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# The formation of nonequilibrium steady states in interacting double quantum dots: When coherences dominate the charge distribution

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## Abstract

We theoretically investigate the full time evolution of a nonequilibrium double quantum dot structure from initial conditions corresponding to different product states (no entanglement between dot and lead) to a nonequilibrium steady state. The structure is described by a two-level spinless Anderson model where the levels are coupled to two leads held at different chemical potentials. The problem is solved by a numerically exact hierarchical master equation technique and the results are compared to approximate ones obtained from Born-Markov theory. The methods allow us to study the time evolution up to times of order  $10^4$  of the bare hybridization time, enabling elucidation of the role of the initial state on the transient dynamics, coherent charge oscillations and an interaction-induced renormalization of energy levels. We find that when the system carries a single electron on average the formation of the steady state is strongly influenced by the coherence between the dots. The latter can be sizeable and indeed larger in the presence of a bias voltage than it is in equilibrium. Moreover, the interdot coherence is shown to lead to a pronounced difference in the population of the dots.

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## I. INTRODUCTION

Understanding the time evolution of quantum mechanical systems is fundamentally important but in many cases also very challenging[1–4]. We probe quantum systems by following the time evolution induced by externally applied fields. Further the unique properties of driven quantum systems may be of technological importance [5–10]. In many cases, the physics of interest involves interparticle interactions and possibly large departures from equilibrium. Therefore, it is essential to understand the nonlinear response of a quantum system in nonequilibrium situations. In these situations, however, analytical methods typically become too complex and numerical approaches are required.

Quantum dot systems provide an important class of example systems to address nonlinear and nonequilibrium quantum physics[4, 11–15]. Quantum dots are nanoscale regions in which electrons are spatially confined; they are often referred to as artificial atoms. The physics is thus characterized by a finite number of quantum mechanical degrees of freedom. However, unlike conventional atoms, quantum dots can easily be addressed by complex lead structures which provide both electron exchange (leading for example to transport through the dot) and the manipulation of each dot by electromagnetic fields [16–19]. Moreover, their populations can reliably and non-invasively be read out using single-electron transistors or quantum point contacts [4, 19, 20]. As a result strongly nonequilibrium physics is accessible in the quantum dot context. Complex many-body phenomena such as, for example, Coulomb blockade[11, 21–23] and Kondo correlations[24–26] are found even for the simplest quantum dot realization, namely a dot that can be characterized by a single spin-degenerate electronic level (without spin-mixing effects such as, for example, in spin-valve setups [27–30]). Quantum dots can be fabricated under well controlled conditions and in technologically scalable ways. The complexity and interest of the underlying physics increases with the number of levels on the dot and with the spatial structure enabled by larger dot structures. Therefore, they are suitable to study fundamental many-body phenomena [31, 32] but can also be considered for electronic device applications such as, for example, solar energy conversion [8, 10] or quantum information processing [5, 9, 33–35].

In this work, we consider double quantum dot (DQD) structures [33] (cf. Fig. 1(a)). Mathematically, these structures may be thought of as two levels, coupled to each other and in a variety of possible ways to leads. They provide a simple model system for the

