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Enhanced thermoelectric response in the fractional quantum Hall effect

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We study the linear thermoelectric response of a quantum dot embedded in a constriction of a quantum Hall bar with fractional filling factors $\nu = 1/m$ within Laughlin series. We calculate the figure of merit ZT for the maximum efficiency at a fixed temperature difference. We find a significant enhancement of this quantity in the fractional filling in relation to the integer-filling case, which is a direct consequence of the fractionalization of the electron in the fractional quantum Hall state. We present simple theoretical expressions for the Onsager coefficients at low temperatures, which explicitly show that ZT and the Seebeck coefficient increase with m .

Introduction Boosting the efficiency for the conversion of electrical and thermal energy at finite power is motivating an intense research activity, not only in the areas of material science and applied physics but also in experimental and theoretical areas of statistical mechanics and condensed matter physics. Efforts are concentrated on developing new materials and devices [1] as well as on analyzing different operational conditions [2]. In the latter direction, taking advantage of the quantum effects is one of the most interesting avenues. Nanostructures operating at low temperatures are particularly appealing quantum devices, since they offer the conditions for coherent transport, where “parasitic” heat currents by phonons are strongly suppressed. Quantum dots (QD) are one of the most studied nanostructures in this context. Due to their spectrum of discrete levels, amenable to be manipulated with gate voltages, they can be used as switches for the relevant transport channels. Theoretically, they were found to present high thermoelectric response [3–9].

A two dimensional electron gas in the quantum Hall effect (QHE) regime hosts chiral edge states [10–15]. Due to their topological protection, these states constitute the paradigmatic system to realize the coherent transport regime with fractionalized excitations. Electron transport through QDs in QHE structures was studied in Ref. 16 and 17. The usefulness of the thermoelectric transport in these structures to enable the detection of neutral modes in fractional fillings $\nu = 2/3$ and $\nu = 5/2$ was analyzed in Refs. 18 and 19. The nature of the thermal transport in the QHE has been investigated theoretically [20–25] and experimentally [26–32] in integer and fractional fillings. Thermoelectric effects induced by interferences by multiple quantum point contacts in fractional fillings were studied in Ref. 33. However, the thermoelectric performance has been so far investigated only within the integer QHE beyond linear response [34] and in multiterminal systems [35]. In the last case, the possibility of a separating heat and charge currents provides a route to improving the thermoelectric performance. The fact that the partitioning of charge and energy are not trivially related in tunneling junctions between Luttinger liquids was pointed out in Ref. [36]. The goal

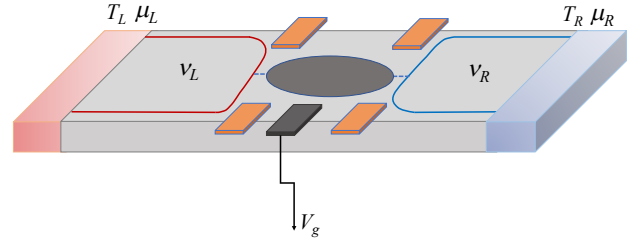


FIG. 1. (Color online) Sketch of the setup. A quantum Hall bar is biased by a difference of temperature $\Delta T = T_L - T_R$ and a voltage $V = (\mu_R - \mu_L)/e$. Charge and thermal transport is induced through a quantum dot generated by constrictions that generate regions of the sample with filling factors ν_L and ν_R . The spectrum of the quantum dot can be manipulated with a gate voltage V_g .

of the present work is to show that the fractionalization of the charge also offers a mechanism for thermoelectric enhancement, which manifests itself even in a simple two-terminal configurations of QHE systems.

We analyze the thermoelectric efficiency of QHE structures, focusing on fractional fillings within the Laughlin series $\nu = 1/m$. We consider the setup sketched in Fig. 1, where a QD is embedded into a constriction of a QHE bar containing regions with filling factors ν_L and ν_R . The QD is contacted to the corresponding edge states through quantum point contacts. Electric and heat currents, respectively denoted by J_C and J_Q flow through the quantum dot as a response to chemical potential and temperature biases applied at the contacts, $\mu_R - \mu_L = eV$ and $\Delta T = T_L - T_R$, respectively. The device may operate as a heat engine, in which case the efficiency is defined as the ratio $\eta_{\text{he}} = P/J_Q$, between the generated power $P = J_C V$ and the heat current from the hot to the cold reservoir. The other operational mode is a refrigerator, which is characterized by a coefficient of performance $\eta_{\text{fri}} = J_Q/P$, where J_Q is the heat

