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Quantum metrology with a single spin-3/2 defect in silicon carbide

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We show that implementations for quantum sensing with exceptional sensitivity and spatial resolution can be made using spin-3/2 semiconductor defect states. We illustrate this using the silicon monovacancy deep center in hexagonal SiC based on our rigorous derivation of this defect's ground state and of its electronic and optical properties. For a single V_{Si}^- defect, we obtain magnetic field sensitivities capable of detecting individual nuclear magnetic moments. We also show that its zero-field splitting has an exceptional strain and temperature sensitivity within the technologically desirable near-infrared window of biological systems. The concepts and sensing schemes developed here are applicable to other point defects with half spin multiplet ($S \geq 3/2$) configuration.

Technologies based on quantum information are recently opening a range of new opportunities from secure communications to quantum computing. Quantum sensing using entangled entities such as spins, atomic excitations, and photons can provide vastly improved sensitivities compared to classical technologies. Sensing using defect spin states in semiconductors is particularly important in part because of its potential for high spatial resolution and for integration with existing solid-state technologies [1–6]. Room temperature magnetic and strain sensing are being currently investigated using spin-1 and inter-valley spin states, e.g. nitrogen-vacancy (NV) deep color centers in diamond [7] and phosphorous shallow donors in silicon [8, 9], that require difficult micro-fabrication processes and experimentally challenging detection techniques.

New concepts and approaches have the potential to move quantum sensing forward to higher sensitivities in systems that are easier to implement. In the present work we show that defect states with less common spin-3/2 (or other half spin multiplets) ground state configuration provide qualitatively a unique opportunity in quantum sensing due to unusual entanglement properties of their spin states, reduced losses, and Kramers degeneracy. To achieve this, we address the spin-3/2 V_{Si}^- monovacancy center [10–14] in hexagonal SiC and develop novel sensing schemes resulting in extraordinary sensitivities in magnetic, strain, and temperature sensing. We note that the technologically important wide band gap silicon carbide (SiC) [15–19] has mature growth and microfabrication technologies and favorable optical emission wavelengths [20–22], and we develop optical sensing protocols that are particularly easy to implement.

For the V_{Si}^- defect, we find an unexpected avoided crossing of its GS spin states forming a naturally entangled Λ -type system leading to a significant increase in sensitivity to magnetic fields. Such an avoided crossing has been observed recently [23]. The degeneracy in these entangled spin states allows for coherent control by using static magnetic fields. In addition, we obtain an important relationship between its GS zero-field splitting (ZFS) and strain coupling that can be employed for

on-chip strain detection using realistic SiC micro-electro-mechanical systems (MEMS). We also show that its GS-ZFS is highly sensitive to temperature and can be used for bio-chemical sensing either optically in the desirable near-infrared window of biological systems or paramagnetically with current magnetic resonance imaging technology.

The silicon *monovacancy* V_{Si}^- in hexagonal silicon carbide (4H-SiC) is a point defect with C_{3v} symmetry consisting of a negatively charged silicon vacancy surrounded by four carbon (C) atoms (see inset of Fig.1). It has five active electrons, four from the sp^3 dangling bonds of C atoms and one from the extra charge. Its electronic structure up to the first optically active excited state (ES) is shown in Fig.1. Its GS has a quartet ($S = 3/2$) spin configuration with a zero field splitting of $2D \sim 70$ MHz [13] between the spin $m_s = \pm 3/2$ (lower) and $m_s \pm 1/2$ (higher) states due to the spin-spin interactions [14]. Optical excitation from GS to ES, both with 4A_2 symmetry, is allowed for an electric dipole moment parallel to the c-axis of the defect. The dark doublet states are coupled to the GS and ES quartets through the spin-orbit interactions giving a spin-selective radiationless decay path –known as the inter-system crossing (ISC). Through this ISC, the ES can transition radiationlessly back to GS with different rates for each spin multiplicity $m_s = \pm 3/2$ and $m_s = \pm 1/2$. This leads to the spin polarization of the GS. After a steady-state is reached, spin-dependent changes in the photoluminescence (PL) will occur when populations are modified.

