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Anirban Mondal, J. Aneesh, Vikash Kumar Ravi, Rituraj Sharma, Wasim J. Mir, Mathew C. Beard, Angshuman Nag, and K. V. Adarsh Phys. Rev. B **98**, 115418 — Published 10 September 2018 DOI: 10.1103/PhysRevB.98.115418

## Ultrafast exciton many-body interactions and hot phonon bottleneck in colloidal cesium lead halide perovskite nanocrystals

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Defect tolerant perovskite nanocrystals of the general formula Cs-Pb-X<sub>3</sub> (where X= Cl, Br and I) have shown exceptional potential in fundamental physics as well as in novel optoelectronic applications as the next generation solar cells. Although exciton many-body interactions such as biexciton Stark shift, state filling, and Auger recombination are studied extensively, other important correlated effects like bandgap renormalization (BGR) and hot phonon bottleneck are not explored in these nanocrystals. Here we experimentally demonstrate the carrier density dependence of the BGR and an effective hot phonon bottleneck in CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> mixed halide nanocrystals. The results are compared with two other halide compositions, namely, CsPbBr<sub>3</sub> and CsPb(Br<sub>0.55</sub>I<sub>0.45</sub>)<sub>3</sub> nanocrystals with varying bandgaps. The optical response of the nanocrystals changes dramatically across the spectral range of many hundreds of meV at high carrier density due to large BGR. We have calculated the BGR constant  $\approx (6.0\pm0.3)\times10^{-8}$  eVcm for CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals that provides the amount of bandgap shift as a function of carrier density. In these nanocrystals, an efficient hot phonon bottleneck is observed at a carrier density of  $3.1\times10^{17}$  cm<sup>-3</sup> that slows down the thermalization by one order of magnitude. Our findings reveal that the complex kinetic profile of the exciton dynamics can be analyzed by the global target analysis using the sequential model with increasing lifetimes.

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#### I. INTRODUCTION

Hybrid organic-inorganic perovskites of the general formula APbX<sub>3</sub> where A and X are organic cation and halogen anion respectively have led to prototype solar cells, with photoconversion efficiencies above 22% [1] from a cost-effective solution processing thin-film synthesis. Although such polycrystalline perovskite thin-films have a considerable number of defects at the crystalline boundaries and interfaces, they exhibit exceptionally long carrier lifetimes (microseconds) with resulting carrier diffusion lengths of about ~ 1  $\mu$ m [2]. There is increasing evidence that the low recombination rates of these hybrid perovskites originate from an unusually strong coupling between the electron dynamics and the change in structure due to the rotation of the organic cation [3]. This means that a charge carrier moving through the lattice experiences a dynamical and responsive potential. In other words, the static band-structure picture of standard semiconductors, cannot fully explain the electronic properties of the hybrid perovskites. Motivated by the success of thin-film hybrid organic-inorganic perovskites [4], much more stable all-inorganic metal halide colloidal nanocrystals of the formula CsPbX<sub>3</sub> exhibiting similar kind of optoelectronic properties are being studied [5,6]. These CsPbX<sub>3</sub> nanocrystals exhibit exciting features such as high photoluminescence (PL) quantum yield (QE) [6, 7], reduced PL blinking [8], and high carrier mobility within a nanocrystal measured using terahertz spectroscopy [9]. The long lifetimes of the carriers in these nanocrystals are also attributed to their defect tolerant nature [10], meaning the energy levels of the defects are not deep within the bandgap. Consequently, carrier trapping is considerably reduced and results in a variety of exotic excited states. Moreover, CsPbX<sub>3</sub> nanocrystals have been used for various optical and optoelectronic applications, including low-threshold lasing [11], light emitting diodes [12, 13] and solar cells [14]. All these applications primarily rely on the nature of the bound electron-hole pair known as an exciton, typically generated by the absorption of a photon with energy greater than the bandgap.

