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Nondiffusive electron transport in metals interpreted by a two-temperature Boltzmann transport equation analysis of thermoreflectance experiments

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We develop a theoretical framework based on the electron-phonon coupled Boltzmann transport equations (BTEs) for the interpretation of nondiffusive thermal conductivity measurements in metals made via frequency domain thermoreflectance (FDTR). The thermal conductivity of a bulk gold crystal was measured over a temperature range of 23 K to 304 K as a function of FDTR's laser spot size. Our interpretation of these measurements by a two-temperature heat diffusion model finds that the thermal conductivity is suppressed when the laser spot size is comparable to electron mean free paths. Using a simplified spherical geometry that enables analytical solutions, we compare the two-temperature diffusion model with the coupled BTEs, to identify a thermal conductivity suppression function. We also conclude that over the timescales of our experiment electron-phonon nonequilibrium is negligible, but that the length scale of heat deposition by hot electrons critically influences our interpretation.

I. INTRODUCTION

Thermal transport in materials is commonly described by diffusive laws that assume that the energy carrier mean free paths (MFPs) are much smaller than the length scales of interest. As the length scales of interest decrease and become commensurate to the material's thermal energy carrier MFPs, diffusive laws break down. Novel, laser-based thermal conductivity (k) measurement techniques, such as broadband frequency domain thermoreflectance (BB-FDTR) [1,2], transient thermal grating (TTG) [3], and time domain thermoreflectance (TDTR) [4-6], have demonstrated this phenomenon, known as nondiffusive thermal transport, in dielectric materials, where phonons are the lone carriers of heat. In these studies, as the experimental length scales (laser spot size radius (r_0) and thermal penetration depth (L_P) in BB-FDTR and TDTR, length of the grating period (L_G) in TTG) become comparable to the MFPs of select phonons, the application of the heat diffusion equation results in apparent values of k that are suppressed from the bulk value.

Two fundamental energy carriers exist in a metal; electrons and phonons. Electrons dominate k in metals, while phonons are primarily responsible for the volumetric heat capacity (C) [7]. Thermal nonequilibrium between electrons and phonons results in the exchange of energy between the two energy carriers in a process known as electron-phonon coupling [8-11]. The length scales associated with electron-phonon coupling differ from the MFPs of electrons and phonons in a metal. Therefore, unlike in dielectrics, where a single material length scale can be compared to the experimental length scales (r_0 , L_P , or L_G), multiple material length scales must be considered in a metal.

The thermal conductivity of electrons in a metal is described by the equation

$$k_e = \int_0^\infty k_\Lambda d\Lambda_e = \frac{1}{3} C_e v_e \Lambda_e, \text{ where } k_e \text{ is the bulk electronic thermal conductivity in a}$$

metal, k_Λ is the electron contribution to thermal conductivity per unit MFP, C_e is the volumetric heat capacity of the electron, v_e is the Fermi velocity, and Λ_e is the electron MFP in a metal. In metals, a gray electron MFP is an appropriate approximation [7].

Therefore, the thermal conductivity accumulation function [12], k_{accum} , for electrons in a metal can be written as a step function with the form

$$k_{\text{accum}}(\Lambda_e^*) = \begin{cases} k_e, \Lambda_e^* \geq \Lambda_e \\ 0, \Lambda_e^* < \Lambda_e \end{cases}. \quad (1)$$

The relationship between an energy carrier's MFP and its contribution to the measured value of thermal conductivity, k_{exp} , in a FDTR experiment is defined as [13]

$$k_{\text{exp}}(r_0, L_p) = \int_0^\infty S(\Lambda, r_0, L_p) k_\Lambda d\Lambda, \quad (2)$$

where S is the thermal conductivity suppression function. Using the k_{accum} for electrons in Equation (1), k_{exp} in a metal can be written as

$$k_{\text{exp}}(r_0, L_p) = S(\Lambda_e, r_0, L_p) k_e. \quad (3)$$

To date, S has been theoretically derived based on comparisons to the diffusive thermal transport equation and the Boltzmann transport equation (BTE) for dielectric materials measured via BB-FDTR [14], TDTR [6], and TTG [15,16].

In this study, we derive S for a metal measured via FDTR based on an analytical solution to the two-temperature BTE in spherical coordinates. The timescales of electron-phonon coupling are less than 1 ps for temperatures between 10 K and 300 K [17], while the timescales of FDTR range from 0.2 μs and 10 μs for the modulation frequencies used

here (5 MHz to 100 kHz). Hence, FDTR is not typically sensitive to non-equilibria of the energy carriers under these conditions. We herein consider how electron-phonon coupling length scales and Λ of electrons and phonons relative to the r_0 , influence this assumption. To resolve these effects the BTE solution is compared with the solution to the two-temperature diffusive model to explain how nondiffusive electron transport can be interpreted as a suppressed value of k . We show that FDTR measurements of a bulk gold (Au) crystal, interpreted via the coupled heat diffusion equations with the ability to exponentially deposit heat within the Au, deviate from the bulk values of k when r_0 is comparable to Λ_e . Au was chosen for our experiments because of its weak electron-phonon coupling [18,19] and long Λ_e that enable nondiffusive behavior at experimentally attainable temperatures. By examining the BTE and diffusive equation's predicted thermal resistances at the surface of Au as a function of r_0 we also demonstrate that nondiffusive and nonequilibrium thermal transport processes can be separated and their onsets identified.

II. GEOMETRY AND BOUNDARY CONDITIONS FOR THE BTE AND HEAT DIFFUSION EQUATIONS

Thermal transport in metals is often described by a two-temperature model that separates the electrons and phonons into two effective temperatures; $T_e(r)$ for electrons and $T_p(r)$ for phonons [20]. Here, the effective temperatures are functions of position in the metal, r . The electrons and phonons exchange energy at a rate per unit volume, $q_{e-p}'''(r)$, that is proportional to the difference in effective temperatures, such that $q_{e-p}'''(r) = g[T_e(r) - T_p(r)]$, where g is a proportionality constant known as the electron-phonon coupling parameter. No heating frequency dependence on k_{exp} was observed over

the range of heating frequencies used in the FDTR experiment presented later (100 kHz to 5 MHz). At low temperatures, where nondiffusive behavior was observed, L_p varied between $\sim 93 \mu\text{m}$ and $\sim 13 \mu\text{m}$, which are greater than the values of r_0 used in the FDTR experiment. Therefore, to simplify our analysis time-independent solutions to the BTE and heat diffusion equations are presented.

To accurately model the FDTR geometry for the purposes of fitting our experimental data a solution to the heat diffusion model in the two-dimensional cylindrical coordinate system is used in Section VI. An analytical solution to the BTE in this geometry is, however, impossible so we consider simple analytical solutions in the one-dimensional spherical geometry. By using spherical symmetry, rather than a planar Cartesian system, we introduce a spatial dimension—sphere radius (r_0)—that can be likened to spot size radius, as shown in Figure 1. Regner *et al* [14] demonstrated that a spherical geometry can estimate the effects of a finite $1/e^2$ laser spot size in FDTR and found that the analytical results compared favorably with experimental data, yet it has not been rigorously proven to approximate the true geometry.

In a FDTR measurement photons from the pump laser are absorbed volumetrically with exponential temperature decay from the surface. The absorbed heat flux excites the metal's electrons, producing a temperature rise in T_e . Previous studies have shown that the excited electrons diffuse and thermalize with the phonons with exponential decay

over the length scale $L_{th} = \sqrt{\frac{k_e C_p}{g(C_p + C_e)}} \approx \sqrt{\frac{k_e}{g}}$, where L_{th} is the thermalization length

and C_p is the phonon volumetric heat capacity (in metals $C_e \ll C_p$) [8,21]. A realistic depiction of exponential spatial absorption makes an analytical solution to the BTE and

heat diffusion equation intractable. Instead, we model an isothermal region of thickness L_{th} , where T_e and T_p are distinct and spatially homogenous. Notably, the S determined in Section V is independent of the value of L_{th} chosen because identical treatment is used in both the BTE and heat diffusion equation. The rise in T_e produces a temperature difference between the electrons and phonons in the isothermal region ($r_0 - L_{\text{th}} < r < r_0$), inducing a heat flux from the electrons to the phonons. The net heat flux from the electrons to the phonons within the isothermal region is quantified by q_{e-p}^m integrated over the thickness L_{th} , which equals $gL_{\text{th}}(T_e - T_p)$.