A rigorous, fully relativistic, multi-particle derivation of its ground state (GS) spin Hamiltonian, including the spin-orbit (SO) and spin-spin (SS) interactions, is needed here as a basis for novel sensing protocols. To obtain such a Hamiltonian, we apply perturbation theory to the GS wave functions using the SO potential [24] $V_{so} = \sum_i \lambda_{\parallel} l_{z,i} s_{z,i} + \lambda_{\perp} (l_{x,i} s_{x,i} + l_{y,i} s_{y,i})$. Orthogonal and longitudinal SO coupling parameters along the basal plane and c-axis are λ_{\perp} and λ_{\parallel} , respectively. Using symmetry-adapted multi-particle wave functions [14] expressed in terms the molecular orbitals (MOs), we obtain the SO corrected ground state wave func-

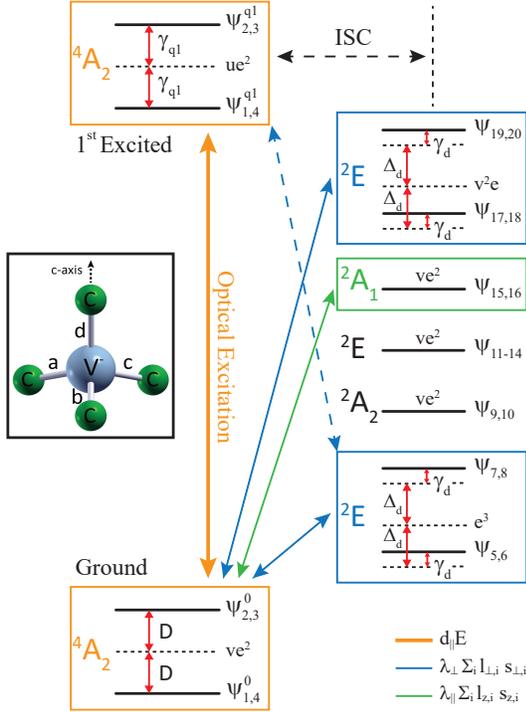


FIG. 1. Electronic structure and wave functions of V_{Si}^- in 4H-SiC. Each state has a 2-fold Kramer's degeneracy. The quartet GS (4A_2) can be optically spin polarized and read-out via the first quartet ES (4A_2) with d_{\parallel} dipole moments along the defect's c-axis. The dark doublet states (3^2E , $2A_1$, and $2A_2$) are ordered in energy on the right. Non-vanishing spin-orbit matrix elements to GS (ES) are shown by solid (dashed) arrows forming an ISC channel between quartets and doublets. Δ_d and γ_d are the energy splittings/shifts induced by the SO and SS interactions. ZFS splittings are labeled $2D$ for the GS and $2\gamma_{q1}$ for the ES. (Inset) Local C_{3v} symmetry of the defect. a, b, c, d represent the sp^3 dangling bonds of the surrounding carbon atoms.

tions (see Fig.1), $\Psi_i^{so} = \Psi_i^0 + \sum_j \alpha_{i,j} \Psi_j$, up to the first order perturbation coefficients $\alpha_{i,j}$ [25]. The interaction between the GS spins and a magnetic field is given by the fully relativistic multi-particle Hamiltonian [26] $H_B = \sum_i \mu_B (\mathbf{l}_i + g_e \mathbf{s}_i) \mathbf{B} / \hbar + \sum_i e^2 (\mathbf{B} \times \mathbf{d}_i)^2 / 8mc^2 + e \sum_{i,j} (\mathbf{s}_i \times \nabla_r V_k(\mathbf{r}_{ij})) (\mathbf{B} \times \mathbf{d}_i) / 4m^2c^3$, where i and j are electron and nuclear indices. g_e , μ_B , e , m , and c are the bare electron g-factor, Bohr magneton, electron charge and mass, and speed of light, respectively. The i^{th} electron's position relative to the j^{th} nucleus is given by \mathbf{r}_{ij} . The position vector of the electron relative to an arbitrary origin is \mathbf{d} , and $\mathbf{l} = \mathbf{d} \times \mathbf{p}$ is the angular momentum about this origin. Thus, the first term corresponds to the Lande g-factor. The second term, proportional to \mathbf{B}^2 and independent of the spin, shifts the energy levels, and it can be omitted. The last term is the relativistic correction to the first term due to the nuclear potentials V_k and can be simplified to the tensor form $h_r = \mathbf{s} \bar{G} \mathbf{B}$ [25].

