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Prediction of TiRhAs as a Dirac Nodal Line Semimetal via First-Principles Calculations

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Using first-principles calculations we predict that TiRhAs, a previously synthesized compound, is a Dirac nodal line (DNL) semimetal. The DNL in this compound is found to be protected both by the combination of inversion and time-reversal symmetry, and by a reflection symmetry, in the absence of spin-orbit coupling (SOC). Our calculations show that band velocities associated with the nodal line have a high degree of directional anisotropy, with in-plane velocities v_{\perp} perpendicular to the nodal line between $1.2 - 2.8 \times 10^5$ m/s. The crossings along the DNL are further found to exhibit a prominent and position-dependent tilt along directions perpendicular to the nodal line. We calculate \mathbb{Z}_2 indices based on parity eigenvalues at time-reversal invariant momenta and show that TiRhAs is topological. A tight-binding model fit from our first-principles calculations demonstrates the existence of two-dimensional drumhead surface states on the surface Brillouin zone. Based on the small gapping of the DNL upon inclusion of SOC and the clean Fermi surface free from trivial bands, TiRhAs is a promising candidate for further studies of the properties of topological semimetals.

I. INTRODUCTION

A recent development in the field of condensed matter physics is the discovery of topological semimetals (TSMs)^{1,2}. These materials have robust, symmetry-protected crossings in reciprocal space, and can be characterized by topological invariants, analogous to the topological insulators (TIs). Three types of TSMs which have been studied in detail both theoretically and experimentally are¹Weyl semimetals, hosting pairs of massless twofold degenerate nodal points with opposite chirality in the 3D Brillouin zone (BZ)³⁻⁶; Dirac semimetals, with fourfold degenerate nodal points consisting of overlapping Weyl points⁷⁻⁹; and Dirac nodal line semimetals (DNLs), in which the valence and conduction bands touch in a closed loop in momentum space¹⁰⁻¹³. All three categories are expected to display unusual and intriguing properties, such as ultrahigh mobility, giant magnetoresistance, chiral anomalies, and surface states^{14,15}.

DNLs are unique from other types of TSMs by virtue of having a one-dimensional Fermi surface, in contrast to the zero-dimensional Fermi surfaces of Weyl and Dirac semimetals. This implies that the density of states (DOS) of low-energy bulk excitations is quadratic in $|E - E_f|$, where E_f is the Fermi energy, rather than linear¹⁶. The larger DOS means that interaction-induced instabilities which are predicted for Weyl semimetals can be even more pronounced in DNLs¹¹. The one-dimensional nature of the Fermi surface also suggests that such compounds may exhibit long-range Coulomb interaction due to reduced screening¹⁷. Finally, the topological surface states of DNLs, which take the form of a two-dimensional "drumhead" terminating on the projection of the nodal line onto the surface BZ, have been suggested to provide a platform for exotic

correlation physics¹⁸.

In spite of their numerous desirable properties, less than ten DNL compounds have been identified or verified experimentally thus far^{10,19}. This might seem surprising given the fact that there are many different crystalline symmetries that that can stabilize a DNL. But a challenge to experimental realization is that the majority of these protecting mechanisms are only robust when spin-orbit coupling (SOC) is ignored²⁰; the nodal line degeneracies are often lifted to a significant degree by SOC unless an additional nonsymmorphic symmetry, such as a screw axis, is present^{21,22}. Another experimental difficulty for DNL compounds, including those synthesized thus far is that there are often trivial bulk bands near the Fermi level coexisting and interfering with the nontrivial nodal line, making a definitive experimental study of the topological properties challenging¹².

Here, we use first-principles calculations to predict that TiRhAs, which has been synthesized in the past²³ but whose electronic properties have thus far remained unexamined, is a DNL semimetal with a nodal line around the Fermi energy which lies in the $k_x = 0$ plane, pinned to the plane by a mirror symmetry. Our study of TiRhAs is motivated by several factors. First, its nonsymmorphic space group possesses several of the symmetry elements known to protect nodal lines. Second, the effect of SOC, given the elements involved, is likely small, and the lifting of degeneracy is expected to be nearly negligible. Lastly, because TiRhAs has an even number of electrons per unit cell, the Kohn-Luttinger theorem suggests any DNL might be fixed near the Fermi energy^{5,24}, which is desirable for further experimental study and for applications.

