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# Chern numbers and chiral anomalies in Weyl butterflies

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The Hofstadter butterfly of lattice electrons in a strong magnetic field is a cornerstone of condensed matter physics, exploring the competition between periodicities imposed by the lattice and the field. In this work we introduce and characterize the Weyl butterfly, which emerges when a large magnetic field is applied to a three-dimensional Weyl semimetal. Using an experimentally motivated lattice model for cold atomic systems, we solve this problem numerically. We find that Weyl nodes reemerge at commensurate fluxes and propose using wavepackets dynamics to reveal their chirality and location. Moreover, we show that the chiral anomaly – a hallmark of the topological Weyl semimetal – does not remain proportional to magnetic field at large fields, but rather inherits a fractal structure of linear regimes as a function of external field. The slope of each linear regime is determined by the difference of two Chern numbers in gaps of the Weyl butterfly and can be measured experimentally in time-of-flight.

*Introduction* – The search for novel phenomena in condensed matter is often spurred by competing physical effects acting on comparable length scales. A central example is the response of fermions on a lattice to an external magnetic field when the magnetic length  $l_B$  becomes comparable to the lattice spacing  $a$ . The energy spectrum displays a fractal structure known as the Hofstadter butterfly [1], that is invariant upon changing applied field by one magnetic flux quantum  $\Phi_0 = h/e$  per unit cell. Furthermore, the gaps that separate sub-bands are characterized by a two-dimensional topological Chern number.

Topological invariants generally yield non-trivial condensed matter phenomena, as in topological insulators [2, 3] and more recently Weyl and Dirac semimetals [4–6]. These semimetals host pairs of protected band touchings (nodes) that disperse linearly with momentum. Each pair is composed of a left and right chirality node, a quantum number resembling the valley degree of freedom in graphene. Although the sum of right- and left-handed fermions is conserved, non-orthogonal magnetic ( $\mathbf{B}$ ) and electric ( $\mathbf{E}$ ) fields pump one chirality to the other at a rate  $\propto \mathbf{E} \cdot \mathbf{B}$ , so that their difference is not conserved. This phenomenon, known as the chiral anomaly [7, 8], distinguishes conventional metals from topological ones; while the former display positive longitudinal magnetoresistance, the chiral anomaly manifests as negative magnetoresistance in the latter [9, 10], consistent with recent measurements [11–38].

The response of Weyl fermions to external electromagnetic fields is well understood both in the linear response regime [39–46] and the Landau level limit [8, 45, 47–49]. In this work, we uncover a richer structure that emerges for Weyl fermions on a lattice in the Hofstadter regime ( $l_B \sim a$ ), determining the fate of the chiral anomaly in this limit. The non-renormalization theorem of the chiral anomaly beyond one-loop [7] breaks down, and the  $\mathbf{B}$ -dependence of the anomaly is periodic in units of  $\Phi_0$

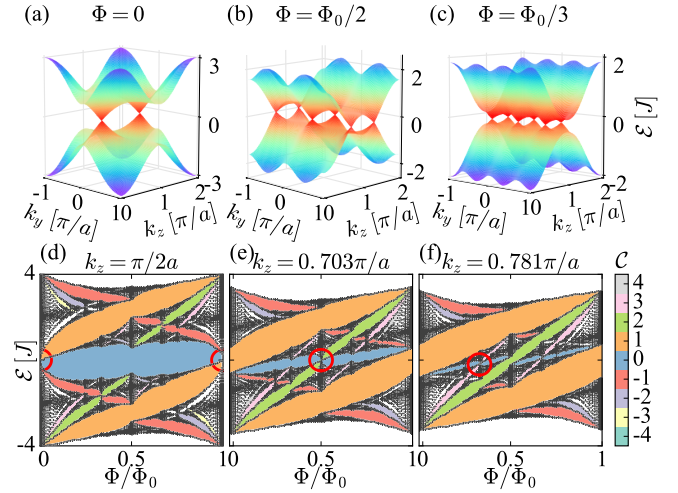


FIG. 1. Energy spectra of Weyl fermions in a magnetic field, as obtained from Eq. (2). (a)-(c) New Weyl nodes emerge at commensurate fluxes per plaquette with an additional  $q$ -fold degeneracy (see text). (d)-(f) Hofstadter-like spectrum for the  $k_z$  values where the Weyl nodes appear for the corresponding fluxes shown in (a)-(c). The isolated zeroth Landau level occurring around the Weyl nodes is highlighted by the red circles. The colors in the butterflies denote the Chern number  $\mathcal{C}$  in the gap.

