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Omri Golan and Ady Stern

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Probing topological superconductors with emergent gravity

Omri Golan* and Ady Stern

Department of Condensed Matter Physics, Weizmann Institute of Science, Rehovot 76100, Israel

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Topological superconductors are characterized by topological invariants that describe the number and nature of their robust boundary modes. These invariants must also have observable consequences in the *bulk* of the system, akin to the quantized bulk Hall conductivity in the quantum Hall effect, but such consequences are made elusive by the spontaneous breaking of $U(1)$ symmetry in the superconductor. Here we focus on 2+1 dimensional spin-less p -wave superconductors and show that *emergent gravity* serves as a natural *bulk* probe for their topological invariant. This emergent gravity is due to the same attractive interaction between fermions that leads to superconductivity, and is therefore built into topological superconductors. The bulk response of a topological superconductor to the emergent gravitational field is encoded in a gravitational Chern-Simons term, and is related to the existence of robust boundary modes via energy-momentum conservation, or gravitational anomaly inflow. The gravitational Chern-Simons term implies a universal relation between variations in the superconducting order parameter and the energy-momentum currents and densities that they induce. The spontaneous breaking of $U(1)$ symmetry in the superconductor leads to additional bulk responses, encoded in a gravitational *pseudo* Chern-Simons term. Although not of topological nature, these carry surprising similarities to the topological responses of the gravitational Chern-Simons term. We show how these two types of responses can be disentangled.

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* omri.golan@weizmann.ac.il.

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I. INTRODUCTION

In this paper we study spin-less p -wave superconductors (SC) in 2+1 dimensions. These are superconductors that can be thought of as microscopically comprised of charge 1 spin-less fermions with an attractive two body interaction. The interaction is such that it can efficiently be described as an interaction of the fermions with a charge 2 spin 1 boson, which is the superconducting order parameter, as in BCS theory. This boson represents a condensate of Cooper pairs, where the fermions in a pair have relative orbital angular momentum 1, as opposed to s -wave SC, where the relative orbital angular momentum is 0. p -wave pairing has been experimentally observed in thin films of superfluid He-3 [1], and there are many solid state candidates [2]. Another notable candidate is the 5/2 fractional quantum Hall state which has been proposed to be a p -wave SC of composite fermions [3, 4].

Within mean field theory, p -wave SC are known to realize gapped topological phases [4], or symmetry protected topological phases (SPT) [5]. The most notable known manifestation of the existence of distinct topological

phases, is the formation of chiral Majorana (Majorana-Weyl) spinors on spatial domain walls between different phases, and Majorana bound states, or zero modes, in the cores of vortices [4]. These Majorana bound states exhibit non abelian braiding statistics, and may therefore be used as building blocks for a topological quantum computer [6, 7]. This is the main drive behind the intense research of p -wave SC in recent years.

The different topological phases of the p -wave SC are characterized by an integer valued topological invariant, which is the Chern number ν . An important physical manifestation of the Chern number is the net chirality C of the chiral Majorana spinors on the boundary between a p -wave SC and vacuum. More generally, there are Majorana spinors with net chirality $C = \Delta\nu$ on spatial boundaries between different topological phases, where the Chern number jumps by $\Delta\nu$ [4, 8, 9]. The equation $C = \Delta\nu$ is referred to as *bulk-boundary correspondence*.

Although the bulk of a topological superconductor is expected to manifest the topological invariant, in a way similar to the quantized Hall conductivity of a quantum Hall state, the spontaneous breaking of $U(1)$ symmetry in superconductors makes this manifestation elusive. In this paper we address two fundamental questions in this context:

Question 1: What is the physical manifestation of the Chern number in the *bulk*, i.e, what is the *topological bulk response*?

Question 2: What is the physical principle behind bulk-boundary correspondence?

Question 1 is of both conceptual and practical importance. Answering it provides a definition for the Chern number in terms of physical bulk observables, which may be used in experiment to probe the topological phase diagram of a p -wave SC. Question 2 is of conceptual importance. It asks for the physical obstruction to the existence of edge states without a topological bulk. As explained below, the two questions are intimately related.

In order to clarify the above questions, and the type of answers we are after, it will be useful to briefly review the closely related integer quantum Hall effect (IQHE), where the answers to both of our questions are known, in the language of the *anomaly inflow* mechanism.

Like the p -wave SC, the IQHE is 2+1 dimensional and is characterized by the same Chern number ν , despite the difference in symmetries¹. For the IQHE, the answer to Question 1 is that $\nu/2\pi$ is the quantized Hall conductivity in units of e^2/\hbar [13–17], illustrated in Fig.1(a). Equivalently, the effective action for a background $U(1)$ gauge field A contains a $U(1)$ Chern-Simons (CS) term $\frac{\nu}{4\pi} \int A dA$, where we have set $e = 1 = \hbar$. The answer

¹ Depending on convention, one either says that the p -wave SC does not have any symmetries and that the IQHE has $U(1)$ symmetry [10], or that the p -wave SC has particle-hole symmetry (symmetry class D) while the IQHE has no symmetries (symmetry class A) [11, 12].

to Question 2 is that charge conservation, or $U(1)$ symmetry, is the physical principle behind bulk-boundary correspondence, as depicted in Fig.1(c). In the IQHE boundaries carry chiral (Weyl) spinors with net chirality $C = \Delta\nu$, which have a $U(1)$ anomaly [18–22]. A physical implication of the anomaly is that the expectation value of the boundary current j^α ($\alpha = t, x$) is not conserved in the presence of an electric field parallel to the boundary, $\partial_\alpha \langle j^\alpha \rangle = \frac{C}{2\pi} E_x^2$. A 1+1 dimensional system that microscopically conserves charge cannot be described by Weyl spinors with $C \neq 0$, because the anomaly implies an unphysical source of charge. In the context of the IQHE this source of charge is physical, and is due to the difference of bulk Hall currents $\langle J^y \rangle = \frac{\nu}{2\pi} E_x$ on the two sides of the boundary, since $C = \Delta\nu$. This is the anomaly inflow mechanism [23–25]. Running the argument backwards, bulk-boundary correspondence follows from bulk+boundary charge conservation in the presence of an electric field.

The relation between anomaly inflow and topological phases is much more general. It has been suggested, and to a large extent shown, that the existence of anomalies in $D-1$ dimensions is equivalent to the existence of corresponding topological phases in D dimensions, related by the anomaly inflow mechanism [26, 27]. Moreover, since anomalies are known to be robust to weak interactions, they naturally classify topological phases of weakly interacting fermions [27]. In many instances the anomaly also suggests a topological bulk response.

We can now go back to the p -wave SC, and sharpen Questions 1,2 to "what is the topological bulk response, and what is the boundary anomaly corresponding to this response through anomaly inflow?". In the p -wave SC, boundaries carry 1+1 dimensional chiral Majorana spinors, which do not carry $U(1)$ charge. Thus there is no $U(1)$ anomaly and no corresponding bulk CS term, or quantized Hall conductivity [4, 8]³. In fact, the only conserved quantity such a spinor does carry is energy-momentum, associated with space-time symmetries. The only relevant anomaly is therefore the *gravitational anomaly*, where energy-momentum conservation is violated. Chiral Majorana spinors in 1+1 dimensions indeed possess such an anomaly [19, 28, 29]. Just as the $U(1)$ anomaly is manifested in the presence of a background electric field, so does the gravitational anomaly manifests itself in the presence of a background metric with curvature gradients. Like the $U(1)$ anomaly inflow described above, the gravitational anomaly in 1+1 dimensions can be interpreted as the inflow of energy-momentum from a 2+1 dimensional bulk with an appropriate Chern-Simons term, which is the *gravitational Chern-Simons* term (gCS) [30], see section VII B 2 for

the definition. Based on these facts it was argued that a gCS term with coefficient $\alpha = \frac{\nu/2}{96\pi} \in \frac{1}{192\pi}\mathbb{Z}$ should arise when integrating out the bulk fermions in a p -wave SC [4, 26]. Similar statements were made in [31, 32]. The gCS term then describes a topological bulk response to the background metric, from which the Chern number can in principle be measured, and bulk-boundary correspondence follows from energy-momentum conservation in the presence of a metric with curvature gradients. One arrives at the appealing conclusion that a p -wave SC is a manifestation of the gravitational anomaly inflow mechanism, just as the IQHE is a manifestation of the $U(1)$ anomaly inflow mechanism.

The only problem with the above conclusion is that the actual gravitational field is negligible in condensed matter experiments. The actual metric of space-time is, for all practical purposes, flat. Therefore, in order to find a physically relevant topological bulk response of the p -wave SC, one must find some probe that couples to the fermions as gravity, at least at low energies⁴.

What probe, or background field, could play the role of gravity? One approach is to use real geometry, induced by curving the 2 dimensional sample in 3 dimensional space. This works well for the IQHE and has led to a remarkable body of work on geometric responses of quantum Hall states [34–49]. For the p -wave SC, understanding the effect of real geometry is more complicated, and we will come back to this point in the discussion, section IX.

A second approach introduces effective gravity through a space dependent temperature [4]. In this approach the corresponding bulk response was suggested to be a quantized bulk *thermal* Hall conductivity [26, 31].

The motivation for this suggestion is two fold. First, there is an argument due to Luttinger that shows that the thermal conductivity is essentially given by the response of a system to a gravitational field [50, 51]. Second, there is a well known derivation of the thermal Hall *conductance* (as opposed to conductivity) for 2+1 dimensional topological phases with chiral boundaries which gives $\kappa_{xy} = c_{\text{chiral}} \frac{\pi T}{6}$ [52] where T is the (average) temperature and c_{chiral} is the chiral central charge of the boundary, given by C for the IQHE and by $C/2$ for the p -wave SC. Using bulk-boundary correspondence one obtains κ_{xy} in terms of the bulk Chern number ν and the temperature, which is analogous to $\sigma_{xy} = \frac{\nu}{2\pi}$. This thermal Hall conductance was indeed measured recently in quantum Hall systems [53–55]. One may then hope to obtain the same result, now for the bulk thermal conductivity, from the gCS term, by using Luttinger's argument. This, however, cannot be the case, because gCS term is third order in derivatives of the metric, as opposed to a single derivative of the temperature required

² This is the *covariant* $U(1)$ anomaly

³ Though there is no *quantized* Hall conductivity in a p -wave SC, there is in fact a Hall conductivity, which we discuss in more detail in our conclusions.

⁴ We note that for spin-full p -wave SC with an $SU(2)$ spin rotation symmetry, there is also a spin Hall effect that can be used to probe the Chern number [4, 8, 33].

for a thermal conductivity [30, 56]. Some authors argue that there is a quantized bulk thermal Hall conductivity, but relate it to other gravitational terms, which are first order in derivatives [57–59], and to *global* gravitational anomalies [60], which will not be discussed in this paper. Other authors find that there is no quantized bulk thermal conductivity at all [56, 61]. In any case, the gCS term and the corresponding gravitational anomaly have not been interpreted in the context of thermal responses thus far.

We note that on general grounds, the relation between thermal conductivity and conductance is more subtle than the relation between electric conductivity and conductance. First, while there are longitudinal and transverse electric fields, there is no transverse driving force for heat. Second, if one expects a heat current to require the presence of entropy, there cannot be a bulk heat current as long as the temperature is negligible compared with the bulk gap.

In this paper we take a third approach, in which we utilize an additional field which couples to the fermions in a p -wave SC as gravity. This field, which is built into the problem, is the order parameter itself, as was discovered by Volovik (see e.g [9]), and refined by Read and Green [4]. We refer to the gravitational field described by the order parameter as *emergent gravity*, because the order parameter arises microscopically from a fermionic two-body interaction. In fact, using this observation, Volovik suggested early on the existence of a gCS term in a p -wave SC [62].

To gain some intuition into our approach, note that, almost by definition, gravity is a field that couples to the energy-momentum of matter. The p -wave pairing term $\psi^\dagger \Delta^j \partial_j \psi^\dagger + h.c$ shows that the order parameter Δ couples to derivatives of the fermion field ψ , related to fermionic momentum. More accurately, we will see that the operator $\psi^\dagger \partial_j \psi^\dagger$ appears in the energy-momentum tensor of a p -wave SC. The mapping of the order parameter onto gravity is the conceptual starting point of our analysis, which is motivated by the search for edge anomalies and topological bulk responses of the p -wave SC.

Outline of this paper: Our main results along with simple examples are given in section II. In section III we start our analysis with a simple lattice model for a p -wave SC. We describe the topological phase diagram of the model and also explain some ingredients of the emergent geometry which are visible at this level. In section IV we derive a continuum description of the lattice model, which is an even number of p -wave *superfluids* (SF). In the limit where the order parameter is much larger than the single particle scales, each p -wave SF maps to a relativistic Majorana spinor coupled to Riemann-Cartan (RC) geometry, which is a geometry with both curvature and torsion. We discuss the mapping of fields, actions, equations of motion, path integrals, symmetries, conservation laws, and observables in sections V and VI, and in appendices A-F.

The rest of the paper is devoted to the application

of the above mapping to the problems described above: finding topological bulk responses of the p -wave SC, and relating them to edge anomalies. In section VII we discuss bulk responses. We verify that the effective action obtained by integrating over the bulk fermions contains a gCS term, with coefficient $\alpha = \frac{\nu}{192\pi} \in \frac{1}{192\pi}\mathbb{Z}$, and we obtain the corresponding topological bulk response of the p -wave SC. We also find closely related terms, which do not encode topological bulk responses, and are unrelated to edge anomalies. The first, which we refer to a gravitational *pseudo* Chern-Simons term, is possible due to the spontaneous breaking of $U(1)$ symmetry, or in other words, due to the emergent torsion. The second is a difference of two gCS terms, which appears because the different low energy Majorana spinors do not experience the same order parameter, or in other words, the same gravitational background. The calculation of the effective action within perturbation theory is done in appendix I. In section VIII we describe the edge states, focusing on the physical implication of their gravitational anomaly in the p -wave SC, and the relation to the topological bulk response from gCS, via the anomaly inflow mechanism. We conclude and discuss our results in section IX. Tables I-III list our notation, and may be useful for the reader. In particular, Tab.I serves as a quick guide for the mapping of the p -wave SF to a Majorana spinor in RC geometry.

II. APPROACH AND MAIN RESULTS

A. Model and approach

As a microscopic starting point, we consider a simple model for a spin-less p -wave SC on a square lattice, described in section III. We analyze the model in the regime where the order parameter is much larger than the single particle scales, which we refer to as the relativistic regime. In this regime the model is essentially a lattice regularization of four, generically massive, relativistic Majorana spinors, centered at the particle-hole invariant points $k = -k$ in the Brillouin zone. Around each of these four points the low energy description is given by a Hamiltonian $H_{\text{SF}} = \psi^\dagger \left(-\frac{\delta^{ij} \partial_i \partial_j}{2m^*} + m \right) \psi - \left(\frac{1}{2} \psi^\dagger \Delta^j \partial_j \psi^\dagger + h.c \right)$, which we refer to as a p -wave superfluid (SF) Hamiltonian, with an effective mass m^* ⁵, chemical potential $-m$, and order parameter Δ , which is in the $p_x \pm ip_y$ configuration $\Delta = (\Delta^x, \Delta^y) = \Delta_0 e^{i\theta} (1, \pm i)$, where $\Delta_0 > 0$ and θ are constants. In the relativistic regime the effective mass m^* is large, and in the limit $m^* \rightarrow \infty$ one obtains a relativistic Hamiltonian, with mass m . This becomes clear in terms of the Nambu

⁵ The effective mass tensor is actually different for the different particle-hole invariant points, but this will not be important in the following.

TABLE I. Notation: basic objects in the p -wave superfluid, aligned with the corresponding objects in Riemann-Cartan geometry. All indices are written explicitly, in their natural placement and type. The indices $a, b, \dots \in \{0, 1, 2\}$ are $SO(1, 2)$ (Lorentz) indices which we refer to as internal indices, while $\mu, \nu, \dots \in \{t, x, y\}$ are coordinate indices. We also use $i, j, \dots \in \{x, y\}$ for spatial coordinate indices. Capital letters A, B, \dots take the values 1,2 in bulk objects, and 0,1 in boundary objects.

p -wave superfluid (SF)		Riemann-Cartan (RC) geometry	
ψ, Ψ	Spin-less fermion, Nambu spinor	χ	Majorana spinor
$\tilde{\xi}$	boundary chiral Majorana spinor	ξ	Boundary chiral Majorana spinor
Δ^i	p -wave order parameter	e_a^μ	Inverse vielbein
$\Delta^{(i} \Delta^{j)*}$	Higgs part of Δ^i	$g^{\mu\nu}$	Inverse metric
o	Orientation of Δ^i	o	Orientation of e_a^μ
A_μ	$U(1)$ connection	$\omega_{b\mu}^a$	Spin connection
D_μ	$U(1)$ covariant derivative	D_μ	Spin covariant derivative
$F_{\mu\nu}$	$U(1)$ curvature, or field strength	$R_{b\mu\nu}^a$	Curvature
$t_{\text{cov } \nu}^\mu$	$U(1)$ -covariant canonical energy-momentum tensor	J_a^μ	Energy-momentum tensor
J_φ^μ	Angular momentum current		
J_E^i, P_i	Energy current, Momentum density		
$J^\mu, \rho = J^t$	Electric current, charge density	$J^{ab\mu}$	Spin current
$t_{e\beta}^\alpha$	Boundary, or edge, canonical Energy-momentum tensor	j_A^α	Boundary energy-momentum tensor
	Boundary electric current	$j^{AB\alpha}$	Boundary spin current
$-m$	Chemical potential	m	Relativistic mass
m^*	Non-relativistic mass		
$S_{\text{SF}}[\psi, \Delta, A]$	p -wave SF action	$S_{\text{RC}}[\chi, e, \omega]$	Action for a Majorana spinor in RC geometry
$S_{\text{rSF}}[\psi, \Delta, A]$	Relativistic limit of p -wave SF action		
$W_{\text{SF}}[\Delta, A]$	Effective action for the p -wave SF	$W_{\text{RC}}[e, \omega]$	Effective Action for a Majorana spinor in RC geometry
$S_e^\pm[\tilde{\xi}, \Delta]$	Action for a boundary, or edge, chiral Majorana spinor	$S_{\text{R}}^\pm[\xi, e]$	Action for a boundary chiral Majorana spinor
	Effective action for a boundary chiral Majorana spinor	$W_{\text{R}}^\pm[e]$	Effective action for a boundary chiral Majorana spinor

spinor $\Psi^\dagger = (\psi^\dagger, \psi)$, which is a Majorana spinor. We refer to the sign $o = \pm$ as the orientation, and we note that the different Majorana spinors, associated with the four particle-hole invariant points, have different orientations o_n and masses m_n , where $1 \leq n \leq 4$. The n th Majorana spinor contributes $o_n \text{sgn}(m_n)/2$ to the Chern number, and summing over n one obtains the Chern number of the lattice model $\nu = \sum_{n=1}^4 \nu_n = \sum_{n=1}^4 o_n \text{sgn}(m_n)/2$, which gives the topological phase diagram in terms of the low energy data o_n, m_n .

In order to probe this topological phase diagram, we perturb the order parameter out of the $p_x \pm ip_y$ configuration, and treat $\Delta = (\Delta^x, \Delta^y) \in \mathbb{C}^2$ as a general space-time dependent field, close to the $p_x \pm ip_y$ configuration. This is analogous to applying an electromagnetic field in order to probe the topological phase diagram of the IQHE.

Following Volovik [9], and Read and Green [4], we show

that fermionic excitations in each p -wave SF experience such a general order parameter as a non trivial gravitational background. Some of this gravitational background is described by the (inverse) metric

$$g^{ij} = -\Delta^{(i} \Delta^{j)*}, \quad (2.1)$$

where brackets denote the symmetrization, and the sign is a matter of convention. We refer to g^{ij} as the Higgs part of the order parameter. Parameterizing $\Delta = e^{i\theta} (|\Delta^x|, e^{i\phi} |\Delta^y|)$ with the overall phase θ and relative phase $\phi \in (-\pi, \pi]$, the metric is independent of θ and of the orientation $o = \text{sgn}\phi = \pm$, which splits order parameters into $p_x + ip_y$ -like and $p_x - ip_y$ -like. Note that in the $p_x \pm ip_y$ configuration the metric is euclidian, $g^{ij} = -\Delta_0^2 \delta^{ij}$. For our purposes it is important that the metric be perturbed out of this form, and in particular it is not enough to take the $p_x \pm ip_y$ configuration with a space-time dependent phase θ .

TABLE II. Notation: additional geometric objects.

Additional geometric objects	
$T_{\mu\nu}^a, C_{ab\mu}$	Torsion, Contorsion
c	Contorsion scalar
c_{light}	Speed of light
$\Gamma_{\nu\rho}^\mu$	Affine connection
∇_μ	Coordinate, or total, covariant derivative
$\tilde{\Gamma}_{\nu\rho}^\mu$	LC affine connection, or Christoffel symbol
$\tilde{\nabla}_\mu$	LC total covariant derivative
$\tilde{\omega}_{ab\mu}$	LC spin connection
\tilde{D}_μ	LC spin covariant derivative
$\tilde{R}_{ab\mu\nu}$	LC curvature, or Riemann tensor
$\tilde{R}_{\mu\nu}, \tilde{R}$	Ricci tensor, Ricci scalar
$\tilde{C}^{\mu\nu}$	Cotton tensor
$Diff$	Diffeomorphism group
$Diff_+$	Orientation preserving subgroup of $Diff$
$Diff_0$	Identity component of $Diff$
Q_3	Chern-Simons local three-form

TABLE III. Notation: Lattice model and multiple low energy fermions. Geometric objects are written with indices implicit. The index $n \in \{1, 2, 3, 4\}$ labels the particle-hole invariant point in the Brillouin zone, and the associated low energy data. As described in section VII B 3, it is natural to group the ns into pairs, which we refer to as layers, and use the layer index $l = n \bmod 2 \in \{1, 2\}$.

Lattice model and multiple low energy fermions	
$\delta = (\delta^x, \delta^y)$	p -wave order parameter on the lattice
t or t^x, t^y	Hopping amplitudes
μ	Chemical potential
a	Lattice spacing
BZ	Brillouin zone
$\mathbf{K}^{(n)}$	Particle-hole invariant point in BZ
$\psi^{(n)}$	Low energy fermion around $\mathbf{K}^{(n)}$
Λ_{UV}	UV cutoff for the $\psi^{(n)}$ s, of order a^{-1}
$\Delta_{(n)}$ or $e_{(n)}$	Order parameter, or vielbein, for $\psi^{(n)}$
o_n	Orientation of $\Delta_{(n)}$, or of $e_{(n)}$
m_n	Relativistic mass, of $\psi^{(n)}$
$g_{(n)}, \tilde{\omega}_{(n)}, \tilde{\mathcal{R}}_{(n)}, \dots$	Geometric objects constructed from $e_{(n)}$

Before we turn to describe the conclusions that may be drawn from this emergent gravity, we find it instructive to draw analogies to the IQHE.

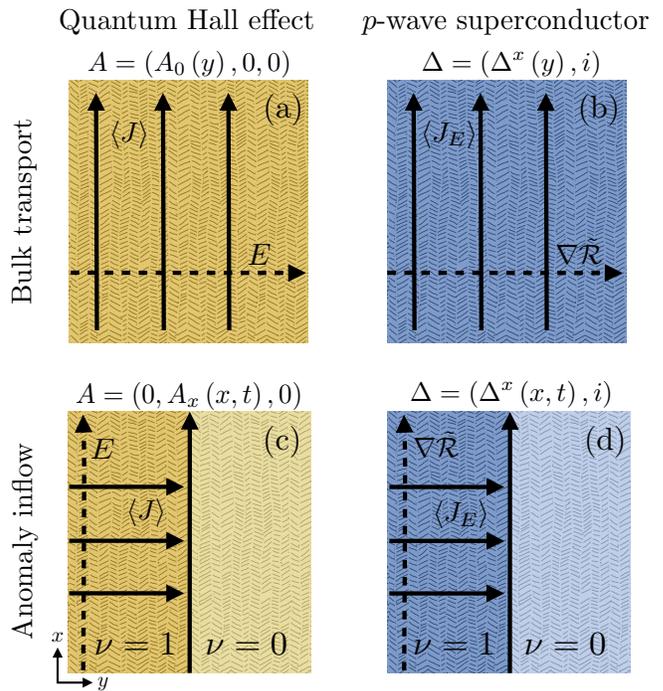


FIG. 1. A comparison of topological electromagnetic effects in the integer quantum Hall effect (IQHE), and their energy-momentum analogs in the p -wave superconductor (SC). (a) In the IQHE there is a perpendicular electric current $\langle J \rangle$ in response to an applied electric field E , with a quantized Hall conductivity, proportional to the Chern number, as encoded in a $U(1)$ Chern-Simons term. (b) In the p -wave SC, an energy current $\langle J_E \rangle$ flows in response to a space dependent order parameter Δ , as encoded in a gravitational Chern-Simons term. Derivatives of the curvature \mathcal{R} associated with Δ play the role of the electric field in the IQHE, and $\langle J_E \rangle$ is perpendicular to the curvature gradient $\nabla \mathcal{R}$. The ratio between the magnitudes of $\langle J_E \rangle$ and $\nabla \mathcal{R}$ is quantized, and proportional to the Chern number. As described in the text, the spontaneous breaking of $U(1)$ symmetry in the p -wave SC allows also a gravitational *pseudo* Chern-Simons term, which encodes closely related bulk responses, which are not topological in nature. (c) The quantized Hall conductivity implies the existence of a chiral boundary fermion with a $U(1)$ anomaly, which can be described as a Weyl fermion at low energy. (d) The analogous response in the p -wave SC implies the existence of a boundary chiral Majorana fermion with a gravitational anomaly, which can be described as a Majorana-Weyl fermion at low energy.

B. Electromagnetic response in the IQHE and gravitational response in the p -wave SC - analogies and differences

It is illuminating to examine the gravitational response of the p -wave SC that we consider with an eye opened to the electromagnetic response of the IQHE. The defining characteristics of the IQHE, the absence of longitudinal conductivity and the quantization of the Hall conductivity, imply a coupling of charge density to magnetic field.

A small local increase of the magnetic field from B_0 to $B_0 + \delta B$ results in a small local increase of density by $\langle \delta \rho \rangle = \frac{\nu}{2\pi} \delta B$. This density accumulates as δB is turned on, as a consequence of the Hall current that results from the electric field generated when the magnetic field varies. It does not disperse with time, since the bulk is gapped. Since charge is conserved, the density $\langle \delta \rho \rangle$ must be supplied by the edges, which forces a correspondence of the bulk and edge responses. As explained in the introduction, this chain of events is encompassed by the bulk $U(1)$ CS term, and the corresponding edge anomaly.

Roughly speaking, in gravitational response the role of the magnetic field B is played by the curvature, while the role of the vector potential is played by the spin connection, which is first order in derivatives of the inverse metric g^{ij} . Thus, the emergent curvature involves two derivatives of the order parameter (see, e.g., (2.5) below). The effect of these derivatives becomes evident when considering the gravitational analog to various electromagnetic vector potentials. For example, the vector potential associated with the Aharonov-Bohm effect decays as $1/r$, with r being the distance from the Aharonov-Bohm flux tube. The analogous spin connection requires the perturbation to the order parameter to scale like $\log r$.

The observable that responds to the spin connection may be the electronic density and current, but it may also be the density and current of momentum, or energy. A crucial difference between the IQHE and the p -wave SC, the absence of fermionic charge conservation in the latter, leads to profound differences between the bulk responses of both systems. In the absence of charge conservation, charge accumulation in the bulk does not necessarily involve the edges, and thus the way is opened to bulk Hall-type responses that do not correlate with the edge, and do not have quantized coefficients. There is a known example for such a response: when a weak magnetic field is introduced into a p -wave SC, the fermionic density receives a correction $\langle \delta \rho \rangle \propto \delta B$ [8, 63–68], yet with a proportionality constant that is not quantized. In this paper we find an additional example, where the fermionic density receives a correction proportional to the emergent curvature. These responses originate from bulk terms that carry some similarity to Chern-Simons terms, which we refer to as *pseudo* Chern-Simons terms, see (9.1) and (9.3).

C. Bulk responses

1. Topological bulk responses from a gravitational Chern-Simons term

We find that the effective action obtained by integrating over the bulk fermions in the presence of a general order parameter Δ contains a gCS term, with coefficient $\alpha = \frac{\nu/2}{96\pi} \in \frac{1}{192\pi} \mathbb{Z}$. Although we obtain this result in the limit $m^* \rightarrow \infty$, we expect it to hold throughout the phase diagram. This is based on known arguments for the

quantization of the coefficient α due to symmetry, and on the relation with the boundary gravitational anomaly described below.

The gCS term implies a topological bulk response (7.26), where energy-momentum currents and densities appear due to a space-time dependent order parameter. To gain insight into this result it is best to examine special cases. Assume that the order parameter is time independent, and that the relative phase is $\phi = \pm \frac{\pi}{2}$, as in the $p_x \pm ip_y$ configuration, so that $\Delta = e^{i\theta} (|\Delta^x|, \pm i|\Delta^y|)$, $o = \pm$. Then the metric is time independent, and takes the simple form

$$g^{ij} = - \begin{pmatrix} |\Delta^x|^2 & 0 \\ 0 & |\Delta^y|^2 \end{pmatrix}. \quad (2.2)$$

On this background, we find the following contributions to the expectation values of the fermionic energy current J_E^i , and momentum density P_i ⁶,

$$\begin{aligned} \langle J_E^i \rangle_{\text{gCS}} &= -\frac{\nu/2}{96\pi} \frac{1}{\hbar} \varepsilon^{ij} \partial_j \tilde{\mathcal{R}}, \\ \langle P_i \rangle_{\text{gCS}} &= -\frac{\nu/2}{96\pi} \hbar g_{ik} \varepsilon^{kj} \partial_j \tilde{\mathcal{R}}. \end{aligned} \quad (2.3)$$

Here $\tilde{\mathcal{R}}$ is the curvature, or Ricci scalar, of the metric g_{ij} , which is the inverse of g^{ij} , and $\varepsilon^{xy} = -\varepsilon^{yx} = 1$. These are written without setting \hbar or an effective speed of light c_{light} to 1 as we do in the bulk of the paper. The curvature for the above metric is given explicitly by

$$\begin{aligned} \tilde{\mathcal{R}} &= -2 |\Delta^x| |\Delta^y| \\ &\times \left(\partial_y \left(\frac{|\Delta^y| \partial_y |\Delta^x|}{|\Delta^x|^2} \right) + \partial_x \left(\frac{|\Delta^x| \partial_x |\Delta^y|}{|\Delta^y|^2} \right) \right). \end{aligned} \quad (2.4)$$

It is a nonlinear expression in the order parameter, which is second order in derivatives. Thus the responses (2.3) are third order in derivatives, and start at linear order but include nonlinear contributions as well. The first equation in (2.3) is analogous to the response $\langle J^i \rangle = \frac{\nu}{2\pi} \varepsilon^{ij} E_j$ of the IQHE. The second equation is analogous to the dual response $\langle \rho \rangle = \frac{\nu}{2\pi} B$. Unlike the case of charge density, where the role of the magnetic field is played by the curvature (see Eq.(2.8) below), for the case of the momentum density it is played by curvature gradients. Note that the dependence on the sign in $\Delta = e^{i\theta} (|\Delta^x|, \pm i|\Delta^y|)$, which is the orientation of Δ , hides in the Chern number ν which is an odd function of the orientation. The above responses are odd under time-reversal, which flips the orientation of the order parameter but leaves the metric intact ⁷.

⁶ P_x (P_y) is the density of the x (y) component of momentum.

⁷ The correct notion of time reversal for the p -wave SC flips o but not m , as opposed to the natural time reversal within the relativistic description. This is discussed in appendix E.

Since there is no time dependence, energy is strictly conserved $\partial_i J_E^i = 0$, and it is meaningful to discuss energy transport. Integrating over any cross section of the sample (a spatial curve γ that starts and ends on the boundary of the sample) we find the net bulk energy current through the cross section

$$\begin{aligned} \langle I_E \rangle_{\text{gCS}} &= \int_{\gamma} \langle J_E^i \rangle_{\text{gCS}} dl_i \\ &= \frac{\nu/2}{96\pi} \frac{1}{\hbar} \left[\tilde{\mathcal{R}}(\gamma_1) - \tilde{\mathcal{R}}(\gamma_0) \right], \end{aligned} \quad (2.5)$$

where l_i is a length element perpendicular to the curve, and γ_0, γ_1 are its end points.

As an example, consider the order parameter $\Delta = (\Delta_0 + \epsilon \cos(y/L), i\Delta_0)$ which is a perturbation to the $p_x + ip_y$ configuration with $\epsilon \ll \Delta_0$. The scalar curvature for this order parameter is $\tilde{\mathcal{R}} = \frac{2\epsilon\Delta_0}{L^2} \cos(y/L) + O(\epsilon^2)$ so there will be an energy current in the x direction, $\langle J_E^x \rangle_{\text{gCS}} = \frac{\nu/2}{96\pi} \frac{1}{\hbar} \frac{2\epsilon\Delta_0}{L^2} \sin(y/L) + O(\epsilon^2)$. If we assume that the system occupies the strip between $y = 0$ to $y = \frac{L\pi}{2}$, as depicted in Fig.1(b), we get the net bulk energy current in the x direction,

$$\langle I_E \rangle_{\text{gCS}} = \frac{\nu/2}{96\pi} \frac{1}{\hbar} \frac{2\epsilon\Delta_0}{L^2} + O(\epsilon^2). \quad (2.6)$$

The factor $\frac{\nu/2}{96\pi} \frac{1}{\hbar}$ only depends on the Chern number, and thus on the topological phase, and $\frac{2\epsilon\Delta_0}{L^2}$ is a quantity that only depends on the order parameter. Note that the non-linear nature of the curvature leads to a dependence of the energy current on both the perturbation scale ϵ and the magnitude of the unperturbed order parameter Δ_0 . The topological invariant ν can then be measured in a thought experiment where one tunes the order parameter as in the example and preforms a measurement of the above contribution to J_E . In this manner a physical meaning is assigned to ν in the bulk.

2. Additional bulk responses from a gravitational pseudo Chern-Simons term

Apart from the gCS term, the effective action obtained by integrating over the bulk fermions also contains an additional term of interest, which we refer to as a gravitational *pseudo* Chern-Simons term (gpCS). This term is written explicitly and explained in section VII B 2. To the best of our knowledge, the gpCS term has not appeared previously in the context of the p -wave SC. It is possible because $U(1)$ symmetry is spontaneously broken in the p -wave SC. In the geometric point of view, this translates to the emergent geometry in the p -wave SC being not only curved but also torsion-full, see section V.

