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Phys. Rev. B **97**, 064105 — Published 12 February 2018

DOI: [10.1103/PhysRevB.97.064105](https://doi.org/10.1103/PhysRevB.97.064105)

A local order metric for condensed phase environments

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We introduce a local order metric (LOM) that measures the degree of order in the neighborhood of an atomic or molecular site in a condensed medium. The LOM maximizes the overlap between the spatial distribution of sites belonging to that neighborhood and the corresponding distribution in a suitable reference system. The LOM takes a value tending to zero for completely disordered environments and tending to one for environments that match perfectly the reference. The site averaged LOM and its standard deviation define two scalar order parameters, S and δS , that characterize with excellent resolution crystals, liquids, and amorphous materials. We show with molecular dynamics simulations that S , δS and the LOM provide very insightful information in the study of structural transformations, such as those occurring when ice spontaneously nucleates from supercooled water or when a supercooled water sample becomes amorphous upon progressive cooling.

I. INTRODUCTION

There is great interest in understanding the atomic scale transformations in processes like crystallization, melting, amorphisation and crystal phase transitions. These processes occur via concerted motions of the atoms, which are accessible, in principle, from molecular dynamics simulations but are often difficult to visualize in view of their complexity. To gain physical insight in these situations, it is common practice to map the many-body transformations onto some space of reduced dimensionality by means of functions of the atomic coordinates called order parameters (OPs), which measure the degree of order in a material.

Widely used OPs are the bond order parameters Q_l that measure the global orientational order of a multiatomic system from the sample average of the spherical harmonics $Y_{lm}(\hat{\mathbf{r}})$ associated to the bond directions $\hat{\mathbf{r}}$ between neighboring atomic sites, typically the nearest neighbors. The set of l spherical harmonics defines an orthonormal basis spanning the $(2l+1)$ -dimensional representation of the rotation group $SO(3)$ relating the irreducible representation of $SO(3)$ and the symmetries of crystalline structures. The average spherical harmonics $\bar{Y}_{lm} \equiv \langle Y_{lm}(\hat{\mathbf{r}}) \rangle$ depend on the choice of the reference frame but the bond order parameters $Q_l \equiv \left[\frac{4\pi}{2l+1} \sum_{m=-l}^l |\bar{Y}_{lm}|^2 \right]^{1/2}$ are rotationally invariant and

encode an intrinsic property of the medium. The Q_l 's take characteristic non zero values for crystalline structures, and distinguish unambiguously crystals from liquids and glasses. In fact the Q_l 's vanish in the thermodynamic limit for all liquids and glasses, i.e. systems that lack long-range order and are macroscopically isotropic. Liquids and glasses, however, can differ among themselves in the short- and/or intermediate-range order. Some substances, like e.g. water, exhibit polyamorphism, which means that they can exist in different amorphous forms depending on the preparation protocol. In these cases we would need either a measure of the local order or a measure of the global order that could recognize different liquids and glasses. A good measure of the local order is also crucial to analyze heterogeneous systems, such as e.g. when different phases coexist in a nucleation process. Specializing the definition of the bond order parameters Q_l to the local environment of a site j is straightforward: it simply involves restricting the calculation of the average spherical harmonics to the environment of j , obtaining in this way local bond order parameters called $q_l(j)$ ². However, the $q_l(j)$'s have limited resolution and liquid environments often possess a high degree of local order that make them rather similar to disordered crystalline environments³. Several approaches have been devised to improve the resolution of the measures of the local order^{2,4-9}. For example, it has been suggested using combinations of two or more local OPs^{6,8,10-14}, but these approaches may still have difficulties in distinguishing crystalline polymorphs such as e.g. cubic (Ic) and hexagonal (Ih) ices¹³. In these situations additional analyses may be needed or one may resort to

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especially tailored OPs¹⁵. Examples of the latter in the context of tetrahedral network forming systems are the local structure index (LSI)^{16,17} and the tetrahedral order parameter q_{th} ^{18–20}. The LSI provides the extent to which the first and the second shells of neighbors of a tagged particle j are well separated. The q_{th} measures the angles between four nearest neighbors of a tagged particle j , and compare them with the angle of an ideal tetrahedron, providing a measure of the distortion in the first shell of neighbors.

Recently, an alternative approach to measure the local order in materials has been discussed in the literature, inspired by computer science algorithms known as "shape matching"²¹. In these schemes the similarity between an environment and a reference is gauged by a similarity kernel, or similarity matrix^{22,23}, which is often represented in terms of spherical harmonic expansions that measure angular correlations, in a way independent of the reference frame. General theories of the similarity kernel in the context of structure classification in materials science have been presented in Refs^{23,24}. Approaches based on similarity kernels have been applied successfully to a number of problems, including studies of the icosahedral order in polymer-tethered nanospheres²², studies of the morphology of nanoparticles²³, models of self-assembly²¹, studies of quasi-crystalline and crystalline phases of densely packed tetrahedra²⁵, and the prediction of the atomization energies of small organic molecules^{24,26}.

The approach that we introduce here belongs to this general class of methods and is based on a similarity kernel of the Gaussian type²⁴ to measure the overlap between a local structure and an ideal reference. In our scheme, the similarity kernel is not represented in terms of basis functions like the spherical harmonics, but is globally maximized by rotating the local reference after finding an optimal correspondence between the site indices of the environment and those of the reference. Specifically, we consider the configurations, i.e. the site coordinates, of a system of N identical atoms. The M neighbors of each site define a set of local *patterns*. The M corresponding sites of an ideal crystal lattice constitute the local *reference*. Typically, we take M equal to the number of the first and/or the second neighbors at each site. Each pattern defines not only a set of directions, but also a set of inter-site distances. Under equilibrium conditions the average nearest neighbor distance takes the same value, d , throughout the sample and we set the nearest neighbor distance in the reference equal to d . The maximal overlap of pattern and reference at each site j constitutes our local order metric (LOM) $S(j)$. Since the overlap is maximized with respect to both rotations of the reference and permutations of the site indices, the LOM is an intrinsic property of each local environment and is independent of the reference frame. The LOM approaches its minimum value of zero for completely disordered environments and approaches its maximum value of one for environments that match perfectly

the reference. The LOM is an accurate measure of the local order at each site. It allows us to grade the local environments on a scale of ascending order defined by the maximal overlap of each environment with the reference. In terms of the LOM we define two novel global OPs: the average score S , i.e. the site averaged LOM, and its standard deviation δS . S and δS are scalar OPs that characterize ordered and disordered phases with excellent resolving power.

In the following, we give a quantitative definition of the LOM and report an algorithm for calculating it. We demonstrate that this algorithm maximizes the overlap between pattern and reference in a number of important test cases. Then, we illustrate how S and δS can be used to characterize solid and liquid phases of prototypical two- and three-dimensional Yukawa systems, and of three-dimensional Lennard-Jones systems. Next, we consider some more complex applications. In one of them we monitor the structural fluctuations of supercooled water at different thermodynamic conditions within the ST2 model for the intermolecular interactions²⁷. In another we report a molecular dynamics study of the spontaneous crystallization of supercooled water adopting the mW model potential for the intermolecular interactions²⁸, showing that the LOM and the two global OPs S and δS provide a more accurate description of the nucleation process than standard OPs. Finally, we report a molecular dynamics study of the glass transition in supercooled water within the TIP4P/2005 model for the intermolecular interactions²⁹. This study shows that the new OPs can detect the environmental signatures of the freezing of the translational and of the rotational motions of the molecules.

