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## Excitonic emissions and above-band-gap luminescence in the single-crystal perovskite semiconductors $\text{CsPbBr}_3$ and $\text{CsPbCl}_3$

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1 **Excitonic Emissions and Above-Bandgap Luminescence in Single Crystal Perovskite**  
2 **Semiconductors CsPbBr<sub>3</sub> and CsPbCl<sub>3</sub>**

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8 **Abstract**

9 The ternary compounds CsPbX<sub>3</sub> (X= Br or Cl) have perovskite structures that are being  
10 considered for optical and electronic applications such as lasing and gamma ray detection.  
11 Above bandgap excitonic photoluminescence (PL) band is seen in both CsPbX<sub>3</sub> compounds. An  
12 excitonic emission peak centered at 2.98 eV, ~0.1 eV above the room temperature bandgap, is  
13 observed for CsPbCl<sub>3</sub>. The thermal quenching of the excitonic luminescence is well described  
14 by a two-step quenching model, yielding activation energies of 0.057 eV and 0.0076 eV for high  
15 and low temperature regimes, respectively. CsPbBr<sub>3</sub> exhibits bound excitonic luminescence  
16 peaks located at 2.29 eV and 2.33 eV that are attributed to recombination involving Br vacancy  
17 centers. Activation energies for thermal quenching of the excitonic luminescence of 0.017 eV  
18 and 0.0007 eV were calculated for CsPbBr<sub>3</sub>. Temperature dependent PL experiments reveal  
19 unexpected blueshifts for all excitonic emission peaks in CsPbX<sub>3</sub> compounds. A phonon assisted  
20 step-up process leads to the blueshift in CsPbBr<sub>3</sub> emission, while there is a contribution from  
21 bandgap widening in CsPbCl<sub>3</sub>. The absence of significant deep level defect luminescence in  
22 these compounds makes them attractive candidates for high resolution, room temperature  
23 radiation detection.

24  
25 **Keywords:** photoluminescence (PL), exciton emission, gamma-ray detection, perovskite  
26 halides, CsPbBr<sub>3</sub>, CsPbCl<sub>3</sub>

## 30 **I. Introduction**

31 The last few years have witnessed a dramatic increase of research on the halide  
32 perovskite compounds of group IV metal ions. This long-known class of semiconductors has  
33 been studied starting as far back as 1950's, mainly through the pioneering work of Moller on  
34 the  $\text{CsPbX}_3$  compound ( $X = \text{Cl, Br, I}$ )[1,2]. Since then, these perovskite compounds have  
35 attracted much attention due to their remarkable optical[3,4,5,6] and electronic[7] properties  
36 and the numerous temperature-induced structural phase transitions[8]. The discovery that  
37 ignited the recent explosive growth in the field was the realization of efficient Dye-Sensitized  
38 Solar Cells (DSSC's) using **perovskite structure**  $\text{CH}_3\text{NH}_3\text{PbI}_3$  as the light-absorbing component,  
39 thus achieving a 4% conversion efficiency[9]. After the initial discovery, the liquid electrolyte  
40 was eliminated in favor of an all-solid state photovoltaic device, marked by the successful  
41 employment of  $\text{CsSnI}_3$ [10] and  $\text{CH}_3\text{NH}_3\text{PbI}_3$ -based perovskites[11] as hole transport and light-  
42 absorber components, respectively. Currently, the perovskite solar cells are able to deliver  
43 conversion efficiencies of  $\sim 20\%$ [12], clearly demonstrating the excellent photoconducting  
44 properties of the halide perovskite semiconductors. However, aside from the initial reports on  
45 photoconductivity of the  $\text{CsPbX}_3$  compounds [1] only few experiments dealt with the charge-  
46 transport properties of these compounds and in most of them the measured electrical  
47 properties were attributed to ionic motion[13]. We recently focused our attention in the  
48 development of  $\text{CsPbX}_3$ [14,15] and other halide semiconductor compounds[16] to utilize their

49 photo-conversion potential in the field of high energy radiation detection.

50 Gamma ray spectroscopy is widely used in fields such as security, medicine, and  
51 astrophysics. For many of these applications, it is important to have materials that are able to  
52 detect gamma rays with high energy resolution at room temperature. This can be achieved by  
53 using semiconductors that have a large bandgap, high density, and optimal charge transport  
54 properties as given by the mobility-lifetime ( $\mu\tau$ ) product. Current detector materials are semi-  
55 insulating semiconductors containing heavy elements, such as  $\text{Cd}_{0.9}\text{Zn}_{0.1}\text{Te}$  (CZT) and  $\text{TlBr}$  [17].  
56 These semiconductors have high resistivities ( $>10^9 \Omega\text{-cm}$ ) that result in low detector  
57 background noise, leading to high resolution gamma ray spectra. In addition the  
58 semiconductor CZT has a  $\mu\tau$  value on the order of  $10^{-2} \text{ cm}^2\text{V}^{-1}$  and  $\text{TlBr}$  on the order of  $10^{-3}$   
59  $\text{cm}^2\text{V}^{-1}$ . There are, however, several drawbacks associated with these two compounds. CZT is  
60 known to exhibit twinning and Te precipitation formation that lowers the  $\mu\tau$  value, whereas  
61  $\text{TlBr}$  is mechanically soft and thus deleterious line defects are readily formed. In addition,  $\text{TlBr}$   
62 suffers from electric polarization over time [17,18], which results in a built-in field that  
63 impedes current flow in the material, causing a decrease in detector performance over time.  
64 These effects limit the detector efficiency and its spectral resolution.

65 As a result, other compounds such as the ternary compounds  $\text{CsPbX}_3$  ( $X = \text{Br}, \text{Cl}$ ) are  
66 being considered as room temperature x-ray and gamma ray detector materials due to their  
67 high density, high resistivity,  $\mu\tau$  and wide bandgap [14,15]. Large single crystals of these

68 compounds were grown via modified Bridgman technique. They have direct bandgaps and high  
69  $\mu\tau$  values on the order of  $10^{-3} \text{ cm}^2\text{V}^{-1}$  for both electrons and holes stemming from the  
70 favorable electronic structure of the perovskite structure-type. In addition,  $\text{CsPbBr}_3$  has  
71 already been shown to detect Ag X-rays [14,15]. Since these compounds are being considered  
72 as hard radiation detector materials, a number of relevant properties such as defect stability  
73 need to be understood. Even very low concentrations of native defects and impurities can  
74 serve as traps and recombination centers that degrade the detector performance [17].  
75 Trapping of photo-excited carriers at defects decreases spectral resolution. Furthermore,  
76 surface recombination of carriers decreases the charge collection efficiency. The  $\mu\tau$  value of a  
77 material is degraded by defect concentrations as low as a few ppm or even lower. Most  
78 chemically specific measurement techniques do not have the requisite sensitivity to detect  
79 defects at these low levels. In addition, they cannot detect native defects such as vacancies and  
80 anti-site defects which can also result in decreased  $\mu\tau$  values and detector efficiency. However,  
81 since many of these point defects are luminescent they can be detected by photoluminescence  
82 (PL) spectroscopy. Substitutional chemical impurities and native defects such as vacancies can  
83 manifest themselves as peaks in a PL spectrum, even at concentrations in the parts per billion  
84 range.

