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1	Effects of bulk and interfacial anharmonicity on thermal conductance at
2	solid/solid interfaces
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10	(Dated: May 24, 2017)
11	Abstract
12	We present the results of classical molecular dynamics simulations to assess the relative contributions to
13	interfacial thermal conductance from inelastic phonon processes at the interface and in the adjacent bulk
14	materials. The simulated system is the prototypical interface between argon and "heavy argon" crystals,
15	which enables comparison with many past computational studies. We run simulations interchanging the
16	Lennard-Jones potential with its harmonic approximation to test the effect of anharmonicity on conductance.
17	The results confirm that the presence of anharmonicity is correlated with increasing thermal conductance
18	with temperature, which supports conclusions from prior experimental and theoretical work. However, in
19	the model Ar/heavy-Ar system, anharmonic effects at the interface itself contribute a surprisingly small part
20	of the total thermal conductance. The larger fraction of the thermal conductance at high temperatures arises
21	from anharmonic effects away from the interface. These observations are supported by comparisons of the
22	spectral energy density, which suggest that bulk anharmonic processes increase interfacial conductance by
23	thermalizing energy from modes with low transmission to modes with high transmission.

#### 24 I. INTRODUCTION

The contribution of inelastic phonon processes to the thermal conductance at solid/solid inter-25 faces is a topic of enduring interest. At interfaces between metal films and dielectric substrates 26 whose phonon spectra are extremely mismatched-e.g., Pb/diamond-experimentally measured 27 values can far exceed the phonon radiation limit,<sup>1-4</sup> which represents the upper limit of conduc-28 tance when accounting only for elastic (i.e., frequency-preserving) phonon transmission. The 29 measured values also increase monotonically with temperature, in common with calculations of 30 conductance from molecular dynamics (MD) simulations which naturally include anharmonic ef-31 fects.<sup>5–7</sup> These observations strongly suggest that inelastic scattering (i.e., energy transfer among 32 modes of different frequency) contribute a large fraction of conductance at high temperature. Since 33 inelastic processes arise from anharmonicity of interatomic forces, the contribution is also ex-34 pected to grow as temperature (and hence atomic displacement) increases, making it relevant to 35 thermal engineering in applications with high operating temperatures such as high-power electron-36 ics.<sup>8–10</sup> 37

The seminal models for predicting conductance, the acoustic mismatch model<sup>11,12</sup> and dif-38 fuse mismatch model (DMM),<sup>13,14</sup> only account for elastic transmission processes. Only elastic 39 processes are expected in a system with harmonic interatomic forces or, alternatively, in an an-40 harmonic system under small displacements. Under this assumption, the DMM provides a first 41 approximation for estimating the conductance. Based on comparison with experimental data, the 42 DMM appears to generally overestimate the conductance between vibrationally well-matched ma-43 terials and underestimate the conductance between mismatched materials.<sup>15</sup> The degree of match-44 ing is often summarized in the ratio of Debye temperatures,  $\theta_{\rm D}$ , and the transition between these 45 two regimes is observed empirically when  $\theta_D$  of the substrate is ~3–4 times that of the film.<sup>15</sup> 46 For example, lead and diamond have an extraordinarily high mismatch in vibrational spectra: the 47 highest-frequency phonons in Pb are  $\sim$ 2.2 THz, while those in diamond are  $\sim$ 39.2 THz.<sup>16,17</sup> The 48 expected conductance due only to *elastic* phonon transmission is correspondingly low, on the or-49 der of 2 MW m<sup>-2</sup> K<sup>-1</sup>. However, this underestimates experimental measurements by a full order 50 of magnitude, with reported values ranging roughly 20–60 MW m<sup>-2</sup> K<sup>-1,<sup>2–4</sup></sup> 51

Several modifications to the DMM have been proposed to account for inelastic transmission processes in predictions of conductance.<sup>18–22</sup> For example, Hopkins and coworkers proposed two modifications to the DMM: the higher harmonic inelastic model (HHIM)<sup>20</sup> and the anharmonic

inelastic model  $(AIM)^{21}$  which provide expressions for the transmissivities corresponding to *n*-55 phonon processes:  $\omega_1 + \omega_2 + \cdots + \omega_{n-1} \leftrightarrow \omega_n$ , where  $\omega$  denotes phonon frequency. By compar-56 ison an elastic (2-phonon) process would be denoted  $\omega \rightarrow \omega$ . The HHIM only allows processes 57 that combine phonons of equal frequency ( $\omega_1 = \cdots = \omega_{n-1}$ ), while the AIM allows the combi-58 nation of phonons of arbitrary frequency. Duda and coworkers also proposed a modification to 59 the DMM that incorporates bulk-like scattering near the interface rather than at the interface itself, 60 which they used to predict an increasing conductance with temperature in the classical limit.<sup>22</sup> De-61 spite making different assumptions about the details of inelastic processes, these models improve 62 agreement with conductance measurements to similar degrees, making it difficult to determine 63 their relative validity. 64