The gpCS term produces bulk responses which are closely related to those of gCS, despite it being fully gauge invariant. This gauge invariance implies that it is not associated with a boundary anomaly, nor does its

coefficient β need to be quantized. Hence, gpCS does not encode *topological* bulk responses. Remarkably, we find that β is quantized and identical to the coefficient $\alpha = \frac{\nu/2}{96\pi}$ of the gCS term in the limit of $m^* \rightarrow \infty$, but we do not expect this value to hold outside of this limit. We will put this phenomenon in a broader context in the discussion, section IX.

Let us now describe the bulk responses from gpCS, setting $\beta = \frac{\nu/2}{96\pi}$. First, we find the following contributions to the fermionic energy current and momentum density,

$$\begin{aligned} \langle J_E^i \rangle_{\text{gpCS}} &= \frac{\nu/2}{96\pi} \epsilon^{ij} \partial_j \tilde{\mathcal{R}}, \\ \langle P_i \rangle_{\text{gpCS}} &= -\frac{\nu/2}{96\pi} g_{ik} \epsilon^{kj} \partial_j \tilde{\mathcal{R}}. \end{aligned} \quad (2.7)$$

Up to the sign difference in the first equation, these responses are the same as those from gCS (2.3).

As opposed to gCS, the gpCS term also contributes to the fermionic charge density $\rho = -\psi^\dagger \psi$. For the bulk responses we have written thus far, every Majorana spinor contributed $\nu_n = \frac{o_n}{2} \text{sgn}(m_n)$, and summing over n produced the Chern number ν . For the density response this is not the case. Here, the n th Majorana spinor contributes

$$\langle \rho \rangle_{\text{gpCS}} = \frac{o_n \nu_n / 2}{24\pi} \sqrt{g} \tilde{\mathcal{R}}, \quad (2.8)$$

where $\sqrt{g} = \sqrt{\det g_{ij}}$ is the emergent volume element. The orientation o_n in Eq. (2.8) makes the sum over the four Majorana spinors different from the Chern number, $\sum_{n=1}^4 o_n \nu_n = \sum_{n=1}^4 \frac{1}{2} \text{sgn}(m_n) \neq \nu$. The appearance of o_n can be understood by considering the effect of time reversal. Because both the density ρ and the curvature $\tilde{\mathcal{R}}$ are time reversal even, the coefficient in (2.8) must also be even, and cannot be ν_n which is odd. The response (2.8) also holds when the order parameter is time dependent, in which case $\tilde{\mathcal{R}}$ will also contain time derivatives. One then finds a time dependent density, but there is no corresponding current response, which is due to the non-conservation of fermionic charge in a superconductor. It is instructive to compare (2.8) to the response $\rho = \frac{\nu}{2\pi} B$ of the IQHE. Here B is time reversal odd, which is why the coefficient can be the Chern number ν , and there is also the corresponding current $\langle J^i \rangle = \frac{\nu}{2\pi} \epsilon^{ij} E_j$ such that $\partial_\mu J^\mu = 0$, as opposed to the p -wave SC.

To gain some insight into the expressions we have written thus far, we write the operators P, J_E more explicitly. For each Majorana spinor (suppressing the index n),

$$\begin{aligned} P_j &= \frac{i}{2} \psi^\dagger \overleftrightarrow{D}_j \psi, \\ J_E^j &= g^{jk} P_k + \frac{o}{2} \partial_k \left(\frac{1}{\sqrt{g}} \epsilon^{jk} \rho \right) + O\left(\frac{1}{m^*}\right). \end{aligned} \quad (2.9)$$

These expressions can be understood from the gravitational description of the p -wave SC, see section VIB. The momentum density is the familiar expression for free fermions, but in the energy current we have only written

explicitly contributions that survive the limit $m^* \rightarrow \infty$. These contributions are only possible due to the p -wave pairing, and are of order Δ^2 .

From the relation (2.9) between J_E , P and ρ we can understand that the equality $\left\langle J_E^j \right\rangle_{\text{gCS}} = g^{jk} \left\langle P_k \right\rangle_{\text{gCS}}$ expressed in equation (2.3) is a result of the vanishing contribution of gCS to the density ρ . We can also understand the sign difference between the first and second line of (2.7) as a result of (2.8). The important point is that a measurement of the charge density ρ can be used to fix the value of the coefficient β , which is generically unquantized, and thus separate the contributions of gpCS to P, J_E , from those of gCS. In this manner, one can overcome the obscuring of gCS by gpCS. A more detailed analysis is given in section VIII D.

D. Bulk-boundary correspondence from gravitational anomaly

Among the two terms in the bulk effective action which we described above only gCS is related to the boundary gravitational anomaly. This relation can be fully analyzed in the case where $\Delta = \Delta_0 e^{i\theta(t,x)} (1 + f(x,t), i)$ is a perturbation of the $p_x + ip_y$ configuration with small f , and there is a domain wall (or boundary) at $y = 0$ where the value of ν jumps. For simplicity, assume $\nu = 1$ for $y < 0$ and $\nu = 0$ for $y > 0$. This situation is illustrated in Fig.1(d). In section VIII we derive the action for the boundary, or edge mode,

$$S_e = \frac{i}{2} \int dt dx \tilde{\xi} (\partial_t - |\Delta^x(t,x)| \partial_x) \tilde{\xi}, \quad (2.10)$$

which describes a chiral $D = 1 + 1$ Majorana fermion $\tilde{\xi}$ localized on the boundary, with a space-time dependent velocity $|\Delta^x(x,t)| = \Delta_0 |1 + f(x,t)|$. Classically, the edge fermion $\tilde{\xi}$ conserves energy-momentum in the following sense,

$$\partial_\beta t_{\text{e}\alpha}^\beta + \partial_\alpha \mathcal{L}_e = 0. \quad (2.11)$$

Here t_e is the canonical energy-momentum tensor for $\tilde{\xi}$, with indices $\alpha, \beta = t, x$, and \mathcal{L}_e is the edge Lagrangian, $S_e = \int dt \mathcal{L}_e$, see VIA 2. For $\alpha = t$ ($\alpha = x$), equation (2.11) describes the sense in which the edge fermion conserves energy (momentum) classically. The source term $\partial_\alpha \mathcal{L}_e$ follows from the space-time dependence of \mathcal{L}_e through Δ^x . Quantum mechanically, the action S_e is known to have a gravitational anomaly, which means that energy-momentum is not conserved at the quantum level [19]. In the context of emergent gravity, this implies that equation (2.11) is violated for the expectation values,

$$\partial_\beta \left\langle t_{\text{e}\alpha}^\beta \right\rangle + \partial_\alpha \langle \mathcal{L}_e \rangle = -\frac{\nu/2}{96\pi} g_{\alpha\gamma} \varepsilon^{\gamma\beta y} \partial_\beta \tilde{\mathcal{R}}. \quad (2.12)$$

This equation is written with $\hbar = 1$ and $c_{\text{light}} = \frac{\Delta_0}{\hbar} = 1$ for simplicity. Since Δ^x depends on time, $\tilde{\mathcal{R}}$ is not

the curvature of the spatial metric g_{ij} , but of a corresponding space-time metric $g_{\mu\nu}$ (5.3), and is given by $\tilde{\mathcal{R}} = \ddot{f} - 2\dot{f}^2 + O(f\ddot{f}, f\dot{f}^2)$ in this case. Note that time dependence in this example is crucial. From gCS we find for $\Delta = \Delta_0 e^{i\theta(t,x)} (1 + f(x,t), i)$ the bulk energy-momentum tensor

$$\langle t_{\alpha}^y \rangle_{\text{gCS}} = -\frac{\nu/2}{96\pi} g_{\alpha\gamma} \varepsilon^{\gamma\beta y} \partial_\beta \tilde{\mathcal{R}}, \quad (2.13)$$

which explains the anomaly as the inflow of energy-momentum from the bulk to the boundary,

$$\partial_\beta \left\langle t_{\text{e}\alpha}^\beta \right\rangle + \partial_\alpha \langle \mathcal{L}_e \rangle = \langle t_{\alpha}^y \rangle_{\text{gCS}}. \quad (2.14)$$

Since ν jumps from 1 to 0 at $y = 0$ the energy-momentum current (2.13) stops at the boundary and does not extend to the $y > 0$ region. The gravitationally anomalous boundary mode is then essential for the conservation of total energy-momentum to hold. As this example shows, bulk-boundary correspondence follows from bulk+boundary conservation of energy-momentum in the presence of a space-time dependent order parameter.

III. LATTICE MODEL

In this section we review and slightly generalize a simple lattice model for a p -wave SC [69], which will serve as our microscopic starting point. We describe its band structure and its symmetry protected topological phases, and also explain some of the basics of the emergent geometry which can be seen in this setting.

The hamiltonian is given in real space by

$$H = -\frac{1}{2} \sum_{\mathbf{l}} \left[t \psi_{\mathbf{l}}^\dagger \psi_{\mathbf{l}+x} + t \psi_{\mathbf{l}}^\dagger \psi_{\mathbf{l}+y} + \mu \psi_{\mathbf{l}}^\dagger \psi_{\mathbf{l}} + \delta^x \psi_{\mathbf{l}}^\dagger \psi_{\mathbf{l}+x}^\dagger + \delta^y \psi_{\mathbf{l}}^\dagger \psi_{\mathbf{l}+y}^\dagger + h.c. \right]. \quad (3.1)$$

Here the sum is over all lattice sites $\mathbf{l} \in L$ of a 2 dimensional square lattice $L = a\mathbb{Z} \times a\mathbb{Z}$, with a lattice spacing a . $\psi_{\mathbf{l}}^\dagger, \psi_{\mathbf{l}}$ are creation and annihilation operators for spin-less fermions on the lattice, with the canonical anti commutators $\{\psi_{\mathbf{l}}^\dagger, \psi_{\mathbf{l}'}\} = \delta_{\mathbf{l}\mathbf{l}'}$. $\mathbf{l} + x$ denotes the nearest neighboring site to \mathbf{l} in the x direction. The hopping amplitude t is real and μ is the chemical potential. Apart from the single particle terms $t \psi_{\mathbf{l}}^\dagger \psi_{\mathbf{l}+x} + t \psi_{\mathbf{l}}^\dagger \psi_{\mathbf{l}+y} + \mu$, there is also the pairing term $\delta^x \psi_{\mathbf{l}}^\dagger \psi_{\mathbf{l}+x}^\dagger + \delta^y \psi_{\mathbf{l}}^\dagger \psi_{\mathbf{l}+y}^\dagger$, with the order parameter $\delta = (\delta^x, \delta^y) \in \mathbb{C}^2$. We think of δ as resulting from a Hubbard-Stratonovich decoupling of interactions, in which case we refer to it as intrinsic, or as being induced by proximity to an s -wave SC. In both cases we treat δ as a bosonic background field that couples to the fermions.

The generic order parameter is charged under a few symmetries of the single particle terms. The order parameter has charge 2 under the global $U(1)$

group generated by $Q = -\sum_l \psi_l^\dagger \psi_l$, in the sense that $e^{-i\alpha Q} H(e^{2i\alpha} \delta) e^{i\alpha Q} = H(\delta)$, which physically represents the electromagnetic charge -2 of Cooper pairs⁸. The order parameter is also charged under time reversal T , which is an anti unitary transformation satisfying $T^2 = 1$, that acts as the complex conjugation of coefficients in the Fock basis corresponding to ψ_l, ψ_l^\dagger . The equation $T^{-1} H(\delta^*) T = H(\delta)$ shows $\delta \mapsto \delta^*$ under time reversal. Finally, δ is also charged under the point group symmetry of the lattice, which for the square lattice is the Dihedral group D_4 . The continuum analog of this is that the order parameter is charged under spatial rotations and reflections, and more generally, under space-time transformations (diffeomorphisms), which is due to the orbital angular momentum 1 of Cooper pairs in a p -wave SC. This observation will be important for our analysis, and will be discussed further below.

In an intrinsic $p_x \pm ip_y$ SC, the configuration of δ which minimizes the ground state energy is given by $\delta = \delta_0 e^{i\theta} (1, \pm i)$, where $\delta_0 > 0$ is determined by the minimization, but the sign $o = \pm 1$ and the phase θ (which dynamically corresponds to a goldstone mode) are left undetermined. See [9] for a pedagogical discussion of a closely related model within mean field theory. A choice of θ and o corresponds to a spontaneous symmetry breaking of the group $U(1) \times \{1, T\}$ including both the $U(1)$ and time reversal transformations. More accurately, in the $p_x \pm ip_y$ SC, the group $(U(1) \times \{1, T\}) \times D_4$ is spontaneously broken down to a certain diagonal subgroup. We discuss the continuum analog of this and its implications in section VIA 2.

Crucially, we do not restrict δ to the $p_x \pm ip_y$ configuration, and treat it as a general two component complex vector $\delta = (\delta^x, \delta^y) \in \mathbb{C}^2$. In the following we will take δ to be space time dependent, $\delta \mapsto \delta_l(t)$, and show that this space time dependence can be thought of as a perturbation to which there is a topological response, but for now we assume δ is constant.

A. Band structure and phase diagram

Writing the Hamiltonian (3.1) in Fourier space, and in the BdG form in terms of the Nambu spinor $\Psi_{\mathbf{q}} =$

$(\psi_{\mathbf{q}}, \psi_{-\mathbf{q}}^\dagger)^T$ we find

$$\begin{aligned} H &= \frac{1}{2} \int_{BZ} \frac{d^2 \mathbf{q}}{(2\pi)^2} \Psi_{\mathbf{q}}^\dagger \begin{pmatrix} h_{\mathbf{q}} & \delta_{\mathbf{q}} \\ \delta_{\mathbf{q}}^* & -h_{\mathbf{q}} \end{pmatrix} \Psi_{\mathbf{q}} + \text{const} \\ &= \frac{1}{2} \int_{BZ} \frac{d^2 \mathbf{q}}{(2\pi)^2} \Psi_{\mathbf{q}}^\dagger (\mathbf{d}_{\mathbf{q}} \cdot \boldsymbol{\sigma}) \Psi_{\mathbf{q}} + \text{const}, \end{aligned} \quad (3.2)$$

with $h_{\mathbf{q}} = -t \cos(aq_x) - t \cos(aq_y) - \mu$ real and symmetric, and $\delta_{\mathbf{q}} = -i\delta^x \sin(aq_x) - i\delta^y \sin(aq_y)$ complex and anti-symmetric. Here $\boldsymbol{\sigma} = (\sigma^x, \sigma^y, \sigma^z)$ is the vector of Pauli matrices and BZ is the Brillouin zone $BZ = (\mathbb{R}/\frac{2\pi}{a}\mathbb{Z})^2$. By definition, the Nambu spinor obeys the reality condition $\Psi_{\mathbf{q}}^\dagger = (\sigma^x \Psi_{-\mathbf{q}})^T$, and is therefore a Majorana spinor, see appendix E 1. Accordingly, the BdG Hamiltonian is particle-hole (or charge conjugation) symmetric, $\sigma^x H(\mathbf{q})^* \sigma^x = -H(-\mathbf{q})$, and therefore belongs to symmetry class D of the Altland-Zirnbauer classification of free fermion Hamiltonians [12]. The constant in (3.2) is $\frac{1}{2} \text{tr} h = \frac{V}{2} \int \frac{d^2 \mathbf{q}}{(2\pi)^2} h_{\mathbf{q}}$ where V is the infinite volume. This operator ordering correction is important as it contributes to physical quantities such as the energy density and charge density, but we will mostly keep it implicit in the following. The BdG band structure is given by $E_{\mathbf{q}, \pm} = \pm \frac{1}{2} E_{\mathbf{q}}$ where

$$E_{\mathbf{q}} = |\mathbf{d}_{\mathbf{q}}| = \sqrt{h_{\mathbf{q}}^2 + |\delta_{\mathbf{q}}|^2}. \quad (3.3)$$

For the $p_x \pm ip_y$ configuration $|\delta_{\mathbf{q}}|^2 = \delta_0^2 (\sin^2 aq_x + \sin^2 aq_y)$, and therefore $E_{\mathbf{q}}$ can only vanish at the particle-hole invariant points $a\mathbf{K}^{(1)} = (0, 0)$, $a\mathbf{K}^{(2)} = (0, \pi)$, $a\mathbf{K}^{(3)} = (\pi, \pi)$, $a\mathbf{K}^{(4)} = (\pi, 0)$, which happens when $\mu = -2t, 0, 2t, 0$. Representative band structures are plotted in Fig. 2. For $\delta_0 \ll t$ the spectrum takes the form of a gapped single particle Fermi surface with gap $\sim \delta_0$, while for $\delta_0 \gg t$ one obtains Four regulated relativistic fermions centered at the points $\mathbf{K}^{(n)}$, $1 \leq n \leq 4$ with masses $m_n = -2t - \mu, -\mu, 2t - \mu, -\mu$, speed of light $c_{\text{light}} = a\delta_0/\hbar$, bandwidth $\sim \delta_0$ and momentum cutoff $\sim a^{-1}$.

With generic μ, δ_0 the spectrum is gapped, and the Chern number ν labeling the different topological phases is well defined. It can be calculated by $\nu = \int_{BZ} \frac{d^2 k}{2\pi} \text{tr}(\mathcal{F})$ where \mathcal{F} is the Berry curvature on the Brillouin zone BZ [12]. A more general definition is $\nu = \frac{1}{24\pi^2} \int_{\mathbb{R} \times BZ} \text{tr}(GdG^{-1})^3$ ⁹, where $G(k_0, k_x, k_y)$ is the single particle propagator [9], which remains valid in the presence of weak interactions, as long as the gap does not close. For two band Hamiltonians such as (3.2), ν reduces to the homotopy type of the map $\hat{\mathbf{d}}_{\mathbf{q}} = \mathbf{d}_{\mathbf{q}}/|\mathbf{d}_{\mathbf{q}}|$

⁸ Since δ has charge 2, H commutes with the fermion parity $(-1)^Q$. The Ground state of H will therefore be labelled by a fermion parity eigenvalue ± 1 , in addition to the topological label which is the Chern number [4, 10]. Fermion parity is a subtle quantity in the thermodynamic limit, and will not be important in the following.

⁹ More explicitly,

$$\nu = \frac{1}{24\pi^2} \text{tr} \int_{\mathbb{R} \times BZ} d^3 k \epsilon^{\alpha\beta\gamma} (G\partial_\alpha G^{-1}) (G\partial_\beta G^{-1}) (G\partial_\gamma G^{-1}).$$

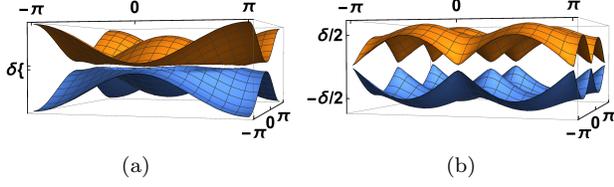


FIG. 2. Generic band structure of the lattice model. (a) When the order parameter is much smaller than the single particle bandwidth $\delta \ll t$, the spectrum takes the form of a gapped single particle Fermi surface with gap $\sim \delta$. This regime describes the onset of superconductivity, and it is appropriate to refer to δ as the “gap function”. (b) When the order parameter is much larger than the single particle scales $\delta \gg t, \mu$, the spectrum takes the form of four regulated relativistic fermions centered at the particle-hole invariant points $(0, 0), (0, \pi), (\pi, 0), (\pi, \pi)$, in units of the inverse lattice spacing a^{-1} . We will be working in this regime.

from BZ (which is a flat torus) to the sphere,

$$\nu = \frac{a^2}{(2\pi)^2} \int_{BZ} d^2\mathbf{q} \hat{\mathbf{d}}_k \cdot (\partial_{q_y} \hat{\mathbf{d}}_q \times \partial_{q_x} \hat{\mathbf{d}}_q) \in \mathbb{Z}. \quad (3.4)$$

One obtains $\nu = 0$ for $|\mu| > 2t$, $\nu = \pm 1$ for $\mu \in (0, 2t)$ and $\nu = \mp 1$ for $\mu \in (-2t, 0)$. The topological phase diagram is plotted in Fig.3(a).

Away from the $p_x \pm ip_y$ configuration, the topological phase diagram is essentially unchanged. For $\text{Im}(\delta^{x*}\delta^y) \neq 0$, gap closings happen at the same points $\mathbf{K}^{(n)}$ and the same values of μ described above. ν takes the same values, with the orientation $o = \text{sgn}(\text{Im}(\delta^{x*}\delta^y))$, described below, generalizing the sign ± 1 that characterizes the $p_x \pm ip_y$ configuration. For $\text{Im}(\delta^{x*}\delta^y) = 0$ the spectrum is always gapless. The topological phase diagram is most easily understood from the formula $\nu = \frac{1}{2} \sum_{n=1}^4 o_n \text{sgn}(m_n)$ where $o_n = \pm 1$ are orientations associated with the relativistic fermions which we describe below [70].

It will also be useful consider a slight generalization of the single particle part of the lattice model, with unisotropic hopping $t^x \psi_l^\dagger \psi_{l+x} + t^y \psi_l^\dagger \psi_{l+y}$. This changes the masses to $m_1 = -(t_1 + t_2) - \mu, m_2 = t_1 - t_2 - \mu, m_3 = t_1 + t_2 - \mu, m_4 = -(t_1 - t_2) - \mu$. In particular, the degeneracy between the masses m_2, m_4 breaks, and additional trivial phases appear around $\mu = 0$. See Fig.3(b).

B. Basics of the emergent geometry

A key insight which we will extensively use, originally due to Volovik, is that the order parameter is in fact a *vielbein*. In the present space-time independent situation, this vielbein is just a 2×2 matrix which generically will be invertible

$$e_A^j = \begin{pmatrix} \text{Re}(\delta^x) & \text{Re}(\delta^y) \\ \text{Im}(\delta^x) & \text{Im}(\delta^y) \end{pmatrix} \in GL(2), \quad (3.5)$$

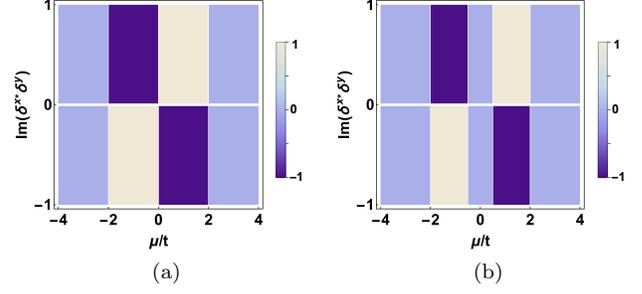


FIG. 3. The topological phase diagram of the lattice model is simplest to understand from the formula $\nu = \frac{1}{2} \sum_{n=1}^4 o_n \text{sgn}(m_n)$ for the Chern number in terms of the masses and orientations of low energy relativistic fermions. (a) Topological phase diagram for isotropic hopping t . Units on the vertical axis are arbitrary, the topological phase diagram only depends on the orientation $o = \text{sgn}(\text{Im}(\delta^{x*}\delta^y))$. (b) Topological phase diagram for anisotropic hopping $t^x \neq t^y$, additional trivial phases exist around $\mu = 0$. Here $t = \frac{t^x + t^y}{2}$.

where $A = 1, 2, j = x, y$. More accurately, e_A^j is invertible if $\det(e_A^i) = \text{Im}(\delta^{x*}\delta^y) \neq 0$. We refer to an order parameter as singular if $\text{Im}(\delta^{x*}\delta^y) = 0$. From the vielbein one can calculate a metric, which in the present situation is a general symmetric positive semidefinite matrix

$$g^{ij} = e_A^i \delta^{AB} e_B^j = \delta^{(i} \delta^{j)*} \quad (3.6)$$

$$= \begin{pmatrix} |\delta^x|^2 & \text{Re}(\delta^x \delta^{y*}) \\ \text{Re}(\delta^x \delta^{y*}) & |\delta^y|^2 \end{pmatrix}.$$

Every vielbein determines a metric uniquely, but the converse is not true. Vielbeins e, \tilde{e} that are related by an internal reflection and rotation $e_A^j = \tilde{e}_B^j L_A^B$ with $L \in O(2)$ give rise to the same metric. By diagonalization, it is also clear that any metric can be written in terms of a vielbein. Therefore the set of (constant) metrics can be parameterized by the coset $GL(2)/O(2)$. To see this explicitly we parameterize $\delta = e^{i\theta} (|\delta^x|, e^{i\phi} |\delta^y|)$ with the overall phase θ and relative phase $\phi \in (-\pi, \pi]$. Then

$$g^{ij} = \begin{pmatrix} |\delta^x|^2 & |\delta^x| |\delta^y| \cos \phi \\ |\delta^x| |\delta^y| \cos \phi & |\delta^y|^2 \end{pmatrix} \quad (3.7)$$

is independent of θ which parametrizes $SO(2)$ and $\text{sgn}\phi$ which parametrizes $O(2)/SO(2)$. Note that the group $O(2)$ of internal rotations and reflections is just $U(1) \times \{1, T\}$ acting on e_A^j . In more detail, $\delta \mapsto e^{2i\alpha} \delta$ (or $\delta \mapsto \delta^*$) corresponds to $e_A^i \mapsto L_A^B e_B^i$ with

$$L = \begin{pmatrix} \cos 2\alpha & \sin 2\alpha \\ -\sin 2\alpha & \cos 2\alpha \end{pmatrix} \left(\text{or } L = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right). \quad (3.8)$$

The internal reflections, corresponding to a reversal of time, flip the *orientation* of the vielbein $o =$

$\text{sgn}(\det(e_A^i)) = \text{sgn}(\text{Im}(\delta^{x*}\delta^y))$, and therefore every quantity that depends on o is time reversal odd. We will also refer to o as the orientation of the order parameter. An order parameter with a positive (negative) orientation can be thought of as $p_x + ip_y$ -like ($p_x - ip_y$ -like).

For the $p_x \pm ip_y$ configuration, $\delta = e^{i\theta}\delta_0(1, \pm i)$, one obtains a scalar metric $g^{ij} = \delta_0\delta^{ij}$, independent of the phase θ and the orientation $o = \pm$. We see that θ, o correspond precisely to the $O(2) = U(1) \times \{1, T\}$ degrees of freedom of the vielbein to which the metric is blind to. Thus the metric g^{ij} corresponds to the Higgs part of the order parameter, by which we mean the part of the order parameter on which the ground state energy depends, in the intrinsic case.

The fact that $U(1)$ transformations map to internal rotations also appears naturally in the BdG formalism which we will use in the following. Consider the Nambu spinor $\Psi = (\psi, \psi^\dagger)^T$. It follows from the $U(1)$ action $\psi \mapsto e^{i\alpha}\psi$ that $\Psi \mapsto e^{i\alpha\sigma^z}\Psi$ where σ^z is the Pauli matrix. We see that $U(1)$ acts on Ψ as a spin rotation. Moreover, the fact that δ has charge 2 while ψ has charge 1 implies e is an $SO(2)$ vector while Ψ is a spinor.

IV. CONTINUUM LIMITS OF THE LATTICE MODEL

A. The p -wave superfluid

Consider the lattice model (3.1), with a general space time dependent order parameter $\delta_{\mathbf{l}} = (\delta_{\mathbf{l}}^x(t), \delta_{\mathbf{l}}^y(t))$, and minimally coupled to electromagnetism,

$$H = -\frac{1}{2} \sum_{\mathbf{l}} \left[t\psi_{\mathbf{l}}^\dagger e^{iA_{\mathbf{l},\mathbf{l}+x}} \psi_{\mathbf{l}+x} + (\mu_{\mathbf{l}} + A_{\mathbf{l},\mathbf{l}}) \psi_{\mathbf{l}}^\dagger \psi_{\mathbf{l}} + \delta_{\mathbf{l}}^x \psi_{\mathbf{l}}^\dagger e^{iA_{\mathbf{l},\mathbf{l}+x}} \psi_{\mathbf{l}+x}^\dagger + (x \leftrightarrow y) + h.c. \right]. \quad (4.1)$$

Here $A_{\mathbf{l},\mathbf{l}'}$, $A_{\mathbf{l},\mathbf{l}}$ are the components of a $U(1)$ gauge field describing background electromagnetism, on the discrete space and continuous time. We will work in the relativistic regime $\delta_0 \gg t, \mu$ where δ_0 is a characteristic scale for δ . To obtain a continuum description, we split BZ into four quadrants $BZ = \cup_{n=1}^4 BZ^{(n)}$ centered around the four points $\mathbf{K}^{(n)}$, and decompose the fermion operator $\psi_{\mathbf{l}}$ as a sum $\psi_{\mathbf{l}} = \sum_{n=1}^4 \psi_{\mathbf{l}}^{(n)} e^{i\mathbf{K}^{(n)} \cdot \mathbf{l}}$, where $\psi_{\mathbf{l}}^{(n)} e^{i\mathbf{K}^{(n)} \cdot \mathbf{l}}$ has non zero Fourier modes only in $BZ^{(n)}$. Thus the fermions $\psi^{(n)}$ all have non zero Fourier modes only in $BZ^{(n)} - \mathbf{K}^{(n)} = [-\frac{\pi}{2a}, \frac{\pi}{2a}]^2$. This restriction of the quasi momenta provides the fermions $\psi^{(n)}$ with a *physical* cutoff $\sim a^{-1}$, which will be important when we compare results from the continuum description to the lattice model. Assuming μ, δ, A have small derivatives relative to a^{-1} , the inter fermion terms in H can be neglected and H splits into a sum $H \approx \sum_{n=1}^4 H^{(n)}$, with $H^{(n)}$ a Hamiltonian for $\psi^{(n)}$. We then expand the Hamiltonians $H^{(n)}$ in small $\psi^{(n)}$ derivatives relative to

a^{-1} . The resulting Hamiltonian, focusing on the point $\mathbf{K}^{(1)} = (0, 0)$, is the p -wave superfluid (SF) Hamiltonian

$$H_{\text{SF}} = \int d^2x \left[\psi^\dagger \left(-\frac{D^2}{2m^*} + m - A_t \right) \psi - \left(\frac{1}{2} \psi^\dagger \Delta^j \partial_j \psi^\dagger + h.c. \right) \right], \quad (4.2)$$

where the fermion field has been redefined such that $\{\psi^\dagger(x), \psi(x')\} = \delta^{(2)}(x-x')$. Here $D_\mu = \partial_\mu - iA_\mu$ is the $U(1)$ -covariant derivative, with the connection $A = A_j dx^j$ related to $A_{\mathbf{l},\mathbf{l}'}$ by $A_{\mathbf{l},\mathbf{l}'} = \int_{\mathbf{l}}^{\mathbf{l}'} A$, and $D^2 = \delta^{ij} D_i D_j$ with $i, j = x, y$. Note the appearance of the flat background spatial metric δ^{ij} . The effective mass is related to the hopping amplitude $1/m^* = a^2 t$, and the order parameter is $\Delta = a\delta$, so it is essentially the lattice order parameter. The chemical potential for the p -wave SF is $-m$. The coupling to A in the pairing term is lost, since $\psi^\dagger \psi^\dagger = 0$. For this reason it is a derivative and not a covariant derivative that appears in $\psi^\dagger \Delta^j \partial_j \psi^\dagger$, and one can verify that this term is gauge invariant. Moreover, due to the anti-commutator $\{\psi^\dagger(x), \psi^\dagger(y)\} = 0$ any operator put between two ψ^\dagger s is anti-symmetrized, and in particular $\psi^\dagger \Delta^j \partial_j \psi^\dagger = \psi^\dagger \frac{1}{2} \{\Delta^j, \partial_j\} \psi^\dagger$ where $\{\Delta^j, \partial_j\}$ is the anti-commutator of differential operators. This Hamiltonian is essentially the one considered in [4] for the p -wave SF. The corresponding action is the p -wave SF action

$$S_{\text{SF}}[\psi, \Delta, A] = \int d^{2+1}x \left[\psi^\dagger \left(iD_t + \frac{D^2}{2m^*} - m \right) \psi + \left(\frac{1}{2} \psi^\dagger \Delta^j \partial_j \psi^\dagger + h.c. \right) \right], \quad (4.3)$$

in which ψ, ψ^\dagger are no longer fermion operators, but independent Grassmann valued fields, $\{\psi(x), \psi^\dagger(x')\} = 0$. This action comes equipped with a momentum cutoff $\Lambda_{UV} \sim a^{-1}$ inherited from the lattice model.

For the other points $\mathbf{K}^{(2)}, \mathbf{K}^{(3)}, \mathbf{K}^{(4)}$ the SF action obtained is slightly different. The chemical potential for the n th fermion is $-m_n$. The order parameter for the n th fermion is $\Delta_{(n)}^x = a\delta^x e^{iK_x^{(n)}}$, $\Delta_{(n)}^y = a\delta^y e^{iK_y^{(n)}}$, and we note that $e^{iK_j^{(n)}} = \pm 1$. The order parameters for $\mathbf{K}^{(1)} = (0, 0)$, $\mathbf{K}^{(3)} = (\pi, \pi)$ are related by an overall sign, which is a $U(1)$ transformation, and so are the order parameters for $\mathbf{K}^{(2)} = (0, \pi)$, $\mathbf{K}^{(4)} = (\pi, 0)$. Thus the order parameters for $n = 1, 3$ are physically indistinguishable, and so are order parameters for $n = 2, 4$. The order parameters for $n = 1$ and $n = 2$ are however physically distinct. First, the orientations $o_n = \text{sgn}(\text{Im}(\Delta_{(n)}^{x*} \Delta_{(n)}^y))$ are different, with $o_1 = -o_2$. Second, the metrics $g_{(n)}^{ij} = \Delta^{(i} \Delta^{j)*}$ are generically different, with the same diagonal components, but $g_{(1)}^{xy} = -g_{(2)}^{xy}$. We note that if the relative phase between δ^x and δ^y is $\pm\pi/2$, as in the $p_x \pm ip_y$ configuration, then all metrics $g_{(n)}^{ij}$ are diagonal and therefore equal. These differences

between the orientations and metrics of the different lattice fermions will be important later on.

Similarly, the effective mass tensor which in (4.2), for $n = 1$, is $(M^{-1})^{ij} = \frac{\delta^{ij}}{m^*}$, has different signatures for different n , but this will not be important in this paper. For now we continue working with the action (4.3) for the $n = 1$ fermion, keeping the other lattice fermions implicit until section VII B 3.