per unit cell. We find that the chiral anomaly tracked through the rate of chiral charge pumping shows a fractal of linear regimes proportional to  $\mathbf{B}$  with quantized integer slopes, intimately connected to a fractal set of emergent Weyl nodes at commensurate fluxes. The integer slopes are given by the Chern numbers of the Weyl butterfly – a three-dimensional fractal which describes the spectrum of a Weyl semimetal under large magnetic fluxes. The physics resulting from the third dimension is not a mere generalization of the two-dimensional Hofstadter case. Crucially, we show that there is an analytical connection between the evolution of the fractal

spectrum along the third momentum direction, its Chern numbers, and the fractal nature of the chiral anomaly. The work motivated by both fundamental interest [50–57] and plausible experimental realization in cold atomic systems [58–60]. Using a model tightly connected to the experimental proposal in Ref. [58] we show how both the Chern numbers and the chiral anomaly may be directly measured with cold atoms.

*Weyl semimetal in magnetic fields* – To describe a Weyl semimetal, we employ a two-band Hamiltonian of spinless fermions on a cubic lattice  $\mathcal{H}_{\mathbf{k}} = \mathbf{d}_{\mathbf{k}} \cdot \boldsymbol{\sigma}$ , with

$$\mathbf{d}_{\mathbf{k}} = -\{J_2 \sin k_x, J_2 \sin k_y, -M + J_1 \sum_{i=x,y,z} \cos k_i\}. \quad (1)$$

This model breaks time-reversal symmetry and is motivated by that in Ref. [58], which is composed of the two time reversal partners of Eq. (1) separated in momentum space. It has a pair of linearly dispersing Weyl cones at  $\mathbf{k} = \{0, 0, \pm \cos^{-1}(M/J_1 - 2)\}$  for  $1 < |M/J_1| < 3$ . We use  $J_2 = J_1 = J$  and  $M/J = 2$  for the remainder of this paper. Fig. 1(a) shows the band structure in the  $(k_y, k_z)$  plane. This model is constructed from Chern insulators in the  $(k_x, k_y)$  plane with a  $k_z$  dependent gap such that the Chern number  $\mathcal{C}_{k_z}$  changes whenever a Weyl node is crossed, as shown by the dashed line in Fig. 2b.

We now consider applying magnetic field  $\mathbf{B} \parallel \hat{z}$  with flux  $\Phi = \Phi_0 p/q$  per plaquette, equivalent to an Aharonov-Bohm phase of  $\phi = 2\pi p/q$  upon tunneling around a plaquette. In the Landau gauge,  $\mathbf{A} = \Phi x \hat{y}$ , the Hamiltonian becomes

$$\mathcal{H}_{\mathcal{W}\mathcal{H}} = \begin{pmatrix} \mathcal{M}_1 & \mathcal{R}/2 & 0 & \cdots & \mathcal{S}/2 \\ \mathcal{R}^\dagger/2 & \mathcal{M}_2 & \mathcal{R}/2 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ \mathcal{S}^\dagger/2 & 0 & \cdots & \mathcal{R}^\dagger/2 & \mathcal{M}_q \end{pmatrix},$$

$$\mathcal{M}_n(k_y, k_z) = [M - J(\cos(k_y + \phi n) + \cos k_z)]\sigma^z - J \sin(k_y + \phi n)\sigma^y,$$

$$\mathcal{R} = -J(\sigma^z - i\sigma^x); \mathcal{S}(k_x) = -J(\sigma^z + i\sigma^x)e^{-ik_x}. \quad (2)$$

Each  $k_z$  exhibits a Hofstadter-like spectrum [61], (see Fig. 1) forming the energy spectrum that we refer to as the Weyl butterfly.