85         There are several prior PL studies of single crystal  $\text{CsPbX}_3$  compounds [3,5,14,15,19-21].  
86 PL measurements on single crystal samples of  $\text{CsPbBr}_3$  have shown the presence of emission

87 bands at 2.32 eV at temperatures up to 110 K that have been attributed to recombination of  
88 free excitons [3,5,14,15,19]. At 4.2 K, this peak is accompanied by several phonon replicas  
89 [3,19]. If this emission is indeed due to the free exciton it suggests that the compounds are  
90 nominally chemically pure and stoichiometric. However, a subsequent study of PL of single  
91 crystals of CsPbBr<sub>3</sub> grown by Bridgman revealed the presence of a broad peak below the band  
92 edge at 2.16 eV from 6 K up to 90 K [5]. This was attributed to emission from a defect or series  
93 of defect states, whose nature was not further investigated [5]. Previous PL measurements on  
94 single crystal CsPbCl<sub>3</sub> indicated the presence of a free exciton peak at 2.98 eV, with additional  
95 peaks reported at 2.97, 2.96, and 2.94 eV [3]. The peak at 2.97 eV was tentatively attributed to  
96 either a bound exciton or band edge luminescence, the peak at 2.96 eV to a phonon replica of  
97 the free exciton peak, and the peak at 2.94 eV was defect-related, possibly a bound exciton.

98         Here we report on temperature and power dependent PL measurements of undoped  
99 CsPbX<sub>3</sub> Bridgman grown single crystals. Thermal quenching measurements enable  
100 determination of the activation energies of the luminescent defects [22,23]. Power dependent  
101 PL measurements are used to determine the defect species involved in radiative recombination:  
102 excitonic, donor-acceptor pair (DAP), or free-to-bound excitonic transitions [22,24]. For  
103 CsPbBr<sub>3</sub>, luminescence emission is observed at 2.33 eV and 2.29 eV at 10 K, persisting up to  
104 room temperature. For CsPbCl<sub>3</sub>, an excitonic peak is observed at 2.98 eV, along with additional  
105 peaks at 2.94, 2.96, and 2.97 eV. In both compounds the luminescence emission is above the

106 conduction band edge and is tentatively attributed to recombination involving halogen vacancy  
107 defect centers. Neither shallow nor deep level radiative defects are observed within the gap in  
108 these CsPbX<sub>3</sub> compounds.

## 109 **II. Experiment**

110 Single crystal ingots of the compounds were grown by the vertical Bridgman method  
111 using a four zone furnace with the temperature in the two top zones set to 700°C and the two  
112 lower zones operating at 300°C. The CsPbBr<sub>3</sub> samples reported in this study were synthesized  
113 as follows: PbBr<sub>2</sub> is dissolved in 48% aqueous HBr and added slowly, under constant stirring at  
114 the initial steps, to a solution of CsBr dissolved in water. The mixture is left to cool down to  
115 ambient temperature and immediately filtered through a fritted-dish funnel under vacuum. The  
116 solid is washed with 8% aqueous HBr followed by methanol and dried overnight. The solid is  
117 further dried in an oven at ~ 70°C in air. CsPbCl<sub>3</sub> was prepared similarly, with Cs<sub>2</sub>CO<sub>3</sub> dissolved  
118 in 37% aqueous HCl being slowly added to PbO dissolved in 37% aqueous HCl under constant  
119 stirring to form the compound. The resulting CsPbCl<sub>3</sub> is filtered and dried as described above  
120 and then washed with 6% aqueous HCl followed by methanol and left for overnight drying. The  
121 solid is further dried in an oven at ~ 70°C in air. Further details of CsPbX<sub>3</sub> synthesis, growth,  
122 and processing are presented elsewhere [15]. Small crystals that were suitable for X-ray  
123 diffraction were readily obtained from the boule and mounted on a STOE II image plate single-  
124 crystal diffractometer. Powder X-ray diffraction measurements were performed using a silicon-

125 calibrated CPS 120 INEL powder X-ray diffractometer (Cu  $K\alpha$ ,  $1.54056\text{\AA}$ ) graphite  
126 monochromatized radiation) operating at 40 kV and 20 mA. The crystal structures of the  
127 compounds were solved and refined using the SHELXL-2013 suite[25], aided by special  
128 functions of the PLATON[26] and WINGX[27] program suites. The as-grown crystal is semi-  
129 insulating with a resistivity  $\sim 10^{10}\ \Omega\text{-cm}$  [15].

130 For PL measurements, the 405 nm line from a CW semiconductor diode laser is the  
131 excitation source. For temperature-dependent PL measurements, the excitation intensity was  
132 kept constant at 31.6 mW for  $\text{CsPbBr}_3$  and at 15.8 mW for  $\text{CsPbCl}_3$  as this was found to give the  
133 maximum overall PL intensity at 10K. For the power-dependent PL measurement, the same  
134 excitation source was used, with a series of neutral optical density filters employed to vary the  
135 incident laser power from 2.0 to up to 50 mW for  $\text{CsPbCl}_3$  and from 2.0 to up to 91 mW for  
136  $\text{CsPbBr}_3$ . An optical chopper and lock-in amplifier were used to improve signal to noise ratio.  
137 The PL emission spectra were resolved through the 0.75 m SPEX grating monochromator and  
138 detected by a Hamamatsu photomultiplier tube (R928). The sample was cooled to 10 K using a  
139 closed-cycle He cryostat (SHI cryogenics DE-202).

140 First-principles calculations were performed in order to determine thermodynamic  
141 properties of intrinsic defects for the case of  $\text{CsPbBr}_3$ . The projector augmented wave  
142 method[28] was implemented in the VASP code [29]. The generalized gradient approximation  
143 (GGA) method within the Perdew-Burke-Ernzerhof formalism [30] was employed for the



144 exchange correlation functional, and the Heyd-Scuseria-Ernzerhof hybrid functional[31] was  
 145 used to correct the band gap underestimation of the semi-local functional. The formation  
 146 energy of defect  $D$  in charge state  $q$  ( $\Delta H_{D,q}$ ) was calculated with the following formula,

$$147 \quad \Delta H_{D,q} = E(D^q) - E(Bulk) - \sum_i n_i \mu_i + qE_F + E_{corr}, \quad 1$$

148 where  $E$  is the total energy of a bulk or a defect system in a charge state  $q$ ,  $n_i$  is a number of the  
 149 atom  $i$  which is removed ( $n < 0$ ) or added ( $n > 0$ ) to form defect  $D$ ,  $\mu_i$  is the corresponding  
 150 chemical potential of the element  $i$ , and  $E_F$  is the Fermi level [32]. Chemical potential  $\mu_i$  is  
 151 determined with a growth condition and Fermi level  $E_F$  is determined self-consistently within  
 152 the charge neutrality condition under the given growth temperature ( $T_g$ ).  $E_{corr}$  is a correction  
 153 term including the finite cell correction [33] and band gap correction [34]. The defect level was  
 154 determined with the charge transition level from  $q$  to  $q'$   $\epsilon(q/q')$  defined by

$$155 \quad \epsilon(q/q') = (\Delta H_D(D, q) - \Delta H_D(D, q')) / (q' - q) \quad 2$$

156 To describe isolated defect configurations, a 2x2x2 supercell which accommodates 160  
 157 atoms was used. A 3x3x3 k-point mesh was used for momentum space integration.

