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# Broken mirror symmetry tuned topological transport in PbTe/SnTe heterostructures

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## Abstract

The tunability of topological surface states and the controllable opening of the Dirac gap are two keys for the study of topological crystalline insulators (TCIs). By constructing PbTe/SnTe heterostructures, we discover a giant linear magnetoresistance (GLMR) (2150% under a magnetic field of 14 T at 2 K) and strong metallic behavior that are likely induced by the Dirac fermions with high carrier mobility. By decreasing the hole density in SnTe, a much weaker metallic behavior and two-dimensional weak antilocalization exhibit in PbTe/SnTe heterostructures. This striking transport difference is attributed to the cubic-rhombohedral structural phase transition in SnTe and broken mirror symmetry effect. The revelation of lattice structural distortion and mirror symmetry breaking in PbTe/SnTe heterostructure's transport properties is very significant to the fundamental research in topological matter, magneto-electronics and spintronics.

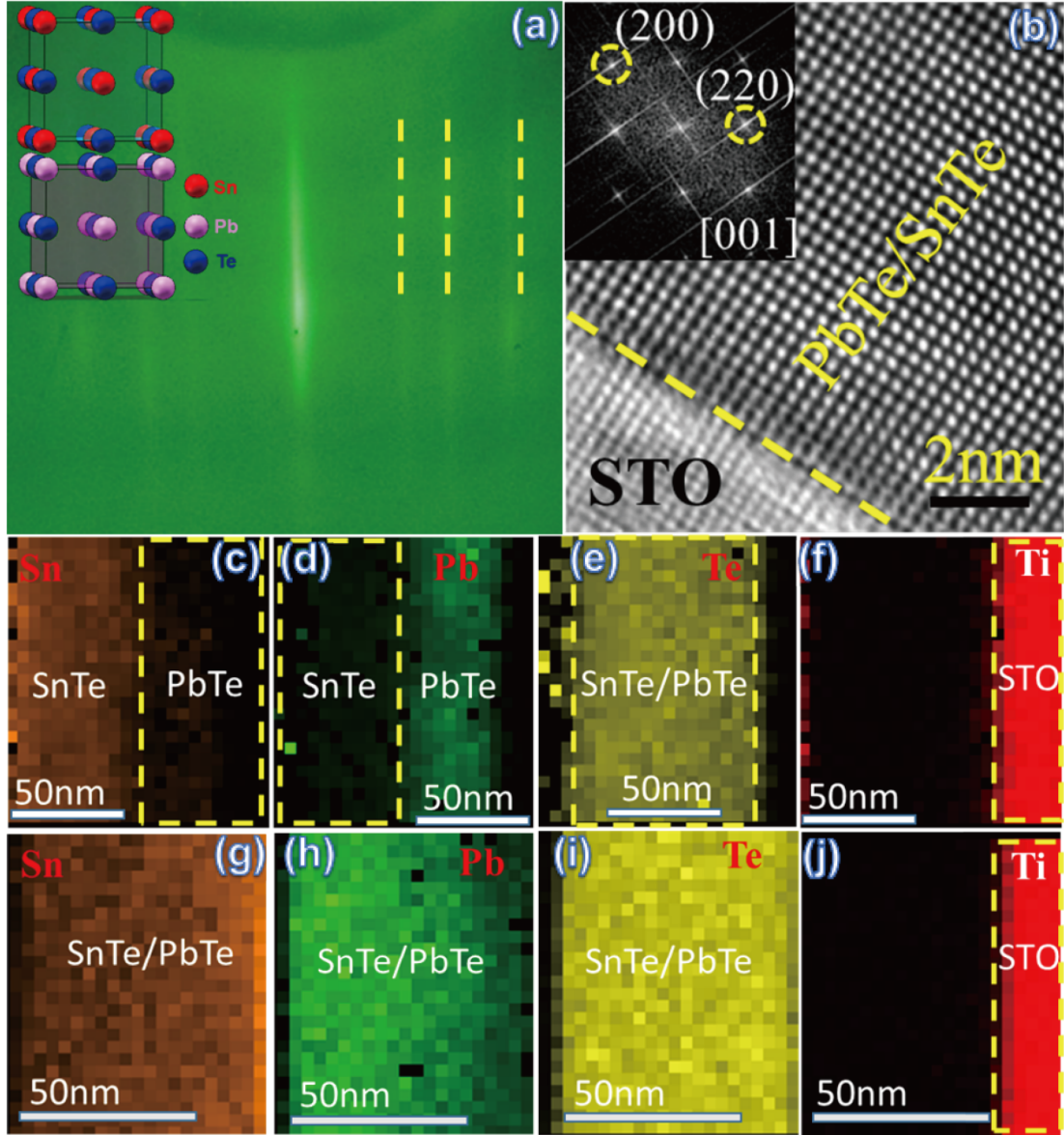
Topological crystalline insulators (TCIs) are a new topological phase of matter whose topological invariant and conducting boundary states originate from the crystal lattice symmetry [1-4] instead of time-reversal symmetry of topological insulators (TIs) [5-8]. Unlike the conventional  $Z_2$  TIs with layered structure [9], the TCIs have a rock salt crystal structure and possess even number of Dirac cones of topological surface states (TSSs) [3,4] resulting in the possibility of strong coupling with other materials through large proximity effects. Heterointerfacing TIs and band insulators (BIs) can induce diverse new phases such as Dirac semimetal and Weyl semimetal [10-12]. Compared with TIs, the rich interplay between topology, crystal symmetry and electronic structure in TCIs [13,14] makes it possible to observe novel topological states in heterointerfaces of TCIs and BIs and exotic physical phenomena such as interface superconductivity [15] and large-Chern-number quantum anomalous Hall effect [16].

