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### Unified band-theoretic description of structural, electronic, and magnetic properties of vanadium dioxide phases

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The debate about whether the insulating phases of vanadium dioxide  $(VO_2)$  can be described by 17 band theory or must invoke a theory of strong electron correlations remains unresolved even 18 19 after decades of research. Energy-band calculations using hybrid exchange functionals or including self-energy corrections account for the insulating or metallic nature of different phases, 20 but have not yet successfully accounted for the observed magnetic orderings. Strongly-correlated 21 theories have had limited quantitative success. Here we report that, by using hard 22 pseudopotentials and an optimized hybrid exchange functional, the energy gaps and magnetic 23 orderings of both monoclinic VO<sub>2</sub> phases and the metallic nature of the high-temperature rutile 24 25 phase are consistent with available experimental data, obviating an explicit role for strong correlations. We also found a potential candidate for the newly-found metallic monoclinic phase. 26

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#### 29 I. INTRODUCTION

Vanadium dioxide (VO<sub>2</sub>) exhibits a first-order phase transition from an insulating phase to a metallic phase at 340 K [1], which is accompanied by a structural transition from the monoclinic M1 phase to the tetragonal rutile (R) phase. VO<sub>2</sub> is intensively studied for such applications as temperature-tuned memory materials [2] and smart windows [3], and for optoelectronic devices [4]. It is also widely viewed as a model system for understanding insulator-to-metal transitions in solids [5–8]. The M1 phase of VO<sub>2</sub> has a band gap of 0.6-0.7 eV [9,10] and can be considered nonmagnetic (NM) [11] near room temperature, while the metallic R phase is paramagnetic (PM) [9,12] above the transition temperature. In addition to these two phases, the experimentally derived phase diagram of VO<sub>2</sub> [13,14] includes a second insulating monoclinic phase designated as M2, which can be stabilized in doped or strained VO<sub>2</sub> single crystals [15,16], thin films [17,18], and nanobeams [19]. Recently, stable metallic monoclinic (mM) phases were found near room temperature under high pressure [20] and in thin films [21,22]. These phases may be related to the transient metallic monoclinic state already reported in ultrafast experiments [23,24].

The theoretical description of VO<sub>2</sub> phases has been controversial for half a century. The 44 debate has centered on whether the insulating phases can be described by single-quasiparticle 45 band theory or the band gap results from strong correlations in the Mott-Hubbard 46 sense [15,16,25,26]. In 1971, Goodenough suggested that the band gap in VO<sub>2</sub> can originate 47 from the formation of V-V pairs [27], but, in 1975, Zylbersztejn and Mott proposed that the band 48 gap in VO<sub>2</sub> originates largely from strong electron correlations [28]. This thesis subsequently 49 gained support from experimental data that showed behavior similar to the generic, 50 non-material-specific predictions of correlated-electron model Hamiltonians [25,29]. In 1994, 51 density-functional theory (DFT) calculations for the M1 phase, based on the local density 52 approximation (LDA) for the exchange-correlation potential, favored a Peierls-like dimerization 53 of V atoms as the root of insulating behavior [30]. However, these DFT calculations did not yield 54 a true band gap, a failure which strengthened arguments for a Mott-Hubbard description of the 55 band gap [29,31]. In 2005, Biermann et al. carried out dynamical mean-field theory (DMFT) 56 calculations, effectively building electron correlations into DFT-LDA calculations that give zero 57 energy gap [32]. They found a nonzero band gap for the M1 phase, but concluded that M1 is not 58 a conventional Mott insulator; instead, the finite band gap was attributed to a 59 correlation-assisted Peierls transition. The role of strong correlations in opening the band gap 60 was further corroborated in more recent calculations by Weber et al. [33]. 61

