

CHCRUS

This is the accepted manuscript made available via CHORUS. The article has been published as:

Broadband solid cloak for underwater acoustics

Yi Chen, Mingye Zheng, Xiaoning Liu, Yafeng Bi, Zhaoyong Sun, Ping Xiang, Jun Yang, and Gengkai Hu

Phys. Rev. B **95**, 180104 — Published 30 May 2017 DOI: 10.1103/PhysRevB.95.180104

1	Broadband solid cloak for underwater acoustics
2	
3	Yi Chen ¹ , Mingye Zheng ¹ , Xiaoning Liu ^{1*} , Yafeng Bi ² , Zhaoyong Sun ² , Ping Xiang ³ , Jun
4	Yang ² , Gengkai Hu ^{1*}
5	¹ Key Laboratory of Dynamics and Control of Flight Vehicle, Ministry of Education, School
6	of Aerospace Engineering, Beijing Institute of Technology, Beijing 100081, China
7	² Key Laboratory of Noise and Vibration Research, Institute of Acoustics, Chinese Academy
8	of Sciences, Beijing 100190, China
9	³ System Engineering Research Institute, Beijing 100094, China
10	

^{*} Corresponding author: liuxn@bit.edu.cn, hugeng@bit.edu.cn

1 Abstract

2 Application of transformation theory to underwater acoustics has been a challenging task 3 because highly anisotropic density is unachievable in water. A possible strategy is to exploit 4 anisotropic modulus rather than density, while has not been experiment demonstrated. We 5 present an annular underwater acoustic cloak designed from particular graded solid 6 microstructures. The geometry tailored microstructures mimics meta-fluid with highly 7 anisotropic modulus through substantially suppressed shear wave. Transient wave 8 experiments are conducted with the cloak in a designed 2D underwater waveguide system 9 and proved excellent cloaking performance for enclosed target over broadband frequency 10 9-15 kHz. This finding paves the way for controlling underwater acoustics using the 11 structured anisotropic modulus meta-fluid.

12 PAC: 43.40.+s; 46.40.Cd; 62.30.+d; 62.20.D-

1 Inspired by the form invariance of Maxwell's equations under spatial mapping, a general transformation method [1, 2] was proposed to control wave propagation accurately through 2 3 material distribution. Invisible cloaks as ultimate applications have been realized for 4 electromagnetic wave [3–9], flexural plate wave [10, 11], thermal flux [12, 13] and liquid 5 surface wave [14] with contemporary metamaterial [15, 16]. For acoustic cloak, meta-fluid 6 with anisotropic density [17, 18], impossible in natural fluid, is required by transformation 7 approach. This has been realized with perforated plate technique [19, 20] for air sound. 8 However, cloak for underwater acoustics still faces significant challenges due to unavailable 9 material design.

10 For air sound, the solid perforated plate can be treated as rigid and provides additional 11 momentum along orthogonal direction [19–22], which induces density difference along two 12 principal directions [19, 20]. Unfortunately, no solid material [23] can be treated as rigid in 13 water, and the stimulated shear waves in solid will dramatically decrease the anisotropy [23, 14 24]. Even for air sound, effective density in two principle directions with the technique can 15 only differ in five times or less [22], which is not sufficient large to build cylindrical cloak. 16 Besides, this metamaterial requires fluid as working media [21–25] and is essentially fluidic 17 with limited practical applications, such as mobility working condition.

18 Since the anisotropic density meta-fluid is unworkable for underwater acoustics cloak, a 19 direct conjecture is to use meta-fluid with anisotropic modulus instead of density. Although 20 anisotropic density meta-fluid has been investigated extensively [19–25], few researches have 21 been concerned with realizing anisotropic modulus meta-fluid. Indeed, anisotropic modulus is 22 a rather common property in natural solids or artificial structures. Although solids differ from 23 fluids essentially owing to co-exist longitudinal and shear waves, it is possible to decouple 24 the two waves and obtain fluid like materials with single bulk wave through microstructure 25 design [26, 27], so as to separate the longitudinal and shear wave velocities stringently 26 [28–30]. This concept coincides with long ago forwarded pentamode (PM) material [31], 27 which was recognized recently [32] as one type of anisotropic modulus meta-fluid in regard 28 to acoustics. Through carefully tailored geometry of PM material, its effective shear to bulk 29 modulus ratio (G/K) can be smaller than 1/1000 in 3D [29] or 1/100 in parallel plate [26], 30 with the two principal modulus differ in fifty times [26], which mimics strong anisotropic