The narrow gap semiconductor SnTe with rock salt structure was the first theoretically predicted TCI and its TSS was expected to exist on highly symmetrical crystal surfaces such as  $\{001\}$ ,  $\{110\}$  and  $\{111\}$  [2]. Subsequently, the presence of the mirror symmetry protected TSSs in SnTe was verified by angle-resolved photoemission spectroscopy (ARPES) experiments [1]. Motivated by the promising applications of TCIs in low power electronics and tunable spintronics devices [17], magnetotransport experiments probing the TSSs have been conducted. For example, weak antilocalization (WAL) effect [18-22] and two-dimensional (2D) Shubnikov-de Haas (SdH) oscillations [20] related to the TSSs were detected in SnTe thin films. Aharonov-Bohm (AB) effect [21] and high-field linear magnetoresistance (LMR) were observed in low dimensional SnTe nanostructures [22]. Recently, the lattice distortion and mirror symmetry breaking induced Dirac gap opening in TCIs  $\text{Pb}_{1-x}\text{Sn}_x\text{Se}$  was revealed by scanning tunneling microscopy (STM) [13, 23] and ARPES [24, 25], as theoretically expected [14]. It was established long time ago that the resistance vs. temperature curve  $R(T)$  of low doped SnTe shows an anomalous increase near the cubic-rhombohedral structural phase transition temperature [26-27], as a result of electron-TO-phonon interaction. After the discovery of the mirror symmetry protected topological properties of SnTe, further addressing the effect of lattice distortion on the TSS transport in SnTe appears to be an interesting and imperative issue.

In this letter, we present a systematic investigation on the magnetotransport behavior of the PbTe/SnTe heterostructures grown on  $\text{SrTiO}_3(111)$  in which the cubic-rhombohedral structural phase transition of SnTe was controlled by the growth

condition of the heterostructure. A giant LMR (GLMR) was observed in PbTe/SnTe heterostructures with high carrier concentration, in which the structural transition is absent. In PbTe/SnTe heterostructures with reduced carrier density and a  $R_s(T)$ , indicative of the cubic-rhombohedral phase transition, a 2D WAL exhibits. Such remarkable transport difference is likely related to the mirror symmetry breaking in the  $\langle 110 \rangle$  direction of SnTe lattice [14].