Several recent works have elucidated the details of inelastic processes and their contributions 65 to thermal conductance. The theoretical and computational work by Sääskilahti et al.<sup>7</sup> showed that 66 frequency-doubling and -halving processes dominate the inelastic contribution to conductance in 67 MD simulations, lending support to the assumptions of the HHIM. However, Hohensee et al.<sup>4</sup> 68 observed experimentally that the conductance of metal/diamond interfaces depends only weakly 69 on pressure, from which they inferred that inelastic processes involving two metal phonons of 70 equal frequency cannot be the dominant contribution to the conductance. Both works observed that 71 their conclusions may be reconciled by careful consideration of the inelastic processes in the bulk-72 like regions near the interface. This precise question was investigated in Refs. 23 and 24. Using 73 MD, Wu and Luo<sup>23</sup> simulated the conductance between one crystal with a monatomic basis and 74 another crystal with a diatomic basis. They observed that increasing an anharmonic force constant 75 in the diatomic lattice increased the total conductance dramatically due to increased coupling 76 between acoustic and optical modes. By contrast, increasing an anharmonic force constant of the 77 interfacial interaction had no effect on the conductance. This is broadly consistent with our results, 78 but differs with our observation that the interfacial contribution is significant (though smaller than 79 the bulk contribution). Furthermore, the present results expand on how the relative contributions 80 change with temperature. A related difference is that size effects were observed, which did not 81 affect their qualitative conclusions but precluded the quantitative comparison of the contributions 82 from elastic, bulk inelastic, and interfacial inelastic processes. Nevertheless, we observe the same 83 general mechanism that Wuo and Luo identified: inelastic processes contribute to conductance 84 via the bulk thermalization of modes with low transmissivity. In a different work, Murakami et 85 al.<sup>24</sup> made related conclusions from MD simulations of PbTe/PbS and Si/Ge interfaces, in which 86

they demonstrated the importance of inelastic processes in a broad transition region (TR) rather than only at the plane of the interface. Inelastic processes in the TR downconvert energy from high-frequency to low-frequency modes, which then transmit elastically, in agreement with our observations. However, their analysis did not provide a direct calculation of the separate elastic and inelastic contributions to conductance, nor the temperature dependence of the contributions, which will be essential for testing models that correctly incorporate inelastic processes.

Therefore, the goal of the present work is to decompose the thermal conductance at a model 93 interface into explicit contributions from the harmonic dynamics, the anharmonic effects at the 94 interface, and the anharmonic effects in the bulk materials. Our model system is a planar interface 95 between Ar and "heavy Ar," which has been the prototypical model system for studying these 96 phenomena. In Sec. II we present calculations of the conductance in the model system with dif-97 ferent configurations of harmonic and anharmonic forces between atoms. The results confirm that 98 conductance rises with temperature only in the systems with anharmonic forces, which presum-99 ably enable inelastic phonon processes. However, at high temperatures, the anharmonicity at the 100 interface itself appears to contribute less than half of the total conductance in our model system; 101 the anharmonicity in the bulk materials is responsible for the rest. These observations are cor-102 roborated in Sec. III, in which use the wavelet transform to calculate the spectral energy densities 103 throughout the interfacial systems. Those spectra show that energy reflected from the interface 104 is in strong non-equilibrium, and anharmonicity enables its thermalization, suggesting a mecha-105 nism to explain the increase in interfacial conductance. We summarize the findings in Sec. IV and 106 comment on their relation to other research on this topic. 107

#### **II. EFFECT OF LOCAL ANHARMONICITY ON INTERFACIAL THERMAL CONDUCTANCE**

In this section, we present calculations of interfacial thermal conductance using non-equilibrium 109 molecular dynamics (NEMD). Further simulation details are given in Appendix A. As a prototypi-110 cal anharmonic potential, we use the Lennard-Jones (LJ) potential  $U_{LJ}(r_{ij}) = 4\varepsilon \left[ (\sigma/r_{ij})^{12} - (\sigma/r_{ij})^6 \right]$ 11 where  $r_{ij}$  is the distance between atoms *i* and *j*,  $\varepsilon$  is the energy scale, and  $\sigma$  is the length scale. 112 The LJ potential is strongly anharmonic, which induces inelastic phonon processes. In order 113 to suppress inelastic phonon processes in certain regions, we replace the LJ potential with its 114 second-order Taylor expansion about the equilibrium separation  $r_{\rm eq}=2^{1/6}\sigma,~U_{\rm harmonic}(r_{ij})=$ 115  $\frac{1}{2}k(r_{ij}-r_{eq})^2$ , where  $k = 36(2)^{2/3}\varepsilon/\sigma^2$ . In all simulations, atoms interact only with their nearest 116