The paper is organized as follows. In section II we present the method. Section III reports application to solid-liquid phase transition. In Section IV we apply the method to characterize the local order in water phases. Crystallization and amorphisation of supercooled water are discussed in Section V. Our conclusions and final remarks are presented in Section VI. In the Appendix VI we show an extension of our method to a continuous form.

II. METHOD

The local environment of an atomic site j in a snapshot of a molecular dynamics or Monte Carlo simulation defines a local pattern formed by M neighboring sites. Typically these include the first and/or the second neighbors of the site j . There are N local patterns, one for each atomic site j in the system. Indicating by \mathbf{P}_i^j ($i = 1, M$) the position vectors in the laboratory frame of the M neighbors of site j , their centroid is given by $\mathbf{P}_c^j \equiv \frac{1}{M} \sum_{i=1}^M \mathbf{P}_i^j$. In the following we refer the positions of the sites of the pattern to their centroid, i.e. $\mathbf{P}_i^j - \mathbf{P}_c^j \rightarrow \mathbf{P}_i^j$. The local reference is the set of the same M neighboring sites in an ideal lattice of choice, the spatial scale of which is fixed by setting its nearest neighbor

distance equal to d , the average equilibrium value in the system of interest. For each atomic site j the centroid of the reference is set to coincide with the centroid of the pattern, but otherwise the reference's orientation is arbitrary. The sites of the pattern and of the reference are labeled by the indices i of the position vectors. While the indices of the reference sites are fixed, any permutation of the indices of the pattern sites is allowed. We denote by $i_{\mathcal{P}}$ the permuted indices of the pattern sites corresponding to the permutation \mathcal{P} (if \mathcal{P} is the identical permutation the pattern indices coincide with those of the reference). For a given orientation of the reference and a given permutation of the pattern indices we define the overlap $\mathcal{O}(j)$ between pattern and reference in the j neighborhood by:

$$\mathcal{O}(j) [\theta, \phi, \psi; \mathcal{P}] = \prod_{i=1}^M \exp \left(- \frac{|\mathbf{P}_{i_{\mathcal{P}}}^j - \mathbf{R}_i^j|^2}{2\sigma^2 M} \right) \quad (1)$$

Here θ, ϕ, ψ are Euler angles, and σ is a parameter that controls the spread of the Gaussian functions. Intuitively, σ should be of the order of, but smaller than, d for the overlap function to be able of recognizing different environments. In our applications we adopted the choice $\sigma = d/4$, as we found, in several test cases, that the results are essentially independent of σ when this belongs to the interval $d/4 \leq \sigma \leq d/2$. The LOM $S(j)$ at site j is the maximum of the overlap function $\mathcal{O}(j)$ with respect to the orientation of the reference and the permutation of the pattern indices, i.e.:

$$S(j) = \max_{\theta, \phi, \psi; \mathcal{P}} \mathcal{O}(j) [\theta, \phi, \psi; \mathcal{P}] \quad (2)$$

The LOM is an intrinsic property of the local environment at variance with the overlap function $\mathcal{O}(j)$ that depends on the orientation of the reference and on the ordering of the sites in the pattern. The LOM satisfies the inequalities $0 \lesssim S(j) \leq 1$. The two limits correspond, respectively, to a completely disordered local pattern ($S(j) \rightarrow 0$) and to an ordered local pattern matching perfectly the reference ($S(j) \rightarrow 1$). The LOM grades each local environment on an increasing scale of local order from almost zero to one. As a consequence of the point symmetry of the reference the overlap function defined in Eq. 1 has multiple equivalent maxima. We present in Sect II A an effective optimization algorithm to compute $S(j)$. We define two global order parameters based on $S(j)$. One is the average score S or site averaged LOM:

$$S = \frac{1}{N} \sum_{j=1}^N S(j) \quad (3)$$

The other is the standard deviation of the score that we indicate by δS :

$$\delta S = \sqrt{\frac{1}{N} \sum_{j=1}^N (S(j) - S)^2} \quad (4)$$

In the following sections of the paper we show with numerical examples that the score S has excellent resolution and is capable of characterizing with good accuracy the global order of both crystalline and liquid/amorphous samples. The standard deviation of the score, δS , provides useful complementary information and can enhance the sensitivity of the measure of the global order in the context of structural transformations.

A. Optimization algorithm

The overlap function $\mathcal{O}(j)$ defined in Eq. 1 has L equivalent maxima. Here L is the number of proper point symmetry operations of the reference. If a maximum corresponds to the permutation $\bar{\mathcal{P}}$ of the pattern indices and to the Euler angles $(\bar{\theta}, \bar{\phi}, \bar{\psi})$, all the other distinct but equivalent maxima can be obtained from the known maximum by rotating the reference from the direction $(\bar{\theta}, \bar{\phi}, \bar{\psi})$ with the $L - 1$ point symmetry operations different from the identity, and by updating correspondingly the permutations of the pattern indices. To compute $S(j)$ (Eq. 2) it is sufficient to locate only one of these maxima. In view of that to explore only a fraction $1/L$ of the Euler angle domain Ω , which we may call Ω/L , the irreducible domain of the Euler angles. We also notice that $\mathcal{O}(j)$ in Eq. 1 decays rapidly to zero when the distance between any one of the pattern sites and the corresponding reference site is sufficiently larger than σ .

For a given reference site i , we define $n(i)$ as the number of pattern sites whose distance to i is within $d/2$. Since the pair distances between reference sites are at least d , the spheres with radii $d/2$ centered at each reference site defines a disjoint set of domains. If $n(i) = 1$, there exist only one pattern site k for which $d_{ki} < d/2$. In this case, both i and k are labeled *settled*, otherwise both are *unsettled*.

In order to optimize the overlap function $\mathcal{O}(j)$, we proceed with the following steps:

1. We pick at random with uniform probability a point in the irreducible Euler domain $\alpha \in \Omega/L$. We obtain a permutation \mathcal{P} by the following resorting process:
 - (a) Select a random index ordering for the pattern sites for the resorting process.
 - (b) Follow the random index order generated in (a) and assign the closest unassigned reference site to each subsequent pattern site.

- (c) Use the stored correspondence between the reference and pattern sites in (b) to construct the overall permutation \mathcal{P} .

We check for the number of settled sites $M_{\mathcal{P}}$ in the reference by computing the distances between reference and pattern sites. We then perform conjugate gradient (CG) optimization to with permutation \mathcal{P} to obtain a maximum $S_j(M_{\mathcal{P}})$ with γ being the corresponding Euler angles. In case that $M_{\mathcal{P}} = M$, the permutation is optimized, and $S_j(M_{\mathcal{P}})$ from CG optimization, therefore, gives the global maximum. We store γ , \mathcal{P} , and $M_{\mathcal{P}}$ for further comparison.

2. We pick a new permutation \mathcal{P}' with the resorting algorithm and a new point in the irreducible Euler domain $\alpha' \in \Omega/L$. We check for the number of settled sites $M_{\mathcal{P}'}$ in the reference.

- If $M_{\mathcal{P}'} < M_{\mathcal{P}}$, we discard the choice of \mathcal{P}' and of α' and repeat step 2.
- If $M_{\mathcal{P}'} > M_{\mathcal{P}}$, we perform CG and optimize the orientation at γ' to reach maximum at $S_j(M_{\mathcal{P}'})$. If $S_j(M_{\mathcal{P}'}) < S_j(M_{\mathcal{P}})$ we discard the choice of \mathcal{P}' and γ' . Otherwise, we update them with the current values ($\mathcal{P} = \mathcal{P}'$, $\gamma = \gamma'$, and $S_j(M_{\mathcal{P}}) = S_j(M_{\mathcal{P}'})$) and in both cases repeat step 2.
- If $M_{\mathcal{P}'} = M_{\mathcal{P}}$, we check if the set of $M_{\mathcal{P}'}$ settled indices is the same as the set composing $M_{\mathcal{P}}$. If they are the same, convergence is achieved. Otherwise, we perform CG and evaluate $S_j(M_{\mathcal{P}'})$. If $S_j(M_{\mathcal{P}'}) < S_j(M_{\mathcal{P}})$ we discard the choice of γ' , \mathcal{P}' , and $S_j(M_{\mathcal{P}'})$, and go back to 2. Otherwise, we store them ($\mathcal{P} = \mathcal{P}'$ and $\gamma = \gamma'$) and go to 2.