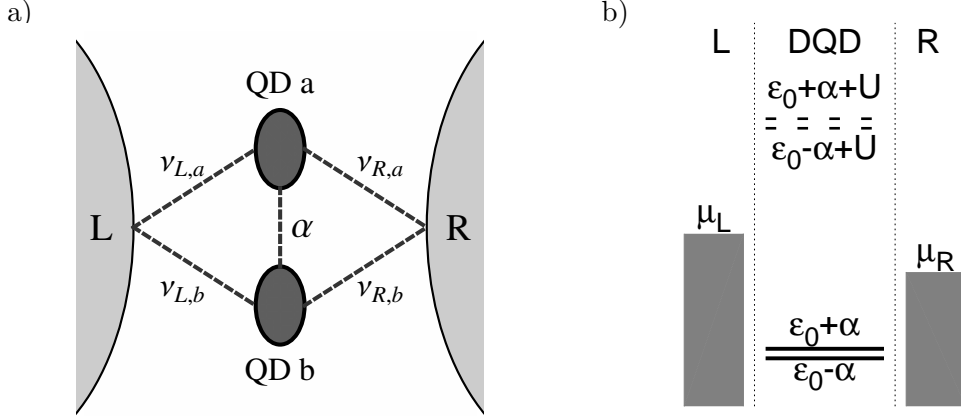


FIG. 1. Panel a): Graphical representation of a double quantum dot system. The two quantum dots (QD) are coupled to a left (L) and a right electrode (R). The corresponding coupling matrix elements are denoted by  $\nu_{K,m}$  with  $K \in \{L, R\}$  and  $m \in \{a, b\}$ . The inter-dot coupling is denoted by  $\alpha$ . In this work, we focus on a serial coupling configuration where  $\nu_{R,a} = \nu_{L,b} = 0$  and a branched configuration where  $\nu_{R,b} = \nu_{L,a} = 0$ . Panel b): Level structure considered in this work where the single-particle levels are below and the levels associated with double occupancy are above the chemical potentials in the leads. This situation corresponds to a double quantum dot structure that is operated in the non-resonant transport regime.

examination of physics not accessible in transport through the widely-studied single-dot systems mentioned above, in particular sequential current flow from a lead into one dot, then into the other dot, and further into the other lead, but also internally gated situations where the occupancy of one dot affects flow through the other. In both of these cases the inter-dot coherence, which is defined as the off-diagonal element of the DQDs density matrix in the basis of the states localized on the quantum dots, will be seen to play a crucial role.

In our analysis we suppress the spin degree of freedom, which is not essential to the physics of interest and study the orbitally degenerate spinless Anderson model. This scenario can be experimentally realized by use of large magnetic fields or spin-polarized leads. The complexity of this problem is similar to that of a single quantum dot with a spin-degenerate level. Despite its simple structure, the orbitally degenerate spinless Anderson model exhibits a rich variety of complex many-body phenomena including orbital/pseudospin-Kondo physics [36–39], population inversion [40–43], negative differential resistance (NDR) [44–47], Fano-



line shapes [18, 48], interaction-induced level repulsion [36, 44] and resonances [40, 49–51]. For some purposes one may think of the orbital degree of freedom in the spinless double-dot problem as playing a similar role to that of the spin degree of freedom in a conventional single-orbital dot [36–39]. There is, however, a fundamental difference between the two systems: the coherence between the dots plays a key role in the double dot system, while it is typically zero or vanishes in the steady state of the aforementioned single-dot situations. In this paper we focus on phenomena that are associated with the dynamics of the coherence.

While substantial attention has been paid to the equilibrium and steady state properties of the two-orbital Anderson model, much less is known about the underlying dynamics. This deficiency in the literature can be traced back to the limitations of many time-dependent methods which involve approximations (giving, *e.g.*, unphysical populations [52, 53] and currents [43]), impose severe restrictions on the accessible time scales [54–65] or enable study only of parts of the full parameter space [43, 54, 55, 58, 63, 66–68].

In this article we analyse the nonequilibrium dynamics of the spinless double-dot system using the hierarchical quantum master equation (HQME) formalism [43, 66, 69–71]. In a previous paper [43] we used the method to study the steady state transport properties of the spinless Anderson model, finding negative differential resistance due to interaction-induced decoherence [45] and explicating the role of interaction-induced energy-level renormalization [44] in combination with level shifts related to the structure of the conduction bands [43]. We found that these renormalization effects strongly affect the resonant transport properties of a quantum dot structure and give rise to lead-induced (RKKY-like) coupling effects [43].

Here, we analyze the time-dependence of the formation of a steady state from different (product) initial states under the influence of a time-independent Hamiltonian. As we will see, the transient dynamics strongly depends on the initial charge configuration in the dots, while the resulting steady state does not. Throughout this work, we focus on the non-resonant transport regime. As we have noted earlier in Ref. 43, the associated time scales can be very long, because resonant tunneling processes are suppressed. The study is made possible by the HQME method, which facilitates a controlled (*numerically exact*) study of these timescales. We will show that when the system carries a single electron on average, the corresponding transient dynamics exhibits a rich and complex behavior governed by a competition between exchange processes with the environment and coherent charge oscillations between the quantum dots. Both phenomena are strongly affected by an interaction-induced

renormalization of the dots energy levels [44, 72, 73] that originates from exchange interactions with the electrodes. They are also known to give rise to a spin torque [27–29] and a spin-precession resonance [30] in spin-valve setups.