In the last decade, single-particle theories have been extensively explored and tested against 62 experimental data. In 2007, Gatti et al. [34] calculated VO<sub>2</sub> energy bands using Hedin's GW 63 approximation for the one-electron Green's function [35], which replaces the bare Coulomb 64 potential in the Hartree-Fock (HF) approximation by an energy-dependent screened Coulomb 65 interaction. These calculations produced an energy gap in the M1 phase and a metallic rutile 66 phase. In 2011, Eyert [36] reported energy-band calculations using hybrid exchange-correlation 67 functionals, in which a fraction of the local exchange potential is replaced by HF exchange. He 68 obtained satisfactory energy gaps for the insulating phases, duplicating the success of Gatti et 69 al. [34], and addressed the issue of magnetic ordering. While this initial success was followed by 70 more comprehensive studies [37–39], no single exchange-correlation functional has been found 71 72 that reproduces both the observed energy gaps and magnetic orderings of VO<sub>2</sub> phases, so that the 73 applicability of band theory to VO<sub>2</sub> remains in dispute. Furthermore, fixed-node diffusion quantum Monte Carlo calculations, which do not depend on a choice of functional, also predicted 74 the proper band gaps without reproducing the observed magnetic ordering [40]. 75

76 In this Letter, we introduce two novel elements in energy-band calculations for the principal

phases of  $VO_2$ : (1) significantly harder pseudopotentials for both oxygen and vanadium and (2) 77 an optimized mixing parameter in a hybrid functional for the exchange-correlation potential. The 78 calculated lattice constants, band gaps, and magnetic properties of the R, M1 and M2 phases of 79 VO<sub>2</sub> are consistent with available experimental data. Additionally, the calculated density of 80 states (DOS) for the M1 and R phase are quantitatively consistent with experimental x-ray 81 photoemission (XPS) data. The success of these hybrid DFT calculations demonstrates that band 82 theory can describe VO<sub>2</sub> phases without explicitly invoking strong correlations. Moreover, the 83 calculations predict a new monoclinic phase with a crystal structure intermediate between M1 84 and R, which we call the M0 state. The M0 phase is ferromagnetic and the true ground state of 85 VO<sub>2</sub> at absolute zero. Old data at liquid-helium temperature [41,42] suggest the existence of such 86 a phase at near-zero temperatures, but more comprehensive data are needed to confirm the 87 prediction. M0 may also be a candidate for the recently discovered [20-22] metallic monoclinic 88 (mM) phase of VO<sub>2</sub> at finite temperatures. 89

#### 90 II. COMPUTATIONAL DETAILS

Hybrid DFT calculations for each VO<sub>2</sub> phase were performed using a plane-wave basis and 91 the projector-augmented-wave method [43] as implemented in the Vienna Ab initio Simulation 92 Package (VASP) [44]. Several magnetic configurations were calculated to determine the 93 magnetic ordering for each VO<sub>2</sub> phase. The exchange and correlation were described by a tuned 94 PBE0 hybrid functional [45,46] that contains 7% HF exchange, which yields an energy gap for 95 M1 in agreement with experiment. These calculations provide a more accurate description of the 96 vanadium and oxygen atoms for two reasons. Firstly, thirteen electrons  $(3s^23p^63d^44s^1)$  were 97 treated as valence electrons for vanadium instead of the typical eleven electrons [36,38]. For the 98 oxygen atoms, six electrons  $(2s^22p^4)$  were treated as valence electrons as usual. Second, the 99 oxygen pseudopotential in these calculations was harder than typically used (i.e., the core radius 100 is smaller). The AFM-M1 phase is metastable using typical oxygen pseudopotentials but is 101 unstable using a hard potential, which reflects a delicate balance between competing effects, as 102 manifest by a complex phase diagram with multiple competing phase transitions. The hardness 103 of pseudopotential has an effect on the magnetic order because it affects bond lengths (and/or 104 bond angles) and this indirectly affects whether a certain magnetic order can be stabilized or not, 105 which is known as the Goodenough-Kanamori rule [47–49]. 106

Such materials may also require a description using hard pseudopotentials. As required by 107 the harder oxygen pseudopotential, the plane-wave cutoff energy was set at 700 eV; a cutoff 108 energy of 800 eV caused no appreciable changes. All Brillouin-zone sampling was based on 109  $\Gamma$ -centered k-point grids. We used 3×3×3 grids for the M1 and M0 unit cells that each contain 12 110 atoms, a  $4 \times 4 \times 6$  grid for the R unit cell with 6 atoms, and a  $1 \times 2 \times 2$  grid for the M2 unit cell with 111 24 atoms. The self-consistent electronic calculations were converged to  $10^{-4}$  eV between 112 successive iterations and the structural relaxations were converged so that the total-energy 113 difference between two successive ionic steps is 10<sup>-3</sup> eV. The initial magnetic configuration was 114 set by assigning a moment of 0, +1, or -1 Bohr magneton on each vanadium atom, resulting in 115 three possible initial configurations: NM (all moments set at 0), FM (all moments set at +1), and 116 AFM (moments alternating between +1 and -1 along V-chains). During self-consistency 117

118 calculations of the electronic structure, the magnetic moments on all atoms were allowed to vary.