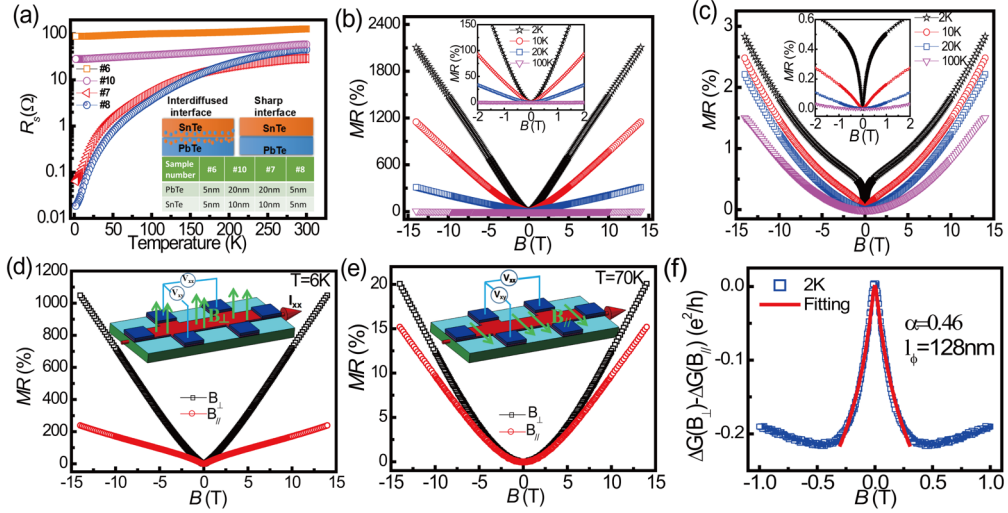
The epitaxial PbTe/SnTe heterostructures (the inset of Fig. 1(a)) were grown on SrTiO<sub>3</sub> (111) substrate by molecular beam epitaxy (MBE) (details in SA of Supplemental Material). The sharp reflection high-energy electron diffraction (RHEED) streaky pattern in Fig. 1(a) indicates high crystalline quality and atomically flat surface of PbTe/SnTe heterostructures. The X-ray diffraction patterns show the growth direction of PbTe and SnTe along [001] (Fig. SB) and the cross-section lattice image indicates a good crystalline quality of PbTe/SnTe heterostructure (Fig.1(b)). Moreover, the heterostructures with different growing temperatures are distinguished to have a sharp or interdiffused interface, as demonstrated by the element mappings (Fig. 1(c-j)) in the cross-sectional high angle annular dark-field (HAADF) scanning transmission electron microscopy (STEM) image and the time-of flight secondary mass spectrometry depth profiles of the heterostructures (Fig. SC). Accordingly, the samples are cataloged to two groups and their transport parameters are shown in supplemental material SD Table 1. At low temperatures (e.g. 2 K), the sheet resistance  $R_s$  of samples with sharp interface (#1, 2, 4, 7, 8, 9) is about three orders lower than that of samples with interdiffused interface (#3, 5, 6, 10, 11), although all the samples have comparable sheet resistances at room temperature. Moreover, we found that the growth temperature also strongly affects the hole density of the sample: samples with sharp interface have much higher hole density than that of samples with interdiffused interface (supplemental material Table 1).



**Figure 1.** Structural characterization of PbTe/SnTe heterostructures. (a) RHEED pattern of a PbTe/SnTe heterostructure with sharp streak. The inset shows structural schematic of PbTe/SnTe heterostructure. (b) High resolution transmission electron microscopy (HRTEM) of a PbTe/SnTe sample and the Fourier transformation of Fig. 1(b) are shown in the inset. (c-f) The element mapping for PbTe/SnTe heterostructure with lower growth temperature showing a sharp interface between PbTe and SnTe. (g-j) The element mapping for PbTe/SnTe heterostructure with higher growth temperature showing interdiffusion between PbTe and SnTe.

The temperature dependence of the sheet resistances  $R_s$  for PbTe/SnTe heterostructures with sharp and interdiffused interfaces displays obvious distinction

although they have similar thickness configuration. As shown in Fig. 2(a), for samples #7 and 8 with a sharp interface, their  $R_s(T)$  shows a drop in two to three orders below 100 K, giving rise to an extremely low  $R_s$  at low  $T$ . It is the first time such strong metallic behavior below 100 K is reported in TCIs transport which may be attributed to the strong metallic TSSs. By contrast, samples #6 and #10 with interdiffused interface exhibit a much weaker metallic behavior below 100 K. The  $R_s(T)$  curves of other samples in the two categories of interfaces (Fig. SE) also suggest the similar differences. Furthermore, the  $R_s(T)$  curves exhibit an anomalous increase below 100 K for samples with interdiffused interface (Fig. SF(a-g)), suggesting the presence of cubic-rhombohedral structural phase transition [26-28]. This cubic-rhombohedral structural phase transition controlled by the interface interdiffusion in the present PbTe/SnTe heterostructures could have a close relation with the distinct MR observed as we will describe next.