In our applications involving crystalline phases, we found that the convergence typically takes 5 iterations in the random choice of initial Euler angles, while in liquid cases, one typically need around 30 Euler angle choices. On average, 8 CG steps are required to achieve convergence in each angular optimization, and the execution time is comparable with a classical MD timestep.

To check that the algorithm leads correctly to the maximum of $\mathcal{O}(j)$ we made several tests. In some of them we considered a perfect crystalline environment (at zero temperature) and chose a reference based on the same crystalline structure. In this case $S(j)$ should take the value $S(j) = 1$. We found that this was always the case when starting from random permutations of the pattern sites and random orientations of the reference. In other tests we calculated the global maximum for a two dimensional liquid. We computed all possible permutations and selected the one which gives the global maximum, finding that $S(j)$ converged always to the global maximum within the tolerance of the convergence criterion. In other tests we considered disordered three dimensional

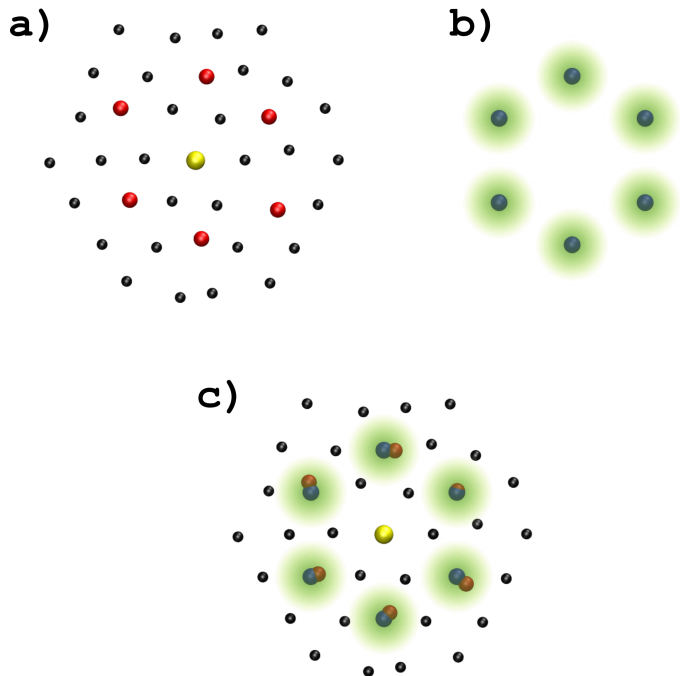


FIG. 1: Schematic representation of our approach in an application to a 2D Yukawa crystal at $k_B T/V_0 = 0.001$. a): The red spheres indicate the second shell of neighbors of site j (yellow sphere). b): The 6 blue spheres are the vertices of the reference hexagon. The green shaded areas represent the Gaussian domains. c): Optimized overlay of reference and local patterns.

crystalline and liquid environments at different temperatures. In these cases the exact values of $S(j)$ are not known a priori. However, in all the cases we found that $S(j)$ converged always to the same value within the tolerance of the convergence criterion, independently of the initial random values chosen for the permutation of the pattern indices and for the orientation of the reference. In Fig. 1 a two-dimensional crystal with Yukawa pair interactions is used to illustrate the method. The system has been equilibrated at finite temperature. As reference we choose the 6 sites associated to the second shell of neighbors in the ideal triangular lattice. The 6 sites are the vertices of a regular hexagon. The picture shows (a) a local environment, (b) the corresponding reference with shaded areas representing the regions in the neighborhood of the reference selected by σ , (c) the optimal overlap between pattern and reference for the local environment depicted in (a).

III. APPLICATIONS TO SIMPLE SYSTEMS

As a first application we use the new OPs to analyze simulations of simple condensed phase systems at varying temperature. Initially the temperature is low and the systems are in the solid state. When the temperature exceeds a certain threshold, the solid loses mechanical stability and the atomic dynamics becomes diffusive signaling a transition to the liquid state. We have considered, in particular, the following systems: a 2D system of identical particles with Yukawa pair interactions, a 3D system of identical particles with Yukawa pair interactions, and a 3D system of identical particles with Lennard-Jones pair interactions. In all cases we find that the new OPs signal the transition to the liquid state with sensitivity equivalent to that of popular OPs, like Q_6 and its two-dimensional specialization Ψ_6 ³⁰.

A. Yukawa system in 2D

Here we perform Brownian dynamics simulations of particles with repulsive pair interactions given by the Yukawa potential $V(r) = V_0 \exp(-\kappa r)/\kappa r$, where r denotes the inter-particle separation, and κ is the inverse screening length. The strength of the interaction is set by the amplitude V_0 . We consider a system of 9180 particles in the NVT ensemble with periodic boundary conditions. We start the simulations from a perfect triangular lattice. We analyse the degree of local order as a function of $k_B T/V_0$ at the fixed reduced screening length $\rho/\kappa^2 = 0.21$, where ρ is the 2D number density. Without loss of generality we hereby use $V_0 = 1$. Pattern sites comprise the second shell of neighbors and are compared with the reference in Fig. 1 for a representative snapshot of the solid at $k_B T/V_0 = 0.001$. Panel (a) of Fig. 2 compares the global OPs S (black dots) and Ψ_6 (red squares). As expected, both S and Ψ_6 for the perfect crystal take the value of 1. As T increases, both S and Ψ_6 decrease. In correspondence with the blue dashed lines, signaling instability of the crystal, both OPs show a quick drop. In the liquid phase, $\Psi_6 \simeq 0$, as expected, while S keeps a finite value of $S \simeq 0.4$, which slightly decreases as the temperature is further increased. Therefore, both S and Ψ_6 identify the phase transition, but only S is able to quantify the degree of order remaining in the liquid phase.

The behavior of δS in panel (b) gives further insight on the solid-liquid transition. δS takes the maximum value in the crystal at the highest temperature and drops substantially in the liquid phase. This behaviour follows from the non linear nature of the LOM. The liquid phase has more strongly disordered local patterns with sites often belonging to the tails of the Gaussian domains in Eq. 1. Site fluctuations in the liquid weight less than fluctuations in the solid, where patterns sites are closer to the centers of the Gaussian domains.

We have observed the same behavior of δS in all the

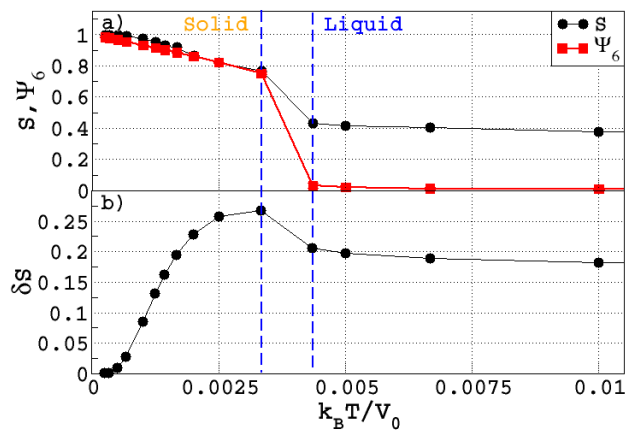


FIG. 2: a): 2D Yukawa system. Profile of S (black dots) and of Ψ_6 (red squares) as a function of $k_B T/V_0$. The blue dashed lines delimit the region of instability of the solid phase in the simulation. b): 2D Yukawa system. Profile of δS as a function of $k_B T/V_0$.

solid-liquid transitions that we have investigated, namely δS takes its maximum value in the hot crystal before the occurrence of the dynamical instability that signals melting. It is tempting to notice the similarity of this behavior with Lindemann's melting criterion³¹, according to which melting occurs when the average atomic displacement exceeds some fraction of the interatomic distance. In our approach the dynamic instability is associated to the largest fluctuation of S .

