



This is the accepted manuscript made available via CHORUS. The article has been published as:

# Magnetic proximity effect and interlayer exchange coupling of ferromagnetic/topological insulator/ferromagnetic trilayer

Mingda Li, Wenping Cui, Jin Yu, Zuyang Dai, Zhe Wang, Ferhat Katmis, Wanlin Guo, and Jagadeesh Moodera

Phys. Rev. B 91, 014427 — Published 23 January 2015

DOI: 10.1103/PhysRevB.91.014427

# Magnetic Proximity Effect and Interlayer Exchange Coupling of Ferromagnetic/Topological Insulator/Ferromagnetic Trilayer

Mingda Li, $^{1,2,*}$  Wenping Cui, $^3$  Jin Yu, $^{1,4}$  Zuyang Dai, $^5$  Zhe Wang, $^1$  Ferhat Katmis, $^{2,6}$  Wanlin Guo, $^4$  and Jagadeesh Moodera $^{2,6,\dagger}$ 

<sup>1</sup>Department of Nuclear Science and Engineering, Massachusetts Institute of Technology,
77 Massachusetts Avenue, Cambridge, MA 02139, USA
<sup>2</sup>Fracsis Bitter Magnetic Lab, Massachusetts Institute of Technology,
77 Massachusetts Avenue, Cambridge, MA 02139, USA
<sup>3</sup>Institut für Theoretische Physik, Universität zu Köln, Zülpicher Str. 77, D-50937 Köln, Germany
<sup>4</sup>State Key Laboratory of Mechanics and Control of Mechanical Structures,
Nanjing University of Aeronautics & Astronautics, Nanjing 210016, China
<sup>5</sup>Department of Physics, Tsinghua University, Beijing 100084, China
<sup>6</sup>Department of Physics, Massachusetts Institute of Technology,
77 Massachusetts Avenue, Cambridge, MA 02139, USA
(Dated: January 8, 2015)

Magnetic proximity effect between topological insulator (TI) and ferromagnetic insulator (FMI) is considered to have great potential in spintronics. However, a complete determination of interfacial magnetic structure has been highly challenging. We theoretically investigate the interlayer exchange coupling of two FMIs separated by a TI thin film, and show that the particular electronic states of the TI contributing to the proximity effect can be directly identified through the coupling behavior between two FMIs, together with a tunability of coupling constant. Such FMI/TI/FMI structure not only serves as a platform to clarify the magnetic structure of FMI/TI interface, but also provides insights in designing the magnetic storage devices with ultrafast response.

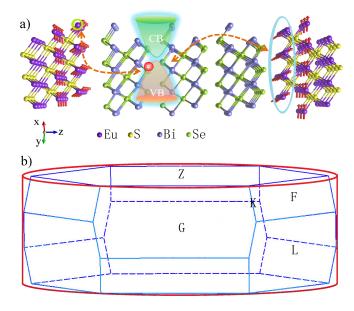
### I. INTRODUCTION

The breaking of time-reversal symmetry (TRS), which opens up a gap to the helical Dirac surface states in a three-dimensional strong topological insulator (TI), has been shown to be of central importance for both fundamental aspects<sup>1-8</sup> and device applications<sup>2,6,7,9-12</sup> in TI studies. For instance the topological magnetoelectric effect<sup>7</sup>, which enables the possibility of electric-field controlled spin transistor<sup>11,13</sup>, requires an opening of surface band gap as a prerequisite to reach "off" state, otherwise the gapless surface state would lead to a leakage current and very low on/off ratio. Another promising example is the realization of quantum anomalous Hall effect<sup>14–17</sup>, where gapped surface states are accompanied with backscattering-protected edge transport channels without external magnetic field. This opens up the possibility for developing next generation low-dissipation spintronic devices. Moreover, domain-wall Majorana bound states are predicted at the TI/FMI interface where magnetization switches sign, which could be applied in errortolerant topological quantum computation<sup>6,7,18</sup>. All the examples above require a gap-opening of the surface states of TI.

In general, there are two approaches to break the TRS and open up the gap: magnetic doping \$^{14-16,19}\$ and magnetic proximity effect \$^{9,15,20-25}\$. Compared with the doping method, the advantages of the latter include better controllability of the electronic states, uniformly distributed band gap in space, and preservation of the TI's original crystalline structure, etc. In this regard, a comprehensive understanding of the interfacial magnetic structure between TI and FMI becomes essential

for observing above predicted phenomena.

However, a complete determination of the magnetic structure between TI and FMI turns out to be nontrivial. On the one hand, the interaction between the TI and FMI states is self-consistent in nature, where TI states can lead to complex spin structure, such as magnetic precession in FMI<sup>26</sup>. On the other hand, it is also hindered by the insufficient information experiments can extract for a comprehensive understanding. For instance, despite the powerful technique of spinresolved ARPES to study surface electronic and magnetic structure of doped  $TI^{27}$ , due to the small ( $\sim 1 \text{ nm}$ ) escape depth of the photoelectrons<sup>28</sup>, ARPES renders to be inapplicable to study interfacial magnetic structure where a FMI layer is epitaxially grown on the top of TI. Magneto-optical Kerr effect (MOKE) is another promising method, which could be used to determine both inplane and out-of-plane magnetization, and has been successfully applied in TI studies $^9$ . However, the resulting signal of rotated polarization is indeed an overall effect of total magnetization projection, without sensitivity to individual layer. Due to the short-range nature of exchange coupling<sup>29–35</sup>, only the thin layer of magnetic moments very close to the interface contributes to the proximity effect, instead of the total measured magnetization as in MOKE. Actually, at the interface of TI/FMI structure, strong spin-orbit coupling may tilt the interfacial magnetic moment and result in a different magnetic structure near the interface<sup>20,36</sup>. Another powerful characterization tool is polarized neutron reflectometry (PNR), which has shown great advantages<sup>37</sup> thanks to both compositional and depth sensitivity, but PNR only measures the in-plane magnetization component, without resolv-