158

### 159 **III. Results and discussion**

#### 160 *Temperature-dependent PL studies*

161 The PL spectra of CsPbBr<sub>3</sub> from 10 K to 180 K are shown in Fig. 1a. Scans from 1.5 to 3.0 eV  
 162 show a single narrow emission peak centered at ~2.32 eV. The inset of Fig. 1a shows the  
 163 decomposition of the spectrum at 10 K, where 2 peaks (at 2.33 eV and 2.29 eV) are observed

164 using a Gaussian decomposition. The luminescence spectrum of CsPbBr<sub>3</sub> over a wide sub-  
165 band-gap energy range and increased sensitivity is shown in Fig. 1c. The semi-log plot indicates  
166 that no significant concentration of deep level defects exist in the compound. The peak  
167 centered at 2.33 eV has been previously assigned to a free exciton peak emission [3,5,19], while  
168 the peak located at 2.29 eV has been previously attributed to either a phonon replica of the free  
169 exciton [3] or to recombination involving a bound exciton state[5]. Absorption spectra  
170 obtained from a polished single crystal of CsPbBr<sub>3</sub> using UV-Vis-IR transmission measurements  
171 as well as from a powdered CsPbBr<sub>3</sub> sample using diffuse reflectance (Fig. 2a) indicate that the  
172 room temperature bandgap for CsPbBr<sub>3</sub> crystal is ~2.23 eV. This value is in agreement with  
173 that previously reported [14,15]. As can be seen from Fig. 2a, the absorption edge does not  
174 match the energy of the room temperature PL emission. Thus it is concluded that the observed  
175 excitonic emission in these compounds is not due to band-to-band recombination as previously  
176 reported. This suggests that the luminescence involves a level above the conduction band edge.  
177 We also note that the powder absorption spectra of both CsPbX<sub>3</sub> samples (Fig. 2c) reveal a  
178 feature above the band edge that roughly aligns with the emission peak position. However, the  
179 origin of this absorption feature is currently unknown.

180 The origin of the above bandgap luminescence in CsPbBr<sub>3</sub> was investigated using DFT  
181 calculations to determine the electronic states of defects. States ~0.23 eV and ~0.24 eV above  
182 the conduction band edge due to Br vacancies are predicted. These calculations indicate that a

183 recombination via bound excitons exists that should result in PL peaks with energies of  $\sim 2.46$   
184 eV and  $\sim 2.47$  eV, respectively. These calculations of defect levels are in reasonable agreement  
185 with earlier DFT calculations on this compound by Shi and Du[35] which indicated a Br  
186 vacancy located at 0.16 eV above the conduction band minimum. The observed room  
187 temperature PL peak located at  $\sim 2.38$  eV ( $\sim 0.16$  eV above the bandgap) is thus tentatively  
188 attributed to recombination via a bound exciton involving a Br vacancy defect. In the observed  
189 luminescence, electrons are trapped by the Br vacancy defect and form a bound exciton with  
190 free holes in the valence band. Recently, such above bandgap PL emission at room temperature  
191 in organo-lead halide perovskite  $\text{CH}_3\text{NH}_3\text{PbI}_3$  was observed but in that case it was attributed to  
192 free carrier generation through rapid exciton dissociation [36]. While not typical, above-  
193 bandgap PL has also been observed in dilute  $\text{GaAs}_{1-x}\text{N}_x$  alloys[37] and  $\text{GaAs}_{1-x}\text{P}_x$ [38]. For the  
194 case of  $\text{GaAs}_{1-x}\text{P}_x$ , the above-bandgap emission was ascribed to recombination involving  
195 isoelectronic N traps with levels above the conduction band edge. In the case of  $\text{GaAs}_{1-x}\text{N}_x$   
196 alloys, the above-bandgap emission however was attributed to a collection of perturbed  
197 conduction band states near the  $L$  point. In the present case, a physical model of the PL process  
198 for the 2.38 eV peak can be described as follows: incident photons are absorbed by promoting  
199 electrons from the valence band into higher excited states in the conduction band. Upon  
200 relaxation, an electron is then captured/trapped at a localized Br vacancy defect with energy  
201 level degenerate with conduction band states. This is followed by the captured electron

202 combining with a free hole in the valence band to form a bound exciton.

203 PL studies of CsPbCl<sub>3</sub> in the 1.5 to 3.0 eV range reveal a narrow peak overlapped on a  
204 broad shoulder centered at ~2.97 eV (Fig. 1b). The luminescence spectrum in a wide sub-band-  
205 gap energy range and increased sensitivity is shown on a semi-log plot in Fig. 1c. It is possible  
206 that an extremely weak broad sub-gap emission (~2.3 - 2.6 eV) is present in CsPbCl<sub>3</sub>. However,  
207 it is 10<sup>4</sup> times weaker than the dominant peak and thus only an extremely low concentration of  
208 deep level defects exist in CsPbCl<sub>3</sub>. Gaussian decomposition of the PL signals below 100 K  
209 reveals four peaks centered at 2.94, 2.96, 2.97, and 2.98 eV (Fig. 1b inset). Above 100K and up  
210 to 290 K, only the latter three peaks listed are observed. This is to be compared to the room  
211 temperature bandgap for CsPbCl<sub>3</sub> crystals which is 2.85 eV (Fig. 2b). Thus all the PL emission  
212 peaks in Fig. 2b are above the bandgap. The peak centered at 2.98 eV could presumably be  
213 attributed to an exciton bound to a higher-lying defect state within the conduction band such as  
214 in the case for CsPbBr<sub>3</sub>; with phonon replicas centered at 2.96 eV and 2.94 eV. A peak at 2.97 eV  
215 in CsPbCl<sub>3</sub> was previously attributed to a bound exciton [3], which is likely the identity of the  
216 2.97 eV peak in this study.

217 Another possible interpretation of the observed emission band at 2.98 eV is  
218 luminescence from a larger bandgap nanocrystalline inclusions embedded in the  
219 monocrystalline matrix. Luminescence of CsPbCl<sub>3</sub> nanocrystals embedded in CsCl:Pb single  
220 crystals has been previously reported [39], where an above bandgap peak at 2.98 eV was

221 observed at 10 K. Similarly, CsPbBr<sub>3</sub>-like quantum dots exhibit PL emission in the 2.34-2.48 eV  
222 range at 5 K, which is above the bandgap energy of CsPbBr<sub>3</sub> single crystals and more closely  
223 matches that of CsPbBr<sub>3</sub> thin films [6]. However, pXRD results in the present study, shown in  
224 Fig. 2c, indicate that the crystals are phase-pure and therefore it is unlikely that we have  
225 nanoprecipitates in our CsPbX<sub>3</sub> samples. Alternatively, the observed recombination in CsPbX<sub>3</sub>  
226 could be associated with a higher lying conduction band edge. Furthermore, DFT calculations in  
227 the present study indicate that there are several higher lying conduction bands within 0.2 – 0.5  
228 eV of the lowest lying conduction band edge.