119 III. RESULTS

The optimized crystal structures in Figure 1 have all expected features of the 120 experimentally-derived structures: all V-V chains of M1 and M0 are both canted and dimerized, 121 R has only undimerized straight V-V chains, and the monoclinic M2 phase has both straight 122 dimerized V-V chains and undimerized but canted antiferromagnetic V-V chains [16,22,50-52]. 123 In addition to that qualitative agreement, the calculated lattice constants and angles as well as 124 vanadium-vanadium (V-V) bond lengths and V-V angles are in good agreement with 125 corresponding experimental values (see Table I). Although our lattice constants and V-V bond 126 lengths are somewhat smaller than the corresponding experimental values, density functional 127 theory calculations simulate atoms at 0 K, not the finite temperatures available to experiments. 128

First, we consider the magnetic and electronic properties of the R phase. Experiments have 129 shown that the R phase is PM above the transition temperature of 340 K [9,12]. According to the 130 present calculations, the total energies of antiferromagnetic R (AFM-R) and NM-R are higher 131 than ferromagnetic R (FM-R) by 125 and 140 meV per formula unit, respectively. Although the 132 calculations predict FM-R to be the ground state of R, the temperature at which DFT calculation 133 must be performed (0 K) is well below any hypothetical Curie temperature of R-VO<sub>2</sub>. However, 134 the crystal structure of VO<sub>2</sub> is monoclinic at temperatures below 340 K so we cannot directly 135 compare the calculated FM ground state to an experimentally-observed state, so we can only 136 state that our FM-R prediction is consistent with the experimental observations of PM-R [9,12]. 137 As shown in Table II, FM-R is metallic, in agreement with experiment [9,12], DMFT 138 calculations [32], and a previous hybrid-functional calculation [53], but unlike other hybrid 139 calculations [38,54]. In Figure 2(a), the total DOS of FM-R is compared to the experimental XPS 140 spectra [55] and with DMFT results [32]. The overall shape of the DOS agrees with the 141 experimental data. In particular, a feature at -1.3 eV that is present in the experimental data [55], 142 in previous DMFT results (attributed to a lower Hubbard band) [32], and in GW calculations 143 (attributed to a plasmon) [34] is reproduced in the DOS computed in the present work. 144

We next consider the magnetic and electronic properties of the M1 phase. Conflicting reports 145 of paramagnetic [9,12] and diamagnetic [56] susceptibilities for M1 suggest that M1 probably 146 has a negligible magnetic susceptibility, and that experimental values are potentially affected by 147 148 fabrication parameters; we therefore designate it as NM as previous authors have done [38]. The optimized AFM-M1 spin configuration relaxes to the more stable NM-M1 in contrast to previous 149 hybrid DFT results [36–38,53] but consistent with experiment [9,11,12]. As can be seen in Table 150 II, we obtain a band gap of 0.63 eV for NM-M1 in good agreement with the experimental 151 value [9,10,55] of 0.6-0.7 eV and the values obtained from DMFT [32,33] and GW [34] 152 calculations. In Figure 2, the total DOS of NM-M1 is compared to the experimental XPS 153 spectra [55] and the GW DOS of Ref. [34]. The shape of the DOS and the positions of peaks 154 155 from -10 to 0 eV agree well with the experimental results [55] and with the GW DOS. This comparison confirms that the electronic structure of the insulator phase NM-M1 is correctly 156 reproduced by the present hybrid DFT calculations. 157