1 modulus meta-fluid. More importantly, the anisotropic modulus results from quasi-static 2 property without resonance mechanism and works for extreme broadband range [26] if the 3 unit cell is sufficient small. Since the modulus and density of common solids, like aluminum, 4 copper or steel, is at the same order of magnitude as water, PM type meta-fluid is especially suitable for controlling underwater acoustics [33-37]. Although PM material has 5 6 demonstrated numerically its effectiveness as anisotropic modulus fluid in underwater cloak 7 [26], mirage [27], and metasurface applications [35], experiment validation has never been 8 reported due to complicated microstructure design and difficulties in underwater acoustic 9 measurements.

10 In this letter, we presented the first underwater acoustic cloak with PM material and 11 demonstrated experimentally its broadband cloaking performance. It should be noted that, a 12 cloak for underwater acoustics reported in Ref. [25] is designed from anisotropic density 13 metamaterials and requires fluid as necessary working media, while here only solid structures 14 with designed highly anisotropic modulus are needed. Due to available strong anisotropy in 15 modulus through microstructure design, the material parameter for cloak is not scaled as 16 previous examples [19, 20] and is therefore impedance matched with background water. In 17 addition, the fabricated cloak has a much smaller thickness relative to inner radius [3, 6, 10, 18 11], which is favorable for practical usage. Transient wave experiments were conducted in a 19 specially designed 2D underwater waveguide system and validated superior wave shielding 20 performance of the designed cloak, achieving average 6.3 dB reduction of target strength over 21 a broad frequency band 9-15 kHz.

22 Figure 1a shows the fabricated annular cloak machined from an aluminum block using 23 advanced electrical discharge machining (EDM) technique with high accuracy. The fabricated 24 cloak weights 4.87 kg and differs about ten percentages from an ideal one (4.42 kg) [32]. 25 Totally 50 sectors of cells are assembled around the θ -direction, and each sector (Fig. 1b, 26 bounded by red lines) has five graded PM unit cells along the r direction. In designing the 27 cloak, transformation theory with optimization algorithm are first used to get principal moduli K_{θ} and K_r (Figs. 1d), and density ρ for cloaking, and then the required material 28 29 parameters are realized by calibrating geometry parameters (Fig. 1e) of adopted PM unit cell 30 (Fig. 1c) (See Ref. [38]). Different from other unit cells [27], the rectangle mass components

1 are transferred to the middle point of the thin ribs, and therefore further suppress shear wave 2 by decreasing rigidity of the rib joints [26], which results in highly anisotropic modulus fluid 3 behavior with single longitudinal polarized mode (See Fig. S1 in Ref. [38]). Due to small 4 thickness of this cloak, material properties are obtained with optimization algorithm to 5 achieve broadband invisibility. Large gradient in the optimized material properties, 6 particularly between the second and fourth layers, on one hand guarantees satisfying cloaking 7 performance, and on the other hand brings forth significant challenges in the cloak design. 8 The pentamode cells in the corresponding layer should have required effective material 9 parameters and ensure the designed layer thickness as well. Modulus anisotropy K_{θ}/K_r 10 increases from outer to inner sides, reaching 40 for the first two layers, while the outermost layer is nearly impedance matched with water ($Z_{cloak}/Z_{water}=0.94$, Z represents impedance). 11