Our solution domain extends from $r = r_0$ to $r \rightarrow \infty$. The exchange of thermal energy from the electrons to the phonons within $r_0 - L_{\text{th}} < r < r_0$ results in heating of the solution domain. The magnitude of the electron and phonon heat fluxes at $r = r_0$ are

$$q_e \Big|_{r=r_0} = q_0 - gL_{\text{th}}(T_e - T_p), \quad (4)$$

$$q_p \Big|_{r=r_0} = gL_{\text{th}}(T_e - T_p), \quad (5)$$

where q_0 is the magnitude of the absorbed laser heat flux. Notably, T_e and T_p do not represent absolute temperatures, but rather perturbations from the ambient temperature, which is assumed to equal zero for convenience, causing

$$T_e \Big|_{r \rightarrow \infty} = 0, \quad (6)$$

$$T_p \Big|_{r \rightarrow \infty} = 0. \quad (7)$$

III. ELECTRON AND PHONON TEMPERATURES

A. Two-temperature spherical heat diffusion equations

The coupled time-independent two-temperature heat diffusion equations in spherical coordinates, where temperature gradients occur only in the radial direction, are

$$0 = \frac{1}{r^2} \frac{d}{dr} \left(k_e r^2 \frac{dT_{diff,e}}{dr} \right) - g (T_{diff,e} - T_{diff,p}), \quad (8)$$

$$0 = \frac{1}{r^2} \frac{d}{dr} \left(k_p r^2 \frac{dT_{diff,p}}{dr} \right) + g (T_{diff,e} - T_{diff,p}), \quad (9)$$

where k_p is the phonon thermal conductivity in a metal. Here, $T_{diff,e}$ and $T_{diff,p}$ are the effective electron and phonon temperatures of the material that result from our solution to

the coupled heat diffusion equations. Substituting the relations $T_{diff,e} = \frac{\theta_{diff,e}}{r}$ and

$T_{diff,p} = \frac{\theta_{diff,p}}{r}$ into Equations (8) and (9) leads to

$$\frac{d^2 \theta_{diff,e}}{dr^2} = \frac{g}{k_e} \theta_{diff,e} - \frac{g}{k_e} \theta_{diff,p}, \quad (10)$$

$$\frac{d^2 \theta_{diff,p}}{dr^2} = -\frac{g}{k_p} \theta_{diff,e} + \frac{g}{k_p} \theta_{diff,p}. \quad (11)$$

The boundary conditions outlined in Equations (4) and (5) lead to

$$q_e \Big|_{r=r_0} = -k_e \frac{dT_{diff,e}}{dr} \Big|_{r=r_0} = q_0 - gL_{th} [T_{diff,e}(r_0) - T_{diff,p}(r_0)], \quad (12)$$

$$q_p \Big|_{r=r_0} = -k_p \frac{dT_{diff,p}}{dr} \Big|_{r=r_0} = gL_{th} [T_{diff,e}(r_0) - T_{diff,p}(r_0)]. \quad (13)$$

Figure 1(a) shows the spherical approximation of a metal in a FDTR experiment based on the heat diffusion equations and the corresponding boundary conditions. Solving Equations (10) and (11), the effective temperatures can be expressed as

$$T_{diff,e}(r) = \frac{q_0}{r(AF + BD)} \left[B + A(\gamma - L_e)e^{(r_0-r)/L_e} \right], \quad (14)$$

$$T_{diff,p}(r) = \frac{q_0}{r(AF + BD)} \left[B - AL_e e^{(r_0-r)/L_e} \right], \quad (15)$$

where $\gamma = \sqrt{\frac{k_e k_p + k_p^2}{g k_e}}$, $L_e = \sqrt{\frac{1}{\frac{g}{k_e} + \frac{g}{k_p}}}$, $A = \frac{k_p}{r_0^2}$, $D = \frac{k_e}{r_0^2}$, $B = \frac{1}{r_0} \left(g L_{th} \gamma + k_p \left(\frac{L_e}{r_0} + 1 \right) \right)$,

and $F = \frac{1}{r_0} \left(g L_{th} \gamma + k_e \left(\frac{\gamma - L_e}{r_0} + \frac{\gamma}{L_e} - 1 \right) \right)$.

Examining Equations (14) and (15), the onset of nonequilibrium between the electrons and phonons in a FDTR experiment can be identified. In a FDTR experiment, the temperature to heat flux is probed at the surface of the material. Assuming $k_e \gg k_p$, the difference in the electron and phonon temperatures predicted by the heat diffusion equations at the surface of the sphere, $r = r_0$, is

$$T_{diff,e}(r_0) - T_{diff,p}(r_0) \approx \frac{q_0/k_e}{\frac{L_{th}^2}{L_e^2} + \frac{1}{r_0}} \approx \frac{q_0/k_e}{\frac{\sqrt{k_e g}}{k_p} + \frac{1}{r_0}}. \quad (16)$$

When $r_0 \gg \frac{k_p}{\sqrt{g k_e}}$ the difference between $T_{diff,e}$ and $T_{diff,p}$ is independent of r_0 and small

at the surface. When $r_0 \approx \frac{k_p}{\sqrt{g k_e}}$ $T_{diff,e}$ and $T_{diff,p}$ diverge and electron-phonon

nonequilibrium increases. Therefore, the onset of electron-phonon nonequilibrium in a metal measured via FDTR under low heating frequency conditions occurs when $r_0 \approx$

L_{noneq} , where $L_{\text{noneq}} = \frac{k_p}{\sqrt{gk_e}}$. In materials where only one energy carrier exists, such as

dielectrics, $g \rightarrow \infty$ causing $L_{\text{noneq}} = 0$.

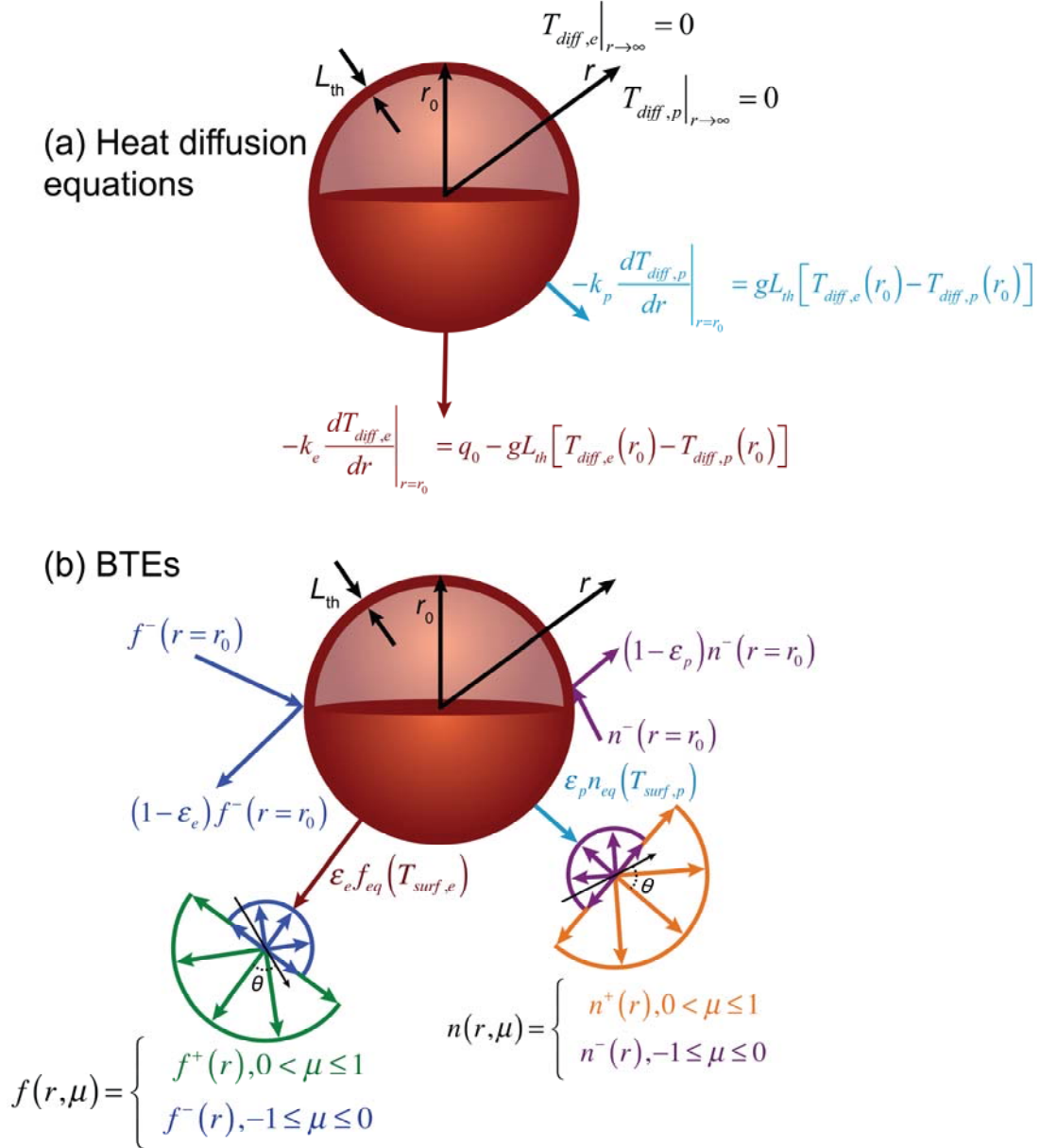


FIG. 1. Spherical approximation of a metal in a FDTR experiment. (a) Boundary conditions based on the two-temperature heat diffusion equations. (b) Boundary conditions based on the two-temperature BTEs, where the