In addition to the NM-M1 and FM-R states, the present hybrid DFT calculations predict a 158 stable ferromagnetic state, FM-M0, with a structure intermediate between NM-M1 and FM-R. 159 Calculations starting from the FM-M1 configuration converge to FM-M0 during geometry 160 optimization. Since the total energy of FM-M0 is lower than the calculated energy of the 161 162 commonly accepted ground state, NM-M1, by ~50 meV per formula unit, we suggest that VO<sub>2</sub> may be ferromagnetic at very low temperatures. A low Curie temperature could account for the 163 discrepancy between the predicted ferromagnetism and the finite magnetic susceptibility 164 observed in experiments at moderately low temperatures [41,42]. Between 10 K and the 165 insulator-to-metal transition at  $\sim$ 340K the magnetic susceptibility is small [42], reinforcing the 166 conventional wisdom that NM-M1 is the stable phase above 10 K. 167

It is noteworthy that initial configurations of AFM-M0 and NM-M0 both converge to 168 NM-M1 when the initial magnetic moments are allowed to change during the calculation. Along 169 with the fact that FM-M1 converges to FM-M0, these calculations hint at the complex interplay 170 of magnetic and structural degrees of freedom, and highlight the necessity of more magnetic 171 measurements at low temperatures to confirm previous experimental results [41,42] and our 172 theoretical predictions. In other words, the input magnetic ordering of (FM or NM) is a stronger 173 determinant of the output crystallographic structure (M0 or M1, respectively) than the input 174 crystallographic structure. It is also interesting that our results show that both ferromagnetic 175 phases of VO<sub>2</sub> (M0 and R) are half metals, as is CrO<sub>2</sub> [57,58], suggesting that half metallicity 176 and ferromagnetism are correlated in transition-metal oxides. 177

Similar to NM-M1, the FM-M0 configuration has a simple monoclinic lattice with space 178 group P21/c ( $C_{2h}^{5}$ , No. 14) and dimerized zigzag V-V chains. However, the crystal structures of 179 NM-M1 and FM-M0 exhibit subtle differences, as shown in Figures 1(a) and 1(b). The short V-V 180 bond of FM-M0 is longer and the long bond is shorter than the corresponding bonds in NM-M1. 181 Therefore, the FM-M0 crystal structure can be viewed as an intermediate state between the 182 crystal structures of NM-M1 and FM-R. In fact, both the short and long V-V bonds of FM-M0 183 are closer to the bond length found in FM-R than their NM-M1 counterparts, indicating a 184 FM-M0 intermediate state would be structurally closer to FM-R than to NM-M1. Furthermore, 185 the 175° bond angle of FM-M0 is also closer to the 180° angle found in FM-R than the 166° 186 angle of NM-M1. Diffraction measurements and optical or electrical measurements below the 187 Curie temperature are needed to verify the structure and metallic character of the FM-M0 state. 188

Recently, a stable metallic monoclinic VO<sub>2</sub> phase (mM) has been observed near room 189 temperature in thin films [22] and single crystals under high pressure [20]. We found that the 190 crystal structures and metallic character of the predicted FM-M0 and the experimental mM states 191 are very similar, which suggest that FM-M0 may be related to this mM phase. In the thin 192 films [22], X-ray absorption fine-structure spectroscopy (XAFS) demonstrated that the short V-V 193 bond elongates, the long V-V bond shortens, and zigzag V-V chains straighten when VO<sub>2</sub> 194 195 metallizes [22], leading to an intermediate crystal structure with lattice constants and bond lengths nearly identical with those for FM-M0 shown in Table I. Pressure-dependent Raman 196 spectroscopy, mid-infrared reflectivity, and optical conductivity measurements confirmed an 197

insulator-to-metal transition without an accompanying structural transition from monoclinic to 198 the rutile phase [20]. However, although a subtle change in structure was attributed to the 199 appearance of the M2 phase, that assignment explains neither the metallization nor the fact that 200 intermediate Raman spectra are unlike those of either M2 or M1 [20]. Instead, a monoclinic 201 202 metallic phase, such as M0, with slightly different crystal structure than either M1 or M2, would explain both the mM phase in thin film samples [22] and the metallic monoclinic VO<sub>2</sub> phase that 203 appears under high pressure [20]. The similar crystal structures and metallic character of the 204 predicted FM-M0 and the experimental mM states suggest that FM-M0 may be related to this 205 mM phase. 206

