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Depth-resolved charge reconstruction at the LaNiO$_3$/CaMnO$_3$ interface

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Abstract

Rational design of low-dimensional electronic phenomena at oxide interfaces is currently considered to be one of the most promising schemes for realizing new energy-efficient logic and memory devices. An atomically-abrupt interface between paramagnetic LaNiO$_3$ and antiferromagnetic CaMnO$_3$ exhibits interfacial ferromagnetism, which can be tuned via a thickness-dependent metal-insulator transition in LaNiO$_3$. Once fully understood, such emergent functionality could turn this archetypal Mott-interface system into a key building block for the above-mentioned future devices. Here, we use depth-resolved standing-wave photoemission spectroscopy in conjunction with scanning transmission electron microscopy and x-ray absorption spectroscopy, to demonstrate a depth-dependent charge reconstruction at the LaNiO$_3$/CaMnO$_3$ interface. Our measurements reveal an increased concentration of Mn$^{3+}$ and Ni$^{2+}$ cations at the interface, which create an electronic environment favourable for the emergence of interfacial ferromagnetism mediated via the Mn$^{4+}$-Mn$^{3+}$ ferromagnetic double exchange and Ni$^{2+}$-O-Mn$^{4+}$ superexchange mechanisms. Our findings suggest a new strategy for designing functional Mott oxide heterostructures by tuning the interfacial cation characteristics via controlled manipulation of thickness, strain, and ionic defect states.
Multifunctional complex-oxide heterostructures have been identified as candidate materials systems that may enable the coupling of electronic logic with magnetic memory and lead to the next-generation of spintronic devices [1-5]. Among the many complex-oxide heterostructures studied to date, there has been a class of heterostructures where the interfaces give rise to functional properties not observed in the constituent materials [6]. With many such emergent properties, ranging from interfacial metallicity [7-9] to interfacial superconductivity [10,11], there has been only a handful of successful efforts demonstrating new magnetic ground states at interfaces [12,13]. One such example is the LaNiO$_3$/CaMnO$_3$ system where ferromagnetic ground state emerges at the interface, although LaNiO$_3$ is a paramagnetic metal and CaMnO$_3$ is an antiferromagnetic insulator in the bulk [13-15].

The emergence of interfacial ferromagnetism in the LaNiO$_3$/CaMnO$_3$ system has been attributed to two distinct mechanisms: a Mn$^{4+}$-Mn$^{3+}$ double exchange interaction in the interfacial CaMnO$_3$ layer and a Ni$^{2+}$-O-Mn$^{4+}$ superexchange interaction at the interface between the LaNiO$_3$ and CaMnO$_3$ [13-15]. The relative importance of the two mechanisms depends on the thickness of the individual layers due to a thickness-dependent metal-insulator transition in the LaNiO$_3$ layer [16-18]. The transition, which occurs in the ultrathin (few-unit-cell) limit, determines which is the dominant mechanism for the mediation of the ferromagnetic coupling. Such tunability provides an ideal platform for studying fundamental interactions leading to emergent magnetism at oxide interfaces and makes this heterostructure a potential candidate for the above-mentioned devices. [12,19,20].

Both of the above mentioned mechanisms require an interfacial charge reconstruction, which results in the presence of the transition-metal cations with the valence states other than the formal valence state of the stoichiometric compounds (LaNi$^{3+}$O$_3$ and CaMn$^{4+}$O$_3$). Such charge
reconstruction, resulting in the formation of off-stoichiometric cations (Ni$^{2+}$ and Mn$^{3+}$) at the interface, can be explained in terms of the charge leakage from LaNiO$_3$ to CaMnO$_3$ [13-15], as well as the presence of oxygen vacancies driven to the interface by polar compensation [21-24]. Both scenarios are plausible in the LaNiO$_3$/CaMnO$_3$ heterostructures. Specifically, in metallic superlattices with near- and above-critical LaNiO$_3$ thicknesses, leakage of itinerant Ni 3$d$ eg electrons into the interfacial CaMnO$_3$ layer is expected to reduce the valence state of some of the interfacial Mn cations from 4$^+$ to 3$^+$, leading to the emergence of interfacial ferromagnetism mediated by the Mn$^{4+}$-Mn$^{3+}$ double exchange interaction [13-15,25]. This scenario has been theoretically predicted and discussed in-depth in prior experimental studies [13-15] and could explain the observed link between the thickness-dependent metal-insulator transition in ultrathin LaNiO$_3$ and the observed suppression of ferromagnetism in the superlattices with near- and below-critical-thickness LaNiO$_3$ layers (see Fig. 2 in Ref. [15]). In superlattices with thinner LaNiO$_3$, which is closer to the metal-insulator transition and hence more resistive transport properties, Ni$^{2+}$-O-Mn$^{4+}$ superexchange interaction (made possible by oxygen vacancies driven to the interface) has been identified as the source of the interfacial ferromagnetism [14,15]. On the other hand, oxygen vacancies have also been observed in coherently strained epitaxial CaMnO$_3$ [21] and LaNiO$_3$ [22-24] and, in some cases, have been both predicted and observed to segregate at the interfaces due to polar energetics at the interface [22,23].