current extracted from the cold reservoir and P is the invested electrical power. Both coefficients are bounded by the Carnot values. In the linear response regime, relevant for small V and ΔT , $\eta_{\text{he,fri}}$ can be parametrized by the “figure of merit” ZT [37], defined below, in a way that $ZT \rightarrow \infty$ implies the Carnot limit. Remarkably, we will show that ZT is significantly enhanced in the fractional quantum Hall effect, relative to the response in the integer one. This enhancement is a direct consequence of the fractionalization of the electron in the fractional QHE.

Linear thermoelectric response. Following the conventions of Ref. 38, we consider $\Delta T = T_L - T_R > 0$ and $\mu_R - \mu_L = eV < 0$ taking $T = T_R$ and $\mu = (\mu_L + \mu_R)/2 = 0$ as references. The two relevant fluxes are the charge and heat currents, which are represented by the vector $\mathbf{J} \equiv (J_C, J_Q)$ and corresponds to the charge and heat current leaving the left contact of the bar. In linear response they are related to the affinities represented by the vector $\mathbf{X} = (eV/k_B T, \Delta T/k_B T^2)$ through the Onsager matrix \hat{L} as $\mathbf{J} = \hat{L} \mathbf{X}$. The diagonal matrix elements of \hat{L} are related to the electrical and thermal conductivity, while the off diagonal ones are related to the Seebeck and Peltier coefficients. These four coefficients characterize the transport properties of the device. In the presence of an external magnetic field, the off-diagonal ones obey Onsager reciprocity relations $L_{12}(B) = L_{21}(-B)$. Due to the symmetry of the two-terminal setup we are considering they also satisfy $L_{12}(B) = L_{21}(B)$. In addition, the second law of thermodynamics implies $L_{11}, L_{22} \geq 0$ and $\det[\hat{L}] > 0$. Taking into account these conditions, it can be shown that the maximum achievable efficiency in any of the two operational modes at a fixed temperature difference can be parametrized in terms of the figure of merit $ZT = L_{12}^2 / \det[\hat{L}]$ as follows [38]

$$\eta_{\text{he,fri}}^{\text{max}} = \eta_{\text{he,fri}}^C \frac{\sqrt{ZT + 1} - 1}{\sqrt{ZT + 1} + 1}. \quad (1)$$

$\eta_{\text{he}}^C = 1 - T_R/T_L$ and $\eta_{\text{fri}}^C = T_L/(T_L - T_R)$ are the Carnot efficiency and coefficient of performance for the heat-engine and the refrigerator, respectively. They set a bound for the coefficient of Eq. (1), which is achieved when $ZT \rightarrow \infty$.

Model and currents. We consider the following Hamiltonian for the full setup $H = \sum_{\alpha=L,R} H_\alpha + H_d + H_t$. The first term represents the edge states of the QHE with filling factors $\nu_\alpha = 1/m_\alpha$, which is described by the following Hamiltonian

$$H_\alpha = \frac{\pi v}{\nu_\alpha} \int dx \rho_\alpha^2(x), \quad (2)$$

where $\alpha = L, R$ denotes propagating modes injected from the left and right contacts and moving along the edge with velocity v . The corresponding densities are $\rho_\alpha(x) = \partial_x \phi_\alpha(x)/(2\pi)$ where $\phi_\alpha(x)$ are chiral bosonic fields that satisfy the Kac-Moody algebra $[\phi_\alpha(x), \phi_\beta(x')] = -i\pi\nu_\alpha\delta_{\alpha\beta}\text{sgn}(x - x')$. The second term of the Hamiltonian H describes the QD. It reads $H_d = \sum_{j=1}^N \varepsilon_{d,j} d_j^\dagger d_j$, where we are assuming a large Zeeman term that justifies considering fully polarized electrons. We assume that the N levels of the quantum dot are equally spaced

in energy by Δ and can be shifted by recourse to the gate voltage as $\varepsilon_{d,j} = \Delta(j-1) - eV_g$. The third term of the Hamiltonian represents the tunneling between the edge states and the QD,

$$H_t = \mathcal{V}_t \sum_{j,\alpha=L,R} [\Psi_\alpha^\dagger(x_0) d_j + H.c.], \quad (3)$$

with $\mathcal{V}_t = \sqrt{2\pi a} V_t$, being V_t the tunneling kinetic energy and a is a characteristic length setting the high energy cutoff for the edge spectrum, while x_0 denotes the position of the edge at which the contact to the dot is established. The bosonic form of the electron operator at the edge is [12]

$$\Psi_\alpha(x) \equiv \frac{F_\alpha}{\sqrt{2\pi a}} e^{i\frac{\nu_\alpha}{\nu_a} \phi_\alpha(x,t)}, \quad (4)$$

where F_α are Klein factors.