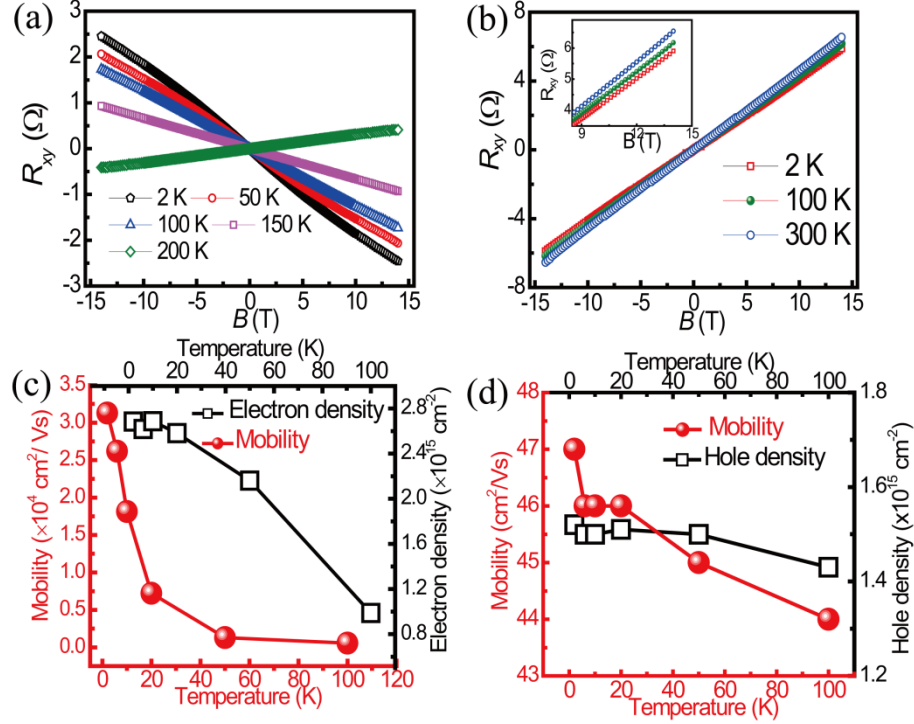


**Figure 2.** Two types of temperature and magnetic-field dependent transport in PbTe/SnTe. (a) Temperature dependence of the sheet resistance  $R_s$  (in logarithmic scale) of PbTe/SnTe heterostructures in zero magnetic field. (b) Magneto-resistance (MR) defined as  $[R_s(B) - R_s(B = 0)]/R_s(B = 0)$  at  $T = 2$ -100 K for sample with sharp interface (#1) and the zoomed MR curve under low magnetic fields (inset). (c) The MR at  $T = 2$ -100 K for a sample with interdiffused interface (#6) and the zoomed MR curve under low field (inset). (d,e) The MR for a sharp interface sample #7 at 6 K and 70 K under a magnetic field perpendicular/parallel to the plane of thin film and the measurement schematic shown in the inset. (f) The magneto-conductance fitting of sample #6 below 1 T by HLN equation.

The longitudinal magneto-resistance (MR) of a typical sharp interface sample

(sample #1) presents a novel MR feature (Fig. 2(b)). An unsaturated GLMR up to 2150% is first observed in the TCIs system under a magnetic field of 14 T at 2 K and the GLMR is very temperature sensitive: it quickly decreases to 311% at 20 K, which indicates its quantum origin. When a perpendicular/parallel magnetic field is applied to the thin film at 6 K, a striking GLMR difference under different magnetic field direction indicates a typical two dimensional (2D) transport feature of the GLMR (Fig. 2(d)). When the temperature is increased to 70 K, the GLMR disappears and turns into a classic parabolic MR (Fig. 2(e)). The gradual decrease of GLMR and the disappearance of 2D feature with increasing temperature are shown in the Supplemental Material (Fig. SG). Similar samples (#2, 4, 7, 8, 9) with sharp PbTe/SnTe interface also exhibit GLMR behavior (Fig. SH).