Ultrafast transient absorption (TA) spectroscopy is an important tool to study the exciton dynamics and the many-body interactions in colloidal CsPbX<sub>3</sub> nanocrystals [15-17]. Depending on the

average number of excitons per nanocrystal <N>, they exhibit qualitatively different spectral and temporal features of exciton many-body interactions such as the biexciton effect, state-filling and Auger recombination [18, 19]. Biexcitons are two neutral bound excitons redshifted by Coulomb fields [20, 21]. For instance, CsPbBr<sub>3</sub>, CsPb(Br/I)<sub>3</sub> and CsPbI<sub>3</sub> nanocrystals have a biexciton interaction energy of 30-100 meV [18]. The state-filling is another important correlated effect in which the occupied excitons at the band edges block the transition of another exciton by Pauli's exclusion principle [22]. Aneesh et al. and Makarov et al. observed pronounced bleach signal at the positions of the first resonant exciton absorption due to state-filling in CsPbX<sub>3</sub> nanocrystals [19, 21]. Non-radiative Auger recombination is another many-body correlated effect in which the exciton transfers energy to a third particle that is re-excited to the higher energy state and dominates the decay of multi-excitons of CsPbX<sub>3</sub> nanocrystals of comparable sizes [21]. Similar kinds of ultrafast studies have also been carried out in organic-inorganic hybrid perovskite nanocrystals [23, 24].

Despite the extensive research activity on characterizing biexciton, state filling and Auger recombination in CsPbX<sub>3</sub> nanocrystals by transient absorption (TA) spectroscopy, quantitative understanding of other excitonic many-body interactions like bandgap renormalization (BGR) and hot phonon bottleneck are still lacking. In this manuscript, we quantitatively discern the BGR, carrier temperature, Auger recombination, and demonstrate the interplay between free-carrier induced bleaching of the excitonic and continuum transitions near the band edge. Three halide compositions of perovskite nanocrystals, namely, CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub>, CsPbBr<sub>3</sub> and CsPb(Br<sub>0.55</sub>I<sub>0.45</sub>)<sub>3</sub> with bandgaps in the range of 2.57 to 2.16 eV are studied. Representative data for CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals are mainly shown in the manuscript as representative of the other two halide compositions. We experimentally show the carrier density dependence of the BGR with the constant  $\gamma = (6.0\pm0.3) \times 10^{-8} \text{ eVcm}$  in CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals and demonstrate deviations of the longitudinal optical (LO) phonon carrier-cooling, which establish the thermal equilibrium, and is indicative of a hot-phonon bottleneck. We suggest that the complex kinetic profile of the exciton dynamics can be analyzed with the global target analysis using the

sequential model with increasing lifetime.

#### **II. SAMPLE PREPARATION AND PHYSICAL CHARACTERIZATION**

Colloidal CsPbBr<sub>3</sub> nanocrystals were prepared following the method reported by Protesescu et al [6]. The mixed-halide compositions, CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> and CsPb(Br<sub>0.55</sub>I<sub>0.45</sub>)<sub>3</sub> nanocrystals were prepared from CsPbBr<sub>3</sub> nanocrystals by employing partial anion exchange reactions [25, 26]. All three samples were characterized by using powder X-ray diffraction (PXRD), transmission electron microscopy (TEM) and energy dispersive x-ray analysis (EDAX). The PXRD pattern of Fig. S1 in supplemental material (SM) [27] shows that CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals exhibit crystal structure in between cubic CsPbCl<sub>3</sub> and orthorhombic CsPbBr<sub>3</sub> nanocrystals, similar to earlier reports [26]. As the halide compositions changes to CsPbBr<sub>3</sub> and then to CsPb(Br<sub>0.55</sub>I<sub>0.45</sub>)<sub>3</sub>, the PXRD peaks systematically shifts towards lower 2 $\theta$  values, signifying an increase in unit cell volume because of the increase in the size of halide ions. TEM image of CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals shown in Fig. 1(a) reveal that the nanocrystals exhibit cube-like morphology with average edge length ~11 nm. The composition of the perovskite nanocrystals were confirmed by EDAX measurements (Fig. S2 in SM [27]). For all spectroscopic measurements reported here, we have used the nanocrystals dispersed in toluene.

#### **III. RESULTS AND DISCUSSION**

#### A. Optical absorption and emission

Ground state optical absorption spectrum of  $CsPb(Cl_{0.20}Br_{0.80})_3$  nanocrystals shown in Fig. 1(b) demonstrate a sharp exciton band near the direct bandgap of the nanocrystals. The excitonic and continuum contributions of the band edge absorption spectrum are separated by fitting the experimental data using the Elliott equation for a hydrogenic Wannier-Mott exciton model [28]

$$\alpha_{T}(E) = \alpha_{e}(E) + \alpha_{c}(E) = \frac{C}{2\pi^{2}R_{ex}a_{B}^{3}} \left[ \sum \frac{4\pi}{n^{3}} \delta \left( \frac{E - E_{g}}{R_{ex}} + \frac{1}{n^{2}} \right) + \sqrt{\frac{E - E_{g}}{R_{ex}}} \left[ \frac{\frac{2\pi}{l}}{1 - e^{-\frac{2\pi}{l}}} \right] \right]$$
(1)

where C, E,  $E_g$ ,  $R_{ex}$ ,  $a_B$ ,  $\delta$  are related to the oscillator strength, energy of light, bandgap, excitonic

