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# Giant reduction and tunability of the thermal conductivity of carbon nanotubes through low-frequency resonant modes

Ashutosh Giri and Patrick E. Hopkins Phys. Rev. B **98**, 045421 — Published 20 July 2018 DOI: 10.1103/PhysRevB.98.045421 Giant reduction and tunability of the thermal conductivity of carbon nanotubes through low frequency resonant modes

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Manipulating thermal transport by designing materials with control of their vibrational properties over the entire spectral range of frequencies would provide a unique path to create solids with designer thermal conductivity. Traditional routes of nanostructuring to reduce the vibrational thermal conductivity of solids only target narrow bands of vibrational energy spectrum, which is often based on the characteristics dimensions of the nanostructure. In this work, we demonstrate the ability to simultaneously impact the phonon transport of both high and low frequency modes by creating defects that act as both high frequency phonon scattering sites while coherently manipulating low frequency waves via resonance with the long wavelength phonons. We use atomistic simulations to identify fullerenes functionalized on the side walls of carbon nanotubes (CNT) as efficient phonon blocks realized through localized resonances that appear due to hybridization between the modes of the fullerene and the underlying CNT. We show that with a large surface coverage and high periodicity in the inclusion of the covalently bonded fullerenes, the thermal conductivity of individual CNTs can be lowered by more than an order of magnitude, thus providing a large tunability in the thermal transport across these novel materials. We prescribe the large reduction in thermal conductivity to a combination of resonant phonon localization effects leading to phonon band anticrossings and vibrational scattering effects due to the inclusion of the strongly bonded fullerene molecules.

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## I. INTRODUCTION

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The most often used course to reduce thermal transport 58 24 in semiconducting solids is by nanostructuring and exploit- 59 25 ing phonon scattering at interfaces and/or defect sites.<sup>1,2</sup> Gen-<sup>60</sup> 26 erally nanostructuring approaches lead to scattering of high 61 27 frequency phonons while low frequency phonons with long 62 28 mean-free-paths (that have been shown to contribute signif- 63 29 icantly to heat conduction<sup>3-5</sup>) are mostly left unimpeded.<sup>6,7</sup> <sub>64</sub> 30 While hierarchical approaches have been used to efficiently 65 31 scatter a larger spectral bandwidth of vibrational energy 66 32 carriers,<sup>6-8</sup> still the characteristic lengths of the hierarchi-67 33 cal nanostructures do not effectively suppress the long wave-68 34 length phonon transport to realize the full potential for <sup>69</sup> large reductions in thermal conductivity.<sup>6,7,9–11</sup> In this con-<sup>70</sup> 35 36 text, phononic metamaterials based on silicon have been pro-71 37 posed to effectively scatter low-frequency phonons by reso-72 38 nant effects.<sup>12–14</sup> The metamaterials scatter phonons via struc-<sup>73</sup> 39 tural rather than compositional effects since these resonators 74 40 are placed as pillars on the outer walls of the solid membranes. 75 41 These attached nanoresonators introduce standing waves that <sup>76</sup> 42 hybridize with the modes in the underlying membrane, which 77 43 gives rise to avoided level crossings and localized modes char-78 44 acterized by flat bands in the phonon dispersion relation with 79 45 greatly reduced group velocities and consequently reduced <sup>80</sup> 46 thermal conductivities.<sup>12</sup> This localized resonant method al-<sup>81</sup> 47 lows for the manipulation of waves with characteristic wave- 82 48 lengths that are several times larger than the characteristic 83 49 dimensions of the resonators,<sup>15</sup> thus offering a unique way <sup>84</sup> 50 to manipulate acoustic as well as thermal transport proper- 85 51 ties in materials. In this work, we use the concept of lo-86 52 calized resonances and hybridization to show that the ther- 87 53 mal conductivities in an organic-based new class of materi- 88 54 als termed 'nanobuds', where fullerene molecules are wielded 89 55

on to single-walled carbon nanotubes (CNTs), are greatly reduced.