In the SO corrected basis $\Psi_1^{so} - \Psi_4^{so}$, we find no orbital magnetic moment contribution to the g-factor to first order in $\alpha_{i,j}$ coefficients. Second-order contributions would be much smaller than the reported shifts $\Delta g = (6 \pm 1) \times 10^{-4}$ in g_e [27]. Thus, we omit the second order SO contributions, $\alpha_{i,j}^2 \ll \eta_z^e, \eta_{\perp}^e, \eta_{\perp}^a$ [25]. We obtain the final V_{Si}^- ground state spin Hamiltonian,

$$H_B = \begin{pmatrix} D & \sqrt{\frac{3}{8}} h_- & -i\sqrt{\frac{3}{8}} h_+ & \frac{3}{2} h_z \\ \sqrt{\frac{3}{8}} h_+ & -D + \frac{1}{2} h_z & h_- & \sqrt{\frac{3}{8}} h_+ \\ i\sqrt{\frac{3}{8}} h_- & h_+ & -D - \frac{1}{2} h_z & -i\sqrt{\frac{3}{8}} h_- \\ \frac{3}{2} h_z & \sqrt{\frac{3}{8}} h_- & i\sqrt{\frac{3}{8}} h_+ & D \end{pmatrix} \quad (1)$$

in the $\Psi_1^{so} - \Psi_4^{so}$ basis in terms of $h_- = \mu_B g_{\perp} B_-$, $h_+ = \mu_B g_{\perp} B_+$, and $h_z = g_{\parallel} \mu_B B_z$ for magnetic field $\mathbf{B} = \{B_x, B_y, B_z\}$. The z -axis is along the defect's c-axis with $B_{\pm} = B_x \pm iB_y$. We calculated the ZFS of $2D = 68 \text{ MHz}$ in good agreement with experiment [11–13, 25]. The relativistically corrected g-factors are $g_{\parallel} = g_e + (\eta_{\perp}^a + \eta_{\perp}^e)/3$ and $g_{\perp} = g_e + \eta_z^e/3 + (\eta_{\perp}^a + \eta_{\perp}^e)/6$. Because of the near T_d symmetry of this defect, $\eta_z^e \approx (\eta_{\perp}^a + \eta_{\perp}^e)/2$ leads to an almost isotropic g-factor $g_{\parallel} \approx g_{\perp}$. In the isotropic case, the relativistic g-factors differ from g_e by $\Delta g \approx 2\eta_z^e/3$, and η_z^e is roughly $(9 \pm 1.5) \times 10^{-4}$, consistent with experiments [27].

The GS spin Hamiltonian in Eq. 1 can be put into the familiar single-spin ($S = 3/2$) form, $H_B = D(S_z^2 - 5/4) + \mu_B \mathbf{S} \bar{g} \mathbf{B} / \hbar$, after a unitary transformation from the defect's basis to a spin-3/2 basis where $\bar{g} = \text{diag}\{g_{\parallel}, g_{\parallel}, g_{\perp}\}$. In the neighborhood of a level crossing where some small B_{\perp} is present, coherent mixing/transitions that are otherwise dipole forbidden between the $m_s = 3/2$ and $m_s = -1/2$ spin states can be induced without populating the auxiliary $m_s = 1/2$ state.