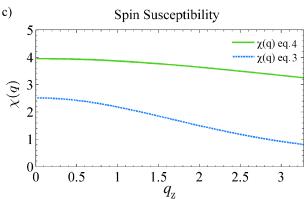


FIG. 1. a) The atomic configuration of EuS/Bi<sub>2</sub>Se<sub>3</sub>/EuS trilayer, which is a viable example for FMI/TI/FMI structure. The magnetic moment of Eu atoms are shown as red arrows. The spin structure near the interface may be canted near the interface. The interlayer coupling (orange dashed line) is achieved through the electronic states of the TI spacer. b) the original 1st Brillouin zone and the simplified cylindrical integration volume. c) The comparison betweens spin susceptibilities using eqs.(3) and (4), with 4-band Hamiltonian.

ing the electronic states of TI which participate in the proximity exchange coupling. Therefore, a deeper understanding of TI/FMI proximity, which considers only the near-interface FMI states, with distinguishability of particular TI states involved in the exchange-coupling process, is clearly needed.

In this study, we calculate the interlayer coupling constant between two thin layers of FMI, separated by a thin spacer layer of three-dimensional TI, within linear response theory<sup>38</sup> and indirect exchange interaction scheme, which is RKKY type interaction<sup>32,35</sup> when the system is conducting, and super-exchange interaction when the system is insulating. We take EuS/Bi<sub>2</sub>Se<sub>3</sub>/EuS as an example of FMI/TI/FMI trilayer, due to large mag-

netic moment of Eu<sup>2+</sup> ion<sup>39</sup>. Since both interlayer magnetic coupling and magnetic proximity effect share the same physical origin of exchange coupling between FMI and TI, the TI electronic states participating in the proximity effect are naturally expected to be resolved through the interlayer coupling process.

We use one atomic layer thickness of magnetic moment of FMI to describe the short-range exchange coupling interaction, and apply model Hamiltonian of Bi<sub>2</sub>Se<sub>3</sub> as<sup>1,40</sup> as the prototype of TI. Despite the fact that density functional theory calculation<sup>41,42</sup> shows more complicated behavior, such as coexistence of normal and topological surface state, the model Hamiltonian approach is still instructive due to the insights of magnetic coupling it captures, the simplicity when varying the system geometry with no need to build multiple supercells, and is especially useful for non-epitaxial heterostructure where supercell could not be built. In this approach, we show that a ferromagnetic-antiferromagnetic oscillatory coupling also exists when varying the number of quintuple layer (QL) of TI, similar to the interlayer exchange coupling results in Fe/Cr/Fe<sup>32,43</sup>. Most importantly, we show distinct behaviors of coupling between the massive Dirac TI state and the  $p_z$  bands of Bi and Se, due to the paramagnetic nature of Dirac surface state and large diamagnetism of Bi<sup>44,45</sup> orbitals. The sign difference and the tunability of coupling constant vs Fermi level can be applied independently to identify the TI states contributing to the proximity effect, due to the same origin of short-range magnetic exchange coupling process. Our approach, when applied to various FMI/TI/FMI systems, can be used to better understand the TI/FMI proximity effects, and thus for optimized designing of TI-based spintronic devices.

# II. THEORY

# A. Interlayer Exchange Coupling Constant

Traditionally, the interlayer exchange coupling applies to a trilayer structure where simple metal or singleatomic species thin layer is sandwiched by two FMIs<sup>29–32</sup>. On the other hand, here TI is a binary compound composed of quintuple-layered structure. However, even in its original version of interlayer coupling, the single atomic layer is not regarded as real "chemically active" atoms, but instead as a smallest unit of charge density distribution. This allows us to directly generalize it to composite materials from a perspective of coarsegraining. In the present situation of TI, 1 QL which holds strong chemical binding could be regarded as such smallest unit, in that the valence and conduction states, which we are interested in, extend over the entire QL. In addition, from the view of renormalization group, this coarse-graining procedure simply shifts the real-space cutoff from 1 atomic layer to 1QL. The FMI/TI/FMI trilayer EuS/Bi<sub>2</sub>Se<sub>3</sub>/EuS is schematically represented in