Individual CNTs possess exceptionally high thermal conductivity of  $\sim 3000$  W m<sup>-1</sup> K<sup>-1</sup> or higher at room temperature,<sup>16-18</sup> which makes them attractive candidates for understanding their fundamental materials thermophysics as well as for usage in practical applications such as energy stor-age and thermal management.<sup>19–22</sup> However, owing to their chemical neutrality, CNTs are difficult to combine with other materials and fractures upon compaction.<sup>23</sup> To circumvent these issues, chemically active  $C_{60}$  molecules have been covalently attached on the side walls of single-walled CNTs (also known as 'nanobuds') to increase their chemical reactivity and mechanical flexibility.<sup>24,25</sup> The nanobud structures exhibit extremely high current density, are optically transparent and are readily accessible by experimental synthesis.<sup>26</sup> Along with the enhancement in their physical properties, fullerene functionalization also prevents slippage in hybrid CNT materials making them immobile as opposed to the mobile character of fullerene and CNTs.<sup>27</sup> However, the complete understanding of thermal transport in these hybrid materials comprising of chemically functionalized CNTs with fullerene molecules has been largely unexplored, thus leaving a void in our understanding of the basic science in their vibrational energy transport and preventing their material design for practical interests (for example, in flexible microelectronic devices $^{28}$ ).

In this work, we perform a systematic study of the influence of covalently bonded  $C_{60}$  molecules on the surface of CNTs on their thermal transport properties through a series of molecular dynamics (MD) and lattice dynamics (LD) calculations. Equilibrium molecular dynamics (EMD) simulations are utilized to predict the thermal conductivities of functionalized CNTs with the introduction of fullerenes at different periodicities and surface coverages for a range of temperature. We



Figure 1. (a) Schematic of a computational domain for a 1-sided nanobud with periodic inclusion of fullerene molecules covalently bonded on the side wall of a carbon nanotube. (b) Schematic of the front view of a 4-sided nanobud. Nanobud structures formed by (c) [2+2] and (d) [6+6] cycloadditions are also shown.

122 find that the thermal conductivity of individual CNTs can be 90 reduced by more than an order of magnitude at room temper- $\frac{123}{124}$ 91 ature, thus realizing a large tunability in thermal transport of  $\frac{1}{125}$ 92 individual CNTs with periodic inclusion of covalently bonded 93 fullerene molecules on their side walls. We prescribe the large  $^{220}_{127}$ 94 reduction in thermal conductivity to a combination of resonant 95 phonon localization effects leading to phonon band anticross-96 ings and vibrational scattering effects due to the inclusion of  $\frac{1}{130}$ 97 the strongly bonded fullerene molecules. 98

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# **II. METHODOLOGY**

The axis of the single-walled CNTs are oriented in the136 100 z-direction and periodic boundary conditions are only ap-137 101 plied in this direction, thus simulating CNTs with no ends. 102 Consistent with other studies on the thermal transport across 103 CNTs, the Tersoff potential is utilized to describe the inter-104 atomic interactions.<sup>29,30</sup> The non-bonded interactions are de-105 fined via the Lennard-Jones potential. Fullerene molecules are138 106 covalently bonded through periodic inclusions to form car-139 107 bon nanobuds and periods ranging from  $\sim 1.2$  nm to 18 nm<sub>140</sub> 108 are considered. Along with varying periods, we also consider141 109 a single, double and four-sided fullerene functionalization to142 110 assess the role of surface coverage on thermal transport. A143 111 schematic of a 1-sided nanobud is shown in Fig. 1(a) and the144 112 front view of a 4-sided nanobud is shown in Fig. 1(b). The145 113 length of the simulation cell, L, in the z-direction depends on<sub>146</sub> 114 the periodicity of the fullerene inclusion and are also carefully147 115 chosen so as to produce converged values of thermal conduc-148 116 tivities for all structures. To predict the thermal conductivities,149 117 we utilize the Green-Kubo (GK) approach under the EMD<sub>150</sub> 118 simulations framework. All MD simulations are performed<sub>151</sub> 119 with the LAMMPS code<sup>31</sup> and the lattice dynamics calcula-152 120