12 Since the cloak is based on a two-dimensional design, a cloak with large axial dimension 13 is more appropriate for experiment test. Limited by machining capability, the fabricated cloak 14 is of small size 50mm in the z-direction and therefore experiment tests should be conducted 15 in a 2D underwater waveguide, which can verify invisibility effect of the fabricated cloak as 16 if it is infinite in the z-direction. However, designing 2D underwater waveguides is not trivial, 17 since the large water density excludes any solid plate of feasible thickness from serving as an 18 acoustic rigid boundary. Therefore, we propose a 2D underwater waveguide based on 19 pressure compensation. The waveguide was composed of two opposing aluminum plates and 20 a cylindrical piezoelectric transducer (PZT) immersed in an anechoic water pool (Fig. 2a). 21 The cloak and scatter were mounted in the middle of the waveguide chamber. To keep the 22 voids in the cloaking shell free of water, the top and bottom cloak surfaces were sealed with 23 4 mm thick water matched rubber. A thin walled polymethyl methacrylate (PMMA) hollow 24 cylinder (diameter 200 mm and height 50 mm) was used as the scatter, which can be treated 25 as air scatter with extremely small density.

Since the cloak is expected to work over broadband frequency, transient wave excitation rather than steady wave of a single frequency is preferred. We adopted a Gaussian burst of form $\exp(-0.222(f_ct)^2)\cos(2\pi f_ct)$ to drive the PZT, where f_c denotes the central frequency. Figure 2b shows the driven signal for $f_c=13$ kHz, which lasts 0.7ms to distinguish between incident and reflective signals. At this central frequency, one wavelength is approximately 11 1 largest unit cells of the cloak, so the homogenization condition holds. The frequency 2 transformed signal (Fig. 2c) spans from 10-16 kHz with amplitude larger than 10% of the 3 central frequency amplitude, hence broadband performance of the cloak can be verified. In 4 the experiment, acoustic pressure fields corresponding to forward and backward regions (Fig. 5 2a) inside the waveguide for three cases (See Figs. S1 in Ref. [38]): reference (empty 6 waveguide), uncloaked scatter, and cloaked scatter, were scanned using a hydrophone moving 7 along orthogonal axes with 10 mm step. 3D numerical simulations have been conducted to 8 validate the proposed waveguide with pressure compensation (See Figs. S2, S3 in Ref. [38]).

9 Measured snapshots of pressure fields in the forward region are shown in Fig. 3a–3c. For 10 the reference case (Fig. 3b), pressure fields show nearly undisturbed cylindrical wave form as 11 expected, and this provides a measurement benchmark. For the uncloaked scatter case (Fig. 12 3c), the impinging cylindrical wave is mostly blocked due to significant impedance mismatch 13 with water, and a clear shadow is formed behind the scatter. A small amount of energy flows 14 around the scatter from the lateral side, inducing phase lag owing to the longer propagation 15 trajectory. When the scatter is covered with the cloak (Fig. 3a), impinging acoustic energy is 16 directed by graded material properties to the forward region, and substantially eliminating the 17 acoustic shadow to the reference case (Fig. 3b). Pressures (Fig. 3g) along the indicated 18 horizontal line in the forward region also show very similar result for the empty waveguide 19 and cloaked scatter cases.

20 As for pressure in backward region (Fig. 3d-3e), reflected wave is only clearly observed 21 for the uncloaked case (Fig. 3f) after the incident wave has passed through this region. The 22 cloaked case shows much less reflection (Fig. 3d) and absolute pressure along the indicated 23 line remains the same level as the reference case (Fig. 3h). Experimentally measured pressure 24 fields in forward and backward regions both also agree very well with numerical simulations 25 (See Fig. S4 in Ref. [38]). The enhanced forward transmission and reduced backward 26 reflection can be more clearly seen from the measured transient pressure fields (see Video S1 27 in Ref. [38]). These results proved the impedance match of the cloak with water, and also 28 indicate excellent cloaking effect for the enclosed scatter. Further experiment on aluminum 29 cylinder scatter also validated the proposed underwater waveguide (see Fig. S5, Video S5 in 30 Ref. [38]).

