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Epitaxial strain modulated electronic properties of interface controlled nickelate superlattice

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Perovskite nickelate heterostructure consisting of single unit cell of EuNiO_3 and LaNiO_3 have been grown on a set of single crystalline substrates by pulsed laser interval deposition to investigate the effect of epitaxial strain on electronic and magnetic properties at the extreme interface limit. Despite the variation of substrate in-plane lattice constants and lattice symmetry, the structural response to heterostructuring is primarily controlled by the presence of EuNiO_3 layer. In sharp contrast to bulk LaNiO_3 or EuNiO_3 , the superlattices grown under tensile strains exhibit metal to insulator transition (MIT) below room temperature. The onset of magnetic and electronic transitions associated with the MIT can be further separated by application of large tensile strain. Furthermore, these transitions can be entirely suppressed by very small compressive strain. X-ray resonant absorption spectroscopy measurements reveal that such strain-controlled MIT is directly linked to strain induced self-doping effect without any chemical doping.

I. INTRODUCTION

The sudden change in the electrical conductivity across the metal insulator transition (MIT) of complex oxides remains a topic of long-standing interest in condensed matter physics and materials science¹. Apart from the fundamental physics aspect of understanding the origin of MIT, a lot of attempts are being made towards the realization of next generation functional devices utilizing MIT²⁻⁴. Practical realization of such devices depends strongly on the ability to maintain sharp metal-insulator transition as the size reduction of the materials towards the nanometer thick device scale and epitaxial strain can significantly modify MIT⁵⁻⁷.

As a prototypical example having MIT, massive efforts have been made over the last 5 years about the manipulation of the MIT of rare-earth perovskite nickelate (RENiO_3) using external perturbation such as light, strain, electric and magnetic fields etc. (see Ref. 8–10 and references therein). Epitaxial strain i.e. mismatch of lattice constants between the single crystalline substrate and RENiO_3 , has been found to be very successful in manipulation of these transitions¹¹⁻²⁰. For example, the first-order metal to insulator transition (MIT) can be suppressed entirely by compressive strain. Though the MIT is accompanied by spin and charge ordering transitions and structural symmetry lowering in bulk NdNiO_3 ²¹⁻²⁵, the MIT and magnetic transition can be separated by tensile strain, leading to a paramagnetic insulating phase¹¹. Surprisingly, charge ordering and symmetry lowering transitions are absent in ultra-thin NdNiO_3 films (thickness ~ 6 nm), grown under tensile strain^{26,27}. Nickelates being a prototypical strongly correlated system, exhibit highly nontrivial transport properties in the metallic phase. One such frequently discussed phenomenon is the non-Fermi liquid (NFL) behavior of the metallic phase, and epitaxial strain is able to control scaling behavior (power exponents) of the NFL phase^{11,13}. In addition, RENiO_3 members have been combined with dielectric materials such as LaAlO_3 , DyScO_3 etc. to study the effect of quantum confinement, and the responses of the orbital and spin degrees of freedom to heterostructuring and epitaxial

strain²⁸⁻³⁵. However, study of ultra-thin superlattices consisting of dissimilar nickelate layers is very limited³⁶ and the response of electronic and magnetic structure to the underlying epitaxial strain is still largely unknown.

The choice of RE ions determine the structural symmetry of bulk RENiO_3 and a very strong connection between the temperature scale of electronic, magnetic transitions and $\langle\text{Ni-O-Ni}\rangle$ has been observed in bulk RENiO_3 series^{37,38}. For example, bulk LaNiO_3 (LNO) with rhombohedral symmetry has the smallest distortion ($\langle\text{Ni-O-Ni}\rangle \sim 165.2^\circ$) in the RENiO_3 series and remains metallic and paramagnetic without any structural transition. On the other hand, bulk EuNiO_3 (ENO) is strongly distorted ($\langle\text{Ni-O-Ni}\rangle \sim 147.9^\circ$) and undergoes a first order MIT around 460 K with a charge ordering transition and structural transition and well separated magnetic transition (paramagnetic to E' -antiferromagnetic) at ~ 200 K³⁹. Since each RENiO_3 member has a rather strong propensity for maintaining bulk-like symmetry even in thin film geometry⁴⁰, a strong structural competition can be anticipated in the ultra-thin limit for the superlattices consisting of dissimilar nickelates layers, and can in turn result in new electronic and magnetic phenomena.