Figure 2. Converged value of thermal conductivity for a 4-sided nanobud with 8.9 nm period length calculated from the integral of the heat current autocorrelation function for a total of 1.5 ps as shown in the inset.

tions are carried out with GULP.32

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Our carbon nanotubes (CNTs) have a (9,9) chirality and the addition of the fullerene on the side walls of the CNTs is carried out via the [6+6] cycloaddition.<sup>33</sup> This procedure entails bond formations of the hexagonal face of C<sub>60</sub> and a hexagonal ring in the single-walled CNT connected together to form six C-C covalent bonds. Density functional calculations have shown this procedure to form stable nanobud structures.<sup>33</sup> Similar stable structures were also formed by [2+2] cycloaddition, where only 2 covalent bonds are formed between the fullerene and the CNT. Examples of the cycloadditions are shown in Figs. 1c and 1d for the [6+6] and [2+2] cycloadditions, respectively. We have calculated the thermal conductivity of both types of stable structures as we will discuss below.

The thermal conductivities of our single-walled carbon nanotube and the nanobud structures are calculated in the axial  $(\alpha)$  direction as,

$$\kappa_{\alpha} = \frac{1}{k_{\rm B} V T^2} \int_0^\infty \langle S_{\alpha}(t) S_{\alpha}(0) \rangle dt, \qquad (1)$$

where t is the time, T and V are the temperature and volume of the system under consideration, respectively, and  $\langle S_{\alpha}(t)S_{\alpha}(0)\rangle$  is the  $\alpha$ th component of the heat current autocorrelation function (HCACF).<sup>34-37</sup> The heat current is calculated every 10 time steps during the data collection period for a total of 1.5 ps. The integration is carried out until the HCACF completely decays to zero. An example of the calculated HCACF as a function of time for a 4-sided nanobud structure with 8.9 nm period length is shown in the inset of Fig. 2 where the HCACF decays to zero by 0.5 picosecond but we average the thermal conductivity (calculated from the integral of the HCACF) from 0.5 ps to 1.5 ps to get a converged value as shown in Fig. 2. If all the available vibrational modes are not included in the simulation cell, the EMD simulations can result in size effects affecting the calculated

thermal conductivities.<sup>38</sup> Therefore, we check for computa-153 tional domain size effects by conducting GK simulations on 154 domains with different lengths, L, in the axial direction of the 155 carbon nanotubes. For the shorter period lengths (p < 4 nm), 156 we use  $L \sim 30$  nm, which is long enough to produce converged 157 thermal conductivities for all nanobuds. Similarly, for period 158 lengths p > 4 nm, we use  $L \sim 100$  nm to achieve converged 159 values of thermal conductivities. For statistical uncertainty, 160 we perform 3 different simulations with varving initial condi-161 tions for all period lengths and surface coverages. 162

To calculate the lower limit to thermal conductivity contribution from each mode, we utilize the Allen-Feldman (AF)theory, which computes the contribution from diffusive and nonpropagating modes as,<sup>39,40</sup>

$$\kappa_{\rm AF} = \sum_{\rm diffusons} = \frac{k_{\rm B}}{V} D_{\rm AF,n}(\omega_n), \qquad (2)$$

where  $\omega_n$  is the frequency of the *n*th diffuson and  $D_{AF,n}$  under the harmonic approximation is calculated as,

$$D_{\mathrm{AF},n}(\omega_n) = \frac{\pi V^2}{\hbar^2 \omega_n^2} \sum_{m \neq n} |S_{nm}|^2 \delta(\omega_n - \omega_m), \quad (3)$$

169where  $|S_{nm}|$  is the heat current operator for the harmonic170modes. The Lorentzian broadening of the delta function must171be several times greater than the average mode spacing,  $\delta_{avg}$ .172For our calculations, we set the broadening to  $5\delta_{avg}$  to sat-203173isfy this criteria; note, perturbing the broadening has negligi-204174ble affect on the thermal conductivity predicted by the Allen-205175Feldman theory described in Eq. 2.206