neighbors, which is a difference from other MD work. This was enforced for both LJ and harmonic 117 potentials and for both intra-species and cross-species interactions. The main reason is that, since 118 the harmonic potential does not tend to zero as  $r_{ij} \rightarrow \infty$ , it is ill suited to describe the forces of 119 more distant neighbors. We limit the interactions within both potentials to include nearest neigh-120 bors only so that  $U_{\text{harmonic}}$  approximates  $U_{\text{LJ}}$  in a straightforward manner. This also precludes 12 cross-species interactions beyond those between the two immediate monolayers, which would be 122 ordinarily present using the standard LJ potential and would introduce additional complexity to 123 the comparison between harmonic and anharmonic systems. 124

We have calculated the interfacial thermal conductance in systems with four different configu-125 rations of these forces: (a) all LJ, (b) all LJ except with harmonic interactions across the interface, 126 (c) all harmonic except with LJ interface, and (d) all harmonic. Examples of steady-state tem-127 perature profiles from all four cases under otherwise identical simulation conditions are shown in 128 Fig. 1. Each data point represents the average temperature in each bin as described in Appendix A, 129 and the shaded region indicates the 95% prediction interval for the bin temperatures. We note that 130 the temperature profiles in cases (c) and (d) have effectively zero slope, corresponding to the di-131 verging conductivity expected in a material with no phonon-phonon scattering. 132

In order to calculate the interfacial thermal conductance from each simulation, the temperatures in the bulk leads are fitted to a linear profile and extrapolated to the interface, which allows the definition of the temperature drop  $\Delta T$ .<sup>25</sup> The conductance is then

$$h = \frac{Q}{A \,\Delta T},\tag{1}$$

where *A* is the cross-sectional area and  $\dot{Q}$  is the steady heat current added to the heat source and removed from the heat sink. Ten such simulations were performed in each system at each temperature with randomized initial velocities to provide independent trials. The mean conductance values from those trials are plotted in Fig. 2 with error bars indicating 95% confidence intervals.

The conductances in all four systems converge at low temperatures, since displacements are small and the LJ potential is well approximated by the harmonic potential. As temperature increases, the conductance increases in case (a), as has been observed in MD simulations in previous work.<sup>5–7</sup> The conductance also increases with temperature in cases (b) and (c), although at smaller rates. In contrast, the conductance in the harmonic case (d) is constant with temperature. These results are consistent with the hypothesis that, in the classical limit, increasing conductance with temperature is caused by inelastic processes, which are enabled by anharmonicity. The average and standard deviation of these values is  $24.9 \pm 0.8$  MW m<sup>-2</sup> K<sup>-1</sup>. Empirically, we note that adding the "excess" conductance from cases (b) and (c) to the harmonic case (d) at each temperature produces conductance values (gray dashed line) very similar to those obtained in the all-LJ case (a). This lends support to the notion that the anharmonic contributions from the interface and from the bulk regions are simply additive.

For comparison, we have also calculated the conductance of the harmonic system using atom-152 istic Green's functions (AGF) to model both a two-probe<sup>26</sup> and a four-probe measurement.<sup>27</sup> The 153 two respective conductance values are 21.69 MW m<sup>-2</sup> K<sup>-1</sup> and 26.69 MW m<sup>-2</sup> K<sup>-1</sup>, converged 154 within 0.01 MW  $m^{-2}$  K<sup>-1</sup> with respect to wavevector and frequency sampling. Details of these 155 calculations are given in Appendix B. The conductance obtained by NEMD in the harmonic sys-156 tem falls between the predictions of the two AGF models and in somewhat closer agreement with 157 the four-probe model. This is consistent with the fact that the four-probe model better describes the 158 physical circumstances of the NEMD calculation, in which the conductance is calculated based on 159 temperatures extrapolated to the interface rather than the temperatures at the baths. Physically, the 160 NEMD conductance is also expected to be slightly higher than the two-probe conductance. The 16 difference is apparent in the temperature profile of the harmonic system in Fig. 1(d); small contact 162 resistances between the hot/cold baths and the Ar/heavy-Ar leads cause the temperature difference 163 at the interface to be slightly smaller than that between the baths. By calculating conductances 164 using bath temperatures from the NEMD simulations, the effective conductance in the harmonic 165 system is  $22.3 \pm 2.6$  MW m<sup>-2</sup> K<sup>-1</sup> in closer agreement with the two-probe prediction, as shown 166 in Appendix A. These comparisons corroborate the NEMD results and provide evidence that they 167 are free of serious size and edge effects as cautioned in other work,<sup>28,29</sup> since AGF calculations do 168 not suffer from the same issues. 169

The key observation from Fig. 2 is that the system consisting of LJ solids joined by harmonic interfacial forces [case (b)] exhibits a consistently higher conductance than the system of harmonic solids joined by LJ forces [case (c)]. Moreover, the discrepancy grows with temperature. We therefore conclude that, in this system, inelastic phonon processes in the bulk materials make a larger contribution to the conductance than inelastic processes at the interface.