Towards this goal, we have synthesized and investigated the effect of epitaxial strain by growing 1 uc EuNiO_3 / 1 uc LaNiO_3 superlattices (1ENO/1LNO SL, uc= unit cell in pseudocubic setting, see Fig. 1(a)) on a variety of substrates. To elucidate the microscopic effect of epitaxial strain on the structural, electronic, and magnetic properties of these superlattices, X-ray diffraction (XRD), dc transport, Hall effect, resonant soft X-ray absorption spectroscopy (XAS) and X-ray linear dichroism (XLD) measurements have been performed. Surprisingly, we have found that in spite of the strong variation of substrate strain and symmetry, the structural response of the SLs in this ultimate interface limit is primarily governed by the ENO layer. The heterostructure grown under tensile strain undergoes a MIT and a magnetic transition below room temperature, emphasizing entire modulation of the electronic properties, sharply contrasted to the bulk ENO and LNO. Moreover, by the judicious application of epitaxial

TABLE I. Symmetry and in-plane pseudo-cubic lattice constants (a_{sub}) of the substrates and the corresponding strain (ϵ) for orthorhombic EuNiO_3 (3.806 Å), and rhombohedral LaNiO_3 (3.855 Å). The lattice constants for the bulk ENO and LNO have been obtained from Ref. 39.

Substrate	Symmetry	a_{sub} (Å)	ϵ	
			for ENO	for LNO
YAIO_3	Orthorhombic	3.692	-3.0%	-4.2%
LaAlO_3	Rhombohedral	3.794	-0.3%	-1.6%
NdGaO_3	Orthorhombic	3.858	+1.4%	+0.1%
DyScO_3	Orthorhombic	3.955	+3.9%	+2.6%

strain these transitions can be made to occur simultaneously or separated with temperature or even entirely suppressed. Oxygen K edge XAS measurements revealed that such a drastic change in the electronic behaviour is related to a strain induced self-doping effect^{41,42}. Such manipulation of the electronic and magnetic transitions by the application of epitaxial strain highlights the remarkable power of heteroepitaxy in determining physical properties of perovskite nickelates.

II. EXPERIMENTAL DETAILS

$[\text{1EuNiO}_3/\text{1LaNiO}_3] \times 10$ superlattices $[(\text{1ENO}/\text{1LNO}) \text{SL}]$, oriented along the pseudo cubic $[0\ 0\ 1]$ direction were grown on a variety of single crystal substrates by pulsed laser intercal deposition^{43,44} from polycrystalline stoichiometric EuNiO_3 and LaNiO_3 targets. The substrates used in this work: DyScO_3 (DSO), NdGaO_3 (NGO), LaAlO_3 (LAO), and YAIO_3 (YAO) have been selected to avoid polar discontinuity at the film/substrate interface⁴⁵. The symmetry of the substrates and the corresponding expected strain values for ENO and LNO are listed in Table-I. Growth of all samples were monitored by in-situ high pressure RHEED (reflection high energy electron diffraction). All films were grown at 620° C and 150 mTorr of oxygen pressure and were post annealed at growth temperature under 650 Torr pressure of pure oxygen. XRD measurements were carried out around the $(0\ 0\ 2)$ reflection of the substrate (pseudocubic notation) with a Panalytical XPert Pro materials research diffractometer (MRD). X-ray absorption spectra (XAS) of Ni $L_{2,3}$ edges were recorded at the 4-ID-C beam line of Advanced Photon Source (APS). dc transport measurements, using a four probe Van Der Pauw geometry, were performed in Physical Property Measurement System (PPMS Quantum Design). I - V measurements confirmed ohmic behavior of all electrical contacts.

III. RESULTS AND DISCUSSION

Epitaxial growth: Since the optimal growth conditions for ENO and LNO thin films are different^{46,47}, it is crucial to find out the mutually compatible growth conditions for the layer by layer epitaxial stabilization of both ENO and LNO layers to