Figure 3 shows the thermal conductivity of (9,9) single-207 176 walled CNT predicted from our EMD approach as a func-208 177 tion of domain length, L. For the thermal conductivity cal-209 178 culations, we specify the cross-sectional area of the CNT as,210 179  $A=\sqrt{3}(d_{\rm CNT}+b)^2/2$ , where  $d_{\rm CNT}$  is the diameter of the<sup>211</sup> 180 (9,9) CNT and b = 3.4 Å is the van der Waals thickness.<sup>45</sup><sub>212</sub> 181 We note that this definition of the CNT cross-sectional area213 182 might be different for similar CNTs in prior literature, which<sub>214</sub> 183 can lead to vastly different thermal conductivity predictions.215 184 Along with the cross-sectional area, the choice of the poten-216 185 tial and the simulation method used can also impact the MD-217 186 predicted thermal conductivities. For example, Salaway et218 187 al.<sup>44</sup> have comprehensively shown that for a given length of<sub>219</sub> 188 single-walled CNT, the thermal conductivity can range from<sub>220</sub> 189  $\sim 225$  to 895 W m<sup>-1</sup> K<sup>-1</sup> with the choice of the inter-221 190 atomic potential utilized. The choice of the method used to222 191 calculate the thermal conductivity of the CNT can also re-223 192 sult in varying thermal conductivity predictions. For example,224 193 the nonequilibrium MD (NEMD) approach with heat baths225 194 and fixed boundary conditions at either end of the compu-226 195 tational domain can result in additional phonon scattering at227 196 these boundaries, which leads to reduced thermal conductivi-228 197 ties as compared to that of the bulk. However, Lukes et al.<sup>41</sup>229 198 predicted a thermal conductivity of 160 W m<sup>-1</sup> K<sup>-1</sup> for a<sub>230</sub> 199 single-walled CNT at room temperature using the EMD ap-231 200 proach with periodic boundary conditions (mimicking an in-232 201 finitely long CNT described by the Reactive Empirical Bond<sub>233</sub> 202



Figure 3. Thermal conductivity of (9,9) single-walled CNT as a function of length, L, predicted via the Green-Kubo approach (solid symbols) with the Tersoff and AIREBO potentials. For comparison, the thermal conductivity of (10,10) single-walled CNT predicted via MD taken from Lukes *et al.*<sup>41</sup>, Moreland *et al.*<sup>42</sup>, Maruyama *et al.*<sup>43</sup>, and Salaway *et al.*<sup>44</sup> along with their respective potentials used are also shown.

Order (REBO) potential<sup>46</sup>), whereas, Moreland et al.<sup>42</sup> and Maruyama *et al.*<sup>43</sup> used NEMD simulations to find higher values of 215 W m<sup>-1</sup> K<sup>-1</sup> and 321 W m<sup>-1</sup> K<sup>-1</sup> utilizing the Tersoff-Brenner potential, respectively.<sup>47</sup> Considering these differences in the predicted thermal conductivities, another important factor is the domain length where the thermal conductivity increase with the length of the single-walled CNT was shown for both periodic (EMD) and free boundary conditions (NEMD) by Lukes et al.<sup>41</sup> In this regard, even a converged and "correct" value of thermal conductivity predicted via the EMD approach with periodic boundary conditions can result in an underestimation of the thermal conductivity. This could explain the order of magnitude difference between the experimentally determined values and those that are predicted via MD since in experiments the lengths of the CNTs can be several microns, whereas in simulations the lengths are mostly limited to the tens or hundreds of nanometers range. Considering the computational efficiency and cost, we note that we do not attempt to calculate the thermal conductivity of CNTs that are several microns long to replicate the experimental results. However, we note that our predicted thermal conductivity for the (9.9) single-walled CNT of  $\sim$ 275 W m<sup>-1</sup> K<sup>-1</sup> is similar to the MD-predicted values in the prior literatures as mentioned above. Moreover, since the main goal of this work is to compare and investigate the systematic effect of fullerene addition on the CNT walls, we refrain from trying to consolidate and explain the differences between all the prior literature on the thermal conductivity of single-walled CNT and refer the readers to Refs. 41 and 44 for a detailed investigation into this topic. To gain further confidence in our approach to calculating thermal conductivities of CNTs, we also plot the domain



Figure 4. Thermal conductivity of the 1-, 2-, and 4-sided nanobud as a function of period length.