Although most work on VO<sub>2</sub> over the past fifty years has focused exclusively on the 207 transition between the insulating M1 and metallic R phases, multiple authors [14,16,29,36,59] 208 have suggested that the M2 insulating phase may hold the key to a complete understanding of the 209 VO<sub>2</sub> phase transition. Three possible AFM configurations [60] designated as A-AFM, G-AFM, 210 and C-AFM are shown in Figure 3(a), 3(b), and 3(c), respectively. Each configuration represents 211 a unique magnetic ordering of the zigzag chains, while the straight chains have no moments. The 212 A-type and G-type exhibit antiparallel moments along the canted zigzag V-V chains [16]. For 213 A-AFM, moments on V-atoms in a canted zigzag chain are parallel to moments of its nearest 214 V-atom neighbors on the next canted chain, while they are antiparallel for G-AFM and C-AFM. 215 However, the moments of all vanadium atoms on a single chain are aligned in C-AFM. 216

Our calculations show that the A-AFM is the lowest-energy configuration of M2 and the 217 G-AFM, C-AFM, FM, and NM configurations of M2 are higher in energy than A-AFM by 4 218 meV, 27 meV, 16 meV, and 32 meV per formula unit, respectively. Although numerically 219 accurate, the small energy difference (4 meV) between A-AFM and G-AFM may not be captured 220 accurately by the approximate functionals. Nevertheless, both A-type and G-type AFM-M2 agree 221 with the experimentally derived model in which M2 is antiferromagnetic and local magnetic 222 moments are present only on the canted zigzag V-V chains [16]. Similarly, the present 223 calculations show that the local magnetic moments of AFM configurations are on the canted V-V 224 chains while the straight, dimerized chains have negligible moments. The band gap of 0.56 eV 225 calculated for A-AFM-M2 is in agreement with photoelectron spectroscopy (PES) of M2 quoting 226 a band gap greater than 0.1 eV [61]. Furthermore, our value of 0.56 eV is consistent with the 227 band model proposed by Goodenough [62] in which the band gap for M2 is comparable to, but 228 smaller than, the band gap of M1 (0.6-0.7 eV). 229

#### 230 IV. DISCUSSION

The kernel of the long-standing debate about VO<sub>2</sub> is whether the electronic properties of this material are better described by band theory in which electrons are represented by non-interacting quasiparticles that experience the same single-particle crystal potential, or by a many-body approach in which electron-electron interactions are explicitly incorporated. In principle, band theory can always describe any given material: ground-state properties are describable by DFT, which is an exact theory, assuming that a satisfactory exchange-correlation potential  $V_{xc}(r)$  can be constructed; excitations can be described by Hedin's GW expansion of the

self-energy  $\Sigma(r,r';E)$  followed by solving the Bethe-Salpeter equation (BSE) [63] to include 238 electron-hole interactions. Both the DFT and Hedin equations look like Schrödinger equations: 239 the  $V_{xc}(r)$  in DFT is replaced by the nonlocal, energy-dependent  $\Sigma(r,r';E)$  in order to describe 240 excitations. Using these equations, one gets quasiparticle energy bands, single-particle 241 242 excitations, excitons (via the BSE), and plasmons (from the zeros of the real part of the single-particle dielectric function [64]), but the energy dependence in  $\Sigma(r,r';E)$  is often 243 essential [33]. The standard procedure is to first solve the DFT equation with a reasonable choice 244 of  $V_{xc}$ , and then use the solutions to construct  $\Sigma(E_k)$ , which are in turn used to correct the DFT 245 energy bands. Ideally, the process should be carried to self-consistency to eliminate the effect of 246 the initial  $V_{xc}$  choice. Gatti *et al.* [34] have already demonstrated that this process correctly 247 248 predicts the band gap of insulating monoclinic VO<sub>2</sub>, but the numerical procedures are quite cumbersome and magnetic calculations require separate, self-consistent GW calculations. Hybrid 249 exchange-correlation functionals constitute an attempt to construct a  $V_{xc}(r)$  that also serves as a 250 local, energy-independent approximation to  $\Sigma(r,r';E)$ , known as the COHSEX (Coulomb hole 251 plus screened exchange) approximation [34]. The fact that  $\Sigma(r,r';E)$  is material specific justifies 252 tuning the mixing parameter in the hybrid functional, as is done in the present paper. In this way, 253 the tuned exchange-correlation functional models  $\Sigma(r,r';E)$  for each material. Similarly, the 254 255 Hubbard U, which is present in theories that incorporate explicit electron-electron interactions, is also often treated as a free parameter. Here we have demonstrated that, by tuning the mixing 256 parameter of a hybrid functional and using harder-than-usual pseudopotentials, the single-particle 257 approach correctly yields both the electronic and magnetic properties of VO<sub>2</sub> phases; however, 258 the underlying nature of the phase transition is not addressed here. 259