B. Yukawa and Lennard-Jones systems in 3D

In Fig. 3 we report S and Q_6 as a function of the temperature for a 3D system of identical particles with Yukawa pair interactions (panels (a) and (b)) and for a 3D system of identical particles with Lennard-Jones pair interactions (panels (c) and (d)). At low temperature the Yukawa system is in the bcc crystalline phase whereas the Lennard-Jones system is in the fcc crystalline phase. In the Yukawa system we use the pairwise interactions introduced in Section III A. We sample the NVT ensemble with Brownian dynamics. The simulation cell contains 4394 particles with periodic boundary conditions. The reference includes the first and the second shell of neighbors of a perfect bcc lattice for a total of 14 sites. Panel (a) shows S (black dots) and Q_6 (red squares) versus temperature. At very low temperatures, $S \simeq 1$ because reference and pattern overlap almost perfectly. Increasing the temperature, both OPs show a quick drop in correspondence with the phase transition with $Q_6 \rightarrow 0$ as expected in the liquid phase, and S taking a value $S \simeq 0.4$. Like in 2D, both order parameters are able to identify the phase transition, but S provides quantitative information on the order present in the liquid, whereas Q_6 takes

zero value in all liquids in the thermodynamic limit. It is worth recalling that local OPs, such as the $q_l(j)$, have difficulties in identifying the bcc symmetry of hot crystals before melting³², because both bcc and fcc have the same point group. Our approach uses a non-linear LOM, which can unambiguously distinguish distorted local bcc structures from distorted local fcc or hcp structures. To illustrate this statement we report in the temperature range of crystalline stability in Fig. 3 (a) the profile of S (green diamonds) obtained by using as reference the first shell of neighbors of the fcc lattice. We notice that $S(\text{bcc})$ and $S(\text{fcc})$ are well separated in the solid phase even at the highest temperatures. This result also shows that an *a priori* knowledge of the crystalline symmetry is not necessary in order to discern between different crystals. Panel (b) shows δS . As in 2D, δS takes its maximum value in the solid phase before the onset of crystalline instability in the simulation.

In panels (c) and (d) we report the same data for a 3D system of identical particles interacting with the Lennard-Jones potential with parameters appropriate to Argon³³. In this case we perform Monte Carlo simulations in the NpT ensemble with a periodic box containing 1372 particles. We choose as reference the anticuboctahedron, which has 12 vertices, and corresponds to the first shell of neighbors in the ideal fcc lattice. The temperature variation of S (black circles) and Q_6 (red squares) is shown in panel (c). At very low temperature, $S \simeq 1$ due to the nearly perfect overlap of patterns and reference. S and Q_6 are able to distinguish the crystalline solid from the liquid, and show a substantial drop in correspondence with the dashed vertical blue lines. In the liquid, $Q_6 \rightarrow 0$ as expected, while S remains finite with a value close to 0.2. Similarly, δS in panel (d) takes its maximum value in the crystal at the highest temperature.

Representative local environments at different temperatures around a site indicated by a yellow sphere are shown in Fig. 4: the environment on the left corresponds to a cold crystal ($T = 0.2$), the one in the middle to a hot crystal ($T = 0.6$), and the one on the right to a liquid ($T = 1.0$). One may notice the increasing deviation with temperature of the pattern sites (red spheres) relative to the reference sites (blue spheres). In the liquid state some of the pattern sites move in the tail region of the Gaussian domains, causing a drop in both S and δS .

IV. LOCAL STRUCTURES IN WATER PHASES

Molecular systems like water exhibit a rich phase diagram, with two competitive crystalline phases, cubic (*Ic*) and hexagonal (*Ih*) ice, respectively, at low pressure. Moreover, metastable undercooled liquid water transforms continuously with pressure from a low-density form (LDL) to a high-density one (HDL)³⁴. In the following we consider representative *Ic* and *Ih* solids, LDL and HDL liquids at different thermodynamic conditions.

Water molecules bind together by hydrogen bonds

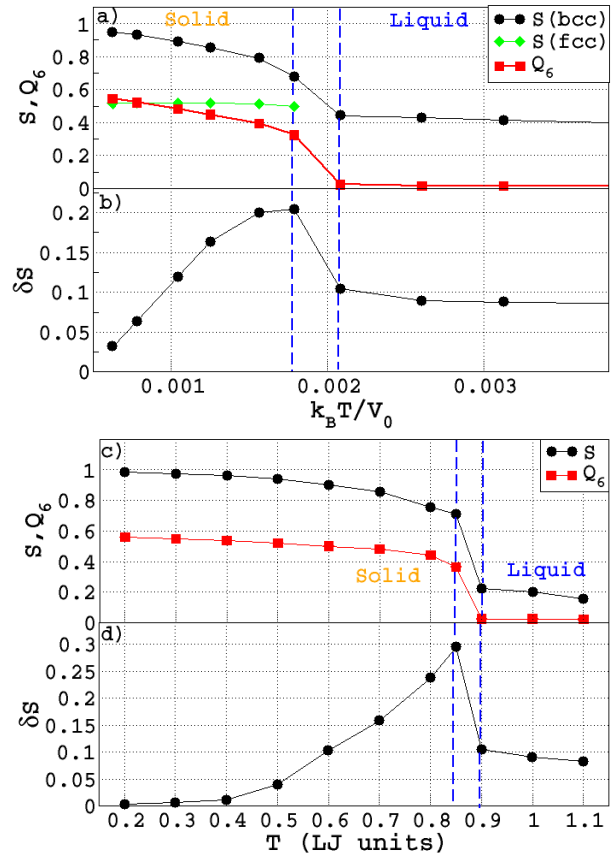


FIG. 3: a): S computed with ideal bcc crystal reference (black dots), Q_6 (red squares), and S computed with fcc reference (green diamonds), as a function of $k_B T / V_0$ for a 3D Yukawa system. b): δS corresponding to S with bcc reference for the same Yukawa system. c): S (black dots) and Q_6 (red squares) as a function of T for a Lennard-Jones system. d): δS for the same Lennard-Jones system. The vertical blue dashed lines delimit the regions of crystal instability in the simulations.