One unexpected aspect of the Weyl butterfly is that, for commensurate fluxes, new pairs of Weyl nodes emerge with  $q$ -fold degeneracy *unlike the two-dimensional Hofstadter butterfly which has Dirac nodes only for even  $q$* . [62] [63, 64] In Fig. 1(d)-(f) we highlight some of the emergent Weyl nodes that cross near  $\mathcal{E} = 0$  for particular values of  $k_z$ . The emergence of such new Weyl nodes is related to the fractal structure of the butterfly, while the  $q$ -fold degeneracy comes from noting that the shift  $k_y \rightarrow k_y + 2\pi p/q$  in Eq. (2) amounts to changing  $\mathbf{A}$  in steps of  $\Phi$ , which has no effect on the spectrum. Since  $q$  such translations traverse the BZ, there should be  $q$  copies of the spectrum. [65] Perturbing the flux around one

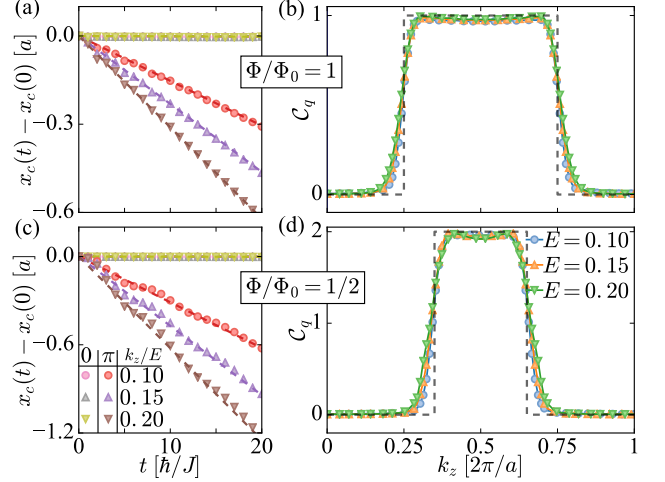


FIG. 2. Hall drift of the center-of-mass of a wavepacket for different values of the electric field  $E$  at two representative  $k_z$  values, 0 and  $\pi$ , along with the linear fits used to calculate  $\mathcal{C}_q$  for  $q = 1$  (a) and  $q = 2$  (c). (b) and (d) show the topological transition of  $\mathcal{C}_q$  at the positions of the Weyl nodes, as obtained from Eq. (4). For the simulations  $L = 128$  and  $L_s = 48$ .

of these emergent Weyl nodes splits it into Landau levels dispersing along  $k_z$ , including a chiral zeroth Landau level [8]. As the flux is further increased, the Landau levels split and merge with those of the upcoming Weyl node, a feature which we explore in more detail later.

*Chern numbers via wavepacket dynamics* – A non-trivial topological invariant that characterizes the emergent Weyl nodes at rational flux is the Chern number in each momentum plane. An experimentally feasible probe of this invariant in cold-atomic systems is the semi-classical motion of wavepackets [66, 67], which has successfully been used in both the two-dimensional Hofstadter [68] and Haldane [69] models. The principle is that, under an external force, wavepackets Hall drift transverse to the direction of the force with amplitude proportional to the Chern number.

Here we explore the use of such Hall-like response to characterize the Weyl butterfly. First, we consider preparing a wavepacket sharply peaked around a finite momentum  $k_z$  along the axis of Weyl node separation. Such a wavepacket could be achieved experimentally by initially decreasing the lattice depth along the  $z$ -direction to create a sharp  $k_z$  peak then taking it to the desired  $k_z$  via a ramped magnetic field [70] or optical gradient [68], lattice acceleration [71], or Bragg pulse.[72] We then consider a wavepacket initially confined within a  $L_s \times L_s$  sub-region of an  $L \times L$  lattice ( $L_s < L$ ) in the  $(x, y)$  plane [66]. At  $t = 0$ , the  $xy$ -confinement is removed to give approximately uniformly-filled bands in  $(k_x, k_y)$  [73] and a constant force  $\mathbf{F} = EJ/a \hat{y}$  is applied [68, 70, 71] The center-of-mass motion in the  $n^{\text{th}}$ -band is governed

by the semiclassical equations of motion [74]

$$\dot{\mathbf{r}}_c = \nabla_{\mathbf{k}} \mathcal{E}_{n,\mathbf{k}} - \dot{\mathbf{k}} \times \boldsymbol{\Omega}_{n,\mathbf{k}}; \quad \dot{\mathbf{k}} = \mathbf{F}; \quad (3)$$

where  $\mathcal{E}_{n,\mathbf{k}}$  and  $\boldsymbol{\Omega}_{n,\mathbf{k}}$  are respectively the energy dispersion and Berry curvature of the band. The net drift of the many-fermion wavepacket can be obtained by integrating Eq. (3) over time and summing over the responses for all the filled bands. For  $m$  uniformly filled bands, the drift is [66]

$$\mathbf{r}_c(t) - \mathbf{r}_c(0) = -\frac{Et}{2\pi} \sum_{n=1}^m \mathcal{C}_n \hat{x} \equiv -\frac{Et}{2\pi} \mathcal{C}_m \hat{x}, \quad (4)$$

where  $\mathcal{C}_n = (1/2\pi) \int dk_x dk_y \Omega_{n,\mathbf{k}}^z$  is the Chern number of the  $n$ -th band.