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length dependent thermal conductivity of our single-walled<sup>269</sup>
CNT as described by the Adaptive Intermolecular Reactive<sup>270</sup>
Empirical Bond Order (AIREBO) potential (solid triangles)<sup>271</sup>
in Fig. 3 for comparison. As is clear, the values predicted via<sup>273</sup>
our GK approach match very well with those reported in prior<sup>273</sup>
MD works that utilize similar force-fields.<sup>275</sup>

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#### III. RESULTS AND DISCUSSIONS

Figure 4 shows the thermal conductivity of the 1, 2 and 4-280 241 sided nanobuds as a function of period length at 300 K. For<sup>281</sup> 242 reference, our calculated thermal conductivity via the same<sup>282</sup> 243 EMD approach of a pristine CNT is  $\sim$ 275 W m<sup>-1</sup> K<sup>-1</sup> at 300<sup>283</sup> 244 K. As mentioned above, the MD-predicted thermal conductiv-284 245 ities of single-walled CNTs in prior literature span an order of<sup>285</sup> 246 magnitude due to variations in computational setups and pa-286 247 rameters adopted in the different studies.<sup>41,44</sup> As our primary<sup>287</sup> 248 objective is to investigate the effect of fullerene functionaliza-288 249 tion on the thermal transport properties of CNTs, we do not<sup>289</sup> 250 try to resolve the discrepancies in the literature values of ther-290 251 mal conductivity of single-walled CNTs and rather focus on<sup>291</sup> 252 the comparative results for our CNT and nanobuds. The ther-292 253 mal conductivity of our nanobuds with short period lengths<sup>293</sup> 254 are much lower as compared to that of the pristine CNT. The<sup>294</sup> 255 decrease in period length monotonically decreases the ther-295 256 mal conductivity for all nanobud types as shown in Fig. 4;296 257 the thermal conductivity starts to level-off as the periodic-297 258 ity is increased. Furthermore, as greater number of fullerene<sup>298</sup> 259 molecules are periodically introduced, the thermal conductiv-299 260 ity decreases further - a consequence of increased reduction<sup>300</sup> 261 in group velocities due to enhanced hybridization of energy<sup>301</sup> 262 states as will be discussed in detail later. The thermal con-302 263 ductivity of the 4-sided nanobud with a period length of 1.2<sup>303</sup> 264 nm is  $25.8\pm2.6$  W m<sup>-1</sup> K<sup>-1</sup>, which is more than an order of<sup>304</sup> 265 magnitude lower than our calculated thermal conductivity for305 266 a pristine CNT at 300 K. 306 267



Figure 5. Temperature dependent thermal conductivities for (a) 2sided (b) 4-sided nanobuds with various period lengths. (c) Thermal conductivity vs. temperature for a pristine carbon nanotube without fullerene functionalization.