#### 175 III. ROLE OF BULK INELASTIC SCATTERING

In this section, we present calculations of the energy distributions among the normal modes in 176 the same NEMD simulations described in the previous section. By comparing the energy distri-177 butions, we elucidate the phonon phenomena that are responsible for the differences in conduc-178 tance observed in Section II. We use the wavelet transform, which has been applied previously 179 to analyze the distribution of energy in MD simulations in spatial and spectral domains simul-180 taneously.<sup>30</sup> To collect the signal to be transformed, we sampled atomic velocities every 40 ns 181 during the same period in which the temperature profiles were collected. We chose to sample nor-182 mal modes with wavevector **q** parallel to the  $\langle 001 \rangle$  direction; therefore, we obtained the average 183 velocity  $\bar{\mathbf{v}}$  of atoms in each monolayer (i.e., each (002) plane) to form a one-dimensional signal 184  $w_{\alpha}(z) = \sqrt{m(z)/2} \bar{v}_{\alpha}(z)$  corresponding to each Cartesian component  $\alpha$ . The wavelet transform of 185 that signal,  $\tilde{w}(z',q')$ , is then used to calculate a kinetic energy density  $E^{K}(z,q)$  as a function of both 186 space and wavenumber. For ease of interpretation, we convert this to an equivalent temperature, 187  $T_{\text{equiv}}(z,q)$ ; i.e., the temperature of a classical system at thermal equilibrium with an equal energy 188 density. In principle, the same procedure can also be used to obtain the spectra of modes in direc-189 tions other than (001) by sampling the corresponding planar velocities. However, the geometry of 190 the system introduces complications in the interpretation of spectra in off-axis directions, so we 191 present spectra along (001) only. For brevity, we also present only the spectra corresponding to 192 longitudinal polarization. We find that the spectra corresponding to transverse modes are very sim-193 ilar because, although their frequencies differ from the longitudinal modes, mode conversion does 194 not occur at sharp interfaces for wavevectors along (001),<sup>31</sup> and the wavenumber of the transverse 195 Ar modes corresponding to the cutoff frequency of the transverse heavy Ar modes is the same. 196 Further details regarding this calculation are given in Appendix C. 197

The resulting kinetic energy densities from six sets of NEMD simulations are plotted in Fig. 3. 198 To reduce noise, the energy density shown in each panel is obtained from averaging data from 199 ten simulations. Each paired row of panels is taken at the same temperature, increasing from top 200 to bottom: (a, b) 2 K, (c, d) 26 K, and (e, f) 50 K. In each pair, the left panel is from the all-LJ 20 system, and the right panel is from the all-harmonic system. In each system, the average spectral 202 temperature decreases from left to right, reflecting the decrease in total temperature. The sharp 203 decrease at z = 0 reflects the temperature discontinuity at the interface. Since the simulations are 204 classical, the energy density at thermal equilibrium would exhibit a uniform distribution among 205

wavevectors (i.e., along vertical sections). The color scales are chosen proportionally to the total temperature so that relative deviations from the equilibrium distribution can be compared at different temperatures.

Interestingly, the energy distribution is in significant nonequilibrium on the Ar side in the LJ 209 system at low temperature [panel (a)] and in the harmonic system at all temperatures [panels 210 (b, d, f)]. There is excess energy in the modes with wavenumbers above  $q \approx 0.4q_{\text{max}}$ , while 211 there is a deficit of energy at lower wavenumbers. The threshold coincides with the wavenum-212 ber of the Ar mode that has the same frequency as the cutoff frequency of heavy Ar,  $q/q_{\rm max} =$ 213  $2\pi^{-1}\sin^{-1}(m_{\rm Ar}/m_{\rm h-Ar})$ . Therefore, we attribute the nonequilibrium to the fact that, in the har-214 monic system and in the low-temperature LJ system, phonons can only transmit elastically at the 215 interface. High-frequency phonons originating in the Ar are therefore completely reflected at the 216 interface, since there are no available modes of the same frequency in the heavy Ar. 217