Gaining a clear picture of the depth-dependent evolution of materials’ electronic properties, valence states, and charge redistribution across the interface is therefore required to determine the roles of double exchange and superexchange interactions in the emergence of the observed interfacial ferromagnetism in this system. Thus, here, we used a combination of depth-resolved soft x-ray standing-wave photoemission spectroscopy (SW-XPS), x-ray absorption spectroscopy
(XAS), and scanning transmission electron microscopy (STEM) to selectively probe the interface electronic structure and valence states of the relevant transition metal cations (Mn and Ni). Our results reveal an increased concentration of Mn$^{3+}$ and Ni$^{2+}$ cations at the LaNiO$_3$/CaMnO$_3$ interface, indicating the coexistence of competing Mn$^{3+}$-Mn$^{4+}$ double exchange with Ni$^{2+}$-O-Mn$^{4+}$ superexchange interactions that give rise to emergent interfacial ferromagnetism.

For this study, high-quality epitaxial [4 u.c. LaNiO$_3$ / 4 u.c. CaMnO$_3$]×15 superlattice was synthesized on top of a single-crystalline LaAlO$_3$ (001) substrate using pulsed laser deposition. Layer-by-layer growth was monitored in-situ using reflection high-energy electron diffraction. After deposition, coherent epitaxy, crystallinity and layering of the superlattice was verified using ex-situ x-ray diffraction spectroscopy (XRD), soft x-ray reflectivity (SXR), as well as STEM (see Fig. 1). Bulk magnetization and electronic transport measurements, carried out by us in a prior study on similar samples [15], revealed a ferromagnetic signal (0.3 µB/Int.Mn at 10 K) and temperature-dependent resistivity (4×10$^{-4}$ Ohm-cm at 10 K), which is consistent with the onset of metallicity in LaNiO$_3$. More details regarding the deposition process and sample characterization can be found in Ref. [15].

A high-angular-resolution (<0.01°) XRD 20-θ spectrum in Fig. 1(a), recorded using a Bruker D8 Discover diffractometer, shows well-resolved superlattice (SL) period thickness fringes and Bragg peaks, thus attesting to the high quality and precise control of the SL synthesis. A high-angular-resolution (<0.01°) SXR spectrum (see inset), recorded at the Calibration and Standards beamline 6.3.2 of the Advanced Light Source (LBNL), confirms the presence and the angular position of the SL Bragg peak in the soft x-ray regime at the photon energy used for the SX-XPS measurements described below.
At the atomic level, the high-angle annular dark-field (HAADF) STEM image in Fig. 1(b), recorded using the aberration-corrected TEAM I microscope at the National Center for Electron Microscopy Facility of the Molecular Foundry (LBNL), shows several typical atomically-abrupt interfaces between LaNiO$_3$ and CaMnO$_3$. The A-site (La and Ca) atomic columns can be easily differentiated due to the high atomic number contrast, with the heavier cations (La) appearing brighter. The interfacial layers show some fluctuations in atomic column intensity of the A-sites, suggesting that the interfacial mixing is confined to a single unit cell, consistent with a prior study on similar samples [13].