According to our definitions the charge and heat currents are $J_C = -e\langle \dot{N}_L \rangle$ and $J_Q = J_E - \mu_L J_C$, with $J_E = -\langle \dot{H}_L \rangle$. For the model under consideration, we have

$$\begin{aligned} J_C &= \langle \dot{J}_C \rangle = ieV_t \langle \Psi_L^\dagger(x_0) d - d^\dagger \Psi_L(x_0) \rangle, \\ J_E &= \frac{\pi v}{e\nu_L} \langle \hat{J}_C \rho_L(x_0) + \rho_L(x_0) \hat{J}_C \rangle. \end{aligned} \quad (5)$$

We resort to Schwinger-Keldysh non-equilibrium Green functions to calculate these currents, starting from their representation in terms the lesser Green functions $G_{\alpha,d}^<(t-t') = i\langle d_\sigma^\dagger(t') \Psi_\alpha(xt) \rangle$ as follows

$$\begin{aligned} J_C &= eV_t (G_{d,L}^<(t-t') - G_{L,d}^<(t-t'))|_{t=t'}, \\ J_E &= iV_t \partial_t (G_{d,L}^<(t-t') - G_{L,d}^<(t-t'))|_{t=t'}. \end{aligned} \quad (6)$$

These expressions can be calculated by recourse to perturbation theory in the tunneling term. Details are provided in Refs. 39–41. The result is

$$\begin{aligned} J_C &= \frac{e}{h} \int d\varepsilon \tau(\varepsilon) [f_R(\varepsilon - \mu_R) - f_L(\varepsilon - \mu_L)], \\ J_E &= \frac{1}{h} \int d\varepsilon \varepsilon \tau(\varepsilon) [f_R(\varepsilon - \mu_R) - f_L(\varepsilon - \mu_L)], \end{aligned} \quad (7)$$

where $f_\alpha(\varepsilon) = 1/(e^{\varepsilon/(k_B T_\alpha)} + 1)$ is the Fermi function. We have introduced the transmission function $\tau(\varepsilon)$ defined as

$$\tau(\varepsilon) = \frac{V_t^4}{\gamma} D_R(\varepsilon - \mu_R) D_d(\varepsilon) D_L(\varepsilon - \mu_L). \quad (8)$$

Here, $D_\nu(\varepsilon)$, with $\nu = R, L, d$, are the density of states of the right, left QHE edge states and the quantum dot, respectively. For the latter, we consider a model of N resonances with energies $\varepsilon_{d,j}$ and widths γ . We assume that $\gamma \sim V_t$. The corresponding expressions are

$$\begin{aligned} D_\alpha(\varepsilon) &= \frac{a^{m_\alpha-1}}{2\pi} \frac{(2\pi T_\alpha)^{m_\alpha-1}}{\Gamma(m_\alpha)} \left| \frac{\Gamma(m_\alpha/2 + i\varepsilon/(2\pi T_\alpha))}{\Gamma(1/2 + i\varepsilon/(2\pi T_\alpha))} \right|^2 \\ D_d(\varepsilon) &= \sum_j \frac{\gamma/N\pi}{(\varepsilon - \varepsilon_{d,j})^2 + \gamma^2}, \end{aligned} \quad (9)$$