The resulting level repulsion between $m_s = 3/2$ and $m_s = -1/2$ differing by $\Delta m_s = \pm 2$ leads to an unexpected avoided crossing when B_{\perp} is present. It is labeled ACL in Fig.2a and occurs at a lower magnetic field than the regular avoided-crossing at higher field ACH with $\Delta m_s = \pm 1$. Near the ACL where $B_z = 1.25 \text{ mT}$, during the Rabi oscillations between $m_s = 3/2$ and $m_s = -1/2$, the $m_s = 1/2$ state remains largely unpopulated due to destructive quantum interference between $3/2 \leftrightarrow 1/2$ and $-1/2 \leftrightarrow 1/2$. In Fig.2b, the frequencies ω_1 and ω_2 for transitions $3/2 \leftrightarrow -1/2$ and $3/2 \leftrightarrow +1/2$, respectively, both decrease linearly with a B_z . Level crossings corresponding to $\omega_{1,2} = 0$ occur at $B_{ACL} = 1.25 \text{ mT}$ and $B_{ACH} = 2.5 \text{ mT}$. In Fig.2c, ω_2 behaves linearly with a B_{\perp} along the basal plane as expected, whereas ω_1 is almost quadratic and thus has a sharper avoided crossing in Fig.2a. This can be understood by the interference mechanism above because the second order $\Delta m_s = \pm 2$

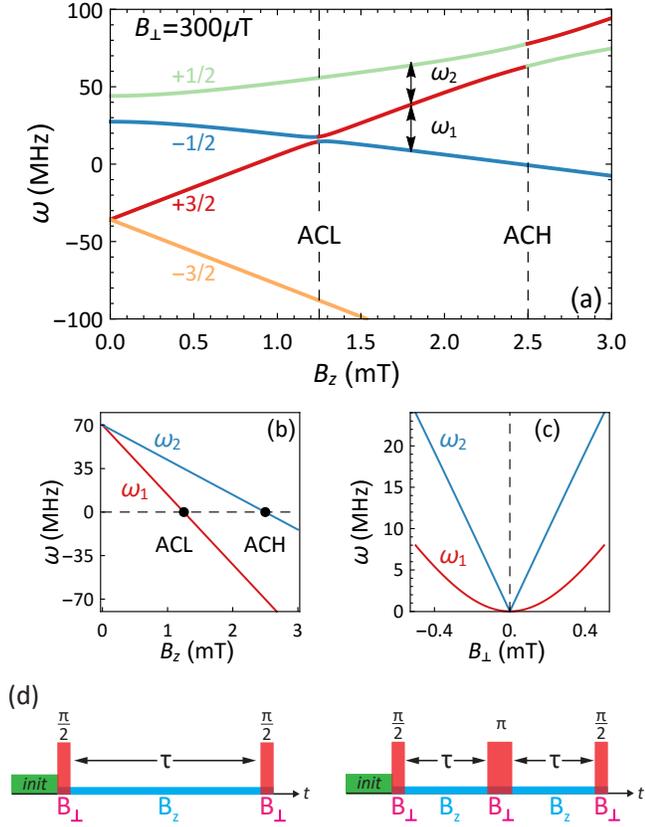


FIG. 2. (a) GS spin splittings versus magnetic field B_z (along the c -axis) with fixed B_\perp (along the basal plane). Avoided crossings ACL at the low (≈ 1.25 mT) and ACH at the high (≈ 2.5 mT) fields shown by vertical dashed lines. Spin projection states $\langle S_z \rangle = m_s$ are color coded. (b) GS energy splittings between spin states $m_s : 3/2 \leftrightarrow -1/2$ (ω_1) and $m_s : 3/2 \leftrightarrow 1/2$ (ω_2) versus B_z , and (c) B_\perp . (d) (Left) DC sensing: Ramsey pulse sequence. (Right) AC Sensing: Spin echo

involves two-spin resonant transitions. Note that we use a negative ZFS ($D < 0$) for the GS following the recent findings [14]; however, our results remain unaffected on exchanging the signs of m_s in the case of $D > 0$.