Fig. 1a. This structure is an important prototype which has been extensively studied  $^{20,39,42,46}$ , while our model is actually generic and not only restricted to this EuS magnet. For a given localized magnetic ion (Eu ion in green circle) of FMI close to the interface, the interlayer magnetic coupling constant  $I_{12}$  is an overall effect of the indirect exchange coupling of all the Eu ions (blue ellipse) on the other side of TI/FMI interface, through the coupling of electronic states in TI (orange dashed lines). Due to the localized nature of Eu moments, we could apply the RKKY type of interlayer coupling strength  $^{32,34,35}$ ,

$$I_{12} = -\frac{A^2 S^2 d}{2V_0^2 (2\pi)^3} \int dq_z d^2 q_{\parallel} e^{iq_z z} \chi(q_{\parallel}, q_z) \sum_{R_{\parallel} \in F_2} e^{iq_{\parallel} \cdot R_{\parallel}}$$
 (1)

where A is the amplitude of the contact potential  $\overrightarrow{AS}_i \cdot \overrightarrow{s}$ , with  $\overrightarrow{S}_i$  and  $\overrightarrow{s}$  are the spins of FMI and TI, respectively,  $V_0$  is the atomic volume, S is the spin of the FMI, for Eu<sup>2+</sup>, S = 7/2 at 0 K. For finite temperature T, in a mean field framework we can estimate S as

$$S(T) = S(0) \left( 1 - \left( T/T_c \right)^2 \right)$$

for EuS  $T_c = 16.6 \,\mathrm{K}$ . d is the distance between adjacent atomic planes in its original expression, in our present situation, due to layered structure of Bi<sub>2</sub>Se<sub>3</sub>, it is appropriate to take  $d \sim 0.96\,\mathrm{nm}$  which is the thickness of 1 QL, since 1 QL is the smallest coarse-grained unit for electronic properties, even though 3 QL is the unit for periodic crystalline structure; z is the distance between two FMI layers, z = (N+1)d, where N is the number of QL; R is the in-plane components of the coordinates of the Eu ions to be summed up, and  $\chi(q_{\parallel}, q_z)$  is the q-dependent magnetic susceptibility of the TI spacer. The TI states participating in the exchange coupling enter into the  $\chi(q_{\parallel},q_z)$  term, and are finally reflected in  $I_{12}$ . This is the theoretical basis why we could study TI/FMI proximity effect by studying interlayer coupling of FMI/TI/FMI.

In eq. (1), the integration of q should be performed within the 1st Brillouin zone of  $\operatorname{Bi}_2\operatorname{Se}_3$  (Fig. 1b, blue polyhedron). However, if we define q and k periodically in reciprocal lattice by using periodic zone scheme instead of folded zone scheme, we could define a prismatic auxiliary zone and use the reciprocal unit cell with prismatic shape. Since the in-plane area is hexagonal and close to a circle, we further define a cylindrical integration zone which shares the same volume with the original 1st Brillouin zone (Fig. 1b, red cylinder), which effectively reduces the integration dimension. Finally, the interlayer coupling constant can be simplified as

$$I_{12} = -\frac{1}{2} \left(\frac{A}{V_0}\right)^2 \frac{S^2 d^2}{2\pi V_0} \int_{-\pi/d}^{+\pi/d} dq_z \chi(q_{\parallel} = 0, q_z) e^{iq_z z}$$
 (2)

Here we have used the fact that in the period zone scheme, the in-plane and out-of-plane components are decoupled; for  $q_{\parallel} \neq 0$ , we have

$$\sum_{R_{\parallel} \in F_2} e^{iq_{\parallel} \cdot R_{\parallel}} = 0$$

#### B. q-dependent Spin Susceptibility

In order to calculate the interlayer coupling constant  $I_{12}$  in eq.(2), we need the magnetic susceptibility. The spin magnetic susceptibility along direction  $\mu$  ( $\mu = x, y, z$ )  $\chi_{\mu\mu, \rm spin}$  for a generic spinor state can be written using Kubo formula as<sup>47</sup>

$$\chi_{\mu\mu,\mathrm{spin}}(\mathbf{q}) = \frac{\mu_{\mathrm{B}}^{2}}{4\pi^{3}} \sum_{\substack{m,occ\\n,empty}} \int d^{3}\mathbf{k}$$
$$\frac{f_{0}(E_{n,\mathbf{k}}) - f_{0}(E_{m,\mathbf{k}+\mathbf{q}})}{E_{m,\mathbf{k}+\mathbf{q}} - E_{n,\mathbf{k}} + i\delta} \left| \langle m, \mathbf{k} + \mathbf{q} | S_{\mu} | n, \mathbf{k} \rangle \right|^{2}$$

where  $E_{n,\mathbf{k}}$  denotes the eigenvalue at band number n and wavevector  $\mathbf{k}$ , with corresponding eigenstate  $|n,\mathbf{k}\rangle$ ,  $S_{\mu}$  ( $S_z = I \otimes \sigma_z, S_x = \tau_z \otimes \sigma_x$  and  $S_y = \tau_z \otimes \sigma_y$ ) is the spin operator along direction  $\mu$  and  $\mu_{\mathrm{B}}$  is the Bohr magneton. The integration over  $\mathbf{k}$  is over the cylindrical integration zone in Fig. 1b.