Rydberg energy, exciton Bohr radius and delta function respectively. Here  $l = \left(\frac{E - E_g}{R_{ex}}\right)^{0.5}$ . The first

term describes the sharp absorption onset due to discrete excitonic bound state below the bandgap. The second term in the eq. 1 describes the absorption of continuum states where the right square brackets terms describe the Sommerfeld factor that modifies the above bandgap absorption. We modeled the absorption spectrum using an inhomogeneous line broadening model through convolution of eq.1 with a Gaussian function. Details are described in SM [27]. For photon energies greater than 2.8 eV, the absorption no longer follows eq. 1 due to the contribution from higher energy bands and does not affect the spectral analysis described in this manuscript. The absorption contributions of the excitonic (blue lines) and continuum (green lines) from the spectral fitting for CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals are shown in fig. 1(b). From this analysis, we have found that exciton  $(E_0)$  and bandgap  $(E_g)$  of continuum transitions are centered at 2.57±0.05 and 2.59±0.01 eV respectively. Fitting parameters are tabulated in Table 1. Before exploring the exciton-many body interactions of  $CsPb(Cl_{0.20}Br_{0.80})_3$  nanocrystals, we have scrutinized the composition dependent excitonic position and bandgap by changing the halogen composition. Typical absorption spectrum of CsPbBr<sub>3</sub> and CsPb(Br<sub>0.55</sub>I<sub>0.45</sub>)<sub>3</sub> nanocrystals are shown in the SM (Fig. S3). As shown in Table. 1, we can observe a consistent redshift of the exciton and bandgap energies when the composition is changed from  $CsPb(Cl_{0.20}Br_{0.80})_3$  to  $CsPb(Br_{0.55}I_{0.45})_3$  and the trend is in accordance with the recent reports [6, 18, 21]. Fig. 1(c) shows strong and narrow (full width at half maximum ~ 80 meV) excitonic photoluminescence peak at 2.55 eV which exhibit a Stokes shift of ~20 meV from the corresponding excitonic absorption, in agreement with the previous results [26].

### B. Poisson statistics and absorption cross section of mixed halide CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals.

We have calculated the average number of excitons per nanocrystal  $\langle N \rangle$  by using the equation  $\langle N \rangle = \sigma \phi$ , where  $\sigma$  and  $\phi$  are optical absorption cross-section at the pump beam wavelength and the incident number of photons/cm<sup>2</sup> respectively. To determine  $\sigma$ , we have used femtosecond transient absorption (TA) spectroscopy. In our experiment, the nanocrystals were excited by 120 fs pulses of center wavelength 400 nm (above bandgap) and change in absorption of the sample is probed by ultrashort white light pulses (wavelength range 450– 700 nm). We have modeled the bleach maxima of the TA at different pump fluences and probe delays using the Poisson distribution, which gives the probability of having nanocrystals with *n* excitons, *P*(*n*) [17, 21]

$$P(n) = \frac{\langle N \rangle^n e^{-\langle N \rangle}}{n!}$$
(2)

We then can define the normalized TA bleach for the biexciton state [17, 18, 21]

$$-\frac{\Delta A}{A} = P(1) + 2[1 - P(0) - P(1)]$$
(3)

here, 2 comes from the 2- fold degenerate band edges [17, 21]. From eq. 2, we can write  $P(0) = \exp(-\langle \sigma \varphi \rangle)$  and  $P(1) = \langle \sigma \varphi \rangle \exp(-\langle \sigma \varphi \rangle)$ , respectively. Then, the early time normalized TA for a biexciton can be modeled using eq. 3 as

$$-\Delta A = A[2 - (2 + \langle \sigma \varphi \rangle)e^{-\langle \sigma \varphi \rangle}]$$
<sup>(4)</sup>