The high-resolution (100 meV) XAS spectra of the Mn $L_{2,3}$ and Ni $L_2$ absorption edges, recorded in the total electron yield (TEY) detection mode at the Magnetic Spectroscopy beamline of the Advanced Light Source (LBNL) [26], reveal fine spectral features attributed to the Mn$^{3+}$ and Mn$^{4+}$ cations at 640 eV and 643 eV, respectively [Fig. 1(c)] [21]; as well as the

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FIG. 1. (a) XRD $2\theta$-0 spectrum around the LaAlO$_3$ (002) peak shows clearly-resolved SL thickness fringes, as well as the first-order SL Bragg peaks. Inset shows a synchrotron-based SXR spectrum (at $h\nu = 832.8$ eV) of the first-order superlattice Bragg peak in the soft x-ray regime. (b) High-resolution STEM-HAADF cross sectional image of the superlattice sample showing a typical atomically-abrupt LaNiO$_3$/CaMnO$_3$ interface. (c) and (d) The XAS spectra probing the CaMnO$_3$ layer at the Mn $L_{2,3}$ absorption edges (c), and the LaNiO$_3$ layer at the Ni $L_2$ edge (d) reveal mixed valence states for the Mn ($3+ and 4+)$ and Ni ($2+ and 3+$) cations in the respective layers.
Ni\textsuperscript{2+} (869.5 eV) and Ni\textsuperscript{3+} (870.5 eV) peak components at the Ni L\textsubscript{2} edge [Fig. 1(d)] [27-29]. The data thus suggest a mixture of Mn\textsuperscript{3+} and Mn\textsuperscript{4+} cations originating in the CaMnO\textsubscript{3} layer and a mixture of Ni\textsuperscript{2+} and Ni\textsuperscript{3+} cations originating in the LaNiO\textsubscript{3} layer. However, XAS-TEY is a depth-averaging spectroscopic technique with a typical probing depth of 5 nm [30,31], and thus does not provide a depth-resolved valence-state profile of the structure with sufficient resolution.

Thus, in order to selectively probe the electronic structure and valence-states of the Mn and Ni cations at the LaNiO\textsubscript{3}/CaMnO\textsubscript{3} interface, we have carried out soft x-ray SW-XPS measurements at the high-resolution ADRESS beamline of the Swiss Light Source [32,33]. The SX-ARPES end station at the ADRESS beamline was equipped with a SPECS PHOIBOS-150 hemispherical electrostatic analyzer and a six-axis cryogenic manipulator, allowing for optimal alignment of the sample and high-precision angle-resolved standing-wave measurements. The above mentioned measurements were accomplished by setting-up an x-ray standing-wave field within the periodic [4 u.c. LaNiO\textsubscript{3} / 4 u.c. CaMnO\textsubscript{3}]×15 superlattice sample, which in first-order Bragg reflection acted as the standing-wave generator [see Fig. 2(a)]. The antinodes of the standing wave were moved vertically through the sample by scanning (rocking) the x-ray incidence angle [34,35]. The sample temperature was set at 30 K.

The photon energy of 832.8 eV, just below the La 3d\textsubscript{5/2} absorption threshold, was used to maximize the x-ray reflectivity of the superlattice, which in-turn enhanced the standing-wave modulation amplitude. In preparation for the experiment, energy-dependent SXR measurements were carried out to fine-tune the experimental parameters, such as the optimal photon energy and the angular range for the x-ray incidence [see inset in Fig. 1(a)].

Core-level photoemission intensities from every constituent element of the superlattice (La 4d, Ni 3p, Ca 2p, Mn 3s, O 1s and C 1s) were recorded as a function of grazing incidence angle
from 11.7° to 16.2° [see Fig. 2(b)] and self-consistently fitted using an x-ray optical theoretical code [36], which accounts for the differential photoelectric cross-section as well as the inelastic mean-free paths of photoelectrons inside the sample. Only the thicknesses of the CaMnO₃ and LaNiO₃ layers and the interdiffusion between them were allowed to vary in the model. The x-ray optical constants needed for the calculations were obtained via XAS and Kramer-Kronig analysis [34]. Fig. 2(c) shows the best theoretical fits to the experimental data, exhibiting excellent agreement in terms of the amplitudes, relative phases and shapes. Surface-adsorbed COₓ contaminate from the exposure to atmosphere provides an excellent depth reference (black spectrum), thus further constraining the fitting and the calculations.
A detailed structure of the topmost layers derived from the experiment, as well as the simulated intensity of the x-ray standing-wave electric field ($E^2$) as a function of depth inside the sample and of x-ray incidence angle, are shown in Fig. 3(a) and were obtained by using the set of best-fit parameters. Resultant thicknesses of the 4 u.c.-thick layers of LaNiO$_3$ (15.55 Å) and CaMnO$_3$ (14.80 Å) are consistent with the unit cell thicknesses of 3.89 Å (LaNiO$_3$) and 3.73Å (CaMnO$_3$), reported previously in the literature [37-39].