Figure 5(a) and 5(b) show the temperature dependent thermal conductivities for the 2- and 4-sided nanobuds with 13.2 nm and 2.2 nm period lengths, respectively. For comparison, the temperature dependent thermal conductivity for a pristine CNT is also shown in Fig. 5(c); we plot the temperature dependent thermal conductivity for the 2- and 4-sided nanobuds as shown in Figs. 5(a) and 5(b), respectively, on a log scale in Fig. 5(c) to highlight the lack of  $\sim T^{-1}$  dependence for these nanobuds. As compared to the reduction in thermal conductivity of  $\sim 66\%$  from 100 K to room temperature for a pristine CNT, the reductions in the nanobuds' thermal conductivities are much less pronounced. Moreover, the longer period nanobuds (13.2 nm) as compared to the shorter period nanobuds (2.2 nm) demonstrate larger reductions in thermal conductivity for the range of temperature investigated. Consistent with the findings at moderate temperatures from prior theoretical work considering three-phonon scattering processes,<sup>48</sup> the temperature dependence of thermal conductivity for pristine CNTs are largely driven by anharmonic Umklapp scattering processes as suggested by the  $\sim T^{-1}$  dependence.<sup>49</sup> As mentioned above, the  $\sim T^{-1}$  trend can no longer explain the results for our nanobuds as shown by the differing temperature dependence fits in Figs. 5(a) and 5(b). However, since the fullerene molecules efficiently block the low frequency phonons and partially scatter the higher frequency modes (as will be discussed in detail later), anharmonic effects are still prevalent, especially for the 2-sided nanobud with 13.2 nm period length (as shown by the relatively more pronounced temperature dependence in Fig. 5(a)for the nanobud with 13.2 nm period compared to the results for other nanobuds). This is because anharmonicity largely affects higher frequency phonons at higher temperatures and thus a larger surface coverage of fullerenes on CNT scatters higher frequency phonons more efficiently. This is exemplified by the lack of temperature dependence of thermal conductivity for our 2.2 nm period, 4-sided nanobud as shown by the  $\sim T^{-0.21}$  trend in Fig. 5(b).

We note that the Tersoff potential does not take into account the dependence on dihedral angles. However, the use of



Figure 6. Temperature dependent thermal conductivities for 2-sided nanobuds with 2.2 nm period length prepared via the [2+2] and [6+6] cycloadditions as described by the (a) Tersoff and (b) AIREBO potentials.

a potential such as the AIREBO model,<sup>50</sup> which implements 307 the torsional four-body potential for all dihedrals can lead to 308 significantly reduced thermal conductivities. Figure 6 shows 309 the temperature dependent thermal conductivity for nanobuds 310 prepared from the [2+2] and [6+6] cycloadditions calculated 311 from Tersoff (Fig 6a) and AIREBO (Fig 6b) potentials. Note, 312 the attachment of the fullerenes on the CNT side walls leads 313 to the transformation of the in-plane  $sp^2$  C–C bonds into out-314 of-plane  $sp^3$ -like C–C bonds.<sup>51,52</sup> For both the functionaliza-315 tions, the nanobuds have fullerenes on 2-sides of the single-316 walled CNT with 2.2 nm period thicknesses. For the nanobuds 317 described by the Tersoff potential as shown in Fig. 6a, the 318 thermal conductivities over the entire range of temperature<sup>346</sup> 319 are similar for the two cases within uncertainties, suggest-347 320 ing that the method of preparation (whether [2+2] or [6+6]<sup>348</sup> 321 cycloadditions) has negligible influence on the GK-predicted<sup>349</sup> 322 thermal conductivities. However, for nanobuds described by350 323 the AIREBO potential,<sup>50</sup> the two methods for nanobud prepa-351 324 ration results in different thermal conductivities and their re-352 325 spective temperature trends as shown in Fig. 6b. This can be353 326 attributed to the fact that the AIREBO potential takes into con-354 327 sideration an additional torsional term, which is an explicit<sup>355</sup> 328 4-body potential. The torsional term leads to higher scatter-356 329 ing of vibrations at the sp<sup>3</sup>-like C–C bonds and the concomi-357 330 tant reduction in the thermal conductivity for the nanobuds358 331 prepared by the [6+6] cycloadditions compared to that of the359 332 nanobuds formed via [2+2] cycloadditions (with fewer  $sp^{3}_{360}$ 333 bonds in comparison) as shown in Fig. 6b. 361 334