For a typical interdiffused interface sample (#6), its MR presents a different feature (Fig. 2(c)) when compared with the GLMR in samples with sharp interface. The low temperature MR of #6 has much smaller value (less than 3% under 14 T 2 K). A dip feature near zero field and classic parabolic MR at higher fields are shown in Fig. 2(c), in which the MR dip signifies the weak antilocalization (WAL) effect. The obvious distinction between MR dips under different directional magnetic field also indicates a typical 2D transport in the present films (Fig. SI(c)). In the 2D diffusive transport, the WAL quantum correction to the magneto-conductance can be fitted by the standard Hikami-Larkin-Nagaoka (HLN) equation [29, 30] with the fitting parameters of  $\alpha$  and  $l_\phi$  where  $2\alpha$  and  $l_\phi$  denotes the number of conduction channels and phase coherent length, respectively. Fig. 2(f) shows the 2D magneto-conductance of the sample #6 fitted by the HLN equation with fitting parameters  $\alpha = 0.46$  and  $l_\phi = 128$  nm at 2 K (details shown in Fig. SI).  $l_\phi$  being greater than the thickness of the film confirms the 2D behavior of the WAL.



**Figure 3.** Temperature dependence of Hall resistance  $R_{xy}$  and carrier density/mobility. (a, b)  $R_{xy}$  vs.  $B$  of sample #1 (a) and #6 (b). (c, d) Temperature dependence of carrier density and mobility of sample #1 (c) and #6 (d) extracted by fitting the Hall conductance data.

In order to clarify the transport mechanism, the Hall resistances  $R_{xy}(B)$  of samples #1 and #6 at various temperatures are presented in Fig. 3(a, b). For sample #1 with GLMR, a crossover from p-type to n-type carrier dominated conduction was observed around 150-200 K. The nonlinear Hall curves below 100 K in Fig. 3(a) indicate two carrier types in the transport [31]. According to the two-band model, the nonlinear Hall curve was fitted (Fig. SK) and the carrier density and mobility were obtained as shown in Fig. 3(c). For the samples with GLMR, the n-type carriers exhibit very high mobility (e.g. reaching  $3 \times 10^4 \text{ cm}^2/\text{Vs}$  at 2 K for sample #1) below 100 K which is much higher than previously reported SnTe thin films [18-22]. In contrast, sample #6 with WAL exhibits p-type conduction in the whole temperature range, suggesting that holes are responsible for the transport of interdiffused PbTe/SnTe heterostructure (Fig. 3(b)). Because the  $R_{xy}(B)$  for sample #6 is linear at all temperatures, the single band fitting was used to extract the hole density and mobility as plotted in Fig. 3(d). The nearly temperature independent p-type transport, weak metallic  $R_s(T)$  and the 2D WAL observed in sample #6 are in agreement with many prior work on SnTe films which are known to have dominant p-type behavior from the Sn vacancies in SnTe [18-20].