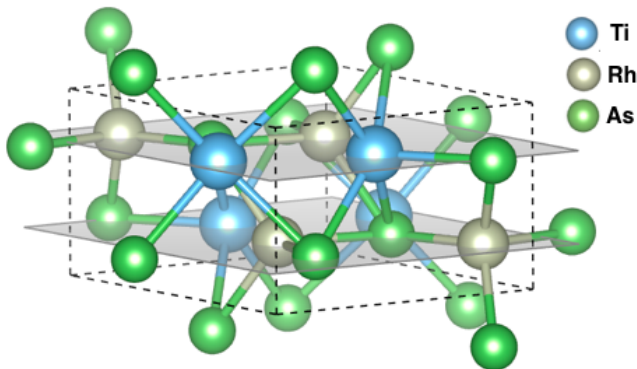


FIG. 1. Orthorhombic crystal structure of TiRhAs, with space group $Pmnb$. The primitive cell consists of two mirror planes perpendicular to (100), each with two Ti atoms (blue), two As atoms (green), and two Rh atoms (gray).

II. RESULTS

A. Crystal structure and methodology

Prior experimental work has shown that TiRhAs crystallizes in an orthorhombic lattice with the nonsymmorphic centrosymmetric space group $Pmnb$ [62]²³. The primitive cell is shown in Figure 1. It is composed of two layers with six atoms each in the $(\frac{1}{4}, y, z)$ and $(\frac{3}{4}, y, z)$ planes. Each Ti atom is five-fold coordinated by As in the shape of "distorted" edge-sharing square pyramids; the Rh atoms are tetragonally-coordinated by As.

For our first-principles density functional theory (DFT) calculations on TiRhAs, we use the Vienna *ab initio* simulation package (VASP)²⁵ with generalized gradient approximation (GGA) using the Perdew-Burke-Ernzerhof (PBE) functional²⁶ and projector augmented-wave method (PAW)²⁷. The PAW-PBE pseudopotentials of Ti, Rh and As treat $3d^24s^2$, $4d^85s^1$ and $4s^24p^3$ electrons as valence states. We employ an energy cutoff of 300 eV for our plane wave basis set and a Monkhorst-Pack \mathbf{k} -point mesh of $8 \times 6 \times 6$. Brillouin zone integrations are performed with a Gaussian broadening of 0.05 eV during all calculations²⁸. These parameters lead to total energies converged to within a few meV. We fully relax the lattice parameters starting from the experimental values. Our GGA lattice constants agree with the experimental results to within 1% (see Table I). We use the optimized lattice parameters for all band structure calculations. For calculations with SOC, we include SOC self-consistently²⁹.

B. Band structure and symmetries

The GGA band structure of TiRhAs without SOC is plotted in Figure 2(a). The band crossings along the high symmetry lines $Y - \Gamma$ and $\Gamma - Z$ indicate the presence of a nodal line in the $k_x = 0$ plane encircling Γ . From

TABLE I. Comparison between experimental lattice parameters and Wyckoff positions, and values obtained after full optimization with DFT-PBE.

	Experiment ²³	DFT-PBE
a (Å)	3.816	3.841
b (Å)	6.334	6.366
c (Å)	7.388	7.434
Rh (4c) y	0.855	0.857
Rh (4c) z	0.064	0.063
Ti (4c) y	0.972	0.968
Ti (4c) z	0.684	0.682
As (4c) y	0.243	0.252
As (4c) z	0.122	0.122

an analysis of the site and angular momentum-projected band character, we find that the bands near the crossings are a mixture of Rh $4d$ and Ti $3d$ states. Because GGA is known to overestimate band inversion³⁰, we also compute bulk band structures using the hybrid density functional HSE06³¹. The HSE06 result reproduces the DNL and yields an even cleaner Fermi surface than GGA, as the lone trivial band at Γ is pushed down relative to E_f to lower energies (see Supplementary Material³²).