To investigate the origin of the large thermal conductivity<sup>362</sup> 335 reduction of our nanobuds in more detail, we calculate the363 336 dispersion relations of different structures via harmonic LD<sub>364</sub> 337 calculations. The results are shown in Figs. 7(a) for a 1-sided<sub>365</sub> 338 nanobud with 4.5 nm period length, 7(b) for a 1-sided nanobud<sub>366</sub> 339 with 2.2 nm period length and 7(c) for a 4-sided nanobud with<sub>367</sub> 340 2.2 nm period length. With the inclusion of the fullerenes on<sub>368</sub> 341 the CNT surface, a series of resonant flat bands crossing the369 342 entire Brillouin zone are introduced throughout the spectrum,370 343 i.e., at both subwavelength and superwavelength frequencies.371 344 The resonant modes hybridize with the underlying acoustic372 345



Figure 7. Phonon dispersions calculated via harmonic lattice dynamics calculations in the 0 to 3 THz frequency range for (a) 1-sided nanobud with 4.5 nm period length, (b) 2-sided nanobud with 2.2 nm period length and (c) 4-sided nanobud with 2.2 nm period length. (d) The vibrational density of states for the entire phonon frequency range for the three structures described earlier showing no apparent differences.

and optical modes of the CNT due to band anticrossing effects. This is characterized by the splitting of frequencies into up and down branches leading to mini band gaps with widths dictated by the coupling strength of the resonant and underlying CNT modes. The strong covalent bonds between the CNT and fullerenes<sup>23</sup> leads to the hybridization of the dispersion, which increases with increasing periodicity and surface coverage of fullerenes as seen from comparing Figs. 7(a) – 7(c). Note, the hybridization effect on the dispersion seen for our nanobuds has been experimentally demonstrated for acoustic and nanophononic metamaterials and also have been predicted theoretically by solving the equations of motion for coupled oscillators.<sup>12,13,53–57</sup> It should also be noted that since the number of low frequency branches in the dispersion relation increases with increasing length of the computational domain, we have used similar lengths ( $\sim$ 4.5 nm) for the three computational represented in Figs. 7a-7c.

The flattening of the bands as observed from the dispersions lead to lowered group velocities and concomitantly to the large reduction in thermal conductivity. Note, for the entire frequency range, the hybridization effects are not readily observable in the density of states (see Fig. 7(d)) for the three different nanobuds represented in the dispersion. However, for the lower frequency range (0 – 3 THz), the MD-predicted DOS amongst the various computational domains do indeed show differences, which is consistent with the dispersion relations calculated via LD calculations.



Figure 8. (a) Spatial components of the two dimensional eigenvectors at 0.179 THz for a 1-sided nanobud with 4.5 nm period length. (b) Visualization of three dimensional eigenvectors at 0.161 THz for 1-sided nanobud with 2.2 nm period length. (c) Two dimensional eigenvectors at 0.545 THz for a 1-sided nanobud with 4.5 nm period length and (d) three dimensional eigenvectors at 0.327 THz for a 2-sided nanobud with 4.5 nm period length showing resonant modes. (e) Comparison of mode diffusivities between 1-sided (9 nm period), 1-sided (4.5 nm period) and 2-sided (2.2 nm period) nanobuds.

To visualize the hybridization effects in our functionalized<sub>414</sub> 373 CNTs, we plot the vibrational eigenmodes for our various<sub>415</sub> 374 structures in Fig. 8. The arrows indicate the direction and<sub>416</sub> 375 magnitude of the particular eigenmode. Figure 8(a) shows417 376 the 2-dimensional plot of the eigenvectors in a 1-sided (4.5<sub>418</sub> 377 nm period) nanobud for a 0.179 THz frequency. This is lower419 378 in frequency from the nearest hybridized mode as circled in420 379 Fig. 7(a). For this eigenmode, all atoms vibrate with the same421 380 amplitude and with a unified motion as shown by the arrows.422 381 Similarly, Fig. 8(b) shows the unified motion of atoms for a423 382 1-sided nanobud with 2.2 nm period length at 0.161 THz fre-424 383 quency. The eigenvectors of the hybridized modes at 0.327<sub>425</sub> 384 THz and 0.545 THz are shown in Fig. 8(c) and 8(d), re-426 385 spectively. The mismatch in the vibrational amplitudes in the  $_{427}$ 386 fullerene resonators and the underlying CNT causes only the<sub>428</sub> 387 atoms in the fullerene molecules to vibrate at that frequency<sub>429</sub> 388 and the atoms in the main CNT do not participate in the eigen-430 389 mode. This is clearly demonstrated by the negligible magni-431 390 tudes of the eigenvectors (as shown in the 2-dimensional and<sub>432</sub> 391 3-dimensional plots in Figs. 8(c) and 8(d)). These localized<sub>433</sub> 392 modes are characterized by the flat bands with reduced group<sub>434</sub> 393 velocities in the dispersion relations. 435 394