B. Relativistic limit of the p -wave superfluid

Since we work in the relativistic regime $\delta \gg t, \mu$ we can treat the term $\psi^\dagger \frac{D^2}{2m^*} \psi$ as a perturbation and compute quantities to zeroth order in $1/m^*$. Then S_{SF} reduces to what we refer to as the relativistic limit of the p -wave SF action, given in BdG form by

$$S_{\text{rSF}}[\psi, \Delta, A] \quad (4.4)$$

$$= \frac{1}{2} \int d^{2+1}x \Psi^\dagger \begin{pmatrix} i\partial_t + A_t - m & \frac{1}{2} \{\Delta^j, \partial_j\} \\ -\frac{1}{2} \{\Delta^{*j}, \partial_j\} & i\partial_t - A_t + m \end{pmatrix} \Psi.$$

It is well known that when Δ takes the $p_x \pm ip_y$ configuration $\Delta = \Delta_0 e^{i\theta} (1, \pm i)$ and $A = 0$ this action is that of a relativistic Majorana spinor in Minkowski space-time, with mass m and speed of light $c_{\text{light}} = \frac{\Delta_0}{\hbar}$. In the following, we will see that for general Δ and A , (4.4) is the action of a relativistic Majorana spinor in curved and torsion-full space-time. We will sometimes refer to the relativistic limit as $m^* \rightarrow \infty$, though this is somewhat loose, because in the relativistic regime both m^* is large and m is small.

Before we go on to analyze the p -wave SF in the relativistic limit, it is worth considering what of the physics of the p -wave SF is captured by the relativistic limit, and what is not. First, the coupling to A_x, A_y is lost, so the relativistic limit is blind to the magnetic field. Since superconductors are usually defined by their interaction with the magnetic field, the relativistic limit is actually insufficient to describe the properties of the p -wave SF as a superconductor. Of course, a treatment of superconductivity also requires the dynamics of Δ . Likewise, the term $\frac{1}{2m^*} \psi^\dagger D^2 \psi = \frac{1}{2m^*} \psi^\dagger \delta^{ij} D_i D_j \psi$ seems to be the only term in S_{SF} that includes the flat background metric δ^{ij} , describing the real geometry of space. It appears that the relativistic limit is insufficient to describe the response of the system to a change in the real geometry of space¹⁰. Nevertheless, as is well known, the relativistic limit does suffice to determine the topological phases of the p -wave SC as a free (and weakly interacting) fermion system. Indeed, the Chern number labeling the different topological phases can be calculated by the formula $\nu = \frac{1}{2} \sum_{n=1}^4 o_n \text{sgn}(m_n)$, which only uses data from the

relativistic limit. Here the sum is over the four particle-hole invariant points of the lattice model, with orientations o_n and masses m_n . This suggests that at least some physical properties characterizing the different free fermion topological phases can be obtained from the relativistic limit. Indeed, in the following we will see how a topological bulk response and a corresponding boundary anomaly can be obtained within the relativistic limit.

V. EMERGENT RIEMANN-CARTAN GEOMETRY

We argue that (4.4) is precisely the action which describes a relativistic massive Majorana spinor in a curved and torsion-full background known as Riemann-Cartan (RC) geometry, with a particular form of background fields. We refer the reader to [71] parts I.1 and I.4.4, for a review of RC geometry and the coupling of fermions to it, and provide only the necessary details here, focusing on the implications for the p -wave SF. For simplicity we work locally and in coordinates, and we defer the treatment of global aspects to appendix F.

The action describing the dynamics of a Majorana spinor on RC background in 2+1 dimensional space-time can be written as

$$S_{\text{RC}}[\chi, e, \omega] \quad (5.1)$$

$$= \frac{1}{2} \int d^{2+1}x |e| \bar{\chi} \left[\frac{i}{2} e_a^\mu \left(\gamma^a D_\mu - \overleftarrow{D}_\mu \gamma^a \right) - m \right] \chi.$$

Here χ is a Majorana spinor with mass m obeying, as a field operator, the canonical anti-commutation relation $\{\chi(x), \chi(y)\} = \frac{\delta^{(2)}(x-y)}{|e(x)|}$, where we suppressed spinor indices. As a Grassmann field $\{\chi(x), \chi(y)\} = 0$. The field e_a^μ is an inverse vielbein which is an invertible matrix at each point in space-time. The indices $a, b, \dots \in \{0, 1, 2\}$ are $SO(1, 2)$ (Lorentz) indices which we refer to as internal indices, while $\mu, \nu, \dots \in \{t, x, y\}$ are coordinate indices.

We will also use $A, B, \dots \in \{1, 2\}$ for spatial internal indices and $i, j, \dots \in \{x, y\}$ for spatial coordinate indices

The vielbein e_a^μ , is the inverse of e_μ^a , such that $e_a^\mu e_\mu^b = \delta_a^b$. It is often useful to view the vielbein as a set of linearly independent (local) one-forms $e^a = e_\mu^a dx^\mu$. The metric corresponding to the vielbein is $g_{\mu\nu} = e_\mu^a \eta_{ab} e_\nu^b$ and the inverse metric is $g^{\mu\nu} = e_a^\mu \eta^{ab} e_b^\nu$, where $\eta_{ab} = \eta^{ab} = \text{diag}[1, -1, -1]$ is the flat Minkowski metric. Internal indices are raised and lowered using η , while coordinate indices are raised and lowered using g and its inverse. Using e one can replace internal indices with coordinate indices and vice versa, e.g. $v^a = e_\mu^a v^\mu$. The volume element is defined by $|e| = |\det e_\mu^a| = \sqrt{g}$. $\{\gamma^a\}_{a=0}^2$ are gamma matrices obeying $\{\gamma^a, \gamma^b\} = 2\eta^{ab}$, and we will work with $\gamma^0 = \sigma^z$, $\gamma^1 = -i\sigma^x$, $\gamma^2 = i\sigma^y$ ¹¹.

¹⁰ In fact, some of the response to the real geometry can be obtained, see our discussion, section IX.

¹¹ The gamma matrices form a basis for the Clifford algebra associ-

The covariant derivative $D_\mu = \partial_\mu + \omega_\mu^{12}$ contains the spin connection $\omega_\mu = \frac{1}{2}\omega_{ab\mu}\Sigma^{ab}$, where $\Sigma^{ab} = \frac{1}{4}[\gamma^a, \gamma^b]$ generate the spin group $Spin(1, 2)$ which is the double cover of the Lorentz group $SO(1, 2)$. Note that $\omega_{ab\mu} = -\omega_{ba\mu}$ and therefore $\omega_{b\mu}^a$ is an $SO(1, 2)$ connection. It follows that ω is metric compatible, $D_\mu\eta_{ab} = 0$. It is often useful to work (locally) with a connection one-form $\omega = \omega_\mu dx^\mu$. $\bar{\chi}$ is the Dirac conjugate defined as in Minkowski space-time $\bar{\chi} = \chi^\dagger\gamma^0$. The derivative \overleftarrow{D}_μ acts only on $\bar{\chi}$ and is explicitly given by $\chi\overleftarrow{D}_\mu = \partial_\mu\bar{\chi} - \bar{\chi}\omega_\mu$.

Our statement is that $S_{RC}[\chi, e, \omega]$ evaluated on the fields

$$\begin{aligned} \chi &= |e|^{-1/2}\Psi, \\ e_a^\mu &= \frac{1}{\Delta_0} \begin{pmatrix} \Delta_0 & 0 & 0 \\ 0 & \text{Re}(\Delta^x) & \text{Re}(\Delta^y) \\ 0 & \text{Im}(\Delta^x) & \text{Im}(\Delta^y) \end{pmatrix}, \quad \omega_\mu = -2A_\mu\Sigma^{12}, \end{aligned} \quad (5.2)$$

reduces precisely to $S_{\text{RSF}}[\psi, \Delta, A]$ of equation (4.4), where one must keep in mind that S_{RC} is written in relativistic units where $\hbar = 1$ and $c_{\text{light}} = \frac{\Delta_0}{\hbar} = 1$, which we will use in the following. Moreover, the functional integral over χ is equal to the functional integral over Ψ . This is a slight refinement of the original statement by Volovik and subsequent work by Read and Green [4]. We defer the proof to appendices A and C, where we also address certain subtleties that arise. Here we describe the particular RC geometry that follows from (5.2), and attempt to provide some intuition for this geometric description of the p -wave SF. Starting with the vielbein, note that the only nontrivial part of e_a^μ is the spatial part e_A^j , which is just the order parameter Δ , as in (3.5). The inverse metric we obtain from our vielbein is

$$\begin{aligned} g^{\mu\nu} &= e_a^\mu\eta^{ab}e_b^\nu \\ &= \frac{1}{\Delta_0^2} \begin{pmatrix} \Delta_0^2 & 0 & 0 \\ 0 & -|\Delta^x|^2 & -\text{Re}(\Delta^x\Delta^{*y}) \\ 0 & -\text{Re}(\Delta^x\Delta^{*y}) & -|\Delta^y|^2 \end{pmatrix}, \end{aligned} \quad (5.3)$$

where the spatial part $g^{ij} = -\frac{1}{\Delta_0^2}\Delta^{(i}\Delta^{j)*}$ is the Higgs part of the order parameter, as in (3.6). For the $p_x \pm ip_y$ configuration the metric reduces to the Minkowski metric. If Δ is time independent $g^{\mu\nu}$ describes a Riemannian geometry which is trivial in the time direction, but we allow for a time dependent Δ . A metric of the form (5.3) is said to be in gaussian normal coordinates with respect to space [72].

The $U(1)$ connection A_μ maps to a $Spin(2)$ connection $\omega_\mu = -2A_\mu\Sigma^{12} = -iA_\mu\sigma^z$ which corresponds to spatial spin rotations. This is a special case of the general $Spin(1, 2)$ connection which appears in RC geometry. The fact that $U(1)$ transformations map to spin rotations when acting on the Nambu spinor Ψ is a general

feature of the BdG formalism as was already discussed in section III B. From the spin connection ω it is natural to construct a curvature, which is a matrix valued two-form defined by $R_b^a = d\omega_b^a + \omega_c^a \wedge \omega_b^c$. In local coordinates x^μ it can be written as $R_b^a = \frac{1}{2}R_{b\mu\nu}^a dx^\mu \wedge dx^\nu$, where the components are given explicitly by $R_{b\mu\nu}^a = \partial_\mu\omega_{b\nu}^a - \partial_\nu\omega_{b\mu}^a + \omega_{c\mu}^a\omega_{b\nu}^c - \omega_{c\nu}^a\omega_{b\mu}^c$. It follows from (5.2) that in our case the only non zero components are

$$R_{12} = -R_{21} = -2F, \quad (5.4)$$

where the two form $F = dA$ is the $U(1)$ field strength, or curvature, comprised of the electric and magnetic fields.

A. Torsion and additional geometric quantities

Since we treat A and Δ as independent background fields, so are the spin connection ω and vielbein e . This situation is referred to as the first order vielbein formalism for gravity [71]. Apart from the metric g and the curvature R which we already described, there are a few more geometric quantities which can be constructed from e, ω , and that will be used in this paper. These additional quantities revolve around the notion of torsion.

The torsion tensor T is an important geometrical quantity, but a pragmatic way to view it is as a useful parameterization for the set of all spin connections ω , for a fixed vielbein e . Thus one can work with the variables e, T instead of e, ω . We will see later on that the bulk responses in the p -wave SC are easier to describe using e, T . This is analogous to, and as we will see, generalizes, the situation in s -wave SC, where the independent degrees of freedom are A and $\Delta = |\Delta|e^{i\theta}$, but it is natural to change variables and work with Δ and $D_\mu\theta = \partial_\mu\theta - 2A_\mu$ instead. We now provide the details.

The torsion tensor, or two-form, is defined in terms of e, ω as $T^a = De^a$, or in coordinates $T_{\mu\nu}^a = 2D_{[\mu}e_{\nu]}^a$. Since our temporal vielbein $e^0 = dt$ is trivial and the connection ω is only an $SO(2)$ connection, $T^0 = 0$ for all A and Δ . All other components of the torsion are in general non trivial, and are given by $T_{ij}^A = D_i e_j^A - D_j e_i^A$, $T_{it}^A = -T_{it}^A = D_t e_i^A$. This describes the simple change of variables from ω to T .

Going from T back to ω is slightly more complicated, and is done as follows. One starts by finding the ω that corresponds to $T = 0$. The solution is the unique torsion free spin connection $\tilde{\omega} = \tilde{\omega}(e)$ which we refer to as the Levi Civita (LC) spin connection¹³. This connection is given explicitly by $\tilde{\omega}_{abc} = \frac{1}{2}(\xi_{abc} + \xi_{bca} - \xi_{cab})$ where $\xi_{bc}^a = 2e_b^\mu e_c^\nu \partial_{[\mu} e_{\nu]}^a$. Now, for a general ω the difference $C_{b\mu}^a = \omega_{b\mu}^a - \tilde{\omega}_{b\mu}^a$ is referred to as the contorsion tensor,

ated with η . The above choice of basis is a matter of convention.
¹² We use the notation D for spin, Lorentz, and $U(1)$ covariant derivatives in any representation, and the exact meaning should be clear from the field D acts on.

¹³ The unique torsion free spin connection $\tilde{\omega}$ is also referred to as the Cartan connection in the literature.

or one-form. It carries the same information as T and the two are related by $T^a = C_b^a \wedge e^b$ ($T_{\mu\nu}^a = 2C_{b[\mu}^a e_{\nu]}^b$) and $C_{\mu\alpha\nu} = \frac{1}{2}(T_{\alpha\mu\nu} + T_{\mu\nu\alpha} - T_{\nu\alpha\mu})$. One can then reconstruct ω from e, T as $\omega = \tilde{\omega}(e) + C(e, T)$. Note that $\omega, \tilde{\omega}$ are both connections, but C, T are tensors.

For the $p_x \pm ip_y$ configuration $\Delta = \Delta_0 e^{i\theta}(1, \pm i)$ one finds $\tilde{\omega}_{12\mu} = -\tilde{\omega}_{21\mu} = -\partial_\mu \theta$ (with all other components vanishing), and it follows that $C_{12\mu} = D_\mu \theta$. These are familiar quantities in the theory of superconductivity, and one can view $\tilde{\omega}$ and C as generalizations of these. General formulas are given in appendix D.

Using $\tilde{\omega}$ one can define a covariant derivative \tilde{D} and curvature \tilde{R} just as D and R are constructed from ω . The quantity $\tilde{R}_{\nu\rho\sigma}^\mu$ is the usual Riemann tensor of Riemannian geometry and general relativity. Note that $\tilde{R}_{\nu\rho\sigma}^\mu$ depends solely on g which is the Higgs part of the order parameter Δ . Since g is flat in the $p_x \pm ip_y$ configuration, we conclude that a non vanishing Riemann tensor requires a deviation of the Higgs part of Δ from the $p_x \pm ip_y$ configuration. As in Riemannian geometry we can define the Ricci tensor $\tilde{R}_{\nu\sigma} = \tilde{R}_{\nu\mu\sigma}^\mu$ and Ricci scalar $\tilde{R} = \tilde{R}^\nu_\nu$. Examples for the calculation of \tilde{R} in terms of Δ where given in section II.

Another important quantity which can be constructed from e, ω is the affine connection $\Gamma_{\beta\mu}^\alpha = e_a^\alpha (\partial_\mu e_a^\beta + \omega_{b\mu}^a e_b^\beta) = e_a^\alpha D_\mu e_a^\beta$, or affine connection (local) one-form $\Gamma_\beta^\alpha = \Gamma_{\beta\mu}^\alpha dx^\mu$. It is not difficult to see that T is the anti symmetric part of Γ , $T_{\mu\nu}^\rho = \Gamma_{\mu\nu}^\rho - \Gamma_{\nu\mu}^\rho$, and it follows that the LC affine connection $\tilde{\Gamma}_{\beta\mu}^\alpha = e_a^\alpha \tilde{D}_\mu e_a^\beta$, for which $T = 0$, is symmetric in its the two lower indices. This is the usual metric compatible and torsion free connection of Riemannian geometry, given by the Christoffel symbol $\tilde{\Gamma}_{\alpha\beta\mu} = \frac{1}{2}(\partial_\mu g_{\beta\alpha} + \partial_\beta g_{\alpha\mu} - \partial_\alpha g_{\mu\beta})$. Γ appears in covariant derivatives of tensors with coordinate indices, for example $\nabla_\mu v^\alpha = \partial_\mu v^\alpha + \Gamma_{\beta\mu}^\alpha v^\beta$, $\nabla_\mu v_\alpha = \partial_\mu v_\alpha - v_\beta \Gamma_{\alpha\mu}^\beta$, and so on. We also denote by ∇ the total covariant derivative of tensors with both coordinate and internal indices, which includes both ω and Γ . Thus, for example, $\nabla_\mu v_\nu^a = \partial_\mu v_\nu^a + \omega_{b\mu}^a v_\nu^b - v_\nu^a \Gamma_{\mu\alpha}^\nu = D_\mu v_\nu^a - v_\nu^a \Gamma_{\mu\alpha}^\nu$. The most important occurrence of ∇ is in the identity $\nabla_\nu e_\mu^a = 0$, which follows from the definition of Γ in this formalism, and is sometimes called the first vielbein postulate. It means that the covariant derivative ∇ commutes with index manipulation preformed using e, η and g . To obtain more intuition for what Γ is from the p -wave SC point of view, we can write it as $\Gamma_{a\mu}^\alpha = -D_\mu e_a^\alpha$. Then it is clear that the non vanishing components of $\Gamma_{a\mu}^\alpha$ are given by $\Gamma_{1\mu}^j + i\Gamma_{2\mu}^j = -D_\mu \Delta^j$.

VI. SYMMETRIES, CURRENTS, AND CONSERVATION LAWS

In order to map fermionic observables in the p -wave SF to those of a Majorana fermion in RC space-time, it is

usefull is to map the symmetries and the corresponding conservation laws between the two. We start with S_{SF} , and then review the analysis of S_{RC} and show how it maps to that of S_{SF} , in the relativistic limit. The bottom line is that there is a sense in which electric charge and energy-momentum are conserved in a p -wave SC, and this maps to the sense in which spin and energy-momentum are conserved for a Majorana spinor in RC space-time.

A. Symmetries, currents, and conservation laws of the p -wave superfluid action

1. Electric charge

$U(1)$ gauge transformations act on ψ, Δ, A by

$$\psi \mapsto e^{i\alpha} \psi, \quad \Delta \mapsto e^{2i\alpha} \Delta, \quad A_\mu \mapsto A_\mu + \partial_\mu \alpha. \quad (6.1)$$

This symmetry of $S_{\text{SF}}[\psi, \Delta, A]$ implies a conservation law for electric charge,

$$\partial_\mu J^\mu = -i\psi^\dagger \Delta^j \partial_j \psi^\dagger + h.c., \quad (6.2)$$

where $J^\mu = -\frac{\delta S}{\delta A_\mu}$ is the fermion electric current. Since A_μ does not enter the pairing term, J^μ is the same as in the normal state where $\Delta = 0$,

$$J^t = -\psi^\dagger \psi, \quad J^j = -\frac{\delta^{jk}}{m^* 2} i \psi^\dagger \overleftrightarrow{D}_k \psi. \quad (6.3)$$

Here $\psi^\dagger \overleftrightarrow{D}_k \psi = \psi^\dagger D_k \psi - (D_k \psi^\dagger) \psi$. The conservation law (6.2) shows that the fermionic charge alone is not conserved due to the exchange of charge between the fermions ψ and Cooper pairs Δ . If one adds a ($U(1)$ gauge invariant) term $S'[\Delta, A]$ to the action and considers Δ as a dynamical field, then it is possible to use the equation of motion $\frac{\delta(S'+S)}{\delta \Delta} = 0$ for Δ and the definition $J_\Delta^\mu = -\frac{\delta S'}{\delta A_\mu}$ of the Cooper pair current in order to rewrite (6.2) as $\partial_\mu (J^\mu + J_\Delta^\mu) = 0$. This expresses the conservation of total charge in the p -wave SC.

2. Energy-momentum

Energy and momentum are at the heart of this paper, and obtaining the correct expressions for these quantities, as well as interpreting correctly the conservation laws they satisfy, will be crucial.

In flat space, one usually starts with the canonical energy-momentum tensor. For a Lagrangian $\mathcal{L}(\phi, \partial\phi, x)$, where ϕ is any fermionic or bosonic field, it is given by

$$t_\nu^\mu = \frac{\partial \mathcal{L}}{\partial \partial_\mu \phi} \partial_\nu \phi - \delta_\nu^\mu \mathcal{L}, \quad (6.4)$$

and satisfies, on the equation of motion for ϕ ,

$$\partial_\mu t_\nu^\mu = -\partial_\nu \mathcal{L}, \quad (6.5)$$

which can be obtained from Noether's first theorem for space-time translations. Thus t^μ_ν is conserved if and only if the Lagrangian is independent of the coordinate x^ν . This motivates the identification of t^μ_t as the energy current, and of t^μ_j as the current of the j th component of momentum (j -momentum). t^t_t is just the Hamiltonian density, or energy density, and t^t_j is the j -momentum density.

It is well known however, that the canonical energy-momentum tensor may fail to be gauge invariant, symmetric in its indices, or traceless, in situations where these properties are physically required, and it is also sensitive to the addition of total derivatives to the Lagrangian. To obtain the physical energy-momentum tensor one can either "improve" t^μ_ν or appeal to a geometric (gravitational) definition which directly provides the physical energy-momentum tensor [71, 73].

We will comment on the coupling of the p -wave SF to a real background geometry our discussion, section IX, but here we fix the background geometry to be flat, and instead continue by introducing the $U(1)$ -covariant canonical energy-momentum tensor. It can be shown to coincide with the physical energy-momentum tensor obtained by coupling the p -wave SF to a real background geometry. Since we work with a fixed flat background geometry in this section, we will only consider space-time transformations which are symmetries of this background, and it will suffice to consider space-time translations and spatial rotations.

The $U(1)$ -covariant canonical energy-momentum tensor is relevant in the following situation. Assume that the x dependence in \mathcal{L} is only through a $U(1)$ gauge field to which ϕ is minimally coupled, $\mathcal{L}(\phi, \partial\phi, x) = \mathcal{L}(\phi, D\phi)$. Then, t^μ_ν is not gauge invariant, and therefore physically ambiguous. This is reflected in the conservation law (6.5) which takes the non covariant form

$$\partial_\mu t^\mu_\nu = J^\mu \partial_\nu A_\mu, \quad (6.6)$$

where $J^\mu = -\frac{\partial\mathcal{L}}{\partial A_\mu}$ is the $U(1)$ current. This lack of gauge invariance is to be expected, as this conservation law follows from translational symmetry, and translations do not commute with gauge transformations. Instead, one should use $U(1)$ -covariant space-time translations, which are translations from x to $x+a$ followed by a $U(1)$ parallel transport from $x+a$ back to x , $\phi(x) \mapsto e^{iq \int_{x-a}^x A} \phi(x-a)$ where $\phi \mapsto e^{iq\alpha} \phi$ under $U(1)$ and the integral is over the straight line from $x-a$ to x . This is still a symmetry because the additional $e^{iq \int_{x-a}^x A}$ is just a gauge transformation. The conservation law that follows from this modified action of translations is

$$\partial_\mu t^\mu_{\text{cov } \nu} = F_{\nu\mu} J^\mu, \quad (6.7)$$

where $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$ is the electromagnetic field strength, and

$$t^\mu_{\text{cov } \nu} = \frac{\partial\mathcal{L}}{\partial D_\mu\phi} D_\nu\phi - \delta^\mu_\nu \mathcal{L} = t^\mu_\nu - J^\mu A_\nu \quad (6.8)$$

is the $U(1)$ -covariant version of t^μ_ν , which we refer to as the $U(1)$ -covariant canonical energy-momentum tensor. The right hand side of (6.7) is just the usual Lorentz force, which acts as a source of $U(1)$ -covariant energy-momentum. We stress that the covariant and non covariant conservation laws are equivalent, as can be verified by using the fact that $\partial_\mu J^\mu = 0$ in this case. Both hold in any gauge, but in (6.7) all quantities are gauge invariant.

For the p -wave SF one obtains the $U(1)$ -covariant energy-momentum tensor

$$\begin{aligned} t^\mu_{\text{cov } t} &= \frac{i}{2} \psi^\dagger \overleftrightarrow{D}_t \psi - \mathcal{L} \\ &= \frac{\delta^{ij} D_i \psi^\dagger D_j \psi}{2m^*} + m \psi^\dagger \psi - \left(\frac{1}{2} \psi^\dagger \Delta^j \partial_j \psi^\dagger + h.c. \right), \\ t^\mu_{\text{cov } j} &= \frac{i}{2} \psi^\dagger \overleftrightarrow{D}_j \psi, \\ t^i_{\text{cov } t} &= -\frac{\delta^{ik} (D_k \psi)^\dagger D_t \psi}{2m^*} + \frac{1}{2} \psi^\dagger \Delta^i \partial_t \psi^\dagger + h.c., \\ t^i_{\text{cov } j} &= -\frac{\delta^{ik} (D_k \psi)^\dagger D_j \psi}{2m^*} + \frac{1}{2} \psi^\dagger \Delta^i \partial_j \psi^\dagger + h.c. - \delta^i_j \mathcal{L}. \end{aligned} \quad (6.9)$$

The $U(1)$ -covariant conservation law is slightly more complicated than (6.7) due the additional background field Δ ,

$$\partial_\mu t^\mu_{\text{cov } \nu} = \frac{1}{2} \psi^\dagger \partial_j \psi^\dagger D_\nu \Delta^j + h.c. + F_{\nu\mu} J^\mu, \quad (6.10)$$

where we have used the $U(1)$ conservation law (6.2), and $D_\mu \Delta^j = (\partial_\mu - 2iA_\mu) \Delta^j$. This conservation law shows that ($U(1)$ -covariant) fermionic energy-momentum is not conserved due to the exchange of energy-momentum with the background fields A, Δ . Apart from the Lorentz force there is an additional source term due to the space-time dependence of Δ .

As in the case of the electric charge, if one considers Δ as a dynamical field and uses its equation of motion, (6.10) can be written as¹⁴

$$\partial_\mu (t^\mu_{\text{cov } \nu} + t^\mu_{\Delta \text{ cov } \nu}) = F_{\nu\mu} (J^\mu + J^\mu_\Delta), \quad (6.11)$$

which is of the general form (6.7).

Note that the spatial part $t^i_{\text{cov } j}$ is not symmetric,

$$t^x_{\text{cov } y} - t^y_{\text{cov } x} = \frac{1}{2} \psi^\dagger (\Delta^x \partial_y - \Delta^y \partial_x) \psi^\dagger + h.c., \quad (6.12)$$

which physically represents an exchange of angular momentum between Δ and ψ , possible because of the intrinsic angular momentum of Cooper pairs in a p -wave

¹⁴ $t^\mu_{\Delta \text{ cov } \nu}$ is the $U(1)$ -covariant energy-momentum tensor of Cooper pairs. It is defined by (6.8) with $\phi = \Delta$ and $\mathcal{L} = \mathcal{L}'(\Delta, \Delta^*, D\Delta, D\Delta^*)$ being the (gauge invariant) term added to the p -wave SF Lagrangian. Here it is important that the coupling of Δ to ψ in (4.3) can be written without derivatives of Δ .

SC. Explicitly, the ($U(1)$ -covariant) angular momentum current is given by $J_\varphi^\mu = t_{\text{cov } \varphi}^\mu = t_{\text{cov } \nu}^\mu \zeta^\nu$ where $\zeta = x\partial_y - y\partial_x = \partial_\varphi$ is the generator of spatial rotations around $x = y = 0$, and φ is the polar angle. From (6.10) and (6.12) we find its conservation law

$$\partial_\mu J_\varphi^\mu = \left(\frac{1}{2} \psi^\dagger \partial_j \psi^\dagger D_\varphi \Delta^j + h.c. + F_{\varphi\mu} J^\mu \right) + \frac{1}{2} \psi^\dagger (\Delta^x \partial_y - \Delta^y \partial_x) \psi^\dagger + h.c., \quad (6.13)$$

which shows that even when the Lorentz force in the φ direction vanishes and Δ is ($U(1)$ -covariantly) constant in the φ direction, Δ still acts a source for fermionic angular momentum, due to the last term.

Even though fermionic angular momentum is never strictly conserved in a p -wave SF, it is well known that a certain combination of fermionic charge and fermionic angular momentum can be strictly conserved [74–77]. Indeed, using (6.13) and (6.2),

$$\partial_\mu \left(J_\varphi^\mu \mp \frac{1}{2} J^\mu \right) = \left(\frac{1}{2} \psi^\dagger \partial_j \psi^\dagger D_\varphi \Delta^j + h.c. + F_{\varphi\mu} J^\mu \right) \pm \frac{i}{2} \psi^\dagger (\Delta^x \pm i\Delta^y) (\partial_x \mp i\partial_y) \psi^\dagger + h.c. \quad (6.14)$$

We see that when $F_{\varphi\mu} = 0$, $D_\varphi \Delta = 0$ and $\Delta^y = \pm i\Delta^x$, the above current is strictly conserved

$$\partial_\mu \left(J_\varphi^\mu \mp \frac{1}{2} J^\mu \right) = 0, \quad (6.15)$$

which occurs in the generalized $p_x \pm ip_y$ configuration $\Delta = e^{i\theta(r,t)} \Delta_0(r,t) (1, \pm i)$, written in the gauge $A_\varphi = 0$, and where $r = \sqrt{x^2 + y^2}$. This conservation law follows from the symmetry of the generalized $p_x \pm ip_y$ configuration under the combination of a spatial rotation by an angle α and a $U(1)$ transformation by a phase $\mp \alpha/2$.

B. Symmetries, currents, and conservation laws in the geometric description

The symmetries and conservation laws for Dirac fermions have been described recently in [78]. Here we review the essential details (for Majorana fermions) and focus on the mapping to the symmetries and conservation laws of the p -wave SF action (4.4), which were described in section VIA.

1. Currents in the geometric description

The natural currents in the geometric description are defined by the functional derivatives of the action S_{RC} with respect to the background fields e, ω ,

$$J_a^\mu = \frac{1}{|e|} \frac{\delta S_{\text{RC}}}{\delta e_a^\mu}, \quad J^{ab\mu} = \frac{1}{|e|} \frac{\delta S_{\text{RC}}}{\delta \omega_{ab\mu}}. \quad (6.16)$$

J_a^μ is the energy momentum (energy-momentum) tensor and $J^{ab\mu}$ is the spin current. Note that we use J as opposed to J to distinguish the geometric currents from the p -wave SF currents described in the previous section, though the two are related as shown below.

Calculating the geometric currents for the action (5.1) one obtains

$$2J_a^\mu = \mathcal{L}_{\text{RC}} e_a^\mu - \frac{i}{2} \bar{\chi} \left(\gamma^\mu D_a - \overleftarrow{D}_a \gamma^\mu \right) \chi, \quad (6.17)$$

$$2J^{ab\mu} = -\frac{1}{4} \bar{\chi} \chi e_c^\mu \varepsilon^{abc},$$

where $\mathcal{L}_{\text{RC}} = \bar{\chi} \left[\frac{i}{2} e_a^\mu \left(\gamma^a D_\mu - \overleftarrow{D}_\mu \gamma^a \right) - m \right] \chi$ is (twice) the Lagrangian, which vanishes on the χ equation of motion. We see that J_a^μ is essentially the $SO(1,2)$ -covariant version of the canonical energy-momentum tensor of the spinor χ . We also see that the spin current $J^{ab\mu}$ has a particularly simple form in $D = 2 + 1$, it is just the spin density $\frac{1}{2} \bar{\chi} \chi$ times a tensor $-\frac{1}{2} e_c^\mu \varepsilon^{abc}$ that only depends on the background field e . Using the expressions (5.2) for the geometric fields we find that J_a^μ , $J^{ab\mu}$ are related simply to the electric current and the ($U(1)$ -covariant) canonical energy-momentum tensor described in section VIA, in the limit $m^* \rightarrow \infty$,

$$J^\mu = 4|e| J^{12\mu} = -\psi^\dagger \psi \delta_t^\mu, \quad (6.18)$$

$$t_{\text{cov } \nu}^\mu = -|e| J_\nu^\mu = \begin{cases} \frac{i}{2} \psi^\dagger \overleftarrow{D}_\nu \psi & \mu = t \\ \frac{1}{2} \psi^\dagger \Delta^j \partial_\nu \psi^\dagger + h.c. & \mu = j \end{cases}.$$

Here we have simplified t_{cov} using the equation of motion for ψ , and one can also use the equation of motion to remove time derivatives and obtain Schrödinger picture operators. For example, $t_{\text{cov } t}^\mu = \frac{i}{2} \psi^\dagger \overleftarrow{D}_t \psi = m\psi^\dagger \psi - \left(\frac{1}{2} \psi^\dagger \Delta^j \partial_j \psi^\dagger + h.c. \right)$ is just the ($U(1)$ -covariant) Hamiltonian density in the relativistic limit. The expression for the energy current $t_{\text{cov } t}^i$ is more complicated, and it is convenient to write it using some of the geometric quantities introduced above

$$t_{\text{cov } t}^j = g^{jk} \frac{i}{2} \psi^\dagger \overleftarrow{D}_k \psi - \frac{o}{2} \partial_k \left(\frac{1}{|e|} \varepsilon^{jk} \psi^\dagger \psi \right) - (\psi^\dagger \psi) g^{jk} C_{12k}. \quad (6.19)$$

This is an expression for the energy current in terms of the momentum and charge densities, and it will be obtained below as a consequence of Lorentz symmetry in the relativistic limit. We now describe the symmetries of the action (5.1) and the conservation laws they imply for these currents. As expected, these conservation laws turn out to be essentially the ones derived in section (VIA), in the relativistic limit.

2. Spin

The Lorentz Lie algebra $so(1,2)$ is comprised of matrices $\theta \in \mathbb{R}^{3 \times 3}$ with entries θ_b^a such that $\theta_{ab} = -\theta_{ba}$.