energy carriers moving in the negative r -direction either become fully thermalized upon entering the isothermal region ($\varepsilon \approx 0$), $r_0 - L_{\text{th}} < r < r_0$, or scatter elastically at the physical boundary ($\varepsilon \approx 1$).

B. Two-temperature spherical Boltzmann transport equations

A solution to the two-temperature spherical BTE is now derived assuming the relaxation time approximation, gray approximations for electron and phonon relaxation times, and the P_1 approximation to eliminate the angular dependence of energy carriers. The 1-D time-independent BTE under the relaxation time approximation in spherical coordinates for a single gray thermal energy carrier system is [14]

$$\mu \frac{\partial w}{\partial r} + \frac{1 - \mu^2}{r} \frac{\partial w}{\partial \mu} = \frac{w_{\text{eq}} - w}{\tau v} + Q, \quad (17)$$

where w is the energy density per unit solid angle, also known as the distribution function, w_{eq} is the equilibrium distribution function, v is the velocity of the energy carrier, μ is the directional cosine that accounts for the velocity of energy carriers traveling at different angles from the r -direction, τ is the energy carrier lifetime, and Q is a source term. The distribution functions for electrons (f) and phonons (n) are defined as [22]

$$f(r, \mu) = \frac{1}{4\pi} \int (E - E_F) D_e(E) f_{\text{pop}}(r, \mu) dE, \quad (18)$$

$$n(r, \mu) = \frac{1}{4\pi} \sum_p \int \hbar \omega D_p(\omega) n_{\text{pop}}(r, \mu) d\omega, \quad (19)$$

where f_{pop} and n_{pop} are the electron and phonon occupation functions, E is the energy of an electron, E_F is the Fermi energy of the metal, ω is the frequency of a phonon, D_e is the electron density of states per unit energy, D_p is the phonon density of states per unit

frequency, and \hbar is the reduced Planck constant. In an electron-phonon coupled system the source term is $Q = \frac{g}{4\pi v} (T_e - T_p)$. Here, Q represents the energy density per unit length per unit solid angle exchanged between the electrons and phonons. Regner *et al* [14] recently solved the BTE in Equation (17) on a per mode level, where $Q = 0$, while our definitions of f and n in Equations (18) and (19) are integrated over all modes. This is because the electron-phonon coupling constant is not experimentally known on a per mode basis, while the total electron-phonon coupling constant integrated over all modes, g , is well known in select metals [23,24]. Using Equations (19) and (20), and assuming no external electric field is applied to the metal, the time-independent, electron-phonon coupled, gray, spherical BTEs under the relaxation time approximation are [22]

$$\mu \frac{\partial f}{\partial r} + \frac{1-\mu^2}{r} \frac{\partial f}{\partial \mu} = \frac{f_{eq} - f}{\tau_e v_e} - \frac{g}{4\pi v_e} (T_{BTE,e} - T_{BTE,p}), \quad (20)$$

$$\mu \frac{\partial n}{\partial r} + \frac{1-\mu^2}{r} \frac{\partial n}{\partial \mu} = \frac{n_{eq} - n}{\tau_p v_p} + \frac{g}{4\pi v_p} (T_{BTE,e} - T_{BTE,p}), \quad (21)$$

where f_{eq} and n_{eq} are the equilibrium electron and phonon distribution functions, τ_e and τ_p are the electron and phonon relaxation times, and $T_{BTE,e}$ and $T_{BTE,p}$ are the effective electron and phonon temperatures that result from our solution to the two-temperature BTEs. The equilibrium distributions, f_{eq} and n_{eq} , are defined by Equations (18) and (19), where f_{pop} and n_{pop} are the Fermi-Dirac (f_{FD}) and Bose-Einstein (n_{BE}) distributions. For small perturbations from the ambient temperature, $f_{FD} = \frac{df_{FD}}{dT_{BTE,e}} T_{BTE,e}$ and

$$n_{BE} = \frac{dn_{BE}}{dT_{BTE,p}} T_{BTE,p}, \text{ such that [15]}$$

$$T_{BTE,e} = \frac{4\pi f_{eq}}{C_e}, \quad (22)$$

$$T_{BTE,p} = \frac{4\pi n_{eq}}{C_p}. \quad (23)$$

An analytical solution to the spherical BTE for a single energy carrier system in a gray medium was outlined by Regner *et al* [14] using the method of spherical harmonics under the P_1 approximation [25], which eliminates the μ -dependence from Equation (17). Using a similar approach to Regner *et al* [14], Equations (20) and (21) under the P_1 approximation [25] lead to a system of four first-order differential equations

$$\frac{df_1}{dr} + \frac{2}{r} f_1 + \frac{g}{v_e C_e} f_0 - \frac{g}{v_e C_p} n_0 = 0, \quad (24)$$

$$\frac{df_0}{dr} + \frac{3}{\tau_e v_e} f_1 = 0, \quad (25)$$

$$\frac{dn_1}{dr} + \frac{2}{r} n_1 + \frac{g}{v_p C_p} n_0 - \frac{g}{v_p C_e} f_0 = 0, \quad (26)$$

$$\frac{dn_0}{dr} + \frac{3}{\tau_p v_p} n_1 = 0, \quad (27)$$

where f_1 and f_0 represent the 1st and 0th electron distribution moments and n_1 and n_0 represent the 1st and 0th phonon distribution moments. The effective temperatures of the electrons and phonons within the metal are proportional to the 0th distribution moments, defined as a linear combination of energy carriers moving in the positive (+) and negative (-) directions, such that $f_0 = 2\pi(f^+ + f^-)$ and $n_0 = 2\pi(n^+ + n^-)$ [25]. The heat flux of the electrons and phonons are proportional to the 1st distribution moments, where $f_1 = \pi(f^+ - f^-)$ and $n_1 = \pi(n^+ - n^-)$ [25]. The distribution functions, f^+ , f^- , n^+ , and

n^- are hemispherically isotropic, as shown in Figure 1(b), where $f^+(r) = f(r, 0 < \mu \leq 1)$, $f^-(r) = f(r, -1 \leq \mu \leq 0)$, $n^+(r) = n(r, 0 < \mu \leq 1)$, and $n^-(r) = n(r, -1 \leq \mu \leq 0)$ [25]. The equilibrium distribution functions are defined as the average of energy carriers moving in the positive and negative directions and are therefore related to the 0th distribution moments as $f_{eq} = \frac{(f^+ + f^-)}{2} = \frac{f_0}{4\pi}$ and $n_{eq} = \frac{(n^+ + n^-)}{2} = \frac{n_0}{4\pi}$.

At $r = r_0$, which is one L_{th} from the surface, we assume that there is a black surface that emits and absorbs electrons and phonons that emerge into the bulk of the Au at the temperature of the isothermal region, $r_0 - L_{th} < r < r_0$ [26]. Since no physical or thermal boundary exists for the electrons and phonons at $r = r_0$, the emissivity of the electrons and phonons depend on whether they scatter and equilibrate within the isothermal region. This is related to the temperature dependent ratio of L_{th} to Λ_e .