The $E^2$ profile simulation shown in Fig. 3(a) indicates that at lower incidence angles (yellow vertical line at \(\sim13.3^\circ\)) the two antinodes of the standing wave highlight predominantly the top and the bottom interfaces of the CaMnO$_3$ film (regions marked with letters ‘a’), while the middle ‘bulk-like’ section of the film resides in the dark region (SW node). With increasing incidence angle, the top antinode propagates downward into the CaMnO$_3$ layer spreading over almost the entire 4 unit-cells at around 14.4° (marked with another yellow vertical line) with the maximum intensity at approximately the center of the layer (region marked with letter ‘b’). Such contrasting angle-dependent depth distribution of the probing x-ray $E$-field intensities within the sample enables clear differentiation between the bulk-like and interface-like species of Mn in the CaMnO$_3$ layer, as well as a particular sensitivity to the interface-like Ni in the LaNiO$_3$ buried layer. Importantly, the simulation results indicate that the standing-wave contrast within the topmost layers of the sample is strong, reaching maximum difference of approximately 53% between the values of $E^2$ in the nodes and antinodes of the SW [see the color scale in Fig. 3(a)]. Additional line-cuts, showing $E$-field intensity profiles at several key incidence angles are shown in Fig. S1 in the Supplemental Material section [40].

In order to determine the valence-state profiles of the key cations, we examine the lineshapes of the Mn 3$s$ and Ni 3$p$ core-level spectra at the x-ray grazing incidence angles
corresponding to the interface-sensitive and bulk-sensitive standing-wave configurations.

Conventional photoemission spectra for typical bulk-like stoichiometric or near-stoichiometric CaMnO$_3$ and LaNiO$_3$ thin films are provided for reference in the Supplemental Material section (Fig. S2).

Fig. 3(b) depicts the depth-dependent evolution of the Mn $3s$ core-level multiplet splitting (in eV) as function of x-ray incidence angle. The magnitude of the $3s$ splitting decreases monotonically with the increase of the formal valency of the Mn cation [41,42], and is thus often
used as a reliable and highly sensitive experimental observable for determining the Mn valence state [21,42]. In the CaMnO$_3$-interface sensitive experimental geometry, at the x-ray incidence angles between 13.2° and 13.5° (corresponding to region ‘a’ in the sample), the average splitting is estimated to be 4.95 eV, after self-consistent Shirley-background subtraction and peak-fitting (see Fig. 3(c)). This value of splitting corresponds to the formal Mn valency of approximately +3.4 [41], thus suggesting a mixture of Mn$^{+3}$ and Mn$^{+4}$ cations, with predominantly Mn$^{3+}$ ion content at the interface.

For higher x-ray incidence angles, as the SW antinode propagates toward the center of the CaMnO$_3$ layer [as shown in Fig. 3(a)], the Mn 3$s$ splitting gradually decreases, reaching a value of 4.76 eV [see Fig. 2(c)] in the CaMnO$_3$-bulk sensitive geometry, at the incidence angle of ~14.4° (corresponding to region ‘b’ in the sample). For this magnitude of the 3$s$ splitting, formal valency of the Mn cations is estimated to be approximately +3.6 [41], which suggests an increase of the Mn$^{+4}$ ion concentration (as in stoichiometric CaMnO$_3$) toward the bulk of the layer.

The difference spectrum in Fig. 3(e), comparing Mn 3$s$ spectra in the interface-sensitive and bulk-sensitive experimental geometries, exhibits a lineshape that is typical of the increasing splitting, with positive incursions at 82 eV and 88 eV and a negative dip emerging between the two separated multiplet components. The observed change in the Mn 3$s$ splitting (190 meV) is approximately a factor of two larger as compared to the total experimental energy resolution (~100 meV).