These can be spanned as $\theta = \frac{1}{2}\theta_{ab}L^{ab}$ where the generators $L^{ab} = -L^{ba}$ are defined such that ηL^{ab} is the antisymmetric matrix with 1 (-1) at position a, b (b, a) and zero elsewhere. The spinor representation of θ is

$$\hat{\theta} = \frac{1}{2}\theta_{ab}\Sigma^{ab}, \quad \Sigma^{ab} = \frac{1}{4}[\gamma^a, \gamma^b]. \quad (6.20)$$

Local Lorentz transformations act on χ, e, ω by

$$\begin{aligned} \chi &\mapsto e^{-\hat{\theta}}\chi, \quad e_a^\mu \mapsto e_b^\mu (e^\theta)_a^b, \\ \omega_\mu &\mapsto e^{-\hat{\theta}}(\partial_\mu + \omega_\mu)e^{\hat{\theta}}. \end{aligned} \quad (6.21)$$

The subgroup of $SO(1, 2)$ that is physical in the p -wave SC is $SO(2)$ generated by L^{12} . Using the relations (5.2) between the p -wave SC fields and the geometric fields, and choosing $\theta = \theta_{12}L^{12} = -2\alpha L^{12}$, the transformation law (6.21) reduces to the $U(1)$ transformation (6.1),

$$\psi \mapsto e^{i\alpha}\psi, \quad \Delta \mapsto e^{2i\alpha}\Delta, \quad A_\mu \mapsto A_\mu + \partial_\mu\alpha. \quad (6.22)$$

The factor of 2 in $\theta_{12} = -2\alpha$ shows that $U(1)$ actually maps to $Spin(2)$, the double cover of $SO(2)$. Moreover, the fact that Δ has $U(1)$ charge 2 while ψ has $U(1)$ charge 1 corresponds to e_a^μ being an $SO(1, 2)$ vector while χ is an $SO(1, 2)$ spinor. The Lie algebra version of (6.21) is

$$\delta\chi = -\frac{1}{2}\theta_{ab}\Sigma^{ab}\chi, \quad \delta e_\mu^a = -\theta_b^a e_\mu^b, \quad \delta\omega_{b\mu}^a = D_\mu\theta_b^a. \quad (6.23)$$

Invariance of S_{RC} under this variation implies the conservation law

$$\nabla_\mu J^{ab\mu} - J^{ab\rho}T_{\mu\rho} = J^{[ab]}, \quad (6.24)$$

valid on the equations of motion for χ [56, 78]. This conservation law relates the anti symmetric part of the energy-momentum tensor to the divergence of spin current. Essentially, the energy-momentum tensor isn't symmetric due to the presence of the background field ω which transforms under $SO(1, 2)$. From a different point of view, the vielbein e acts as a source for the fermionic spin current since it is charged under $SO(1, 2)$. Inserting the expressions (5.2) into the $(a, b) = (1, 2)$ component of (6.24) we obtain (6.2),

$$\partial_\mu J^\mu = -i\psi^\dagger \Delta^j \partial_j \psi^\dagger + h.c. \quad (6.25)$$

The other components of (6.24) follow from the symmetry under local boosts, which is only a symmetry of S_{SF} when $m^* \rightarrow \infty$. These can be used to obtain the formula (6.19) for the energy current of the p -wave SF, in the limit $m^* \rightarrow \infty$, in terms of the momentum and charge densities.

3. Energy-momentum

A diffeomorphism is a smooth invertible map between manifolds. We consider only diffeomorphisms from

space-time to itself and denote the group of such maps by $Diff$. Since the flat background metric δ^{ij} decouples in the relativistic limit, it makes sense to consider all diffeomorphisms, and not restrict to symmetries of δ^{ij} as we did in section VIA 2.

Locally, diffeomorphisms can be described by coordinate transformations $x \mapsto x' = f(x)$. The lie algebra is that of vector fields $\zeta^\nu(x)$, which means diffeomorphisms in the connected component of the identity $Diff_0$ can be written as $f(x) = f_1(x)$ where $f_\varepsilon(x) = \exp_x(\varepsilon\zeta) = x + \varepsilon\zeta(x) + O(\varepsilon^2)$ is the flow of ζ [79]. $Diff$ acts on the geometric fields by the pullback

$$\begin{aligned} \chi(x) &\mapsto \chi(f(x)), \quad e_\mu^a(x) \mapsto \partial_\mu f^\nu e_\nu^a(f(x)), \\ \omega_\mu(x) &\mapsto \partial_\mu f^\nu \omega_\nu(f(x)). \end{aligned} \quad (6.26)$$

The action of $Diff$ on the p -wave SF fields is similar, and follows from (6.26) supplemented by the dictionary (5.2). For $f \in Diff_0$ generated by ζ , the Lie algebra version of (6.26) is given by the Lie derivative,

$$\begin{aligned} \delta\chi &= \mathcal{L}_\zeta\chi = \zeta^\mu\partial_\mu\chi, \\ \delta e_\mu^a &= \mathcal{L}_\zeta e_\mu^a = \partial_\mu\zeta^\nu e_\nu^a + \zeta^\nu\partial_\nu e_\mu^a, \\ \delta\omega_\mu &= \mathcal{L}_\zeta\omega_\mu = \partial_\mu\zeta^\nu\omega_\nu + \zeta^\nu\partial_\nu\omega_\mu. \end{aligned} \quad (6.27)$$

Since these variations are not Lorentz covariant, they will give rise to a conservation law which is not Lorentz covariant. This follows from the fact that the naive $Diff$ action (6.26) does not commute with Lorentz gauge transformations, as was described for the simpler case of translations and $U(1)$ gauge transformations in section VIA 2. Instead, one should use the Lorentz-covariant $Diff$ action, which is the pull back from $f(x)$ to x followed by a Lorentz parallel transport from $f(x)$ to x along the integral curve $\gamma_{x,\zeta}(\varepsilon) = \exp_x(\varepsilon\zeta) = f_\varepsilon(x)$,

$$\begin{aligned} \chi(x) &\mapsto P\chi(f(x)), \\ e_\mu^a(x) &\mapsto P_b^a\partial_\mu f^\nu e_\nu^b(f(x)), \\ \omega_\mu(x) &\mapsto P[\partial_\mu f^\nu\omega_\nu(f(x)) + \partial_\mu]P^{-1}, \end{aligned} \quad (6.28)$$

where $P = \frac{1}{2}P_{ab}\Sigma^{ab}$ and $P = \mathcal{P}\exp\left(-\int_{\gamma_{x,\zeta}}\omega\right)$ is the spin parallel transport given by the path ordered exponential. At the Lie algebra level, this modification of (6.26) amounts to an infinitesimal Lorentz gauge transformation generated by $\theta_{ab} = -\zeta^\rho\omega_{ab\rho}$, which modifies (6.27) to the covariant expressions

$$\begin{aligned} \delta\chi &= \zeta^\mu\nabla_\mu\chi, \\ \delta e_\mu^a &= \nabla_\mu\zeta^a - T_{\mu\nu}^a\zeta^\nu, \\ \delta\omega_\mu &= \zeta^\nu R_{ab\nu\mu}. \end{aligned} \quad (6.29)$$

Since the usual $Diff$ and Lorentz actions on the fields are both symmetries of S_{RC} , so is the Lorenz-covariant $Diff$ action. This leads directly to the conservation law

$$\nabla_\mu J_\nu^\mu - J_\nu^\rho T_{\mu\rho} = T_{\nu\mu}^b J_b^\mu + R_{bc\nu\mu} J^{bc\mu}, \quad (6.30)$$

valid on the equations of motion for χ [56, 78]. We find it useful to rewrite (6.30) in a way which isolates the effect of torsion,

$$\tilde{\nabla}_\mu J_\nu^\mu = C_{ab\nu} J^{[ab]} + R_{ab\nu\mu} J^{ab\mu}, \quad (6.31)$$

where we note that the curvature also depends on the torsion, $R = \tilde{R} + \tilde{D}C + C \wedge C$. Equation (6.30) can also be massaged to the non-covariant form

$$\partial_\mu (|e| J^\mu_\nu) = (e_a^\rho D_\nu e^a_\mu) |e| J^\mu_\rho + R_{\nu\mu ab} |e| J^{ab\mu}. \quad (6.32)$$

Using the dictionary (5.2) and the subsequent paragraph, and (6.18), this reduces to

$$\partial_\mu t^\mu_{\text{cov } \nu} = (D_\nu \Delta^j) \frac{1}{2} \psi^\dagger \partial_j \psi^\dagger + h.c. + F_{\nu\mu} J^\mu, \quad (6.33)$$

which is just the energy-momentum conservation law (6.10) for the p -wave SF (with $m^* \rightarrow \infty$).

Writing the conservation law in the form (6.32) may not seem natural from the geometric point of view because it uses the partial derivative as opposed to a covariant derivative. It is however natural from the p -wave SC point of view, where space-time is actually flat and e is viewed as a bosonic field with no geometric role, which is the order parameter Δ . This point will be important when we discuss the gravitational anomaly in the p -wave SC, in section VIII B.

Similar statements hold for other mechanisms for emergent/analogue gravity, see section I.6 of [9] and [80], and were also made in the gravitational context without reference to emergent phenomena [81].

VII. BULK RESPONSE

A. Currents from effective action

The effective action for the background fields is obtained by integrating over the spin-less fermion ψ ,

$$e^{iW_{\text{SF}}[\Delta, A]} = \int \mathcal{D}\psi^\dagger \mathcal{D}\psi e^{iS_{\text{SF}}[\psi, \psi^\dagger, \Delta, A]}. \quad (7.1)$$

The integral is a fermionic coherent state functional integral, over the Grassmann valued fields ψ, ψ^\dagger , and the action S_{SF} is given in (4.3).

As described in section V, in the relativistic limit W_{SF} is equal to the effective action obtained by integrating over a Majorana fermion coupled to RC geometry,

$$\begin{aligned} e^{iW_{\text{SF}}[\Delta, A]} &= e^{iW_{\text{RC}}[e, \omega]} \\ &= \int \mathcal{D} \left(|e|^{1/2} \chi \right) e^{iS_{\text{RC}}[\chi, e, \omega]}, \end{aligned} \quad (7.2)$$

where e, ω are given in terms of Δ, A by (5.2).

It follows from the definition (6.16) of the spin current $J^{ab\mu}$ and the energy-momentum tensor J^μ_a as functional derivatives of S_{RC} that their ground state expectation values are given by

$$\langle J^\mu_a \rangle = \frac{1}{|e|} \frac{\delta W_{\text{RC}}}{\delta e^a_\mu}, \quad \langle J^{ab\mu} \rangle = \frac{1}{|e|} \frac{\delta W_{\text{RC}}}{\delta \omega_{ab\mu}}. \quad (7.3)$$

Using the mapping (6.18) between $J^\mu_a, J^{ab\mu}$ and $t^\mu_{\text{cov } \nu}, J^\mu$ we see that

$$\langle J^\mu \rangle = 4 |e| \langle J^{12\mu} \rangle = 4 \frac{\delta W_{\text{RC}}[e, \omega]}{\delta \omega_{12\mu}}, \quad (7.4)$$

$$\langle t^\mu_{\text{cov } \nu} \rangle = -|e| e^a_\nu \langle J^\mu_a \rangle = -e^a_\nu \frac{\delta W_{\text{RC}}[e, \omega]}{\delta e^a_\mu}.$$

This is the recipe we will use to obtain the expectation values $\langle J^\mu \rangle, \langle t^\mu_{\text{cov } \nu} \rangle$ from the effective action W_{RC} for a Majorana spinor in RC space-time.

Note that in (7.4) there are derivatives with respect to all components of the vielbein, not just the spatial ones which we can physically obtain from Δ . For this reason, to get all components of $\langle t^\mu_{\text{cov } \nu} \rangle$, we should obtain W_{RC} for general e , take the functional derivative in (7.4), and only then set e to the configuration obtained from Δ according to (5.2). From the p -wave SF point of view, this corresponds to the introduction of a fictitious background field e_0^μ which enters S_{SF} by generalizing $\psi^\dagger i D_t \psi$ to $\psi^\dagger \frac{i}{2} e_0^\mu \overleftrightarrow{D}_\mu \psi$, and setting $e_0^\mu = \delta_t^\mu$ at the end of the calculation, as in [56].

Before we move on, we offer some intuition for the expressions (7.4). The first equation in (7.4) follows from the definition $J^\mu = -\frac{\delta S_{\text{SF}}}{\delta A_\mu}$ of the electric current and the simple relation $\omega_{12\mu} = -\omega_{21\mu} = -2A_\mu$ between the spin connection and the $U(1)$ connection. The second equation in (7.4) is slightly trickier. It implies that the (relativistic part of the) energy-momentum tensor $t^\mu_{\text{cov } \nu}$ is given by a functional derivative with respect to the order parameter Δ , because Δ is essentially the vielbein e . This may seem strange, and it is certainly not the case in an s -wave SC, where $\frac{\delta H}{\delta \Delta} \sim \psi^\dagger_\uparrow \psi^\dagger_\downarrow$ has nothing to do with energy-momentum. In a p -wave SC, the operator $\frac{\delta H}{\delta \Delta^j} \sim \psi^\dagger \partial_j \psi^\dagger$ contains a spatial derivative which hints that it is related to fermionic momentum. More accurately, we see from (6.9) that the operator $\psi^\dagger \partial_j \psi^\dagger$ enters the energy-momentum tensor in a p -wave SC.

B. Effective action from perturbation theory

1. Setup and generalities

We consider the effective action for a p -wave SF on the plane \mathbb{R}^2 , with the corresponding space-time manifold $M_3 = \mathbb{R}_t \times \mathbb{R}^2$, by using perturbation theory around the $p_x \pm ip_y$ configuration $\Delta = \Delta_0 e^{i\theta} (1, \pm i)$ with no electromagnetic fields $\partial_\mu \theta - 2A_\mu = 0$. After $U(1)$ gauge fixing $\theta = 0$ ¹⁵, we obtain $\Delta = \Delta_0 (1, \pm i)$, $A = 0$. Let us start with the $p_x + ip_y$ configuration, which has a positive orientation, in which case the corresponding (gauge fixed) vielbein and spin connection are just $e_a^\mu = \delta_a^\mu$ and $\omega_{ab\mu} = 0$. A perturbation of the $p_x + ip_y$ configuration

¹⁵ In doing so we are ignoring the possibility of vortices, see [68].

corresponds to $e_a^\mu = \delta_a^\mu + h_a^\mu$ with a small h and to a small spin connection $\omega_{ab\mu}$. In other words, a perturbation of the $p_x + ip_y$ configuration without electromagnetic fields corresponds to a perturbation of flat and torsionless space-time.

The effective action for a Dirac spinor in a background RC geometry was recently calculated perturbatively around flat and torsionless space-time, with a positive orientation, in the context of geometric responses of Chern insulators [78, 82]. This is equal to $2W_{\text{RC}}$ where W_{RC} is the effective action for a Majorana spinor in RC geometry.

At this point it seems that we can apply these results in order to obtain the effective action for the p -wave SC, in the relativistic limit. There is however, an additional ingredient in the perturbative calculation of the effective action which we did not yet discuss, which is the renormalization scheme used to handle diverging integrals. We refer to terms in the effective action that involve diverging integrals as *UV sensitive*. The values one obtains for such terms depend on the details of the renormalization scheme, or in other words, on microscopic details that are not included in the continuum action.

For us, the continuum description is simply an approximation to the lattice model, where space is a lattice but time is continuous. This implies a physical cutoff Λ_{UV} for wave-vectors, but not for frequencies. In particular, such a scheme is not Lorentz invariant, even though the action in the relativistic limit is. Lorentz symmetry is in any case broken down to spatial $SO(2)$ for finite m^* . For these reasons, UV sensitive terms in the effective action W_{RC} for the p -wave SC will be assigned different values than those obtained before, using a fully relativistic scheme.

The perturbative calculation within the renormalization scheme outlined above is described in appendix I, where we also demonstrate that it produces physical quantities that approximate those of the lattice model, and compare to the fully relativistic schemes used in previous works. In the following we will focus on the UV *insensitive* part of the effective action, and in doing so we will obtain results which are essentially¹⁶ independent of microscopic details that do not appear in the continuum action. We start by quoting the fully relativistic results of [78, 82], and then restrict our attention to the UV insensitive part of the effective action, and describe the physics of the p -wave SC it encodes.

2. Effective action for a single Majorana spinor

The results of [78, 82] can be written as

$$2W_{\text{RC}}[e, \omega] = \frac{\kappa_H}{2} \int_{M_3} Q_3(\tilde{\omega}) \quad (7.5)$$

$$+ \frac{\zeta_H}{2} \int_{M_3} e^a D e_a - \frac{\kappa_H}{2} \int_{M_3} \tilde{\mathcal{R}} e^a D e_a$$

$$+ \frac{1}{2\kappa_N} \int_{M_3} \left(\tilde{\mathcal{R}} - 2\Lambda + \frac{3}{2}c^2 \right) |e| d^3x + \dots$$

where

$$Q_3(\tilde{\omega}) = \text{tr} \left(\tilde{\omega} d\tilde{\omega} + \frac{2}{3}\tilde{\omega}^3 \right) \quad (7.6)$$

is the Chern-Simons (local) 3-form, $c = C_{abc}\varepsilon^{abc}$ is the totally antisymmetric piece of the contorsion tensor, and $\kappa_H, \zeta_H, 1/\kappa_N, \Lambda/\kappa_N$ are coefficients that will be discussed further below. The first two lines of (7.5) are written in terms of differential forms, and the third line is written in terms of scalars. By scalars we mean *Diff* invariant objects. In the differential forms the wedge product is implicit, as it will be from now on, so $\tilde{\omega} \wedge d\tilde{\omega}$ is written as $\tilde{\omega} d\tilde{\omega}$ and so on. The integrals over differential forms can be written as integrals over pseudo-scalars,

$$e^a D e_a = \left(e^a_\alpha D_\beta e^b_\gamma \frac{1}{|e|} \varepsilon^{\alpha\beta\gamma} \right) |e| d^3x = -o c |e| d^3x, \quad (7.7)$$

$$Q_3(\tilde{\omega}) = \left(\tilde{\omega}^a_{b\alpha} \partial_\beta \tilde{\omega}^b_{a\gamma} + \frac{2}{3} \tilde{\omega}^a_{b\gamma} \tilde{\omega}^b_{c\beta} \tilde{\omega}^c_{a\gamma} \right) \frac{1}{|e|} \varepsilon^{\alpha\beta\gamma} |e| d^3x,$$

which are only invariant under the orientation preserving subgroup of *Diff* which we denote *Diff*₊. Here $o = \text{sgn}(\det(e))$ is the orientation of e . These expressions are odd under orientation reversing diffeomorphisms because so are o and the pseudo-tensor $\frac{1}{|e|}\varepsilon^{\alpha\beta\gamma}$ ¹⁷.

Equation (7.5) can be expanded in the perturbations h_a^μ and $\omega_{ab\mu}$ to reveal the order in perturbation theory at which the different terms arise, see appendix I. Additionally, at every order in the perturbations the effective action can be expanded in powers of derivatives of the perturbations over the mass m . The terms written explicitly above show up at first and second order in h, ω and at up to third order in their derivatives. They also include higher order corrections that make them *Diff*₊ and Lorentz gauge invariant, or invariant up to total derivatives.

All other contributions denoted by $+\dots$ are at least third order in the perturbations or fourth order in derivatives. Such a splitting is not unique [78], but the form

¹⁶ See the discussion of $O\left(\frac{m}{\Lambda_{UV}}\right)$ corrections below.

¹⁷ In this paper ε always stands for the usual totally anti symmetric symbol, normalized to 1. Thus $\varepsilon^{123} = \varepsilon^{xyt} = \varepsilon_{xyt} = 1$. Note that ε^{abc} is an $SO(1,2)$ tensor, and an $O(1,2)$ pseudo-tensor, while $\varepsilon^{\mu\nu\rho} = \det(e) e_a^\mu e_b^\nu e_c^\rho \varepsilon^{abc}$ is a (coordinate) tensor density, $\frac{1}{\det(e)} \varepsilon^{\mu\nu\rho}$ is a tensor and $\frac{1}{|e|} \varepsilon^{\mu\nu\rho} = \frac{1}{|\det(e)|} \varepsilon^{\mu\nu\rho}$ is a pseudo-tensor.

(7.5) has been chosen because it is well suited for the study of the bulk responses.

Let us now describe the different terms in (7.5). The first term is the gravitational Chern-Simons (gCS) term. It has a similar structure to the more familiar $U(1)$ CS term $\int \text{Ad}A$, and is in fact an $SO(1,2)$ CS term, but note that the LC spin connection $\tilde{\omega}$ is a functional of the vielbein e . It is important that the spin connection in gCS is not ω , since through $\omega_\mu = -2A_\mu \Sigma^{12}$ this would imply a quantized Hall conductivity in a p -wave SC, which does not exist [4, 8]. As it is written in (7.5), gCS is invariant under Diff_+ , but not under $SO(1,2)$ if M_3 has a boundary. This is the boundary $SO(1,2)$ anomaly, which is discussed further in section VII C. Using the relation $\tilde{\Gamma}^\alpha_{\beta\mu} = e_a^\alpha \left(\delta_b^a \partial_\mu + \tilde{\omega}^a_{b\mu} \right) e^b_\beta$ between $\tilde{\Gamma}$ and $\tilde{\omega}$, one can derive an important formula,

$$Q_3(\tilde{\Gamma}) - Q_3(\tilde{\omega}) = \text{tr} \left[\frac{1}{3} (\text{ede}^{-1})^3 + \text{d} \left(\text{de}^{-1} e \tilde{\Gamma} \right) \right], \quad (7.8)$$

where unusually, $e = (e^a_\mu)$ is treated in this expression as a matrix valued function [30, 83]. The variation with respect to e of the two terms on the right hand side is a total derivative, which means that they are irrelevant for the purpose of calculating bulk responses. One can therefore use $Q_3(\tilde{\Gamma})$, which only depends on the metric $g_{\mu\nu}$, instead of $Q_3(\tilde{\omega})$. The form $\int_{M_3} Q_3(\tilde{\Gamma})$ of gCS is invariant under $SO(1,2)$ but not under Diff_+ , as opposed to $\int_{M_3} Q_3(\tilde{\omega})$. Thus the right hand side of (7.8) has the effect of shifting the boundary anomaly from $SO(1,2)$ to Diff .

The second term in (7.5) has a structure similar to a CS term with e^a playing the role of a connection, and indeed some authors refer to it as such [84]. Nevertheless, it is $SO(1,2)$ and Diff_+ invariant, as can be seen from (7.7). This term was related to the torsional Hall viscosity in [78], where it was discussed extensively. The third term in (7.5) is also $SO(1,2)$ and Diff_+ invariant. We refer to this term as *gravitational pseudo Chern-Simons* (gpCS), to indicate its similarity to gCS, and the fact that it is not a Chern-Simons term. The similarity between gCS and gpCS is demonstrated and put in a broader context in the discussion, section IX. In section VII D we will see that gCS and gpCS produce similar contributions to bulk responses. For now, we simply note that both terms are second order in \hbar and third order in derivatives of \hbar .

The third line in (7.5) contains the Einstein-Hilbert action with a cosmological constant Λ familiar from general relativity, and an additional torsional contribution $\propto c^2$. The coefficient $1/\kappa_N$ of the Einstein-Hilbert term is usually related to a Newton's constant $G_N = \kappa_N/8\pi$. Note that in Riemannian geometry, where torsion vanishes and $\omega = \tilde{\omega}$, only the gCS term, the Einstein-Hilbert term, and the cosmological constant survive.

The coefficients $\kappa_H, \zeta_H, 1/\kappa_N, \Lambda/\kappa_N$ are given by frequency and wave-vector integrals that arise within the

perturbative calculation, and are described in appendix I. In particular $\zeta_H, 1/\kappa_N, \Lambda/\kappa_N$ are dimension-full, with mass dimensions 2, 1, 3, and naively diverge. In other words, they are UV sensitive. On the other hand, κ_H is dimensionless and UV insensitive. With no regularization, one finds

$$\kappa_H = \frac{1}{48\pi} \frac{\text{sgn}(m) o}{2}. \quad (7.9)$$

Thus, the effective action for a single Majorana spinor can be written as

$$W_{\text{RC}}[e, \omega] = \frac{1/2 \text{sgn}(m) o}{96\pi} W[e, \omega] + \dots \quad (7.10)$$

where

$$W[e, \omega] = \int_{M_3} Q_3(\tilde{\omega}) - \int_{M_3} \tilde{\mathcal{R}} e^a D e_a \quad (7.11)$$

is the sum of gCS and gpCS, and the dots include UV sensitive terms, or terms of a higher order in derivatives or perturbations, as described above.

Since the lattice model implies a finite physical cutoff Λ_{UV} for wave-vectors, (7.10) is exact only for $m/\Lambda_{UV} \rightarrow 0$. For non-zero m there are small $O(m/\Lambda_{UV})$ corrections¹⁸ to (7.10). We will keep these corrections implicit for now, and come back to them in section VII C.

3. Summing over Majorana spinors

As discussed in sections III and IV, the continuum description of the p -wave SC includes four Majorana spinors labeled by $1 \leq n \leq 4$, with masses m_n , which are coupled to vielbeins $e_{(n)}$. Let us repeat the necessary details. The vielbein $e_{(1)}$ is associated with the order parameter δ of the underlying lattice model, as in (5.2), up to an unimportant rescaling by the lattice spacing a . For this reason we treat it as a fundamental vielbein and write $e = e_{(1)}$ in some expressions. The other vielbeins $(e_{(n)})^\mu_a$ are obtained from e by multiplying one of the columns $\mu = x, y$ or both by -1 . This implies that $o = o_1 = o_3 = -o_2 = -o_4$, and that the metrics $g_{(n)}^{\mu\nu}$ are identical apart from $g^{xy} = g_{(1)}^{xy} = g_{(3)}^{xy} = -g_{(2)}^{xy} = -g_{(4)}^{xy}$. With this in mind, we can sum over the four Majorana spinors and obtain an effective action for the p -wave SC,

$$W_{\text{SC}}[e, \omega] = \sum_{n=1}^4 W_{\text{RC}}[e_{(n)}, \omega] \quad (7.12)$$

$$= \frac{1/2}{96\pi} \sum_{n=1}^4 \frac{\text{sgn}(m_n) o_n}{2} W[e_{(n)}, \omega] + \dots$$

¹⁸ All expressions here are with $\hbar = c_{\text{light}} = 1$. Restoring units one finds $\frac{m}{\Lambda_{UV}} \sim \frac{\max(t, \mu)}{\delta}$ and so $\frac{m}{\Lambda_{UV}} \ll 1$ in the relativistic regime.

Note that the Chern number of the lattice model is given by $\nu = \sum_{n=1}^4 \text{sgn}(m_n) o_n/2$, but since W also depends on the different vielbeins $e_{(n)}$, (7.12) does not only depend on ν in the general case.

Some simplification is possible however. Since $e_{(1)} = e_{(3)}$ and $e_{(2)} = e_{(4)}$ up to a space-time independent $SO(2)$ ($U(1)$) transformation,

$$\begin{aligned} W_{\text{SC}}[e, \omega] &= \sum_{l=1}^2 \frac{\nu_l/2}{96\pi} W[e_{(l)}, \omega] + \dots \quad (7.13) \\ &= \sum_{l=1}^2 \frac{\nu_l/2}{96\pi} \int_{M_3} Q_3(\tilde{\omega}_{(l)}) + \dots \end{aligned}$$

where in the second line, we have only written explicitly gCS terms. Here we defined $\nu_1 = \frac{\alpha_1}{2} (\text{sgn}(m_1) + \text{sgn}(m_3))$, $\nu_2 = \frac{\alpha_2}{2} (\text{sgn}(m_2) + \text{sgn}(m_4))$ which are both integers $\nu_1, \nu_2 \in \mathbb{Z}$. The Chern number of the lattice model is given by the sum $\nu = \nu_1 + \nu_2$. Thus the lattice model seems to behave like a bi-layer, with layer index $l = 1, 2$. In the topological phases of the model $\nu_1 = 0$, $\nu = \nu_2 = \pm 1$, and so

$$\begin{aligned} W_{\text{SC}}[e, \omega] &= \frac{\nu/2}{96\pi} W[e_{(2)}, \omega] + \dots \quad (7.14) \\ &= \frac{\nu/2}{96\pi} \int_{M_3} Q_3(\tilde{\omega}_{(2)}) + \dots \end{aligned}$$

where again, in the second line we have only written explicitly the gCS term. This result is close to what one may have guessed. In the topological phases with Chern number $\nu \neq 0$, the effective action contains a single gCS term, with coefficient $\frac{\nu/2}{96\pi}$. A result of this form has been anticipated in [4, 26, 31, 32, 62], but there are a few details which are important to note. First, apart from gCS, W also contains the a gpCS term of the form $\int_{M_3} \tilde{\mathcal{R}} e^a D e_a$, which is possible due to the emergent torsion. Second, the connection that appears in the CS form Q_3 is a LC connection, and not the torsion-full connection ω . Moreover, this LC connection is not $\tilde{\omega}$, but a modification of it $\tilde{\omega}_{(2)}$, where the subscript (2) indicates the effect of the multiple Majorana spinors in the continuum description of the lattice model. Third, the geometric fields e, ω are given by Δ, A .

In the trivial phases $\nu_1 = -\nu_2 \in \{-1, 0, 1\}$, $\nu = 0$, and we find

$$\begin{aligned} W_{\text{SC}}[e, \omega] &= \frac{\nu_1/2}{96\pi} [W[e_{(1)}, \omega] - W[e_{(2)}, \omega]] + \dots \quad (7.15) \\ &= \frac{\nu_1/2}{96\pi} \left[\int_{M_3} Q_3(\tilde{\omega}_{(1)}) - \int_{M_3} Q_3(\tilde{\omega}_{(2)}) \right] + \dots \end{aligned}$$

This result is quite surprising. Instead of containing no gCS terms, some trivial phases contain the difference of two such terms, with slightly different spin connections. One may wonder if these trivial phases are really trivial after all. This is part of a larger issue which we now address.

C. Symmetries of the effective action

By considering the gauge symmetry of the effective action we can reconstruct the topological phase diagram appearing in Fig.3 from (7.13). This will also help us understand which of our results are special to the relativistic limit, and which should hold throughout the phase diagram. By gauge symmetry we refer in this section to the $SO(2)$ subgroup of $SO(1, 2)$, which corresponds to the physical $U(1)$ symmetry of the p -wave SC. Equation (7.8) shows that we can equivalently consider *Diff* symmetry. The physical reason for this equivalence is that the p -wave order parameter is charged under both symmetries, and therefore maps them to one another.

The effective action was calculated within perturbation theory on the space-time manifold $M_3 = \mathbb{R}_t \times \mathbb{R}^2$, but for this discussion, we use its locality to assume it remains locally valid on more general M_3 , which may be closed (compact and without a boundary) or have a boundary. A closed space-time is most simply obtained by working on $M_3 = \mathbb{R}_t \times M_2$ with M_2 closed, and with background fields Δ, A which are periodic in time, such that \mathbb{R}_t can be compactified to a circle.

As described in appendix F, a non singular order parameter endows M_3 with an orientation and a spin structure, and in particular requires that M_2 be orientable [85], which we assume. Thus, for example, we exclude the possibility of M_2 being the Mobius strip. Moreover, a non singular order parameter on a closed M_2 requires that M_2 contain $(g-1) o$ magnetic monopoles [4], where g is the genus of M_2 , and we assume that this condition is satisfied. For example, if M_2 is the sphere then it must contain a single monopole or anti-monopole depending on the orientation o [86, 87].

1. Quantization of coefficients

The first fact about the gCS term that we will need, is that gauge symmetry of $\alpha \int_{M_3} Q_3(\tilde{\omega})$ for all closed M_3 requires that α be quantized such that $\alpha \in \frac{1}{192\pi} \mathbb{Z}$, see equation (2.27) of [88]. In order to understand how generic is our result (7.13), we will check what quantization condition on α_1, α_2 is required for gauge symmetry of $\alpha_1 \int_{M_3} Q_3(\tilde{\omega}_{(1)}) + \alpha_2 \int_{M_3} Q_3(\tilde{\omega}_{(2)})$ on all closed M_3 . Following the arguments of [88] we find that $\alpha_1 + \alpha_2 \in \frac{1}{192\pi} \mathbb{Z}$, but $\alpha_1, \alpha_2 \in \mathbb{R}$ are not separately restricted, see appendix G. It is therefore natural to define $\alpha = \alpha_1 + \alpha_2$ and rewrite

$$\begin{aligned} &\alpha_1 \int_{M_3} Q_3(\tilde{\omega}_{(1)}) + \alpha_2 \int_{M_3} Q_3(\tilde{\omega}_{(2)}) \quad (7.16) \\ &= \alpha \int_{M_3} Q_3(\tilde{\omega}_{(2)}) + \alpha_1 \int_{M_3} [Q_3(\tilde{\omega}_{(1)}) - Q_3(\tilde{\omega}_{(2)})], \end{aligned}$$

where $\alpha \in \frac{1}{192\pi} \mathbb{Z}$ but $\alpha_1 \in \mathbb{R}$. Comparing with the result (7.13), we identify $\alpha = \frac{\nu/2}{96\pi}$, $\alpha_1 = \frac{\nu_1/2}{96\pi}$, and we conclude that ν must be precisely an integer and

equal to the Chern number, while ν_1 need not be quantized. We therefore interpret the $O(m/\Lambda_{\text{UV}})$ corrections to $\alpha = \frac{\nu/2}{96\pi}$ produced in our computation as artifacts of our approximations¹⁹, which must vanish due to gauge invariance. On the other hand, we interpret the quantization $\alpha_1 = \frac{\nu_1/2}{96\pi}$ as a special property of the relativistic limit with both $m^* \rightarrow \infty$ and $m \rightarrow 0$, which should not hold throughout the phase diagram.

So far we have only considered gCS terms. As already explained, the gpCS term is gauge invariant on any M_3 , and we therefore see no reason for the quantization of its coefficient. Explicitly, $-\beta \int_{M_3} \tilde{\mathcal{R}} e^a D e_a$ is gauge invariant for all $\beta \in \mathbb{R}$. Thus we interpret the approximate quantization of the coefficients of gpCS terms as a special property of the relativistic limit, which should not hold throughout the phase diagram. We note that even for a relativistic spinor any $\beta \in \mathbb{R}$ can be obtained, by adding a non minimal coupling to torsion [78].

In light of the above, it is natural to interpret (7.13) as a special case of

$$\begin{aligned} W_{\text{SC}}[e, \omega] &= \frac{\nu/2}{96\pi} \int_{M_3} Q_3(\tilde{\omega}_{(2)}) \\ &+ \alpha_1 \int_{M_3} [Q_3(\tilde{\omega}_{(1)}) - Q_3(\tilde{\omega}_{(2)})] \\ &- \beta_1 \int_{M_3} \tilde{\mathcal{R}}_{(1)} e_{(1)}^a D e_{(1)a} - \beta_2 \int_{M_3} \tilde{\mathcal{R}} e_{(2)}^a D e_{(2)a} + \dots \end{aligned} \quad (7.17)$$

where $\nu \in \mathbb{Z}$ is the Chern number and $\alpha_1, \beta_1, \beta_2$ are additional, non quantized, yet dimensionless, response coefficients. In the relativistic limit $\alpha_1, \beta_1, \beta_2$ happen to be quantized, but this is not generic. Only the first gCS term encodes topological bulk responses, proportional to the Chern number ν , and below we will see that only this term is related to an edge anomaly. We can also write (7.17) more symmetrically,

$$\begin{aligned} W_{\text{SC}}[e, \omega] & \quad (7.18) \\ &= \sum_{l=1}^2 \left[\alpha_l \int_{M_3} Q_3(\tilde{\omega}_{(l)}) - \beta_l \int_{M_3} \tilde{\mathcal{R}}_{(l)} e_{(l)}^a D e_{(l)a} \right] + \dots \end{aligned}$$

but here we must keep in mind the quantization condition $\alpha_1 + \alpha_2 = \frac{\nu/2}{96\pi} \in \frac{1}{192\pi} \mathbb{Z}$.