The HQME method [43, 66] allows us to obtain the time evolution of the double dot structure in a numerically exact way, assuming that the system is initially in a product state and that a systematic [43] expansion in the hybridization of the system versus the temperature scale (which is set by the environment) converges. Internal consistency checks enable verification of the convergence. A significant advantage of the HQME method is the linear scaling of the numerical effort with the simulation time. This behavior is related to the time local formulation of the HQME and makes it possible to reach simulation times greater than a thousand times the inverse of the hybridization strength. This is essential in the present context and allows us to obtain reliable results for the effects of interest in this paper. Other numerically exact methods such as, for example, quantum Monte Carlo methods [55, 57–59, 62, 63, 65, 74–76], time-dependent numerical renormalization group [77–79] or density matrix renormalization group approaches [80–82] are not able to reach the needed timescales. Only reduced dynamics simulations [83], either based on stochastic diagrammatic methods [65] or wave-function propagation schemes [84, 85], can reach comparable time scales, provided that the corresponding memory kernel is decaying sufficiently fast.

In order to identify the physical mechanisms at work, we compare the exact results of the HQME scheme with approximate results that are obtained from Born-Markov theory [86–91]. The standard Born-Markov approximation is related to HQME by (a) truncating the expansion at the lowest non-trivial order, (b) the Markov approximation and (c) the evaluation of the corresponding transition matrix elements (making a constant relaxation time approximation in the steady state). We therefore study two versions of the Born Markov approximation: the standard one and a modified version where we relax approximation (c). They are mainly distinguished by principal value terms, which encode the aforementioned renormalization effects. Thus, the effect of these terms can be visualized by comparing the two schemes. Although they enter the equation of motion of the coherence only, we find that the resulting coherent dynamics has also strong influence on the population of the dots.

The article is organized in two parts. The first part (Sec. II) is devoted to the theoretical methodology. We briefly outline the model (Sec. II A), the HQME approach (Sec. II B) and the two different Born-Markov schemes (Sec. II C). Results are presented in the second part

of the article (Sec. III). Throughout section III, we focus on two complementary realizations of the spinless Anderson model: a serial and a branched configuration. A comparison of the two realizations will allow us to elucidate different aspects of the interaction-induced renormalization effects. Our analysis starts in Sec. III A with the time evolution from a nonequilibrium initial state to thermal equilibrium (*i.e.* no bias voltage is applied to the quantum dots). In the subsequent section, Sec. III B, we compare these results to situations where a bias voltage is applied. We can therefore identify equilibrium and nonequilibrium effects in the formation of the steady state. Section IV is a conclusion and an Appendix presents technical details of the calculation.

## II. THEORY

### A. Model Hamiltonian

We study the charge transfer dynamics of a spinless biased double quantum dot (cf. Fig. 1(a)). We assume that each dot contains one electronic state and neglect spin degeneracy. Such a system can be realized by an array of quantum dots arranged to form an Aharonov-Bohm interferometer [17, 18, 92, 93] or a nanoscale molecular conductor with an appropriate level structure [94–103]. The spinless situation may be realized physically if the spin degeneracy is lifted by an external magnetic field or by spin-polarized electrodes. The situation is modeled by a two-state spinless Anderson model

$$H_{\text{DQD}} = \sum_{m \in \{a,b\}} \epsilon_m d_m^\dagger d_m + \alpha d_a^\dagger d_b + \alpha d_b^\dagger d_a + U d_a^\dagger d_a d_b^\dagger d_b. \quad (1)$$

The dots are labelled by  $a$  and  $b$ . The dot states are addressed by annihilation and creation operators  $d_{a/b}$  and  $d_{a/b}^\dagger$  with corresponding energies  $\epsilon_{a/b}$ . The inter-dot coupling strength is denoted by  $\alpha$ . A simultaneous population of the dots requires an additional charging energy  $U > 0$ , reflecting repulsive Coulomb interactions between the electrons in the system. Note that this system is equivalent to a Kondo impurity if  $\alpha \rightarrow 0$ .

The dynamics of the system is driven by charge exchange processes with the leads. The leads provide a reservoir of electrons, which can be described by a continuum of non-interacting electronic states

$$H_{\text{L/R}} = \sum_{k \in \text{L/R}} \epsilon_k c_k^\dagger c_k \quad (2)$$

with energies  $\epsilon_k$  and corresponding annihilation and creation operators  $c_k$  and  $c_k^\dagger$ . These continuum states are coupled to the states of the double dot system. The respective coupling operators can be written as

$$H_{\text{tun}} = \sum_{k \in \text{L,R}; m \in \{a,b\}} (V_{mk} c_k^\dagger d_m + \text{h.c.}). \quad (3)$$

The tunneling efficiency between the dots and the electrodes is given by the coupling matrix elements  $V_{mk}$ . It depends on the energy of the tunneling electrons and can be characterized by the level-width functions

$$\Gamma_{K,mn}(\epsilon) = 2\pi \sum_{k \in K} V_{mk}^* V_{nk} \delta(\epsilon - \epsilon_k) \quad (4)$$

with  $K \in \{\text{L,R}\}$ .