1 Figures 4a–4d show the pressures measured at locations 1–4 in Fig. 2a, respectively. At 2 backward location 1 (Fig. 4a), the incident Gaussian packet for all three cases are similar, 3 whereas the reflected Gaussian packet immediately following the incident packet is only 4 clearly seen for the uncloaked case. The reflected signal partly overlaps with incident one due 5 to large water wave velocity, and is significantly reduced when cloaked. This suppressing 6 effect for the reflected signal is more clearly identified at another backward location (Fig. 4b). 7 At forward location 3 (Fig. 4c), uncloaked case shows weaker and phase retarded signals as 8 expected, and the phase retardation is rectified with moderate amplitude recovery while 9 cloaked. The other forward location 4 (Fig. 4d) shows perfect restoration for both amplitude 10 and phase with the cloak.

11 Finally, we quantified the broadband efficiency of the designed cloak using target 12 strength reduction (TSR), calculated from the reflective signal strength. The TSR with 13 covered cloak can be obtained as $10 \times \text{Log}_{10}(E_{cl}/E_{un})$, where E_{cl} and E_{un} represent energy of 14 reflective signal for the uncloaked and cloaked cases, respectively. Additional experiments 15 using signals with different central frequencies were conducted to cover a wide frequency 16 band (see Videos S2–S4 in Ref. [38]). Reflective signals for both cases were taken from 17 location 2 rather than 1 to calculate TSR with clearly distinguished reflected and incident 18 signals. In the studied frequency range, simulated TSR (Fig. 4e) is nearly constant with small 19 peaks caused by shear resonance scattering [26]. The overall trend of measured TSR is 20 consistent with simulation, where differences may arise from system errors including 21 fabrication, measurement, and imperfect waveguide. The experiment validates superior 22 stealth capability of designed cloak, achieving 6.3 dB average reduction within a remarkably 23 broad frequency range 9–15 kHz. It should be noted, although measurements here only 24 indicate the excellent performance of the designed cloak over the given range owing to 25 transducer frequency limitations, the designed cloak can in principle work from zero 26 frequency, since only quasi-static material properties are employed [26], in contrast to cloaks 27 based on resonance mechanisms [3, 6].

In conclusion, we have experimentally demonstrated a broadband solid cloak for underwater acoustics within designed 2D underwater waveguide. The cloak is composed of highly anisotropic pentamode solid material, which acts as anisotropic modulus meta-fluid and acquires much stronger anisotropy than any anisotropic density meta-fluid in water. The highly anisotropy in modulus enables favorable thinner cloak layer, and also provides excellent cloaking for enclosed object attributed to nearly impedance match and strongly bending capability for acoustic wave. Solidity, broadband and easily tuning feature of pentamode materials offer new possibility to control underwater acoustic waves with unprecedented flexibility. Many applications for underwater acoustic may arise from this concept, such as acoustic radiation shaping, elastic/acoustic energy transforming device, etc.

8

9 Acknowledgements

The authors would like to thank H. Jia for discussion on waveguide design, and X. Ruan for preparing part of hardware devices. Funding support from the Natural Science Foundation (Grant No. 11472044, 11221202, 11632003, 11521062) and from 111 Project (B16003) is acknowledged.