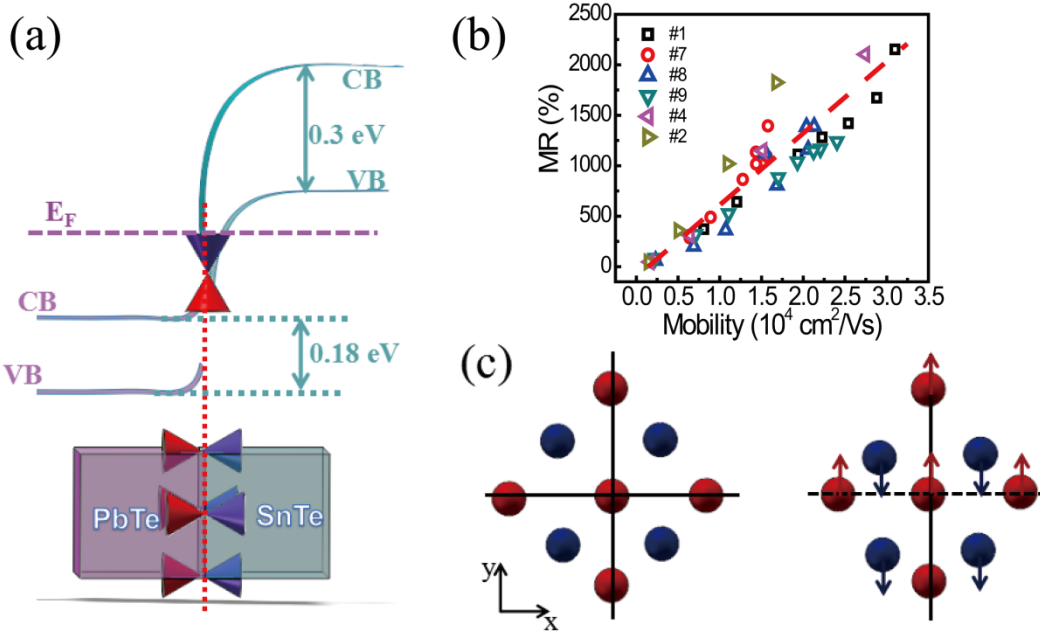


We now discuss the origin of the different transport behavior in the two types of PbTe/SnTe heterostructures. The sample growth temperature has two direct experimental consequences in the obtained PbTe/SnTe heterostructures. In addition to the effect on sharpness/interdiffusion of the PbTe/SnTe interface shown in Fig.1, the growth temperature of SnTe also strongly affects the hole density in the SnTe film. Comparing the hole density derived from the Hall data at 150 K for all the samples, we found that the hole density of the samples with interdiffused interfaces is on the order of  $10^{15}/\text{cm}^2$ , about one to two orders magnitude lower than that of the samples with sharp interfaces (Supplemental Material Table 1). This trend is consistent with other studies reporting reduced hole concentration in pure SnTe films grown at higher temperatures [18]. In terms of the volume carrier density, the samples with sharp interface generally have hole density  $10^{21}$ - $10^{22} /\text{cm}^3$  while the samples with interdiffused interfaces have hole density  $10^{20}$ - $10^{21} /\text{cm}^3$ .

The much higher hole density in PbTe/SnTe samples with sharp interfaces has two main implications. First, it is well known that the PbTe/SnTe heterostructure is of type II heterostructure with a broken gap alignment at low temperatures [32, 33], where the valence band edge of SnTe is  $\sim 0.3$  eV higher than the conduction band edge of PbTe at 77 K [32]. Considering the very high hole carrier density ( $10^{21}$ - $10^{22}/\text{cm}^3$ ) in PbTe/SnTe heterostructures with GLMR, the Fermi energy in SnTe is calculated to be 0.36 eV below the valence band (using hole density  $10^{21}/\text{cm}^3$  and mass  $m^* = m_e$ , the free electron mass) which is lower than the Fermi energy of n-type PbTe. Thus the potential equilibration of Fermi level between PbTe and SnTe leads to electrons transfer from n-type PbTe to p-type SnTe and a downward band-bending for the conduction and valence bands of SnTe at the interface, as shown in the band alignment schematic diagram in Fig. 4(a). This downward shift in the energy bands of SnTe also shifts the Dirac point accordingly and causes the Fermi level above the Dirac point at the interface, giving rise to the presence of n-type Dirac electrons in transport at low temperatures. A natural cause for the strong metallic behavior in  $R_s(T)$  exhibited below 100 K in samples with sharp interfaces would be the high mobility of the Dirac electrons. In contrast, samples with interdiffused interfaces have a gradual composition change between PbTe and SnTe layers and in such situation where there is not a clear boundary between PbTe and SnTe, the band gap is expected to close/open continuously [32, 33] and Dirac surface states are not expected at the interdiffused interface, causing the transport to be dominated by p-type carriers in SnTe layer throughout the whole temperature range.