The DNL in TiRhAs is protected by two different symmetries: (a) the combination of inversion and time reversal symmetries \mathcal{P} and \mathcal{T} in the absence of SOC, and (b) a mirror plane at $x = \frac{a}{4}$. The protection of DNLs by \mathcal{P} and \mathcal{T} , provided that SOC is ignored, has been discussed extensively in the literature^{1,13,18,21,33–35}. Here we briefly motivate why the generic solution for a band crossing in such a system is a closed nodal line (rather than discrete crossings) using a codimension argument³⁵. The Bloch Hamiltonian $\mathcal{H}(\mathbf{k})$ for a spinless system near a generic band crossing may always be written as a linear combination of the identity and the three Pauli matrices, with \mathbf{k} -dependent coefficients. The combination of \mathcal{P} and \mathcal{T} allow us to choose a gauge for the cell-periodic part $u_{n\mathbf{k}}(r)$ of the Bloch eigenfunctions in which $u_{n\mathbf{k}}^*(r) = u_{n\mathbf{k}}(-r)$. From this fact it trivially follows that $\mathcal{H}(\mathbf{k})$ is real-valued. Consequently we can always express $\mathcal{H}(\mathbf{k})$ in terms of only two of the three Pauli matrices, giving our band crossing a codimension of two. Since this is one less than the number of independent variables (k_x, k_y, k_z) , the generic solution $E(\mathbf{k})$ is a line node, which will always be stable in the presence of \mathcal{P} and \mathcal{T} .

We now discuss the consequences of the mirror symmetry \mathcal{R}_x in the absence of SOC. The explicit form of the operator in real space is

$$\mathcal{R}_x : (x, y, z) \rightarrow (-x + \frac{a}{2}, y, z). \quad (1)$$

It is clear from equation 1 that $\mathcal{R}_x^2 = +1$. Thus the eigenvalues of \mathcal{R}_x in the absence of SOC are ± 1 . Moreover, the action of \mathcal{R}_x in reciprocal space is

$$\mathcal{R}_x : (k_x, k_y, k_z) \rightarrow (-k_x, k_y, k_z). \quad (2)$$

Therefore, all Bloch functions $\psi_{n\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}}u_{n\mathbf{k}}(\mathbf{r})$ in the $k_x = 0$ plane are invariant under \mathcal{R}_x , meaning that the bands in this plane may be labeled by the mirror eigenvalues ± 1 . Bands with the same mirror eigenvalue can hybridize, leading to a band gap. However, bands with opposite eigenvalues are symmetry-forbidden from mixing and thus their crossing is protected. We check the \mathcal{R}_x eigenvalues of the valence and conduction bands along $Y - \Gamma - Z$ using wavefunctions obtained with the all-electron WIEN2k code³⁶ and confirm that the crossing bands have opposite eigenvalues, as shown in Figure 2(a) (details of our WIEN2k calculations appear in the Supplementary Material). It should be noted that even if the mirror symmetry is broken in TiRhAs, the DNL will still be protected as long as \mathcal{P} and \mathcal{T} symmetries persist; it will merely be unpinned from the $k_x = 0$ plane.

The self-consistent inclusion of SOC opens a small gap (Figure 2(b)). The DFT-PBE-SOC gap varies depending on position along the DNL from less than 1 meV to a maximum of 40 meV. SOC gaps the nodal line by coupling spin and spatial degrees of freedom. Thus, \mathcal{R}_x not only maps x to $\frac{1}{2} - x$, but also maps $s_{y,z}$ to $-s_{y,z}$, i.e., the effect of \mathcal{R}_x on spin space is to perform a π rotation about the \hat{x} axis (The difference in the effect of \mathcal{R}_x on real space and spin space is due to the fact that spin is a pseudovector). Now, with SOC included, squaring \mathcal{R}_x amounts to a 2π rotation in spin space which gives a minus sign for a spin- $\frac{1}{2}$ system, meaning that the eigenvalues of \mathcal{R}_x become $\pm i$. Thus, each band with eigenvalue ± 1 in the non-SOC system becomes doubly degenerate with mirror eigenvalues $\pm i$ in the SOC system. Conduction and valence bands with the same eigenvalues can now hybridize, leading to an anticrossing (Figure 2(b)). We wish to emphasize that while absence of SOC is necessary to keep conduction and valence bands completely degenerate along the DNL, TiRhAs maintains its non-trivial Z_2 indices even with SOC³³.

Finally, we check the DFT-PBE band structure upon several isovalent substitutions for TiRhAs, specifically TiCoAs, TiRhP and ZrRhAs. We start with the optimized lattice parameters of TiRhAs and relax these substituted structures within the $Pmnb$ space group. The resulting lattice parameters in all three cases deviate from the starting values by 0.4\AA at most. The band structures are qualitatively identical to TiRhAs; in particular, they all have a DNL in the $k_x = 0$ plane. This implies that as long as the valence electron count is preserved, partial or full isovalent substitution may be attempted in order to reduce or enhance the effect of SOC.