1 References

- 2 [1]J. B. Pendry, D. Schurig, and D. R. Smith, Science **312**, 1780 (2006).
- 3 [2]U. Leonhardt, Science **312**, 1777 (2006).
- 4 [3]D. Schurig, J. J. Mock, B. J. Justice, S. A. Cummer, J. B. Pendry, A. F. Starr, and D. R.
- 5 Smith, Science **314**, 977 (2006).
- 6 [4] W Cai, U. K. Chettiar, A. V. Kildishev and V. M. Shalaev, Nat. Photonics 1, 224 (2007).
- 7 [5]B. Edwards, A Alù, M. G. Silveirinha and N. Engheta, Phys. Rev. Lett. 103, 153901
- 8 (2009).
- 9 [6] R. Liu, C. Ji, J. J. Mock, J.Y. Chin, T. J. Cui and D. R. Smith, Science **323**, 366 (2009).
- 10 [7] J. Valentine, J. Li, T. Zentgraf, G. Bartal and X. Zhang, Nat. Mater. 8, 568 (2009).
- 11 [8]B. Zhang, Y. Luo, X. Liu and G. Barbastathis, Phys. Rev. Lett. 106, 033901 (2011).
- 12 [9]N. Landy and D. R. Smith, Nat. Mater. **12**, 25 (2013).
- 13 [10] N. Stenger, M. Wilhelm and M. Wegener, Phys. Rev. Lett. 108, 014301 (2012).
- 14 [11] D. Misseroni, D. J. Colquitt, A. B. Movchan, N. V. Movchan and I. S. Jones, Sci. Rep. 6,
- 15 23929 (2016).
- 16 [12] H. Xu, X. Shi, F. Gao, H. D. Sun and B. Zhang, arXiv:1306.6835
- [13] T. Han, X. Bai, D. Gao, J. T. L. Thong, B. Li and C. W. Qiu, Phys. Rev. Lett. 112, 054302 (2014).
- [14] D. R. Smith, W. J. Padilla, D. C. Vier, S. C. Nemat-Nasser and S. Schultz, Phys. Rev.
 Lett. 84, 4184 (2000).
- [14] D. R. Smith, W. J. Padilla, D. C. Vier, S. C. Nemat-Nasser and S. Schultz, Phys. Rev.
 Lett. 84, 4184 (2000).
- 23 [15] M. Farhat, S. Enoch, S. Guenneau, A. B. Movchan, Phys. Rev. Lett. 101, 134501 (2008).
- 24 [16]Z. Liu, X. Zhang, Y. Y. Zhu, Z. Yang, C. T. Chan, and P. Sheng, Science **289**, 1734
- 25 (2000).
- 26 [17] S. A. Cummer, and D. Schurig, New J. Phys. 9, 45 (2007).
- 27 [18] H. Chen, and C. Chan, Appl. Phys. Lett. 91, 183518 (2007).
- 28 [19] B. I. Popa, L. Zigoneanu and S. A. Cummer, Phys. Rev. Lett. 106, 253901 (2011).
- 29 [20]L. Zigoneanu, B. I. Popa and S. A. Cummer, Nat. Mater. 13, 352 (2014).
- 30 [21] J. B. Pendry, and J. Li, New J. Phys. 10, 115032 (2008).
- 31 [22]D. Torrent and J. Sanchez-Dehesa, Phys. Rev. Lett. 105, 174301 (2010).
- 32 [23] Y. Urzhumov, F. Ghezzo, J. Hunt, and D. R. Smith, New J. Phys. 12, 73014 (2010).
- 33 [24] B. I. Popa, W. Wang, A. Konneker, S. A. Cummer, and C. A. Rohde, J. Acoust. Soc. Am.
- **139**, 3325 (2016).
- 35 [25] S. Zhang, C. Xia and N. Fang, Phys. Rev. Lett. 106, 024301 (2011).
- 36 [26] Y. Chen, X. Liu and G. Hu, Sci. Rep. 5, 15745 (2015).
- 37 [27]C. N. Layman, C. J. Naify, T. P. Martin, D. C. Calvo and G. J. Orris, Phys. Rev. Lett.
- **38 111**, 024302 (2013).
- 39 [28] A. C. Hladky-Hennion, J. O. Vasseur, G. Haw, C. Croënne, L. Haumesser and A. N.
- 40 Norris, Appl. Phys. Lett. **102**, 144103 (2013).
- 41 [29] A. Martin, M. Kadic, R. Schittny, T. Bückmann and M. Wegener, Phys. Rev. B 86,
- 42 155116 (2012).