Figure 2 shows previously reported temperature dependent values of L_{th} , Λ_e (gray), Λ_p (gray), and L_{noneq} based on k measurements of Au. The electron MFP based on electrical resistivity measurements of Au, $\Lambda_{e,elec} = \frac{mv_e}{\eta q^2 \rho}$, is also included in Figure 2 (m is the mass of an electron, η is the free electron density, q is the electronic charge, and ρ is the electrical resistivity). The temperature dependent k data was found in Ref. [27]. To determine Λ_p , the values of k_p found in Ref. [28] were used, but were not shown for temperatures below 60 K. Therefore, for temperatures below 60 K, the values of k_p were extrapolated using the Born-von-Karman Slack (BvKS) model [29]. Although the BvKS model does not account for electron-phonon scattering, the spherical BTE and diffusive models are not highly sensitive to increases or decreases in k_p by a factor of 3. The

temperature-dependent values of g and C_p were found in Refs. [18] and [30], respectively, while $C_e = \chi T$, where $\chi = 67.6 \text{ J m}^{-3} \text{ K}^{-2}$ (Ref. [23]). The velocities of the electrons and phonons were assumed to be temperature-independent, where $v_e = 1400 \text{ km s}^{-1}$ and $v_p = 3240 \text{ m s}^{-1}$ [31,32]. The electrical resistivity of Au was determined using Data Set 15 in Ref. [33].

It is shown in Figure 2, that for temperatures above 90 K $\Lambda_e > L_{th}$. In this temperature range the electrons scatter multiple times before they reach thermal equilibrium with the phonons. Here, thermal transport in the metal can be described by conventional parabolic heat conduction. At temperatures below 90 K, $\Lambda_e > L_{th}$. Qiu and Tien [34] also observed this for temperatures below 50 K when comparing electron relaxation times and electron-phonon thermalization times in Au, copper, and silver and explained this phenomenon as hyperbolic heat conduction. Prior data clearly indicates that under certain conditions electrons can have mean free paths longer than the length scales associated with thermal equilibration.

To simplify our analysis we make the approximation that when $L_{th} > \Lambda$, the energy carrier moving in the negative direction enters the isothermal region, scatters before or after striking the physical surface of the metal and thermalizes within the isothermal region. In this case, the effective emissivity of the artificial boundary at r_0 is equivalent to one. On the other hand, when $L_{th} < \Lambda$ (temperatures below 90 K in Au, as shown in Figure 2) the energy carrier moving in the negative direction enters and traverses the entire length of the isothermal region, scatters elastically at the surface of the material, and travels unimpeded across the isothermal layer back into the bulk region. In this case, most of the energy carriers will not thermalize within the isothermal region and exit the

region with the same energy that they entered with. This is equivalent to an energy carrier reflecting at the $r = r_0$ boundary. Therefore, the emissivity of the artificial boundary at $r = r_0$ is roughly equal to zero. Under this approximation, the equilibrium electron and phonon temperatures in the isothermal region ($T_{\text{surf},e}$ and $T_{\text{surf},p}$) are defined as [26]

$$f^+(r_0) = \varepsilon_e \frac{C_e T_{\text{surf},e}}{4\pi} + (1 - \varepsilon_e) f^-(r_0), \begin{cases} \varepsilon_e \approx 1, T > 90K \\ \varepsilon_e \approx 0, T < 90K \end{cases}, \quad (28)$$

$$n^+(r_0) = \varepsilon_p \frac{C_p T_{\text{surf},p}}{4\pi} + (1 - \varepsilon_p) n^-(r_0), \varepsilon_p \approx 1, \quad (29)$$

where ε_e and ε_p are the electron and phonon emissivities at $r = r_0$ and $T_{\text{surf},e}$ and $T_{\text{surf},p}$ are the surface temperatures relevant to FDTR. The surface temperatures can be written in terms of f_1 , f_0 , n_1 , and n_0 as

$$T_{\text{surf},e} = \frac{f_0(r_0)}{C_e} + \frac{(4 - 2\varepsilon_e) f_1(r_0)}{C_e \varepsilon_e}, \quad (30)$$

$$T_{\text{surf},p} = \frac{n_0(r_0)}{C_p} + \frac{(4 - 2\varepsilon_p) n_1(r_0)}{C_p \varepsilon_p}. \quad (31)$$

Using Equations (30) and (31) to define the electron and phonon temperatures at the surface of the material, the boundary conditions in Equations (4) and (5) become

$$q_e|_{r=r_0} = v_e f_1(r_0) = q_0 - gL_{th} (T_{\text{surf},e} - T_{\text{surf},p}), \quad (32)$$

$$q_p|_{r=r_0} = v_p n_1(r_0) = gL_{th} (T_{\text{surf},e} - T_{\text{surf},p}), \quad (33)$$

where the electron and phonon heat fluxes are equal to their respective 1st distribution moments multiplied by group velocity. Applying the boundary conditions in Equations (6), (7), (32), and (33) and solving Equations (24)-(27), the electron and phonon 0th and 1st distribution moments are

$$f_0(r) = \begin{cases} \frac{q_0}{r((H-U)(J+Z)+(M+Y)(K+W))} \left(\frac{C_e(K+W)}{C_p} + (H-U)\beta\psi \right), & r = r_0 \\ \frac{q_0}{r(HJ+MK)} \left(\frac{C_e K}{C_p} + H\beta\psi e^{(r_0-r)/\alpha\psi} \right), & r > r_0 \end{cases}, \quad (34)$$

$$n_0(r) = \begin{cases} \frac{q_0}{r((H-U)(J+Z)+(M+Y)(K+W))} ((K+W) - (H-U)\alpha\psi), & r = r_0 \\ \frac{q_0}{r(HJ+MK)} (K - H\alpha\psi e^{(r_0-r)/\alpha\psi}), & r > r_0 \end{cases}, \quad (35)$$

$$f_1(r) = \begin{cases} \frac{q_0\tau_e v_e}{3r^2((H-U)(J+Z)+(M+Y)(K+W))} \left(\frac{C_e(K+W)}{C_p} + (H-U)\beta\left(\psi + \frac{r}{\alpha}\right) \right), & r = r_0 \\ \frac{q_0\tau_e v_e}{3r^2(HJ+KM)} \left(\frac{C_e K}{C_p} + H\beta\left(\psi + \frac{r}{\alpha}\right) e^{(r_0-r)/\alpha\psi} \right), & r > r_0 \end{cases}, \quad (36)$$

$$n_1(r) = \begin{cases} \frac{q_0\tau_p v_p}{3r^2((H-U)(J+Z)+(M+Y)(K+W))} ((K+W) - (H-U)(\alpha\psi + r)), & r = r_0 \\ \frac{q_0\tau_p v_p}{3r^2(HJ+KM)} (K - H(\alpha\psi + r) e^{(r_0-r)/\alpha\psi}), & r > r_0 \end{cases}, \quad (37)$$

where $\psi = \sqrt{\frac{1}{3g(C_e\tau_e v_e^2 + C_p\tau_p v_p^2)}}$, $\beta = \sqrt{\frac{C_e C_p \tau_p^3 v_p^6}{\tau_e v_e^2}}$, $\alpha = \sqrt{C_e C_p \tau_e \tau_p v_e^2 v_p^2}$,

$$\lambda_e = \frac{\tau_e v_e (4 - 2\varepsilon_e)}{\varepsilon_e}, \quad \lambda_p = \frac{\tau_p v_p (4 - 2\varepsilon_p)}{\varepsilon_p}, \quad H = \frac{\tau_p v_p^2}{3r_0^2}, \quad U = \frac{gL_{th}}{3r_0^2 C_p} (\lambda_e - \lambda_p),$$

$$K = \frac{1}{3r_0^2} \left(\tau_p v_p^2 (\alpha\psi + r_0) + 3gL_{th}\psi r_0 \left(\frac{\beta}{C_e} + \frac{\alpha}{C_p} \right) \right), \quad W = \frac{gL_{th}}{3r_0^2} \left(\frac{\lambda_e \beta}{C_e} \left(\psi + \frac{r_0}{\alpha} \right) + \frac{\lambda_p}{C_p} (\alpha\psi + r_0) \right),$$

$$M = \frac{\tau_e v_e^2 C_e}{3r_0^2 C_p}, \quad Y = \frac{gL_{th}}{3r_0^2 C_p} (\lambda_e - \lambda_p), \quad J = \frac{1}{3r_0^2} \left(\tau_e v_e^2 \beta \left(\psi + \frac{r_0}{\alpha} \right) + 3gL_{th}\psi r_0 \left(\frac{\beta}{C_e} + \frac{\alpha}{C_p} \right) \right),$$

$$Z = \frac{gL_{th}}{3r_0^2} \left(\frac{\lambda_e \beta}{C_e} \left(\psi + \frac{r_0}{\alpha} \right) + \frac{\lambda_p}{C_p} (\alpha\psi + r_0) \right).$$

Under the gray approximation, where $k_e = \frac{1}{3}C_e v_e^2 \tau_e$ and $k_p = \frac{1}{3}C_p v_p^2 \tau_p$, the spatial decay length in the BTE is $\alpha\psi = L_e$. Therefore, both the diffusive and BTE temperatures exponentially decay over the same length, L_e , in the time-independent, gray solution.