Conventional surface-sensitive XPS spectrum recorded with the same photon energy but away from the Bragg condition shows that the value of the Mn 3$s$ splitting measured near the surface is 4.81 eV, which is close to the bulk-like value of 4.76 eV (see Fig. S3 in the Supplemental Material section). The splitting increases significantly to 4.95 eV (becomes more
Mn$^{3+}$-like) only when the additional standing-wave intensity highlights the bottom (buried) interface with LaNiO$_3$.

In summary, our experimental SW-XPS results, in conjunction with x-ray optical modeling, indicate an increased concentration of Mn$^{3+}$ cations in the interfacial regions of the CaMnO$_3$ film. Evidence of such charge reconstruction has been observed indirectly in prior studies using depth-averaging techniques [13,15]. There are two possible sources of Mn$^{3+}$ at the interfaces: the leakage of itinerant electrons from the LaNiO$_3$ to the CaMnO$_3$ and the segregation of oxygen vacancies in CaMnO$_3$ to the interfaces. The temperature-dependent resistivity data suggests the onset of metallicity in superlattices with 4 u.c.-thick LaNiO$_3$ layers, with increasingly lower resistivities in superlattices with thicker LaNiO$_3$ layers (e.g. 6 - 8 u.c. – see Fig. 2 in Ref. [15]), and therefore the mechanism of the leakage of itinerant electrons from LaNiO$_3$ into the first CaMnO$_3$ layer is the likely explanation in our superlattice. With increasing metallicity of the LaNiO$_3$ layers, the emergent interfacial ferromagnetism is dominated by the Mn$^{4+}$-Mn$^{3+}$ double exchange interaction mediated by the leakage of the itinerant electrons and not Ni$^{2+}$-O-Mn$^{4+}$ superexchange interactions.

Mn$^{3+}$-Mn$^{4+}$ disproportionation at the interface is predicted to stabilize Mn$^{4+}$-Mn$^{3+}$ double-exchange ferromagnetism, various signatures of which have been observed via bulk magnetometry, polarization-dependent XAS, and polarized neutron reflectometry (PNR) [13,15]. The latter technique provides a depth-resolved profile of magnetization in the superlattice and has shown that ferromagnetism in the CaMnO$_3$ film is confined to one unit-cell at the interface with LaNiO$_3$, in agreement with our conclusions [13]. Our depth-resolved SW-XPS results thus provide a direct experimental link between the emergent magnetic and electronic interfacial
properties and represent strong evidence to support the model of Mn$^{4+}$-Mn$^{3+}$ double-exchange-mediated ferromagnetism in the LaNiO$_3$/CaMnO$_3$ superlattices.

The emergence of interfacial ferromagnetism mediated by the Ni$^{2+}$-O-Mn$^{4+}$ superexchange interactions requires the presence of off-stoichiometric Ni$^{2+}$ cations at the interface. In Figs. 3(f)-(i), we show the depth-dependent evolution of several features of the Ni 3$p$ core-level peak, which have been used in numerous prior studies to determine the Ni valence state in LaNiO$_3$ [43,44] and related compounds [45]. We label these components A-D in Fig. 3(g), in accordance with the convention used by Qiao et al. [see Fig. S2(b) from Ref. [43]]. Features A and C can be assigned to the Ni$^{2+}$ 3$p^{3/2}$ and Ni$^{3+}$ 3$p^{3/2}$, respectively; while B and D are their 3$p^{1/2}$ counterparts [43].