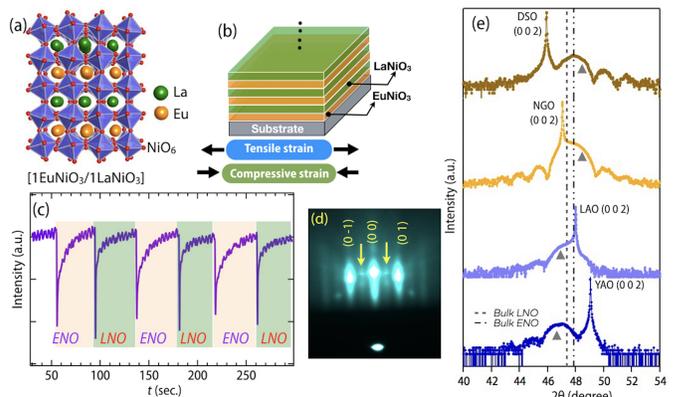


FIG. 1. (Color online) (a) $1\text{EuNiO}_3/1\text{LaNiO}_3$ superlattice along pseudocubic $[0\ 0\ 1]$. (b) Schematic of sequence for layer-by-layer deposition used for this study. (c) Intensity variation of RHEED specular spot during the deposition on NdGaO_3 substrate. (d) Final RHEED pattern obtained along the $[1\ -1\ 0]$ direction of NGO after cooling the film to room temperature. (e) XRD patterns of $[\text{1ENO}/\text{1LNO}] \times 10$ superlattices on various substrates. The curves have been shifted along y-axis for visual clarity. The vertical lines represent the expected $(0\ 0\ 2)_{pc}$ peak position for bulk EuNiO_3 and LaNiO_3 .

form high quality $1\text{ENO}/1\text{LNO}$ SL. The sequence of layer by layer growth has been shown in Fig. 1(b). The variation of the intensity of the specular spot in the RHEED pattern, recorded during the growth of $1\text{ENO}/1\text{LNO}$ superlattice on a NGO substrate, has been plotted in Fig. 1(c). The full recovery of intensity after the deposition of each unit cell confirms the desired layer by layer stabilization of both EuNiO_3 and LaNiO_3 . The RHEED image (Fig. 1(d)), taken after cooling the sample to room temperature, shows the streak patterns of specular $(0, 0)$ and off-specular $(0, \pm 1)$ Bragg reflections, implying atomically smooth surface morphology. The presence of half order reflections (marked by arrows) due to the in-plane doubling of the unit cell⁴⁶ (also observed for superlattices grown on other substrates), confirm that superlattices have either orthorhombic or monoclinic symmetry at room temperature.

Following Poisson argument about elasticity, it is generally anticipated that the single crystalline thin film should undergo out-of-plane compression (expansion) to accommodate in-plane tensile (compressive) strain. Experimentally, however, the effects of epitaxial strain on nickelate thin films and heterostructures are more complex and markedly depart from the expected tetragonal distortion^{14,32,34,40,48}. To investigate the effect of epitaxial strain on our $1\text{ENO}/1\text{LNO}$ SLs, 2θ - ω scans have been recorded using $\text{Cu } K_\alpha$ radiation (Fig. 1(e)). Each of the diffraction patterns consist of a sharp substrate peak together with a film peak (indicated by a solid triangle) and thickness fringes confirming the growth along the desired pseudo cubic $(0\ 0\ 1)$ direction. Out-of-plane pseudocubic lattice constant (c_{pc}) for the SL grown on YAO is found to be 3.875 ± 0.005 Å, which is enlarged compared to both bulk ENO and LNO, and is as expected for a tetragonal distortion under high compressive strain. While the close proximity of the substrate and film peaks for the samples grown on LAO

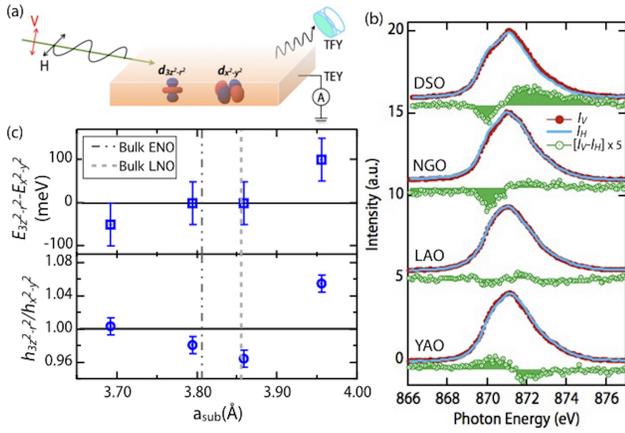


FIG. 2. (Color online) (a) Experimental geometry of XLD measurement. TEY and TFY refers to total electron yield and total fluorescence yield respectively. (b) Ni L_2 XAS recorded in bulk sensitive TFY mode with horizontally (H) and vertically (V) polarized light and their differences are shown for these 1ENO/1LNO SLs. Due to strong overlap Ni L_3 edge with the La M_4 edge, only Ni L_2 edge is shown. The data have been shifted vertically for clarity. (c) Splitting and ratio of holes (X) between two e_g orbitals have been shown as a function of substrate's in-plane lattice constant.