Figure. 1(d) shows the plot of -  $\Delta A$  as a function of the  $\langle \sigma \varphi \rangle$  at a delay time of 3 ps, and from the best fit to the data using eq. 4 we estimated the value of  $\sigma = (1.4 \pm 0.1) \times 10^{-14} \text{ cm}^2$ . Similarly, at a long

delay time t = 500 ps, when there is only one exciton in the nanocrystals, we can define the amplitude of the transient bleach using the Poisson distribution as

$$-\Delta A = A[1 - e^{-\langle \sigma \varphi \rangle}]$$
<sup>(5)</sup>

By fitting the fluence dependent TA bleach (fig. 1(d), we have accurately determined the value of  $\sigma = (1.5 \pm 0.1) \times 10^{-14} \text{ cm}^2$  for CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals. The values of  $\sigma$  we calculated using eq. 4 and 5 found to agree with the method discussed in ref [26, 29, 30] and SM [27] and the values are similar to the previous reports [18, 19, 21]. The values of  $\sigma$  for CsPbBr<sub>3</sub> and CsPb(Br<sub>0.55</sub>I<sub>0.45</sub>)<sub>3</sub> nanocrystals are similar to CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals.

#### C. Exciton many-body interactions and biexciton Stark shift

Fig. 2 (a) shows the contour plot of the TA spectrum when the CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals sample is excited with the fluence of 14  $\mu$ J/cm<sup>2</sup>, corresponding to <N> = 0.48. We have plotted the cross-sections of the contour at different probe delays in Fig. 2(b). For sub-picosecond pump-probe delay times, the TA spectrum exhibit an asymmetric derivative peak shape with a photo-induced absorption on the lower energy side of the bandgap along with negative signal (photon-induced bleach) on the higher energy side. After 1 ps, the derivative peak shape disappears completely and is replaced with a bleach signal (green and purple circles in Fig. 2b). The spectral characteristics of the early time dynamics indicate that the derivative shape originates from a biexciton state. Thus, at the early timescales, presence of the pump induces an exciton redshift of  $\delta_{xx}$  [19, 21] which can be used as a sensitive indicator of many-body interactions.

TA spectrum at different timescales can be modeled accurately by considering the excitonic effect which comes from the biexciton Stark shift due to exciton-exciton interaction and the continuum effects such as redshift in bandgap due to bandgap renormalization (BGR) and bleaching of continuum bands (due to state filling) defined by the quasi-Fermi energy. The change in absorbance  $\Delta A_{ex}(E,t)$  due to biexciton Stark effect can be written as

$$\Delta A_{ex}(E,t) = A_{e}e^{-\left(\frac{x-x_{0}-\delta_{xx}}{w}\right)^{2}} - A_{g}e^{-\left(\frac{x-x_{0}}{w}\right)^{2}}$$
(6)

where A,  $x_0$  and w are the amplitude, center of exciton transition and width, respectively. The subscripts e and g refer to the excited and ground states respectively. The change in absorbance due to continuum states  $\Delta A_{fc}(E)$  can be written [31]

$$\Delta A_{fc}(E) = A_{fc}(E; E_g - \Delta E_g) [1 - f_e^q(E; E_f^q, T_c)]^2 - A_{fc}(E, E_g)$$
<sup>(7)</sup>

where  $f_e^q(E; E_f^q, T_c)$  is the Fermi–Dirac distribution under excitation characterized by a quasi-Fermi energy  $E_f^q$  and a carrier temperature  $T_c$ .  $A(E, E_g)$  and  $A(E; E_g - \Delta E_g)$  are the absorption spectrum before and after BGR. For deriving eq. 7 we have assumed that  $f_e^q \approx f_h^q$  since the electron and hole effective masses are nearly the same for lead halide perovskites [6, 32-34]. Then the TA ( $\Delta A(E,t)$ ) spectrum can be modeled by using eq. 6 and 7 such that

$$\Delta A_{ex}(E,t) = A_e e^{-\left(\frac{x-x_0-\delta_{xx}}{w}\right)^2} - A_g e^{-\left(\frac{x-x_0}{w}\right)^2} + A_{fc}(E;E_g - \Delta E_g) \left[1 - f_e^q(E;E_f^q,T_c)\right]^2 - A_{fc}(E,E_g)$$
(8)

Fig. 2(b) shows the representative fits using eq. 8 that matches very well to the experimental data for  $CsPb(Cl_{0.20}Br_{0.80})_3$  nanocrystals. Similar analyses were carried out for other two nanocrystal compositions as well and the best fit parameters are listed in the Table. 2. From the table, we can notice that the value of biexciton Stark shift ( $\delta_{xx}$ ) = 6 ± 1 meV for CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals. As can be seen from the Table. 2 that the value of  $\delta_{xx}$  of our CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystal is comparable to that of our CsPbBr<sub>3</sub> nanocrystals, however slightly lower than CsPb(Br<sub>0.55</sub>I<sub>0.45</sub>)<sub>3</sub> nanocrystals of similar sizes. Further study, with more samples of different compositions is required for better understanding of the

dependence of  $\delta_{xx}$  on halide compositions of perovskite nanocrystals. The calculated  $\delta_{xx}$  from our analysis are consistent with the values reported from PL measurements [21]. The excitonic contribution to the TA spectrum is schematically shown in Fig. 2(c).