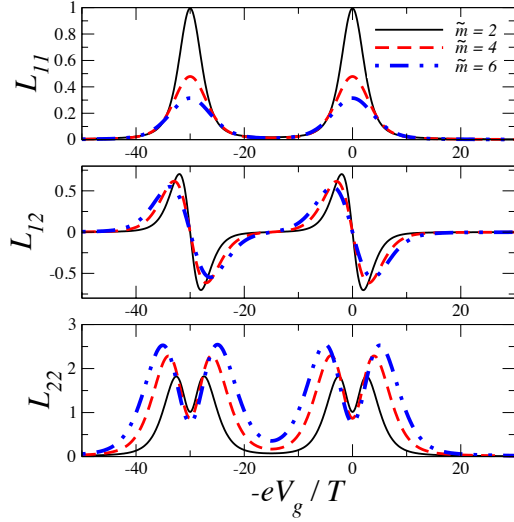


FIG. 2. (Color online) Onsager coefficients at the temperature $T = \gamma$, as functions of the gate voltage for a quantum dot with two energy levels. Solid, dashed and dashed-dotted lines correspond to $\tilde{m} = m_L + m_R = 2, 4, 6$, respectively. The integer-filling case corresponds to $\tilde{m} = 2$. The level spacing is $\Delta = 30\gamma$. The chemical potential is set at $\mu = 0$.

where $\Gamma(z)$ is the Euler function.

Onsager matrix and transport coefficients. Expanding the difference of Fermi functions in Eqs. (7), we have

$$\hat{L} = -\frac{k_B T}{2h} \int d\varepsilon \begin{pmatrix} \varepsilon & e\varepsilon \\ \varepsilon & \varepsilon^2 \end{pmatrix} \tau(\varepsilon) \frac{\partial f(\varepsilon)}{\partial \varepsilon}. \quad (10)$$

The behavior of the different matrix elements is determined by the transmission function $\tau(\varepsilon)$. The latter can be externally modified by changing the energy of the levels in the quantum dot by means of the gate voltage. The temperature enters the density of states of the edges and the derivative of the Fermi function. Examples are shown in Fig. 2 where the three different L_{ij} are shown as functions of the gate voltage V_g for a given value of the background temperature. We recall that the V_g shifts rigidly the energy of the QD levels. Interestingly, the behavior of these coefficients is determined by the integer $\tilde{m} = m_L + m_R$ corresponding to an effective filling factor $1/\tilde{\nu} = 1/\nu_L + 1/\nu_R$. This property was previously discussed in the context of the conductance [42] and the charge-current noise [43] in quantum point contacts between QHE regions with different filling factors. Here, we see that it is a more general characteristic of all the transport coefficients, which becomes explicit in the analytic low-temperature behavior given by Eqs. (13). The element L_{11} exhibits peaks when a level is aligned with the chemical potential and as a function of the gate voltage. Instead, the off-diagonal coefficient L_{12} vanishes and changes sign at this value, which indicates the possibility of operating the device as a heat engine ($L_{12} > 0$) or a refrigerator ($L_{12} < 0$). The vanishing of L_{12} implies a lack of thermoelectric response when the chemical potential is exactly resonant with the levels of the dot. As a function of the

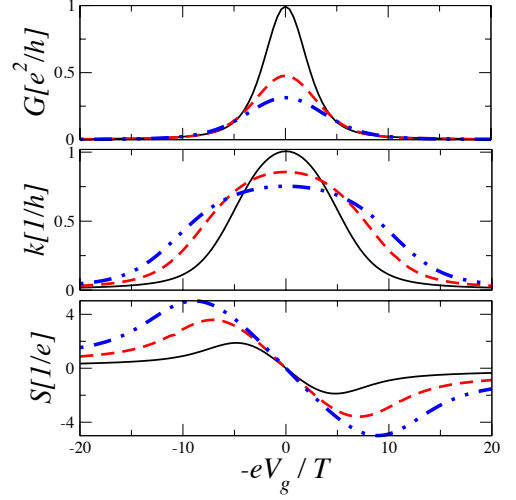


FIG. 3. (Color online) Electrical and thermal conductances, G and κ , respectively and Seebeck coefficient S of a single-level quantum dot, as functions of the gate voltage. Other details are the same as in Fig. 2.

filling fraction, we see that L_{11} and L_{12} decrease for the fractional fillings $\tilde{m} > 2$ in comparison to the integer case $\tilde{m} = 2$. The suppression of the electrical conductance in the tunneling regime of the fractional QHE, and in Luttinger liquids in general, has been widely discussed in the literature [11]. Here, we see that a similar effect takes place in the off-diagonal Onsager coefficient as well.

