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# A candidate Theory for the “Strange Metal” phase at Finite Energy Window

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We propose a lattice model for strongly interacting electrons with the potential to explain the main phenomenology of the strange metal phase in the cuprate high temperature superconductors. Our model is motivated by the recently developed “tetrahedron” rank-3 tensor model that mimics much of the physics of the better-known Sachdev-Ye-Kitaev (SYK) model. Our electron model has the following advantageous properties: 1. it only needs one orbital per site on the square lattice; 2. it does not require any quenched random interaction; 3. it has local interactions and respects all the symmetries of the system; 4. the soluble limit of this model has a longitudinal DC resistivity that scales linearly with temperature within a finite temperature window; 5. again the soluble limit of this model has a fermion pairing instability in the infrared, which can lead to either superconductivity or a “pseudogap” phase. The linear- $T$  longitudinal resistivity and the pairing instability originate from the generic scaling feature of the SYK model and the tetrahedron tensor model.

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## I. INTRODUCTION

Non-fermi liquid (NFL) state represents a family of exotic metallic states that do not have long-lived quasi-particles, and hence behave fundamentally differently from the standard Landau Fermi liquid theory<sup>1–11</sup>. The NFLs usually occur at certain quantum critical point in itinerant fermion systems, and the quantum critical fluctuations couple strongly with the fermions and hence “kill” the quasiparticles. But the most well-known (yet poorly understood) NFL, the “strange metal” phase at the optimal doping of the cuprate high temperature superconductors, seems more generic than the byproduct of a certain quantum critical point, because its anomalous temperature dependence of longitudinal DC resistivity ( $\rho \sim T$ ) persists up to a rather high temperature in the phase diagram<sup>12–16</sup>, which is presumably much higher than the ultraviolet cut-off of any possible quantum critical point in the system. However, like many other NFLs<sup>17–23</sup>, the strange metal phase is also preempted by a dome of “ordered phase” with pair condensate of fermions (high  $T_c$  superconductivity) at low temperature. Thus the strange metal phase is more fundamental than the superconductor phase itself: it is the “parent state” of the high  $T_c$  superconductor, just like the Fermi liquid is the parent state (or normal state) of conventional BCS superconductors. And we had better view this parent state as a generic non-Fermi liquid state, instead of a quantum critical behavior.

A series of toy models for NFL, despite their relatively unnatural forms, seem to capture the key universal features mentioned above. These models are the so-called Sachdev-Ye-Kitaev (SYK) model and its generalizations<sup>24–31</sup>. 1. the fermion Green’s function in these models has a completely different scaling behavior from the noninteracting fermions in the infrared limit, thus

it has no quasi-particle and by definition is a NFL. 2. it was found that the SYK model has marginally relevant “pairing instability” just like the ordinary Fermi liquid state<sup>32,33</sup>, which is again consistent with one of the universal features of the NFLs observed experimentally. 3. Recently measured charge density fluctuation of the strange metal<sup>34</sup> agrees with the unique scaling behavior of the SYK model<sup>24</sup>. 4. Last but not least, recently a generalization based on the SYK model has shown linear- $T$  resistivity for a large temperature window, and the scaling behavior of the SYK model is the key for the linear- $T$  resistivity<sup>35</sup> (similar effect can be achieved in models with large- $N$  generalization of the electron-phonon coupling<sup>36–38</sup>). All these developments suggest that some version of the SYK model and its generalizations may indeed have to do with the strange metal phase.

More often than not, an exactly soluble model has to sacrifice reality to some extent by making some artificial assumptions. To ensure its solubility, the original SYK model has the following necessary ingredients that make it unlikely to be directly related to the cuprates: 1. It needs an all-to-all four-fermion interaction, while a natural Hamiltonian for a real condensed matter system usually has local interactions only; 2. The four-fermion interaction is fully random with a Gaussian distribution, which is also far from the real system. 3. So far the NFL models constructed based on generalizations of the SYK model all have a large number of fermion states on each unit-cell of the lattice with a fully random all-to-all intra unit-cell interaction<sup>35,39–44</sup>, while the common wisdom is that the cuprate materials only have one active  $d$ -orbital on each copper site.

In this work we will construct two lattice models for strongly interacting electrons that are still motivated by the SYK physics, but are much closer to real systems.

1. Our models only need one orbital per unit-cell on the square lattice; 2. Our models have no quenched randomness; 3. Our models still capture the most desired physics of the SYK model, such as the linear- $T$  scaling of the longitudinal DC resistivity, and pairing instability in the infrared. In the soluble limit, the solution of our model is identical to the SYK model, thus our analytical results largely rely on the known solution of the SYK model in for instance Ref. 26. But we will also check our analytical predictions based on the soluble limit by exact diagonalization of the minimal and most realistic version of our model away from the soluble limit, on a finite system. The phase diagram of our proposed model for the physics near the strange metal phase including the low energy phases induced by different perturbations considered in this paper are plotted in Fig. 1.