For flux  $\Phi$ , we can use this technique to measure the sum of the Chern numbers of the  $q$  lowest bands,  $\mathcal{C}_q$ , for emergent Weyl nodes which connect the  $q^{\text{th}}$  and  $(q+1)^{\text{th}}$  bands. The Hall drift given by Eq. (4), and its corresponding  $\mathcal{C}_q$  are shown in Fig. 2 for two different fluxes as a function of  $k_z$ . As  $k_z$  crosses a Weyl node, the  $q^{\text{th}}$  and  $(q+1)^{\text{th}}$  bands undergo a topological phase transition where the sign of the gap of the Chern insulator flips. Consequently, since there are  $q$  such Weyl points, the Chern number changes by  $\pm q$  with sign determined by the chirality of the Weyl nodes. Thus from the wavepacket dynamics as a function of  $k_z$ , one can extract the location, chiralities, and multiplicities of the Weyl points.

In experiments, it is often easier to prepare a finite-width distribution of the occupations  $W_{k_z}$  than a sharply-peaked  $k_z$ . Controlling the width of this distribution through external trapping or temperature also allows to infer information about the spectrum. In this case, the Hall drift with the Fermi level in the  $q^{\text{th}}$  gap yields a non-quantized effective Chern number,  $\mathcal{C}_{q,\text{eff}} = \sum_{k_z} \mathcal{C}_{q,k_z} W_{k_z}$ , which is the average of  $\mathcal{C}_{q,k_z}$  weighted by  $W_{k_z}$ . For instance, if we create Gaussian distributions centered at  $k_z = 0$  with width  $\sigma$  for the particular case of two Weyl nodes at  $\pm K_0/2$ , we find that the dependence of the Hall drift on  $\sigma$  saturates to  $\mathcal{C}_{q,\text{eff}} = \mathcal{C}_{q,k_z=\pi/a} - (\mathcal{C}_{q,k_z=\pi/a} - \mathcal{C}_{q,k_z=0})K_0/2\pi$  in the  $\sigma \rightarrow \infty$  limit and to  $\mathcal{C}_{q,\text{eff}} = \mathcal{C}_{q,k_z=0}$  for  $\sigma \rightarrow 0$ . Varying  $\sigma$  interpolates between these limits; a simple fit can then extract the Chern number profile. A more in-depth analysis can be found in the Supplementary material [75].

*Chiral anomalies in the Weyl butterfly* – We now turn to the main result of our work; the fate of the chiral anomaly in the Hofstadter regime. Our starting point is Eq. (1) at  $\Phi = 0$  with chemical potential chosen to be at the Weyl nodes ( $\mathcal{E}_F = 0$ ). Upon applying a finite flux ( $\Phi/\Phi_0 \lesssim 1/4$ ) the spectrum first breaks into Landau levels (cf. Fig. 3a) that disperse with  $k_z$ . Due to the chiral anomaly, if an additional electric field is applied at  $t = 0$  satisfying  $\mathbf{E} \parallel \hat{z}$ , we expect the occupancies to shift along  $k_z$  turning left-handed into right-handed fermions