- 1 [30] M. Kadic, T. Bückmann, N. Stenger, M. Thiel and M. Wegener, Appl. Phys. Lett. 100,
- 2 191901 (2012).
- 3 [31]G. W. Milton and A. V. Cherkaev, J. Eng. Mater-T. Asme 117, 483 (1995).
- 4 [32] A. N. Norris, P. Roy. Soc. A-Math. Phy. 464, 2411 (2008).
- 5 [33] C. L. Scandrett, J. E. Boisvert and T. R. Howarth, J. Acoust. Soc. Am. 127, 2856 (2010).
- 6 [34] J. Cipolla, N. Gokhale, A. N. Norris and A. Nagy, J. Acoust. Soc. Am. 130, 2332 (2011).
- 7 [35] Y. Tian, Q. Wei, Y. Cheng, Z. Xu, Z. and X. Liu, Appl. Phys. Lett. 107, 221906 (2015).
- 8 [36]X. Cai, L. Wang, Z. Zhao, A. Zhao, X. Zhang, T. Wu and H. Chen, Appl. Phys. Lett. 109,
- 9 131904 (2016).
- 10 [37] Y. Chen, X. Liu and G. Hu, J. Acoust. Soc. Am. 140, EL405 (2016).
- 11 [38] See Supplemental Material for detail on cloak design and experiment setup, additional
- 12 analysis on proposed underwater waveguide, calculations and videos, which includes Ref.
- 13 [39–42].
- 14 [39]N. H. Gokhale, J. L. Cipolla and A. N. Norris, J. Acoust. Soc. Am. 132, 2932 (2012).
- 15 [40]A. S. Titovich and A.N. Norris, J. Acoust. Soc. Am. 136, 1601 (2014).
- 16 [41]W. Chen, Z. Bian and H. Ding, Int. J. Mech. Sci. 46, 159 (2004).
- 17 [42]D. N. MacLennan and E. J. Simmonds, Fisheries Acoustics (Springer, 2013).
- 18
- 19

Figure captions

3 FIG. 1 (color online). Solid cloak designed from pentamode material. (a) Image of the cloak 4 machined from an aluminum block with inner diameter 200mm, outer diameter 334 mm and 5 height 50 mm, consisting of 500 hexagonal unit cells. (b) Enlarged view of one segment, 6 highlighted in (a). Structure between red lines represents one sector in the θ -direction and 7 yellow lines separate the five graded unit cells. (c) Proposed pentamode unit cell with six 8 geometric parameters, topology angle β , oblique and horizontal rib length l and m, rib 9 thickness t, rectangle width w and height h, for tuning effective material properties. (d) 10 Homogenized density and in-plane moduli, normalized by water density $\rho_0=1000 \text{ kg/m}^3$ and 11 bulk modulus K_0 =2.25 GPa, of the designed cloak. Dashed lines represent properties derived 12 from transformation. (e) Geometric parameters corresponding to the five graded unit cells.

13

14 FIG. 2 (color online). Experiment setup for underwater acoustic tests. (a) 2D underwater 15 acoustic waveguide composed of two opposing aluminum plates and transducer. Part of the 16 upper plate is removed to show the scatter and cloak position for the experiment. Inset figures 17 show the air cylinder used as scatter and the rubber covered cloak. Forward and backward 18 regions $(600 \times 560 \text{ mm})$ are 450 mm apart. Locations 1 and 3 lies along the central line of the 19 waveguide and are 475 mm away from the center, while locations 2 and 4 are 200 mm from 1 20 and 3, respectively. (b) (c) Time and frequency plots of Gaussian burst with central frequency 21 13kHz and time duration 0.7ms used for driving the transducer.

22

FIG. 3 (color online). Measured pressure under incidence of cylindrical Gaussian wave. (a) (b) (c) Instantaneous pressure fields in the forward region for cloaked, reference and uncloaked cases, respectively. (d) (e) (f) The same as in (a)–(c) but for the backward region. The cylindrical Gaussian wave is incident from the bottom. Pressure in the forward/backward region was normalized by largest absolute pressure of the reference case in the forward/backward region. (g) (h) Pressure distribution for the three cases along the indicated horizontal line y=350 mm in forward and backward regions, respectively.

30

FIG. 4 (color online). Cloaking performance. (a) (b) Pressure measured at backward locations
1 and 2 from Fig. 2a. (c) (d) As (a) (b) for forward locations 3 and 4 in Fig. 2a. (e) Simulated

and measured cloaked target strength reduction (TSR) for 9–15 kHz.