C. $\mathbf{k} \cdot \mathbf{p}$ analysis of band velocities

A detailed analysis of band velocities at various points along the DNL (where the band velocity $v_{n\mathbf{k}}$ is given by $\frac{1}{\hbar} \frac{\partial E_{n\mathbf{k}}}{\partial \mathbf{k}}$ at the crossing point of interest), is crucial for understanding transport experiments. We employ a $\mathbf{k} \cdot \mathbf{p}$

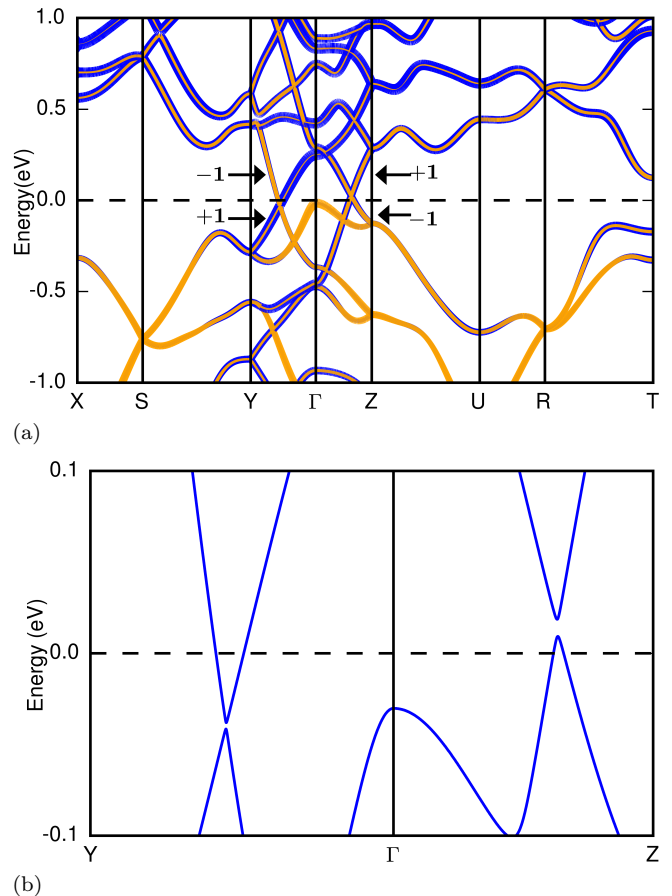


FIG. 2. (a) DFT-PBE band structure without SOC. The projection of the bands onto Ti d orbitals is shown in blue, and the projection onto Rh d orbitals is shown in orange. The widths of the lines are proportional to the values. The mirror eigenvalues ± 1 of the crossing bands are also indicated. (b) DFT-PBE band structure with SOC included, indicating that SOC opens a small gap due to hybridization of bands with like mirror eigenvalues.

analysis to ascertain the symmetry constraints in TiRhAs that determine the \mathbf{k} -dependent band velocities along the nodal line. The generators of the space group $Pmnb$ are two mutually perpendicular two-fold screw axes and inversion \mathcal{P} . Since TiAsRh is nonmagnetic, \mathcal{T} is also a symmetry as discussed above. The Bloch Dirac Hamiltonian (without SOC) may be expanded around any point \mathbf{k} on the DNL as

$$\mathcal{H}(\mathbf{k} + \delta\mathbf{k}) = E(\mathbf{k}) + h_{\mu}^i(\mathbf{k})\sigma^{\mu}\delta k_i + \mathcal{O}(\delta\mathbf{k}^2), \quad (3)$$

where $\delta\mathbf{k} = (\delta k_x, \delta k_y, \delta k_z)$ is the deviation from a point \mathbf{k} in the Brillouin zone, σ^{μ} are the Pauli matrices with $\mu \in 0, 1, 2, 3$ and $i \in x, y, z$ and $h_{\mu}^i(\mathbf{k})$ are real, \mathbf{k} -dependent coefficients.