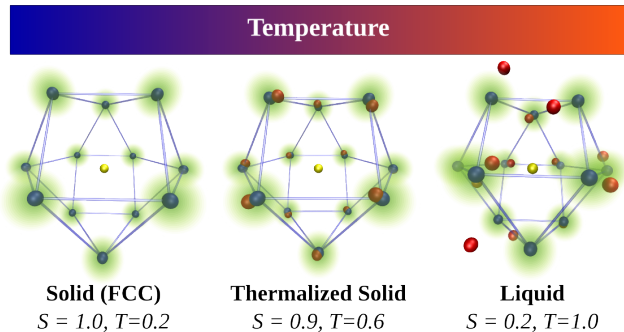


FIG. 4: Local environments in solid and liquid Lennard-Jonesium at different temperatures. The notation is the same of Fig. 1. Neighboring reference sites have been connected by thin lines to emphasize the structure of the anticuboctahedron.

forming a tetrahedral network connecting neighboring molecules. To describe this network it is sufficient to consider the molecules as rigid units centered on the oxygens. The sites that define the local order are the oxygen sites and application of the formalism is straightforward. Water structures are dominated by tetrahedral hydrogen bonds and have similar short range order (SRO). The intermediate range order (IRO) is significantly more sensitive to structural changes than the SRO. We choose therefore references associated to the second shell of neighbors in crystalline ices. In particular, we adopt either the cuboctahedron (C) or the anticuboctahedron (\bar{C}), both of which have 12 vertices and correspond to the second shell of neighbors in cubic and hexagonal ices, respectively. In these simulations we used the ST2 force field²⁷ for water with periodic boundary conditions and adopted the Ewald technique (with metallic boundaries) to compute the electrostatic sums.

A. Hexagonal and cubic ice

The simulation box for Ic ice is cubic and contains 512 molecules, while that for Ih ice is orthorhombic and contains 768 molecules. We thermally equilibrate both solids via classical MD simulations in the NpT ensemble at $T = 250$ K and $p = 1$ bar. In panel (a) of Fig. 5 we report the distribution of S with reference \bar{C} indicated by $S_{\bar{C}}$ for cubic (black) and hexagonal (red) ices. In panel (b) of the same figure, we report the corresponding distributions of S with reference C indicated by S_C . It is clear from both panels that the distributions based on the two different references for the same crystal are well separated. Moreover, the distributions corresponding to the two different crystals are also well separated irrespective of the reference we use.

One notices in Fig. 5 that the distribution of the order parameter S is broad when the reference is based on the same lattice of the pattern, i.e. \bar{C} for Ih ice and C for Ic ice. The distribution is instead rather sharp when the \bar{C} reference is used to measure Ic patterns or when the C reference is used to measure Ih patterns. This behavior is a consequence of the non-linearity of the LOM. When pattern and reference correspond to the same crystalline lattice the pattern sites are closer to the reference sites and small fluctuations in the pattern cause relatively large variations of the LOM. On the other hand, when pattern and reference do not correspond to the same crystalline lattice, pattern sites deviate more from the reference sites and small fluctuations in the pattern cause relatively small variations of the LOM.

B. Low-density and high-density liquid water

We performed MD simulations for water in the NpT ensemble at $T = 240$ K and $p = 1$ bar and $p = 3$ kbar, respectively. The case $p = 1$ bar is representative of a

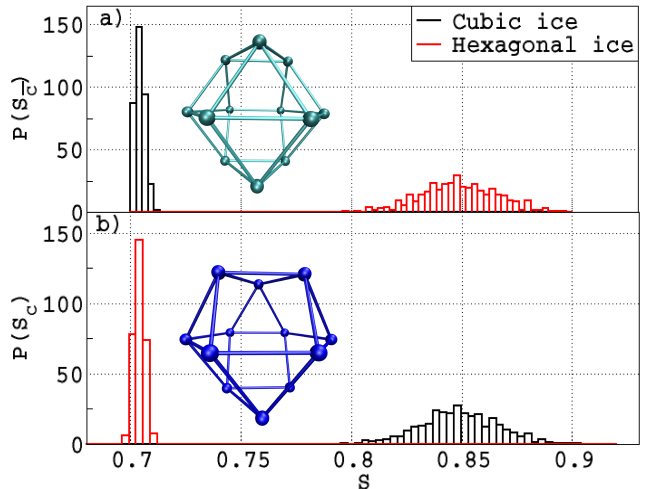


FIG. 5: a): distribution of S for Ic ice (black) and for Ih ice (red) with the \bar{C} reference. b): distribution of S for Ic ice (black) and for Ih ice (red) with the C reference. The \bar{C} and the C references are depicted in the upper and in the lower panel, respectively. Spheres representing oxygen atoms are connected by sticks to emphasize the cuboctahedron (green) and the anticuboctahedron (blue).

LDL liquid, while the case with $p = 3$ kbar is representative of a HDL liquid. We use a cubic box containing 512 molecules with periodic boundary conditions.

Resolving the local order in disordered structures, like HDL and LDL water, is difficult. Standard local OPs such as $q_6(j)$ fail in this respect and ad hoc OPs like the local structure index (LSI) have been devised for the task. The LSI is sensitive to the order in the region between the first two coordination shells of water. In this region the LSI detects the presence of interstitial molecules, whose population increases as the density or the pressure increases. While the LSI is an OP especially tailored for water, S is non specific to water but has resolving power equivalent to that of the LSI in liquid water, as illustrated in Fig. 6. The two panels in this figure show the distribution of $S_{\bar{C}}$ (panel (a)) and of S_C (panel (b)) for HDL (black) and LDL (red). In both cases the distributions are well separated, similarly to the LSI distributions shown in the inset in panel (b). Independently of the adopted reference, S has a higher value in LDL than in HDL, reflecting the higher degree of order in the former. By comparing the two panels in Fig. 6 we also see that both liquids have higher \bar{C} - than C -character. Both LDL and HDL structures are well distinct from the crystalline reference and the corresponding broadening of the S distributions is approximately the same in the two liquids.

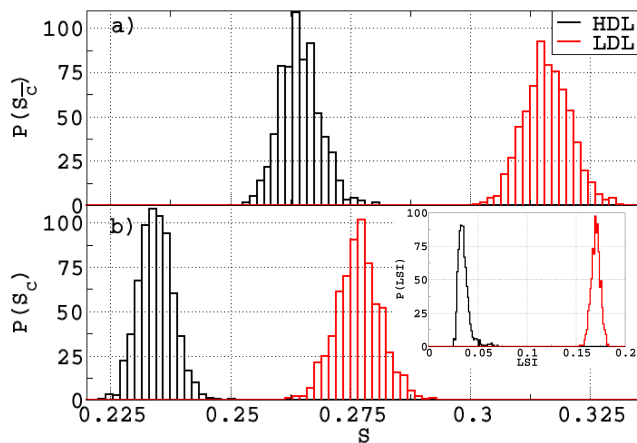


FIG. 6: a): distribution of S for HDL water (black) and for LDL water (red) with the \bar{C} reference. b): distribution of S for HDL water (black) and for LDL water (red) with the C reference. The \bar{C} and the C references are depicted in Fig. 5. The inset shows the distribution of LSI for HDL water (black) and LDL water (red).

V. CRYSTALLIZATION AND AMORPHISATION OF SUPERCOOLED WATER

To further illustrate the power of the LOM and of S and δS we consider the complex structural rearrangements occurring in supercooled water during crystallization or when a liquid sample amorphizes under rapid cooling.

To model crystallization, we consider rigid water molecules interacting with the mW potential²⁸. The mW potential describes the tetrahedrality of the molecular arrangements, but does not have charges associated to it missing the donor/acceptor character of the hydrogen bonds. For that reason crystallization occurs much faster with mW than with more realistic potentials that describe more accurately the hydrogen bonds. At deeply supercooled conditions mW water crystallizes spontaneously on the time scale of our molecular dynamics simulations. In spite of the simplified intermolecular interactions in mW water, ice nucleation is a very complex process and access to good order parameters is essential to interpret the simulations.

To model amorphisation we adopt the more realistic TIP4P/2005 potential²⁹ for the intermolecular interactions. This potential applies to rigid molecules but takes into account the charges associated to the hydrogen bonds. This level of description is important to model the relaxation processes that occur in a liquid sample undergoing amorphisation. The processes that lead to the freezing of translational and rotational degrees of freedom in the glass transition are captured well by our OPs.