As temperature increases in the LJ system, the atomic displacements increase, and the anhar-218 monic forces enable the exchange of energy among modes of different frequency-i.e., the rates of 219 inelastic processes increase. This leads to thermalization of vibrational energy in the Ar in the se-220 quence from panel (a) to (c) to (e): the energy that is confined above  $q/q_{\text{max}} \approx 0.4$  steadily relaxes 221 into modes below the threshold. In light of the results of Section II, this thermalization correlates 222 with a drastic increase in thermal conductance of the interface. We therefore infer that conduc-223 tance increases due to an increasing rate of thermalization of excess energy in high-frequency, 224 non-transmitting modes to low-frequency modes with a high transmission. 225

The kinetic energy spectra of the remaining two types of systems are shown in Fig. 4: the 226 left panels are from LJ systems with harmonic interfacial forces, and the right panels are from 227 harmonic systems with LJ interfacial forces. In other words, the systems differ from those of 228 Fig. 3 only in the forces at the interface. The energy distributions of corresponding panels look 229 remarkably similar, which implies that the interfacial forces have only a minor effect on the ther-230 malization of modes in the Ar. In particular, we note that the LJ forces at the interface between 23 harmonic solids only promotes thermalization very weakly if at all. This is associated with a rel-232 atively small increase in conductance with temperature in case (c) of Fig. 2, which we attribute to 233 the bona fide interfacial inelastic phonon processes investigated in detail by Sääskilahti et al.<sup>7</sup> 234

#### 235 IV. CONCLUSIONS

We have used classical molecular dynamics simulations to investigate the contributions to in-236 terfacial thermal conductance from anharmonic effects at the interface and in the nearby bulk 237 materials. First, we confirmed that anharmonicity of interatomic forces is responsible for the in-238 crease of conductance with temperature. The results support the physically appealing model that 239 the total thermal conductance at an interface is the sum of a contribution from elastic phonon 240 transmission (which is constant in the classical limit) and a contribution from inelastic phonon 241 processes that increases with temperature. We found that the inelastic part of the conductance can 242 be further decomposed into contributions from bulk inelastic and interfacial inelastic processes. 243 Between the two, the contribution from bulk inelastic processes is larger than that from the in-244 terface itself, and this difference grows with temperature. We then used the wavelet transform to 245 obtain kinetic energy spectra, which show energy distributions exhibiting strong non-equilibrium 246 at low temperatures because transmission is purely elastic. As temperature increases, the energy 247 distribution in the anharmonic system approaches a thermal distribution, presumably due to an 248 increase in bulk scattering rates. We hypothesize that this increase in bulk scattering enables an 249 increasing contribution to the interfacial flux from non-transmitting modes, and that this mech-250 anism is responsible for the majority of the observed increase in conductance with temperature. 251 The same thermalization is not observed in a system with anharmonic forces only at the interface 252 and harmonic forces elsewhere, suggesting that purely interfacial inelastic scattering contributes 253 to increased conductance through a different mechanism, such as directly increasing the effective 254 transmission, as observed in previous work.<sup>7</sup> In addition to improving the understanding at single 255 interfaces, the identification of these separate types of contributions from inelastic processes will 256 also be useful in understanding the role of anharmonicity at interfaces incorporating thin layers for 257 thermal engineering, which we are also investigating in other work.<sup>32</sup> 258

The present conclusions apply strictly to the Ar/heavy-Ar interface, which has been used extensively as a model system for interfacial thermal conductance. There are some aspects that should be investigated further to extend the findings to other systems, such as metal/diamond interfaces that have been measured experimentally. The present work does not address the effects of interfacial disorder or lattice mismatch, which may play important roles in the experimental systems. Furthermore, the vibrational mismatch of the Pb/diamond interface, for example, is much larger than the mismatch of the Ar/heavy-Ar system studied in this and most other MD work on this problem. Gordiz and Henry did recently investigate the effects of increasing mismatch explicitly,
 and showed that the anharmonic contribution to conductance becomes particularly important at
 large mismatch in bonding strength.<sup>33</sup>

Nevertheless, the present findings provide important general guidance for the development 269 of interfacial thermal conductance models that can accurately incorporate inelastic processes. 270 Namely, our results suggest that it is not sufficient for conductance models to account only for 271 frequency conversion at the interface, as done e.g. in the HHIM<sup>20</sup> and the AIM.<sup>21</sup> In addition, it is 272 necessary to account for the effective increase of incident phonon flux due to rethermalization of 273 energy in modes with low transmission, as was done phenomenologically, for example, by Duda et 274 al.<sup>22</sup> New models that incorporate these effects could take the parameters of the Ar/heavy-Ar sys-275 tem as input and test their predictions directly against the conductance contributions from elastic, 276 bulk inelastic, and interfacial inelastic processes provided in this work. 277

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#### 286 Appendix A: Ar/heavy Ar simulation details