We now restrict our discussion to the $k_x = 0$ plane in which the DNL lies. For a generic point on this plane the only remaining space group symmetry is the mirror symmetry \mathcal{R}_x . The product of inversion and time reversal,

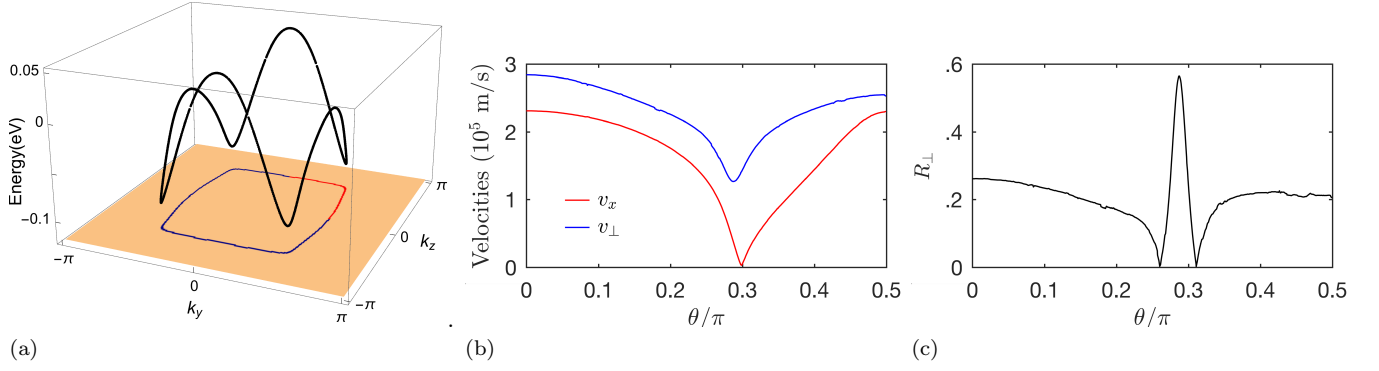


FIG. 3. (a) DFT-PBE calculations of the DNL in TiRhAs in the $k_x = 0$ plane, with the irreducible quadrant highlighted in red. (b) v_\perp and v_x as a function of θ . (c) Ratio of tilting magnitude to isotropic velocity, R_\perp , along the v_\perp direction as a function of θ .

\mathcal{PT} , is also a symmetry. If we choose the two crossing bands with $+1$ and -1 \mathcal{R}_x eigenvalues as pseudospin up and down, respectively, the symmetries for the nodal line may be expressed as

$$\mathcal{R}_x = \sigma^3; \mathcal{PT} = \sigma^0 K, \quad (4)$$

where K denotes complex conjugation. These symmetries place constraints on the allowed $h_\mu^i(\mathbf{k})$;

$$\mathcal{R}_x \mathcal{H}(k_x, k_y, k_z) \mathcal{R}_x^{-1} = \mathcal{H}(-k_x, k_y, k_z) \quad (5)$$

and

$$(\mathcal{PT}) \mathcal{H}(k_x, k_y, k_z) (\mathcal{PT})^{-1} = \mathcal{H}(k_x, k_y, k_z). \quad (6)$$

It follows from Equations 5 and 6 that the only nonzero $h_\mu^i(\mathbf{k})$ values are $h_0^{y,z}(\mathbf{k})$, $h_3^{y,z}(\mathbf{k})$, and $h_1^x(\mathbf{k})$. The band dispersion at each point \mathbf{k} on the nodal line can then be expressed as

$$\delta E_{\mathbf{k}+\delta\mathbf{k}} \approx h_0^y(\mathbf{k})\delta k_y + h_0^z(\mathbf{k})\delta k_z \pm \sqrt{(h_3^y(\mathbf{k})\delta k_y + h_3^z(\mathbf{k})\delta k_z)^2 + (h_1^x(\mathbf{k})\delta k_x)^2}, \quad (7)$$

where $\delta E_{\mathbf{k}+\delta\mathbf{k}} = E_{\mathbf{k}+\delta\mathbf{k}} - E_{\mathbf{k}}$.