It was shown previously for the Sachdev-Ye model, that away from the exactly soluble large- $N$  limit<sup>63</sup>, the SYK scaling still persists at finite energy scale (for example finite temperature), while instabilities due to  $1/N$  corrections emerge at low energy which are suppressed (sub)exponentially with increasing- $N$ <sup>45</sup>. Although the exactly soluble version of our models still requires some large- $N$  limit, by evaluating the next order diagrams, we argue that for finite- $N$ , the scaling behavior of the large- $N$  limit may still apply to an intermediate energy or temperature window, which is where the strange metal phase was observed in real systems.

## II. THE HAMILTONIAN

Let us first write down the most important term of the interacting electron Hamiltonian that we will study on the square lattice:

$$\begin{aligned}
 H &= \sum_j H_j, \\
 H_j &= U \hat{n}_j^2 + \sum_{\hat{e}=\hat{x},\hat{y}} J \left( \vec{S}_j \cdot \vec{S}_{j+\hat{e}} - \frac{1}{4} \hat{n}_j \hat{n}_{j+\hat{e}} \right) \\
 &\quad - K \left( \epsilon_{\alpha\beta} \epsilon_{\gamma\sigma} c_{j,\alpha}^\dagger c_{j+\hat{x}+\hat{y},\beta}^\dagger c_{j+\hat{y},\gamma} c_{j+\hat{x},\sigma} + H.c. \right) \quad (1)
 \end{aligned}$$

where  $\epsilon_{\alpha\beta}$  is an  $2 \times 2$  antisymmetric matrix in the spin space. Other terms, such as single particle hopping, will later be treated as perturbations. We will study this model with a fixed particle density both analytically and numerically.  $\hat{n}_j = \hat{n}_{j,\uparrow} + \hat{n}_{j,\downarrow}$  is the total electron number on site  $j$ ,  $\vec{S}_j = \frac{1}{2} c_j^\dagger \vec{\sigma} c_j$  is the spin operator. Besides the standard charge density and spin interactions, we also turned on a ‘‘ring exchange’’ term with coefficient  $K$ , which takes a spin singlet pair of electrons on two diagonal sites of a plaquette to the two opposite diagonal sites of the same plaquette. This Hamiltonian preserves the square lattice symmetry (because this interaction only has parity-even and spin singlet pairing between fermions), and also spin  $SU(2)$  symmetry.

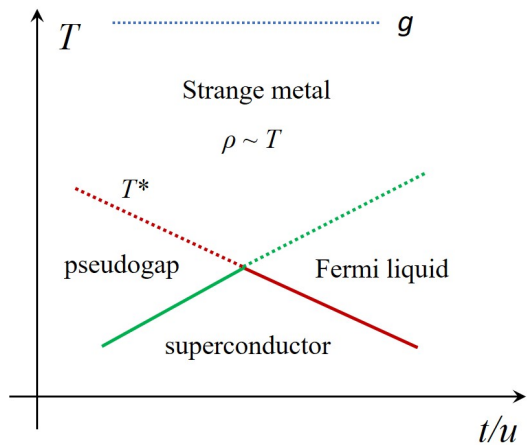


FIG. 1: The schematic phase diagram of our Hamiltonian Eq. 1 or Eq. 24 plus single particle hopping parametrized by  $t$  and nearest neighbor perturbation  $H_u$  (Eq. 19) with coefficient  $u$ . The strange metal phase is dominated by Eq. 1 or Eq. 24 only, and is characterized by the non fermi liquid behavior and an anomalous linear- $T$  scaling of the DC resistivity. The pseudogap crossover temperature scale  $T^*$  is given by Eq. 21. The exact phase boundaries need further calculations.

We will try to make connection between Eq. 1 and the SYK physics. As we explained previously, many necessary ingredients of the original SYK model are not very realistic. Instead of directly using the SYK model, our construction Eq. 1 is motivated by the randomness-free ‘‘tetrahedron’’ model (or the so-called rank-3 tensor model)<sup>29,30,46</sup>:

$$H_1^t = \frac{g}{(N_a N_b N_c)^{1/2}} c_{a_1 b_1 c_1}^\dagger c_{a_2 b_2 c_1}^\dagger c_{a_1 b_2 c_2} c_{a_2 b_1 c_2}. \quad (2)$$

$a_1, a_2 = 1 \dots N_a$ ,  $b_1, b_2 = 1 \dots N_b$ , and  $c_1, c_2 = 1 \dots N_c$ . This model has a  $U(N_a) \times U(N_b) \times O(N_c)$  symmetry. It was shown in the literature that, in the large  $N_i$  limit, the dominant contribution to the Fermion Green’s function comes from a series of ‘‘melon Feynman diagrams’’, which can be summed analytically by solving the Schwinger-Dyson equation.