This equation should be compared with the result in the relativistic limit (7.13), where α_l, β_l are all quantized, and $\alpha_l = \beta_l$. We note that the quantization of

α_l, β_l in the relativistic limit can be understood on dimensional grounds: in this limit there are simply not enough dimension-full quantities which can be used to construct dimensionless quantities, beyond $\text{sgn}(m_n)$ and o_n . Of course, this does not explain why $\alpha_l = \beta_l$ in the relativistic limit.

2. Boundary anomalies

We can strengthen the above conclusions by considering space-times M_3 with a boundary. The second fact about the gCS term that we will need is that it is not gauge invariant when M_3 has a boundary, even with a properly quantized coefficient. In more detail, the $SO(2)$ variation of gCS is given by

$$\delta_\theta \int_{M_3} Q_3(\tilde{\omega}) = -\text{tr} \int_{\partial M_3} d\theta \tilde{\omega}. \quad (7.19)$$

Up to normalization, the boundary term above is called the consistent Lorentz anomaly, which is one of the forms in which the gravitational anomaly manifests itself [19]²⁰. The anomaly $\text{tr} \int_{\partial M_3} d\theta \tilde{\omega}$ is a local functional that can either be written as the gauge variation of a local bulk functional, as it is written above, or as the gauge variation of a *nonlocal* boundary functional $F[\tilde{\omega}]$, such that $\delta_\theta F[\tilde{\omega}] = \int_{\partial M_3} d\theta \tilde{\omega}$, but cannot be written as the gauge variation of a local boundary functional [18]. The difference of two gCS terms is also not gauge invariant,

$$\begin{aligned} \delta_\theta \left[\int_{M_3} Q_3(\tilde{\omega}_{(1)}) - \int_{M_3} Q_3(\tilde{\omega}_{(2)}) \right] \\ = -\text{tr} \int_{\partial M_3} d\theta (\tilde{\omega}_{(1)} - \tilde{\omega}_{(2)}), \end{aligned} \quad (7.20)$$

but here there is a local boundary term that can produce the same variation, given by $\text{tr}(\tilde{\omega}_{(1)} \tilde{\omega}_{(2)})$.

The physical interpretation is as follows. Since $F[\tilde{\omega}]$ is non local it can be interpreted as the effective action obtained by integrating over a gapless, or massless, boundary field coupled to e . These are the boundary chiral Majorana fermions of the p -wave SC. The statement that F cannot be local implies that this boundary field cannot be gapped. In this manner the existence of the gCS term in the bulk effective action, with a coefficient that is fixed within a topological phase, implies the existence of gapless degrees of freedom that cannot be gapped within a topological phase. We will study this bulk-boundary correspondence in more detail in section VIII. Naively, the difference of two gCS terms implies the existence of two boundary fermions with opposite chiralities, one of which

¹⁹ Specifically, in obtaining the relativistic continuum approximation we split the Brillouin zone BZ into four quadrants and linearized the lattice Hamiltonian (3.2) in every quadrant. Applying any integral formula for the Chern number to the approximate Hamiltonian will give a result $\nu_{\text{approx}} = \frac{1}{2} \sum_{n=1}^4 o_n \text{sgn}(m_n) + O(m/\Lambda_{\text{UV}})$ which is only approximately quantized in the relativistic regime, simply because the approximate Hamiltonian is discontinuous on BZ . Nevertheless, the known quantization $\nu \in \mathbb{Z}$ and the fact that $\nu_{\text{approx}} \approx \nu$ are enough to obtain the exact result $\nu = \frac{1}{2} \sum_{n=1}^4 o_n \text{sgn}(m_n)$.

²⁰ Generally speaking, *consistent* anomalies are given by symmetry variations of functionals. We will also discuss below the more physical *covariant* anomalies, which correspond to the actual inflow of some charge from bulk to boundary

is coupled to $e_{(1)}$ and the other coupled to $e_{(2)}$. The boundary term $\int_{\partial M_3} \text{tr}(\tilde{\omega}_{(1)}\tilde{\omega}_{(2)})$ can only be generated if the two counter propagating fermions are coupled, and its locality indicates that this coupling can open a gap. Thus the term $\int_{\partial M_3} \text{tr}(\tilde{\omega}_{(1)}\tilde{\omega}_{(2)})$ represents the effect of a generic interaction between two counter propagating chiral Majorana fermions.

Again, as opposed to the gCS term, the gpCS term is gauge invariant on any M_3 , and is therefore unrelated to edge anomalies. Thus, in the effective action (7.17), only the first gCS term is related to an edge anomaly.

3. Time reversal and reflection symmetry of the effective action

Time reversal T and reflection R are discussed in appendices E2 and E3. The orientation o of the order parameter is odd under both T, R , and it follows that so are the coefficients ν_l . Therefore ν_l are T, R -odd response coefficients. More generally, α_l, β_l in (7.18) are T, R -odd response coefficients. As described in section VII B 2, integrals over differential forms are also odd under the orientation reversing diffeomorphisms T, R , and therefore W_{SC} is invariant under T, R .

D. Calculation of currents

To derive the currents we start with the expression

$$\alpha_1 \int_{M_3} Q_3(\tilde{\omega}) - \beta_1 \int_{M_3} \tilde{\mathcal{R}} e^a D e_a + \dots \quad (7.21)$$

which is the effective action for the layer $l = 1$. We then sum the results over $l = 1, 2$, as in (7.18), to get the full low energy response of the lattice model, keeping in mind that $\alpha_1 + \alpha_2 = \frac{\nu/2}{96\pi} \in \frac{1}{192\pi}\mathbb{Z}$.

1. Bulk response from gravitational Chern-Simons terms

For the purpose of calculating the contribution of gCS to the bulk energy-momentum tensor it is easier to use $Q_3(\tilde{\Gamma})$ instead of $Q_3(\tilde{\omega})$. The result is [30, 90, 91]

$$\langle J_a^\mu \rangle_{\text{gCS}} = \frac{1}{|e|} \frac{\delta}{\delta e_\mu^a} \left[\alpha_1 \int_{M_3} Q_3(\tilde{\Gamma}) \right] = 4\alpha_1 \tilde{C}^\mu_a, \quad (7.22)$$

where \tilde{C} is the Cotton tensor, which can be written as

$$\tilde{C}^{\mu\nu} = -\frac{1}{\sqrt{g}} \varepsilon^{\rho\sigma(\mu} \tilde{\nabla}_\rho \tilde{\mathcal{R}}_{\sigma}^{\nu)}. \quad (7.23)$$

Relevant properties of the Cotton tensor are $\tilde{\nabla}_\mu \tilde{C}^{\mu\nu} = 0$, $\tilde{C}^\mu_\mu = 0$, and $C^{[\mu\nu]} = 0$. It follows from (7.22) that

$$\langle t_{\text{cov } \nu}^\mu \rangle_{\text{gCS}} = -|e| \langle J_\nu^\mu \rangle_{\text{gCS}} = -4\alpha_1 |e| \tilde{C}^\mu_\nu. \quad (7.24)$$

For order parameters of the form

$$\Delta = e^{i\theta} (|\Delta^x|, \pm i |\Delta^y|) \quad (7.25)$$

the metrics for both layers $l = 1, 2$ are identical. Since \tilde{C} only depends on the metric it follows that for such order parameters the summation over $l = 1, 2$ gives

$$\langle t_{\text{cov } \nu}^\mu \rangle_{\text{gCS}} = -|e| \langle J_\nu^\mu \rangle_{\text{gCS}} = -\frac{\nu/2}{96\pi} 4 |e| \tilde{C}^\mu_\nu. \quad (7.26)$$

Put differently, the difference of gCS terms in (7.18), with coefficient α_1 , does not produce a bulk response for such order parameters. This provides a simple way to separate the topological invariant ν from the non quantized α_1 .

The Cotton tensor takes a simpler form if the geometry is a product geometry, where the metric is of the form $ds^2 = g_{\alpha\beta}(x^\alpha) dx^\alpha dx^\beta + \sigma dz^2$. Here $\sigma = \pm 1$ depends on whether z is a space-like or time-like coordinate, and we will use both in the following. The two coordinates x^α are space-like if z is time-like and mixed if z is space-like. In this case the curvature is determined by the curvature scalar, which corresponds to the curvature scalar of the two dimensional metric $g_{\alpha\beta}$. In particular $\mathcal{R}^\alpha_\beta = \frac{1}{2} \mathcal{R} \delta^\alpha_\beta$ and the other components of \mathcal{R}^μ_ν vanish. Then

$$\langle J^{\alpha z} \rangle_{\text{gCS}} = \langle J^{z\alpha} \rangle_{\text{gCS}} = \alpha_1 \frac{1}{|e|} \varepsilon^{z\alpha\beta} \partial_\beta \tilde{\mathcal{R}}, \quad (7.27)$$

and the other components vanish. In terms of $t_{\text{cov } \nu}^\mu$,

$$\langle t_{\text{cov } z}^\alpha \rangle_{\text{gCS}} = -\alpha_1 \sigma \varepsilon^{z\alpha\beta} \partial_\beta \tilde{\mathcal{R}}, \quad (7.28)$$

$$\langle t_{\text{cov } \alpha}^z \rangle_{\text{gCS}} = -\alpha_1 g_{\alpha\beta} \varepsilon^{z\beta\gamma} \partial_\gamma \tilde{\mathcal{R}}.$$

Taking $z = t$ is natural in the context of the p -wave SC, since the emergent metric (5.3) is always a product metric if Δ is time independent. Then, with a general time independent order parameter,

$$\langle J_E^i \rangle_{\text{gCS}} = \langle t_{\text{cov } t}^i \rangle_{\text{gCS}} = -\alpha_1 \varepsilon^{ij} \partial_j \tilde{\mathcal{R}}, \quad (7.29)$$

$$\langle P_i \rangle_{\text{gCS}} = \langle t_{\text{cov } i}^t \rangle_{\text{gCS}} = -\alpha_1 g_{ik} \varepsilon^{kj} \partial_j \tilde{\mathcal{R}},$$

where $\tilde{\mathcal{R}}$ is the curvature associated with the spatial metric $g^{ij} = -\Delta^{(i} \Delta^{j)*}$. Again, for order parameters of the form (7.25) the metrics for both layers $l = 1, 2$ are identical, and the summation over $l = 1, 2$ produces

$$\langle J_E^i \rangle_{\text{gCS}} = -\frac{\nu/2}{96\pi} \varepsilon^{ij} \partial_j \tilde{\mathcal{R}}, \quad (7.30)$$

$$\langle P_i \rangle_{\text{gCS}} = -\frac{\nu/2}{96\pi} g_{ik} \varepsilon^{kj} \partial_j \tilde{\mathcal{R}}.$$

These are the topological bulk responses described in section II C 1. It is also useful to consider order parameters of the form

$$\Delta = \Delta_0 e^{i\theta} (1, e^{i\phi}), \quad (7.31)$$

where ϕ is space dependent. Here the metrics satisfy $g^{xy} = g_{(1)}^{xy} = -g_{(2)}^{xy} = \Delta_0^2 \cos \phi$, with the other components constant, and therefore the Ricci scalars satisfy

$\mathcal{R} = \mathcal{R}_{(1)} = -\mathcal{R}_{(2)}$. The summation over $l = 1, 2$ for such order parameters then gives

$$\langle J_E^i \rangle_{\text{gCS}} = -(\alpha_1 - \alpha_2) \varepsilon^{ij} \partial_j \tilde{\mathcal{R}}. \quad (7.32)$$

Unlike the sum $\alpha_1 + \alpha_2 = \frac{\nu/2}{96\pi}$, the difference $\alpha_1 - \alpha_2 = 2\alpha_1 - \frac{\nu/2}{96\pi}$ is not quantized. The response (7.32) is therefore not a topological bulk response. Measuring $\langle J_E \rangle$ for an order parameter such that $\mathcal{R} = \mathcal{R}_{(1)} = \mathcal{R}_{(2)}$, and then for an order parameter such that $\mathcal{R} = \mathcal{R}_{(1)} = -\mathcal{R}_{(2)}$, allows one to fix both α_1, α_2 , or both ν and α_1 .

To demonstrate how closely (7.32) can resemble a topological bulk response, we go back to the lattice model. In the relativistic limit we found that some trivial phases, where $\nu = 0$, have $\alpha_1 = \frac{\nu_1/2}{192\pi} \neq 0$. It follows that these trivial phases have *in the relativistic limit* a quantized response

$$\langle J_E^i \rangle_{\text{gCS}} = -2\alpha_1 \varepsilon^{ij} \partial_j \tilde{\mathcal{R}} = -\frac{\nu_1}{96\pi} \varepsilon^{ij} \partial_j \tilde{\mathcal{R}}, \quad (7.33)$$

for order parameters $\Delta = \Delta_0 e^{i\theta} (1, e^{i\phi})$.

Another case of interest is when z is a spatial coordinate. As an example, we take $z = y$. This decomposition is less natural in the p -wave SC, as can be seen from (5.3). It allows for time dependence, but restricts the configuration the order parameter can take at any given time. A simple example for an order parameter that gives rise to a product metric with respect to y is $\Delta = \Delta_0 e^{i\theta(t,x)} (1 + f(t,x), \pm i)$, which is a perturbation of the $p_x \pm ip_y$ configuration with a small real function f . Then

$$\langle t_{\text{cov } \alpha}^y \rangle_{\text{gCS}} = -\frac{\nu/2}{96\pi} g_{\alpha\beta} \varepsilon^{\beta\gamma y} \partial_\gamma \tilde{\mathcal{R}}, \quad (7.34)$$

where we have summed over $l = 1, 2$. This is an interesting contribution to the x -momentum current and energy current in the y direction. If we consider, as in Fig.1, a boundary or domain wall at $y = 0$, between a topological phase and a trivial phase where $\nu = 0$, we see that there is an inflow of energy and x -momentum into the boundary from the topological phase. This shows that energy and x -momentum are accumulated on the boundary, at least locally, which corresponds to the boundary gravitational anomaly. We complete the analysis of this situation from the boundary point of view in section VIII C.

2. Bulk response from the gravitational pseudo Chern-Simons term

The gpCS term $-\beta_1 \int_{M_3} \tilde{\mathcal{R}} \varepsilon^a De_a$ contributes to the energy-momentum tensor, and also provides a contribution to the spin density,

$$\langle J^{\mu\nu} \rangle_{\text{gpCS}} = \beta_1 \left\{ \frac{1}{|e|} \varepsilon^{\mu\nu\rho} \partial_\rho \tilde{\mathcal{R}} - \frac{1}{|e|} \varepsilon^{\mu\rho\sigma} \tilde{\mathcal{R}} T_{\rho\sigma}^\nu + 2o \left[\left(\tilde{\nabla}^\mu \tilde{\nabla}^\nu - g^{\mu\nu} \tilde{\nabla}^2 \right) - \tilde{\mathcal{R}}^{\mu\nu} \right] c \right\}. \quad (7.35)$$

$$\langle J^{ab\mu} \rangle_{\text{gpCS}} = \beta_1 o \tilde{\mathcal{R}} \varepsilon^{abc} e_c^\mu$$

These are calculated in appendix H.

Using (7.4), the above contribution to the spin density corresponds to a contribution to the charge density,

$$\langle J^t \rangle_{\text{gpCS}} = 4\beta_1 o |e| \tilde{\mathcal{R}}. \quad (7.36)$$

The most notable feature of this density is that it is not accompanied by a current, even for time dependent background fields, where $\partial_\mu \langle J^\mu \rangle = \partial_t \langle J^t \rangle \neq 0$. This represents the non conservation of fermionic charge in a p -wave SC (6.2). The appearance of o can be understood from (7.7). One can also understand the appearance of o based on time reversal symmetry. Since both J^t and $\tilde{\mathcal{R}}$ are time reversal even, the coefficient of the above response cannot be β_1 , which is time reversal odd.

We now discuss the energy-momentum contributions $\langle J^{\mu\nu} \rangle_{\text{gpCS}}$ in (7.35), with the purpose of comparing them to the gCS contributions $\langle J^{\mu\nu} \rangle_{\text{gCS}}$. To do this in the simplest setting, we restrict to a product geometry with respect to the coordinate z as described in the previous section. We will also assume for simplicity that torsion vanishes, and generalize to non-zero torsion in appendix H. For a torsion-less product geometry $\langle J^{\mu\nu} \rangle_{\text{gpCS}}$ reduces to

$$-\langle J^{\alpha z} \rangle_{\text{gpCS}} = \langle J^{z\alpha} \rangle_{\text{gpCS}} = \beta_1 \frac{1}{|e|} \varepsilon^{z\alpha\beta} \partial_\beta \tilde{\mathcal{R}}. \quad (7.37)$$

Note that while the gpCS term vanishes in a torsion-less geometry, the currents it produces, given by its functional derivatives, do not. Comparing with (7.27), we see that $\langle J^{z\alpha} \rangle_{\text{gpCS}} \propto \langle J^{z\alpha} \rangle_{\text{gCS}}$, while $\langle J^{\alpha z} \rangle_{\text{gpCS}} \propto -\langle J^{\alpha z} \rangle_{\text{gCS}}$, with the proportionality constant α_1/β_1 , that goes to 1 in the relativistic limit. This demonstrates the similarity between the gpCS and gCS terms.

In particular, we find in a time independent situation the following contributions to the energy current and momentum density,

$$\begin{aligned} \langle J_E^i \rangle_{\text{gpCS}} &= \langle t_{\text{cov } t}^i \rangle_{\text{gpCS}} = \beta_1 \varepsilon^{ij} \partial_j \tilde{\mathcal{R}}, \\ \langle P_i \rangle_{\text{gpCS}} &= \langle t_{\text{cov } i}^t \rangle_{\text{gpCS}} = -\beta_1 g_{ik} \varepsilon^{kj} \partial_j \tilde{\mathcal{R}}. \end{aligned} \quad (7.38)$$

Comparing with (7.29), we see that $\langle P_i \rangle_{\text{gpCS}} \propto \langle P_i \rangle_{\text{gCS}}$, while $\langle J_E^i \rangle_{\text{gpCS}} \propto -\langle J_E^i \rangle_{\text{gCS}}$. This sign difference can be understood from the density response (7.36), and the relation (6.19) between the operators J_E and P , in the relativistic limit. With vanishing torsion it reduces to

$$J_E^j - g^{jk} P_k = \frac{o}{2} \varepsilon^{jk} \partial_k \left(\frac{1}{|e|} J^t \right). \quad (7.39)$$

Thus the gCS contributions (7.29) satisfy $\langle J_E^j \rangle_{\text{gCS}} - g^{jk} \langle P_k \rangle_{\text{gCS}} = 0$ because gCS does not contribute to the density. On the other hand, the gpCS does contribute to the density, which is why $\langle J_E^j \rangle_{\text{gpCS}} - g^{jk} \langle P_k \rangle_{\text{gpCS}} \neq 0$.

This conclusion holds regardless of the value of the coefficient β_1 of gpCS. One can therefore fix the value of β_1 by

a measurement of the density, and thus separate the topological bulk responses (gCS) from the non-topological bulk responses (gpCS).

More accurately, we have seen that the lattice model behaves as a bi-layer with layer index $l = 1, 2$, and there are actually two coefficients β_1, β_2 . As in the previous section, one can extract both β_1, β_2 by first considering an order parameter (7.25) such that $\mathcal{R} = \mathcal{R}_{(1)} = \mathcal{R}_{(2)}$, and then considering an order parameter (7.31) such that $\mathcal{R} = \mathcal{R}_{(1)} = -\mathcal{R}_{(2)}$.

Another case of interest is when z is a spatial coordinate, and as in the previous section we take $z = y$, $\Delta = \Delta_0 e^{i\theta(t,x)} (1 + f(t,x), \pm i)$. We then find from (7.37), $\langle J^{y\alpha} \rangle_{\text{gpCS}} = \beta_1 \frac{1}{|e|} \varepsilon^{z\alpha\beta} \partial_\beta \tilde{\mathcal{R}}$, or

$$\langle t_{\text{cov } \alpha}^y \rangle_{\text{gpCS}} = -\beta_1 g_{\alpha\beta} \varepsilon^{\beta\gamma y} \partial_\gamma \tilde{\mathcal{R}}. \quad (7.40)$$

In the presence of a boundary (or domain wall) at $y = 0$, this describes an inflow of energy and x -momentum from the bulk to the boundary, such that $\langle t_{\text{cov } \alpha}^y \rangle_{\text{gpCS}} \propto \langle t_{\text{cov } \alpha}^y \rangle_{\text{gCS}}$. After summing over $l = 1, 2$ one finds the proportionality constant $\frac{\alpha_1 + \alpha_2}{\beta_1 + \beta_2}$, that goes to 1 in the relativistic limit. Nevertheless, we argue that $\langle t_{\text{cov } \alpha}^y \rangle_{\text{gCS}}$ corresponds to a boundary gravitational anomaly while $\langle t_{\text{cov } \alpha}^y \rangle_{\text{gpCS}}$ does not, in accordance with section VII C 2. The relation between gCS and the boundary gravitational anomaly is well known within the gravitational description, and will be described from the p -wave SC point of view in section VIII C. The fact that $\langle t_{\text{cov } \alpha}^y \rangle_{\text{gpCS}}$ is unrelated to any boundary anomaly follows from the fact that it is $SO(1, 2)$ and $Diff$ invariant. Due to this invariance the bulk gpCS term produces not only the bulk currents (7.35), but also boundary currents, such that bulk+boundary energy-momentum is conserved. In a product geometry with $z = y$ we find the boundary currents

$$\begin{aligned} \langle j^{\alpha\beta} \rangle_{\text{gpCS}} &= -\beta_1 \frac{1}{|e|} \varepsilon^{\alpha\beta y} \tilde{\mathcal{R}}, \\ \langle j^{ab\mu} \rangle_{\text{gpCS}} &= 0, \end{aligned} \quad (7.41)$$

which are calculated in appendix H. We see that

$$\tilde{\nabla}_\alpha \langle j^{\alpha\beta} \rangle_{\text{gpCS}} = \langle J^{y\beta} \rangle_{\text{gpCS}}. \quad (7.42)$$

This conservation law is the statement of bulk+boundary conservation of energy-momentum within the gravitational description. It can be understood from (6.31), by noting that the source terms in (6.31) vanish because $\langle j^{ab\mu} \rangle_{\text{gpCS}} = 0$, and because we assumed torsion vanishes. The additional source term $\langle J^{y\beta} \rangle_{\text{gpCS}}$, absent in (6.31), represents the inflow from the bulk. In section VIII C we translate (7.42) to the language of the p -wave SC.

VIII. BOUNDARY FERMIONS AND GRAVITATIONAL ANOMALY

It is well known that the p -wave SC has localized degrees of freedom on curves in space where the Chern number ν jumps, due to boundaries, or domain walls in Δ or μ , which at low energies are $D = 1 + 1$ chiral Majorana spinors [4]. In this section we derive the action for the boundary spinor in the presence of a space-time dependent order parameter, and describe its gravitational anomaly and corresponding anomaly inflow.

We start by deriving the boundary action in the geometric description in section VIII A, then review the relevant facts regarding the boundary gravitational anomaly within the gravitational description in section VIII B, and finally translate the results back to the p -wave SC language in section VIII C.

The form of the boundary action in both the geometric description (8.8) and in the p -wave SC language (8.17) is not surprising, and within the geometric description the gravitational anomaly and anomaly inflow are well known. It is the implication of gravitational anomaly and anomaly inflow for the p -wave SC, through the emergent geometry described in sections V and VI, which is the result of this section.

A. Boundary fermions in a product geometry

We take the space time manifold to be $\mathbb{R} \times \mathbb{R}^2$, and assume that the vielbein has a product form with respect to the spatial coordinate y ,

$$e^A = e^A_\alpha(x^\alpha) dx^\alpha, \quad e^y = o dy, \quad (8.1)$$

where $\alpha, \beta, \dots \in \{t, x\}$ and $A, B, \dots \in \{0, 1\}$ (unlike the notation of section V where $A, B, \dots \in \{1, 2\}$). To account for the orientation $o = \text{sgn}(\det e^A_\mu)$ of the vielbein explicitly, we assumed e^A_α has a positive orientation, and wrote $e^y = o dy$. To be concrete we take $o = 1$ for now. It follows that the metric also has the product form $ds^2 = g_{\alpha\beta}(x^\alpha) dx^\alpha dx^\beta - dy^2$ where $g_{\alpha\beta} = e^A_\alpha \eta_{AB} e^B_\beta$. The form of the vielbein implies that the LC spin connection only has the nonzero components $\tilde{\omega}_{AB\alpha}$, which only depend on t, x . We also assume that the spin connection only has nonzero components $\omega_{AB\alpha}$ and depends only on t, x . Under these assumptions $c = C_{abc} \varepsilon^{abc} = 0$, and therefore torsion simply drops out from the action, as can be seen from the form (A8). This is a result of the low dimensionality of the problem. We further assume that the mass has the form of a flat domain wall in the y direction. By this we mean $m = m(y)$ with boundary conditions $m \rightarrow \pm m_0$ as $y \rightarrow \pm\infty$, and $m_0 \neq 0$, which corresponds to an interface between two distinct phases. To be concrete we take $m_0 > 0$ for now. S_{RC} then takes

the form

$$S_{\text{RC}} = \frac{1}{2} \int d^3x |e| \bar{\chi} \left[i e_A^\alpha \gamma^A \tilde{D}_\alpha + i \gamma^2 \partial_y - m(y) \right] \chi. \quad (8.2)$$

This separable form implies the decomposition described in [22, 92], which we now apply to the present situation. Defining $a = \partial_y - m(y)$, $a^\dagger = -\partial_y - m(y)$, $P_\pm = \frac{1}{2} (1 \pm i\gamma^2)$, the action takes the form

$$S_{\text{RC}} = \frac{1}{2} \int d^3x |e| \bar{\chi} \left[i e_A^\alpha \gamma^A \tilde{D}_\alpha + a P_+ + a^\dagger P_- \right] \chi. \quad (8.3)$$

The operators $h_+ = a^\dagger a$ and $h_- = a a^\dagger$ are hermitian and non negative. The positive parts of their spectrum coincide. We denote the positive eigenvalues by $\lambda^2 > 0$, including both the discrete and continuous parts of the spectrum, with the corresponding eigenfunctions $\phi_{\lambda,\pm}$ satisfying $h_\pm \phi_{\lambda,\pm} = \lambda^2 \phi_{\lambda,\pm}$. These eigenfunctions of h_\pm are related by $\phi_{\lambda,+} = \frac{1}{\lambda} a^\dagger \phi_{\lambda,-}$, $\phi_{\lambda,-} = \frac{1}{\lambda} a \phi_{\lambda,+}$, where the sign chosen for λ is arbitrary, and for concreteness we take $\lambda > 0$. Each set of eigenfunctions can be assumed to be orthonormal $\int_{-\infty}^{\infty} dy \phi_{\lambda,\pm}^* \phi_{\lambda',\pm} = \delta_{\lambda\lambda'}$. Apart from the positive part of the spectrum, there can also be a unique eigenfunction with eigenvalue zero, a zero mode, for h_+ or h_- but not both. The only candidates are $\phi_{0,\pm}(y) \propto e^{\pm \int_0^y m(s) ds}$, and a zero mode exists when one of these functions is normalizable. With our choice of boundary conditions for m , only $\phi_{0,-}$ is normalizable. In terms of these eigenfunctions, the natural orthogonal decomposition of the spinor χ is

$$\begin{aligned} \chi(x, y, t) &= P_+ \chi(x, y, t) + P_- \chi(x, y, t) \\ &= \sum_{\lambda > 0} [\chi_{\lambda,+}(x, t) \phi_{\lambda,+}(y) + \chi_{\lambda,-}(x, t) \phi_{\lambda,-}(y)] \\ &\quad + \chi_{0,-}(x, t) \phi_{0,-}(y), \end{aligned} \quad (8.4)$$

where $\chi_{\lambda,\pm}$ are spinors of definite chirality, $P_\pm \chi_{\lambda,\pm} = \chi_{\lambda,\pm}$. Inserting this decomposition into (8.3) we obtain

$$\begin{aligned} S_{\text{RC}} &= \frac{1}{2} \int d^2x |e| \overline{\chi_{0,-}} i e_A^\alpha \gamma^A \tilde{D}_\alpha \chi_{0,-} \\ &\quad + \sum_{\lambda > 0} \frac{1}{2} \int d^2x |e| \overline{\chi_\lambda} \left[i e_A^\alpha \gamma^A \tilde{D}_\alpha + \lambda \right] \chi_\lambda, \end{aligned} \quad (8.5)$$

where $\chi_\lambda = \chi_{\lambda,-} + \chi_{\lambda,+}$. Thus the action splits into an infinite sum of actions for independent $D = 1+1$ spinors, coupled to RC geometry, which in the $D = 1+1$ case is the same as the coupling to Riemannian geometry. The spinor corresponding to the zero mode is chiral, massless, and exponentially localized on the domain wall as can be seen from the expression $\phi_{0,-}(y) \propto e^{-\int_0^y m(s) ds}$. It represents the robust boundary state that exists between two distinct topological phases. The chiral boundary spinor exhibits a gravitational anomaly, which we describe in the following.

All other spinors are non chiral and massive with masses $\lambda \neq 0$. It is useful to think of the eigenvalue

problems $h_\pm \phi_{\lambda,\pm} = (-\partial_y^2 + m^2(y) \pm m'(y)) \phi_{\lambda,\pm}$ as one dimensional time independent Schrodinger problems to understand the eigenvalues λ and eigenfunctions $\phi_{\lambda,\pm}$ [22, 92]. Almost all of the massive spinors correspond to delocalized bulk degrees of freedom, with the functions $\phi_{\lambda,\pm}(y)$ corresponding to ‘‘scattering states’’ of the ‘‘Hamiltonians’’ h_\pm . Additionally, there can be a finite number of ‘‘bound states’’ $\phi_{\lambda,\pm}$, in which case χ_λ corresponds to an additional non-chiral boundary state, which is not robust, and can always be removed by making the domain wall narrower, or the bulk masses $\pm m_0$ smaller.

Since the action splits into a sum of $D = 1+1$ fermionic actions and the decomposition (8.4) is orthogonal, the effective action also splits into a sum

$$W_{\text{RC}}[e, \omega] = W_{\text{R}}^-[e] + \sum_{\lambda > 0} W_{\text{R}}[e; \lambda], \quad (8.6)$$

where $W_{\text{R}}[e; \lambda]$ is the effective action obtained by integrating over a $D = 1+1$ Majorana spinor with mass $\lambda \neq 0$ coupled to Riemannian geometry, and $W_{\text{R}}^\pm[e]$ is the effective action obtained by integrating over a $D = 1+1$ massless chiral Majorana spinor coupled to Riemannian geometry, with chirality \pm . Above we assumed $m(\pm\infty) = \pm m_0$ with $m_0 > 0$ and $o = 1$. Generalizing slightly, the net chirality of the boundary spinors is given by

$$C = \frac{o}{2} \text{sgn}(m(\infty)) - \frac{o}{2} \text{sgn}(m(-\infty)). \quad (8.7)$$

The action $S_{\text{R}}^\pm = \frac{1}{2} \int d^2x |e| \overline{\chi_{0,\pm}} i e_A^\alpha \gamma^A \tilde{D}_\alpha \chi_{0,\pm}$ for a single chiral Majorana spinor coupled to Riemannian geometry can be simplified by using a *Majorana representation* for the Clifford algebra, as described in appendix E1. In the Majorana representation $\chi_{0,\pm} = \xi v_\pm$ where ξ is a single-component *real* Grassmann field and v_\pm are the normalized eigenvectors of $i\gamma^2$, $(i\gamma^2) v_\pm = \pm v_\pm$. The action S_{R}^\pm then reduces to

$$S_{\text{R}}^\pm = \frac{i}{2} \int d^2x |e| \xi e_\mp^\alpha \partial_\alpha \xi, \quad (8.8)$$

where $e_\mp^\alpha = e_0^\alpha \mp e_1^\alpha$.

B. Boundary gravitational anomaly and anomaly inflow

The chiral boundary spinor does not couple to the spin connection ω , and therefore does not distinguish the RC background from a Riemannian background described by the vielbein. This can be seen by examining the $1+1$ dimensional version of the conservation laws described in section VIB for the energy-momentum tensor $j_A^\alpha = \frac{1}{|e|} \frac{\delta S_{\text{R}}^\pm}{\delta e_A^\alpha}$ and spin current $j^{AB\alpha} = \frac{1}{|e|} \frac{\delta S_{\text{R}}^\pm}{\delta \omega_{AB\alpha}}$ of the boundary spinor. As in section VIB, these follow from the *Diff* and Lorentz gauge symmetries of the ‘‘classical’’ action S_{R}^\pm . Since the boundary fermion does not couple to ω , its

spin current vanishes, $j^{AB\alpha} = 0$. Therefore (6.24) takes the form

$$j^{[AB]} = 0, \quad (8.9)$$

expressing the symmetry of the boundary energy-momentum tensor, as in Riemannian geometry. The energy-momentum conservation law (6.30) then takes the form $\nabla_\alpha j_\beta^\alpha - j_\beta^\alpha T_{\gamma\alpha}^\gamma = T_{\beta\alpha}^A j_A^\alpha$, which reduces to

$$\tilde{\nabla}_\alpha j^{\alpha\beta} = C_{AB} j^{[AB]} = 0, \quad (8.10)$$

where $\tilde{\nabla}$ is the LC covariant derivative. This is the energy-momentum conservation law in a background Riemannian geometry. The energy-momentum tensor is given explicitly by

$$j_\beta^\alpha = -\frac{i}{2} e_\mp^\alpha \xi \partial_\beta \xi, \quad (8.11)$$

up to a term that vanishes on the equation of motion for ξ , $i e_\mp^\alpha \partial_\alpha \xi + \frac{i}{2} \xi |e|^{-1} \partial_\alpha (|e| e_\mp^\alpha) = 0$, which can also be written in a manifestly covariant form. One can verify that j_β^α is conserved, symmetric, and traceless on the equation of motion.