and NGO substrates prohibits a reliable estimation of c_c , nevertheless it can be immediately seen that the film peak for the NGO substrate is close to bulk ENO. Interestingly, c_{pc} (3.798 \AA) of the SL grown on DSO is also very close to the lattice constant of bulk ENO (3.8 \AA). The very different orbital responses and electronic properties of the SLs (discussed latter in this paper) suggest that such bulk ENO-like lattice constant of the SLs under tensile strain does not arise from simple strain relaxation. We also note that single layer films of ENO and LNO under tensile strain also show corresponding bulk-like lattice constants^{14,40,48} and such anomalous behaviors are related to the strain compensation by octahedral tilts, rotations and breathing mode distortions. In contrary to single layer LNO films, LNO layers in the present SLs under tensile strain undergo out-of plane compression so that the resultant c_c of the SL remains consistent with the bulk ENO value. This indicates that the overall symmetry of the SL takes the lower form as in ENO ($a^- a^- c^+$)⁴⁹, likely due to the inability of the $a^- a^- a^-$ rotation system seen in bulk LNO to stabilize in the presence of the smaller Eu ions.

Structural responses of these SLs to the epitaxial strain have been further investigated by X-ray linear dichroism (XLD) measurements at room temperature. In such experiment, absorption at Ni $L_{3,2}$ edges are measured with horizontally (H) and vertically (V) polarized X-rays (Fig. 2(a)) and the difference in the energy position and intensity provides information about the splitting between the e_g orbitals and their preferential electronic occupation^{32-34,44,48,50}. The absorptions labeled as I_V and I_H after background subtraction of the Ni L_2 edge and the difference signal ($I_V - I_H$) are shown in Fig. 2(b). As seen, the line shapes of the spectra confirm the expected Ni³⁺ oxidation state in these superlattices. The ratio, X of holes on $d_{3z^2-r^2}$ and $d_{x^2-y^2}$ orbitals can be obtained from

the measured I_V , I_H using the sum rules $X = \frac{h_{3z^2-r^2}}{h_{x^2-y^2}} = \frac{3A_V}{[4A_H - A_V]}$ ^{32,34} where A_H (A_V) is the integrated area of I_H (I_V). We note that bulk $RENiO_3$ does not show any preference between these two e_g levels^{24,51}. The variation of X and the energy splitting between $d_{x^2-y^2}$ and $d_{3z^2-r^2}$ orbitals obtained from Fig. 2(b) is plotted in Fig. 2(c) as a function of substrate lattice constant. As immediately seen, the large compressive strain provided by the YAO substrate results in a derivative-like shape of the XLD ($I_V - I_H$) spectra confirming the expected orbital splitting with $c_{pc}/a_{pc} > 1$. $d_{x^2-y^2}$ orbital is higher in energy compared to $d_{3z^2-r^2}$ by 50 meV and X is close to unity, emphasizing equal population on both e_g orbitals. On the other end, the SL on DSO with $c_{pc}/a_{pc} < 1$ shows a orbital splitting of around 100 meV and much larger hole density in $d_{3z^2-r^2}$ orbitals. Surprisingly, for intermediate compressive (LAO) and tensile (NGO) strain cases, the holes density is slightly larger in $d_{x^2-y^2}$ orbitals and the energy separation between two e_g orbitals is below the accuracy of the XLD measurement (~ 50 meV). This apparently conflicting observation for the film on NGO substrate can be resolved by including complex octahedral distortions acting to accommodate the moderate amount of strain^{36,48}.