While the HQME formalism we discuss below applies for general dot-lead coupling, we will present results for two cases: the SERIAL configuration in which  $V_{bk \in \text{L}} = V_{ak \in \text{R}} = 0$  so that (for positive bias) current flows from the left lead into dot  $a$ , then from dot  $a$  to dot  $b$ , and further from dot  $b$  into the right lead, and the BRANCHED configuration in which  $V_{bk} = 0$  so that current flows through dot  $a$  and dot  $b$  is coupled to the leads only via its coupling to dot  $a$ .

If both electrodes have the same temperature  $T$  and chemical potential  $\mu$  the system will relax to a thermal equilibrium state. Departures from equilibrium may be induced by imposing a difference of temperature or chemical potential between the leads. We will typically assume that the lead temperatures are the same and induce nonequilibrium physics via a non-zero bias voltage, *i.e.*  $\Phi = \mu_{\text{L}} - \mu_{\text{R}} \neq 0$ . Throughout this work, we assume a symmetric drop of the bias voltage at the contacts, that is the chemical potentials of the left and the right leads are given by  $\mu_{\text{L}} = -\mu_{\text{R}} = \Phi/2$ . Note that this assumption is not decisive for our discussion.

The Hamiltonian of the whole system is given by

$$H = H_{\text{DQD}} + H_{\text{L}} + H_{\text{R}} + H_{\text{tun}}. \quad (5)$$

## B. Hierarchical master equation approach

In order to determine the nonequilibrium dynamics of the double dot system, we employ the hierarchical quantum master equation method [43, 66, 69–71]. This is an equation of

motion technique to determine the reduced density matrix

$$\sigma(t) = \text{Tr}_{\text{L+R}} \{ \varrho(t) \}, \quad (6)$$

where the density matrix of the full system (*i.e.* L-DQD-R) is denoted by  $\varrho(t)$ . A detailed derivation is given in Refs. 43 and 66. Here for completeness and to establish notation we review the derivation.

The equation of motion of the reduced density matrix[104]

$$\frac{d}{dt} \sigma(t) = -i [H_{\text{DQD}}, \sigma(t)] - \sum_{m,s} [d_m^s, \tilde{\sigma}_{ms}(t)] \quad (7)$$

is written in terms of a set of auxiliary operators

$$\sum_{m,s} [d_m^s, \tilde{\sigma}_{ms}(t)] = i \text{Tr}_{\text{L+R}} \{ [H_{\text{tun}}(t), \varrho(t)] \} \quad (8)$$

with  $s \in \{+, -\}$ ,  $d_n^+ = d_n^\dagger$  and  $d_n^- = d_n$  and

$$H_{\text{tun}}(t) = e^{i(H_{\text{L}}+H_{\text{R}})t} H_{\text{tun}} e^{-i(H_{\text{L}}+H_{\text{R}})t}. \quad (9)$$

These operators encode the dynamics of the system that is induced by the coupling to the electrodes. They can be determined by a set of equations of motion. These equations lead, a priori, to another set of auxiliary operators, which are associated with the commutators  $[H_{\text{tun}}(t), [H_{\text{tun}}(t), \varrho(t)]]$  and  $[\dot{H}_{\text{tun}}(t), \varrho(t)]$ . This can be continued, leading to a hierarchy of operators where the appearance of nested commutators such as  $[H_{\text{tun}}(t), [H_{\text{tun}}(t), \dots, \varrho(t)]]$  suggests the existence of a systematic expansion in terms of the hybridization operator  $H_{\text{tun}}$ . At this point, however, a hybridization expansion cannot be performed because of the operators that are associated with the time derivatives of the dot-lead coupling operator  $\partial_t H_{\text{tun}}(t)$ ,  $\partial_t^2 H_{\text{tun}}(t)$ , ...