Chiral Majorana fermions in $D = 1 + 1$ coupled to Riemannian geometry exhibit a gravitational anomaly, which implies that while the ‘‘classical’’ action S_R^\pm is invariant under both *Diff* and Lorentz gauge transformations, the corresponding effective action W_R^\pm is not ²¹. A physical manifestation of this phenomena is that the ‘‘classical’’ conservation law $\tilde{\nabla}_\alpha j_\beta^\alpha = 0$ is violated quantum mechanically, $\tilde{\nabla}_\alpha \langle j_\beta^\alpha \rangle \neq 0$. The anomaly can be calculated by various techniques [19, 29], the simplest of which is the calculation of a single Feynman graph, as was originally done in [28] for the two dimensional Weyl spinor, and is reviewed in [29] part 5.1.2 for the case of a Majorana-Weyl spinor relevant for this paper. The gravitational anomaly²² is given by [19]

$$\tilde{\nabla}_\alpha \langle j^{\alpha\beta} \rangle = \frac{\nu/2}{96\pi} \frac{1}{|e|} \varepsilon^{y\beta\alpha} \partial_\alpha \tilde{\mathcal{R}}. \quad (8.12)$$

²¹ W_R^\pm is an example for the nonlocal boundary functional F discussed in section VIII C.

²² There are a few ambiguities in describing what the gravitational anomaly is from an intrinsic boundary point of view. First, there is the issue of covariant versus consistent anomalies which also exists in gauge anomalies [19]. See also [30] and part 2 of [93] for a short review. Then, for the consistent gravitational anomaly, there is the issue of Lorentz anomalies versus Einstein (*Diff*) anomalies where one can obtain an effective boundary action that is invariant under local Lorentz transformations but not under *Diff*, or vice versa [19]. It is also useful to discuss linear combinations of the Einstein and Lorentz anomalies, related to the symmetry of the effective action under the Lorentz-covariant *Diff* action (6.28), see part 6.3 of [29]. All of these ambiguities are resolved when calculating the boundary energy-momentum tensor within the anomaly inflow mechanism: the bulk gCS term contributes to the boundary energy-momentum tensor, assuring it is symmetric and covariant, so that the physically relevant gravitational anomaly is the covariant Einstein anomaly [30], which is what we refer to here as ‘‘the gravitational anomaly’’.

The physical interpretation of the anomaly, within the gravitational theory, is obtained by identifying the right hand side with the energy-momentum inflow from the bulk, (7.27). Then (8.12) can be written as

$$\tilde{\nabla}_\alpha \langle j^{\alpha\beta} \rangle = \langle J^{y\beta} \rangle, \quad (8.13)$$

which, together with the bulk conservation equation (6.30), is just the statement of energy-momentum conservation for a system with a boundary. This is the anomaly inflow mechanism, recasting what appears to be energy-momentum non-conservation in a $D = 1 + 1$ system, as energy-momentum conservation in a $D = 2 + 1$ system with a boundary.

C. Implication for the p -wave SC

Let us now apply the above to the p -wave SC with a flat domain wall in the chemical potential, $\mu(y)$, which physically represents a fixed chemical potential and an additional y -dependent electric potential. To obtain an emergent geometry which is a product geometry, we take the order parameter to be of the form $\Delta = (\Delta^x, \Delta^y) = \Delta_0 e^{i\theta(t,x)} (1 + f(t,x), \pm i)$ ²³ with $\Delta_0 > 0$ and small f . We also assume that $A_y = 0$ and A_t, A_x are functions of t, x . This corresponds to a perturbation of the $p_x \pm ip_y$ configuration. Note that assuming $A_y = 0$ involves a partial $U(1)$ gauge fixing, leaving only y independent gauge transformations $\alpha(t, x)$. These are the $U(1)$ gauge transformations that will be considered in this subsection. After further $U(1)$ gauge fixing such that $\theta \mapsto 0$, using a gauge transformation $\alpha(t, x) = -\theta(t, x)/2$ ²⁴, the inverse vielbein will be of the form

$$e_a^\mu = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 + f(t, x) & 0 \\ 0 & 0 & \pm 1 \end{pmatrix}, \quad (8.14)$$

so the vielbein is of the product form (8.1) with $o = \pm 1$. The corresponding inverse metric is given by

$$g^{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -(1 + f(t, x))^2 & 0 \\ 0 & 0 & -1 \end{pmatrix}. \quad (8.15)$$

We will also need the Ricci scalar for this metric,

$$\tilde{\mathcal{R}} = \frac{2 \left((1 + f) \partial_t^2 f - 2 (\partial_t f)^2 \right)}{(1 + f)^2}. \quad (8.16)$$

²³ Assuming that μ depends on y but Δ is independent of y may not be self consistent. Nevertheless, it is a simple ansatz that allows for a description of the boundary fermion and its anomaly, which is fixed within a topological phase [4].

²⁴ Here we are explicitly assuming that there are no vortices, such that $\alpha = -\theta/2$ is a gauge transformation.

Recalling that μ determines the bulk masses m_n , we can use the formula $\nu = \frac{1}{2} \sum_{n=1}^4 o_n \text{sgn}(m_n)$ for the Chern number in terms of the low energy data, and (8.7), to express the net chirality of the boundary spinors as $C = \sum_{n=1}^4 C_n = \Delta\nu$, where $C_n = \frac{o_n}{2} \text{sgn}(m_n(y = \infty)) - \frac{o_n}{2} \text{sgn}(m_n(y = -\infty))$ and $\Delta\nu = \nu(y = \infty) - \nu(y = -\infty)$. This relation between the boundary net chirality C and the Chern number difference $\Delta\nu$ is the well known bulk-boundary correspondence. It can be derived from index theorems as described in [9], but in the following we will place it on a more physical footing by describing it as a consequence of energy-momentum conservation. Let us now rewrite the action (8.8) in terms of the p -wave SC quantities and in physical units (without setting the emergent speed of light Δ_0 to 1, but with $\hbar = 1$),

$$S_e^\pm = \frac{i}{2} \int dt dx \tilde{\xi} (\partial_t \mp |\Delta^x(t, x)| \partial_x) \tilde{\xi}. \quad (8.17)$$

Here $|\Delta^x| = \Delta_0(1 + f)$ and $\tilde{\xi} = |e|^{1/2} \xi$ is a chiral Majorana spinor *density* from the geometric point of view, but a chiral Majorana spinor from the physical flat space point of view. As an operator $\tilde{\xi}$ satisfies $\{\tilde{\xi}(x_1), \tilde{\xi}(x_2)\} = \delta(x_1 - x_2)$. We see that $|\Delta^x|$ acts as a space-time dependent velocity for the boundary fermions, which reduces to a constant Δ_0 in the $p_x \pm ip_y$ configuration. Note that both fields $|\Delta^x|, \tilde{\xi}$ are uncharged under $U(1)$. This is clear for $|\Delta^x|$, and to see this explicitly for $\tilde{\xi}$ we relate it to the original spin-less fermion ψ and the (phase of the) order parameter Δ ,

$$\psi(t, x, y) \propto \tilde{\xi}(t, x) e^{i\theta(t, x)/2} \phi_{0, \pm}(y) + \dots \quad (8.18)$$

where $\phi_{0, \pm}(y) \propto e^{\pm \int_0^y m(s) ds}$ was defined in section VIII A and the dots represent the massive bulk modes and additional non robust massive boundary modes. From this expression it is clear that $\tilde{\xi}$ is uncharged even though ψ is.

Let us now consider the energy-momentum conservation law for the boundary. The expression $\tilde{\nabla}_\alpha \langle j_\beta^\alpha \rangle = 0$ involves the covariant derivative, and is therefore inappropriate from the p -wave SC point of view, where space-time is flat and e is just the order parameter and has no geometric role. We already described how to interpret covariant energy-momentum conservation laws from the flat space-time point of view in section VI A 2, where we studied the bulk conservation laws. Here we simply repeat the procedure. We first relate the energy-momentum tensor j_β^α to the canonical boundary (or edge) energy-momentum tensor $t_{e\beta}^\alpha$, and write it in terms of $\tilde{\xi}$

$$\begin{aligned} t_{e\beta}^\alpha &= -|e| j_\beta^\alpha = \frac{i}{2} e \frac{\alpha}{\mp} \tilde{\xi} \partial_\beta \tilde{\xi} \\ &= \begin{cases} \frac{i}{2} \tilde{\xi} \partial_\beta \tilde{\xi} & \alpha = t \\ \mp \frac{i}{2} |\Delta^x(t, x)| \tilde{\xi} \partial_\beta \tilde{\xi} & \alpha = x \end{cases}. \end{aligned} \quad (8.19)$$

This is the correct notion of energy and momentum from the physical flat space-time point of view. Note that

the relation between $t_{e\beta}^\alpha$ and j_β^α is the same as for the bulk quantities (6.18), and that since $\tilde{\xi}$ is uncharged the canonical energy-momentum tensor $t_{e\beta}^\alpha$ is automatically $U(1)$ -covariant. We then write the conservation law $\tilde{\nabla}_\alpha \langle j_\beta^\alpha \rangle = 0$ in terms of $t_{e\beta}^\alpha$ and using partial derivatives as $\partial_\alpha t_{e\beta}^\alpha + \frac{i}{2} \tilde{\xi} \partial_\alpha \tilde{\xi} \partial_\beta e \frac{\alpha}{\mp} = 0$, or more explicitly,

$$\partial_\alpha t_{e\beta}^\alpha \mp \frac{i}{2} \tilde{\xi} \partial_x \tilde{\xi} \partial_\beta |\Delta^x| = 0. \quad (8.20)$$

This is just a special case of the usual conservation law (6.5) for the canonical energy-momentum tensor. As usual, it describes the space-time dependence of the background field $|\Delta^x|$ as a source of energy-momentum for the boundary fermion $\tilde{\xi}$. This is the ‘‘classical’’ analysis of energy momentum-conservation for the boundary fermion. Quantum mechanically, this equation acquires a correction due to the anomaly and the presence of the bulk. Translating the anomaly equation (8.12) to the flat space-time point of view, we obtain

$$\partial_\alpha \langle t_{e\beta}^\alpha \rangle \mp \frac{i}{2} \langle \tilde{\xi} \partial_x \tilde{\xi} \rangle \partial_\beta |\Delta^x| = -\frac{\nu}{192\pi} g_{\beta\gamma} \varepsilon^{y\gamma\alpha} \partial_\alpha \tilde{\mathcal{R}}. \quad (8.21)$$

As in the gravitational point of view, the right hand side is actually the inflow of energy-momentum from the bulk (7.34),

$$\partial_\alpha \langle t_{e\beta}^\alpha \rangle \mp \frac{i}{2} \langle \tilde{\xi} \partial_x \tilde{\xi} \rangle \partial_\beta |\Delta^x| = \langle t_{\text{cov}\beta}^y \rangle. \quad (8.22)$$

This equation expresses the conservation of energy ($\beta = t$) and x -momentum ($\beta = x$) on the domain wall. Along with the bulk conservation equation (6.10), $\partial_\mu \langle t_{\text{cov}\nu}^\mu \rangle = \frac{1}{2} \langle \psi^\dagger \partial_j \psi^\dagger \rangle D_\nu \Delta^j + h.c + F_{\nu\mu} \langle J^\mu \rangle$ ²⁵, it expresses the sense in which energy-momentum is conserved in a p -wave SC in the presence of a boundary, or domain wall.

We thus obtain the equation $\Delta\nu = C$, usually referred to as bulk boundary correspondence, as a direct consequence of bulk+boundary energy-momentum conservation in the presence of a space-time dependent order parameter.

IX. CONCLUSION AND DISCUSSION

A. Chern-Simons terms and pseudo Chern-Simons terms

In this paper we have shown that there is a topological bulk response of the p -wave SC to a perturbation of its

²⁵ We note that the domain wall acts as a source for y -momentum, which is included in the term $F_{\nu\mu} \langle J^\mu \rangle$ since $\mu(y)$ is part of the electric potential A_t .

order parameter, which follows from a gCS term, and we have described a corresponding gravitational anomaly of the edge states. The coefficient of gCS was found to be $\alpha = \frac{\nu/2}{96\pi}$ where ν is the Chern number, as anticipated in previous work. These results are based on a mapping of the p -wave SC, in the regime where the order parameter is very large, to a relativistic Majorana spinor in a curved and torsion-full space-time. We provided arguments for the validity of these results beyond the relativistic limit in which they were computed, but it is of interest to preform explicit computations beyond the relativistic limit.

The appearance of torsion in the emergent geometry brought about a surprise: we found an additional term, closely related but distinct from gCS, which we referred to as gravitational pseudo Chern-Simons (gpCS), with a dimensionless coefficient $\beta = \frac{\nu/2}{96\pi} = \alpha$. The gpCS term is fully invariant under the symmetries we considered, and is therefore unrelated to edge anomalies and does not have to have a quantized coefficient. Therefore, the quantization of β seems to be a property of the relativistic limit, which will not hold throughout the phase diagram (this can be understood on dimensional grounds, as explained below (7.18)). Computations beyond the relativistic limit are required to test this expectation.

To put the gpCS term in a broader context, we would like to draw an analogy to the behavior of the Hall conductivity of the p -wave SC. There is theoretical work that predicts a Hall conductivity in the p -wave SC, which, as opposed to the IQHE, is not quantized [8, 63–68, 94, 95]. This Hall conductivity can be traced back to the following term in the effective action for a p -wave SC, obtained by integrating over the bulk fermions in the presence of $\Delta = \Delta_0 e^{i\theta} (1, \pm i)$ and A ,

$$-\beta' \int d^3x D_t \theta \varepsilon^{ij} \partial_i A_j = 2\beta' \int d^3x A_t B - \beta' \int d^3x \partial_t \theta B, \quad (9.1)$$

where β' is a coefficient to be discussed below. We will refer to this term as a $U(1)$ pseudo Chern-Simons (pCS) term, though it has been referred to as Chern-Simons-like, Chern-Simons-type, and also partial Chern-Simons in previous work. This terminology reflects the similarity to the $U(1)$ CS term, which occurs in the IQHE but *not* in a p -wave SC,

$$\alpha' \int AdA = 2\alpha' \int d^3x A_t B - \alpha' \int d^3x \varepsilon^{ij} A_i \partial_t A_j. \quad (9.2)$$

The $U(1)$ pCS term was not obtained in this paper because, as explained in section IV B, in the relativistic limit the coupling to the magnetic field is lost. This term is fully gauge invariant, owing to the presence of the phase of the charged order parameter in Eq. (9.2). Thus, it is unrelated to edge anomalies, and β' need not be quantized. Explicit computation yields an unquantized β' that reduces to $\frac{\nu/2}{4\pi}$ in the limit $\Delta_0 \rightarrow 0$ [65, 68], which may be partially understood by dimensional analysis, as explained above for β . In contrast, the behavior

of $U(1)$ CS under gauge transformations implies that it is related to a boundary $U(1)$ anomaly, and that $\alpha' \in \frac{1}{4\pi}\mathbb{Z}$. The integer is the Chern number ν .

Let us now see how this is related to our results. We found two terms in the effective action for a p -wave SC that have dimensionless and UV insensitive coefficients. The first is gpCS, which for vielbeins of the form (5.2), and to first order in time derivatives (see appendix D), can be written as

$$2o\beta \int d^3x |e| (-\tilde{\omega}_{12t} - 2A_t) \tilde{\mathcal{R}}^{(2)} \quad (9.3)$$

$$= -2o\beta \int d^3x |e| \tilde{\omega}_{12t} \tilde{\mathcal{R}}^{(2)} - 4o\beta \int d^3x |e| A_t \tilde{\mathcal{R}}^{(2)},$$

where $\tilde{\mathcal{R}}^{(2)} = \frac{2o}{|e|} \varepsilon^{ij} \partial_i \tilde{\omega}_{12j}$, the curvature of a spatial slice, is the geometric analog of $B = \varepsilon^{ij} \partial_i A_j$. The second term, gCS, can be written as

$$-2\alpha \int \tilde{\omega}_{12} d\tilde{\omega}_{12} \quad (9.4)$$

$$= -2o\alpha \int d^3x |e| \tilde{\omega}_{12t} \tilde{\mathcal{R}}^{(2)} + 2\alpha \int d^3x \varepsilon^{ij} \tilde{\omega}_{12i} \partial_t \tilde{\omega}_{12j},$$

under the same assumptions. The similarity between the two gravitational terms (9.3)-(9.4), as well as the analogy with the two $U(1)$ terms (9.1)-(9.2) is now manifest. The gCS coefficient α must be quantized such that $\alpha \in \frac{1}{192\pi}\mathbb{Z}$, and is given by the Chern number $\alpha = \frac{\nu/2}{96\pi}$, while β need not be quantized, but takes the value $\beta = \frac{\nu/2}{96\pi} = \alpha$ in the relativistic limit. With a properly quantized α and away from boundaries, gCS is gauge invariant. In this sense both gravitational terms are gauge invariant. For the purpose of computing the bulk response, gCS only depends on the metric (see equation (7.8)), which is the *uncharged* Higgs part of the order parameter. On the other hand, gpCS depends also on the charged phase θ .

The main point is that both $U(1)$ and gravitational pseudo Chern-Simons terms are possible due to the spontaneous breaking of $U(1)$ symmetry in the p -wave SC. They encode interesting bulk responses, which are closely related but distinct from *topological* bulk responses. We expect similar phenomena to occur in other topological phases of matter with a spontaneously broken symmetry, and a more general study awaits future work.

B. Real background geometry and manipulation of the order parameter

In this paper we have considered the p -wave SC in flat space, and focused on the emergent geometry described by a general p -wave order parameter. It is also natural to consider the effect of a real background geometry, obtained by deforming the 2-dimensional sample in 3-dimensional space, possibly in a time dependent manner. Treating this at the level of the lattice model is beyond the scope of this paper, but we can take the p -wave SF

as a starting point. On a deformed sample the p -wave SF action (4.3) generalizes to

$$S[\tilde{\psi}; \Delta, A, G] = \int d^{2+1}x \sqrt{G} \left[\tilde{\psi}^\dagger \frac{i}{2} \overleftrightarrow{D}_t \tilde{\psi} - \frac{1}{2m^*} G^{ij} D_i \tilde{\psi}^\dagger D_j \tilde{\psi} - m \tilde{\psi}^\dagger \tilde{\psi} + \left(\frac{1}{2} \Delta^j \tilde{\psi}^\dagger \partial_j \tilde{\psi} + h.c \right) \right], \quad (9.5)$$

which now depends on three background fields: the order parameter Δ , the $U(1)$ connection A , and the real background metric G , coming from the embedding of the 2-dimensional sample in 3-dimensional space. This action is written for the fermion $\tilde{\psi}$, which satisfies $\{\tilde{\psi}^\dagger(x), \tilde{\psi}(y)\} = \delta^{(2)}(x-y)/\sqrt{G(x)}$ as an operator. In this problem there are two (inverse) metrics, the real G^{ij} and emergent $g^{ij} = \Delta^{(i} \Delta^{j)*}$, and it is interesting to study their interplay. In our analysis we have focused on the relativistic limit, where $m^* \rightarrow \infty$. In this limit the metric G completely decouples from the action, when written in terms of the fundamental fermion density $\psi = G^{1/4} \tilde{\psi}$, see appendix C. Thus, results obtained within the relativistic limit, are essentially unaffected by the background metric G . This conclusion is appropriate as long as the order parameter is treated as an independent background field, which is always suitable for the purpose of integrating out the gapped fermion density ψ . One then obtains the bulk currents and densities that we have described, which depend on the configuration of Δ , and the question that remains is what this configuration physically is. Two scenarios are of importance.

The first is when the order parameter is induced by proximity to a 3-dimensional s -wave SC. In this case both G and g are background metrics, a scenario similar to the bi-metric description of anisotropic quantum Hall states [96]. In this case the magnitude of the order parameter depends on the distance between the sample and the s -wave SC, so if the position of the s -wave SC is fixed but the sample is deformed, a space-time dependent order parameter is obtained. Of course, one can also obtain the same effect by considering a flat sample and an s -wave SC with a non flat surface. This provides one route to a manipulation of the order parameter that will result in the bulk effects we have described. Since vanishing torsion, in the setting of this paper, is a compatibility condition on A and Δ , and in this setup Δ and A are independent, the emergent geometry will in general be torsion-full. For example, one may set $A = 0$ and manipulate Δ as described above to obtain any emergent torsion tensor. This provides a rather flexible setup in which torsion-full geometries can be realized, compared to the more standard approach in which the torsion describes lattice dislocations [78].

The second important scenario is that of an intrinsic order parameter, in which case it is a dynamical field. The order parameter naturally splits into a massive Higgs part, which is precisely the emergent metric g^{ij} , and a massless Goldstone part which is the overall phase θ . The quantum theory of the emergent metric g^{ij} is on

its own an interesting problem, which should be similar to theories of *massive gravity* [97], and to a recent bi-metric theory of quantum Hall states with a gapped collective excitation [98]. Nevertheless, as long as the probes A, G are slow compared to the Higgs gap, g^{ij} can be treated as fixed to its instantaneous ground state configuration, and it remains to find this configuration, which in general will depend on the details of the microscopic fermionic interaction. A common assumption in the literature is that, for an interaction that depends only on the geodesic distance, the long wavelength ground state configuration will be the curved space $p_x \pm ip_y$ configuration, where the pairing term is $\frac{1}{2} \Delta_0 e^{i\theta} \psi^\dagger \left(E_1^j \partial_j \pm i E_2^j \partial_j \right) \psi$ [4, 67, 85, 87, 99, 100]. Here Δ_0 is a constant, θ is the Goldstone phase, and E is a vielbein for the real metric G , such that $G^{ij} = E_A^i \delta^{AB} E_B^j$, which is a fixed background field²⁶. What this means, in the language of this paper, is that the emergent metric is proportional to the real metric, $g^{ij} = \Delta_0^2 G^{ij}$. It follows that the responses to the emergent metric g that we have described, are in this case, and under the above assumption, responses to the real metric G . This suggests a second route to a manipulation of the order parameter that will result in the bulk effects we have described.

Of course, in the intrinsic case one cannot ignore the dynamics of the Goldstone phase θ , which will be gapless as long as A is treated as a background field. When θ is a dynamical field, charge conservation is restored. One may then inquire what is the fate of the $U(1)$ pCS and gpCS responses, which we explained as originating from non-conserved quantities. The answer to this question is known for the $U(1)$ pCS contribution to the Hall conductivity. The gaplessness of θ makes the Hall conductivity sensitive to the order of limits between the wave vector q and the frequency ω [64, 66, 67, 95]. While the Hall conductivity goes to the constant $-2\beta'$ as $\omega \rightarrow 0$ before q , it vanishes in the opposite limit, insuring that the total charge is magnetic field independent. Thus, anomalous edge states are not required for charge conservation. We expect a similar state of affairs to occur also for the gpCS responses. This will be discussed elsewhere. Would the emergent torsion vanish in this case? To answer this question we use our expressions (D3) and (D4) for the emergent LC spin connection and contorsion tensors, and insert $g^{ij} = \Delta_0^2 G^{ij}$. We find $C_{12\mu} = \partial_\mu \theta - 2A_\mu - o\tilde{\omega}_{12\mu}^{(E)}$, where $\tilde{\omega}_{12\mu}^{(E)}$ is a LC spin connection constructed from E . Taking the exterior derivative we find $\frac{1}{\sqrt{G}} \varepsilon^{ij} \partial_i C_j = v - 2B - \frac{o}{2} \mathcal{R}^{(G)}$ where $v = \frac{1}{\sqrt{G}} \varepsilon^{ij} \partial_i \partial_j \theta$ is the vorticity and $\mathcal{R}^{(G)}$ is the background Ricci scalar. Comparing with the Goldstone action of [67] we conclude that torsion should dynamically vanish due to the formation of vortices such that

²⁶ The $SO(2)$ ambiguity in choosing E is incorporated into θ , which has $SO(2)$ charge 1 and $U(1)$ charge 2.

$v = 2B + \frac{g}{2}\mathcal{R}^{(G)}$. If A is also treated as dynamical, we expect the torsion to vanish due to the formation of vortices or magnetic flux such that $v - 2B = \frac{g}{2}\mathcal{R}^{(G)}$ [100].

C. Towards experimental observation

There are a few basic questions that arise when trying to make contact between the phenomena described in this paper and a possible experimental observation. Here we take as granted that one has at one's disposal either a p -wave SC, or a candidate material. The first question is how to manipulate the Higgs part of the order parameter, which is the emergent metric, and was discussed above.

The second natural question is how to measure energy currents and momentum densities. Also relevant, though not accentuated in this paper, is a measurement of the stress tensor, comprised of the spatial components of the energy-momentum tensor. One possible approach, which provides both a means to manipulate the order parameter, and a measurement of energy-momentum-stress is a measurement of the phonon spectrum *à la* [101–103]. For the gpCS term, apart from energy-momentum-stress, there is also the density response (2.8), which is a simpler quantity for measurement, though not a *topological* bulk response. A possible way to avoid the need to measure energy-momentum-stress is possible in a Galilean invariant system, where electric current and momentum density are closely related. The simplest scenario is that of the p -wave SF on a curved sample (9.5), where one assumes that the emergent metric follows the real metric, $g^{ij} = \Delta_0^2 G^{ij}$. Here the electric current is related to the momentum density by

$$J^i = -\frac{G^{ij}}{m^*} P_j. \quad (9.6)$$

Our result (2.3) then implies that the expectation value $\langle J^i \rangle$ has a contribution related to the gCS term,

$$\langle J^i \rangle_{\text{gCS}} = -\frac{G^{ij}}{m^*} \langle P_j \rangle_{\text{gCS}} = \frac{1}{m^*} \frac{\nu/2}{96\pi} \hbar \varepsilon^{ij} \partial_j \tilde{\mathcal{R}}. \quad (9.7)$$

This is not a topological bulk response due to the appearance of m^* , but if m^* is known, then the Chern number ν can be extracted from a measurement of the electric current, which may be simpler to measure than energy-momentum-stress. It should be noted that there will be additional contributions, similar to (9.7), from the gpCS term, which can be distinguished from (9.7) by the corresponding density response to curvature. There will also be contributions similar to (9.7) that originate from integrating out the Goldstone phase, which depend on the combination $B + \frac{g}{2}\tilde{\mathcal{R}}$ [67], and can therefore be separated from (9.7) by a measurement of the current in response to a magnetic field.

D. Implications for related phases of matter

The integer quantum Hall effect is the basis for our understanding of the closely related time reversal invariant topological insulators in 2 and 3 dimensions, and the fractional quantum Hall effect. In the same manner, one may hope to utilize the understanding of the p -wave SC gained in this paper in order to better understand the physics of time reversal invariant topological superconductors in 2 and 3 dimensions, and of recently proposed fractional topological superconductors [104, 105]. It is also of interest to study the implications for the $\nu = 5/2$ fractional quantum Hall state. We hope to address these issues in future work.

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Appendix A: Equivalent forms of S_{RC} and equality to S_{rSF}

It is useful to write the action S_{RC} in a few equivalent forms [19, 78]. To pass between these equivalent forms one only needs the identity

$$\partial_\nu (|e| e_a^\nu) = |e| \tilde{\omega}_{ab}^b \quad (A1)$$

relating e to the LC spin connection, and the following identity, which holds for any spin connection ω but relies on the property $\gamma^a \gamma^b \gamma^c = i \varepsilon^{abc}$ of γ matrices in 2+1 dimensions,

$$\begin{aligned} i e_a^\mu \gamma^a \omega_\mu &= \frac{1}{4} i e_a^\mu \omega_{bc\mu} \{ \gamma^a, \Sigma^{bc} \} + \frac{1}{4} i e_a^\mu \omega_{bc\mu} [\gamma^a, \Sigma^{bc}] \\ &= -\frac{1}{4} \omega_{abc} \varepsilon^{abc} + \frac{1}{2} i \omega_{ab}^b \gamma^a. \end{aligned} \quad (A2)$$

The most explicit form of the action is

$$S_{\text{RC}} = \frac{1}{2} \int d^{2+1}x |e| \bar{\chi} \left[\frac{1}{2} i e_a^\mu \gamma^a \overleftrightarrow{\partial}_\mu - \frac{1}{4} \omega_{abc} \varepsilon^{abc} - m \right] \chi, \quad (A3)$$

where the derivatives act only on the spinors. Here we see that in 2+1 dimensions the spin connection only enters through the scalar $\omega_{abc}\varepsilon^{abc}$ as a correction to the mass. It also makes it rather simple to see why S_{RC} is equal to S_{rSF} from (4.4),

$$\begin{aligned}
S_{\text{RC}} &= \frac{1}{2} \int d^{2+1}x |e| \bar{\chi} \left[\frac{1}{2} i e_a^\mu \gamma^a \overleftrightarrow{\partial}_\mu - \frac{1}{4} \omega_{abc} \varepsilon^{abc} - m \right] \chi \\
&= \frac{1}{2} \int d^{2+1}x \Psi^\dagger \gamma^0 \left[\frac{1}{2} i e_a^\mu \gamma^a \overleftrightarrow{\partial}_\mu - \frac{1}{4} \omega_{abc} \varepsilon^{abc} - m \right] \Psi \\
&= \frac{1}{2} \int d^{2+1}x \Psi^\dagger \gamma^0 \left[\frac{i}{2} \gamma^0 \overleftrightarrow{\partial}_t + \frac{1}{2} i e_A^j \gamma^A \overleftrightarrow{\partial}_j + A_t - m \right] \Psi \\
&= \frac{1}{2} \int d^{2+1}x \Psi^\dagger \left(\begin{array}{cc} \frac{i}{2} \overleftrightarrow{\partial}_t + A_t - m & \frac{1}{2} \Delta^j \overleftrightarrow{\partial}_j \\ -\frac{1}{2} \Delta^{j*} \overleftrightarrow{\partial}_j & \frac{i}{2} \overleftrightarrow{\partial}_t - A_t + m \end{array} \right) \Psi \\
&= \frac{1}{2} \int d^{2+1}x \Psi^\dagger \left(\begin{array}{cc} i \partial_t - m + A_t & \frac{1}{2} \{ \Delta^j, \partial_j \} \\ -\frac{1}{2} \{ \Delta^{j*}, \partial_j \} & i \partial_t + m - A_t \end{array} \right) \Psi \\
&= S_{\text{rSF}}, \tag{A4}
\end{aligned}$$

where we have used the dictionary (5.2), and also integrated by parts. In going from the third to the fourth line we have reinstated the emergent speed of light $c_{\text{light}} = \frac{\Delta_0}{\hbar}$, but kept $\hbar = 1$. This completes that proof of the equality $S_{\text{rSF}} = S_{\text{RC}}$, which was stated and explained in section V.

Before we move on, an important comment is in order. Since A_j does not appear in S_{rSF} , it is clear that for the above equality of actions only the identification $\omega_t = -2A_t \Sigma^{12}$ is required, rather than the full $\omega_\mu = -2A_\mu \Sigma^{12}$ of (9.4). Accordingly, ω_j does not appear in S_{RC} when ω, e are both spatial ($\omega_{0A\mu} = 0, e_0^\mu = \delta_t^\mu$), because then

$$\omega_{abc} \varepsilon^{abc} = 2e_0^\mu \omega_{12\mu} = 2\omega_{12t}. \tag{A5}$$

Thus, for the equality of actions $S_{\text{rSF}} = S_{\text{RC}}$ it is not required that $\omega_j = -2A_j \Sigma^{12}$. Nevertheless, in this work we are actually identifying two QFTs as equal, and there is more to a QFT than its classical action. One must also compare symmetries, observables, and path integral measures (that latter is discussed in appendix C). The mapping of symmetries and observables is the subject of section VI, and only holds if the full identification $\omega_\mu = -2A_\mu \Sigma^{12}$ is made:

In section VIB2, we identify the physical $U(1)$ symmetry group with the $Spin(2)$ subgroup of $Spin(1,2)$ in Riemann-Cartan geometry. For this reason A_μ , which is $U(1)$ connection, really maps to a $Spin(2)$ connection in the geometric point of view, even if certain components of it do not appear in the action S_{rSF} .

In section VIB1 we discuss the mapping of observables. In particular, even though A_j disappears from the action in the relativistic limit, it does not disappear from the energy-momentum tensor (see (6.18), (6.19), where the derivative D_μ contains A_μ). Moreover, as explained below (7.4), even though the order parameter Δ corresponds to the spatial vielbein in (5.2), in order to obtain the expectation value of full energy-momentum tensor we

must take derivatives of the effective action with respect to all components of the vielbein, not only the spatial ones obtained from Δ . This corresponds to adding to S_{rSF} a fictitious background field e_0^μ which is set to zero after the expectation value is computed. In the presence of e_0^μ the potential A_t generalizes to $e_0^\mu A_\mu$, and so A_j does appear in S_{rSF} . Accordingly, with a general e_0^μ we see from (A5) that ω_{12j} appears in S_{RC} . The equality $S_{\text{RC}} = S_{\text{rSF}}$ in the presence of e_0^μ is then obtained only if $\omega_j = -2A_j \Sigma^{12}$.

To close this discussion, we note that the identification of Δ^j as a spatial vielbein and A_μ as a $Spin(2)$ connection actually holds beyond the relativistic limit, though this is not discussed in this paper. Beyond the relativistic limit A_j will appear in both the action and observables, and identifying the full $\omega_{12\mu}$ with A_μ will be crucial also at the level of the fermionic action.

Going back to equivalent forms of S_{RC} , if we wish to isolate the effect of torsion, we can also write

$$\begin{aligned}
S_{\text{RC}} &= \frac{1}{2} \int d^{2+1}x |e| \bar{\chi} \left[\frac{1}{2} i e_a^\mu \gamma^a \overleftrightarrow{\partial}_\mu - \frac{1}{4} \tilde{\omega}_{abc} \varepsilon^{abc} \right. \\
&\quad \left. - \frac{1}{4} C_{abc} \varepsilon^{abc} - m \right] \chi, \tag{A6}
\end{aligned}$$

or

$$\begin{aligned}
S_{\text{RC}} &= \frac{1}{2} \int d^{2+1}x |e| \bar{\chi} \left[\frac{1}{2} i e_a^\mu \left(\gamma^a \tilde{D}_\mu - \overleftarrow{D}_\mu \gamma^a \right) \right. \\
&\quad \left. - \left(m + \frac{1}{4} c \right) \right] \chi, \tag{A7}
\end{aligned}$$

where we see that in 2+1 dimensions torsion enters only through the scalar $c = C_{abc} \varepsilon^{abc}$ as a correction to the mass. One can also integrate by parts in order to obtain a form from which it is simple to derive the equation of motion,

$$\begin{aligned}
S_{\text{RC}} &= \frac{1}{2} \int d^{2+1}x |e| \bar{\chi} \left[i e_a^\mu \gamma^a \tilde{D}_\mu - \frac{1}{4} C_{abc} \varepsilon^{abc} - m \right] \chi \\
&= \frac{1}{2} \int d^{2+1}x |e| \bar{\chi} \left[i e_a^\mu \gamma^a D_\mu - \frac{1}{2} i C_{ab}^c \gamma^a - m \right] \chi. \tag{A8}
\end{aligned}$$

The form in the first equation is special to 2+1 dimensions, but the form in the second equation holds in any dimension.