The summary of temperature dependent resistivity measurements on these SLs is shown in Fig. 3(a). As reported earlier³⁶, the samples grown on NGO substrate remains metallic down to 125 K and then undergo a MIT. During heating from low temperature it becomes metallic at 155 K. This hysteresis signifies the first order nature of the transition. This behavior is drastically different from the entirely insulating behavior of single layer ENO or entirely metallic behaviour of LNO films grown on NGO substrates below 300 K¹⁴. Such a large change emphasizes a complete modulation of the electronic structure by heteroepitaxy. Surprisingly, in the metallic phase the resistivity shows an unconventional linear- T dependence (over 190 K - 280 range, shown in Supplemental Materials⁵²) while the Debye temperature of bulk nickelates is around 420 K⁵³. Such linear- T dependent resistivity has been also observed in high T_c cuprates, pnictide and organic superconductor, ruthenate, heavy fermion metals etc. and has been very often linked to the quantum criticality⁵⁴⁻⁵⁸. Furthermore, the increase of tensile strain on DSO results in a higher resistivity at room temperature. Also, while the insulator to metal transition temperature during heating remains similar to the SL on NGO, the magnitude of thermal hysteresis becomes much smaller. On the other hand, the superlattices grown on LAO and YAO remains metallic down to low temperature without any hysteric behavior. This suppression of the MIT by compressive strain resembles the behavior of ultrathin films of $PrNiO_3$, $NdNiO_3$, $SmNiO_3$, $EuNiO_3$ ¹¹⁻¹⁶. The dc transport of these metallic samples exhibits a $T^{4/3}$ dependence over a large range of temperature and then switches to linear- T dependent behavior (see Fig. 3(b) and Supplemental Materials⁵²). $T^{4/3}$ dependence of resistivity is a characteristic of NFL phase proximal to a two-dimensional quantum critical point⁵⁸. Such switching of $T^{4/3}$ dependence to linear T behavior has been also observed in $NdNiO_3$ thin films under compressive strain and can be accounted by Boltzmann-type

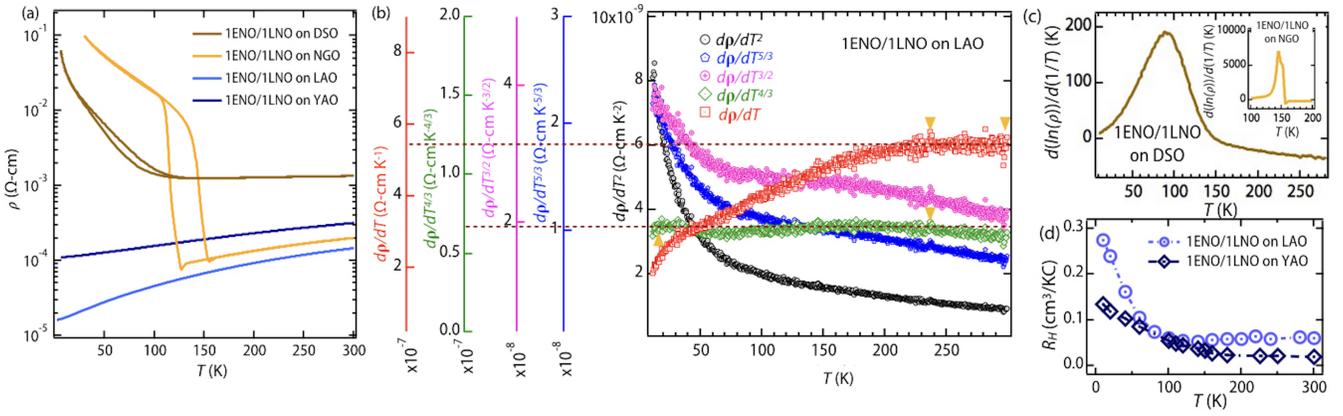


FIG. 3. (Color online) (a) Temperature dependent resistivity of [1ENO/1LNO] superlattice on various substrates. The data of SL on NGO substrate have been adapted from Ref. 36. (b) Resistivity analysis for 1ENO/1LNO SL on LAO substrate. Yellow triangles indicate the temperature range where the derivative can be considered constant within the noise. As evident from this, $d\rho/dT^{4/3}$ is almost temperature-independent (green curve) upto 230 K. This behaviour changes to linear T dependence after that (red curve). Similar analysis for other SLs have been shown in Supplemental⁵². (c) Determination of T_N for the film on DSO and NGO substrate by plotting $d(\ln\rho)/d(1/T)$ vs. T plot. (d) Temperature dependence of R_H for SLs grown on LAO and YAO substrate.

transport theory with multiple bands near a quantum critical point (for details see Refs. 11 and 58).