After  $\Delta t > 1$  ps, asymmetric derivative feature in the TA spectrum disappears completely and shows strong bleach signal. To explain this effect, we assumed that at the initial times, the hot carrier distributions do not occupy the new lowered band edge due to biexciton effect and hence result in absorption. However, as  $\Delta t$  increases, hot-carriers relax into low energy states of the band edges and lead to state-filling [19] which result in bleaching via Pauli blocking. We also assume that exciton and biexciton emissions also contribute to the strong bleach [35] in addition to the state filling that replaces the induced absorption.

#### D. Continuum bleach and bandgap renormalization

The contributions of the continuum (joint density of states with bandgap  $E_g$ ) at an early time of 0.3 ps is schematically represented in Fig. 3(a) which shows the carrier distribution characterized by high temperature (T<sub>c</sub>) determined by ( $\hbar\omega_{pump}$ -E<sub>g</sub>) and quasi-Fermi energy ( $E_f^q$ ) describing the population of carriers in the valence and conduction bands. The excited carriers created by the pump beam reduce the bandgap and red-shift the joint density of states resulting in BGR. The TA spectrum for the continuum states defined by eq. 7 results in broad bleach, shown in the lower panel of Fig. 3(a). After some time, the carriers undergo thermalization due to inelastic scattering and sharpen the TA as depicted in Fig. 3(b). During this time, we observe a broad absorption feature on the higher energy side (>2.7 eV) termed as photoinduced absorption (PIA).

We have performed a systematic carrier density (n) dependent study to characterize the BGR. Figure 4(a-b) shows the TA spectrum of  $CsPb(Cl_{0.20}Br_{0.80})_3$  nanocrystals at two different probe delays indicated in the figure. TA spectra at 0.3 ps probe delay shown in Fig. 4(a), mainly consist of the asymmetric derivative feature discussed above. It can be noticed from the figure that higher excitation fluence results in the increase in broadening of the absorption and bleach indicating a large shift in the density of states due to BGR. Another evidence of BGR can be observed from the PIA at the higher energy side (2.7 to 2.8 eV) of Fig. 4(b) where the bleach is replaced with the absorption. This notable observation primarily comes from the shifted continuum (see Fig. 3). The PIA of the TA spectrum that is not overlapping with the exciton bleach has a (E)<sup>-0.5</sup> dependence is indicative of BGR by charge carrier accumulation. Therefore, PIA that significantly changes as a function of carrier density can be better described by

$$PIA(E) = \frac{A \times \Delta E_g}{(E)^{0.5}} = \frac{A \times 2\gamma n^{\frac{1}{3}}}{(E)^{0.5}}$$
(9)

where A,  $\gamma$ ,  $\Delta E_g$  and n are absorbance, BGR constant, shift in bandgap and carrier density respectively. By using eq. 9, we have shown in Fig. 4(c) the linear dependence of  $\Delta E_g$  on n<sup>1/3</sup>. From the slope of the linear fit, we have estimated the value of  $\gamma = (6.0\pm0.3)\times10^{-8}$  eVcm for CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals. PIA onsets of BGR have been observed in CsPbBr<sub>3</sub> and CsPb(Br<sub>0.55</sub>I<sub>0.45</sub>)<sub>3</sub> nanocrystals also and the respective values are shown in Table. S1 and the fits in Fig. S4 of SM [27]. From the table, it can be noticed that the  $\gamma$  values are comparable and also similar to bulk lead iodide perovskites [31]. Since  $\gamma$  is related to the bandgap ( $\Delta E_g$ ) shifts at higher carrier density, it is an important parameter for applications.

We also observe a broad featureless photoinduced absorption at lower energy side from 2.1 to 2.4 eV of Fig. 4 (a) at higher carrier density (n =  $3.1 \times 10^{17}$  cm<sup>-3</sup>). Since the probe energy in this range certainly cannot invoke interband transitions from the valence band to the conduction band, and since we have not seen the expected Drude free carrier absorption behavior in which the absorption follows a (*E*)<sup>-2</sup> dependence, we assigned this to the intraband transitions of the excited state. A similar broad

photoinduced absorption tail has been observed in perovskite nanocrystals [36] and  $PbI_2$  films [37] where it was associated with intraband transitions.