229 All emission peaks of CsPbBr<sub>3</sub> and CsPbCl<sub>3</sub> blueshift with increasing temperature (Figs.  
230 3a and 3b, respectively). This is unexpected since excitonic PL typically redshifts with  
231 increasing temperature due to bandgap shrinkage [40]. Although there have been reports of  
232 blueshifting of the absorption edge with increasing temperature in other Pb-based  
233 semiconductors [41], this behavior has not been reported for CsPbBr<sub>3</sub>. However, in the case of  
234 CdMnTe crystals blueshifting of a Mn<sup>2+</sup> emission peak with temperature above 60 K has been  
235 observed. The ~ 0.04 eV shift was attributed to a multiphonon-assisted step-up process [42]. In  
236 this process, the carriers can reach a higher energy state due to phonon absorption, which is  
237 enhanced with increasing temperature. The observed blueshift of emission peaks with  
238 temperature in CsPbBr<sub>3</sub> by ~0.04 eV (Fig. 3a) is consistent with such a multiphonon process.

239 In the case of CsPbCl<sub>3</sub> the blueshift of the emission peaks is also unexpected (Fig. 3b).

240 The blueshift of the excitonic luminescence spectra has been previously observed in the 4.2 - 77  
 241 K range [20] and attributed to recombination involving a higher energy radiative exciton state  
 242 above the conduction band edge. Furthermore a blueshift in the reflection spectra near the  
 243 band edge was observed from 4.2 to 200 K indicating a widening of the bandgap as  
 244 temperature increases, with transitions possibly tracking with the band edge [20]. Therefore,  
 245 the blueshift with increasing T for CsPbCl<sub>3</sub> below 100 K is attributed to the temperature-  
 246 dependent bandgap widening. Above 100 K, however, the peak energies become invariant with  
 247 temperature or redshift, although all peaks remain above the conduction band edge (Fig. 3b).

248 To determine the phonon energy,  $E_{ph}$ , the line broadening of the band edge PL emission  
 249 for both compounds was measured as a function of temperature. The temperature dependence  
 250 of peak width for excitonic peaks was analyzed using Toyozawa's equation [43,44]

$$251 \quad \omega(T) = \frac{A}{\left[\exp\left(\frac{E_{ph}}{k_B T}\right) - 1\right]} + C \quad (3)$$

252 where  $\omega(T)$  is the peak full-width half maximum (FWHM) and  $C$  is a constant linewidth at low  
 253 temperatures due to the absence of phonon broadening. From the analysis of spectral data  
 254 shown in Fig. 4a using Eqn. (3), a phonon energy  $E_{ph}$  of 0.016 eV was calculated for CsPbBr<sub>3</sub>.  
 255 This value is in good agreement with the value of a single phonon energy of 0.016 eV [15] as  
 256 well as 0.019 eV [3], previously obtained for this material using Raman spectroscopy, .

257 Fig. 4b shows the plot of  $\omega(T)$  vs T for CsPbCl<sub>3</sub>. The curve, however, is discontinuous at  
 258 lower temperature and likely due to a reported phase transition at ~185 K [45]. The material is

259 tetragonal based on our single-crystal refinement at room temperature and possibly over the  
260 whole 194- 310 K range due to distortion of the perovskite structure. As a result of this  
261 structural phase change the data has only been fitted for the values from 185 K to 295 K. A  
262 value of 0.043 eV is calculated for  $E_{ph}$  using Eqn. (3). This value is in good agreement with a  
263 previously reported value of 0.046 eV for an LO phonon in  $CsPbCl_3$  [46].

264 Thermal quenching of the PL intensity  $I(T)$  can be analyzed using the two-step  
265 quenching model [47]

$$266 \quad I(T) = \frac{I_0}{1 + C_1 \exp\left(-\frac{E_1}{k_B T}\right) + C_2 \exp\left(-\frac{E_2}{k_B T}\right)} \quad (4)$$

267 where  $I_0$  is the peak intensity at 0 K,  $E_1$  and  $E_2$  are the high and low-temperature activation  
268 energies of non-radiative pathways, and  $C_1$  and  $C_2$  are related to the strength of the quenching  
269 processes. In the case of  $CsPbBr_3$  the best fit analysis of the data in Fig. 5a to Eqn. (4) yields  
270 values of 0.017 eV and 0.0007 eV for  $E_1$  and  $E_2$ , respectively.  $E_1$  is often equated to the exciton  
271 binding energy [43,48] but in this case is about half as much as that previously reported for  
272 this compound [3,19]. Furthermore the agreement between the values obtained from Eq. 3 and  
273 Eq. 4 indicates that  $E_1$  is the phonon energy.

274 For  $CsPbCl_3$ , values of 0.057 eV and 0.0076 eV for  $E_1$  and  $E_2$ , respectively, were obtained  
275 from the least squares analysis of the data in Fig. 5b to Eqn. (4). The value of  $E_1$  is in excellent  
276 agreement with the value of 0.052 eV reported by Belikovich et al for the activation energy of  
277 radiationless transitions in  $CsPbCl_3$ [21].  $CsPbX_3$  compounds have previously been reported to

278 have large exciton binding energies, consistent with excitonic emissions persisting up to room  
279 temperature [3]. Their exciton binding energies are also consistent with those of  
280 semiconductors with similar bandgaps [49].

281

### 282 *Power dependent PL Studies*

283 The nature of recombination process can be determined from the dependence of the PL  
284 intensity on the excitation power [19]. It has been shown that the luminescence intensity  $I$  of  
285 the near-band-edge photoluminescence (NBEPL) emission lines is proportional to  $L^\gamma$ , where  $L$   
286 is the power of the exciting laser radiation and the exponent  $\gamma$  is  $1 < \gamma < 2$  for exciton-like  
287 transition and  $\gamma < 1$  for free-to-bound and donor-acceptor pair (DAP) transitions[24]. All but  
288 one peak in the measured spectra have values of  $\gamma$  greater than 1 at low power (Figs. 6, 7). This  
289 indicates that the recombination involves excitons. The exception is the 2.98 eV peak in  
290 CsPbCl<sub>3</sub> with a  $\gamma$ -value of unity within error ( $\gamma = 0.94 \pm 0.15$ ). The peak position, however, shows  
291 a blueshift as the excitation power is increased (Fig. 8b), which is not consistent with the  
292 behavior of a free to bound transition [50]. Thus it is concluded that the power dependent PL  
293 measurements for CsPbX<sub>3</sub> indicate that all peaks are excitonic in nature.