Appendix B: Dirac and BdG equations

Since the p -wave SF action is equal to S_{RC} in the relativistic limit, and the fermions χ and Ψ are related simply, the equation of motion for χ , which is the Dirac equation in RC background, maps to the equation of motion for Ψ , which is the BdG equation (in the relativistic limit).

The equation of motion for the Majorana spinor χ needs to be derived carefully, because χ is Grassmann

valued and $\bar{\chi} = \chi^T \gamma^0$ cannot be treated as independent of χ . Nevertheless, if the operator between χ^T and χ is particle-hole symmetric, the equations of motion are the same as those of a Dirac spinor, which are easy to read from (A8),

$$0 = \left[i e_a^\mu \gamma^a D_\mu - \frac{1}{2} i C_{ab}^b \gamma^a - m \right] \chi. \quad (\text{B1})$$

This is the Dirac equation in RC background. When inserting $\chi = |e|^{-1/2} \Psi$ and using the identity

$$\partial_\mu |e| = |e| \Gamma_{\mu\rho}^\rho = |e| \tilde{\Gamma}_{\mu\rho}^\rho, \quad (\text{B2})$$

we obtain

$$0 = \left[i \gamma^\mu \left(D_\mu - \frac{1}{2} \Gamma_{\mu\rho}^\rho \right) - \frac{1}{2} i C_{ab}^b \gamma^a - m \right] \Psi. \quad (\text{B3})$$

The expression in brackets is the appropriate covariant derivative for a spinor density of weight 1/2 [71], which is what $\Psi = |e|^{1/2} \chi$ is from the geometric point of view. Simplifying this equation using (A1) and (B2), we arrive at

$$0 = \left[\frac{1}{2} i \gamma^a \{ e_a^\mu, \partial_\mu \} - \frac{1}{4} \omega_{abc} \varepsilon^{abc} - m \right] \Psi. \quad (\text{B4})$$

By using the dictionary (5.2) and multiplying by $\gamma^0 = \sigma^z$ this reduces to

$$0 = \begin{pmatrix} i \partial_t + A_t - m & \frac{1}{2} \{ \Delta^j, \partial_j \} \\ -\frac{1}{2} \{ \Delta^{j*}, \partial_j \} & i \partial_t - A_t + m \end{pmatrix} \Psi, \quad (\text{B5})$$

which is the BdG equation in the relativistic limit. Thus the BdG equation in the relativistic limit is not quite the Dirac equation, because Ψ is a spinor density, though it is the Dirac equation for the spinor χ .

Appendix C: Equality of path integrals

In appendix A we showed that the action for the p -wave SF in the relativistic limit, is equal to the action for a Majorana fermion coupled to RC geometry. To

conclude that the corresponding fermionic path integrals are equal, we also need to verify that the path integral measure for the p -wave SF is equal to that of the Majorana fermion in RC background. For the p -wave SF (4.3), the path integral measure is written formally as $D\psi^\dagger D\psi = \prod_x d\psi^\dagger(x) d\psi(x)$ where x runs over all points in space time. In the BdG formalism we work with the Nambu (or Majorana) spinor $\Psi = (\psi, \psi^\dagger)^T$, in terms of which the measure takes the form $D\psi^\dagger D\psi = D\Psi$. As described in section V and appendix B, from the geometric point of view Ψ is a Majorana spinor density of weight 1/2, and $\chi = |e|^{-1/2} \Psi$ is a Majorana spinor. In terms of the spinor χ , the measure takes the form $D\Psi = D(|e|^{1/2} \chi)$, which is the correct measure for a matter field in curved background [35, 106, 107]. With this measure, the path integral over the Majorana spinor χ formally computes functional pfaffians as in flat space, $e^{iW_M[A]} = \int D(|e|^{1/2} \chi) e^{\frac{i}{2} \int d^d x |e| \chi^T A \chi} = \text{Pf}(iA) = \sqrt{\text{Det} iA}$, where A is an antisymmetric hermitian operator with respect to the inner product $\langle f, g \rangle = \int d^d x |e| f^\dagger A g$, and the determinant Det is defined by the product of eigenvalues. For a Dirac spinor χ the fermionic path integral formally computes functional determinants, $e^{iW_D[D]} = \int D(|e|^{1/2} \chi^\dagger) D(|e|^{1/2} \chi) e^{i \int d^d x |e| \chi^\dagger D \chi} = \text{Det}(iD)$, where D is hermitian. In particular, the effective action for a Majorana spinor is half that of a Dirac spinor with the same operator, $W_M[A] = \frac{1}{2} W_D[A]$.

Appendix D: Explicit formulas for certain geometric quantities

Using $\tilde{\omega}_{b\mu}^a = e_a^\alpha (\partial_\mu e_b^\alpha + \tilde{\Gamma}_{\beta\mu}^\alpha e_b^\beta)$ we can calculate the LC spin connection for a vielbein of the form

$$e_a^\mu = \frac{1}{\Delta_0} \begin{pmatrix} \Delta_0 & 0 & 0 \\ 0 & \text{Re}(\Delta^x) & \text{Re}(\Delta^y) \\ 0 & \text{Im}(\Delta^x) & \text{Im}(\Delta^y) \end{pmatrix} = \begin{pmatrix} 1 & & \\ & e_A^j & \end{pmatrix} \quad (\text{D1})$$

that occurs in the p -wave SC,

$$\begin{aligned} \tilde{\omega}_{A0t} &= 0, \\ \tilde{\omega}_{A0j} &= e_A^i \frac{1}{2} \partial_t g_{ij}, \\ \tilde{\omega}_{12t} &= \frac{1}{2} \varepsilon^{AB} e_{Ai} \partial_t e_B^i = -\frac{1}{2} \frac{1}{\det(e)} \varepsilon^{ij} e_{Ai} \partial_t e_j^A, \\ \tilde{\omega}_{12j} &= \frac{1}{2} \left(\varepsilon^{AB} e_{Ai} \partial_j e_B^i - \frac{1}{\det(e)} \varepsilon^{kl} \partial_k g_{lj} \right) = -\frac{1}{2} \frac{1}{\det(e)} \varepsilon^{kl} (e_{Ak} \partial_j e_l^A + \partial_k g_{lj}). \end{aligned} \quad (\text{D2})$$

In terms of the parameterization $\Delta = e^{i\theta} (|\Delta^x|, e^{i\phi} |\Delta^y|)$, as in section III B, the $SO(2)$ part can be written as

$$\begin{aligned}
\tilde{\omega}_{12t} &= o \left[\frac{1}{2} \cot |\phi| \partial_t \log \frac{|\Delta^y|}{|\Delta^x|} - \frac{1}{2} \partial_t |\phi| \right] - \partial_t \theta, \\
\tilde{\omega}_{12x} &= o \left[\frac{|\Delta^y| \cot |\phi|}{|\Delta^x| \sin |\phi|} \partial_y |\phi| + \left(\frac{1}{\sin^2 |\phi|} - 1 \right) \partial_x |\phi| + \cot |\phi| \partial_x \log |\Delta^y| + \frac{1}{\sin |\phi|} \frac{|\Delta^y|}{|\Delta^x|} \partial_y \log |\Delta^x| \right] - \partial_x \theta, \\
\tilde{\omega}_{12y} &= o \left[-\frac{|\Delta^x| \cot |\phi|}{|\Delta^y| \sin |\phi|} \partial_x |\phi| - \left(\frac{1}{\sin^2 |\phi|} \right) \partial_y |\phi| - \cot |\phi| \partial_y \log |\Delta^x| - \frac{1}{\sin |\phi|} \frac{|\Delta^x|}{|\Delta^y|} \partial_x \log |\Delta^y| \right] - \partial_y \theta,
\end{aligned} \tag{D3}$$

where $o = \text{sgn} \phi$ is the orientation. Note that the terms in square brackets only depend on the metric degrees of freedom $|\phi|, |\Delta^x|, |\Delta^y|$, and that this reduces to $\tilde{\omega}_{12\mu} = -\partial_\mu \theta$ in the $p_x \pm ip_y$ configuration. We can then obtain explicit formulas for the contorsion using $\omega_{ab\mu} = -2A_\mu (\delta_a^1 \delta_b^2 - \delta_b^1 \delta_a^2)$ and $C_{ab\mu} = \omega_{ab\mu} - \tilde{\omega}_{ab\mu}$,

$$\begin{aligned}
C_{12\mu} &= -2A_\mu - \tilde{\omega}_{12\mu}, \\
C_{A0j} &= -e_A^i \frac{1}{2} \partial_t g_{ij}.
\end{aligned} \tag{D4}$$

We also consider the quantity $c = \varepsilon^{abc} C_{abc}$ which appears in certain forms of the action S_{RC} (5.1), and of the effective action (7.7). Evaluated in terms of Δ and A we find

$$\begin{aligned}
\frac{1}{2} c &= C_{12t} \\
&= \partial_t \theta - 2A_t - o \left[\frac{1}{2} \cot |\phi| \partial_t \log \frac{|\Delta^y|}{|\Delta^x|} - \frac{1}{2} \partial_t |\phi| \right],
\end{aligned} \tag{D5}$$

which reduces to $\frac{1}{2} c = D_t \theta = \partial_t \theta - 2A_t$ in the $p_x \pm ip_y$ configuration.

Appendix E: Discrete symmetries

1. Charge conjugation and particle-hole

Our conventions for gamma matrices and spinors follow appendix B of [71]. In three dimensions, if the matrices γ^a define a representation of the Clifford algebra then $-(\gamma^a)^T$ define an equivalent representation. The matrix \mathcal{C} relating the two representations by $-(\gamma^a)^T = \mathcal{C}^a_b \gamma^b = \mathcal{C} \gamma^a \mathcal{C}^{-1}$ is called charge conjugation. In our representation $\gamma^0 = \sigma^z$, $\gamma^1 = -i\sigma^x$, $\gamma^2 = i\sigma^y$, one finds that $\mathcal{C} = \sigma^y$ up to a phase and $\mathcal{C}^a_b = \text{diag}[-1, -1, 1]$, so we see that \mathcal{C} is unitary and $\mathcal{C}^2 = 1$. Likewise, the matrices $(\gamma^a)^\dagger$ also define an equivalent representation, and are therefore related by $(\gamma^a)^\dagger = \mathcal{D}^a_b \gamma^b = \mathcal{D} \gamma^a \mathcal{D}^{-1}$ where \mathcal{D} is the Dirac conjugation. In any unitary representation $\mathcal{D} = i\gamma^0$ up to a phase and $\mathcal{D}^a_b = \text{diag}[1, -1, -1]$. Using \mathcal{D} we define the conjugate spinor $i\tilde{\Psi} = \Psi^\dagger \mathcal{D}$. We also note that $-(\gamma^a)^* = \mathcal{B}^a_b \gamma^b = \mathcal{B} \gamma^a \mathcal{B}^{-1}$ with $\mathcal{B} = \mathcal{D} \mathcal{C}$, which will also show up in our discussion of time reversal. In our representation, $\mathcal{B} = \sigma^x$ and $\mathcal{B}^a_b = \text{diag}[-1, 1, -1]$.

A spinor Ψ is called a Majorana spinor if it satisfies the reality condition $i\tilde{\Psi} = \Psi^T \mathcal{C}$, which can also be written as $\Psi^\dagger \mathcal{B} = \Psi^T$. In our representation this condition reads

$\Psi^\dagger = \Psi^T \sigma^x$, which is the reality condition satisfied by the Nambu spinor $\Psi = (\psi, \psi^\dagger)^T$. We see that the Nambu spinor is a Majorana spinor. The reality condition can also be written as $\Psi = P \Psi$ where $P = \sigma^x K$ and K is the complex conjugation. P is usually referred to as a particle-hole symmetry [12], and it is anti-unitary and $P^2 = 1$. Eventually, the particle-hole symmetry of the p -wave SC maps to the charge conjugation symmetry of the relativistic Majorana fermion, with the differences between the two being a matter of convention.

For any Hamiltonian $H = \frac{1}{2} \int d^2x \Psi^\dagger(x) H_{\text{BdG}} \Psi(x)$, the BdG Hamiltonian H_{BdG} can be assumed to satisfy a reality condition, $\{H_{\text{BdG}}, P\} = 0$. An example is given by (4.4). To make a similar statement for actions, where ψ, ψ^\dagger are Grassmann valued, we need to clarify how the conjugation K acts on the Grassmann algebra generated by ψ, ψ^\dagger . This is defined by $K\psi = \psi^\dagger$, $K\psi^\dagger = \psi$, anti-linearity, and a reversal of the ordering of Grassmann numbers. For example, $K(\psi\psi^\dagger) = K\psi^\dagger K\psi = \psi\psi^\dagger$, $K(i\psi) = -i\psi^\dagger$. It is under this complex conjugation that a fermionic action, such as (4.3), is ‘‘real’’, $K(S_{\text{SF}}[\psi, \psi^\dagger, \Delta, A]) = S_{\text{SF}}[\psi, \psi^\dagger, \Delta, A]$, and it is due to this reality of S_{SF} that we expect to obtain a real effective action after integrating out the fermions [108]. Then, for any action $S = \frac{1}{2} \int d^{2+1}x \Psi^\dagger(x) S_{\text{BdG}} \Psi(x)$, the operator S_{BdG} can then be assumed to satisfy $\{S_{\text{BdG}}, P\} = 0$, and an example is given by the Dirac operator in (A8).

When working with Majorana fermions it is useful to use gamma matrices γ^a that form a *Majorana representation* [71], which means that γ^a are all imaginary. In a Majorana representation $\Psi^\dagger \mathcal{B} = \Psi^T$ simplifies to $\Psi^\dagger = \Psi^T$, so a Majorana spinor in a Majorana representation has real components. To obtain a Majorana representation from our representation we change basis in the space of spinors using the unitary matrix $U = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -i & i \end{pmatrix}$. Then $\gamma^a \mapsto \tilde{\gamma}^a = U \gamma^a U^\dagger$ and $\Psi \mapsto \tilde{\Psi} = U \Psi$. Explicitly, $\tilde{\gamma}^0 = -\sigma^y$, $\tilde{\gamma}^1 = -i\sigma^z$, $\tilde{\gamma}^2 = -i\sigma^x$, and the Nambu spinor $\Psi = (\psi, \psi^\dagger)^T$ maps to $\tilde{\Psi} = \begin{pmatrix} \tilde{\Psi}_1 \\ \tilde{\Psi}_2 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \psi + \psi^\dagger \\ \frac{1}{i}(\psi - \psi^\dagger) \end{pmatrix}$, where $\tilde{\Psi}_1, \tilde{\Psi}_2$ are both real as Grassmann valued fields. As operators $\tilde{\Psi}_1, \tilde{\Psi}_2$ are hermitian and $\{\tilde{\Psi}_i, \tilde{\Psi}_j\} = \delta_{ij}$, so they are *Majorana operators* in the sense of [10]. In the Majorana representation H_{BdG} is imaginary and antisymmetric, and so is S_{BdG} .

2. Spatial reflection and time reversal in the p -wave superfluid

In section VI A we discussed the sense in which energy, momentum, and angular momentum are conserved in a p -wave SF, which followed from the symmetry of the p -wave SF action under space-time translations and spatial rotations. There are also discrete (or large) space-time transformations which are of interest. Spatial reflections reverse the orientation of space, and are generated by a single arbitrary reflection, which we take to be $R : y \mapsto -y$, followed by the spatial rotations and translations described previously. R acts naturally on the fields ψ, Δ, A :

$$\begin{aligned} \psi(y) &\mapsto \psi(-y), \\ (\Delta^x, \Delta^y)(y) &\mapsto (\Delta^x, -\Delta^y)(-y), \\ (A_t, A_x, A_y)(y) &\mapsto (A_t, A_x, -A_y)(-y), \end{aligned} \quad (\text{E1})$$

where we suppressed the dependence on the coordinated t, x which do not transform. One can verify that R is a symmetry of the p -wave SF action (4.3). The best way to understand these transformations is to identify the fields as space-time tensors: ψ is a scalar, $\Delta^j \partial_j$ is a vector field, and $A_\mu dx^\mu$ is a differential 1-form. The above transformation laws are then a special case of how space-time transformations act on space-time tensors, by the pullback/push forward.

Time reversal transformations reverse the orientation of time, and are generated by a single arbitrary time reversal, which we take to be $T : t \mapsto -t$, followed by the time translations described previously. The action of T on the fields includes the transformation laws analogous to (E1), but additionally involves a complex conjugation, as follows from the Schrodinger equation in the Fock space $i\partial_t |\Omega(t)\rangle = H(t; A, \Delta) |\Omega(t)\rangle$. In our case $H(t; A, \Delta)$ is the p -wave SF Hamiltonian (4.2), in a notation that stresses the time dependence through the background fields. On the Fock space the complex conjugation is the usual complex conjugation of coefficients in the position basis, defined by $K\psi(x, y)K^{-1} = \psi(x, y)$, $K\psi^\dagger(x, y)K^{-1} = \psi^\dagger(x, y)$, $K|0\rangle = |0\rangle$ and anti-linearity. Acting with it on the p -wave SF Hamiltonian (4.2) we find that the action of T on the background fields Δ, A is

$$\begin{aligned} (\Delta^x, \Delta^y)(t) &\mapsto \Delta^T(t) = (\Delta^x, \Delta^y)^*(-t), \\ (A_t, A_x, A_y)(t) &\mapsto A^T(t) = -(-A_t, A_x, A_y)(-t), \end{aligned} \quad (\text{E2})$$

where we suppressed the dependence on the coordinates x, y which do not transform. If $|\Omega(t)\rangle$ satisfies the Schrodinger equation with Hamiltonian $H(t; A, \Delta)$ and initial condition $|\Omega\rangle$ then $K|\Omega(-t)\rangle$ satisfies the Schrodinger equation with time reversed Hamiltonian $KH(-t; A, \Delta)K^{-1} = H(t; A^T, \Delta^T)$ and time reversed initial state $K|\Omega\rangle$. As a result one obtains the following relation between expectation values of operators,

$$\langle \Omega | O_{A, \Delta}(-t) | \Omega \rangle = \langle K\Omega | (KOK)_{A^T, \Delta^T}(t) | K\Omega \rangle. \quad (\text{E3})$$

Here O is a Schrodinger operator considered as an operator at time $t = 0$, and $O_{A, \Delta}(t)$ is its time evolution using $H(t; A, \Delta)$. $|K\Omega\rangle = K|\Omega\rangle$ is the time reversed state, and KOK is the time reversed Schrodinger operator.

To describe how time reversal acts on the action, we need to use the complex conjugation K on the Grassmann algebra, described in E1. We then define the action of time reversal on the Grassmann fields ψ, ψ^\dagger as the analog of (E1), but with an additional conjugation by K ,

$$\begin{aligned} \psi(t, x, y) &\mapsto \psi^T(t, x, y) = \psi^\dagger(-t, x, y), \\ \psi^\dagger(t, x, y) &\mapsto (\psi^\dagger)^T(t, x, y) = \psi(-t, x, y). \end{aligned} \quad (\text{E4})$$

Using the transformations (E2), (E4) and the ‘‘reality’’ of the action (4.3) one finds

$$\begin{aligned} S_{\text{SF}}[\psi^T, (\psi^\dagger)^T, \Delta^T, A^T] &= -K(S_{\text{SF}}[\psi, \psi^\dagger, \Delta, A]) \\ &= -S_{\text{SF}}[\psi, \psi^\dagger, \Delta, A], \end{aligned} \quad (\text{E5})$$

so that up to a sign, time reversal is a symmetry of the action. It was shown in [108] that, at least formally, this sign does not effect the value of the fermionic functional integral, and can therefore be ignored. Then time reversal symmetry defined by (E2), (E4) can be regraded as a symmetry of the action in the usual sense, and one can use this fact to derive (E3) using functional integrals.

3. Spatial reflection and time reversal in the geometric description

In this section we map and slightly generalize R, T , as defined in appendix E2, to the geometric description of the p -wave SC in terms of a Majorana spinor in RC space, given in section V. We will see that there is a difference between the standard notion of R, T for a spinor in $2+1$ dimensions [27] and the notion of R, T for the p -wave SC, described in appendix E2. The reason is that our mapping of the p -wave SC to a Majorana spinor maps charge to spin, and charge is R, T -even, while spin is R, T -odd. This is a general property of the BdG formalism. The main point is that the physical R, T , coming from the p -wave SC, leave the mass m invariant and flip the orientation o , as opposed to the standard R, T for a spinor in $2+1$ dimensions, which map $m \mapsto -m$ and leave o invariant. Thus, the contribution $\frac{1}{2}o \cdot \text{sgn}(m)$ of a single Majorana spinor to the Chern number is R, T -odd under both notions of R, T , but for different reasons.

First, by spatial reflection we mean an element of the Diffeomorphism group that reverses the orientation of space but not of time, and not to an internal Lorentz transformation. Since the composition of any spatial reflection with Diff_0 is again a spatial reflection, it suffices to consider a single spatial reflection R . Since spatial reflections are just diffeomorphisms, their action on the fields is already defined by (6.26), which is just the pull-back

$$\chi \mapsto R^*\chi, \quad e^a \mapsto R^*e^a, \quad \omega \mapsto R^*\omega, \quad (\text{E6})$$

and is a symmetry of the action S_{RC} . If space-time is $\mathbb{R}_t \times \mathbb{R}^2$ it suffices to consider $R: y \mapsto -y$, as was done in appendix E2. Then (E6) takes the explicit form

$$\begin{aligned} \chi(y) &\mapsto \chi(-y), \\ (e_t^a, e_x^a, e_y^a)(y) &\mapsto (e_t^a, e_x^a, -e_y^a)(-y), \\ (\omega_t, \omega_x, \omega_y)(y) &\mapsto (\omega_t, \omega_x, -\omega_y)(-y), \end{aligned} \quad (\text{E7})$$

which maps to the transformation laws (E1) of the p -wave SF. The orientation of space-time $o = \text{sgn}(\det e)$ is odd under spatial reflections, like the orientation of space. Note that even the flat vielbein $e_\mu^a = \delta_\mu^a$ transforms under R , which corresponds to the mapping of a $p_x + ip_y$ order parameter to a $p_x - ip_y$ order parameter by R .

A time reversal is any diffeomorphism that reverses the orientation of time but not of space. It suffices to consider a single representative, and since we work with space-times of the form $\mathbb{R}_t \times M_2$ we may take $\tau: t \mapsto -t$. Apart from the pullback by τ analogous to (E7), T also includes additional “external” transformations of the fields, which all trace back to the complex conjugation included in the time reversal operation in quantum mechanics, as in appendix E2. As reviewed in appendix E1, a complex conjugation of the gamma matrices is implemented by $-(\gamma^a)^* = \mathcal{B}\gamma^a\mathcal{B}^{-1} = \mathcal{B}_b^a\gamma^b$ where $\mathcal{B} = \sigma^x$, $\mathcal{B}_b^a = \text{diag}[-1, 1, -1]$ in our representation. We then define the action of T on the fields by

$$\chi \mapsto K(\tau^*\chi), \quad e^a \mapsto \mathcal{B}_b^a \tau^* e^b, \quad \omega \mapsto \mathcal{B}(\tau^*\omega)\mathcal{B}^{-1}, \quad (\text{E8})$$

where τ^* is the pullback by τ , and K is the complex conjugation of the Grassmann algebra defined in appendix E1. One can check that this is a symmetry of the action S_{RC} up to an irrelevant sign already explained in appendix E2, and that this action of T reduces to the transformation laws (E2) and (E4) of the p -wave SF fields.

The standard time reversal for spinors in 2+1 dimensions is given by $T_s = i\sigma^y K$, where the phase i is a matter of convention. It is anti-unitary and $T_s^2 = -1$. This is related to T through the charge conjugation matrix defined in E1,

$$T_s = i\mathcal{C}T \text{ or } T = -i\mathcal{C}T_s. \quad (\text{E9})$$

This relates the time reversal T that is natural in this paper, to the standard time reversal T_s and standard charge conjugation \mathcal{C} .

Appendix F: Global structures and obstructions

We already described the emergent geometry in a p -wave SC locally in section V. Here we complete the description by considering global aspects. We use some elements from the theory of fiber bundles and characteristic classes, which are reviewed in [79, 109] for example.

We work with space-time manifolds of the form $M_3 = \mathbb{R}_t \times M_2$, which represent the world volume of the p -wave SF. M_2 is the sample, the two dimensional spatial

surface occupied by the p -wave SF, and \mathbb{R}_t is the real line parameterizing time. Because the order parameter is locally a vector Δ^j with $U(1)$ charge 2, at any time $t \in \mathbb{R}_t$ it is globally a map between vector bundles $\Delta: T^*M_2 \rightarrow E^2$, that acts by $v_j \mapsto \Delta^j v_j$ ²⁷. Here T^*M_2 is the co-tangent bundle of the sample M_2 and E^2 is the square of the electromagnetic $U(1)$ vector bundle. E has fibers \mathbb{C} and $U(1)$ -valued transition functions, and its topology is labeled by the monopole number (first Chern number) $\Phi = \frac{1}{2\pi} \int_{M_2} F \in \mathbb{Z}$ if M_2 has no boundary, and it is otherwise trivial. E^2 is obtained from E by replacing every transition function by its square, and therefore the topology of E^2 is labeled by $2\Phi \in 2\mathbb{Z}$. If M_2 has no boundary, the topology of the tangent bundle TM_2 (and that of T^*M_2) is labeled by the Euler characteristic $\chi = 2(1-g) \in 2\mathbb{Z}$ where g is the genus of M_2 .

As a map $\Delta: T^*M_2 \rightarrow E^2$, if Δ is non singular in the sense of section IIIB ($\det e \neq 0$), it defines three geometric structures on M_2 : a metric, which is g^{ij} , an orientation, $o = \text{sgn}(\det e)$, and a spin structure, which follows from the fact that Δ has charge 2.

To see this, we can think of E^2 as an $SO(2)$ vector bundle, with fibers \mathbb{R}^2 and $SO(2)$ valued transition functions. The map Δ then gives a reduction of the structure group of T^*M_2 from $GL(2)$ to $SO(2)$, thus defining a metric and an orientation. Since the transition functions of E^2 are obtained by squaring the transition functions of E , it is natural to think of E as a $Spin(2)$ vector bundle²⁸. E^2 therefore naturally carries a spin structure [79], and the mapping $\Delta: T^*M_2 \rightarrow E^2$ then endows M_2 with a spin structure.

The different possible spin structures correspond to an assignment of signs ± 1 to non contractible loops in M_2 , or more precisely to elements of $H^1(M_2, \mathbb{Z}_2)$. Generally, this identification of spin structures with $H^1(M_2, \mathbb{Z}_2)$ is not canonical, which means that there is no natural way to declare one of the spin structures as “trivial”.

In the simple case where TM_2 is trivial as in the case of the torus $M_2 = \mathbb{R}^2/\mathbb{Z}^2$, spin structures correspond canonically to elements of $H^1(M_2, \mathbb{Z}_2)$, which in turn correspond to a choice of periodic or anti-periodic boundary conditions for spinors around the non contractible loops. The boundary condition for the BdG spinor $\Psi = (\psi, \psi^\dagger)^T$ follows from that of the microscopic spin-less fermion ψ , for which it is natural to take fully periodic boundary conditions, which is the “trivial” spin structure. Other boundary conditions have been discussed in [4, 110].

A non singular Δ is not always possible. First, it requires that M_2 be orientable. If M_2 is not orientable Δ would have singularities $\text{sing}(\Delta)$ such that $M_2 - \text{sing}(\Delta)$ is orientable. p -wave SF on non orientable surfaces

²⁷ Equivalently, Δ is globally a section of $TM_2 \otimes E^2$.

²⁸ Both $Spin(2)$ and $SO(2)$ are isomorphic as Lie groups to $U(1)$, but are related by the double cover $Spin(2) \ni e^{i\alpha} \mapsto e^{2i\alpha} \in SO(2)$.

were considered in [85]. The other obstruction is a mismatch in the topology of E^2 and TM_2 , and is given by $2\Phi + o\chi$, or $\Phi - (g-1)o$ [4]. If the topological invariant $2\Phi + o\chi$ does not vanish then Δ must have singularities. A simple way to obtain this condition is to assume $\tilde{\omega}_{12\mu} = \omega_{12\mu} = -2A_\mu$, which implies $\frac{1}{2}o\sqrt{g}\tilde{\mathcal{R}}d^2x = \tilde{R}_{12} = d\tilde{\omega}_{12} = -2dA = -2F$, and use the Gauss-Bonnet formula $\chi = 2(1-g) = \frac{1}{4\pi} \int_{M_2} \tilde{\mathcal{R}}\sqrt{g}d^2x$ for the Euler characteristic. The simplest example is $M_2 = S^2$ the sphere, where there must be a monopole $\Phi = o = \pm 1$ for a non singular order parameter with orientation o . Possible singularities of the order parameter on the sphere without a monopole have been studied in [87]. There are no obstructions to the existence of a metric and (in the two dimensional case) of a spin structure.

A simple way to handle singularities of Δ is to exclude them by working with $M_2 - \text{sing}(\Delta)$ instead of M_2 . Then Δ defines on $M_2 - \text{sing}(\Delta)$ and orientation, metric, and spin structure.

The emergent geometry of space-time follows from that of space due to the simple product structure $M_3 = \mathbb{R}_t \times M_2$. Thus the order parameter corresponds to the (inverse) space-time vielbein (5.2), which is globally a map $T^*M_3 \rightarrow E^2$, $v_\mu \mapsto e_a^\mu v_\mu$ where E^2 is now viewed as an $SO(1,2)$ vector bundle. In other words, e is globally a Solder form.

Appendix G: Quantization of coefficients for a sum of gravitational Chern-Simons terms

As stated in section VII C 1, gauge invariance of

$$K = \alpha_1 \int_{M_3} Q_3(\tilde{\omega}_{(1)}) + \alpha_2 \int_{M_3} Q_3(\tilde{\omega}_{(2)}) \quad (\text{G1})$$

for all closed M_3 implies $\alpha_1 + \alpha_2 \in \frac{1}{192\pi}\mathbb{Z}$. Here we sketch the derivation, following [88] (section 2.1 and the discussion leading to equation (2.27)). First, only the gauge invariance of e^{iK} is required, because K is a contribution to the effective action, obtained by taking the logarithm of the fermionic path integral, which is a gauge invariant object. Second, the gCS term on a general M_3 is only locally given by $\alpha \int Q_3(\tilde{\omega})$, not globally. It is convenient to globally define gCS on a given M_3 as $\alpha \int_{M_4} \text{tr}(\tilde{R}^2)$, where M_4 is some four manifold with M_3 as a boundary, $\partial M_4 = M_3$. This is based on the fact that locally on M_4 we have $dQ_3(\tilde{\omega}) = \text{tr}(\tilde{R}^2)$. With this definition, we have

$$e^{iK_{M_4}} = e^{i[\alpha_1 \int_{M_4} \text{tr}(\tilde{R}_{(1)}^2) + \alpha_2 \int_{M_4} \text{tr}(\tilde{R}_{(2)}^2)]}, \quad (\text{G2})$$

which is clearly gauge invariant, but we must ensure that it is also independent of the arbitrary choice of M_4 . In fact, changing M_4 corresponds precisely to performing a large gauge transformation on M_3 , see [89] for a more direct approach. For $M_4 \neq M'_4$ such that $\partial M_4 = M_3 =$

$\partial M'_4$, we have

$$e^{iK_{M_4}} / e^{iK_{M'_4}} = e^{i[\alpha_1 \int_{X_4} \text{tr}(\tilde{R}_{(1)}^2) + \alpha_2 \int_{X_4} \text{tr}(\tilde{R}_{(2)}^2)]}, \quad (\text{G3})$$

where X_4 is a closed manifold obtained by gluing M_4, M'_4 along their shared boundary, after reversing the orientation on M'_4 . Since we start with a spin manifold M_3 , we assume that M_4, M'_4 are also spin manifolds, and therefore so is X_4 . On the closed spin manifold X_4 , the Atiah-Singer index theorem implies

$$\int_{X_4} \text{tr}(\tilde{R}_{(1)}^2) = \int_{X_4} \text{tr}(\tilde{R}_{(2)}^2) \in 2\pi \times 192\pi\mathbb{Z}. \quad (\text{G4})$$

In particular, one can choose M'_4 such that the integer on the right hand side is 1, in which case

$$e^{iK_{M_4}} / e^{iK_{M'_4}} = e^{2\pi i(\alpha_1 + \alpha_2)192\pi}. \quad (\text{G5})$$

An M_4 -independent $e^{iK_{M_4}} = e^{iK}$ then requires $\alpha_1 + \alpha_2 \in \frac{1}{192\pi}\mathbb{Z}$.

Appendix H: Calculation of gravitational pseudo Chern-Simons currents

Here we derive the contributions (7.35) to the bulk currents, which come from the gpCS term $-\beta_1 \int_{M_3} \tilde{\mathcal{R}}e^a D e_a$ in the effective action. We write

$$\delta \int_{M_3} \tilde{\mathcal{R}}e^a D e_a = \int_{M_3} (e^a D e_a) \delta \tilde{\mathcal{R}} + \int_{M_3} \tilde{\mathcal{R}} \delta (e^a D e_a). \quad (\text{H1})$$

It's convenient to calculate the first contribution in terms of scalars using $e^a D e_a = -oc|e|d^3x$. We need the formula $\delta \tilde{\mathcal{R}} = -\delta g_{\mu\nu} \tilde{\mathcal{R}}^{\mu\nu} + (\nabla_\mu \nabla_\nu - g_{\mu\nu} \nabla^2) \delta g_{\mu\nu}$ relating the curvature variation to the metric variation, and $\delta g_{\mu\nu} = 2e_{a(\nu} \delta e_{\mu)}^a$ relating the metric variation to the vielbein variation. We find

$$\begin{aligned} & \int_{M_3} (e^a D e_a) \delta \tilde{\mathcal{R}} \\ &= -2o \int_{M_3} |e| \left[(\tilde{\nabla}^\mu \tilde{\nabla}^\nu - g^{\mu\nu} \tilde{\nabla}^2) c - \tilde{\mathcal{R}}^{\mu\nu} c \right] e_{a\nu} \delta e_\mu^a. \end{aligned} \quad (\text{H2})$$

The second contribution in (H1) is simpler to calculate in terms of differential forms [78],

$$\begin{aligned} & \delta \int \tilde{\mathcal{R}} e_a D e^a \\ &= \int_M \tilde{\mathcal{R}} (\delta e^a T_a + e^a d\delta e_a + e^a \delta \omega_{ab} e^b + e^a \omega_{ab} \delta e^b) \\ &= \int_M \left(\tilde{\mathcal{R}} 2\delta e_a T^a - \tilde{\mathcal{R}} \delta \omega_{ab} e^a e^b - \delta e_a e^a d\tilde{\mathcal{R}} \right) \\ &+ \int_{\partial M} \delta e_a \tilde{\mathcal{R}} e^a, \end{aligned} \quad (\text{H3})$$

which implies

$$\begin{aligned} *J^a &= -\beta_1 \left(2\tilde{\mathcal{R}}T^a - e^a d\tilde{\mathcal{R}} \right), \quad *J^{ab} = -\beta_1 \left(-\tilde{\mathcal{R}}e^a e^b \right), \\ *j^a &= -\beta_1 \tilde{\mathcal{R}}e^a, \quad *j^{ab} = 0. \end{aligned} \quad (\text{H4})$$

Here we kept track of boundary terms and calculated the contributions to boundary currents $j^a = j_\mu^a dx^\mu$, $j^{ab} = j_\mu^{ab} dx^\mu$, which are relevant for our discussion in section VIID 2. Collecting all of the bulk contributions one finds (7.35).