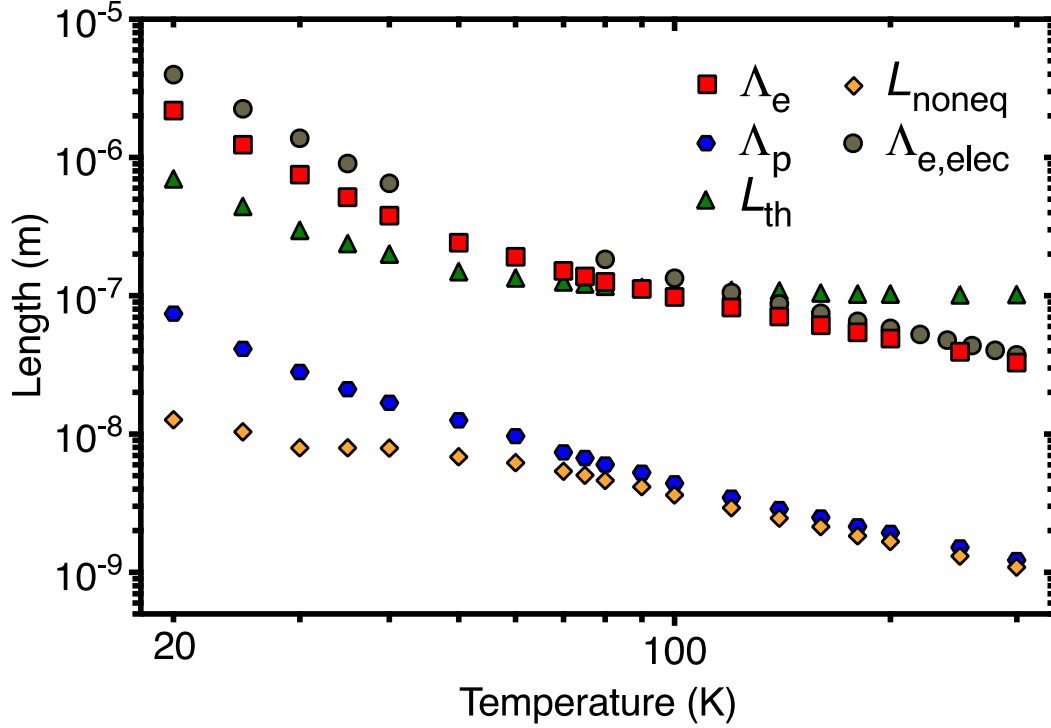


FIG. 2. L_{th} , Λ_e (gray), Λ_p (gray), L_{noneq} , and $\Lambda_{e,elec}$ in a bulk Au crystal plotted over a temperature range of 20 K to 300 K. The values of Λ_e and $\Lambda_{e,elec}$ are approximately the same over the entire temperature range. For temperatures below 90 K L_{th} is shorter than Λ_e , suggesting hyperbolic heat conduction.

IV. ELECTRON AND PHONON SURFACE THERMAL RESISTANCES AS A FUNCTION OF r_0

In a FDTR experiment k_{exp} is a function of the measured thermal resistance at the surface of a material and the predicted thermal resistance is typically based on a diffusive model. For the nondiffusive regime the measured thermal resistances at the surface of a metal more aptly are based on the BTE temperatures, where

$$R_{BTE,e} = \frac{T_{\text{surf},e}}{q_0}, \quad (38)$$

$$R_{BTE,p} = \frac{T_{\text{surf},p}}{q_0}, \quad (39)$$

while the two-temperature diffusive thermal resistances are

$$R_{\text{diff},e} = \frac{T_{\text{diff},e}(r_0)}{q_0}, \quad (40)$$

$$R_{\text{diff},p} = \frac{T_{\text{diff},p}(r_0)}{q_0}. \quad (41)$$

In Figures 3(a) and 3(b) $R_{BTE,e}$, $R_{BTE,p}$, $R_{\text{diff},e}$, and $R_{\text{diff},p}$ for a bulk Au crystal are plotted as a function of r_0 . The ambient temperatures are 300 K and 25 K in Figures 3(a) and 3(b), respectively. At 300 K, both Λ_e and Λ_p are much less than L_{th} . Therefore, $\varepsilon_e = 1$ and $\varepsilon_p = 1$ since the two energy carriers enter the isothermal layer unimpeded from the bulk region and thermalize within the layer. At 25 K, $\Lambda_e > L_{\text{th}}$ and $\Lambda_p < L_{\text{th}}$, which implies that $\varepsilon_e = 0$ and $\varepsilon_p = 1$. Since an emissivity of zero cannot be used in Equations (30) and (31), a value of $\varepsilon_e = 0.01$ was used instead. Notably, the BTE thermal resistances were unchanged when $\varepsilon_e = 10^{-2}$, $\varepsilon_e = 10^{-7}$, and $\varepsilon_e = 10^{-15}$.

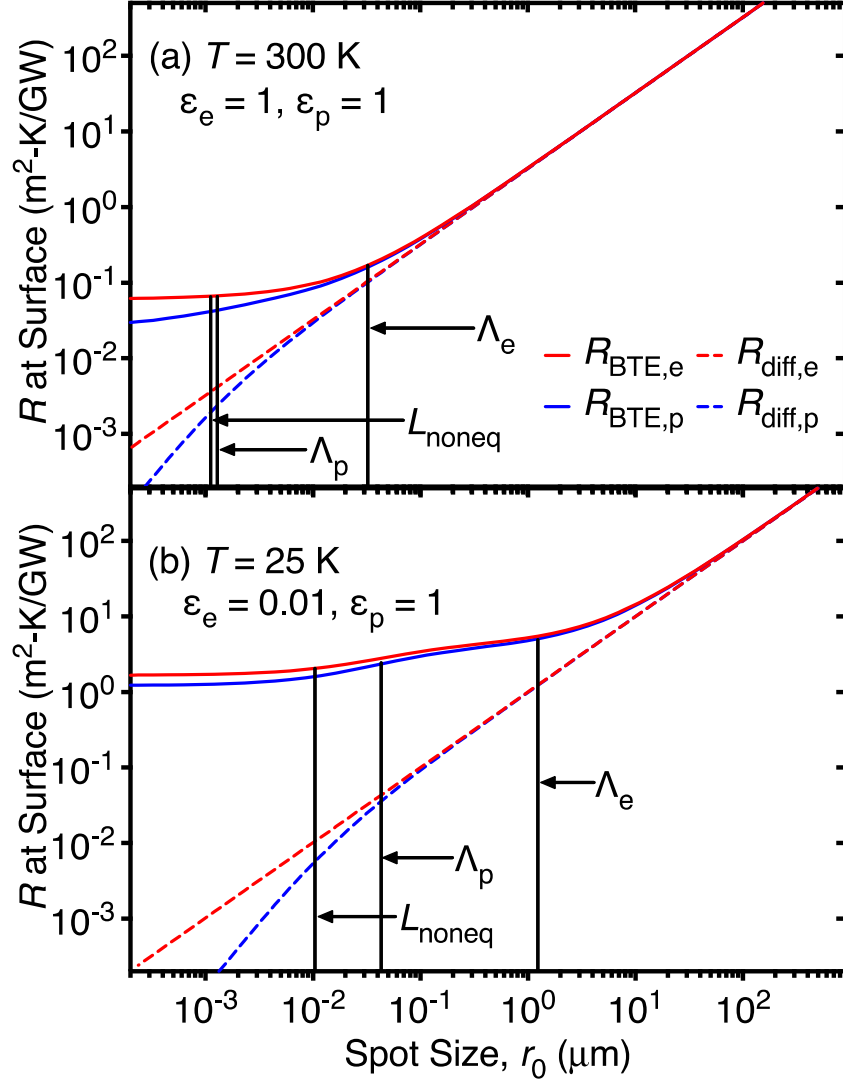


FIG. 3. Electron and phonon thermal resistances at the surface of a bulk Au crystal based on the two-temperature, spherical BTE and the two-temperature, spherical diffusive equations plotted as a function of r_0 . The material length scales, Λ_e , Λ_p , and L_{noneq} , are indicated with black vertical lines to highlight the behavior of the thermal resistance profiles as r_0 becomes commensurate to them. (a) The ambient temperature is 300 K, where $\varepsilon_e = 1$ and $\varepsilon_p = 1$. (b) The ambient temperature is 25 K, where $\varepsilon_e = 0.01$ and $\varepsilon_p = 1$.