When the spinor structure is absent, and within planewave approximation, eq. (3) can be greatly simplified as  $^{38,48}$ ,

$$\chi_{\text{spin}}(\mathbf{q}) = \frac{\mu_{\text{B}}^2}{4\pi^3} \sum_{\substack{m,occ\\n,empty}} \int \frac{f_0(E_{n,\mathbf{k}}) - f_0(E_{m,\mathbf{k}-\mathbf{q}})}{E_{m,\mathbf{k}-\mathbf{q}} - E_{n,\mathbf{k}} + i\delta} d^3\mathbf{k} \quad (4)$$

where  $f_0$  is the Fermi-Dirac distribution function. The comparison between eq. (3) and eq. (4) is shown in Fig. 1c. The resulting spin susceptibility (calculated using eq. (3) and overlap of eigenstates of Hamiltonian eq. (7)), is  $\sim 1/2$  compared with the result using the simplified version eq. (4). This could be understood as a consequence of spin texture of bands, where electronic transition amplitude for minority spin components is suppressed due to the lack of population in  $\sim 1/2$  of the k space<sup>49</sup>. Actually, in order to calculate the exact magnitude of susceptibility, density-functional perturbation theory method which requires the input of realistic states and summation over all bands, is needed<sup>50</sup>. However, since we are more interested in the role that TI state plays in proximity effect, in addition the effective Hamiltonian approach we adopt involves only few bands, in the following we use eq. (4) instead of eq. (3) to calculate the interlayer coupling constant in eq. (2), for computational simplicity but without loss of qualitative illustration.

#### C. Estimation of Orbital Magnetic Susceptibility

Besides the spin susceptibility which contributes to paramagnetism, due to diamagnetic nature of bulk Bi<sub>2</sub>Se<sub>3</sub>, we include the diamagnetic orbital term as well. The q-dependent orbital susceptibility can be regarded as an overlap between eigenstates and their curvatures<sup>45,48,50</sup>. In the  $q \to 0$  limit, the susceptibility from spin paramagnetism and orbital diamagnetism can be simplified as<sup>38</sup>

$$\chi_{\rm orb}(q \to 0) = -\frac{4}{3} \left(\frac{m_e}{m^* g^*}\right)^2 \chi_{\rm spin}(q \to 0)$$
(5)

where  $m^*$  is the effective mass of electron, D(E) is the density of state near energy E. Despite that in the oneelectron theory the linear dispersion seems to result in massless electron  $m^* = 0$ , in fact many-body effect, such as electron-phonon coupling, leads to a small but finite  $m^{*51}$ . Actually, for the case of Dirac state,  $g^* \simeq \frac{2m_e}{m^*}$ is valid<sup>52</sup>, hence we expect the spin paramagnetism is dominant for Dirac states. This is consistent with the recent experimental report about paramagnetic Dirac susceptibility in  $TI^{53}$ . On the contrary, for bulk parabolic-like bands,  $g^* \simeq 2.^{38}$  Due to the small effective mass of Bi<sub>2</sub>Se<sub>3</sub>, we expect the orbital diamagnetism dominates the spin paramagnetism in bulk Bi<sub>2</sub>Se<sub>3</sub>, which is also true based on experimental value<sup>54</sup>. Neglecting the Van Vleck paramagnetism which is only significant at high temperature<sup>38</sup>, the total magnetic susceptibility at low temperature can be written as

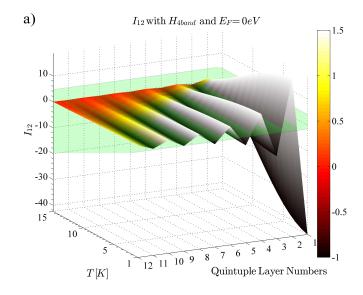
$$\chi(\mathbf{q}) \simeq \chi_{\text{orb}}(\mathbf{q}) + \chi_{\text{spin}}(\mathbf{q})$$
(6)

#### D. 4-band Model Hamiltonian of TI Bi<sub>2</sub>Se<sub>3</sub>

In order to calculate the magnetic susceptibility in eq. (6), eigenvalues from a model Hamiltonian are needed. Due to the hybridization effect between upper the lower surfaces, the Hamiltonian is a function of the layer thickness with different hybridization gaps<sup>46</sup>. However, for qualitative demonstration purpose, we take a layer-independent Hamiltonian for simplicity. Using a 4-band  $k \cdot p$  theory, and a basis  $|p_{1z}^+,\uparrow\rangle$ ,  $|p_{2z}^-,\uparrow\rangle$ ,  $|p_{1z}^+,\downarrow\rangle$ ,  $|p_{2z}^-,\downarrow\rangle$ , the model Hamiltonian of a TI in Bi<sub>2</sub>Se<sub>3</sub> family can be written as<sup>22,40</sup>

$$H(k) = \varepsilon_0(k)I_{4\times 4} + M(k)I \otimes \sigma_z + A_1k_z\sigma_z \otimes \tau_x + A_2k_x\sigma_x \otimes \tau_x - A_2k_y\sigma_y \otimes \tau_x$$
 (7)

where  $\varepsilon_0(k) = C + D_1 k_z^2 + D_2 (k_x^2 + k_y^2), \ k_\pm = k_x \pm i k_y,$   $M(k) = M_0 - B_1 k_z^2 - B_2 (k_x^2 + k_y^2).$  For Bi<sub>2</sub>Se<sub>3</sub> we have  $C = -0.0068 \, \text{eV}, \ D_1 = 0.013 \, \text{eV} \cdot \text{nm}^2, \ D_2 = 0.196 \, \text{eV} \cdot \text{nm}^2,$   $M_0 = 0.28 \, \text{eV}, \ B_1 = 0.10 \, \text{eV} \cdot \text{nm}^2, \ B_2 = 0.566 \, \text{eV} \cdot \text{nm}^2,$ 



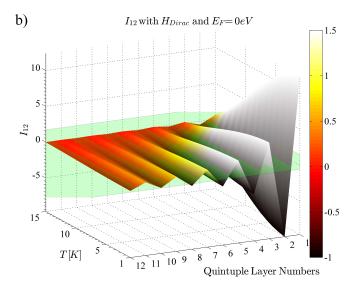


FIG. 2. The interlayer exchange coupling constant  $I_{12}$  as a function of temperature and number of QL, with 4-band Hamiltonian (a) and Massive Dirac Hamiltonian (b). The oscillating ferromagnetic ( $I_{12} < 0$ ) antiferromagnetic ( $I_{12} > 0$ ) coupling behavior are shown in both cases, but with a sign change.