We fit Equation 7 to our DFT calculations at each point on the nodal line in the irreducible quadrant of the BZ. The coefficients outside the square root, $h_0^y(\mathbf{k})$ and $h_0^z(\mathbf{k})$, are symmetry-allowed "tilting" terms which characterize the tilting of the Dirac cone along k_y and k_z respectively^{37–39}. The terms inside the square root can be written as $\sum_{i,j=1}^3 \mathcal{A}_{ij} \delta k_i \delta k_j$ where \mathcal{A}_{ij} is a real symmetric matrix. The square root of the eigenvalues of \mathcal{A} correspond to the principle components of $v_{n\mathbf{k}}$ when the tilt terms are neglected, i.e the splitting of the Dirac cone; they are $(0, \sqrt{(h_3^y(\mathbf{k}))^2 + (h_3^z(\mathbf{k}))^2}, h_1^x(\mathbf{k})) \propto (v_\parallel(\mathbf{k}), v_\perp(\mathbf{k}), v_x(\mathbf{k}))$, where v_\parallel is tangential to the DNL, v_\perp is perpendicular to the DNL in the $k_x = 0$ plane, and v_x is along k_x . The zero v_\parallel corresponds to the "soft" direction where the dispersion scales at least as $\mathcal{O}(\delta k^2)$. We parametrize points along the DNL by the polar angle

$\theta = \tan^{-1} k_z/k_y$, and plot v_\perp and v_x as a function of θ in Figure 3(b). As shown, our computed DFT-PBE v_\perp is between $1.2 - 2.8 \times 10^5$ m/s, on the same order of magnitude as reported values for Na_3Bi and Cd_3As_2 ^{8,14}. v_x is computed to be smaller at all θ and more anisotropic, between 3×10^3 and 2.3×10^5 m/s.

From an experimental perspective, while both tilt and relative magnitudes of the velocities given by \mathcal{A} affect directional dependence of conductance in transport experiments, tilt also has an effect on the Fano factor (the ratio of shot noise to current)³⁹; thus, quantitative characterization is important. The relative degree of tilting at the point \mathbf{k} on the DNL in the direction $(\delta k_x, \delta k_y, \delta k_z)$ is given by the ratio of the magnitude of the tilting to the magnitude of the "isotropic" velocity, which for TiRhAs is

$$R = \frac{|h_0^y(\mathbf{k})\delta k_y + h_0^z(\mathbf{k})\delta k_z|}{|(h_3^y(\mathbf{k})\delta k_y + h_3^z(\mathbf{k})\delta k_z)^2 + (h_1^x(\mathbf{k})\delta k_x)^2|}. \quad (8)$$

Values greater than 1 indicate a switch in the sign of the the dispersion, analogous to the the type-II Weyl semimetals³⁷. For concreteness, we choose the direction $(0, h_3^y(\mathbf{k})/h_3^z(\mathbf{k}), 1)$ parallel to v_\perp and plot R_\perp as a function of θ in Figure 3(c). We see that amount of tilting along v_\perp varies greatly, ranging from nearly 0 to .56 at $\frac{\theta}{\pi} \approx 0.3$, at the same point where v_\perp has a prominent dip.

D. \mathbb{Z}_2 invariant

In order to confirm the topological nature of TiRhAs and its robustness, we calculate \mathbb{Z}_2 invariants analogous to those used to characterize three-dimensional topological insulators (TIs) for systems with inversion symmetry as formulated by Fu and Kane⁴⁰. The authors showed that in a compound with \mathcal{P} and \mathcal{T} symmetries (and SOC which drives the topological gapping), the topological invariants $(\nu_0; \nu_1 \nu_2 \nu_3)$ can be computed via the parity eigenvalues ϵ_n of the occupied Bloch states at the eight

time-reversal invariant momenta (TRIM) in the 3D BZ, defined by $\Gamma_i = (n_1\mathbf{b}_1 + n_2\mathbf{b}_2 + n_3\mathbf{b}_3)/2$, where $n_j = 0, 1$ and the \mathbf{b} denote the primitive reciprocal lattice vectors. Defining $\epsilon_i = \prod_{n_{occ}} \epsilon_n(\Gamma_i)$, i.e. the product of the parity eigenvalues of all occupied bands at the TRIM point Γ_i , the "strong" topological index ν_0 is given by

$$(-1)^{\nu_0} = \prod_{i=1}^8 \epsilon_i, \quad (9)$$

where the product is over the eight TRIM points. The "weak" indices $\nu_{1,2,3}$ are given by products of four ϵ_i which lie in the same plane:

$$(-1)^{\nu_{1,2,3}} = \prod_{n_i=1; n_j \neq i=0,1} \epsilon_{i=n_1 n_2 n_3}. \quad (10)$$

$\nu_0 = 1$ indicates that the TI is topologically nontrivial. One can imagine slowly turning off the SOC, thereby closing the bulk gap. At the critical point between a topological and trivial insulator, the gap closes and a DNL forms, hosting the same topological indices as the TI. Moreover, Kim et al.³³ showed that one can determine the number of DNLs intersecting any of the six invariant surfaces S_{abcd} bounded by the four TRIM a, b, c and d by multiplying the ϵ_i at those four points:

$$(-1)^{N(S_{abcd})} = \epsilon_a \epsilon_b \epsilon_c \epsilon_d. \quad (11)$$

If the product is -1 , $N(S_{abcd}) = 1$ and an odd number of DNLs must pierce S_{abcd} . For the trivial case $N(S_{abcd}) = 0$ an even (including zero) number of DNLs pierce the surface.