In the past, long range magnetic orderings of bulk $RENiO_3$, single layer films and superlattice structures consisting of $RENiO_3$ layers have been investigated by neutron diffraction⁵⁹ and resonant X-ray scattering^{11,12,16,24,27,31,35,36,60,61}. These investigations showed that the insulating phase of these materials always shows a E' -antiferromagnetic ordering with the transition temperature T_N that can be either $= T_{MIT}$ or $< T_{MIT}$ and the magnetic wave vector is $(1/2, 0, 1/2)_{\text{or}} [(1/4, 1/4, 1/4)_{\text{pc}}]$, [or and pc denotes orthorhombic and pseudocubic settings respectively]. The signature of this unconventional magnetic ordering can also be identified in dc transport measurement^{62–64} and SQUID magnetometry⁶⁵. Following the analysis of Zhou et al.⁶², $d(\ln\rho)/d(1/T)$ vs. T plot (inset of Fig. 3(c)) was used to determine a $T_N \sim 145$ K for the SL grown on NGO, which is very close to T_N (155 ± 5 K) determined from resonant X-ray scattering measurement³⁶. Similar analysis for the sample on DSO substrate yields $T_N \sim 90$ K (Fig. 3(c)). These T_{MIT} and T_N are drastically altered compared to bulk compound of formally the same chemical composition $\text{Eu}_{0.5}\text{La}_{0.5}\text{NiO}_3$ ($T_{MIT} = T_N = 190\text{K}$)³⁹. This result implies that epitaxial strain and the presence of hetero-interface have tremendous impact in ground state in this class of materials. Further investigations are required to determine any connection between these transition temperatures and orbital polarization of these SLs⁶⁶. To examine the possibility for an E' antiferromagnetic metallic state^{16,67}, Hall effect measurements were carried out on the metallic superlattices. Previous work on nickelates indicates that Hall coefficient (R_H) shows a sign change from hole like to electron like behavior around T_N ^{63,64}. This sign switching behaviour is absent in our SLs (see Fig. 3(d)) and this indicates that these metallic SLs do not likely to have E' -AFM ordering.

In general, electronic structure of correlated materials is

parametrized by hopping strength (t), electron-electron correlation (U), and charge transfer energy (Δ) or effective charge transfer energy (Δ') in context of the Zannett-Sawatzky-Allen (ZSA) phase diagram⁶⁸ or its' modified version⁶⁹. In relation to nickelates, very early photoemission spectroscopy measurements revealed that $RENiO_3$ has very small charge transfer energy^{70,71}. The insulating phase has been identified as a covalent insulator by Barman et al⁷⁰ with the gap arising from the $d^8\bar{L} + d^8\bar{L} \rightarrow d^8 + d^8\bar{L}^2$ charge fluctuations^{69,72} (here \bar{L} denotes a hole on oxygen p orbital). The importance of ligand hole states in realizing the insulator to metal transition in $RENiO_3$ has been further emphasized in several recent theoretical and experimental works^{36,73–76}. In addition, a recent RIXS (resonant inelastic x-ray scattering) experiment on Ni has clearly confirmed the presence of negative Δ' and the band gap of a O $2p$ - $2p$ type⁷⁷. To understand the strain induced suppression of the insulating phase, we focus on O K -edge resonant X-ray absorption spectra^{11,71,78–81}, where ligand hole states ($d^8\bar{L}$) can be identified as a prepeak around 528.5 eV due to the $d^8\bar{L} \rightarrow \bar{c}d^8$ transition (here \bar{c} is a hole in oxygen $1s$ core state) The degree of Ni-O bond covalency can be monitored by the intensity, position, and width of this prepeak.