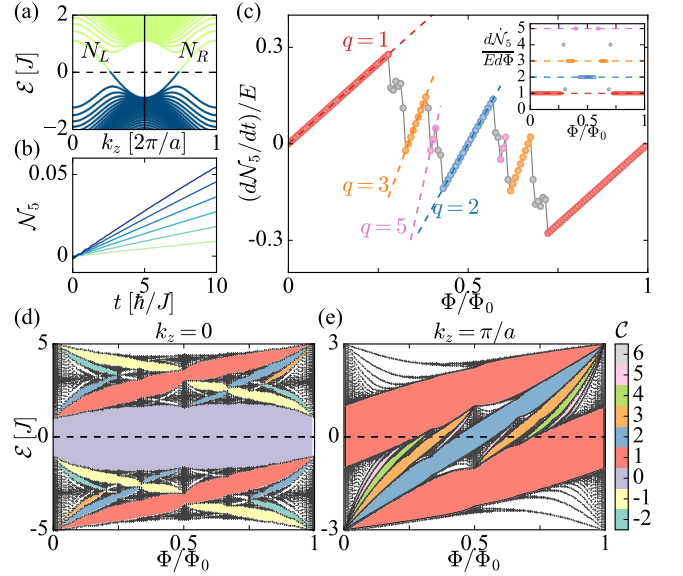


FIG. 3. Chiral anomaly of the Weyl butterfly. (a) The chiral charge counts the number difference of left and right movers  $\mathcal{N}_5 = (N_L - N_R)/L^2$ . (b)  $\mathcal{N}_5$  increases linearly with time when both  $E$  and  $B$  are applied as shown for  $E = 0.1$  and fluxes  $\Phi = n\Phi_0/L$ , with  $n$  going from 1 to 6 (lighter to darker). (c) The rate of chiral charge production grows linearly with the flux with a slope  $q$  in the vicinity of commensurate fluxes, for which the model hosts  $q$  pairs of Weyl nodes. Linear fits are shown for the data around  $\Phi/\Phi_0 = 1, 1/2, 1/3, 2/5$  which correspond to  $q = 1, 2, 3, 5$  respectively. Inset: Quantized plateaus corresponding to each linear rate as a function of  $\Phi$ . The simulations are performed on a cubic lattice with linear dimension  $L=144$ . (d,e) The magnitude of each plateau can be extracted as described by (7). The slopes in (c) are color coded to the Chern numbers in (d-e)

via the bottom of the band. To characterize the chiral anomaly we define the chiral charge density

$$\mathcal{N}_5 = \left(\frac{1}{L^2}\right) \sum_{k_y=0}^{2\pi/a} \left[ \sum_{k_z=0}^{\pi/a} n_{k_y,k_z} - \sum_{k_z=\pi/a}^{2\pi/a} n_{k_y,k_z} \right], \quad (5)$$

where  $n_{k_y,k_z}$  is the total number of filled fermionic states with momentum  $k_y, k_z$ . This quantity monitors the amount of charge pumped from one half of the Brillouin zone to another; its rate of increase is proportional to the applied electric field. The definition (5) implies that only the states that cross the Fermi level can contribute to the pumping of chiral charge. For  $\Phi/\Phi_0 \lesssim 1/4$  we find that  $\mathcal{N}_5$  grows linearly with time (Fig. 3b). In addition, the rate of growth  $d\mathcal{N}_5/Edt$  is linear as a function of  $\Phi$  (Fig. 3c). So far, both of these results are consistent with the conventional chiral anomaly,  $d\mathcal{N}_5/dt \propto \mathbf{E} \cdot \mathbf{B}$ .

As the flux increased ( $\Phi/\Phi_0 > 1/4$ ) the linear behavior of  $d\mathcal{N}_5/Edt$  with  $\Phi$  breaks down. As shown in Fig. 3c, several linear regimes where  $d\mathcal{N}_5/dt \propto \Phi$  appear, with unequal slopes. Each linear regime is centered around commensurate fluxes  $\Phi/\Phi_0 = p/q$ , with slope quantized

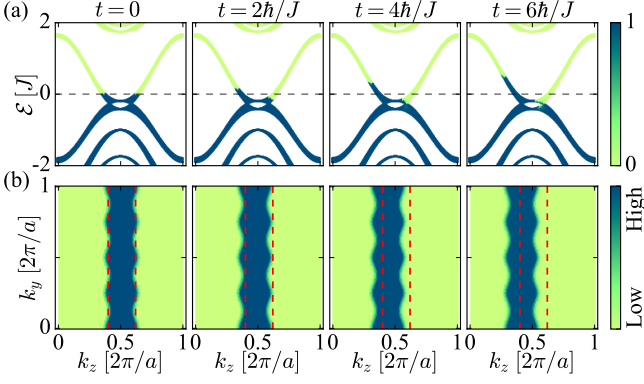


FIG. 4. **(a)** Evolution of occupancies in the bands after an electric field  $E = 0.1$  is turned on, showing the development of the chiral anomaly for  $\Phi = \Phi_0/4$ . The dashed horizontal line represents the Fermi level  $\mathcal{E}_F$ . **(b)** Time-of-flight occupancy profiles in the  $(k_y, k_z)$  plane in arbitrary units. The vertical dashed lines serve as a guide to the eye for the initial profile at  $t = 0$ . Simulation parameters are the same as Fig. 3.

to  $q$ . This is a direct consequence of the emergence of  $q$  pairs of Weyl nodes, leading to  $q$  copies of the Landau levels crossing the Fermi energy. Hence, as the flux is ramped, the Landau level degeneracy grows as  $q\Delta\Phi$ , leading to chiral charge production  $\dot{N}_5 \propto Eq\Delta\Phi$ . The full behaviour is thus composed of jumps between the linear regimes in Fig. 3 around commensurate values of the flux. In the thermodynamic limit the self similar fractal structure of the butterfly implies that these linear regimes should themselves form a fractal of integer valued slopes.