All molecular dynamics simulations were performed with the LAMMPS code package.<sup>34</sup> In choosing the model system, we sought the simplest system in which one can observe the effect of anharmonicity on thermal conductance and on phonon transport. A system meeting these criteria, similar to systems used in past MD studies of interfacial conductance,<sup>5,7,25</sup> is a coherent [001] interface between solid Ar (40 amu) and solid "heavy Ar" (120 amu). In this work, we use the LJ parameters  $\varepsilon = 0.01617$  eV and  $\sigma = 3.347$  Å, which correspond to the harmonic parameters k = 0.8249 eV Å<sup>-2</sup> and  $r_{eq} = 3.757$  Å. These interatomic potentials produce a cubic lattice parameter of 5.313 Å at 0 K, compared with 5.311 Å extrapolated for Ar from experimental data.<sup>35</sup> The potentials produce phonon dispersions in good agreement with neutron scattering measurements in solid Ar,<sup>36</sup> as shown in Fig. 5. The highest-frequency mode has a vibrational period of 500 fs, based on which we select a timestep of 2 fs. To account for thermal expansion in the systems with LJ forces, simulations were performed to determine the zero-pressure lattice constant as a function of temperature. The simulations produced values of a(T) that were fitted to a third-order polynomial function

$$a(T) = a_0 + a_1 T + a_2 T^2 + a_3 T^3.$$
(A1)

<sup>301</sup> The fitted coefficients are provided in Table I.

The NEMD simulation domain has dimensions of  $10 \times 10 \times 60$  conventional unit cells. The 302 boundary conditions are periodic in the plane of the interface, approximating the interface be-303 tween two slabs of infinite cross section. On each end, two (002) planes are held fixed as walls 304 (400 atoms), and the temperature of the next twenty (002) planes (4000 atoms) is controlled us-305 ing a Langevin thermostat with a time constant of 2.14 ps. Sääskilahti et al.<sup>7</sup> determined that 306 this geometry was sufficiently large to avoid size effects in their system. In NEMD of harmonic 307 and low-temperature systems, energy outgoing from the interface must be well thermalized in the 308 two thermostatted regions ("baths") so that the distribution of energy emitted from each bath is 309 in thermal equilibrium. Insufficient thermalization manifests as a dependence of thermal proper-310 ties on system size, thermostat strength, or size of the bath. Since (1) the forces in some of our 311 systems are purely harmonic and (2) our LJ potential is limited to nearest-neighbor interactions, 312 presumably reducing phonon-phonon scattering even in our anharmonic systems, we performed 313 additional simulations to check for evidence of insufficient thermalization of phonons emitted from 314 the baths. Namely, we ran three series of simulations with increased cross-section ( $15 \times 15$  cells), 315 increased length (90 cells), and decreased thermostat time constants (1.07 and 0.54 ps) with no 316 statistically significant change in conductance, suggesting that the Langevin thermostats provide 317 sufficient thermalization to avoid size and edge effects as others have cautioned.<sup>28,29</sup> This is also 318 supported by the fact that the conductance also falls between the values predicted by two comple-319 mentary AGF methods (Section II and Appendix B), in which the distributions of energy emitted 320 from the temperature baths are prescribed exactly and are not coupled with the distributions of 321 energy leaving the interface. 322

Each simulation began with the atoms in their equilibrium positions and with kinetic energy

equivalent to twice the nominal temperature. For simplicity, the initial atomic velocities were set 324 to the corresponding uniform magnitude of  $|\mathbf{v}| = (2dk_{\rm B}T_{\rm nominal}/m)^{1/2}$  with random orientation. 325 The simulation then ran for 20 ps in order to reach thermal equilibrium. The thermostats were then 326 applied at target temperatures of  $(1 \pm 1/10)T_{nominal}$  for 4 ns, at which point we confirmed that the 327 temperature distributions had reached steady state. To determine the temperature distribution, we 328 divided the atoms into 120 bins along the transport direction, each bin containing one monolayer 329 (200 atoms). The temperature was sampled in each bin in intervals of 1 ps. Running averages 330 were stored in memory and written to disk every 40 ps, and those averages were collected for 331 8 ns, which provided 200 samples of the temperature in each bin. To check the degree to which 332 the LJ systems at different temperatures are approximated by the harmonic system, we have also 333 calculated distributions of atomic displacements from equilibrium during the NEMD simulations. 334 Distributions from six selected simulations are shown in Fig. 6. At 2 K, the distributions are nearly 335 identical, and the distributions increasingly diverge with increasing temperature. 336