When $r_0 \gg \Lambda_e$ and Λ_p in Figure 3, R_{BTE} and R_{diff} are equivalent. Here, electrons and phonons are in thermal equilibrium and the transport processes are purely diffusive. In this regime the thermal resistances of the electrons and phonons can be accurately described by the heat diffusion equations. As r_0 becomes commensurate to Λ_e (the longer of the two MFPs) $R_{\text{BTE},e}$ and $R_{\text{BTE},p}$ diverge from $R_{\text{diff},e}$ and $R_{\text{diff},p}$. This is because the electrons transport nondiffusively through the characteristic length scale, r_0 . The coupled heat diffusion equations assume that the energy carrier MFPs are much smaller than r_0 and cannot accurately describe the thermal transport processes in this regime. Interestingly, even though $r_0 \gg \Lambda_p$ the phonons exhibit nondiffusive transport in this regime due to the coupled behavior of the energy carriers. As the laser spot size decreases and $r_0 \approx \Lambda_p$ (the shorter of the two MFPs), the difference between the two BTE thermal resistances remains constant with decreasing r_0 . Based on Figures 3(a) and 3(b), we can identify the onset of nondiffusive thermal transport to occur when the experimental length scale, r_0 , becomes comparable to Λ_e in a metal.

The characteristic length scale that defines the onset of electron-phonon nonequilibrium in a metal is L_{noneq} . When $r_0 > L_{\text{noneq}}$ in Figures 3(a) and 3(b), the electron and phonon thermal resistances are equivalent in both the BTE and diffusive models. As r_0 becomes commensurate to L_{noneq} the electron and phonon thermal resistances separate. Therefore, when $r_0 \gg L_{\text{noneq}}$ under low heat frequency conditions, k_{exp} in a FDTR measurement will not be dependent on whether a two-temperature diffusive model or a single thermal energy carrier diffusive model is used to interpret the FDTR data. The parameters used to generate the thermal resistances as a function of r_0 in Figure 3 are shown in Table I.

Table I: Parameters used in the two-temperature diffusive and BTE models at 300 K and 25 K.

	300 K	25 K
k_e (W m ⁻¹ K ⁻¹)	307 [27]	977 [27]
k_p (W m ⁻¹ K ⁻¹)	3.3 [28]	23
C_e (MJ m ⁻³ K ⁻¹)	0.021 [23]	0.0017 [23]
C_p (MJ m ⁻³ K ⁻¹)	2.50 [30]	0.51 [30]
g ($\times 10^{16}$ W m ⁻³ K ⁻¹)	3.0 [18]	0.5 [18]
v_e ($\times 10^6$ m s ⁻¹)	1.4 [31]	1.4 [31]
v_p (m s ⁻¹)	3240 [32]	3240 [32]
L_{th} (nm)	101	442

V. THERMAL CONDUCTIVITY SUPPRESSION FUNCTION

The thermal conductivity suppression function, S , predicts the apparent k_{exp} in a FDTR experiment under nondiffusive thermal transport conditions [13]. When $R_{BTE} = R_{diff}$, the heat diffusion equation accurately predicts the thermal resistance at the surface and $k_{exp} = k_{bulk}$. Under nondiffusive conditions, $R_{BTE} > R_{diff}$ and $k_{exp} < k_{bulk}$.

The measured thermal resistance at the surface of the metal is a linear combination of $R_{BTE,e}$ and $R_{BTE,p}$ [35,36]. The thermal resistance probed at the surface can be expressed as $aR_{BTE,e} + bR_{BTE,p}$, where $a + b = 1$. Choi *et al* [19] found that $a/b = 0.02$ for Au at a laser beam wavelength of 785 nm. FDTR's probe laser beam has a wavelength of 532 nm, which is close to Au's interband transition threshold of 2.4 eV [37]. Fortunately, S varied by less than 1% between the extremes of a and b . Therefore, $a = 0$ and $b = 1$ was used to calculate S . To demonstrate that the chosen values of a and b do not change the conclusions of our work, Figure S1 in the Supplemental Materials [38] reproduces Figure 6 assuming $a = b = 0.5$.

To determine S for a metal, an effective electronic thermal conductivity, $k_{e,\text{eff}}$, was found for each r_0 under the condition that $R_{\text{BTE,p}} = R_{\text{diff,p}}$. Only k_e was varied, while k_p remained constant, because electrons are the dominant source of k in metals. The values of $k_{e,\text{eff}}$ were determined numerically because $k_{e,\text{eff}}$ could not be isolated analytically. The model presented here produces a S that is identical to Regner *et al*'s [14] for highly coupled materials ($g \rightarrow \infty$).

The thermal conductivity suppression function, $S = k_{e,\text{eff}}/k_e$, is shown in Figure 4 for Au at temperatures of 300 K and 25 K. When $r_0 \gg \Lambda_e$ the thermal transport is purely diffusive and $S = k_{e,\text{eff}}/k_e = 1$. As r_0 decreases and becomes commensurate to Λ_e , $R_{\text{BTE,p}} > R_{\text{diff,p}}$ and $S < 1$. Notably, S is independent of the value of L_{th} used to determine the isothermal region thickness, except in its influence on ϵ_e .

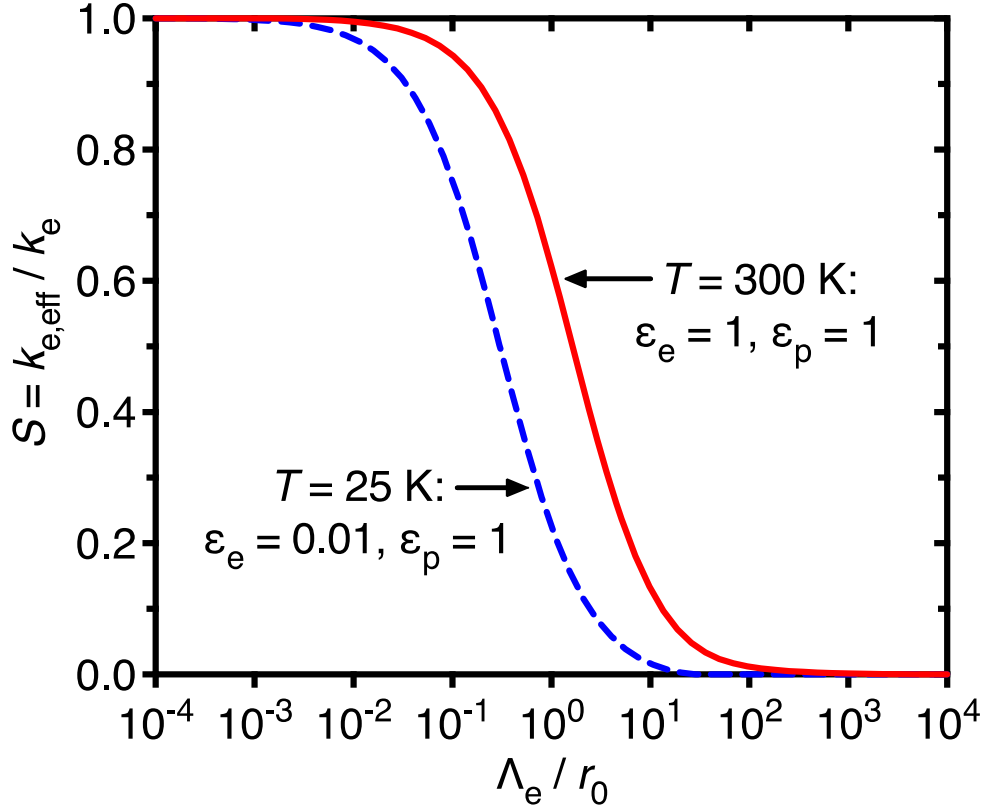


FIG. 4. S for a bulk Au crystal measured via FDTR at temperatures of 300 K and 25 K under low heating frequency conditions. When $r_0 \gg \Lambda_e$, $S = 1$ because the transport is purely diffusive. As r_0 becomes similar to Λ_e the electrons transport nondiffusively and $S < 1$. S results a broad distribution of $k_{e,\text{eff}}$ over a wide range of r_0 , despite the narrow distribution of k_{accum} for electrons in a metal.