294 The plot of integrated PL intensity vs laser power for CsPbBr<sub>3</sub> in Fig. 6 indicates that  
295 while the signals increase in intensity in the 0-10 mW range, a clear reduction in emission  
296 intensity is evident when the sample is irradiated with an intensity higher than 10 mW. This is



297 unexpected since PL intensity should increase with increasing laser intensity. There are two  
298 possible mechanisms which can produce this effect. Due to our experimental conditions of high  
299 excitation power, this behavior could be attributed to carrier scattering effects. For a high  
300 concentration of photo-excited carriers, screening, scattering or self-annihilation of excitons  
301 occurs, decreasing the overall PL intensity at high excitation [51]. The other possibility is that  
302 PL fatigue is present in the material, characterized by a reduction in PL signal intensity as a  
303 function of time under prolonged light irradiation [49]. Since the scans for each laser intensity  
304 level are done with only a few minutes between scans, it is possible that the material does not  
305 have time to equilibrate before being excited again. This PL fatigue has also previously been  
306 observed in other semiconductors including chalcogenide glasses [52], GaAs [53], and  
307 amorphous Si [54]. It should be noted, however, that PL fatigue has not been observed in  
308 CsPbBr<sub>3</sub> crystals and is currently under investigation to be presented elsewhere. The PL  
309 intensity reduction phenomenon was not observed in CsPbCl<sub>3</sub> in this study, possibly due to the  
310 lower excitation power that was used.

311

### 312 *DFT Calculations*

313 Calculations of the defect formation energy in CsPbBr<sub>3</sub> using density functional theory  
314 (DFT) indicate a series of defect levels attributed to native defects. In this study, we considered  
315 vacancies ( $V_{Cs}$ ,  $V_{Pb}$ ,  $V_{Br}$ ) and antisite defects ( $CS_{Pb}$ ,  $Pb_{Cs}$ ,  $Pb_{Br}$ ,  $Br_{Pb}$ ,  $CS_{Br}$ , and  $Br_{Cs}$ ). In Fig. 9(a),

316  $\Delta H_D$  of  $V_{Cs}$ ,  $V_{Pb}$ ,  $V_{Br}$ ,  $CS_{Pb}$ ,  $Pb_{Cs}$ ,  $Br_{Cs}$ , and  $Br_{Pb}$  are presented as a function of the Fermi energy  $E_F$   
317 for three different growth conditions (Cs-poor only, Br-poor only, and a combination of Cs and  
318 Br deficiencies). The formation energies ( $\Delta H_D$ ) of the antisite defects  $Pb_{Br}$  and  $Cs_{Br}$  are high and  
319 they are unlikely to be present. Calculations indicate that  $V_{Cs}$ ,  $V_{Pb}$ ,  $CS_{Pb}$ , and  $Br_{Cs}$  have shallow  
320 acceptor levels ( $\leq 0.1$  eV) and  $V_{Br}$  and  $Pb_{Cs}$  donor levels with relatively low defect formation  
321 energy, in agreement with recent DFT defect studies on  $CsPbBr_3$  and other halide perovskites  
322 [35,55]. In contrast,  $Br_{Pb}$  has deep acceptor levels at 1.40 eV and 1.61 eV within the gap;  
323 however its  $\Delta H_D$  is high which again leads to a low concentration of this defect. Its maximum  
324 concentration under Cs-poor condition is  $10^{13} \text{ cm}^{-3}$  at 650K.

325 The most dominant defects are then  $V_{Cs}$ ,  $V_{Pb}$ ,  $Pb_{Cs}$  and  $V_{Br}$ . Of these,  $V_{Cs}$ ,  $V_{Pb}$ , result in shallow  
326 levels within the bandgap, while  $V_{Br}$  and  $Pb_{Cs}$  have states  $\sim 0.23$  eV and  $\sim 0.24$  eV above the  
327 bandgap. These values for  $V_{Br}$  are in reasonable agreement with those recently reported by Shi  
328 and Du (0.16 eV, [35]) and supports the experimental PL peak positions in the current study.

329 Fig. 9(b) summarizes calculated native defect levels of  $CsPbBr_3$ . It is worth noting here that our  
330 DFT calculations are still based on the GGA functional method and thus an accurate prediction  
331 of the enthalpies of formation ( $\Delta H_f$ ) is difficult. These calculations give indications concerning  
332 the nature of the defects but do not allow their unequivocal identification. Nevertheless, the  
333 fact that no significant concentration of stable charged defects are observed in  $CsPbBr_3$   
334 indicates potentially excellent charge transport properties in the form of high  $\mu\tau$  values for

335 efficient charge collection in detector devices.

336

#### 337 **IV. Conclusion**

338 Above gap luminescence in single crystal perovskite compounds  $\text{CsPbX}_3$  ( $X = \text{Cl, Br}$ ) are  
339 observed. Power and temperature dependent photoluminescent properties are presented from  
340 10 K up to 290 K. The power dependent PL studies indicate that all peaks are excitonic  
341 involving bound excitons for both compounds. Both compounds exhibit above-bandgap  
342 luminescence which blueshifts with increasing temperature.  $\text{CsPbBr}_3$  luminescence shows  
343 evidence of a resonant state located 0.16 eV above the conduction band minimum,  
344 corresponding to the peak energy seen in the PL. This energy level is attributed to a Br vacancy  
345 on the basis of DFT calculations, which give indications concerning the nature of the defects. In  
346 both  $\text{CsPbBr}_3$  and  $\text{CsPbCl}_3$  all emission peaks undergo a blueshift with increasing temperature.  
347 For  $\text{CsPbBr}_3$ , phonon-assisted processes are responsible for the blueshift, while in  $\text{CsPbCl}_3$  the  
348 blueshift is attributed to a widening of the bandgap. No significant deep level or DAP  
349 luminescent peaks are observed in the PL spectrum of the  $\text{CsPbX}_3$  perovskite compounds. The  
350 results indicate that these Bridgman grown materials may be suitable for hard radiation  
351 detector applications due to the absence of radiative deep level defects that can serve as  
352 trapping centers.

353

#### 354 **ACKNOWLEDGEMENT**

355           This work is supported by the U.S. Department of Energy (NNSA) under Contract DE-  
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357 with grant 2010-DN-077-ARI042-02. The authors thank Kyle McCall for technical assistance.

358 **List of Figure Captions**

359 Fig. 1: (a) PL spectra of CsPbBr<sub>3</sub> from 10 K to 180 K. (inset) Two peaks are observed at 10 K, at  
360 2.29 and 2.33 eV. A photograph of the green PL emission is also shown in the inset. (b) Spectra  
361 of CsPbCl<sub>3</sub> from 11 K to 290 K. (inset) at 11K (shown) and up to 100 K, 4 peaks are seen: 2.94,  
362 2.96, 2.97, and 2.98 eV. Above 100 K, and up to 290 K all peaks except that at 2.94 eV are seen.  
363 A photograph of the blue PL emission is also shown in the inset. (c) Semi-log plots of the PL  
364 spectra of CsPbBr<sub>3</sub> and CsPbCl<sub>3</sub> over a wide sub-band-gap energy range and increased  
365 sensitivity.

366  
367 Fig. 2: Plots of  $\alpha^2$  vs energy of (a) CsPbBr<sub>3</sub> and (b) CsPbCl<sub>3</sub> single crystals, calculated from  
368 transmission measurements at room temperature. (c) Powder absorption spectra of CsPbX<sub>3</sub>  
369 samples.

370  
371 Fig. 3: (a) Peak position vs temperature, CsPbBr<sub>3</sub>. The excitation intensity is 31.6 mW. The  
372 peak positions for both peaks are observed to blue-shift with increasing temperature. (b) Peak  
373 position vs. T, CsPbCl<sub>3</sub>. The excitation intensity is 15.8 mW. The peak position is expected to  
374 continuously red-shift as T increases, but this is only observed above ~150 K, and is not seen  
375 for the peak at 2.98 eV. This blue shift seen in both compounds may result from carrier  
376 screening due to a large concentration of carriers generated by the high excitation intensity and  
377 thermal generation.