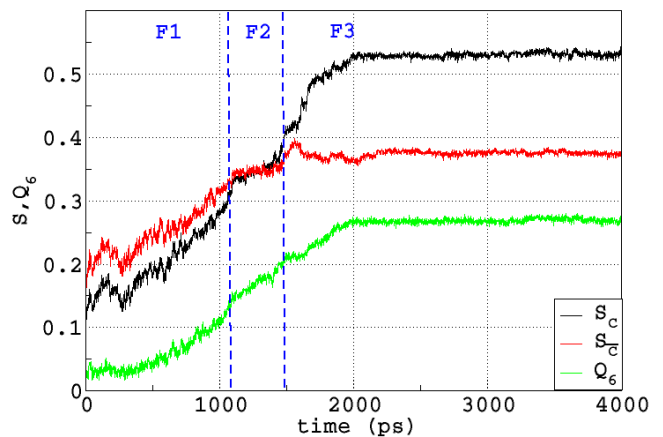


FIG. 7: Comparison of S_C (black), $S_{\bar{C}}$ (red), Q_6 (green) as a function of time in the crystallization of 1000 water molecule interacting via the mW potential. The blue vertical dashed lines indicate three time frames F1, F2, and F3, respectively.

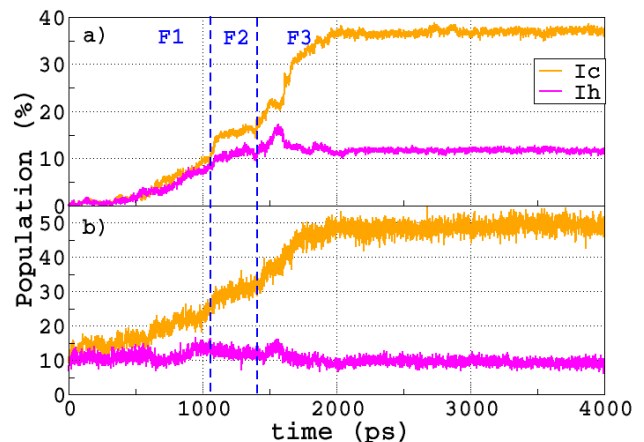


FIG. 8: Time evolution during crystallization of mW water of the relative population of the sites with crystalline I_c character (orange line) and of the sites with crystalline I_h character, as determined from the LOM (a) and from q_6/w_4 (b). See text for a detailed explanation.

A. Crystallization of supercooled water

To study crystallization we performed classical MD simulations in the NVT ensemble, using 1000 molecules with interactions described by the mW potential²⁸ in a parallelepipedic box with side lengths ratios $L_z/L_x = 4$, $L_y/L_x = 1$, and periodic boundary conditions. We set the temperature to $T = 200$ K and the volume of the box to a mass density of $\rho = 0.98\text{g/cm}^3$. At these thermodynamic conditions spontaneous crystal nucleation occurs rapidly in the mW fluid²⁸. The evolution of the water sample starting from an equilibrated liquid is illustrated in Fig. 7, where we report the evolution with time of three OPs: Q_6 (green line), S_C (black line) and $S_{\bar{C}}$ (red line), respectively. In this figure we recognize three time

frames separated by the dashed vertical blue lines and indicated by F1, F2, and F3, respectively. In F1 the system is liquid but becomes increasingly structured as indicated by the growth of the three OPs. Microcrystallites keep forming and disappearing. S_C and $S_{\bar{C}}$ are more sensitive than Q_6 to the fluctuations of the local order, as indicated by the greater fluctuations of the black and red lines relative to the green line in F1. Interestingly, the liquid has stronger \bar{C} - than C -character, in accord with Fig. 6. The relative weight of the \bar{C} - and C -characters reverses as crystallization proceeds. F2 marks the appearance of a stable crystallite that further grows in the initial stage of F3. This complex kinetics is not captured by Q_6 (green line) which shows only a continuous growth with time. Instead, both S_C and $S_{\bar{C}}$ identify a plateau in F2, in correspondence with the formation of a stable crystallite.

The nucleating ice is a mixture of cubic and hexagonal ices, with a prevalence of the former, as indicated by the larger overall growth of S_C in the simulation. Indeed, during the entire evolution shown in Fig. 7, S_C varies more than $S_{\bar{C}}$. Due to NVT sampling with periodic boundary conditions, liquid water is always present in the sample and does not disappear even when the nucleation process is completed in F3. The residual liquid water has more \bar{C} - than C -character and, therefore, the S_C and the $S_{\bar{C}}$ profiles should be considered merely as qualitative site-averaged contours.

More quantitative insight can be extracted from Fig. 8, where we report the time evolution of the fraction of cubic and hexagonal sites. This analysis is based on the LOM and is independent of the reference choice since distributions of the competing ice and liquid structures in Figs. 5 and 6 do not overlap. Thus, in the remaining part of this section and in Sect. VB as well, we use the C reference and omit from S the corresponding subscript. We introduce two cutoff values, $S_1 = 0.6$ and $S_2 = 0.75$, to distinguish the local environments. If at site j the LOM satisfies $S^j < S_1$ the local environment is liquid-like, if $S_1 < S^j < S_2$ the local environment is ice hexagonal-like, and if $S^j > S_2$ the local environment is ice cubic-like. Notice that the results do not depend on the actual values of the cutoffs S_1 and S_2 as long as they fall inside regions where the S distribution has negligible weight. The time evolution of the fraction of sites with cubic and hexagonal character resulting from the LOM is reported in panel (a) of Fig. 8. In the F1 frame both cubic and hexagonal fractions grow with a slight dominance of the former. This growth is associated to crystallites that keep forming and disappearing. In correspondence with the first dashed vertical line the growth becomes faster for both environments, signaling the formation of a stable crystalline nucleus with mixed character, in which Ic and Ih sites are separated by a stacking fault. At this point hexagonal growth almost entirely stops while cubic ice continues to grow at a slower pace by incorporating nearby crystallites with the same character. The stable nucleus contains approx-

imately 300 out of 1000 sites and takes the form of a large ice cluster embedded in a dominant liquid environment. Towards the end of the F2 frame, cubic ice growth accelerates and, in the early stage of the F3 frame, the size of the crystalline cluster rapidly reaches the size of the box. At this point no further growth is possible. In the early stage of F3 hexagonal growth is significantly less pronounced than cubic growth and is mainly associated to a visible hump shortly after the onset of F3. The hump is due to small clusters with hexagonal character that form on the surface of the large cubic crystallite, and then rapidly convert to cubic character. The nucleation ends with the formation of a large crystallite that spans the size of the box and includes $\sim 50\%$ percent of the available sites. Of the crystalline sites $\sim 80\%$ percent have cubic and $\sim 20\%$ percent hexagonal character. The quantitative details of the nucleation process depend on the MD trajectory. For instance the relative fraction of cubic and hexagonal sites changes from one trajectory to another. Qualitatively, however, the process is the same in all the 10 trajectories that we have generated. Our results are quantitatively very similar to a previous analysis in which cubic and hexagonal sites were identified in terms of eclipsed and staggered local configurations^{35–37}. We report in panel (b) of Fig. 8 an analysis of the same MD trajectory of panel (a) using a combination of the two orientational OPs $q_6(j)$ and $w_4(j)$ ¹⁵. In this approach, $q_6(j)$ is extracted from the nearest neighbors of a site j and serves to determine the liquid or the crystalline character of the site. If the site j is crystalline one assigns to it cubic or hexagonal character depending on the value of $w_4(j)$, whose computation requires the first and second neighbors of the site j . The $w_4(j)$ is sensitive to the symmetries at the IRO of Ic and Ih (fcc and hcp, respectively) but is not sensitive to the IRO in supercooled water showing large histogram overlaps¹³. In place of $w_4(j)$ other spherical harmonics based OPs can be utilized, like the $q_l(j)$'s with odd l 's, but they suffer of the same limitation of $w_4(j)$ in separating supercooled water from the solid phases. There are important quantitative differences between panel (a) and panel (b) of Fig. 8. A major difference is already apparent in the time frame F1: in panel (b) a significant fraction of the sites that are considered liquid in panel (a) are classified as crystalline sites since the very beginning of the trajectory. This is due to the fact that liquid- and crystal-like configurations overlap in the q_6 distribution. Similarly, the relative fractions of cubic and hexagonal sites of panel (a) at the end of the trajectory is not reproduced well in panel (b), again because of the overlap of cubic and hexagonal configurations in the w_4 distribution.