In order to establish a more physical understanding of the fractal nature of the anomaly we now connect it to the Chern number. Recall first that the rate of chiral charge pumping  $\dot{N}_Q/E$  counts the number of chiral channels at the Fermi level. Second, we emphasize that the Weyl butterfly has, for fixed  $k_z$ , a series of gaps at  $\mathcal{E}_F = 0$  (cf. Fig. 3d-e), each characterized by its Chern number  $\mathcal{C}_{k_z}$ . The Chern number determines how density is modified when applying a magnetic field through the Streda formula [76]

$$d\rho_{2D}^{k_z}/dB_z = \mathcal{C}_{k_z}|_{\mathcal{E}_F=0}/\Phi_0. \quad (6)$$

Consider adding one flux quantum to the system  $\Phi = \Phi_0/L^2$ . For  $k_z = 0$ ,  $\mathcal{C} = 0$  at  $E_F = 0$ , so the density is unaffected. For  $k_z = \pi/a$ ,  $\mathcal{C} = 1$ , so to increase  $\rho_{2D}$  as in Eq. (6), one conduction level must move to the valence band. The difference must be accommodated in between these momenta, leading to one extra chiral channel. Since, for our inversion-symmetric Weyl semimetal, the Weyl points always appear in  $\pm k_z$  pairs with opposite chirality, it suffices to consider  $k_z = 0, \pi/a$ . This predicts that the chiral anomaly generalizes to

$$(1/E)d\dot{N}_5/d\Phi = \mathcal{C}_{k_z=\pi/a} - \mathcal{C}_{k_z=0}, \quad (7)$$

which is confirmed in Fig. 3c-e. Furthermore, since the butterfly at  $k_z = \pi/a$  consists of a fractal set of gapped Chern insulators, we see that the anomaly will become a fractal set of linear anomalies with quantized slopes in the thermodynamic limit. Eq. (7) succinctly summarizes the main findings of this letter. It highlights the topological connection between different  $k_z$  sectors which determine the quantized slopes of the chiral anomaly, a result only possible in three-dimensions.

We close by addressing the experimental prospects to probe the chiral anomaly. Lack of reservoirs and relaxation make transport measurements difficult, but this also helps distinguish the chiral anomaly in cold atoms from other competing effects. In practice, the most direct probe is time-of-flight, which directly maps out the momentum-space occupancies. [77] Fig. 4a and Fig. 4b shows the calculated occupancies and time-of-flight images for  $\Phi/\Phi_0 = 1/4$  upon applying  $\mathbf{E} \parallel \mathbf{B}$  at  $t = 0$ . The pumping rate  $\dot{N}_5$  can be monitored to probe the chiral anomaly and experimentally access the observables in Fig. 3.

Our analysis extends to models without inversion symmetry, which may have multiple pairs of Weyl nodes. In particular, time-of-flight measurements could track each pair of Weyl nodes independently to measure the chiral pumping. Our results can thus be experimentally tested using existing technology in realistic models such as that in Ref. [58], which already incorporates the high magnetic field necessary for the Weyl butterfly, or the three dimensional variant [78] of the model proposed in Ref. [79]. Finally, we expect these effects to be robust to weak interactions [80, 81] and disorder [47, 82, 83] leaving the effect of strong perturbations for future research.

**Conclusion** – We have shown that the chiral anomaly generalizes to a quantized fractal in the high-magnetic-field limit, connecting the longitudinal chiral anomaly response to the transverse Hall response characterized by the Chern number. Our results hold for any model of Weyl semimetal with inversion symmetry. The evolution of the spectral butterfly in the third momentum direction determines the universal three-dimensional physics of the chiral anomaly which is summarized by Eq. (7). This particular interplay between two-dimensional planes and the emergence of Weyl nodes for all  $q$  distinguishes the Weyl butterfly from two-dimensional [1], and three-dimensional variants of the Hofstadter problem [50–57] and opens the possibility of exploring generic features that relate different models.

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