To make connection to electron systems, the first step is to modify the tetrahedron model as follows:

$$\begin{aligned}
 H_2^t &= -\frac{g}{(N_a N_b N_c)^{1/2}} \\
 &\quad \times \mathcal{J}_{c_1, c_1'} \mathcal{J}_{c_2, c_2'} c_{a_1 b_1 c_1}^\dagger c_{a_2 b_2 c_1'}^\dagger c_{a_1 b_2 c_2} c_{a_2 b_1 c_2'}, \quad (3)
 \end{aligned}$$

where  $\mathcal{J}$  is the antisymmetric matrix associated with the  $Sp(N_c)$  group, and  $\mathcal{J}_{ab} c_a c_b$  forms a  $Sp(N_c)$  singlet. The total symmetry of this model is now  $U(N_a) \times U(N_b) \times Sp(N_c)$ . The solubility of this model is unchanged from Eq. 2 in the large- $N_i$  limit, and the single particle Green’s function in this limit is identical to the disorder-

averaged Green's function of the SYK model<sup>26</sup>:

$$G(\tau) = -\mathcal{B}(\theta) e^{-2\pi T \mathcal{E} \tau} \sqrt{\frac{\pi T}{2g \sin(\pi T \tau)}}, \quad (4)$$

$$G(i\omega)_{T=0} = \frac{\mathcal{B}(\theta)}{\sin\left(\frac{\pi}{4} + \theta\right)} \frac{e^{-i \operatorname{sgn}[\omega]\left(\frac{\pi}{2} + \theta\right)}}{|2g\omega|^{\frac{1}{2}}}, \quad (5)$$

where a real angle parameter  $-\frac{\pi}{4} < \theta < \frac{\pi}{4}$  and the spectral asymmetry  $\mathcal{E}$  have been introduced. Both parameters depend on the charge density, and they are related to each other by

$$e^{2\pi \mathcal{E}} = \frac{\sin\left(\frac{\pi}{4} + \theta\right)}{\sin\left(\frac{\pi}{4} - \theta\right)}. \quad (6)$$

The angle  $\theta = 0$  corresponds to the case of half-filling. By solving consistent equations with the same method as Ref. 26, the coefficient  $\mathcal{B}$  is found to be

$$\mathcal{B}(\theta) = \left(\frac{1}{\pi \cos(2\theta)}\right)^{\frac{1}{4}} \sin\left(\frac{\pi}{4} + \theta\right). \quad (7)$$

In Eq. 4, we have assumed  $0 < \tau < \beta$  in the Green's function, and the Green's function with  $-\beta < \tau < 0$  is determined by the standard relation  $G(\tau + \beta) = -G(\tau)$ .

Now we can draw connection between the modified tetrahedron model Eq. 3 and our original model Eq. 1. When  $U = K = \eta J/2$  ( $\eta = \pm 1$ ), the total Hamiltonian Eq. 1 is equivalent to the following model with  $N = 3$  and  $M = 2$ :

$$H = \sum_j \sum_{r,r'=-\frac{(N-1)}{2}}^{\frac{(N-1)}{2}} \sum_{\alpha,\beta,\gamma,\sigma=1}^M -\frac{g\eta_{r,r'}}{N\sqrt{M}} \times \mathcal{J}_{\alpha\beta} \mathcal{J}_{\gamma\sigma} c_{j_x, j_y, \alpha}^\dagger c_{j_x+r, j_y+r', \beta}^\dagger c_{j_x, j_y+r', \gamma} c_{j_x+r, j_y, \sigma}. \quad (8)$$

Just like the tetrahedron model Eq. 3, every fermion still carries three indices: the  $\operatorname{Sp}(M)$  spin, the  $x$ -coordinate, and  $y$ -coordinate. We will consider and numerically study two versions of the models with  $\eta_{r,r'} = +1$  uniformly (when  $N = 3$ ,  $M = 2$  it corresponds to  $U = K = -J/2$ ) and  $\eta_{r,r'} = (-1)^{r+r'}$  (which corresponds to  $U = K = +J/2$ ) respectively.