B. Amorphisation of supercooled water

To study amorphisation, we have performed classical MD simulations for a system composed of 216 molecules interacting via the TIP4P/2005 potential²⁹ in a cubic

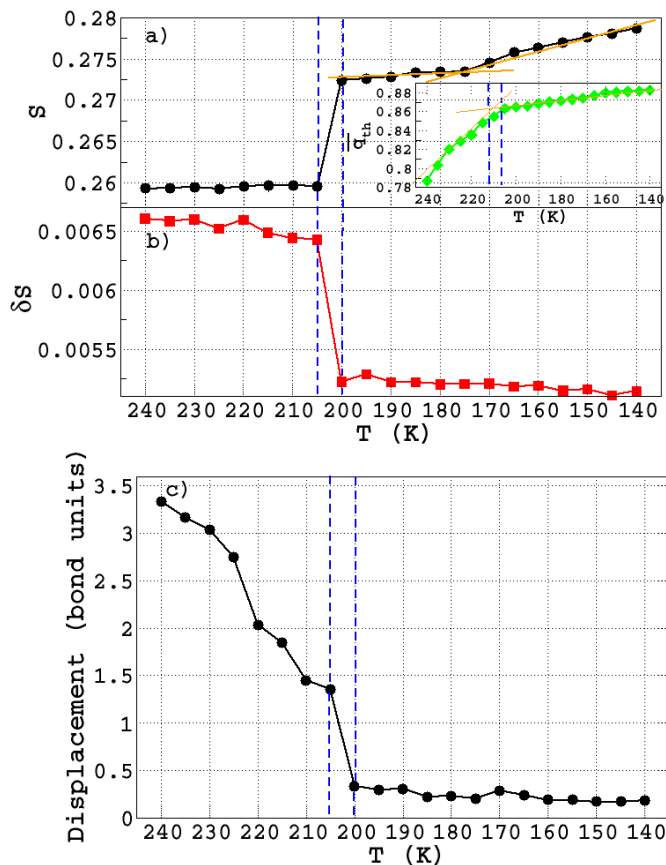


FIG. 9: Evolution of S (panel (a)) and δS (panel (b)) during the water cooling protocol (see text). Inset: evolution of the tetrahedral order parameter \bar{q}_{th} during the water cooling protocol. Panel (c) reports the corresponding evolution of the standard molecular displacement in a ns time in units of the bond length. The dashed vertical lines delimit the glass transition temperature (T_g) of the simulation. At $T = T_g$ the translational motions freeze.

simulation box with periodic boundary conditions. Starting from an equilibrated liquid at 240 K and $p = 1$ bar, we performed isobaric cooling with a rate of 5 K/ns to generate an amorphous ice structure. Given the adopted protocol this structure should have similarity to experimentally prepared low density amorphous (LDA) ice structures³⁸. Our cooling rate is slightly higher than the one recently adopted in molecular dynamics simulations for the same water model³⁹. However, our goal is not to generate a high quality amorphous structure, but rather to test whether our approach can be used to study the glass transition in water. In Fig. 9 we report the evolution of S (panel (a)) and δS (panel (b)) along the cooling protocol. Both OPs show a sudden, albeit small, change in correspondence with the vertical dashed blue lines. The sudden increase of S indicates a sudden increase of the local order relative to that of the supercooled liquid. At the same time the sudden drop of δS indicates reduced fluctuations of the local order relative to the supercooled liquid. The sharp variation of S and δS is associated to

freezing of the translational motions in the system. This is illustrated in panel (c) of the same figure in which we report the standard displacement, i.e. the square root of the mean square displacement, of the molecules in a ns time, measured in units of the bond length (the nearest neighbor distance between the oxygen sites). While for $T \geq 205$ K the standard displacement is greater than 1, indicating that each molecule on average moves by more than one bond length on a ns timescale, for $T \leq 200$ K the standard displacement drops well below 1, indicating translational localization of the molecules. The freezing of the translational degrees of freedom marks the onset of the glass transition. The corresponding transition temperature, T_g , is located, in our simulation, in the interval bounded by the two dashed vertical lines. It is quite remarkable that a phenomenon usually associated with dynamics (viz. the freezing of translational diffusion) has a clear static counterpart, well captured by the two OPs based on the LOM. The static signature of the glass transition is also detected by the average tetrahedral order parameter \bar{q}_{th} shown in the inset of Fig. 9, but in this case the effect is weaker as the transition is only signaled by a change of slope in the temperature variation of \bar{q}_{th} . Given that \bar{q}_{th} weighs the tetrahedral order of the first shell of neighbors while the LOM focuses on the second shell of neighbors, we conclude that the second shell of neighbors provides a more sensitive gauge of the local order.

By further cooling the system below T_g there is an evident change of slope in the increase of S with temperature when T is near 175 K. No corresponding effect can be detected from the behavior of δS , which takes so small values to have lost sensitivity. This behavior is associated to the freezing of molecular rotations, as demonstrated in Fig. 10, which reports the time evolution over 1 ns of $C(t) = \langle \mu(0) \cdot \mu(t) \rangle$, the time autocorrelation function of the molecular dipole μ , averaged over every 10 snapshots. The time decay of $C(t)$ is associated to rotational relaxation. In panel (a) of Fig. 10 one sees that $C(t)$ decays on the ns timescale when $T \geq 180$ K, whereas for $T \leq 170$ K no apparent relaxation can be detected. We infer that freezing of the rotational degrees of freedom occurs when T is near 175 K in our simulation. Similar rotational freezing effects have been inferred in recent experiments⁴⁰.

To get further insight on the relaxation processes associated to the rotational and translational motions of the molecules, we analyzed the changes of the hydrogen bond network occurring upon cooling by monitoring the corresponding changes in the distribution $P(n)$ of the n -member rings in the network. Here n indicates the number of hydrogen bonds in a ring. We define hydrogen bonds with the Luzar-Chandler criterion⁴¹ and follow King's approach⁴² for the ring statistics. We report $P(n)$ at different temperatures in Fig. 11. We see that $P(n)$ changes with temperature in the supercooled liquid (panel (a)) and also in the glass in the range of temperatures between T_g and the temperature of rotational freez-