Here we propose a Ramsey-type magnetic field sensing scheme (Fig.2d) using the ACL: (i) V_{Si}^- spins are initialized to populate only the $m_s = \pm 3/2$ states by optical spin polarization at $B_z = 0$, (ii) A field of $B_z \approx 1.25$ mT along the [111] c -axis moves the system into the ACL regime, (iii) A small field of $B_\perp = 30 \mu\text{T}$ in the basal plane for the duration of a $\pi/2$ rotation transforms $m_s = 3/2$ into a superposition state $(|3/2\rangle + |-1/2\rangle)/\sqrt{2}$, (B_\perp at the ACL can be interpreted as an RF field with zero frequency) (iv) this state now evolves (precesses) freely around a small target (to be measured) magnetic field along the c -axis, accumulating a phase $\phi(\tau) = \int_0^\tau B_{dc} dt$ over an interrogation time τ , (v) A second $\pi/2$ pulse of B_\perp converts the overall phase in the $m_s = 3/2$ and $m_s = 1/2$ states to $\langle S_z \rangle$ populations. The overall (phase induced) change in

m_s populations can be detected through the PL signal.

Fig.3a shows the oscillations of the change in PL signal amplitude (ΔPL) for a range of DC target fields. Smaller magnetic fields have longer oscillation periods and increasing the interrogation time τ gives an increased signal for the same small fields. Although the longer interrogation times (up to an optimal τ where $\phi(\tau)$ reaches π) improve the signal-to-noise ratio for detection of smaller fields, it ultimately will be limited by the effective T_2^* (for DC) or T_2 (for AC) transverse relaxation times of the $\langle S_{x,y} \rangle$ components. Early measurements on single V_{Si}^- report a lower bound of $160 \mu\text{s}$ [12] for T_2 times. In our evaluations we use conservative (shorter) interrogation times.

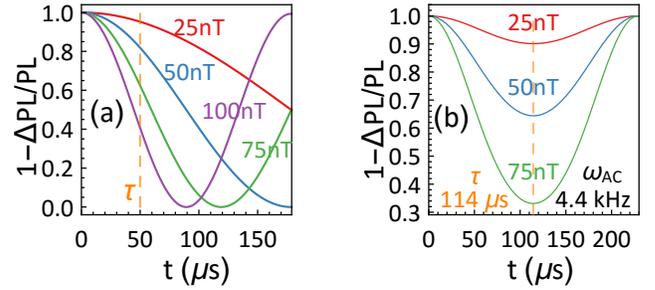


FIG. 3. (a) Change in PL versus measurement time t for a range (25-100 nT) of DC fields. (b) Change in PL versus measurement time t for a range (25-75 nT) of AC fields with a fixed frequency ($\omega_{AC} = 4.4$ kHz).

In a usual $\Delta m_s = \pm 1$ avoided crossing regime, the electron Zeeman energy becomes comparable to the hyperfine coupling ($S\hat{A}I$), and the electron spin S acquire decoherence due a nearby nuclear spin I by the non-secular processes, i.e., $S_x I_x$ and $S_y I_y$ [28], significantly reducing the T_2 times. However, the ACL here occurs between $m_s = 3/2$ and $m_s = -1/2$, which differ by $\Delta m_s = \pm 2$, and the non-secular processes still involve the out-of-phase auxiliary state $m_s = 1/2$ with larger electron Zeeman splitting. Thus they will have a much smaller probability and won't affect the interrogation times significantly because of this double resonance nature of the ACL in which at least two simultaneous nuclear spin flips are needed to change the electron spin by $\Delta m_s = \pm 2$. We note that the spin-3/2 defect is especially desirable for relaxation based coherent detection techniques, i.e. T_1 -NMR [29], as a result of the reduced nuclear spin mixing effects in the ACL regime.