The minimal version of the model Eq. 8 with  $N = 3$ ,  $M = 2$ , is identical to Eq. 1, which should be analogous to the case with  $N_a = N_b = 3$  in Eq. 3. In analytical calculations, we always take the thermodynamics limit first (the sum of  $j$  is taken on a square lattice with infinite size). Then in the large- $N$  and large- $M$  limit, for both choices of  $\eta_{r,r'}$ , the fermion Green's function is still dominated by the ‘‘melon diagrams’’, and hence the Schwinger-Dyson equations, as well as their solutions, remain the same as models Eq. 2, and Eq. 3:

$$G_{j,j',\alpha,\beta}(\tau) = G(\tau) \delta_{j,j'} \delta_{\alpha,\beta}, \quad (9)$$

from which we can extract the fermion spectral function (local density of states)

$$\rho_f(\omega) = \sqrt{\frac{1}{gT}} \frac{\mathcal{B}(\theta)}{\sin\left(\frac{\pi}{4} + \theta\right)} \operatorname{Im} \left[ \frac{i e^{-i\theta} \Gamma\left(\frac{1}{4} + \frac{\beta(\omega - \omega_S)}{2\pi i}\right)}{2\pi \Gamma\left(\frac{3}{4} + \frac{\beta(\omega - \omega_S)}{2\pi i}\right)} \right] \quad (10)$$

Here  $\omega_S = 2\pi \mathcal{E} T$ . The Fermion Green's function has a form of local quantum criticality, and the scaling dimension of the fermion operator is  $\Delta[c] = 1/4$ .

We have introduced a fixed fermion density defined as

$$\mathcal{Q} = \frac{1}{M} \sum_{\alpha=1}^M \langle c_{j,\alpha}^\dagger c_{j,\alpha} \rangle. \quad (11)$$

The value of  $\mathcal{Q}$  can be varied within the range  $0 < \mathcal{Q} < 1$ . Using the same method as Ref. 26, the relation between fermion density  $\mathcal{Q}$  and the angle parameter  $\theta$  in the Green's function is found to be

$$\mathcal{Q} = \frac{1}{2} - \frac{\theta}{\pi} - \frac{\sin(2\theta)}{4}, \quad -\frac{\pi}{4} < \theta < \frac{\pi}{4}. \quad (12)$$

The fact that the Fermion Green's function Eq. 9 remains localized in space is due to the fact that the Hamiltonian Eq. 1 and Eq. 8 preserve the center-of-mass of the electrons on the square lattice. Any nonzero fermion correlation with a finite spatial separation would violate the center of mass conservation, thus the Fermion Green's function is fully localized in space. Single particle hopping will later be introduced as perturbation, which breaks center-of-mass conservation and leads to spatial correlation between fermions, and also charge transport.

For finite  $N$  and  $M$ , we need to estimate the corrections coming from the subdominant Feynman diagrams. For any diagram, if we evaluate it with the solution in the large- $N, M$  limit, it will roughly lead to a ‘‘marginal’’ correction, namely it will correct the large- $N, M$  solution with a logarithmic function of infrared cut-off, say the temperature. This is because in the large- $N, M$  soluble limit the coupling constant  $g$  becomes marginal, since the scaling dimension of the fermion operator is  $1/4$ . Subdominant Feynman diagrams of SYK like models have been carefully calculated in Ref. 47, and the result is consistent with our expectation. Thus we expect that any subdominant diagram will *at most* lead to corrections with the form  $\sim 1/N^A 1/M^B (\log(\Lambda/T))^C$ , where  $A, B$  and  $C$  are all positive numbers. This diagram will hence become significant only when

$$T \leq \Lambda \exp(-cN^{\frac{A}{c}} M^{\frac{B}{c}}), \quad (13)$$

where  $\Lambda$  is the ultraviolet cut-off of the system, which can be identified as  $g$  in our model. Thus we expect the correction to the NFL solution is suppressed rapidly with increasing  $N$  and  $M$ , hence it is possible that there is a finite energy window where the solution Eq. 9 applies. This is consistent with the expectation for the original Sachdev-Ye model away from the exactly soluble limit<sup>45</sup>. Away from the exactly soluble limit, the ground state has no finite entropy density.

### III. PROPERTIES OF THE NFL

#### A. Longitudinal Conductivity

Assuming Eq. 9 applies to a finite energy window, we can use it to compute quantities at finite temperature within such energy window. Because Eq. 1 conserves the center of mass of the electrons, it is incapable of transporting electric charge. More formally, this interaction term does not couple to the zero momentum component of the external electromagnetic field, analogous to models studied previously with center of mass conservation<sup>48,49</sup>. Thus the single particle hopping term is still responsible for charge transport. In cuprates both the nearest neighbor and second neighbor hoppings are important<sup>50</sup>. In the soluble large- $N, M$  limit, we formally generalize the electric current density to the following form:

$$J_x = \frac{1}{\sqrt{NM}} \left( \sum_{\alpha} itc_{j,\alpha}^{\dagger} c_{j+\hat{x},\alpha} + \sqrt{\frac{N-1}{2}} itc_{j,\alpha}^{\dagger} c_{j+\hat{x}\pm\hat{y},\alpha} \right) + H.c. \quad (14)$$

This electric current density can be derived by designing a corresponding single electron hopping term in the large- $N, M$  limit (which involves both nearest and second neighbor hopping), and couple it to the external electromagnetic field.