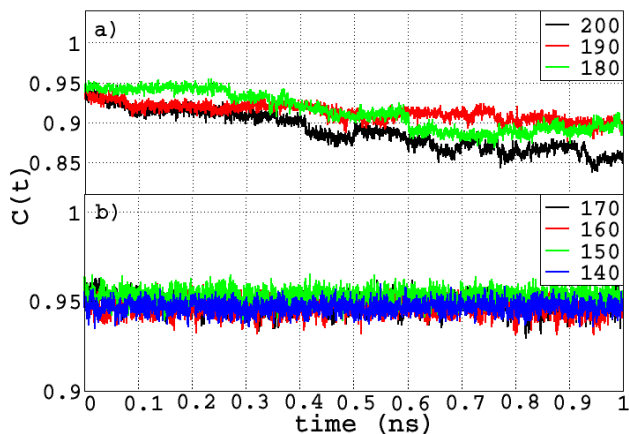


FIG. 10: Time variation of the dipole autocorrelation function $C(t)$ in the temperature range $T \in [200, 180]$ K (panel (a)) and in the temperature range $T \in [170, 140]$ K (panel (b)). Each $C(t)$ curve is obtained by averaging 10 trajectories initiating at times separated by intervals of 100 ps.

ing (panel (b)), but below the latter no further changes in the topology of the network occur (panel (c)). As the temperature is lowered in the supercooled liquid longer member rings with $n \geq 8$ systematically disappear while the population of 6- and 7-member rings increases, with a prevalence of the former. Longer member rings are associated to more disordered local environments with interstitial molecules populating the region between the first and the second shell of neighbors⁴³. Such configurations are typical of molecular environments with higher number density. As the temperature is lowered in the supercooled liquid at ambient pressure, this continuously transforms into a liquid with lower density. In the glass, at temperatures above rotational freezing, network relaxation still occurs, again with a reduction in the population of rings with $n \geq 8$, but this time this is accompanied by a reduction of the population of the 6-fold rings and an increase of the population of the 7-fold rings. This is because the only processes that can change the network topology in absence of diffusion are bond switches of the kind described in Ref.⁴⁴. In water these processes can be generated by rotations of the molecules. For instance, we found that in a frequent process of this kind two adjacent rings, a 6-fold and an 8-fold ring sharing a bond, transform into two adjacent 7-fold rings sharing a bond. Finally, at temperatures below rotational freezing the network topology does not change in the timescale of the simulation. At these temperatures only local vibrational relaxation occurs. In a classical system vibrational disorder diminishes with temperature as reflected in the increase of S at low temperature in Fig. 9 (a).

VI. CONCLUSIONS

We have introduced a local order metric (LOM) based on a simple measure of the optimal overlap between local

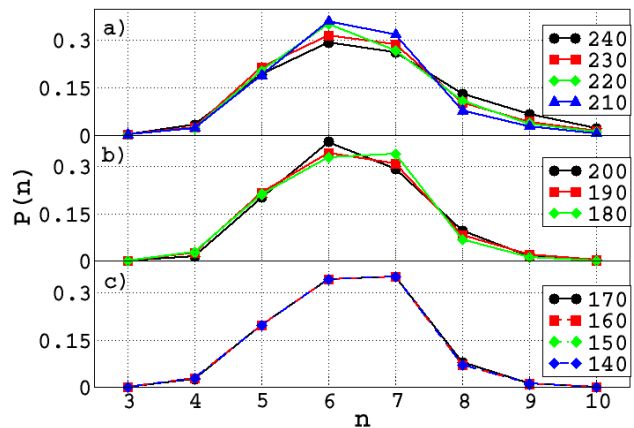


FIG. 11: Ring distribution $P(n)$ in the temperature range $T \in [240, 210]$ K (panel (a)), in the temperature range $T \in [200, 180]$ K (panel (b)), and in the temperature range $T \in [170, 140]$ K (panel (c)).

configurations and reference patterns. In systems made of a repeated unit (atom or molecule) the LOM leads to the definition of two global order parameters, S and its spread δS , which have high resolving power and are very useful to analyze structural changes in computer simulations, as shown by the examples in Section III, Section IV and Section V. The water examples show that the LOM can be used to measure the local order not only at atomic but also at molecular sites. Systems made by molecular units more complex than water could also be analyzed with this technique, while further generalizations could be envisioned for binary and multinary systems.

As defined, S and δS are not differentiable functions of the atomic (molecular) coordinates. This non-differentiability stems from two reasons: (1) the M neighbors of a site may change abruptly in a simulation, and (2) the LOM depends on the permutations of pattern indices, which is a discrete variable. Thus S and δS could not be used as such to drive structural transformations in constrained molecular dynamics simulations. However, they could be used as collective variables in Monte Carlo simulations adopting enhanced sampling techniques, such as umbrella sampling⁴⁵, metadynamics⁴⁶, replica exchange⁴⁷ etc.. A generalization which makes our approach continuous is presented in the Appendix VI.

Acknowledgments

F.M., H-Y.K. and R.C. acknowledge support from the Department of Energy (DOE) under Grant No. DE-SC0008626. E.C.O. acknowledges financial support from the German Research Foundation (DFG) within the Postdoctoral Research Fellowship Program under Grant No. OG 98/1-1. This research used resources

of the National Energy Research Scientific Computing Center, which is supported by the Office of Science of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231. Additional computational resources were provided by the Terascale Infrastructure for Groundbreaking Research in Science and Engineering (TIGRESS) High Performance Computing Center and Visualization Laboratory at Princeton University.

Appendix: A Continuous Generalization for Free Energy Sampling and Constrained Dynamics

In this Appendix, we introduce a generalized version of our method which makes S suitable for free energy sampling and to drive structural transformations in constrained simulations. We have successfully employed this version to sample the free energy landscape of the Lennard-Jones system reported in Section III B⁴⁸, and to drive the transformation of Boron-Nitrate nanotubes in *ab initio* simulations⁴⁹

We add to the M reference sites, M_b sites of the next

shell of neighbors in the crystalline reference structure, and which serve as a buffer. In order to preserve the one-to-one assignment between reference and pattern sites, we select the same number $M + M_b$ of sites surrounding a target particle in the pattern. We then employ a smooth switching function $\omega_\sigma(d_{ij})$ to weight the pattern neighbor site i with respect to the center site j via its radial distance d_{ij} . The switching function takes the form:

$$\omega_\sigma(d_{ij}) = \frac{1}{2} \operatorname{erfc} \left(\frac{d_{ij} - r_c}{\delta} \right), \quad (5)$$

where r_c is a radial distance that lies between the shell defined by the M sites and the shell defined by the M_b sites, and δ is the width of the switching function. In our tests on Lennard-Jonesium, we have employed $\delta = \sigma/2$. The switching function defined in Eq. 5, brings the weights of the pattern sites to smoothly vanish as they move beyond the outer shell defined by the M_b sites. The generalized LOM at a site j can be expressed in terms of the following weighted geometric mean:

$$S(j) = \max_{[\theta, \phi, \psi; \mathcal{P}]} \left[\exp \left\{ - \frac{\sum_{i=1, M+M_b} \omega_\sigma(d_{ij}) |\mathbf{P}_{i\mathcal{P}}^j - \mathbf{R}_i^j|^2}{2\sigma^2 \sum_{i=1, M+M_b} \omega_\sigma(d_{ij})} \right\} \right]. \quad (6)$$

The order parameter S is defined as the site average LOM, $S = \frac{1}{N} \sum_j S(j)$.

With respect to the original formulation, the introduction of a buffer layer allows more flexibility in the optimization of the permutation, which results in a slightly higher score in the case of liquids, while still preserving excellent resolving power.

This procedure removes the discontinuous behavior in the original formulation caused by the changes in the list of the M pattern sites occurring at short time intervals.

When such changes occur in the buffer layer, the leaving particles and the incoming ones weight very little with respect to the others. On the other hand, the permutations of pattern indices is a discrete variable which introduces an intrinsic discontinuity in the first derivative. Such problem is partially overcome by our optimization procedure, which makes $S(j)$ a continuous and piecewise smooth function. Therefore, this generalized version can be employed to drive structural transformations in constrained molecular dynamics simulations.

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