Next, to investigate the effect of hybridization on the en-395 tire spectrum, we calculate the diffusivities of the modes for 396 the different structures according to the Allen-Feldman the-397 ory, which computes the contribution from diffusive and non-436 398 propagating modes as detailed in the earlier section.<sup>39,40</sup> Fig-399 ure 8(e) shows the spectral diffusivities for 9 nm (1-sided).437 400 4.5 nm (1-sided) and 2.2 nm (2-sided) nanobuds. There are<sub>438</sub> 401 two aspects of the data that are worth noting. First, as ex-439 402 pected, the diffusivities of the modes gradually decrease with440 403 decreasing period length and increasing surface coverage of<sub>441</sub> 404 fullerenes on the CNT. Second, the diffusivities of the entire442 405 spectrum of modes are reduced with increased functionaliza-443 406 tion, reinstating our assertion that both the subwavelength and444 407 superwavelength frequencies are affected by the resonators. 445 408 The proposed functionalization of individual CNTs to re-446 409 duce the thermal conductivity has several advantages. We447 410 have shown that the inclusion of fullerenes leads to the entire448 411 frequency spectrum showing the characteristic band flatten-449 412 ing, and therefore the resonant structures can effectively lower450

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the group velocities of nearly the entire vibrational bandwidth. Whereas, approaches to reduce thermal conductivity by engineering defect and impurity scattering usually only targets the high frequency vibrations and are thus not able to lower the contributions from low frequency phonons.<sup>5,58–60</sup> Furthermore, it has been shown that the height of the resonators can be modified to tune the resonant frequencies at the lower end of the spectrum;<sup>13,14</sup> the fullerenes attached to the CNT surface can be converted into tubular branches via treatment of electron beam irradiation,<sup>23</sup> thus providing an extra knob to tune the thermal conductivity of individual single-walled CNTs through manipulating the height of the resonators.

The main advantage of our approach to lower the thermal conductivity is the unique opportunity to simultaneously adjust the electronic and thermal properties of the nanobud structures. In this regard, a recent study based on density functional theory has suggested that the electronic properties of nanobuds are highly tunable by changing the surface coverage of fullerenes on the sidewall of CNTs.<sup>33</sup> This provides an exceptional avenue to optimize the thermoelectric efficiency by lowering the thermal conductivity while enhancing the electronic conductivity.

### **IV. CONCLUSIONS**

In summary, we have performed atomistic simulations to reveal the unique thermal properties of CNTs funtionalized with fullerenes. To this end, we utilize the concept of resonant hybrid modes to demonstrate that the thermal conductivities of these organic compounds can be tuned in a wide range spanning an order of magnitude at 300 K. In contrast to the well known method of reducing thermal conductivity via scattering of high frequency vibrations, the resonant hybridization method targets low frequency phonons that contribute significantly to heat transport. This is achieved via hybridization between the modes of the fullerene and the underlying CNT, which forms resonant phonon band anticrossings due to the inclusion of the strongly bonded fullerene molecules. The strength of the hybridization effect can be increased with in-

- 451 creasing periodicity and surface coverage of fullerenes, thus457
- <sup>452</sup> offering a platform for user defined thermal transport in these
- <sup>453</sup> novel material systems. This work provides a baseline for
- 454 future research on the fundamental science of energy trans-458
- <sup>455</sup> port in organic-based low dimensional materials that utilize
- $_{456}$  concepts of nanophononic materials and for the integration of  $_{459}$

'nanobuds' in device applications.

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