 $A_1 = 0.22 \,\mathrm{eV} \cdot \mathrm{nm}$  and  $A_2 = 0.41 \,\mathrm{eV} \cdot \mathrm{nm}$ . The doubly degenerate eigenvalues can be written as

$$\begin{cases} E(2_{z,\uparrow/\downarrow}^-,k) = \varepsilon_0(k) + \sqrt{M^2(k) + A_1^2 k_z^2 + A_2^2(k_x^2 + k_y^2)} \\ E(1_{z,\uparrow/\downarrow}^+,k) = \varepsilon_0(k) - \sqrt{M^2(k) + A_1^2 k_z^2 + A_2^2(k_x^2 + k_y^2)} \end{cases} (8)$$

where 1=Bi and 2=Se in this notation.

#### E. Effective Hamiltonian for Massive Dirac Surface States

Contrary to the 4-band model which describes the bulk highest valence and lowest conduction states of  $\rm Bi_2Se_3$ , the surface states are ideally only localized on the TI surface. However, due to the band bending effect which allows surface-state confinement near the interface, multiple surface states penetrate into the bulk<sup>55</sup>, including the energetically low-lying Dirac surface states, M-shape valence states and Rashba-split conduction states. The strong band bending effect in  $\rm Bi_2Se_3$  can result in a deep penetration of states  $\sim \! 12$  QL. Thus, for thin TI spacer, it is still important to consider the possibility that the surface states participating in the interlayer magnetic coupling.

For the purpose of qualitative demonstration, we neglect the M-shape valence states and Rashba-split conduction states, but only keep the Dirac states. The effective Hamiltonian for the Dirac states with gap opening can be written as  $^1$ 

$$H_{2D}(k) = Dk^2I + \begin{pmatrix} \mathcal{H}_D + M \cdot \sigma & tI \\ tI & -\mathcal{H}_D + M \cdot \sigma \end{pmatrix} \quad (9)$$

where  $\mathcal{H}_D = \hbar v_F (\sigma_x k_y - \sigma_y k_x)$ . For 4 QL Bi<sub>2</sub>Se<sub>3</sub> and magnet MnSe,  $M = 28.2\,\mathrm{meV},\ t = 17.6\,\mathrm{meV},$   $D = 0.098\,\mathrm{eV}\cdot\mathrm{nm}^2,\ v_F = 2.66\times10^5\,\mathrm{m/s}.$  For different number of layers, the hybridization t will change accordingly, accompanied with slightly difference of Fermi velocity  $v_F$ . For a semi-quantitative demonstration purpose, we keep these parameters fixed when varying the thickness of TI and the type of magnet. The eigenvalues can be written as

$$E(k) = Dk^{2} \pm \sqrt{\hbar^{2}v_{F}^{2}k^{2} + M^{2} + t^{2} + 2\sqrt{M^{2}t^{2} + \hbar^{2}v_{F}^{2}(M_{x}k_{y} - M_{y}k_{x})^{2}}}$$
(10)

In sum, the interlayer coupling constant  $I_{12}$  can be thus be calculated by substituting eq. (6) back to eq. (2). The eigenvalues in eq. (10) and eq. (8) can be used to obtain the magnetic susceptibility based on eq. (4). From the modeled Hamiltonian approach, since we are more interested in a qualitative behavior rather than a quantitative magnitude, we regard eq. (5) valid at finite q values to incorporate the orbital contribution.

## III. RESULTS AND DISCUSSIONS

The interlayer coupling constant  $I_{12}$  as a function of QL number and temperature are shown in Fig. 2, using the bulk 4-band Hamiltonian (eq. 7, Fig. 2a) and Dirac Hamiltonian (eq. 9, Fig. 2b). It is remarkable to see that at the same QL number, a sign difference of  $I_{12}$  exists when the interlayer coupling are contributed by the

valence and conduction electrons or Massive Dirac electrons. This is not only physically reasonable, due to the diamagnetic nature of bulk Bi<sub>2</sub>Se<sub>3</sub> and paramagnetic nature of the surface states, but also agrees with the recent experimental report [45] which is able to extract paramagnetic Dirac susceptibility in the diamagnetic background in TI.

The significance of the sign difference can hardly be overestimated. In device application using TI/FMI proximity effect, it requires the exchange coupling of FMI with the Dirac surface states to open up the surface band gap. However, the FMI may also couple with other TI states simultaneously. Thus, the sign of  $I_{12}$  would tell directly which TI states would dominate the proximity exchange coupling, and provide guidelines to suppress the proximity effect with other TI states while keeping the Dirac surface states dominant for future device design. Moreover, with the aid of external magnetic field, it is theoretically possible to resolve the relative weights of coupling strength from TI Dirac state and other states, since they have different responses to external magnetic field.