The Onsager matrix elements are related to the electrical and thermal conductances G and κ , the Seebeck and Peltier coefficients S and Π , respectively, as follows

$$G = \frac{L_{11}}{T}, \quad \kappa = \frac{1}{T^2} \frac{\det \hat{L}}{L_{11}}, \quad TS = \Pi = \frac{L_{12}}{L_{11}}. \quad (11)$$

Examples of their dependence with V_g are shown in Fig. 3 for a single-level. We see that the electrical and thermal conductances follow a behavior similar to that of the diagonal Onsager coefficients. Remarkably, we see that the Seebeck coefficient increases in the fractional-filling case, relative to the integer-filling one. This behavior is “a priori” unexpected from the behavior of L_{12} , which follows the opposite trend. The behavior of S is due to the fact that L_{12} decreases as function of the filling factor at a lower rate than L_{11} , as a consequence of the different impact that the fractionalization of the charge (m_L, m_R) has on the charge and thermal channels. This feature becomes explicit in the low-temperature behavior described by Eqs. (13).

Figure of merit. The response described by the Onsager coefficients is suppressed for fractional fillings. However, as the off-diagonal elements are less suppressed than that of the diagonal ones, the thermoelectric response can be improved. An indication of the degree of such improvement is quantified by the figure of merit ZT . The corresponding behavior is shown in Fig. 4. At all temperatures, there is an enhancement of the

figure of merit in the fractional case relative to the integer one. This effect is particularly crucial for low temperatures, where ZT is very low in the integer QHE, but it can be improved up to an order of magnitude in the fractional one.

The key for the understanding of the behavior of the transport coefficients is the analysis of the transmission function given in Eq. (8). For simplicity, we focus on the case where the QD contains a single level, to study the qualitative features of this function and the integrands of the Onsager coefficients. Notice that in the limit $\Delta \gg \gamma$ each of the levels of the QD contribute separately. For simplicity, we focus on the case of a single level. In the limit where $\gamma \rightarrow 0$, the density of states of the QD can be approximated as $D_d(\varepsilon) \sim \delta(\varepsilon - \varepsilon_d)$. Substituting in Eq. (12) we have

$$\hat{L} \sim -\frac{k_B T}{2h} \begin{pmatrix} e & e\varepsilon_d \\ \varepsilon_d & \varepsilon_d^2 \end{pmatrix} D_L(\varepsilon_d) D_R(\varepsilon_d) f'(\varepsilon_d), \quad \gamma \rightarrow 0. \quad (12)$$

Using these coefficients in the definition of the figure of merit, we find $ZT \rightarrow \infty$, which corresponds to Carnot efficiency. The fact that the limit of a vanishing width of the transmission function leads to optimal efficiency was originally pointed out in Ref. 44 and constitutes an extreme case. In what follows we turn to analyze the case where γ is a finite quantity, although it satisfies $\gamma \ll \Delta$. For low energies, the density of states of the edge states behaves as a power law, $D_\alpha(\varepsilon) \propto |\varepsilon|^{m_\alpha-1}$. On the other hand the difference of the Fermi functions sets the relevant integration window to $\sim [-k_B T, k_B T]$. In the low-temperature regime $k_B T \leq \gamma$, we can approximate the density of states of the quantum dot by $D_d(\varepsilon) = D_d(0) + D'_d(0)\varepsilon$. The result of these approximations leads to the following rough estimates of the Onsager matrix elements

$$\begin{aligned} L_{11} &\sim c_{m_L, m_R}(T) \frac{D_d(0)}{(\tilde{m}-1)} (k_B T)^{\tilde{m}-1}, \\ L_{12} &\sim c_{m_L, m_R}(T) \frac{D'_d(0)}{(\tilde{m}+1)} (k_B T)^{\tilde{m}+1}, \\ L_{22} &\sim c_{m_L, m_R}(T) \frac{D_d(0)}{(\tilde{m}+1)} (k_B T)^{\tilde{m}+1}, \end{aligned} \quad (13)$$

where the common prefactor $c_{m_L, m_R}(T)$ is a function of the temperature. As already stressed before, the low-temperature behavior of these coefficients is determined by $\tilde{m} = m_L + m_R$. We can now see that the Seebeck coefficient is approximately given by

$$S \simeq -2 \frac{(\tilde{m}-1)}{(\tilde{m}+1)} \frac{\varepsilon_d k_B T}{\varepsilon_d^2 + \gamma^2}, \quad T < \gamma. \quad (14)$$