#### E. Exciton and Auger recombination

The complex kinetic profile of the exciton dynamics is analyzed by the global target analysis using the sequential model with increasing lifetimes. In this model, we globally fitted the decay processes of excitons by a linear combination of 3 exponentials given by

$$\Delta A(\lambda, t) = i(t) \otimes \sum_{1}^{3} \alpha_{l}(\lambda) \exp(-\frac{t}{\tau_{l}})$$
(10)

Where i(t),  $\alpha_l(\lambda)$ ,  $\tau_1$ , and  $\otimes$  are the instrument response function, amplitude of the exponential decay function, characteristic time constant to decay to 1/e of its original value and the convolution operator respectively. Fig. S5 of SM [27] show that three decay constants best fit the cross-sections of the contour of CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals with  $\langle N \rangle = 0.48$ . The three decay constants  $\tau_1$ ,  $\tau_2$ , and  $\tau_3$  are 0.3, 29 and 3100 ps respectively.

We associate the fast decay constant  $\tau_1 = 0.3 \pm 0.2$  ps to the thermalization time for intraband cooling because, within this period, early time band edge absorption at 2.49 eV is replaced by a strong bleaching at 2.55 eV due to the state filling when carriers relax into the band edges [19]. Therefore, thermalization time can also be determined by fitting the decay of the early time band edge absorption and the buildup of the band edge bleach (Fig. 5(a)). We found that these values are exactly matching with  $\tau_1$ .

We have assigned the  $\tau_2 = 30 \pm 2$  ps to the lifetime of the biexciton Auger recombination. To ascertain this value to the Auger recombination, we have compared TA decay curves of different pump fluence after normalizing the decay curves so as to match their long-term decay. This procedure is based on the idea that at sufficiently long delay time, there is only a single exciton left in each nanocrystal and at these timescales, there will be no Auger recombination. Then we followed a simple subtractive procedure discussed in Ref [38] and fitted the data with a single exponential decay function (Fig. 5(b)). In this method we have subtracted the TA of  $\langle N \rangle = 0.13$  from the trace recorded for  $\langle N \rangle > 1$ . By fitting the data with a single exponential function, we have observed a pump fluence independent decay constant =  $29 \pm 2$  ps for CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals, which matches with the value obtained from the global target analysis. Thus we confirm that  $\tau_2$  is the lifetime of the biexciton Auger recombination. The biexciton Auger lifetime of our mixed halide nanocrystal is comparable to that of CsPbBr<sub>3</sub> ( $\tau_2 = 31\pm 2$  ps) and CsPb(Br<sub>.50</sub>I<sub>0.45</sub>)<sub>3</sub> ( $\tau_2 = 37\pm 2$  ps) nanocrystals of similar size. Further, fluence dependent study also revealed the striking evidence that the nanocrystals have only biexciton and single exciton lifetimes. This observation suggests that the band edge states are 2-fold degenerate [18, 21].

We have assigned  $\tau_3 = 3100 \pm 50$  ps to the single exciton lifetime. To prove this, we have measured the lifetime of the exciton bleach maximum at 2.55 eV (Fig. 5(c) for the lowest excitation fluence (<N> = 0.13), for which the Auger recombination is negligible. The data is fitted with a single exponential function of decay constant 3030 ± 170 ps. These values are close to the average PL lifetime (~5100 ± 110 ps) shown in Fig. S6 of SM [27], however the slight difference in decay constant of both the methods, may be because all nanocrystals in the measured ensemble are characterized by the same radiative decay constant while the non-radiative decay differs among the nanocrystals [21]. Thus, our analysis clearly demonstrates that the complex kinetic profile of the exciton dynamics can be analyzed by the global target analysis using the sequential model with increasing lifetimes. Further, from this analysis, we can approximately estimate the population of each process which is otherwise difficult to estimate from conventional method discussed in ref [19, 21]