Each simulation thus provided a one-dimensional temperature distribution T(z). We used a 337 standard procedure for extracting the thermal conductance at the interface: we fit a linear model 338 to the temperature profiles in the two "bulk-like" regions and extrapolated them to the interface.<sup>25</sup> 339 We calculated  $\Delta T$  as the difference between the extrapolated values, from which we calculated 340 the conductance using Eq. (1). In Section II, we comment that in the special case of the har-34 monic system, replacing the extrapolated temperatures with the temperatures of the thermostatted 342 regions enables a fair comparison with the two-probe conductance model using atomistic Green's 343 functions (AGF). Those data are shown in Fig. 7 (blue circles) in comparison with the conduc-344 tance calculated using the extrapolated temperatures (red crosses) and the AGF value (gray line). 345 The inclusion of the contact resistances appears to be a plausible explanation for the discrepancy 346 between the methods. 347

#### 348 Appendix B: Atomistic Green's Functions

According to the formalism of atomistic Green's functions (AGF) in the harmonic limit, the thermal conductance of a "device" (in this case, a single planar interface between two materials) in contact with reservoirs at thermal equilibrium is given by<sup>37,38</sup>

$$h_{2p} = \frac{1}{2\pi A} \int_{0}^{\infty} \hbar \omega \frac{\partial N}{\partial T} \operatorname{Tr} \left\{ \Gamma_{l} G \Gamma_{r} G^{\dagger} \right\} d\omega, \tag{B1}$$

where A is the cross-sectional area,  $\hbar\omega$  is the phonon energy, N is the Bose–Einstein distribution, 352 and T is the temperature. G is the retarded Green's function for the dynamical equation of the 353 device, which describes the response of the device upon an impulse excitation.  $\Gamma_l$  ( $\Gamma_r$ ) is the 354 anti-Hermitian part of the left (right) contact self-energy. This quantity is related to the rate at 355 which phonons leak from the device into the left (right) contact.<sup>38</sup> Equation B1 corresponds to a 356 two-probe measurement based on the temperatures of phonons emitted from the reservoirs, which 357 exhibit an equilibrium distribution. A modification has been proposed to approximate a four-probe 358 measurement based on the combined energy density of phonons emitted from the reservoirs and 359 those transmitted through the interface:<sup>27</sup> 360

$$h_{4p} = h_{2p} \frac{1}{1 - \frac{1}{2} \left[ \frac{h_{2p}}{h_l} + \frac{h_{2p}}{h_r} \right]},$$
(B2)

where  $h_l$  and  $h_r$  are the effective conductances of the pure materials. Detailed explanations of the AGF method and its numerical implementation are available in the literature;<sup>27,37–41</sup> here we discuss details relevant to the present systems.

To compare the conductances calculated from AGF and classical MD simulations, we evaluate Eqs. B1 and B2 in the classical limit ( $\hbar \omega \ll k_{\rm B}T$ ). In that limit, the factor  $\hbar \omega (\partial N/\partial T)$  reduces to the Boltzmann constant,  $k_{\rm B}$ , and the thermal conductance becomes

$$h = \frac{k_{\rm B}}{2\pi A} \int_{0}^{\infty} \operatorname{Tr}\left\{\Gamma_{l} G \Gamma_{r} G^{\dagger}\right\} d\omega.$$
(B3)

<sup>367</sup> We used AGF to calculate the conductance at the Ar/heavy-Ar interface in the harmonic limit. <sup>368</sup> The interatomic force constants were calculated from the Taylor expansion of the total energy, and <sup>369</sup> we verified that they produce the same spectrum of normal modes. To calculate Tr { $\Gamma_l G \Gamma_r G^{\dagger}$ }, we <sup>370</sup> use the transverse symmetry of the system to decompose the problem into a sum of independent <sup>371</sup> systems in the transverse *k*-space.<sup>42</sup> The transverse Brillouin zone was sampled with a grid of <sup>372</sup> 200 × 200 equally spaced *k*-points.

#### 373 Appendix C: The wavelet transform

The wavelet transform  $\tilde{w}(q, z)$  of a signal w(z) is an integral transform,

$$\tilde{w}(z',q') = \mathscr{W}\{w(z)\} = \int_{-\infty}^{\infty} w(z) \, \psi_{z',q'}^*(z) \, dz, \tag{C1}$$

where the kernel functions  $\psi_{z',q'}$  are wavelets. We use the convention of Baker et al.<sup>30</sup> in which each "daughter wavelet," corresponding to a specific location z' and wavenumber q', is defined as

$$\Psi_{z',q'}(z) = \pi^{-1/4} \left(\frac{q'}{q_0}\right)^{1/2} \exp\left[iq'(z-z')\right] \times \\ \exp\left[-\frac{1}{2} \left(\frac{q'}{q_0}\right)^2 (z-z')^2\right].$$
(C2)

This is a scaled and translated version of a mother wavelet  $\psi_{z',q_0}$  whose dominant wavenumber is  $q_0$ . The definition is normalized so that the energy density per length, per wavenumber is calculated as

$$E_{\psi}(z',q') = \frac{1}{Cq_0} |\tilde{w}(z',q')|^2.$$
(C3)

We use the combination  $w(z) = \sqrt{m(z)/2}v(z)$  as the signal to be transformed so that the wavelet energy density calculated by Eq. (C3) corresponds to the density of kinetic energy per length, per wavenumber. The constant *C* accounts for the fact that, unlike the plane waves that form the basis functions for the Fourier transform, the wavelets are not orthogonal:

$$C = \int_{-\infty}^{\infty} \frac{|\bar{\psi}_{z',q_0}(q)|^2}{|q|} dq,$$
 (C4)

where  $\bar{\psi}_{z',q'_0}(q)$  is the Fourier spectrum of the mother wavelet.