Next, we demonstrate AC magnetic field sensing in the ACL regime using the spin echo scheme [30] in Fig.2d. Fig.3b shows signals from several AC magnetic fields all with the same frequency. This frequency was chosen to achieve reasonable echo times (2τ) smaller than T_2 . The AC magnetic sensitivity is given by $\zeta_B = \sigma_0 / (\sqrt{N} dS/dB)$ where $S \propto \cos^2[2\phi(\tau)]$ is the defect specific signal, σ_0 is the standard deviation per measurement and $N = T/\tau$

is the number of measurements in a one second averaging time T [31]. The maximum contrast between the $m_s = \pm 3/2$ and $m_s = \pm 1/2$ states is taken to be about one percent of the total average PL photon count of 40Kcps from the defect with a solid immersion lens [12]. The magnetic field response dS/dB is constructed from the spin echo AC field data for $\tau = 114\mu\text{s}$ [25]. This gives a shot-noise limited magnetic sensitivity of $\zeta_B = 40\text{nT Hz}^{-1/2}$ for an AC field with frequency $\omega_{AC} = 4.4\text{kHz}$. We note that decreasing AC frequency increases the overall sensitivity, but will be limited by the T_2 relaxation time. However, a Carr-Purcell-Meiboom-Gill (CPMG) pulse-echo sequence can theoretically boost these coherence times up to the $T_1 \approx 340 - 500\mu\text{s}$ [12, 32] relaxation times of the $\langle S_z \rangle$ components, thus permitting longer interrogation times. Therefore, sensitivities of less than $\text{nT Hz}^{-1/2}$ should be achievable with single V_{Si}^- defect centers after optimizations involving isotopic purification and implementation optical wave-guiding to increase the photon collection efficiency. To obtain better spectral resolution, one could use a spin-locking scheme [33].

We now consider the strain sensing by using a hybrid quantum system consisting of a single V_{Si}^- defect and a SiC mechanical resonator. First, we obtain the strain Hamiltonian of the ground state up to the second order in SO coupling coefficients [25]:

$$H_\sigma = \begin{pmatrix} D + \xi_1^r \Lambda^r & \xi_3 \Lambda_{xy} & i\xi_3 \Lambda_{xy}^* & 0 \\ \xi_3^* \Lambda_{xy}^* & -D + \Lambda^r \xi_2^r & 0 & -\xi_3^* \Lambda_{xy}^* \\ -i\xi_3^* \Lambda_{xy} & 0 & -D + \Lambda^r \xi_2^r & -i\xi_3^* \Lambda_{xy}^* \\ 0 & -\xi_3 \Lambda_{xy} & i\xi_3 \Lambda_{xy}^* & D + \Lambda^r \xi_1^r \end{pmatrix}. \quad (2)$$

The off-diagonal terms involving $\Lambda_{xy} = \sigma_{xx}^E - \sigma_{yy}^E + 2i\sigma_{xy}^E$ are obtained using the irreducible matrix elements of the strain tensor components $\sigma_{ij}^p = \langle p || \sigma_{ij} || p \rangle$ in the C_{3v} double group [34]. In the diagonal terms, r indicates a summation over the allowed MO representations, A_1 and E . This gives $\Lambda^{A_1} \xi_1^{A_1} + \Lambda^E \xi_1^E$ and $\Lambda^{A_1} \xi_2^{A_1} + \Lambda^E \xi_2^E$ in terms of $\Lambda^{A_1} = \sigma_{xx}^{A_1} + \sigma_{yy}^{A_1}$ and $\Lambda^E = \sigma_{xx}^E + \sigma_{yy}^E + 2\sigma_{zz}^E$. Without a magnetic field, the Kramer's degeneracy of the $m_s = \pm 3/2$ and $m_s = \pm 1/2$ states under strain is conserved consistent with our expectations (see Fig.4a). The diagonal strain coupling shifts the energies of both spin multiplicities equally and therefore does not affect the ZFS. The deformation potential constants are included in the strain coupling coefficients ξ_i [25].