#### F. Thermalization and observation of hot phonon bottleneck

Another fundamentally important property is the extremely fast intraband relaxation where photogenerated hot carriers dissipate the absorbed optical energy as lattice heat via longitudinal optical (LO) phonon emission [39]. Such processes are influenced by phonon bottleneck in which the cooling of nonequilibrium hot carriers is slowed down at higher excitation fluence (carrier concentrations). Since phonon bottleneck is desired for photovoltaic applications, we have studied this effect by extracting the carrier temperature ( $T_c$ ) of non-equilibrium carriers by fitting the higher energy tails of the exciton bleach in the TA. For the hot carriers with energies substantially higher than the Fermi level, the Fermi-Dirac distribution function can, in general, be approximated to Maxwell-Boltzmann distribution [31,40] which then used to extract Tc by fitting the high energy tail of the TA spectrum (Fig. 6(a)) using the equation

$$\Delta A = A_1 \exp\left(-\frac{E}{k_B T_c}\right) \tag{11}$$

where  $k_B$  is the Boltzmann constant and E is the energy =  $\hbar\omega$ . For calculating T<sub>c</sub>, eq. 11 is then fitted to a high energy tail of bleaching spectrum, where the selected tail is from 1/3 of the bleach maximum with a 0.3 eV length (L) along the x axis (our selection of this fitting scheme shows reasonably stable and reproducible fitting results under different excitation fluences).

We first examine the cooling of hot carriers under a low pump excitation fluence of  $3.8 \ \mu J/cm^2$  that correspond to  $\langle N \rangle = 0.13$  and a carrier density of  $5.8 \times 10^{15} \text{ cm}^{-3}$ . At such low carrier density, multiparticle recombination such as Auger recombination is negligible. In Fig. 6(b), we have plotted T<sub>c</sub> as a function of probe delay. The average lifetime of the thermalization process is obtained by using single exponential fit with  $\tau_1 = 0.3 \pm 0.2$  ps for CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals. Strikingly, when we increased the carrier density to  $3.1 \times 10^{17} \text{ cm}^{-3}$  a subsequent slower cooling rate extended to several ps is observed that is a clear indication of hot phonon bottleneck (Fig. 6(b)). At this high carrier density, the average thermalization times are obtained by using a double-exponential fit applied from the initial high carrier temperatures to the end of the cooling curve. Interestingly the initial rapid cooling rate is independent of fluence and is attributed to the Fröhlich phonon emission, a process where the hot-carrier couples to longitudinal optical (LO) phonons to establishe a thermal equilibrium [40, 41]. After assigning, the fast thermalization to the carrier–LO phonon interactions, which governs the carrier relaxation in the first

cooling stage, we assigned the slower decay of hot carriers with a lifetime of about  $10\pm1$  ps, existing only at higher carrier density of  $3.1\times10^{17}$ /cm<sup>3</sup> to the hot-phonon bottleneck.

#### **IV. CONCLUSIONS**

In summary, we have experimentally demonstrated in defect tolerant cesium lead mixed halide perovskite nanocrystals that the interplay between the excitonic and continuum transitions near the band edge leads to two important correlated effects (1) biexciton Stark shift and (2) shift in continuum bands. These correlated effect values of CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> is comparable to that of CsPbBr<sub>3</sub>, however slightly lower than CsPb(Br<sub>0.55</sub>I<sub>0.45</sub>)<sub>3</sub>. Further, detailed studies with more samples of different compositions are required for better understanding of the composition dependence . Carrier thermalization and hot phonon bottleneck are studied by extracting the carrier temperatures at different excitation fluence, which has revealed an efficient hot phonon bottleneck at higher carrier densities that slows down the rapid thermalization by an order of magnitude. We suggest that the complex kinetic profile of the exciton dynamics can be analyzed with the global target analysis using the sequential model of 3 decay constants of increasing lifetimes assigned to thermalization, biexciton non-radiative Auger recombination, and single exciton decay. Our findings provide the fundamental understanding of the photophysics of perovskite nanocrystals which is crucial for the development of optoelectronics applications, for example, solar cells, light emitting diode and nanocrystal laser.

#### ACKNOWLEDGMENTS

The authors gratefully acknowledge the Department of Science and Technology (Project no: EMR/2016/002520) and DAE-BRNS (Project no: 37(3)/14/26/2016-BRNS/37245) for financial support. V.K.S. and W.J.M. acknowledge IISER, Pune and CSIR, Govt. of India, respectively, for research fellowships. K.V.A gratefully acknowledges the DST-IUSSTF BASE fellowship. MCB acknowledges support as part of the Center for Hybrid Organic Inorganic Semiconductors for Energy (CHOISE) an Energy Frontier Reseach Center funded through the Office of Basic Energy Sciences, Office of Science

within the US Department of Energy (DOE). Support to the National Renewable Energy Laboratory was provided by DOE under Contract No. DE-AC36-08GO28308. The views expressed in the article do not necessarily represent the views of the DOE or the U.S. Government. The U.S. Government retains and the publisher, by accepting the article for publication, acknowledges that the U.S. Government retains a nonexclusive, paid-up, irrevocable, worldwide license to publish or reproduce the published form of this work, or allow others to do so, for U.S. Government purposes.