This implies an enhancement of at least a factor $3(\tilde{m}-1)/(\tilde{m}+1)$, relative to the integer-filling case, which is equivalent to 6/5 for $\tilde{m} = 4$, corresponding to filling factors $(\nu_L, \nu_R) = (1, 1/3)$ or $(1/3, 1)$, and 15/7 for $\tilde{m} = 6$, corresponding to $(\nu_L, \nu_R) = (1/3, 1/3)$. Similarly, the figure of merit can be written as

$$ZT = \frac{1}{\alpha - 1}, \quad \alpha = \frac{(\varepsilon_d^2 + \gamma^2)^2}{(2k_B T \varepsilon_d)^2} \frac{(\tilde{m}+1)}{(\tilde{m}-1)}, \quad (15)$$

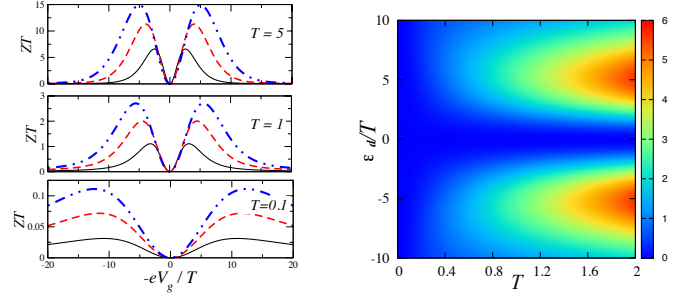


FIG. 4. (Color online) Figure of merit ZT as a function of the temperature T and the energy of the QD level ε_d (right panel). Plots at fixed temperatures are shown in the left panels. Other details are the same as in Fig. 2.

with $\alpha = L_{11}L_{22}/L_{12}^2$. Concomitant with the behavior of the Seebeck coefficient, we see that as \tilde{m} increases $\alpha \rightarrow 1$, which implies an enhancement of the figure of merit, which is, of course, restricted to the limited number of physically realized values of \tilde{m} . We have verified that these simple approximate expressions fit on top of the exact results for temperatures $0 < k_B T < 0.1\gamma$. For higher temperatures these expressions remain qualitatively correct while quantitatively lower than the exact result. Hence, Eqs. (14) and (15) provide quite accurate lower bounds for the exact thermoelectric performance of the device of Fig. 1. It is important to stress that in the case of the figure of merit ZT the enhancement in the fractional case increases significantly as the temperature grows.

In order to gather the relevance of these results in the context of concrete experimental situations, let us quote the typical energy scales for quantum dots embedded in quantum Hall bars. The value of the level spacing Δ varies in different experimental setups. It can be of the order of $200\mu\text{eV}$ [45]. In Ref. [30] it is quoted $32\mu\text{eV}$. Assuming that typical values for the hybridization energy are $\gamma \sim 1\mu\text{eV}$ and considering the values of Δ mentioned above, the behavior of the transport coefficients can be regarded as a trivial superposition of the contributions of the single levels. For these estimates, the temperature of the left middle panel of Fig. 4 corresponds to $T \sim 12\text{mK}$. For these parameters, the maximum value of the figure of merit is $ZT \sim 1$ in the integer case, which implies $\eta < \eta_C/6$. Instead, for filling factors $(\nu_L, \nu_R) = (1/3, 1/3)$ the figure of merit may achieve $ZT \sim 3$, implying $\eta \sim \eta_C/3$ at the maxima. For higher temperatures, like the one shown in the upper left panel of the figure (corresponding to $T \sim 60\text{mK}$), $ZT \sim 15$, at the maxima in the case with $(\nu_L, \nu_R) = (1/3, 1/3)$, implying $\eta \sim 3/5\eta_C$.

Conclusions. We have shown that the thermoelectric performance of a two-terminal quantum Hall effect device with an embedded quantum dot increases for increasing values of the inverse of the filling factor. Estimates of the parameters involved indicate that this effect should be relevant in typical operating conditions of the quantum Hall effect. The key ingredients are the fractionalization of the charge in the edge states and the breaking of the particle-hole symmetry in the

tunneling contact by the quantum dot. Hence, similar behavior should be expected in contacts between other structures modeled by Luttinger liquids, like carbon nanotubes and any other tunneling junction breaking particle-hole symmetry.

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