molecular-beam epitaxy (MBE) in a MOD Gen II growth chamber. A 5nm thick GaSb buffer layer was grown on low n-type doped GaSb (001) substrates (that freezes out at low temperatures, see ref. [18]) at 450°C under  $Sb_4$  overpressure after desorption of the native oxide using atomic hydrogen. This is followed by co-evaporation of Lu and Sb from calibrated effusion cells with the substrate temperature at 285°C - 380°C and Lu to Sb flux ratio ranging between 1:1 and 1:4. Samples grown at lower Lu:Sb flux ratio and/or lower substrate temperature resulted in films that did not show weak anti-localization effects. Atomic fluxes of Lu and Sb are calibrated by Rutherford backscattering spectrometry (RBS) measurements of the elemental areal density of calibration samples on Si. These measurements were used to calibrate *in-situ* beam flux measurements using an ion gauge. Sample surfaces were protected with a 10 nm thick AlOx layer using e-beam evaporation before taking them out of the UHV chamber. For ARPES measurements conductive n-type Te doped GaSb (001) substrates were used.

## 2. Low-temperature transport

Transport measurements were performed on a fabricated hall-bar device using standard a.c. lock-in technique at low temperatures with the current flowing along [110] crystallographic direction where parallel conduction from the substrate and the buffer layers can be neglected (see Fig.2 (a) and ref. [18]). Hall bars were fabricated using standard optical lithography, followed by an ion milling procedure using Argon ions. Contacts were made using 50  $\mu\text{m}$  gold wire bonded onto gold pads. Low temperature measurements were carried out in a Quantum design PPMS with base temperature of 2K and maximum magnetic field of 14T.

## 3. ARPES

Samples were transferred in a custom-built vacuum suitcase from the growth chamber at Santa Barbara to the ARPES endstation 10.0.1.2 at the Advanced Light Source in Berkeley. Pressure inside the vacuum suitcase was better than  $1 \times 10^{-10}$  Torr. Tunable synchrotron light in 20-80 eV range was used for photoemission measurement with a Scienta R4000 analyzer. The base pressure of the analysis chamber was better than  $5 \times 10^{-11}$  Torr.

## 4. HAADF-STEM

High-angle annular dark field scanning transmission electron microscopy (HAADF-STEM) was used for imaging the cross-section of the epitaxial layer. The cross-sectional lamellas for STEM were prepared using a FEI Helios Dual-beam Nanolab 650 focused Gallium ion beam (FIB). FIB etching steps down to 2KeV were used to polish down the lamella to approximately 50nm in thickness.

## 5. Computational approach

The calculations were based on density functional theory (DFT)[37, 38] and the hybrid functional of Heyd, Scuseria, and Ernzerhof (HSE06)[16, 17] as implemented in the VASP code[39, 40] The interaction between the

valence electrons and the ionic cores was described using projector augmented-wave (PAW) potentials [41, 42]. The PAW potential for Sb has five valence electrons with  $5s^25p^3$  configuration, whereas for Lu there are nine valence electrons, i.e.,  $5p^66s^25d^1$  configuration. Test calculations including the localized Lu  $4f$  orbitals in the valence showed a dispersion-less fully occupied  $4f$  bands at  $\sim 8$  eV below the Fermi level, and change the calculated carrier density by less than 5%. We used plane-wave basis set with a 300 eV kinetic energy cutoff and  $8 \times 8 \times 8$   $\Gamma$  centered mesh of k points for integrations over the Brillouin zone for the primitive cell of rock-salt crystal has two atoms located at (0,0,0) and at (0.5,0.5,0.5). SuperCell K-space Extremal Area Finder (SKEAF)[43] and Wannier90[44] codes were used for the calculation of carrier density and SdH frequencies, whereas the effective mass was calculated at the Fermi level by getting the second derivative.

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