Assuming the solution in the large- $N, M$  limit Eq. 9 applies to a finite energy window of the system, then according to the Kubo formula, the central task is to calculate the retarded current-current correlation function. The imaginary-time correlation function is defined as  $C(J, J; \tau) = \langle \mathbb{T}_{\tau} J(\tau) J(0) \rangle$ . We find  $\langle J_x J_y \rangle$  correlation vanishes due to the symmetry of the model, and the leading order nonzero contribution to  $\langle J_x J_x \rangle$  takes the form  $C(J, J; \tau) = -2t^2 G(\tau) G(-\tau)$ . Then we Fourier transform  $C(J, J; \tau)$  to obtain the correlation function in the Matsubara frequency space:

$$C(J, J; i\omega_n) = 2t^2 \int_{\delta}^{\beta-\delta} d\tau e^{i\omega_n \tau} G(\tau) G(\beta - \tau), \quad (15)$$

where we have regulated the integral by introducing a small positive cut-off  $\delta$ . After removing the divergent term  $\log \delta$  (which does not contribute to the real part of the conductivity), we obtain the analytically continued correlation function

$$C(J, J; z) = -2 \frac{t^2}{g} \mathcal{B}^2 e^{-2\pi \varepsilon} \psi \left( \frac{1}{2} + \frac{\beta z}{2\pi i} \right), \quad (16)$$

where  $\psi(z) = \frac{d}{dz} \log \Gamma(z)$  is the polygamma function, and the complex frequency  $z$  satisfies  $\text{Im} z > 0$ . The function  $C(J, J; i\omega_n)$  can be obtained by setting  $z \rightarrow i\omega_n$  on the above expression, and the retarded/advanced correlation function  $C^{R/A}(J, J; \omega)$  is obtained by taking  $z \rightarrow \omega \pm i0^+$ . Finally, using the relation  $\sigma(\omega) =$

$\frac{1}{i\omega} C^R(J, J; \omega)$ , we find the real part of the optical conductivity

$$\text{Re} \sigma(\omega) = \frac{\sqrt{\pi} t^2}{4gT} \mathcal{Y}_{\sigma}(\mathcal{Q}, \omega/T), \quad (17)$$

where

$$\mathcal{Y}_{\sigma}(\mathcal{Q}, \omega/T) = \sqrt{\cos(2\theta(\mathcal{Q}))} \frac{\tanh(\omega/2T)}{\omega/2T} \quad (18)$$

is the scaling function of conductivity. From another perspective,  $\mathcal{Y}_{\sigma}$  can also be computed from the convolution of the scaling function of the fermion spectral function  $\rho_f$  in Eq. 10.

By our definition,  $\mathcal{Y}_{\sigma}$  depends on both the fermion density  $\mathcal{Q}$  and the ratio  $\omega/T$ . The  $\mathcal{Q}$ -dependence of the conductivity is contained in the coefficient  $\sqrt{\cos(2\theta)}$  in the scaling function  $\mathcal{Y}_{\sigma}(\mathcal{Q}, \omega/T)$ , and the function  $\theta(\mathcal{Q})$  can be obtained by inverting Eq. 12. The half-filling  $\theta = 0$  gives the maximum conductivity, as one would naively expect. Once we fix the ratio  $\omega/T$  (for example the DC limit with  $\omega/T = 0$ ), the longitudinal conductivity  $\sigma(\omega, T)$  is proportional to  $1/T$ , which is the most important phenomenon of the strange metal phase.

In the calculation above we have assumed that the correlation function between current operators factorizes into a product of two Fermion Green's functions. This is true in the large- $N, M$  limit using the current operator Eq. 14, and the expression Eq. 17 is exact in this limit.

We also studied the minimal and most realistic version of our model, Eq. 1, with exact diagonalization on a small  $3 \times 4$  lattice with periodic boundary condition, and a fixed particle number  $N_p = 4$ . With our numerical method, it is most convenient to compare the quantity  $F(\omega_c, T) = \int_0^{\infty} d\omega e^{-\omega/\omega_c} \omega \sigma(\omega, T)$  with the analytical result Eq. 17. We found that the case with a uniform choice  $\eta_{r,r'} = +1$  compares better with the solution in the large- $N, M$  limit. The general shape of the function  $F(\omega_c, T)$  obtained numerically is similar to the analytical expression in the large- $N, M$  limit (Fig. 2), but further numerical evidences are demanded for larger system sizes, for both choices of  $\eta_{r,r'}$ .