The window of useful information from the spectrum is bounded in wavenumber space from 385 above due to aliasing artifacts at short wavelengths and from below due to edge artifacts at long 386 wavelengths. We use the tolerances for these artifacts suggested in Ref. 30, corresponding respec-387 tively to constants  $\eta = 0.05$  and  $\phi = 1$  defined therein. Based on those constraints, one may choose 388 the dominant wavenumber of the mother wavelet,  $q_0$ , to determine the range of useful information 389 in the final spectrum. In this work, we used  $q_0 = 10/a$ , which produces an energy spectrum with 390 useful information in the range of wavenumbers between  $q_{\text{low}} \approx 0.19 q_{\text{max}}$  and  $q_{\text{high}} \approx 0.83 q_{\text{max}}$ . 391 These correspond to the limits on the vertical axes in Figs. 3 and 4 and allow a clear representation 392 of changes in the energy distribution occurring near  $0.4q_{\text{max}}$ . 393

To facilitate interpretation, the values plotted in those figures are not E(z,q) itself, but rather the equivalent temperature

$$T_{\text{equiv}}(z,q) = \frac{2L_z(q_{\text{high}} - q_{\text{low}})}{k_{\text{B}}}E(z,q),$$
(C5)

where  $L_z$  is the system length in the *z* direction. That is, if a system were at thermal equilibrium with a uniform energy density of E(z,q), then its temperature would be equal to  $T_{\text{equiv}}(z,q)$ .

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## **FIGURES**





FIG. 1. Steady-state temperature profiles in four identical systems except for the anharmonicity of the interatomic forces. The nominal temperature of these simulations is T = 26 K.





FIG. 2. Thermal boundary conductance as a function of temperature in the same systems as in Fig. 1. Also shown are conductance values calculated using atomistic Green's functions to model two-probe and fourprobe measurements (solid gray). The dashed gray line is the sum of the harmonic conductance of case (d) with the "excess" conductances from cases (b) and (c).



FIG. 3. Distributions of kinetic energy in longitudinal  $\langle 001 \rangle$  modes obtained by a wavelet transform during NEMD simulations between Ar (z < 0) and heavy Ar (z > 0). Modes with frequency 0.75 THz are marked with dashed gray lines; modes with frequency 1.15 THz, the maximum frequency in heavy Ar, are marked in solid red. The nominal temperature increases from top to bottom: (a, b) 2 K, (c, d) 26 K, and (e, f) 50 K. The left panels (a, c, e) are calculated from systems with all LJ forces and the right panels (b, d, f) from systems with all harmonic forces.



FIG. 4. The same as Fig. 3, but with different forces between atoms at the interfaces. The left panels (a, c,
e) are calculated from LJ systems with harmonic interfacial forces, and the right panels (b, d, f) are from
harmonic systems with LJ interfacial forces.



FIG. 5. Dispersion of normal modes in simulated argon from lattice dynamics (LD) and from normal mode
decomposition from molecular dynamics simulations (MD) compared with experimental measurements
from Ref. 36.



FIG. 6. Average displacements of Ar atoms from their equilbrium positions during NEMD simulations used to calculate conductances in Fig. 2. Distributions were calculated from atoms within the twelve monolayers nearest the interface in six simulations: one simulation with all LJ forces (blue) and one with all harmonic forces (red) at each of three temperatures: 2 (top), 26 (center), and 50 K (bottom).



FIG. 7. Conductance in the harmonic system calculated from NEMD using the lead temperatures extrapolated to the interface (red crosses) and the bath temperatures (blue circles) compared with two-probe (2p)
and four-probe (4p) AGF calculations.

## **TABLES**

Parameter	Fitted Value
$a_0$	5.313 Å
$a_1$	$1.813 \times 10^{-3} \text{ Å } \mathrm{K}^{-1}$
$a_2$	$4.792 \times 10^{-6} \text{ Å K}^{-2}$
<i>a</i> <sub>3</sub>	$1.394 \times 10^{-8} \text{ Å K}^{-3}$

TABLE I. Coefficients for Temperature-Dependent Lattice Parameter of LJ Argon [Eq. (A1)]