378  
379 Fig. 4: (a) Peak FWHM vs T for CsPbBr<sub>3</sub>. Fitting the data with Eqn. (1) yields values of 0.016 eV  
380  $E_{ph}$ . (b) Peak FWHM vs T, CsPbCl<sub>3</sub>. Only the region from 190-300K can be fitted with Eqn. (1)  
381 since the material undergoes a phase transition at 185 K. The analysis yields values of 0.043 eV  
382 for  $E_{ph}$ .

383  
384 Fig. 5: (a) Peak intensity vs 1/T, CsPbBr<sub>3</sub>. Analysis of this data with Eqn. (2) indicates that the  
385 high and low temperature activation energies are 0.017 and 0.0007 eV. (b) Peak intensity vs  
386 1/T, CsPbCl<sub>3</sub>. Analysis of this data indicates that the high and low temperature activation  
387 energies are 0.057 and 0.0076 eV.

388  
389 Fig. 6: A plot of log (PL intensity) vs log (incident laser intensity), CsPbBr<sub>3</sub>. A linear fit of the low  
390 excitation region (solid line) shows slopes of 1.5 and 1.4 for peaks centered at 2.29 eV and 2.33 eV,  
391 respectively. The PL intensity decreases, however, above an incident laser power of 10 mW for the  
392 shoulder peak, and 31.6 mW for the main peak.

393

394 Fig. 7: log PL intensity vs log laser power for the 3 peaks seen at higher temperatures in  
395 CsPbCl<sub>3</sub>. The laser intensity was varied from 2 to 50 mW. Linear fits are shown by the solid  
396 black lines. The  $\gamma$  values are 1.75 for (a), 1.57 for (b), and 0.94 for (c). These correspond to the  
397 peaks at 2.96, 2.97, and 2.98 eV respectively.

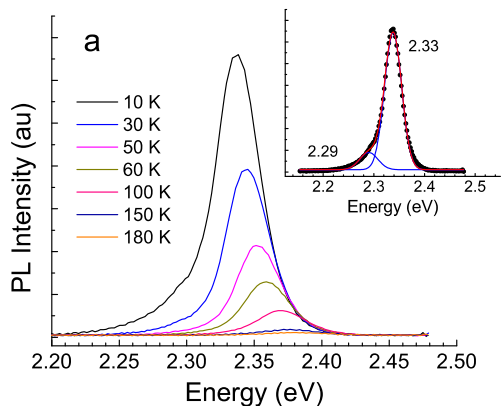
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399 Fig. 8: (a) Peak position vs. incident laser power for CsPbBr<sub>3</sub> at 10 K. (b) Peak position vs. laser  
400 power, CsPbCl<sub>3</sub> at 10K.

401 Fig. 9: (a) Calculated defect formation energy in the CsPbBr<sub>3</sub> are plotted as a function of Fermi  
402 level. Left and right panels correspond to Cs-poor and Br-poor conditions, respectively. The  
403 middle panel is plotted for the mid-condition between Cs-poor and Br-poor. Equilibrium Fermi  
404 level  $E_F^{eq}$  is plotted as black vertical dotted-line. (b) Defect levels for vacancy and antisite defect  
405 of CsPbBr<sub>3</sub> are summarized. Defect levels are presented with respect to the valence band  
406 maximum. Blue and red at the bottom of figure means acceptor and donor type, respectively.

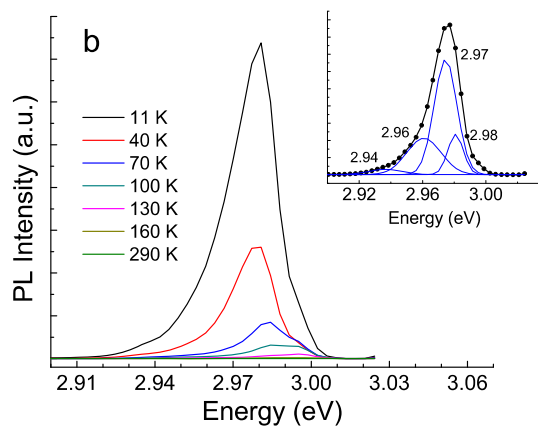
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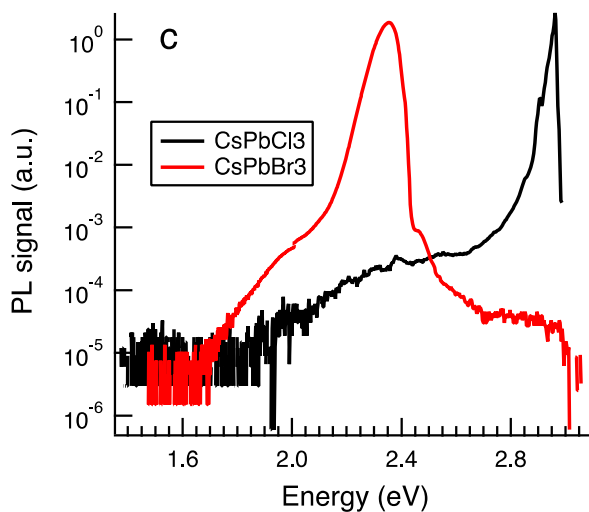


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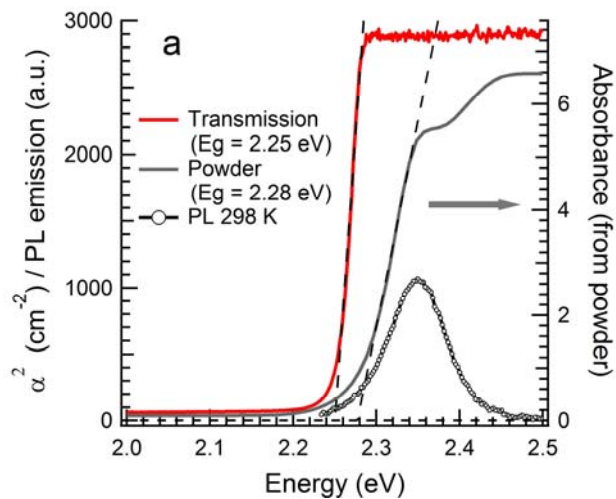
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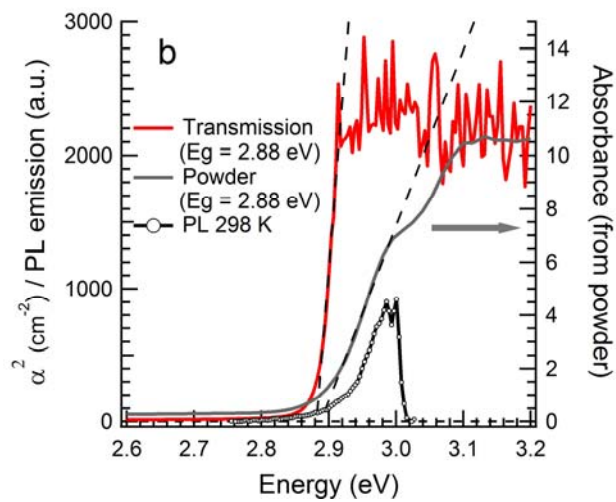
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Figure 1