For the V_{Si}^- defect coupled to realistic mechanical resonators, we calculated the strain sensitivity by using typical device parameters. The defect is taken to be near the surface and at the center of a SiC membrane to maximize the strain coupling. Such devices and accurate defect placement has been already demonstrated by using various masked irradiation and smart-cut techniques [35]. In Fig.4a, we show the change in ZFS (ΔD) with in-plane (flexural) strain σ_\perp . To be conservative, we use the

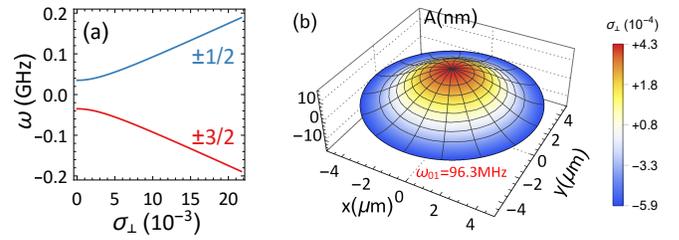


FIG. 4. (a) ZFS of the GS versus the non-axial strain σ_\perp ($= \sigma_{xx} = \sigma_{yy}/2$ and $z || \text{c-axis}$). (b) Fundamental mode of the SiC membrane with frequency $\omega = 96.3\text{MHz}$ for an amplitude $|A| \approx 15\text{nm}$. Surface strain shown by color. Maximum flexural strain $\sigma_m = 4.34 \times 10^{-4}$ corresponds to the defect placed on the surface at the center of a SiC MEMS membrane with diameter $d = 10\mu\text{m}$ and thickness $h = 0.3\mu\text{m}$.

smallest reported deformation potential $\Xi = 11.6\text{ eV}$ for bulk 4H-SiC [36]. The GS spin-strain coupling is calculated using the fundamental mode of the membrane [25] shown in Fig. 4b. The surface flexural strain field for the fundamental mode leads to a local maximum strain of $\sigma_m = 4.34 \times 10^{-4}$ at the defect location (Fig.4b) and it results in a $\Delta D = 6.87\text{MHz}$ increase of the GS ZFS (Fig.4b) in the presence of a bias strain σ_0 . Optically detected magnetic resonance (ODMR) can detect these variations in ZFS due to membrane oscillations. With a bias strain of $\sigma_0 = 10^{-2}$, we calculate the strain sensitivity to be $\zeta_\sigma = h_n(\omega)\omega^{-1/2} \approx 6.7 \times 10^{-8}$ strain $\text{Hz}^{-1/2}$. The $h_n(\omega)$ noise amplitude is estimated using the reported 2.8 MHz (at -10dBm) experimental ODMR linewidth [13].

The advantages of the V_{Si}^- for strain detection are: i) Roughly two orders of magnitude improvement in sensitivity over spin-1 defects [37] due to the near T_d local symmetry and Kramer's degeneracy. ii) Simpler spin-resonance detection techniques (i.e. ODMR, EPR, etc.) that does not require dynamical decoupling. This makes the V_{Si}^- defect technologically appealing for hybrid quantum systems in realistic applications, e.g. navigation, gravimetry, and autonomous detection systems.

For the temperature dependence of the GS, we obtain a simple analytical expression [25] leading to $dD/dT = 1\text{kHz/K}$ change in ZFS around $T = 300\text{K}$, in remarkable agreement with recent experiments [38]. This corresponds to a fractional thermal response of $dD/(DdT) = -1.4 \times 10^{-5}\text{K}^{-1}$ and it is an order of magnitude higher than that for NV-centers in diamond [39] due to the near T_d symmetry. This provides a unique opportunity for nano bio-chemical sensing with the combined benefit of increased optical penetration capabilities [40] due to the V_{Si}^- zero-phonon line that lies in the near-infrared window of biological tissue. Techniques such as the optically detected double microwave resonance between the $m_s = 3/2$ and $m_s = \pm 1/2$ with an N-pulse CPMG method [41] can be easily utilized to achieve this.

A fully relativistic treatment of the electronic properties of the V_{Si}^- Si deep center defect in 4H-SiC has been used to develop opportunities for quantum metrology in this system. It has been shown that the novel features of half spin multiplet, i.e. class spin-3/2 quartet, defects allow for novel sensing schemes and easy-to-implement detection protocols with unique advantages that make possible sensitivities well beyond those of current technologies. Other point defects, i.e. 3d transition metal or rare-earth impurities in semiconductors, may also provide similar opportunities in quantum sensing due to their high half-spin ($S \geq 3/2$) configurations.

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