The value of the DC conductivity is tunable by the parameter  $t$  in the definition of the electric current (which is determined by the size of the hopping term), and the overall energy scale  $g$ . Thus the resistivity in the minimal version of our model can easily exceed the Mott-Ioffe-Regel limit, *i.e.* it can naturally become the so-called “bad metal”, which is another puzzling phenomenon observed in cuprate materials and has attracted a lot of attentions<sup>51-53</sup>.

#### B. Pairing instability and “pseudogap”

Besides hopping, we can also turn on other perturbations on Eq. 1. For example, we can turn on the following

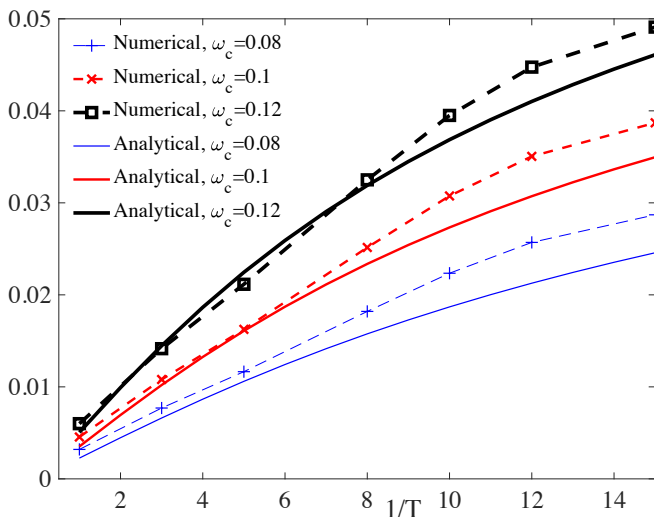


FIG. 2: The quantity  $F(\omega_c, T) = \int_0^\infty d\omega e^{-\omega/\omega_c} \omega \sigma(\omega, T)$  extracted from exact diagonalization of Eq. 8 on a  $3 \times 4$  lattice, with  $g = 1$ ,  $M = 2$ ,  $N = 3$ , and a fixed particle number  $N_p = 4$ . The solid lines are the plot of the same quantity calculated based on the scaling function Eq. 17. In the definition of electric current we have also taken  $N = 3$ ,  $M = 2$ , namely both the nearest neighbor and second neighbor hopping will contribute to conductivity. On this small system our data with a uniform  $\eta_{r,r'} = +1$  compares better with the analytical solution in the large- $N, M$  limit.

perturbation on every link of the lattice:

$$H_u = \sum_{\langle i,j \rangle} -\frac{u}{2M} \left( \Delta_{i,j}^\dagger \Delta_{i,j} + \Delta_{i,j} \Delta_{i,j}^\dagger \right). \quad (19)$$

Here  $\Delta_{i,j} = \mathcal{J}_{\alpha\beta} c_{i,\alpha} c_{j,\beta}$  is a  $\text{Sp}(M)$  singlet pairing operator on a nearest neighbor link  $\langle i, j \rangle$ . This term can be reorganized into a nearest neighbor density-density interaction and a Heisenberg interaction using the Fierz identity of the symplectic Lie algebra<sup>54</sup>.

This interaction term is marginal at the large- $N, M$  limit by power-counting, again based on the fact that the fermion operator has scaling dimension  $1/4$ , and in the large- $N, M$  limit all the renormalization from Eq. 8 to this term is contained in the renormalization of the fermion operator. In this limit, the RG equation of  $u$  can be computed through the standard loop diagram in the same way as Ref. 32, using the fermion Green's function in Eq. 5:

$$\frac{du}{d \ln l} = \frac{u^2}{\sqrt{g^2 \pi \cos(2\theta)}}. \quad (20)$$

Thus the  $u$  term is marginally relevant in this limit, and it will likely lead to the fermion pairing instability just like the BCS instability of the ordinary Fermi liquid.

$H_u$  and single particle hopping will compete with each other under RG.  $H_u$  will become nonperturbative at

scales  $T^*$ :

$$T^* \sim g \exp\left(-\sqrt{\pi \cos(2\theta)} \frac{g}{u}\right). \quad (21)$$

Assuming the single particle hopping becomes nonperturbative at scale  $E_0$  (by naive power-counting a single particle hopping is indeed relevant, and will become nonperturbative at scale  $E_0 \sim t^2/g$ ), Then obviously there are two possible scenarios: If  $E_0 > T^*$ , the hopping term will dominate the low energy physics and generate a Fermi sea. And at low energy the RG flow of  $u$  will be controlled by the standard RG equation of interactions on the Fermi sea, and again  $u$  will be marginally relevant and lead to a pairing instability<sup>55</sup>.  $H_u$  and the band structure together will likely favor a  $d$ -wave superconductor<sup>56-58</sup> on the square lattice near half-filling.