Besides the sign change, the dependence of Fermi level provides further evidence to identify the TI states involved in the proximity effect (Figs. 3a and b). We see that for the bulk  $p_z$  bands (Fig. 3a),  $I_{12}$  is insensitive with Fermi level  $E_F$  within  $\sim 0.3 \,\mathrm{eV}$  bulk band gap (Fig. 3c, blue square curve), whereas on the contrary, a sensitive change of  $I_{12}$  with  $E_F$  (Fig. 3b and Fig. 3c, green circle curve) is shown when coupled with the Dirac states. Therefore, by varying the Fermi level and measuring the variation of  $I_{12}$ , it is in principle possible to resolve the particular TI states contributing to the exchange coupling, and determine the relative weights in the proximity effect as well.

Due to the oscillating coupling behavior of nearby QL number, the thickness fluctuation becomes one factor which makes the resulting  $I_{12}$  deviated from the ideal case, and hinders further extraction to determine the weights in the proximity effect. In the condition that the lateral correlation length is large enough  $(\xi > z)$ , the averaged effect coupling constant  $\bar{I}_{12}$  can be written as averaging over the thickness fluctuations<sup>30</sup>

$$\bar{I}_{12} = \int dz P(z) I(z) \tag{11}$$

where P(z) is the distribution function of spacer thickness. For simplicity we define Gaussian distribution

$$P(z) = \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{(z-\bar{z})^2}{2\sigma^2}\right)$$
 (12)

where  $\sigma$  is the thickness variation. Since the Se-Bi-Se-Bi-Se atomic layers within 1 QL is the strong chemical bonding, while the bonding between QLs is weaker van der Waals interaction, we still use 1 QL as the unit of thickness and discretizing  $z \propto d$  with d the thickness of

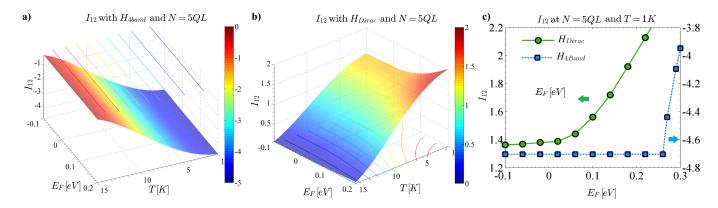


FIG. 3. a-b Interlayer coupling constant  $I_{12}$  at T=1 K, as a function of temperature and Fermi level, for the 4-band and Dirac Hamiltonian, respectively. Within the bulk-band gap,  $I_{12}$  does not change for the 4-band Hamiltonian, while  $I_{12}$  is sensitive to  $E_F$  for the Dirac Hamiltonian. c) The comparison of interlayer coupling constant  $I_{12}$  between 4-band Hamiltonian and Dirac Hamiltonian, at 5 QL and 1 K. We see that for the 4-band Hamiltonian  $I_{12}$  remains constant while for Dirac Hamiltonian  $I_{12}$  keeps changing. This fact can be used to identify the TI states participating in the proximity effect. We can also see that above the bulk band gap, the 4-band  $I_{12}$  starts to change dramatically.

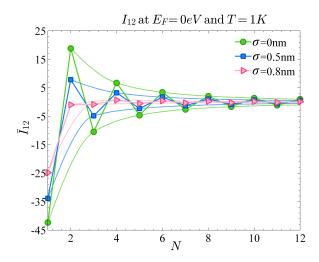


FIG. 4. The interlayer coupling constant  $I_{12}$  at various thickness fluctuation ,  $\sigma=0.5$  and  $0.8\,\mathrm{nm}$ , using a 4-band Hamiltonian model at  $E_F=0\,\mathrm{eV}$  and  $T=1\,\mathrm{K}$ . Stronger thickness fluctuation has a smoothing effect on the overall coupling constant, and may hamper the manifestation of TI states participating in the proximity effect.

1 QL, without considering the possibility to break the chemical bonds within 1 QL which leads to fractional thickness in the unit of 1 QL. However,  $\sigma$  can still be arbitrary as it denotes the relative weights for different thicknesses to appear in the layered structure. As an illustration, the resulting change of  $I_{12}$  for 4-band Hamiltonian with different  $\sigma$  are shown in Fig. 4. When the thickness fluctuation increases, the resulting averaged  $\bar{I}_{12}$  drops dramatically. Thus, in order to determine the particular TI states involved in the proximity exchange coupling as well as their relative weights, high-quality samples with negligible thickness fluctuation are desirable.

#### IV. CONCLUSIONS

We have provided a systematic approach to illustrate the feasibility that how interlayer exchange coupling in FMI/TI/FMI structure can help understand the TI/FMI proximity effect, with the capability to identify the TI states involved in the proximity exchange. By changing the external magnetic field or Fermi level, the weights for the exchange coupling between the FMI and desired TI Dirac states can be obtained. Such information can hardly be obtained directly by the experimental probes such as ARPES, MOKE, PNR or transport, since this approach circumvents the complications of the TI-FMI interaction, but infers the TI states from the simpler indirect FMI-FMI coupling using TI states as medium. In this perspective, the interlayer coupling between two FMIs in the FMI/spacer/FMI structure is not only an interesting phenomenon by itself, but also can be regarded as a probe to study the properties of the spacer.