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Table 1. Bandgap ( $E_g$ ), exciton binding energy ( $E_b$ ) and centre of exciton transition ( $E_0$ ) for nanocrystals of different compositions.

Sample	E <sub>g</sub> (eV)	E <sub>b</sub> (meV)	$E_0(eV)$
CsPb(Cl <sub>0.20</sub> Br <sub>0.80</sub> ) <sub>3</sub>	2.59±0.01	20±1	2.57±0.05
CsPbBr <sub>3</sub>	2.48±0.02	20±1	2.46±0.06
CsPb(Br <sub>0.55</sub> I <sub>0.45</sub> ) <sub>3</sub>	2.17±0.01	10±0.1	2.16±0.02

Sample	$\delta_{xx}$ (meV)*	$\Delta E_g$ (meV)*
CsPb(Cl <sub>0.20</sub> Br <sub>0.80</sub> ) <sub>3</sub>	6±1	33±2
CsPbBr <sub>3</sub>	5±1	41±3
CsPb(Br <sub>0.55</sub> I <sub>0.45</sub> ) <sub>3</sub>	17±1	97±5

Table 2. Biexciton shift ( $\delta_{xx}$ ) and shift in bandgap ( $\Delta E_g$ ) for nanocrystals of different compositions.

\*Carrier density (cm<sup>-3</sup>) =  $1.8 \times 10^{16}$  cm<sup>-3</sup>



Fig.1. (a) TEM image of 11 nm  $CsPb(Cl_{0.20}Br_{0.80})_3$  nanocrystals. (b) Optical absorption spectrum of  $CsPb(Cl_{0.20}Br_{0.80})_3$  nanocrystal together with theoretical fit. (c) Normalized photoluminescence spectrum of colloidal  $CsPb(Cl_{0.20}Br_{0.80})_3$  nanocrystals. (d) Optical absorption cross-section calculation from Poisson statistics.



Fig. 2. Data for colloidal CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals. (a) Contour plot of TA at the fluence of 14  $\mu$ J/cm<sup>2</sup>. (b) Cross sections of contour at different probe delays at the carrier density of 1.8×10<sup>16</sup> cm<sup>-3</sup>. Here the solid lines represent the global fit using Eq. 8. (c) Excitonic contribution to the TA spectrum.



Fig. 3. Data for colloidal CsPb( $Cl_{0.20}Br_{0.80}$ )<sub>3</sub> nanocrystals. Status of Joint density of states and quasi Fermi level (a) before thermalization (b) after thermalization (lower inset represents the TA bleach for continuum states and lower corner inset represent the photoinduced absorption above 2.6 eV). Yellow line and violet filled Gaussian are modified joint density of states and 400 nm pump laser pulse respectively.



Fig. 4. Data for colloidal CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals. (a) and (b) represent fluence dependent TA spectrum at 0.3 ps and 25 ps respectively. Photoinduced absorption in the lower energy side at higher carrier density is attributed to intraband excited state absorption. The inset in fig.(b) presents the photoinduced absorption signifying bandgap renormalization. (c) Plot of  $\Delta E_g$  vs n<sup>1/3</sup> showing bandgap renormalization of CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals with the BGR constant of (6.0 ± 0.3)×10<sup>-8</sup> eVcm.



Fig. 5 Data for colloidal CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals. (a) The kinetic traces showing the correlation between the early time absorption at 2.49 eV and bleach at 2.55 eV. The solid lines represent single exponential fit, which gives the intraband cooling time of  $0.30 \pm 0.02$  ps. (b) Pump fluence dependent decay kinetics of bleach signal after subtracting the low pump intensity ( $\langle N \rangle = 0.13$ ) from the higher pump fluence ( $\langle N \rangle > 1$ ). (c) Normalized TA data for low pump fluence correspond to  $\langle N \rangle = 0.13$ . Solid line represents single exponential fit.



Fig. 6. (a) Normalized negative TA spectrum at different pump-probe delays, and (b) thermalization of CsPb(Cl<sub>0.20</sub>Br<sub>0.80</sub>)<sub>3</sub> nanocrystals excited at different carrier densities.