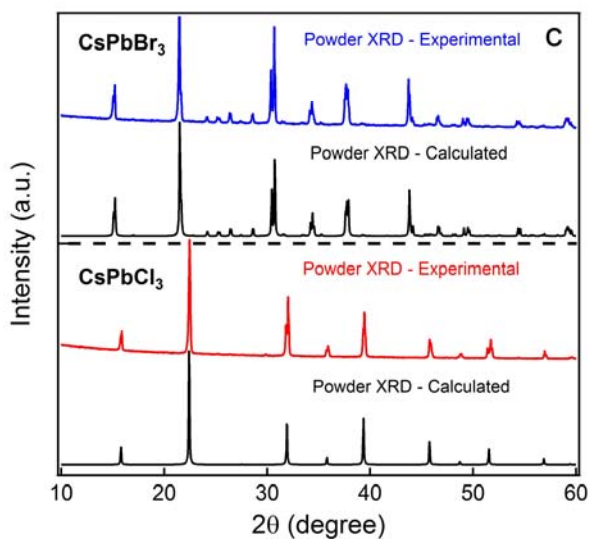
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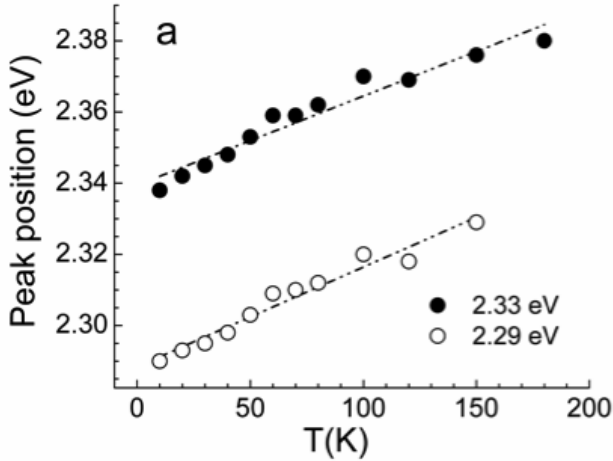
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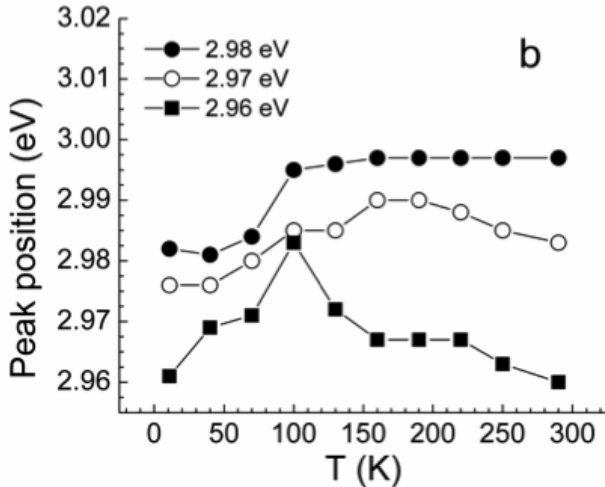
**Figure 2**





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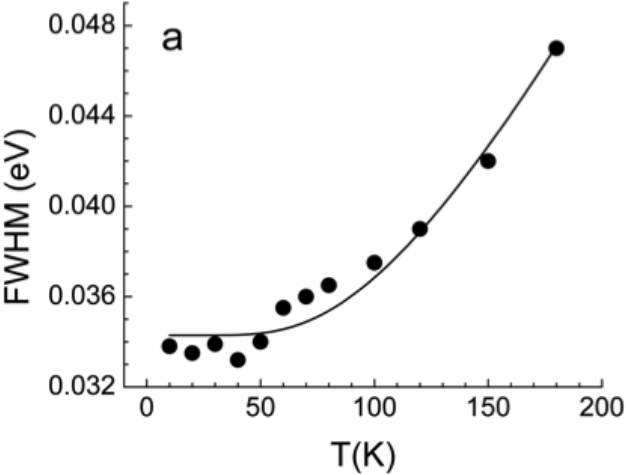
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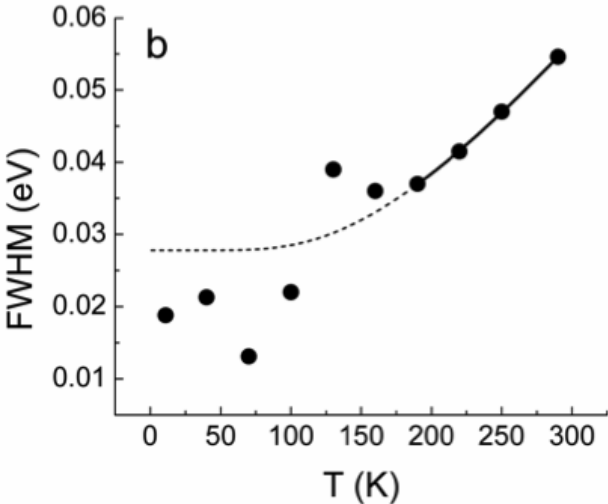
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Figure 3

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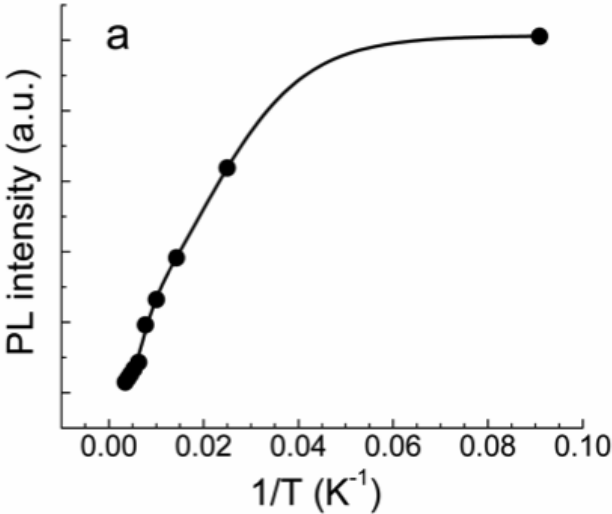
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Figure 4