Moreover, since the interlayer exchange coupling in magnetic multilayers, such as Fe/Cr superlattice<sup>56</sup>, has played a significant role in giant magnetoresistance (GMR)<sup>57–59</sup>, the present work also sheds light on the application of magnetic data storage and magnetic field sensors. As shown in Fig. 3c, the interlayer exchange coupling constant could be tuned when coupling with Dirac states of TI. This provides a method to achieve electrically controlled magnetic coupling, with reversibility due to gating, and rapid response due to high-mobility, backscattering-protected Dirac electrons. The only prerequisite is that the exchange coupling with massive Dirac states should overcome the bulk TI states. This may be realized in thinner TI film where the bulk bands diminish whereas the surface bands dominate. Therefore, further studies of interlayer exchange coupling in TI based magnetic layers for GMR applications, are highly desired.

#### ACKNOWLEDGMENTS

M.L. would thank Prof. Ju Li for generous support and helpful discussions. J.S.M. and F.K. would like to thank support from the MIT MRSEC through the MR-SEC Program of the NSF under award number DMR-0819762. J.S.M. would like to thank support from NSF DMR grants 1207469 and ONR grant N00014-13-1-0301.

- \* mingda@mit.edu
- † moodera@mit.edu
- <sup>1</sup> W. Luo and X.-L. Qi, Physical Review B **87**, 085431 (2013).
- <sup>2</sup> R. Li, J. Wang, X.-L. Qi, and S.-C. Zhang, Nature Physics 6, 284 (2010).
- <sup>3</sup> X.-L. Qi, R. Li, J. Zang, and S.-C. Zhang, Science **323**, 1184 (2009).
- <sup>4</sup> Q. Liu, C.-X. Liu, C. Xu, X.-L. Qi, and S.-C. Zhang, Physical review letters **102**, 156603 (2009).
- <sup>5</sup> X.-L. Qi, T. L. Hughes, and S.-C. Zhang, Physical Review B **78**, 195424 (2008).
- <sup>6</sup> X.-L. Qi and S.-C. Zhang, Reviews of Modern Physics 83, 1057 (2011).
- M. Z. Hasan and C. L. Kane, Reviews of Modern Physics 82, 3045 (2010).
- <sup>8</sup> V. N. Men'shov, V. V. Tugushev, S. V. Eremeev, P. M. Echenique, and E. V. Chulkov, Phys. Rev. B 88, 224401 (2013).
- <sup>9</sup> M. Lang, M. Montazeri, M. C. Onbasli, X. Kou, Y. Fan, P. Upadhyaya, K. Yao, F. Liu, Y. Jiang, W. Jiang, K. L. Wong, G. Yu, J. Tang, T. Nie, L. He, R. N. Schwartz, Y. Wang, C. A. Ross, and K. L. Wang, Nano Letters 14, 3459 (2014).
- <sup>10</sup> X. Li, Y. G. Semenov, and K. W. Kim, in *Device Research Conference (DRC)*, 2012 70th Annual (IEEE, 2012) pp. 111–112.
- <sup>11</sup> L. A. Wray, Nature Physics **8**, 705 (2012).
- <sup>12</sup> I. Vobornik, U. Manju, J. Fujii, F. Borgatti, P. Torelli, D. Krizmancic, Y. S. Hor, R. J. Cava, and G. Panaccione, Nano letters 11, 4079 (2011).
- $^{13}\,$  Q.-K. Xue, Nature nanotechnology  ${\bf 6},\,197$  (2011).
- <sup>14</sup> C.-Z. Chang, J. Zhang, X. Feng, J. Shen, Z. Zhang, M. Guo, K. Li, Y. Ou, P. Wei, L.-L. Wang, *et al.*, Science **340**, 167 (2013).
- <sup>15</sup> Q.-K. Xue, National Science Review 1, 31 (2014).
- <sup>16</sup> J. Wang, B. Lian, H. Zhang, Y. Xu, and S.-C. Zhang, Physical review letters 111, 136801 (2013).
- <sup>17</sup> R. Yu, W. Zhang, H.-J. Zhang, S.-C. Zhang, X. Dai, and Z. Fang, Science **329**, 61 (2010).
- <sup>18</sup> B. A. Bernevig, Topological Insulators and Topological Superconductors (Princeton University Press, 2013).
- <sup>19</sup> V. N. Menshov, V. V. Tugushev, and E. V. Chulkov, JETP letters **94**, 629 (2011).
- <sup>20</sup> P. Wei, F. Katmis, B. A. Assaf, H. Steinberg, P. Jarillo-Herrero, D. Heiman, and J. S. Moodera, Physical review letters 110, 186807 (2013).
- <sup>21</sup> V. N. Menshov, V. V. Tugushev, and E. V. Chulkov, JETP letters **98**, 603 (2014).
- <sup>22</sup> S. Eremeev, V. Men'shov, V. Tugushev, P. Echenique, and E. Chulkov, Physical Review B 88, 144430 (2013).
- <sup>23</sup> V. N. Menshov, V. V. Tugushev, and E. V. Chulkov, JETP letters **97**, 258 (2013).
- <sup>24</sup> V. N. Menshov, V. V. Tugushev, and E. V. Chulkov, JETP letters **96**, 445 (2012).