The possibility of  $T^* > E_0$ , *i.e.*  $u$  becomes nonperturbative first under renormalization while lowering energy, is even more interesting. Without single electron hopping, based on the RG equation Eq. 20 alone, one cannot determine the pairing symmetry. In fact, in this case, while lowering temperature (energy scale), before forming a superconductor with global phase coherence, the system would favor to form  $\text{Sp}(M)$  spin singlet fermion pairings on as many nearest neighbor links as possible. At half-filling, a generalization of the Rokhsar's theorem<sup>59</sup> can be straightforwardly applied to our case, and the ground states of Eq. 19 in the large- $M$  limit are all the “dimerized” configurations with one quarter of the links occupied by  $M/2$  pairs of fermions that each forms a  $\text{Sp}(M)$  singlet<sup>64</sup>. All these dimerized configurations are degenerate in the large- $M$  limit<sup>59</sup>. Weak disorder and  $1/M$  correction could energetically select certain pattern of dimerization from the extensively degenerate configurations, as was observed experimentally<sup>60</sup>. This state has a single particle excitation gap which necessarily breaks a  $\text{Sp}(M)$  singlet on one of the links, but there is no global fermion-pair phase coherence. This case could be identified as the pseudogap phase in the cuprates phase diagram above the superconducting dome.

The “pseudogap” crossover temperature  $T^*$  is given by Eq. 21, below which the system develops a nonzero expectation value of  $\langle \Delta_{ij} \rangle = \Delta$  on a maximal possible number of links, based on our physical picture given above. With a nonzero  $\Delta$ , for each pair of sites  $i$  and  $j$  coupled by the  $\text{Sp}(M)$  singlet pair, we consider the perturbation  $\frac{u}{M} \Delta^* (\mathcal{J}_{\gamma\delta} c_{i,\gamma} c_{j,\delta}) + H.c.$  to the original model Eq. 3. Let us consider two sites ( $j = 1, 2$ ) connected by a dimer. We introduce a  $2M$ -component fermion basis  $\Psi = (c_{1,\alpha}, c_{2,\alpha}^\dagger)^T$  and the  $2M \times 2M$  Green's function matrix  $\mathcal{G}(\tau) \equiv -\langle \mathbb{T}_\tau \Psi(\tau) \Psi(0)^\dagger \rangle$ . To the first order of  $\Delta$ , the Green's function in the imaginary-frequency domain is given by

$$\mathcal{G}^{-1}(i\omega_n) = \begin{bmatrix} G^{-1}(i\omega_n) & \frac{u}{M} \Delta \mathcal{J} \\ \frac{u}{M} \Delta^* \mathcal{J}^T & -G^{-1}(-i\omega_n) \end{bmatrix}, \quad (22)$$

where  $G(i\omega_n)$  is the original single fermion Green's function given by Eq. 5, Eq. 4. By inverting Eq. 22, we obtain

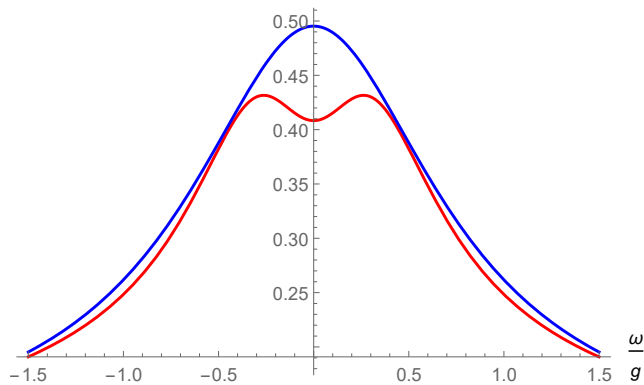


FIG. 3: The local density of states at half filling ( $\theta = 0$ ) with  $T > T^*$  and  $\langle \Delta_{ij} \rangle = 0$  (blue upper curve), and  $T < T^*$  with nonzero  $\langle \Delta_{ij} \rangle$  (red lower curve). In the former case we have chosen  $g\beta = 2$ ; in the latter case we have chosen  $g\beta = 4.5$  and  $(u\Delta)/(gM) = 0.15$  for illustration.

the final Green's function  $-\langle \mathbb{T}_\tau c_{1,\alpha}(\tau) c_{1,\beta}^\dagger(0) \rangle$ :

$$\frac{\delta_{\alpha\beta}}{G^{-1}(i\omega_n) + \frac{u^2}{M^2} |\Delta|^2 G(-i\omega_n)}. \quad (23)$$

We can analytically continue this expression to real frequency to obtain the retarded Green's function on each site, whose imaginary part can be identified as the local density of states (see Fig. 3), where a “pseudogap” is manifest. In this calculation the Green's function only depends on the amplitude of  $\langle \Delta_{ij} \rangle$ , thus even if the phase angle of  $\langle \Delta_{ij} \rangle$  is disordered the pseudogap in the local density of states is still expected to exist.