In section VIID 2 we wrote down (7.35) for a product geometry with respect to the coordinate z , and assumed torsion vanishes. Here we generalize to non-zero torsion. With non zero torsion, (7.37) generalizes to

$$\begin{aligned} \langle J^{\alpha z} \rangle_{\text{gpCS}} &= -\beta_1 \frac{1}{|e|} \varepsilon^{z\alpha\beta} \partial_\beta \tilde{\mathcal{R}}, \\ \langle J^{z\alpha} \rangle_{\text{gpCS}} &= \beta_1 \left[\frac{1}{|e|} \varepsilon^{z\alpha\beta} \partial_\beta \tilde{\mathcal{R}} + \frac{1}{|e|} \varepsilon^{z\beta\gamma} C_{\beta\gamma}^\alpha \tilde{\mathcal{R}} \right]. \end{aligned} \quad (\text{H5})$$

For $z = t$, which describes a time independent situation, we find

$$\begin{aligned} \langle J_E^i \rangle_{\text{gpCS}} &= \beta_1 \varepsilon^{ij} \partial_j \tilde{\mathcal{R}}, \\ \langle P_i \rangle_{\text{gpCS}} &= -\beta_1 \left[g_{ik} \varepsilon^{kj} \partial_j \tilde{\mathcal{R}} + 2o |e| \tilde{\mathcal{R}} C_{12i} \right], \end{aligned} \quad (\text{H6})$$

which generalizes (7.38). Explicit expressions for the torsion C_{12i} are given in appendix D. Equation (H6) is compatible with the operator equation (6.19), and the density response (7.36).

In the case $z = y$, the inflow (7.40) generalizes to

$$\begin{aligned} \langle t_{\text{cov } \alpha}^y \rangle_{\text{gpCS}} &= -|e| \langle J_\alpha^y \rangle_{\text{gpCS}} \\ &= -\beta_1 \left[g_{\alpha\beta} \varepsilon^{\beta\gamma y} \partial_\gamma \tilde{\mathcal{R}} + 2o |e| C_{01\alpha} \tilde{\mathcal{R}} \right]. \end{aligned} \quad (\text{H7})$$

For the order parameter $\Delta = \Delta_0 e^{i\theta(t,x)} (1 + f(t,x), \pm i)$ that we consider in this case, we find using appendix D that $C_{01t} = 0$, $C_{01x} = e_1^i \frac{1}{2} \partial_t g_{ij}$. The boundary current (7.41) is unchanged, but the bulk+boundary conservation equation (7.42) is generalized to

$$\tilde{\nabla}_\alpha \langle j_\beta^\alpha \rangle_{\text{gpCS}} - C_{ab\beta} \langle j^{[ab]} \rangle_{\text{gpCS}} = \langle J^{y\beta} \rangle_{\text{gpCS}}, \quad (\text{H8})$$

so that bulk+boundary conservation still holds for the current from gpCS, in the presence of torsion.

Appendix I: Perturbative calculation of the effective action

Here we present a perturbative calculation of the effective action for the RC background fields e, ω induced by a Majorana spinor in 2+1 dimensions. A perturbative calculation requires three types of input: free propagators, interaction vertices, and a renormalization scheme to handle UV divergences. In our case the propagator

and vertices are standard in the context of the coupling of relativistic fermions to gravity, but the renormalization scheme will not be standard in this context.

The standard renormalization schemes used in the literature are aimed at preserving Lorentz symmetry, obtaining properly quantized coefficients for CS terms, and obtaining finite results that do not depend on a regulator [78, 82]. This is usually done as follows. First, one introduces a Lorentz invariant regulator, such as a frequency and wave-vector cutoff Λ_{rel} , then one introduces Pauli-Villars regulators, and tunes their masses such that the limit $\Lambda_{\text{rel}} \rightarrow \infty$ produces finite results and properly quantized CS coefficients.

In contrast, we take the lattice model (3.1) as a microscopic description of the p -wave SC, and the relativistic continuum limit as an approximation of it. As we obtained naturally in sections III A and IV A, this means that there are four Majorana spinors, with different orientations and masses, and a wave-vector cutoff $\Lambda_{UV} \sim 1/a$, but no frequency cutoff, as dictated by the lattice model. Note that these multiple Majorana spinors are not Pauli-Villars regulators, simply because they are all fermions. None of them has the ‘‘wrong statistics’’. The cutoff Λ_{UV} is a physical parameter of the model and we do not wish to take it to infinity. Thus wave-vector integrals cannot diverge. In contrast, since time is continuous, there is no physical frequency cutoff, and divergences in frequency integrals do appear. These divergences are unphysical, and can be viewed as a byproduct of the construction of the path integral by time discretization. These divergences need to be renormalized in the usual sense, and we do this by minimal subtraction.

To set up the perturbative calculation, we write the action S_{RC} in terms of the spinor densities $\Psi = |e|^{1/2} \chi$, and using the explicit form (A3),

$$\begin{aligned} S_{\text{RC}} &= \frac{1}{2} \int d^3x \bar{\Psi} \left[\frac{1}{2} i e_a^\mu \gamma^a \overleftrightarrow{\partial}_\mu - \frac{1}{4} \omega_{abc} \varepsilon^{abc} - m \right] \Psi \\ &= \frac{1}{2} \int d^3x \bar{\Psi} \left[i e_a^\mu \gamma^a \partial_\mu + \frac{i}{2} (\partial_\mu e_a^\mu) \gamma^a \right. \\ &\quad \left. - \frac{1}{4} \omega_{abc} \varepsilon^{abc} - m \right] \Psi. \end{aligned} \quad (\text{I1})$$

Assuming for now that the vielbein has a positive orientation, we insert $e_a^\mu = \delta_a^\mu + h_a^\mu$ with small h , and split the action into an inverse propagator G^{-1} and vertices V ,

$$\begin{aligned} S_{\text{RC}} &= \frac{1}{2} \int d^3x \Psi^\dagger \gamma^0 [G^{-1} + V] \Psi, \\ G^{-1} &= i \delta_a^\mu \gamma^a \partial_\mu - m, \quad V = V_1 + V_2, \\ V_1 &= i \gamma^a h_a^\mu \partial_\mu + \frac{i}{2} \gamma^a (\partial_\mu h_a^\mu), \quad V_2 = -\frac{1}{4} \omega_{abc} \varepsilon^{abc}. \end{aligned} \quad (\text{I2})$$

The vertex V_1 is first order in the perturbation h . The

vertex V_2 is given explicitly by

$$V_2 = -\frac{1}{4}\omega_{ab\mu}e_c^\mu\varepsilon^{abc} = -\frac{1}{4}\omega_{ab\mu}\delta_c^\mu\varepsilon^{abc} - \frac{1}{4}\omega_{ab\mu}h_c^\mu\varepsilon^{abc}, \quad (I3)$$

and therefore contains a term of order ω and a term quadratic in the perturbations, of order $h\omega$. Terms in vertices which are nonlinear in perturbations are sometimes called contact terms, and the above contribution to V_2 is the only contact term in our scheme. Note that there is no vertex related to the volume element $|e|$, because the fundamental fermionic degree of freedom is the spinor density Ψ , see appendix C. In expressions written in terms of h_a^μ we use $\eta_{\mu\nu}$ to raise and lower coordinate indices and δ_a^μ to map internal indices to coordinate indices, so in practice there is no difference between these indices in such expressions.

The perturbative expansion of the effective action is given by

$$\begin{aligned} 2W_{\text{RC}} &= -2i \log \text{Pf}(i\gamma^0(G^{-1} + V)) \\ &= -i \text{Tr}(\log i\gamma^0 G^{-1}) \\ &\quad - i \text{Tr}(GV) + \frac{i}{2} \text{Tr}(GV)^2 + O(V^3), \end{aligned} \quad (I4)$$

which, apart from the first term, is a sum over Feynman diagrams with a fermion loop and any number of vertices V . We will be interested in W_{RC} to second order in the perturbations h and ω and up to third order in derivatives. Terms of first order in h, ω correspond to properties of the unperturbed ground state, or vacuum, while terms of second order correspond to linear response coefficients. The first term is independent of h, ω and corresponds to the ground state energy of the unperturbed system. This information can also be obtained from the term linear in h , and we therefore ignore $\text{Tr} \log i\gamma^0 G^{-1}$ in the following. Expanding the vertices,

$$\begin{aligned} 2W_{\text{RC}} &= -i \text{Tr}(GV_1) - i \text{Tr}(GV_2) + \frac{i}{2} \text{Tr}(GV_1)^2 \\ &\quad + \frac{i}{2} \text{Tr}(GV_2)^2 + i \text{Tr}(GV_1GV_2) + O(V^3). \end{aligned} \quad (I5)$$

These functional traces can now be written as integrals over Fourier components and traces over spinor indices,

$$\begin{aligned} \text{Tr}(GV_1) &= -h_a^\mu(p=0) \int_q q_\mu \text{tr}(\gamma^a G_q), \\ \text{Tr}(GV_2) &= \omega(p=0) \int_q \text{tr}(G_q), \\ \text{Tr}(GV_1)^2 &= \int_p h_a^\mu(p) h_b^\nu(-p) \int_q \left(q + \frac{1}{2}p\right)_\mu \left(q + \frac{1}{2}p\right)_\nu \text{tr}(\gamma^a G_q \gamma^b G_{p+q}), \\ \text{Tr}(GV_2)^2 &= \int_p \omega(p) \omega(-p) \int_q \text{tr}(G_q G_{p+q}), \\ \text{Tr}(GV_1GV_2) &= - \int_p h_a^\mu(p) \omega(-p) \int_q \left(q + \frac{1}{2}p\right)_\mu \text{tr}(\gamma^a G_q G_{p+q}), \end{aligned} \quad (I6)$$

where $\omega = -\frac{1}{4}\omega_{ab\mu}e_c^\mu\varepsilon^{abc}$, and $\int_p = \int \frac{d^3p}{(2\pi)^3}$. Our conventions for the Fourier transform of a function f is $f(x) = \int_q e^{iq_\mu x^\mu} f(q)$. The Fourier transform of the Greens function is then $G_q = -\frac{1}{\not{q}+m} = -\frac{\not{q}-m}{q^2-m^2}$. The spinor traces are evaluated using the usual identities for gamma matrices in 2+1 dimensions,

$$\begin{aligned} \text{tr}(\gamma^a) &= 0, \quad \text{tr}(\gamma^a \gamma^b) = 2\eta^{ab}, \quad \text{tr}(\gamma^a \gamma^b \gamma^c) = \pm 2i\varepsilon^{abc}, \\ \text{tr}(\gamma^a \gamma^b \gamma^c \gamma^d) &= 2(\eta^{ab}\eta^{cd} - \eta^{ac}\eta^{bd} + \eta^{ad}\eta^{bc}). \end{aligned} \quad (I7)$$

The sign \pm distinguishes the two inequivalent representations of gamma matrices in 2+1 dimensions, and with our chosen representation, $\text{tr}(\gamma^a \gamma^b \gamma^c) = 2i\varepsilon^{abc}$. Using

these identities yields for the single vertex diagrams

$$\begin{aligned} \text{Tr}(GV_1) &= 2\eta^{ab}h_a^\mu(p=0) \int_q \frac{q_\mu q_b}{q^2 - m^2}, \\ \text{Tr}(GV_2) &= 2m\omega(p=0) \int_q \frac{1}{q^2 - m^2}. \end{aligned} \quad (I8)$$

The expressions for the diagrams with two vertices are more complicated, so let us start by analyzing the single vertex diagrams. This will suffice to demonstrate our renormalization scheme and compare it to direct calculations within the lattice model and to renormalizations which are more natural in the context of relativistic QFT.

1. Single vertex diagrams

From (I5) and (I8) it follows that

$$W_{\text{RC}} = \Lambda_\mu^a \int d^3x h_a^\mu + s \int d^3x \omega + O(V^2), \quad (\text{I9})$$

where

$$\Lambda_\mu^a = -i\eta^{ab} \int \frac{d^3q}{(2\pi)^3} \frac{q_\mu q_b}{q^2 - m^2}, \quad s = -i \int \frac{d^3q}{(2\pi)^3} \frac{m}{q^2 - m^2}, \quad (\text{I10})$$

can now be recognized as the energy-momentum tensor and spin density of the unperturbed ground state,

$$\begin{aligned} \langle J_\mu^a \rangle &= -\Lambda_\mu^a, & (\text{I11}) \\ \langle J^{ab\mu} \rangle &= -\frac{1}{4} \frac{1}{2} \langle \bar{\chi}\chi \rangle \delta_c^\mu \varepsilon^{abc} = -\frac{1}{4} s \delta_c^\mu \varepsilon^{abc}. \end{aligned}$$

Performing a Wick rotation $q_0 \mapsto iq_0$,

$$\Lambda_\mu^a = \delta^{ab} \int \frac{d^3q}{(2\pi)^3} \frac{q_\mu q_b}{|q|^2 + m^2}, \quad s = - \int \frac{d^3q}{(2\pi)^3} \frac{m}{|q|^2 + m^2}, \quad (\text{I12})$$

where $|\cdot|$ is the euclidian norm. We start by calculating s in our lattice motivated renormalization scheme. In this scheme the integral reads

$$s = - \int_{|q| < \Lambda_{UV}} \frac{d^2\mathbf{q}}{(2\pi)^2} \int_{-\infty}^{\infty} \frac{dq_0}{2\pi} \frac{m}{q_0^2 + |\mathbf{q}|^2 + m^2}, \quad (\text{I13})$$

where Λ_{UV} is a physical cutoff related to the lattice spacing by $\Lambda_{UV} \sim a^{-1}$. The q_0 integral converges, and does not require renormalization. It yields the result within the lattice motivated scheme,

$$s = -\frac{1}{2} \int_{|q| < \Lambda_{UV}} \frac{d^2\mathbf{q}}{(2\pi)^2} \frac{m}{\sqrt{|\mathbf{q}|^2 + m^2}}, \quad (\text{I14})$$

and adding the operator ordering correction gives the ground state charge density

$$\rho = \langle J^t \rangle = -\frac{1}{2} \int_{|q| < \Lambda_{UV}} \frac{d^2\mathbf{q}}{(2\pi)^2} \left(1 - \frac{m}{\sqrt{|\mathbf{q}|^2 + m^2}} \right). \quad (\text{I15})$$

After summing over low energy Majorana spinors and restoring units, this coincides with the relativistic limit of the exact ground state charge density of the lattice model [4],

$$\rho = -\frac{1}{2} \int_{BZ} \frac{d^2\mathbf{q}}{(2\pi)^2} \left(1 - \frac{h_{\mathbf{q}}}{\sqrt{|\Delta_{\mathbf{q}}|^2 + h_{\mathbf{q}}^2}} \right), \quad (\text{I16})$$

where $h_{\mathbf{q}}, \Delta_{\mathbf{q}}$ were defined in section III A.

For comparison we calculate the s integral in a standard renormalization scheme of relativistic QFT. In this approach the integral does not converge. We introduce a frequency and wave-vector cutoff Λ_{rel} , and restrict the integration to $|q| < \Lambda_{\text{rel}}$. This yields

$$\begin{aligned} s &= - \int_{|q| < \Lambda_{\text{rel}}} \frac{d^3q}{(2\pi)^3} \frac{m}{|q|^2 + m^2} & (\text{I17}) \\ &= -\frac{\Lambda_{\text{rel}} m}{2\pi^2} + \frac{m^2 \text{sgn} m}{4\pi} + O\left(\frac{m}{\Lambda_{\text{rel}}}\right). \end{aligned}$$

A simple way to proceed is to perform minimal subtraction, which means we remove the diverging piece, and take $\Lambda_{\text{rel}}/m \rightarrow \infty$. This gives the fully relativistic result

$$s = \frac{m^2 \text{sgn} m}{4\pi}. \quad (\text{I18})$$

Comparing with (7.5) we find $\zeta_H = s = \frac{m^2 \text{sgn} m}{4\pi}$ for a positive orientation which is essentially the torsional Hall viscosity of [78]²⁹. The relativistic result can also be obtained by expanding the lattice result (I14) in Λ_{UV} and keeping the $O(1)$ piece. This is a general feature, the $O(1)$ piece of any coefficient in the effective action is always relativistic.

Let us now turn to the calculation of the ground state energy-momentum tensor Λ_μ^a . With a relativistic regulator Λ_μ^a is $O(3)$ invariant and must therefore be proportional to the identity,

$$\Lambda_\mu^a = \delta^{ab} \int_{|q| < \Lambda_{\text{rel}}} \frac{d^3q}{(2\pi)^3} \frac{q_\mu q_b}{|q|^2 + m^2} = \delta_\mu^a \frac{\Lambda}{2\kappa_N}, \quad (\text{I19})$$

with the cosmological constant

$$\begin{aligned} \frac{\Lambda}{2\kappa_N} &= \frac{1}{3} \int_{|q| < \Lambda_{\text{rel}}} \frac{d^3q}{(2\pi)^3} \frac{|q|^2}{|q|^2 + m^2} & (\text{I20}) \\ &= \frac{1}{3} \left[\frac{\Lambda_{\text{rel}}^3}{6\pi^2} - \frac{\Lambda_{\text{rel}} m^2}{2\pi^2} + \frac{|m|^3}{4\pi} + O\left(\frac{m}{\Lambda_{\text{rel}}}\right) \right]. \end{aligned}$$

Keeping the $O(1)$ piece we find the relativistic expression

$$\Lambda_\mu^a = \delta_\mu^a \frac{\Lambda}{2\kappa_N} = \delta_\mu^a \frac{|m|^3}{6\pi}, \quad (\text{I21})$$

which again, is essentially the result of [78]. With the lattice motivated renormalization scheme,

$$\Lambda_\mu^a = \delta^{ab} \int_{|q| < \Lambda_{UV}} \frac{d^2\mathbf{q}}{(2\pi)^2} \int_{-\infty}^{\infty} \frac{dq_0}{2\pi} \frac{q_\mu q_b}{q_0^2 + |\mathbf{q}|^2 + m^2}. \quad (\text{I22})$$

²⁹ It is not exactly the same result because we did not use the same relativistic renormalization scheme.

Here the q_0 integral for Λ_t^0 does not converge, and needs to be regularized. We do this by introducing a frequency cutoff Λ_0 ,

$$\Lambda_\mu^a = \delta^{ab} \int_{|\mathbf{q}| < \Lambda_{UV}} \frac{d^2 \mathbf{q}}{(2\pi)^2} \int_{-\Lambda_0}^{\Lambda_0} \frac{dq_0}{2\pi} \frac{q_\mu q_b}{q_0^2 + |\mathbf{q}|^2 + m^2}. \quad (\text{I23})$$

Unlike Λ_{UV} which is a physical parameter of the model, Λ_0 is a fictitious cutoff which we take to infinity at the end of the calculation. The q_0 divergence can be interpreted as an artifact of time discretization [111]. At this point the domain of integration is not a ball in Euclidian Fourier space but a cylinder, so it is not invariant under $O(3)$, only under $O(2)$ ³⁰ and the reflection $q \mapsto -q$. This implies that the tensor Λ_μ^a takes the form

$$\begin{aligned} \Lambda_t^0 &= \int_{|\mathbf{q}| < \Lambda_{UV}} \frac{d^2 \mathbf{q}}{(2\pi)^2} \int_{-\Lambda_0}^{\Lambda_0} \frac{dq_0}{2\pi} \frac{q_0^2}{q_0^2 + |\mathbf{q}|^2 + m^2}, \quad (\text{I24}) \\ \Lambda_j^A &= \frac{1}{2} \delta_j^A \int_{|\mathbf{q}| < \Lambda_{UV}} \frac{d^2 \mathbf{q}}{(2\pi)^2} \int_{-\Lambda_0}^{\Lambda_0} \frac{dq_0}{2\pi} \frac{|\mathbf{q}|^2}{q_0^2 + |\mathbf{q}|^2 + m^2}, \end{aligned}$$

with all other components vanishing. The q_0 integral for the energy density Λ_t^0 gives

$$\Lambda_t^0 = \frac{1}{2} \int_{|\mathbf{q}| < \Lambda_{UV}} \frac{d^2 \mathbf{q}}{(2\pi)^2} \left[\frac{2\Lambda_0}{\pi} - \sqrt{|\mathbf{q}|^2 + m^2} + O\left(\frac{m}{\Lambda_0}\right) \right]. \quad (\text{I25})$$

Keeping the $O(1)$ piece we find, within the lattice motivated scheme,

$$\Lambda_t^0 = -\frac{1}{2} \int_{|\mathbf{q}| < \Lambda_{UV}} \frac{d^2 \mathbf{q}}{(2\pi)^2} \sqrt{|\mathbf{q}|^2 + m^2}, \quad (\text{I26})$$

which is the familiar expression for the ground state energy of a single Majorana fermion, which is half the energy of a filled Dirac sea. The q_0 integral for Λ_j^A converges

and gives the pressure

$$\Lambda_j^A = \frac{1}{2} \delta_j^A \frac{1}{2} \int_{|\mathbf{q}| < \Lambda_{UV}} \frac{d^2 \mathbf{q}}{(2\pi)^2} \frac{|\mathbf{q}|^2}{\sqrt{|\mathbf{q}|^2 + m^2}}. \quad (\text{I27})$$

We see that the ground state energy density and pressure are no longer equal. In other words the ground state energy-momentum tensor is not Lorentz invariant, due to the lattice renormalization scheme. It may be surprising that the expression (I26) for the energy density is part of an energy momentum tensor which is not Lorentz invariant. This has been discussed in the literature in the context of the cosmological constant problem [112–114].

Let us now compare the above with the lattice model. For the energy density we need to add the operator ordering correction,

$$\varepsilon = \langle t_{\text{cov}}^t \rangle = \frac{1}{2} \int_{|\mathbf{q}| < \Lambda_{UV}} \frac{d^2 \mathbf{q}}{(2\pi)^2} \left(m - \sqrt{|\mathbf{q}|^2 + m^2} \right). \quad (\text{I28})$$

Restoring units and summing over Dirac points, we recognize the above as the relativistic approximation of the ground state energy density of the lattice model [9],

$$\varepsilon = \frac{1}{2} \int_{BZ} \frac{d^2 \mathbf{q}}{(2\pi)^2} (h_{\mathbf{q}} - E_{\mathbf{q}}). \quad (\text{I29})$$

The above calculations of simple ground state properties serve as consistency checks. We have seen explicitly that these quantities are UV sensitive. With the lattice motivated renormalization scheme the effective action produces physical quantities that approximate those of the lattice model, which are distinct from those obtained with a relativistic scheme. In the following we will focus on UV insensitive terms. In doing so we will also ignore operator ordering corrections, because these always contain $\delta^2(0) \sim \int_{|\mathbf{q}| < \Lambda_{UV}} \frac{d^2 \mathbf{q}}{(2\pi)^2} \sim \Lambda_{UV}^2$ and are therefore UV sensitive.

2. Two vertex diagrams

Let us now turn to the calculation of the more interesting second order terms, which correspond to linear responses. After performing the traces over gamma matrices one finds

Brillouin zone BZ , see section IV A. The symmetry group of this domain is not $O(2)$ but the point group symmetry of the lattice $D_4 \subset O(2)$. This subtlety has no effect on the following.

³⁰ More accurately, the domain of integration for each lattice fermion is not the disk $\{|\mathbf{q}| < \Lambda_{UV}\}$ but the square $[-\Lambda_{UV}/2, \Lambda_{UV}/2]^2$ with $\Lambda_{UV} = \pi/a$, which is a quarter of the

$$\text{Tr}(GV_1)^2 = -2im\varepsilon^{abc} \int_p h_a^\mu(p) h_b^\nu(-p) p_c \int_q \frac{(q + \frac{1}{2}p)_\mu (q + \frac{1}{2}p)_\nu}{(q^2 - m^2) \left((p+q)^2 - m^2 \right)} \quad (\text{I30})$$

$$+ 2m^2 \eta^{ab} \int_p h_a^\mu(p) h_b^\nu(-p) \int_q \frac{(q + \frac{1}{2}p)_\mu (q + \frac{1}{2}p)_\nu}{(q^2 - m^2) \left((p+q)^2 - m^2 \right)}$$

$$+ 2(\eta^{ac}\eta^{bd} - \eta^{ab}\eta^{cd} + \eta^{ad}\eta^{cb}) \int_p h_a^\mu(p) h_b^\nu(-p) \int_q \frac{(q + \frac{1}{2}p)_\mu (q + \frac{1}{2}p)_\nu q_c (p+q)_d}{(q^2 - m^2) \left((p+q)^2 - m^2 \right)},$$

$$\text{Tr}(GV_2)^2 = \int_p \omega(p) \omega(-p) \int_q \frac{2\eta^{ab} q_a (p+q)_b + 2m^2}{(q^2 - m^2) \left((p+q)^2 - m^2 \right)}, \quad (\text{I31})$$

$$\text{Tr}(GV_1 GV_2) = -2i\varepsilon^{abc} \int_p h_a^\mu(p) \omega(-p) p_c \int_q \frac{(q + \frac{1}{2}p)_\mu q_b}{(q^2 - m^2) \left((p+q)^2 - m^2 \right)} \quad (\text{I32})$$

$$+ 2m\eta^{ab} \int_p h_a^\mu(p) \omega(-p) \int_q \frac{(q + \frac{1}{2}p)_\mu (2q+p)_b}{(q^2 - m^2) \left((p+q)^2 - m^2 \right)}.$$

One is then left with the calculation of the integrals over the loop momenta q in the above equations. The first step in doing so is Wick rotating to euclidian signature by changing $q_0 \mapsto iq_0$, $p_0 \mapsto ip_0$ in the q integrals.

At this point one can use Feynman parameters to sim-

plify the form of the integrands, but since we are only interested in the effective action to low orders in derivatives of the background fields, we find it simpler to expand the integrands in powers of p/m .

We start with the first integral in (I30), which contains the gCS term. Expanding the integrand in p/m we find

$$\frac{(q + \frac{1}{2}p)_\mu (q + \frac{1}{2}p)_\nu}{(q^2 + m^2) \left((p+q)^2 + m^2 \right)} = \frac{q_\mu q_\nu}{(m^2 + q^2)^2} + \left[\frac{p_{(\mu} q_{\nu)}}{(m^2 + q^2)^2} - 2 \frac{q_\mu q_\nu p \cdot q}{(m^2 + q^2)^3} \right] \quad (\text{I33})$$

$$+ \left[\frac{p_\mu p_\nu}{4(m^2 + q^2)^2} - \frac{p^2 q_\mu q_\nu}{(m^2 + q^2)^3} - \frac{2p_{(\mu} q_{\nu)} p \cdot q}{(m^2 + q^2)^3} + \frac{4q_\mu q_\nu (p \cdot q)^2}{(m^2 + q^2)^4} \right] + O(p^3)$$

where terms are grouped according to their order in p/m . The q integral over the $O(1)$ terms diverges, and therefore produces a UV sensitive term in the effective action. With a relativistic renormalization we find

$$2W_{\text{RC}} = \frac{m^2 \text{sgn}(m)}{4\pi} \int d^3x h_a^\mu \eta_{\mu\nu} \varepsilon^{abc} \partial_b h_c^\nu + \dots \quad (\text{I34})$$

Comparing with (7.5) and using $e_a D e^a = \varepsilon^{abc} h_{a\nu} \partial_b h_c^\nu d^3x + \dots$ we find again the torsional Hall viscosity $\zeta_H = \frac{m^2 \text{sgn}(m)}{4\pi}$, for positive orientation. With the lattice renormalization the $\eta_{\mu\nu}$ in the above is replaced by a non Lorentz invariant tensor, but in this work we are only interested in UV insensitive responses and we will not discuss it further.

The q integral over the $O(p/m)$ terms vanishes because it is odd under the reflection $q \mapsto -q$.

The $O(p^2/m^2)$ contributions are most interesting for us. The q integral over these converges, and therefore produces UV insensitive terms in the effective action. Instead of calculating the integral with the finite physical Λ_{UV} , we can calculate it with $\Lambda_{UV}/m = \infty$ at the expense of producing small $O(m/\Lambda_{UV})$ corrections. Then the calculation reduces to a standard calculation within relativistic QFT which has appeared a few times in the literature with slightly different conventions [115–118], and which is done below for completeness. See also [119] for a recent heat kernel calculation and review of the literature, and [120] for similar computations in 4+1 dimensions. With $\Lambda_{UV}/m = \infty$ the integral is Lorentz invariant and this implies the standard reductions to radial functions such as $q_\mu q_\nu \mapsto \frac{1}{3} \eta_{\mu\nu} q^2$. The $O(p^2/m^2)$

contributions in (I33) then reduce to

$$\begin{aligned} & \frac{1}{4} \frac{p_\mu p_\nu}{(m^2 + q^2)^2} - \frac{1}{3} \frac{p^2 \eta_{\mu\nu} q^2}{(m^2 + q^2)^3} - \frac{2}{3} \frac{p_\mu p_\nu q^2}{(m^2 + q^2)^3} \\ & + \frac{4}{15} \frac{p^2 \eta_{\mu\nu} + 2p_\mu p_\nu}{(m^2 + q^2)^4} q^4, \end{aligned} \quad (\text{I35})$$

and performing the q integral yields

$$\frac{1}{96\pi |m|} (p_\mu p_\nu - p^2 \eta_{\mu\nu}). \quad (\text{I36})$$

This corresponds to the following term in the effective action

$$\begin{aligned} 2W_{\text{RC}} &= \frac{\text{sgn}(m)}{2} \frac{1}{96\pi} 2 \int d^3x h_a^\mu \varepsilon^{abc} \partial_c (\partial_\mu \partial_\nu - \partial^2 \eta_{\mu\nu}) h_b^\nu \\ &+ \dots \end{aligned} \quad (\text{I37})$$

To identify this term it is easiest to fix a Lorentz gauge where $h_{[\mu\nu]} = 0$. In terms of the p -wave SC this corresponds to $U(1)$ gauge fixing the phase θ of the order parameter to 0, along with an additional boost which is only a symmetry in the relativistic limit. Then h corresponds also to the first order metric perturbation, $g_{\mu\nu} = \eta_{\mu\nu} - 2h_{(\mu\nu)} = \eta_{\mu\nu} - 2h_{\mu\nu}$, and the above corresponds to the expansion of the gCS term

$$2W_{\text{RC}} = \frac{\text{sgn}(m)}{2} \frac{1}{96\pi} \int Q_3(\tilde{\Gamma}) + \dots \quad (\text{I38})$$

to second order in h . In performing such expansions we found the Mathematica package xAct very useful [121, 122]. Equation (I38) corresponds to $\kappa_H = \frac{1}{48\pi} \frac{\text{sgn}(m)}{2}$. We note that within the perturbative calculation there is no difference between $\int Q_3(\tilde{\Gamma})$ and $\int Q_3(\tilde{\omega})$, see (7.8).

The above result is valid for a vielbein $e_a^\mu = \delta_a^\mu + h_a^\mu$ which has a positive orientation. A vielbein with a negative orientation can be written as $e_a^\mu = L_a^b (\delta_b^\mu + h_b^\mu)$ where L is a Lorentz transformation with $\det L = -1$. We can deal with such vielbeins by absorbing L into the gamma matrices, $\gamma^a \mapsto L_a^b \gamma^b$. The only effect that this change has on the traces (I7) is changing $\text{tr}(\gamma^a \gamma^b \gamma^c) = 2i\varepsilon^{abc}$ to $\text{tr}(\gamma^a \gamma^b \gamma^c) = -2i\varepsilon^{abc}$. The metric is independent of the orientation and so is $\tilde{\Gamma}$, so the result valid for both orientations is

$$2W_{\text{RC}} = \frac{\text{sgn}(m) o}{2} \frac{1}{96\pi} \int Q_3(\tilde{\Gamma}) + \dots \quad (\text{I39})$$

where $o = \text{sgn}(\det e)$ is the orientation. The second and third lines in (I30) correspond, with a relativistic regulator, to $O(h^2)$ contributions to the cosmological constant and E-H term which are UV sensitive.

One can compute the other traces in the same manner. The only additional UV insensitive contribution comes from the second integral in (I32). It is given by

$$\begin{aligned} 2W_{\text{RC}} &= \frac{\text{sgn}(m)}{2} \frac{1}{96\pi} \int d^3x 4\omega (\partial_\mu \partial_\nu - \partial^2 \eta_{\mu\nu}) 2h^{\mu\nu} \\ &+ \dots \end{aligned} \quad (\text{I40})$$

This corresponds to the expansion of the gpCS term to second order in the vertices,

$$2W_{\text{RC}} = \frac{\text{sgn}(m)}{2} \frac{1}{96\pi} \int d^3x |e| \tilde{\mathcal{R}}c + \dots \quad (\text{I41})$$

where we have used (7.7), the expansion of the curvature $\tilde{\mathcal{R}} = -2(\partial_a \partial_b - \partial^2 \eta_{ab}) h^{ab} + O(h^2)$, the definition $c = \varepsilon^{abc} (\omega_{abc} - \tilde{\omega}_{abc})$, and the expansion $\tilde{\omega}_{abc} \varepsilon^{abc} = -\varepsilon^{abc} \partial_a h_{bc} + O(h^2)$ of the LC spin connection. Note that in the Lorentz gauge $h_{[ab]} = 0$, $\tilde{\omega}_{abc} \varepsilon^{abc}$ vanishes to first order. This completes the calculation of the UV insensitive terms in the effective action which we have studied in this paper.

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