VI. EXPERIMENTAL RESULTS

To test the theoretically derived S , k_{exp} of a bulk Au crystal (99.999% Au, 10 mm radius by 1 mm thickness, (100) orientation) was measured via FDTR at 304 K, 35 K, 28 K, and 23 K. The values of L_{th} , C_e , C_p , and g used for extracting k_{exp} are listed in Table II. **Based on the absorbed laser powers the temperature rises due to steady state laser**

heating have been accounted for in the reported temperatures (typically less than 5 K added to the base cryostat temperature). Periodic oscillations in temperature were less than 2 K for all measurements.

Table II: Values of L_{th} , C_e , C_p , and g used in the diffusive model to extract k_{exp} .

	304 K	35 K	28 K	23 K
L_{th} (nm)	101	239	343	526
C_e (MJ m ⁻³ K ⁻¹)	0.021 [23]	0.0024 [23]	0.0019 [23]	0.0016 [23]
C_p (MJ m ⁻³ K ⁻¹)	2.45 [30]	0.92 [30]	0.64 [30]	0.43 [30]
g ($\times 10^{16}$ W m ⁻³ K ⁻¹)	3.0 [19]	1.0 [18]	0.68 [18]	0.41 [18]

The FDTR phase-lag vs. heating frequency data was fit to the electron-phonon coupled heat diffusion equations in cylindrical coordinates, as outlined by Wilson *et al* [39], with the additional ability for non-surface heat deposition [40]. This two-temperature model was chosen for thoroughness, but we note that a one-temperature model with the same non-surface heat deposition length scale [41], results in identical values of k_{exp} . This result is consistent with the disparity in timescales between the electron-phonon coupling timescales (< 1 ps [17]) and the timescales (0.2 μ s and 10 μ s) of the chosen FDTR frequencies, as well as the length scales driving nonequilibrium L_{noneq} (Fig. 3), which are far smaller than the chosen values of r_0 .

In the two-temperature model, electrons absorb heat from the pump laser beam and exponentially deposit heat into the lattice over the length scale L_{th} [21,42]. To mimic exponential heat deposition in our diffusive model, the bulk Au sample was divided into 14 different layers. The first 13 layers (from the surface of the Au to a depth of $3L_{\text{th}}$) each had a thickness of $\frac{3L_{\text{th}}}{13}$ and the 14th unheated layer had a thickness of 1 mm, where no

thermal resistance existed between each layer. In the middle of the first 13 layers different percentages of the absorbed laser heat flux were deposited, as shown in Figure 5(a). The heat was deposited over $3L_{th}$ to ensure that roughly all of the heat was deposited in an exponential manner.

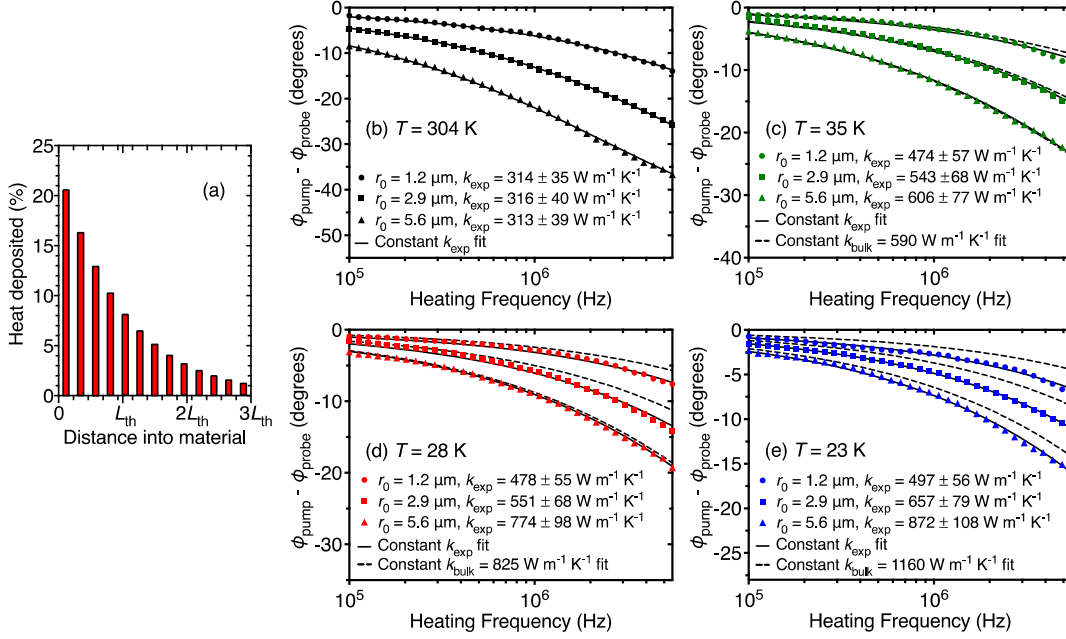


FIG. 5. (a) Percentage of the total absorbed laser heat flux deposited into the first 13 surface layers of the bulk Au crystal over the length $3L_{th}$ in the diffusive model used to fit the FDTR phase-lag vs. heating frequency data. (b)-(e) FDTR phase-lag vs. heating frequency data for a bulk Au crystal measured at (b) 304 K, (c) 35 K, (d) 28 K, and (e) 23 K. No heating frequency dependence on k_{exp} was observed at all four temperatures and no r_0 -dependence on k_{exp} was observed at 304 K.

The phonon temperature at one optical penetration depth from the surface of the Au (18 nm based on the probe laser beam's wavelength [43]) was fit to the measured FDTR

phase-lag data. One optical penetration depth was chosen, as opposed to the surface temperature, because Au's thermoreflectance signal is a result of probe laser photons that are reflected volumetrically over the optical penetration depth. The values of k_{exp} are however insensitive to the depth at which the temperature was fit between the surface and two optical penetration depths. The fitted k_{exp} represents the total thermal conductivity of the electrons and the phonons.

The FDTR phase-lag vs. heating frequency data for a bulk Au crystal measured at 304 K is shown in Figure 5(b). Using the diffusive model described above, no heating frequency or r_0 -dependence on k_{exp} was observed at 304 K. The laser spot size radii were $r_0 = 1.2 \mu\text{m}$, $2.9 \mu\text{m}$, and $5.6 \mu\text{m}$, measured using the knife-edge technique. The bulk value of thermal conductivity at 304 K ($310 \text{ W m}^{-1} \text{ K}^{-1}$ [27]) fit the phase-lag vs. heating frequency data across all three r_0 's. The bulk value of k was measured at 304 K because r_0 and L_P were much greater than the MFPs of the electrons and phonons.

To verify that the absorbed laser heat flux must be deposited exponentially over L_{th} , a sensitivity analysis of heat deposition was conducted for the FDTR data at 304 K. When the laser heat flux was deposited exponentially over L_e , Λ_e , and the optical penetration depth (δ) of the pump laser beam, k_{exp} was found to be r_0 -dependent. The k_{exp} values when the heat flux was exponentially deposited over all four length scales are shown in Table III for the three r_0 . Only L_{th} defined analytically as $\sqrt{k_e / g}$ results in $k_{\text{exp}} = k_{\text{bulk}}$ at 304 K, thus supporting its choice over these alternative length scales. Another possible interpretation of our experimental results would be to fit the measured phase-lag vs. heating frequency data assuming $k_{\text{exp}} = k_{\text{bulk}}$ to identify the length scale of heat deposition. The results of this analysis are shown in Table IV. At 304 K, L_{th} is $\sim 100 \text{ nm}$

across all three spot size radii, while L_{th} is r_0 -dependent at 35 K, 28 K, and 23 K. Still another possibility is that electron transport in the radial direction would enlarge the effective r_0 for heat deposition, but we did not explore this approach because it was inconsistent with our data at 304 K. Hence, since it is unclear why L_{th} would depend on r_0 the most reasonable interpretation of our data is to use an r_0 -dependent k_{exp} where the heat deposition length scale is $L_{\text{th}} = \sqrt{k_e / g}$.

Table III: Values of k_{exp} ($\text{W m}^{-1} \text{K}^{-1}$) at 304 K using different exponential heat deposition lengths.