DFT and GW calculations serve as rigorous quantitative tests of quasiparticle theories. The 260 early conclusions that VO<sub>2</sub> is a strongly-correlated material were based on model many-body 261 Hamiltonians. Experimental data in the region of the phase transition were compared with the 262 corresponding model behavior [26,29]. The appearance of correlated behavior at the phase 263 transition, however, does not necessarily imply that strong correlations persist at temperatures 264 away from the phase transition. Quantitative theories based on strong correlations, such as 265 LDA+U, GGA+U and DMFT, assume at the outset that strong electron-electron interactions, 266 incorporated via the Hubbard-model on-site parameter U, dominate. In the case of VO<sub>2</sub>, LDA+U 267 yields insulating behavior for both the monoclinic and rutile phases [65,66]. The DMFT 268 calculations by Biermann et al. [32] and by Weber et al. [33] are anchored on a zero-gap DFT 269 calculation and found that strong correlations are needed to reproduce the observed value of a 270 Peierls-induced energy gap. However, these methods have not yet been used to study the 271 competing magnetic orderings. Thus, only the present calculations, based on band theory, 272 reproduce the observed structural, electronic, and magnetic properties of all VO<sub>2</sub> phases. The 273 present band theory, DMFT, and GW/COHSEX all give a band-gap value in accord with 274 experiment, which raises the following challenge: If DMFT and GW/COHSEX calculations were 275 to be anchored on the present hybrid-functional band structure, which yields a correct energy gap, 276 instead of the zero-gap LDA band structure, would they retain this value of the energy gap? If so, 277 the role of correlations beyond what is captured by the present hybrid functional would be 278

negligible. Clearly, such calculations would be valuable to establish the origin of the agreementbetween seemingly incompatible theories.

#### 281 V. CONCLUSIONS

In conclusion, our study underlines the power of the hybrid DFT approach to produce a 282 comprehensive theoretical picture of all the major VO<sub>2</sub> phases and their magnetic properties. We 283 have successfully reproduced the electronic and magnetic properties of M1, M2, and R phases of 284 VO<sub>2</sub> using DFT calculations with a hybrid functional and accurate pseudopotentials. The success 285 of these hybrid DFT calculations suggests that band theory can provide an adequate description 286 of VO<sub>2</sub> phases despite the unusually large coupling between magnetic and structural degrees of 287 freedom in VO<sub>2</sub>. The strength of that coupling is perhaps displayed more clearly in this work 288 than ever before given the strong influence that the initial magnetic state has on the optimized 289 290 crystal structure. Moreover, the present calculations predict a new monoclinic ferromagnetic metal state of VO<sub>2</sub>, which accounts for the magnetic data at low temperature and is also a 291 candidate for the recently observed metallic monoclinic mM phase that appears in thin films or 292 under high pressure. In addition, the antiferromagnetic structure of M2 was predicted to be 293 A-type. Experimental verification of ferromagnetism in room-temperature VO<sub>2</sub> under high 294 pressure, as well as structural and electronic measurements at low temperatures in unstrained 295 VO<sub>2</sub>, clearly set important priorities for future research to test the validity of these particular 296 findings. 297

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FM-R, and (d) A-AFM-M2. Short V-V bonds (<2.50Å) are shown as solid lines (</li>
while long bonds (>3.00Å) have dotted lines (
V-V bonds with lengths between 2.50 and 3.00Å have dashed lines (

TABLE I. Comparison of lattice constants, V-V bond lengths, and V-V bond angles from this
work and experiment (Exp). Note that the FM-M0 state values are compared to the monoclinic
metallic state (mM) values as determined from x ray absorption fine structure measurements.