A schematic global phase diagram with the parent strange metal phase dominated by  $H_s$ , and the competition between perturbations  $H_u$  and single particle hopping parametrized by  $t$  is depicted in Fig. 1.

We must stress that all the analysis discussed in this section is based on the physics of the tetrahedron model in the soluble limit, which is identical to the disorder-averaged physics of the SYK model. No matter how exactly the SYK physics is realized in the real system, these analysis always applies. Our Eq. 1 and Eq. 8 only give one possible realization of these physics. Very similar physics can be realized in another model discussed in the following section.

#### IV. ANOTHER POSSIBLE MODEL

Another model which is slightly less natural but probably leads to very similar physics is also worth discussion. Again, the most important term (but not the only term) of the Hamiltonian reads

$$H = \sum_j H_j,$$

$$H_j = U \hat{n}_j^2 + \sum_{\hat{e}=\hat{e}_1, \hat{e}_2} J \left( \vec{S}_j \cdot \vec{S}_{j+\hat{e}} - \frac{1}{4} \hat{n}_j \hat{n}_{j+\hat{e}} \right) - K \left( \epsilon_{\alpha\beta\gamma\sigma} c_{j,\alpha}^\dagger c_{j+\hat{e}_1, \beta}^\dagger c_{j+\hat{e}_2, \gamma} c_{j+\hat{e}_1, \sigma} + H.c. \right) \quad (24)$$

where  $\hat{e}_1 = \hat{x} + \hat{y}$ , and  $\hat{e}_2 = \hat{x} - \hat{y}$ . This term has no interaction between sublattice A and B yet, and like before we will consider the single particle hoppings and interactions that mix the two sublattices as perturbations.

The advantage of this model is that, we no longer needs a large- $N$  generalization of the hopping term. The ordinary nearest neighbor hopping bridges the two sublattices, *i.e.* it bridges two “SYK-clusters”, similar to the previously studied coupled SYK cluster models<sup>61,62</sup>. The nearest neighbor hopping with coefficient  $t$  is a relevant perturbation based on the scaling dimension of the fermion operator  $\Delta[c_j] = 1/4$  in the soluble limit. The scaling dimension of  $t$  is  $\Delta[t] = 1/2$ . Thus with the perturbation of the nearest neighbor hopping, we expect the large- $N, M$  solution of the tetrahedron model to be applicable roughly to the energy window  $(t^2/g, g)$ , and within this window the longitudinal conductivity  $\sigma(\omega, T)$  takes the same form as the previous case. Other analysis like the perturbation of  $H_u$  (Eq. 19) and pairing instability remains unchanged compared with the last model we considered.

#### V. SUMMARY AND DISCUSSION

In this work we proposed two strongly interacting electron models on the square lattice, with one orbital per unit cell. And we demonstrated that in certain limit these models mimic the behavior of the “tetrahedron” tensor model, and hence can be solved. The physics in this limit is consistent with the main phenomenology of the strange metal non fermi liquid phase observed in the cuprates. We argue that away from this exactly soluble limit, there is still a finite energy window where the solution is applicable. We then checked our predictions numerically by exactly diagonalizing the minimal version of the proposed Hamiltonian (which is away from the soluble limit and hence takes a realistic form) on a small lattice. We also discussed effects of perturbations including the single particle hopping, and argued that depending on the competition between two perturbations, the system can develop either a  $d$ -wave superconductor, or a “pseudogap” phase at low temperature.

More numerical effort is demanded in the future to further analyze both our models Eq. 1, Eq. 24. Also, more predictions on thermodynamics and transport can be made below the crossover temperature  $T^*$  where the system enters the pseudogap phase driven by  $H_u$ . The exact phase boundaries in the phase diagram Fig. 1 also needs further detailed calculations. In this work we have treated single particle hopping as a perturbation on top of the SYK-like physics. A complete treatment of the interaction term Eq. 1, Eq. 24 together with a single particle hopping is demanded in the future in order to study

the momentum space structure of our theory. We will leave these open questions to future studies.

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