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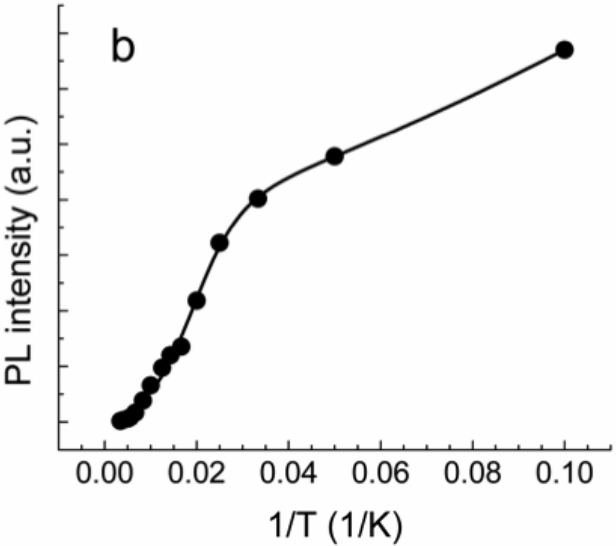
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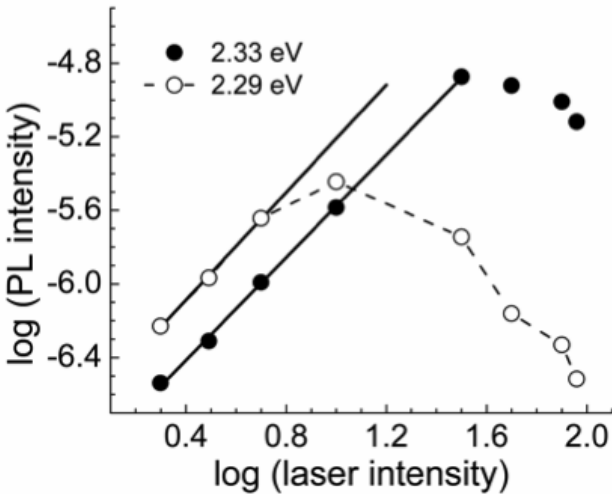
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**Figure 5**

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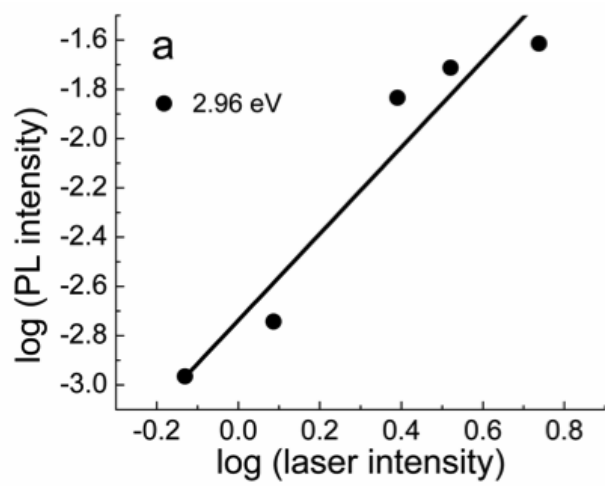


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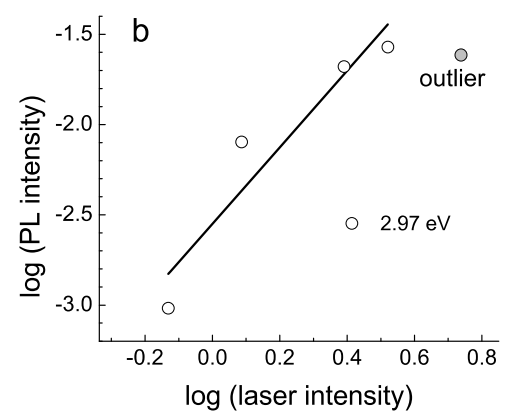
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Figure 6.

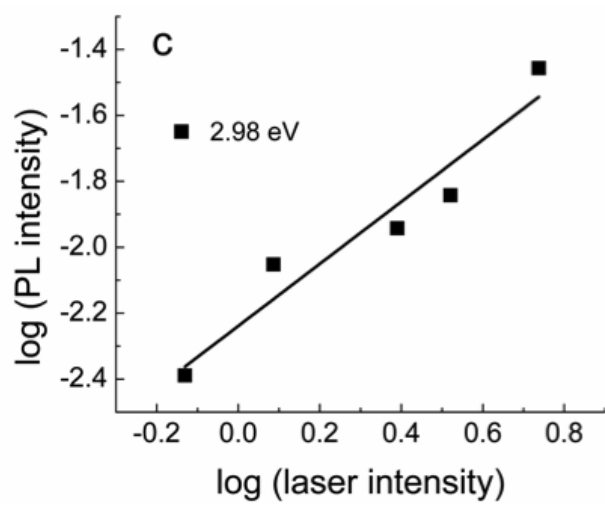
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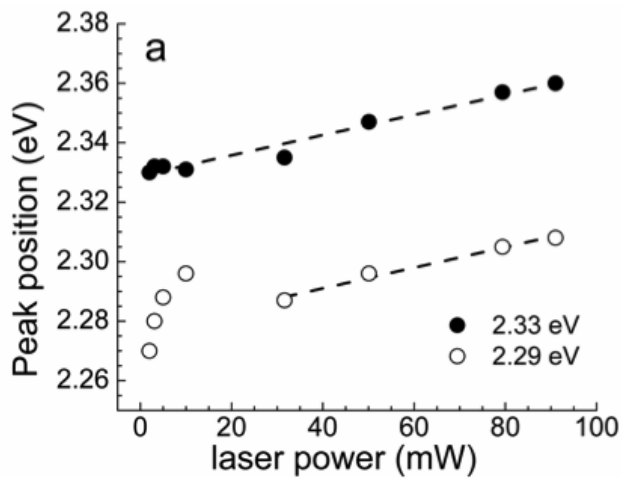


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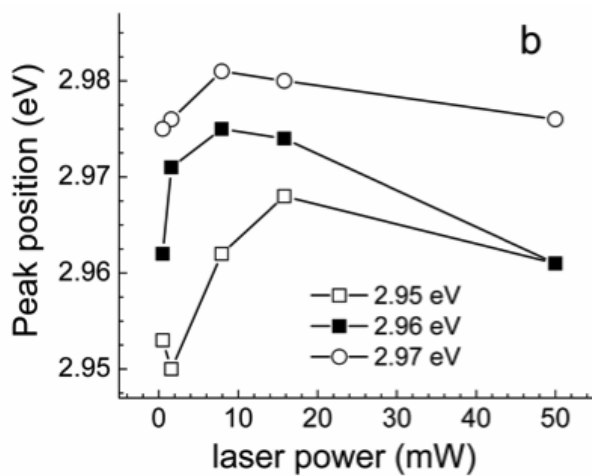
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**Figure 7.**



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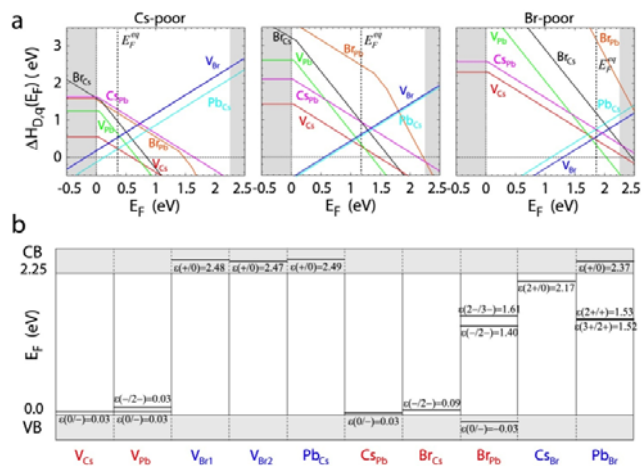
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Figure 8.

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**Figure 9.**

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