A direct inspection of Figure 4(a) and upper panel of Fig. 4(b) shows the movement of the prepeak towards higher photon energy as strain becomes more compressive (NGO \rightarrow LAO \rightarrow YAO), thus emphasizing a decrease of charge transfer energy Δ with compressive strain. Microscopically, at the first approximation Δ is related to the electron affinity of oxygen [$I(\text{O}^{2-})$], the ionization potential of Ni^{3+} [$A(\text{Ni}^{3+})$], relative Madelung potential δV_{Mad} between Ni and O, and the nearest-neighbor distance between Ni and O ($d_{\text{Ni-O}}$) as $\Delta = e\delta V_{\text{Mad}} + I(\text{O}^{2-}) - A(\text{Ni}^{3+}) - e^2/d_{\text{Ni-O}}$ ¹. This observation implies that strain induced change in Δ originates from the strong modulation in the relative Madelung potential. Most importantly, the FWHM of the pre-peak also increases with compressive strain signifying the enhancement of Ni-O hy-

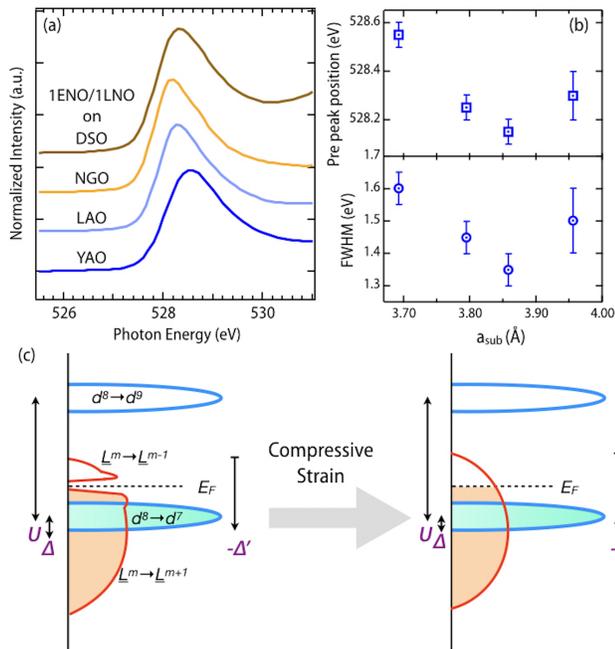


FIG. 4. (Color online) (a) Pre peak of O K -edge absorption around 528.5 eV for 1ENO/1LNO SLs measured at 300 K. The peaks above 530 eV have been shown in supplemental information. (b) Energy shift and FWHM of the pre-peak as a function of substrate's in-plane lattice constant. An additional strong peak, related to the transition to hybridized Sc $3d$ -O $2p$ states is present around 533 eV for 1ENO/1LNO SL on DSO (see Supplemental Information⁵²). (c) Schematic representation of the single-particle density of states in terms of charge removal and charge addition for a negative charge transfer material with $d^8 \underline{L}^m$ as ground state, adapted from Ref. 77. Left and right panel corresponds to covalent insulator and pd metal.

bridization. The modulation in both charge transfer energy and hybridization (covalency) results in the complete suppression of the insulating phase as schematically illustrated in Fig. 4(c). Such strain-induced 'self-doping' of 1ENO/1LNO SL highlights the utility of strain as a means of effective carrier doping without detrimental effects of chemical disorders.

In contrast to the SLs on other substrates, 1ENO/1LNO SL on DSO has a sizable energy splitting between two e_g orbitals with different electronic occupancies (Fig. 2(c)). This im-

plies the dominance of a uniform Jahn-Teller distortion over the other structural distortion modes for this SL (e.g. breathing mode, staggered Jahn-Teller order etc⁸²). In this case, the electronic transitions from O $1s \rightarrow$ Ni $d_{3z^2-r^2}$ -O p_z hybridized states and O $1s \rightarrow$ Ni $d_{x^2-y^2}$ -O p_x, p_y hybridized states occur at slightly different energies and with different intensity (intensity \propto number of holes). This in turn can lead to the observed shift of O K pre-peak to higher photon energy and corresponding enhancement in FWHM for the SL on DSO (Fig. 3c). Further RIXS and, polarized XAS experiment on O K edge will be required to investigate this scenario⁸³.

IV. CONCLUSION

To summarize, correlated metal LaNiO_3 and charge transfer insulator EuNiO_3 have been heterostructured in the form of unit cell superlattices 1 uc EuNiO_3 /1 uc LaNiO_3 and the effects of epitaxial strain have been investigated using XRD, dc transport, Hall effect, resonant XAS and XLD measurements. The electronic and magnetic phases are highly tunable by application of strain and several unusual phases including non-Fermi liquid, paramagnetic insulator, antiferromagnetic insulator phases have been observed. The detailed analysis of XAS spectra on oxygen K -edge revealed strain induced strong modulation of charge transfer energy and covalency, resulting insulator to metal transition.

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