		M1	NM-M1	mM	FM-M0	R		M2	
		Exp [50]	This work	Exp [22]	This work	Exp [51]	This work	Exp [52]	This work
a (Å)		5.75	5.53	5.69	5.59	4.55	4.42	9.07	8.98
b (Å)		4.54	4.51	4.59	4.50	4.55	4.42	5.80	5.65
c (Å)		5.38	5.28	5.29	5.29	2.85	2.80	4.53	4.48
α, γ (°)		90	90	90	90	90	90	90	90
β (°)		122.65	121.93	122.61	122.05	90	90	91.88	91.88
V-V bond (Å)	short	2.62	2.44	2.72	2.69	2.85	2.85 2.80	2.54	2.40
	middle							2.93	2.86
	long	3.17	3.14	2.98	2.94			3.26	3.25
V-V angle (°)		168	166		175	90	90	162	161

## TABLE II. Calculated magnetic grounds states and band gaps of VO<sub>2</sub> phases compared to experiment.

			Theoretical results						
		Experiment	This work		HSE	GW	DMFT		
				[36] <sup>°</sup>	[38] <sup>d</sup>	[37]	[34]	[32] <sup>g</sup>	
Magnatia	M0	FM/PM [41,42] <sup>a</sup>	FM						
ground	M1	NM [11,56] <sup>b</sup>	NM		AFM	AFM			
states	M2	AFM [16]	A-AFM			FM			
Dand	M1	0.6-0.7 [9,10]	0.63	1.10	2.23 (AFM) 0.98 (NM) <sup>e</sup>		0.65	0.60	
gap	M2	>0.10 [61]	0.56	1.20					
(ev)	R	0 [9,10]	0	0	1.43 (FM) 0 (NM) <sup>f</sup>		0	0	

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<sup>a</sup> Divergence of the magnetic susceptibility below 30 K underlines the importance of exploring the unknown
 low-temperature magnetic properties.

<sup>b</sup> The disagreement of measurements of small positive [11] susceptibility and another publication [56] reporting small negative susceptibility justified our designation of M1 as NM as similar to previous authors [38].

472 <sup>c</sup> Band gap of each  $VO_2$  phase was calculated by assuming the magnetic state found in experiments.

<sup>d</sup> Non-spin-polarized calculations similar to those of Eyert [36] were reproduced and then spin-polarized
 calculations for each potential magnetic state were performed [38].

<sup>e</sup> The correct magnetic phase, NM-M1, has a calculated band gap is close to the experimental value. However,
 AFM-M1 was calculated to be lower in energy, and the band gap is over thrice the expected value.

<sup>f</sup>A ferromagnetic R state with a band gap of 1.43 eV was calculated to be the ground state. However, a NM
state with a correct band gap of 0 was also obtained, albeit at a higher energy.

<sup>g</sup> A stable nonmagnetic structure was obtained with cluster-DMFT, but it was not compared to other magnetic
states to determine the ground state.

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FIG. 2 (color online). (a) The DOS of FM-R calculated in this work (red) is compared with the experimental [55] photoemission spectrum (black) and the V 3d ( $t_{2g}$ ) spectral weights (blue) from LDA+DMFT calculations [32]. The 1.3 eV satellite feature is clearly found in this work. (b) The total DOS of NM-M1 calculated in this work (red) is compared with the experimental [55] photoemission spectrum (black) of the low temperature insulating M1 and the DOS (blue) from GW calculations [34]. Each DOS from this work was convoluted with a Gaussian function.

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FIG. 3 (color online). Schematic of the three possible magnetic structures of AFM-M2: A-AFM,
 G-AFM and C-AFM. The blue solid circles are V atoms and the white arrows represent their
 magnetic moments. The solid line between two adjacent canted chains represents parallel
 magnetic moments between the nearest vanadium atoms from each chain, while the dashed lines
 represent an antiparallel configuration. The A-AFM configuration has the lowest energy.