Secondly, the cubic-rhombohedral structural phase transition is known to be

dependent on the hole density in SnTe with the transition temperature dropping to zero at a critical hole density around  $1.3 \times 10^{21}/\text{cm}^3$  [26]. Note that in this work, the highest hole density is  $1.38 \times 10^{21}/\text{cm}^3$  in samples with interdiffused interfaces and the minimum hole density of samples with sharp interfaces is  $2.35 \times 10^{21}/\text{cm}^3$  (Supplemental Material, Table 1), placing them below and above the critical density for the cubic-rhombohedral structural phase transition. Indeed, similar to literature [26, 27], we observed a hump feature in the  $R_s(T)$  of samples with interdiffused interfaces and low hole densities (Fig. SF), indicating the presence of the structural phase transition but not in the samples with sharp interfaces and high hole densities. The structural phase transition in interdiffused interface samples may cause some interesting effects on the mirror symmetry in the system. As discussed in a theoretical work [14], the displacement between the Sn and Te atoms during the cubic-rhombohedral structural phase transition can break the mirror symmetry along the  $\langle 110 \rangle$  lattice direction (Fig. 4(c)) and cause the gapping of some Dirac surface states. Therefore, if there exist Dirac surface states in interdiffused interface samples (e.g. on the top surface of SnTe), gapping is expected due to the broken mirror symmetry. This effect combined with the disorder/grain boundaries arisen from the structural phase transition may be responsible for the low hole mobility shown in interdiffused interface samples (e.g. reaching  $47 \text{ cm}^2/\text{Vs}$  at 2 K for #6, Fig. 3(d)). Due to the much lower sheet conductance and lower mobility of interdiffused samples, the WAL quantum correction is relatively big compared to the sample's conductivity and requires higher magnetic field to suppress (note that the WAL correction to the sheet conductance is on order of  $e^2/h$  and the magnetic field for WAL is inversely proportional to the square of the phase coherence length). Thus, the 2D WAL is more easily exhibited in the PbTe/SnTe samples with interdiffused interfaces.



**Figure 4** (a) The band alignment schematic for the PbTe/SnTe heterostructure with sharp interface. (b) The mobility dependent MR (%) for samples with GLMR. (c) The Schematic lattice change due to the cubic-rhombohedral structural phase transition. The surface mirror line is indicated by solid lines. The dash line indicates the mirror symmetry breaking due to the distorted SnTe (001) surface. The arrows correspond to the displacement direction of Sn atom (red) and Te atom (blue).

Last but not least, we like to give some comments on the GLMR in samples with sharp interfaces. The non-saturating LMR has always been an intriguing transport phenomena, and can be a means to detect band structure of solids [34]. The LMR was first discovered in semimetal Bi with open Fermi surface [35]. Subsequently, the LMR was revealed in narrow gap semiconductor silver chalcogenides [36]. To explain the physical origin of LMR, Abrikosov's quantum LMR model [37,38] and Parish and Littlewood (PL)'s disorder induced mobility fluctuation model [39, 40] were proposed. More recently, LMR has been observed in various Dirac materials with gapless electronic states (e.g. graphene [41], topological insulators [42, 43], Dirac and Weyl semimetals [44, 45]). For the GLMR in PbTe/SnTe heterostructures with sharp interface, the typical 2D feature appears and meanwhile its presence is always accompanied by high mobility. If the present GLMR originates from the mobility fluctuation model in a strongly disordered medium, the GLMR will exhibit isotropy instead of 2D feature and carrier mobility will not be so high. Thus, the origin of PL's model can be precluded. While the Abrikosov's quantum LMR model shows that LMR can appear in systems with the gapless linear energy spectrum and all the carriers occupy only the lowest Landau level in extreme quantum limit [37,38].

However, the carrier density in our system is so high that multiple Landau levels are filled and the quantum limit condition is not satisfied. Although the GLMR cannot be completely explained by the present theoretical mechanisms, we found that the GLMR's magnitude is linearly proportional to the electron mobility as shown in Fig. 4(b). Since the high mobility originates from the TSSs, it is thus a natural conclusion to link the GLMR with the gapless TSSs in PbTe/SnTe like many other gapless Dirac systems [41-45].

In conclusion, we have systematically investigated the magneto-transport properties of PbTe/SnTe heterostructures. We first discover the GLMR phenomena related to the Dirac fermions and strong metallic behavior at low temperatures in PbTe/SnTe heterostructures with sharp PbTe/SnTe interfaces. By decreasing the carrier density in PbTe/SnTe heterostructures, the cubic-rhombohedral structural phase transition exhibits in  $R_s(T)$  and the 2D WAL occurs in transport. Such remarkable transport difference might be attributed to the mirror symmetry breaking and increased disorder effect from the lattice distortion in SnTe. The controllable transport in PbTe/SnTe heterostructure is very significant to the fundamental research in topological matter, magneto-electronics and spintronics.

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