Heat deposition length	$r_0 = 1.2 \mu\text{m}$	$r_0 = 2.9 \mu\text{m}$	$r_0 = 5.6 \mu\text{m}$
L_{th} (101 nm)	314	315	319
L_e (10 nm)	266	286	295
Λ_e (31 nm)	276	292	300
δ (21 nm)	271	289	298

Table IV: Values of L_{th} (nm) needed to fit FDTR data to $k_{\text{exp}} = k_{\text{bulk}}$

Temperature	$r_0 = 1.2 \mu\text{m}$	$r_0 = 2.9 \mu\text{m}$	$r_0 = 5.6 \mu\text{m}$
304 K	100	100	101
35 K	427	365	193
28 K	1066	966	498
23 K	1880	1800	1320

The FDTR phase-lag vs. heating frequency data at temperatures of 35 K, 28 K, and 23 K are shown in Figures 5(c), 5(d), and 5(e), respectively. At 35 K, $k_{\text{exp}} = k_{\text{bulk}}$ for the two larger spot sizes, $r_0 = 2.9$ and $5.6 \mu\text{m}$, while $k_{\text{exp}} = 0.8k_{\text{bulk}}$ at 35 K when $r_0 = 1.2 \mu\text{m}$.

At 28 K and 23 K, $k_{\text{exp}} < k_{\text{bulk}}$ because $\Lambda_e \sim 0.9 \mu\text{m}$ and $1.6 \mu\text{m}$, respectively, which are similar to the r_0 's used in the FDTR experiment (at 23 K, Λ_e is greater than the smallest $r_0 = 1.2 \mu\text{m}$). Therefore, the electrons exhibited nondiffusive thermal transport and a suppressed from bulk value of k was measured for all r_0 . Figures 5(c), 5(d), and 5(e) include the predicted phase-lag vs. heating frequency for k_{bulk} to demonstrate the measured phase-lag deviation from k_{bulk} .

Figure 6 shows $k_{\text{accum}}(\Lambda_e^*)$, the predicted k_{exp} based on S , and the measured k_{exp} . The predicted values of k_{exp} are based on Equation (3), where $k_{\text{exp}}(r_0) = S(\Lambda_e, r_0)k_e$. Regner *et al* [14] found that predicted values of k_{exp} , based on S , matched experimental values of k_{exp} when a r_0 three times greater than the experimental value was used in S . The authors argued that the geometric factor of three accounted for the fact that S was based on a spherical model that only approximately captured surface heating in a finite Gaussian distribution. Since a rigorously defined geometric factor that accounts for the difference in spherical vs. cylindrical heating is uncertain, we have plotted the predicted k_{exp} as a band, where a value of $3r_0$ substituted into S is the left-most dashed line and a value of r_0 substituted into S is the right-most dashed line.

The y-axis in Figure 6 is normalized by k_{bulk} for each temperature. The predicted k_{exp} and the measured k_{exp} agree favorably across all four temperatures. The size of the error bars were determined by independently varying C_e , C_p , g , L_{th} , and r_0 , shown in Table II, by $\pm 6\%$ in the heat diffusion model used to extract k_{exp} [44]. At 304 K, $k_{\text{exp}} = k_{\text{bulk}}$, because the thermal transport is purely diffusive, as predicted by S . At 35 K, the values of k_{exp} as a function of r_0 varied between 103% and 80% of k_{bulk} , while the values of k_{exp} at 28 K and 23 K varied between 94% to 58% of k_{bulk} and 75% to 43% of k_{bulk} , respectively.

Although some phonons may have MFPs greater than r_0 at 28 K and 23 K, they make only small contributions to thermal conductivity. Hence, we conclude that nondiffusive electron transport dominates suppression in k . This demonstrates that even when a gray Λ_e exists, k_{exp} can exhibit a broad distribution of values as a function of FDTR's characteristic length scales.

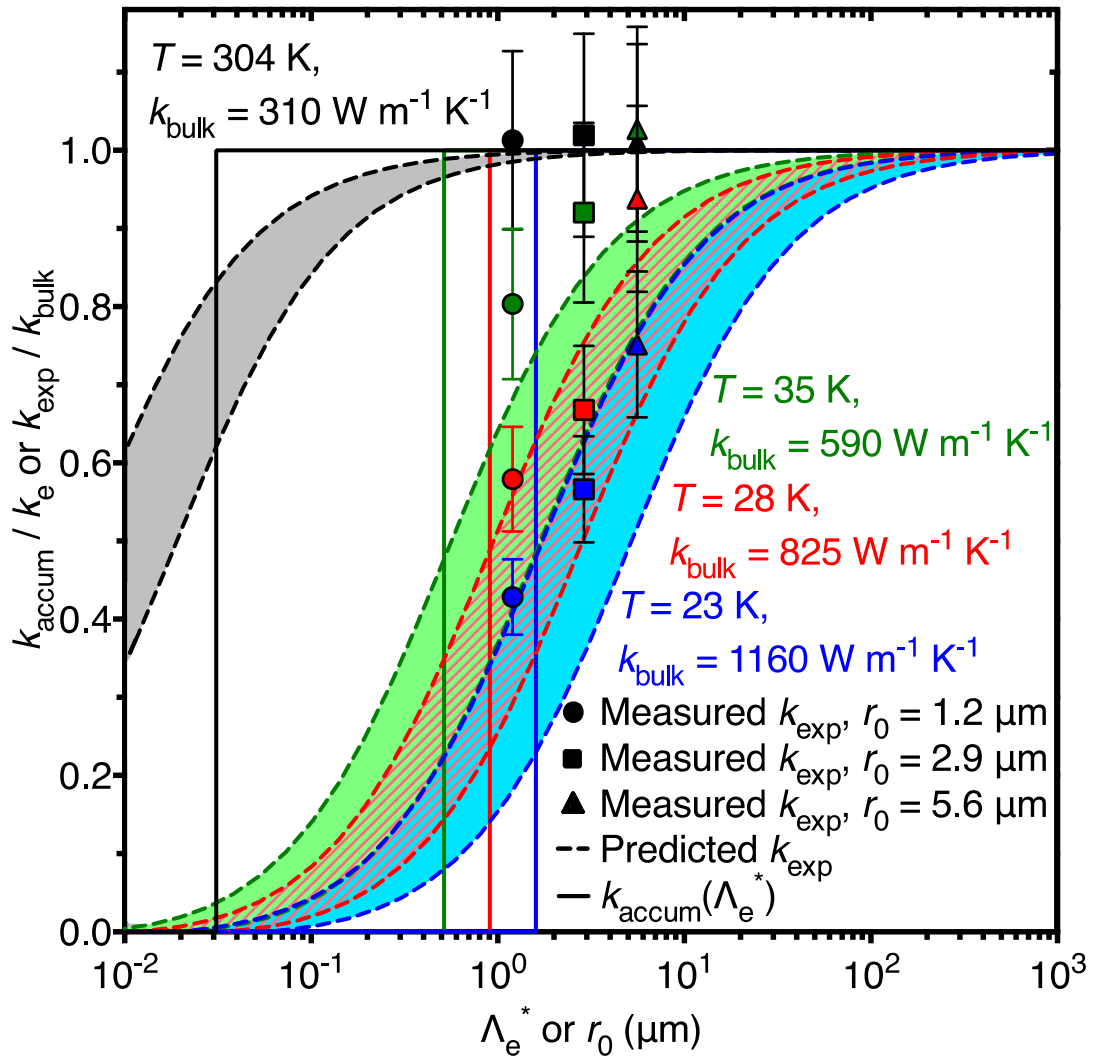


FIG. 6. Measured k_{exp} , predicted k_{exp} , and $k_{\text{accum}}(\Lambda_e^*)$ for a bulk Au crystal at 304 K, 35 K, 28 K, and 23 K. The predicted k_{exp} are plotted as bands to account for the geometric uncertainty of spherical vs. cylindrical heating. At 304 K, the bulk value of thermal conductivity was measured with all three r_0 , while k_{exp} was r_0 -dependent at 35 K, 28 K, and 23 K. The measured values of k_{exp} matched the predicted values of k_{exp} , based on S , favorably at all four temperatures.

VII. CONCLUSION

In this work we developed an analytical S for a coupled energy carrier system and demonstrated its ability to accurately predict k_{exp} as a function of r_0 in a FDTR experiment. This study provides the most extreme example of k_{exp} broadening due to S because of the gray Λ_e in metals. In nondiffusive, coupled energy carrier materials, it is essential to separate nonequilibrium transport effects from nondiffusive effects and the length scales and/or time scales associated with the two transport processes. Our theoretical model now provides a roadmap for describing nanoscale thermal transport in metals and many other types of coupled systems, such as phonon-magnon [45-47] and low frequency ballistic-high frequency diffusive phonon systems [39], where nonequilibrium and nondiffusive transport may coexist.

ACKNOWLEDGMENTS

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