It is immediately apparent from Figs. 3(g)-(h) that the Ni$^{2+}$ components (A and B) are more intense, as compared to the Ni$^{3+}$ peaks (C and D). However, it is important to note that due to the inelastic attenuation of the photoelectrons in the buried LaNiO$_3$ layer, at the photon energy of 832.8 eV we are mostly sensitive to the top-most 'interface-like' region of the film. In fact, with the inelastic mean-free path estimated at ~15 Å [46], intensity of the photoemission signal from the central 'bulk-like' region of the film is diminished by approximately 40%, compared to the photoemission signal originating from the top LaNiO$_3$/CaMnO$_3$ interface [46,47]. Thus, from the first evaluation of the 3$p$ spectral lineshapes in Figs. 3(g)-(h), we can conclude that the interface region of the buried LaNiO$_3$ film contains a significant concentration of the Ni$^{2+}$ cations. For measurements carried out at incidence angles that are sufficiently far away from the Bragg condition to ensure almost uniform illumination of the film (12.5°-13.3°, or region ‘c’ in Fig. 3(a)), the relative intensity ratio (RIR) of the Ni$^{2+}$ peak components to the total 3$p$ intensity is estimated to be ~0.71 [see Fig. 3(f)].
Remarkably, in the Bragg condition, a SW node (dark spot) appears at the LaNiO$_3$/CaMnO$_3$ interface at $\sim$13.35° and propagates into the LaNiO$_3$ layer, thus suppressing the signal from the interface with a maximum effect at approximately 14.0° (region ‘d’ in Fig. 3(a)). Within the same angular range of $\sim$13.3°-14.0°, an antinode (bright spot) appears in the central region of the film, thus enhancing the signal from the 'bulk-like' LaNiO$_3$. Our data in Fig. 3(e) reveals that such enhancement of the 'bulk-like' signal (and simultaneous suppression of the 'interface-like' signal) results in a significant drop of the Ni$^{2+}$ RIR and an increase in the relative Ni$^{3+}$ peak intensities. A difference plot, comparing Ni $3p$ spectra in the interface-sensitive and bulk-sensitive experimental geometries highlights this depth-dependent disproportionation of the Ni valence states [Fig. 3(i)].

An effective way of confirming the above described depth-dependent disproportionation of the Ni species within the LaNiO$_3$ layer is to compare the lineshapes of the rocking curves (RC) for the Ni$^{2+}$ (A+B - blue) and Ni$^{3+}$ (C+D - green) individual components. Fig. 4 reveals a clear
shift to a higher grazing incidence angle of the entire Ni$^{3+}$ component RC, relative to the Ni$^{2+}$
RC. Such angular shift (estimated at approximately 0.3°) corresponds to a ~3.2 Å (about 1 unit-cell)
increase in the depth position of the SW probe inside of the LaNiO$_3$ layer. Thus, the
difference in the angular characteristics of the rocking curves in Fig. 4 suggests that the Ni$^{2+}$
components of the Ni 3$p$ spectrum originate mainly from the interfacial region with CaMnO$_3$,
while the Ni$^{3+}$ signal comes mainly from the deeper 'bulk-like' LaNiO$_3$ layers.

Evidence of such interfacial charge redistribution has been observed in a prior combined
XAS and resonant soft x-ray scattering study on similar superlattices [15] and is consistent with
the polar compensation picture [15,22], wherein polar mismatch at the LaNiO$_3$/CaMnO$_3$
interface leads to interfacial Ni$^{2+}$ cations in the polar LaNiO$_3$ layer through the formation of
oxygen vacancies. The ensuing interaction between the interfacial Ni$^{2+}$ and adjacent Mn$^{4+}$ on the
CaMnO$_3$ side of the interface is predicted to stabilize the ferromagnetic ground state via the
superexchange mechanism [48,49]. Our depth-resolved SW-XPS results support this model and
suggest that this interaction is likely confined to a narrow (1 unit-cell) region at the interface.

In conclusion, in this study we used SW-XPS in conjunction with x-ray optical modeling to
determine the valence-state profile of the Mn and Ni cations at an atomically-abrupt epitaxial 4
u.c. LaNiO$_3$ / 4 u.c. CaMnO$_3$ interface - a potential building-block for next-generation spintronic
devices. By looking at the depth-resolved evolution of the spectral lineshapes of the Mn 3$s$ and
Ni 3$p$ core levels, we observed an increased concentration of Mn$^{3+}$ and Ni$^{2+}$ cations at the buried
interface. Concomitantly, the 'formal valence' cations (Mn$^{4+}$ and Ni$^{3+}$) were shown to be more
abundant in the 'bulk-like' regions of the respective films. The observed depth-dependent charge
redistribution creates an electronic environment favorable for the emergence of interfacial
ferromagnetism mediated via the Mn$^{4+}$-Mn$^{3+}$ ferromagnetic double exchange and Ni$^{2+}$-O-Mn$^{4+}$
superexchange mechanisms. The competition between these two mechanisms can be influenced by tuning the thickness of the LaNiO$_3$ layer, which undergoes a metal-insulator transition in the ultrathin limit (<4 u.c.), resulting in the thickness-dependent controllability of the magnetic moment at the interface as demonstrated in a prior study [15].

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