Using wavefunctions calculated with WIEN2k, we determine the parity eigenvalues at the eight TRIM in TiRhAs (a table is given in the supplementary material). The only TRIM point with $\epsilon_i = -1$ is Γ . Thus from Equations 9 and 10 we see that the topological indices for TiRhAs are $(\nu_0; \nu_1 \nu_2 \nu_3) = (1; 000)$, and that TiRhAs is topologically robust. Additionally, the parities imply that the three invariant surfaces containing Γ , namely $k_x = 0$, $k_y = 0$, and $k_z = 0$, are intersected by an odd number of DNLs, whereas the surfaces on the edge of the BZ are intersected by an even number of DNLs. This is completely consistent with our finding of the single DNL lying in the $k_x = 0$ plane surrounding Γ .

E. Topological surface states

Topologically robust nodal lines are predicted to host nearly flat, two dimensional drumhead surface states¹⁸ (see Supplementary Material for more rigorous justification). To study the surface states in TiRhAs we construct a tight-binding model from our DFT-PBE calculations using maximally localized Wannier functions (MLWFs)^{41,42} as our basis states. We use 40 MLWFs derived from Ti d and Rh d bands around the Fermi level using a disentanglement procedure implemented in

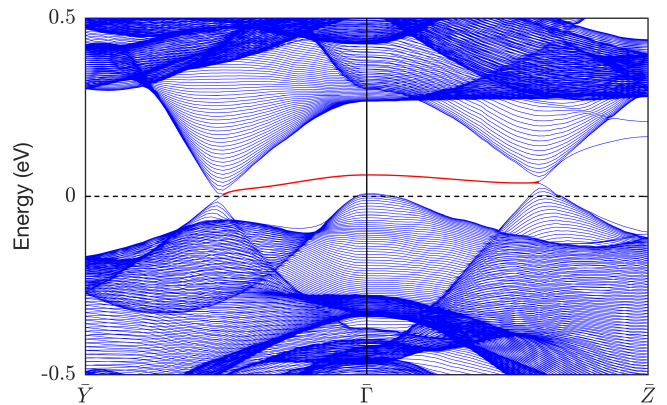


FIG. 4. DFT-PBE tight-binding band structure (without SOC) for the (100) surface plotted along the $\bar{Y} - \bar{\Gamma} - \bar{Z}$ direction, showing surface states (colored red) in the projected interior of the DNL.

the open-source code Wannier90.⁴³ Our model for a 100 unit cell thick slab in the $[100]$ direction is plotted for the (100) surface in Figure 4. Large slabs are required to recover the bulk DNL in our calculations. Since our tight-binding model has two identical surfaces we see two completely degenerate surface states in the interior of the projected nodal line. The states have a slight dispersion due to the particle-hole asymmetry¹³.

We also construct a tight-binding model for the case where SOC is included. Because the effect of SOC is very slight in TiRhAs, the qualitative band structure is very similar to Figure 4. However, since SOC introduces a continuous gap in the DNL the surface spectrum evolves from a nearly flat, drumhead state to a very shallow Dirac cone characteristic of TIs (see supplementary material).

III. CONCLUSION

In summary we have performed extensive first-principles calculations on the previously synthesized compound TiRhAs and identify it as a new Dirac nodal line semimetal. The nodal line is topologically protected by both reflection symmetry and composite inversion and time-reversal symmetry; hence TiRhAs is particularly robust to local crystalline defects. We have performed a $\mathbf{k} \cdot \mathbf{p}$ analysis to determine the magnitude and tilting of band velocities along the DNL. We have calculated the \mathbb{Z}_2 invariants and have confirmed the presence of drumhead surface states. Moreover, the Fermi surface in TiRhAs is remarkably clean, and although SOC introduces gaps in the DNL, the effect is small. We therefore believe that further experimental studies on this compound should yield results consistent with our calculations.

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