- <sup>25</sup> Z. Jiang, F. Katmis, C. Tang, P. Wei, J. S. Moodera, and J. Shi, Applied Physics Letters **104**, 222409 (2014).
- <sup>26</sup> X. Li, X. Duan, and K. W. Kim, Physical Review B 89, 045425 (2014).
- <sup>27</sup> D. Hsieh, Y. Xia, L. Wray, D. Qian, A. Pal, J. Dil, J. Osterwalder, F. Meier, G. Bihlmayer, C. Kane, *et al.*, Science **323**, 919 (2009).
- <sup>28</sup> L. Wray, S. Xu, M. Neupane, A. Fedorov, Y. Hor, R. Cava, and M. Hasan, in *Journal of Physics: Conference Series*, Vol. 449 (IOP Publishing, 2013) p. 012037.
- <sup>29</sup> P. Bruno, Journal of Physics: Condensed Matter 11, 9403 (1999).
- <sup>30</sup> P. M. Levy, S. Maekawa, and P. Bruno, Physical Review B **58**, 5588 (1998).
- <sup>31</sup> P. Bruno, Journal of magnetism and magnetic materials 164, 27 (1996).
- <sup>32</sup> P. Bruno, Physical Review B **52**, 411 (1995).
- <sup>33</sup> E. Bruno and B. Gyorffy, Physical review letters **71**, 181 (1993).
- <sup>34</sup> P. Bruno, Journal of magnetism and magnetic materials 121, 248 (1993).
- <sup>35</sup> P. Bruno and C. Chappert, Physical Review B **46**, 261 (1992).
- <sup>36</sup> P. Bruno, Physical Review B **39**, 865 (1989).
- <sup>37</sup> S. R. Spurgeon, J. D. Sloppy, D. M. Kepaptsoglou, P. V. Balachandran, S. Nejati, J. Karthik, A. R. Damodaran, C. L. Johnson, H. Ambaye, R. Goyette, et al., ACS nano 8, 894 (2013).
- <sup>38</sup> R. M. White, Quantum theory of magnetism: magnetic properties of materials, Vol. 32 (Springer, 2007).
- <sup>39</sup> Q. I. Yang, M. Dolev, L. Zhang, J. Zhao, A. D. Fried, E. Schemm, M. Liu, A. Palevski, A. F. Marshall, S. H. Risbud, and A. Kapitulnik, Phys. Rev. B 88, 081407 (2013).
- 40 C.-X. Liu, X.-L. Qi, H. Zhang, X. Dai, Z. Fang, and S.-C. Zhang, Physical Review B 82, 045122 (2010).
- <sup>41</sup> S. V. Eremeev, V. N. Men'shov, V. V. Tugushev, P. M. Echenique, and E. V. Chulkov, Phys. Rev. B 88, 144430 (2013).
- <sup>42</sup> S. Eremeev, V. Men'shov, V. Tugushev, and E. Chulkov, arXiv preprint arXiv:1407.6880 (2014).
- <sup>43</sup> J. Kienert, Ferromagnetism and interlayer exchange couplingin thin metallic lms, Ph.D. thesis, Humboldt University of Berlin (2008).
- <sup>44</sup> F. Buot and J. McClure, Physical Review B **6**, 4525 (1972).
- <sup>45</sup> J. Hebborn and N. March, Proceedings of the Royal Society of London. Series A. Mathematical and Physical Sciences 280, 85 (1964).
- <sup>46</sup> A. T. Lee, M. J. Han, and K. Park, Phys. Rev. B **90**, 155103 (2014).
- <sup>47</sup> L.-P. Levy, Magnetism and Superconductivity (Springer, 2000).
- <sup>48</sup> J. Hebborn and N. March, Advances in Physics **19**, 175 (1970).
- <sup>49</sup> O. V. Yazyev, J. E. Moore, and S. G. Louie, Phys. Rev. Lett. **105**, 266806 (2010).

- <sup>50</sup> F. Mauri and S. G. Louie, Phys. Rev. Lett. **76**, 4246 (1996).
- E. Tiras, S. Ardali, T. Tiras, E. Arslan, S. Cakmakyapan, O. Kazar, J. Hassan, E. Janzn, and E. Ozbay, Journal of Applied Physics 113, 043708 (2013).
- <sup>52</sup> M. Koshino and T. Ando, Phys. Rev. B **81**, 195431 (2010).
- <sup>53</sup> L. Zhao, H. Deng, I. Korzhovska, Z. Chen, M. Konczykowski, A. Hruban, V. Oganesyan, and L. Krusin-Elbaum, Nature materials 13, 580 (2014).
- <sup>54</sup> M. Kumar, Diamagnetic Susceptibility and Anisotropy of Inorganic and Organometallic Compounds, Vol. 27 (Springer, 2007).
- <sup>55</sup> M. Bahramy, P. King, A. De La Torre, J. Chang, M. Shi, L. Patthey, G. Balakrishnan, P. Hofmann, R. Arita, N. Nagaosa, et al., Nature communications 3, 1159 (2012).
- M. N. Baibich, J. M. Broto, A. Fert, F. N. Van Dau,
   F. Petroff, P. Etienne, G. Creuzet, A. Friederich, and
   J. Chazelas, Phys. Rev. Lett. 61, 2472 (1988).
- A. Fert, P. Grnberg, A. Barthlmy, F. Petroff, and W. Zinn,
   Journal of Magnetism and Magnetic Materials 140144,
   Part 1, 1 (1995), international Conference on Magnetism.
- <sup>58</sup> S. S. P. Parkin, N. More, and K. P. Roche, Phys. Rev. Lett. **64**, 2304 (1990).
- <sup>59</sup> S. S. P. Parkin, R. Bhadra, and K. P. Roche, Phys. Rev. Lett. **66**, 2152 (1991).