A systematic approach to this problem is given in Refs. [43, 66]. It employs the correlation functions

$$C_{K,mn}^s(t-t') = \sum_{k \in K} V_{mk}^{\bar{s}} V_{nk}^s \text{Tr}_K \{ \sigma_K c_k^s(t) \bar{c}_k^{\bar{s}}(t') \}, \quad (10)$$

where

$$\sigma_K = \frac{1}{\text{Tr}_K \left\{ e^{-\sum_{k \in K} \frac{\epsilon_k - \mu_{\text{L/R}}}{k_{\text{B}} T} c_k^\dagger c_k} \right\}} e^{-\sum_{k \in K} \frac{\epsilon_k - \mu_{\text{L/R}}}{k_{\text{B}} T} c_k^\dagger c_k}, \quad (11)$$

$k_B$  denotes the Boltzmann constant,  $\bar{s} = -s$ ,  $V_{mk}^+ = V_{mk}$ ,  $V_{mk}^- = V_{mk}^*$ ,  $c_k^+ = c_k^\dagger$  and  $c_k^- = c_k$ . These functions characterize the tunneling processes between the dots and the electrodes. They are given by the tunneling efficiencies  $\Gamma_{K,mn}(\omega)$  and the population of the electronic states in the leads, that is the respective Fermi distribution functions  $f_K(\omega)$ :

$$C_{K,mn}^s(t) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} e^{si\omega t} \Gamma_{K,mn}^s(\omega) f_K^s(\omega), \quad (12)$$

with the short-hand notations  $\Gamma_{K,mn}^+(\omega) = \Gamma_{K,mn}(\omega)$ ,  $\Gamma_{K,mn}^-(\omega) = \Gamma_{K,nm}(\omega)$ ,  $f_K^+(\omega) = f_K(\omega)$  and  $f_K^-(\omega) = 1 - f_K(\omega)$ . The auxiliary operators  $\tilde{\sigma}_{ms}(t)$  can be written in terms of these correlation functions as [66]

$$\begin{aligned} \tilde{\sigma}_{ms}(t) = & \sum_{Kn} \int_0^t d\tau C_{K,mn}^{\bar{s}}(t-\tau) \text{Tr}_{L+R} \{U(t,\tau) d_n^{\bar{s}} U(\tau,0) \varrho(0) U^\dagger(t,0)\} \\ & - \sum_{Kn} \int_0^t d\tau C_{K,mn}^{s,*}(t-\tau) \text{Tr}_{L+R} \{U(t,0) \varrho(0) U^\dagger(\tau,0) d_n^{\bar{s}} U^\dagger(t,\tau)\}, \end{aligned} \quad (13)$$

with the time evolution operator

$$U(t,0) = T \left( e^{-i \int_0^t d\tau (H_{\text{tun}}(\tau) + H_{\text{DQD}})} \right). \quad (14)$$

The formalism requires the assumption that the system is initially in a factorized state, *i.e.*  $\varrho(0) = \sigma(0) \sigma_L \sigma_R$ . The problem with the time derivatives of the dot-lead coupling operator is thus transferred to a representation of the time derivatives of the correlation functions  $C_{K,mn}^{\bar{s}}$ . The equations can be solved if we find a set of functions, which can be used to represent both the correlation functions  $C_{K,mn}^{\bar{s}}$  and its time derivatives.

Such a set of functions can be obtained, for example, by the Meir-Tannor parametrization scheme [66, 70, 105] for the tunneling efficiencies  $\Gamma_{K,mn}(\epsilon)$  and the Pade approximation scheme for the Fermi distribution functions  $f_K(\omega)$  [106–108]. These *sum-over-poles* schemes allow us to write the correlation functions  $C_{K,mn}^s$  by a set of exponential functions [109]

$$C_{K,mn}^s(t) = \sum_p \eta_{K,mn,p}^s e^{-\omega_{K,p}^s t}, \quad (15)$$

where the scheme to obtain the frequencies  $\omega_{K,p}^s$  and the amplitudes  $\eta_{K,mn,p}^s$  is outlined in App. A. Corresponding to each of the exponential functions  $e^{-\omega_{K,p}^s t}$ , a new set of auxiliary operators can be defined as

$$\begin{aligned} \sigma_{K,mn,s,p}(t) = & \eta_{K,mn,p}^s \int_0^t d\tau e^{-\omega_{K,p}^s (t-\tau)} \text{Tr}_{L+R} \{U(t,\tau) d_n^s U(\tau,0) \varrho(0) U^\dagger(t,0)\} \\ & - \eta_{K,mn,p}^{\bar{s},*} \int_0^t d\tau e^{-\omega_{K,p}^s (t-\tau)} \text{Tr}_{L+R} \{U(t,0) \varrho(0) U^\dagger(\tau,0) d_n^s U^\dagger(t,\tau)\}. \end{aligned} \quad (16)$$

The time derivative of these operators involves only the operator itself (times the frequency  $\omega_{K,p}^s$ ) and operators that contain an additional dot-lead coupling term  $H_{\text{tun}}$ . This allows us to establish a closed set of equations of motions in the sense that time derivatives do not lead to new classes of operators that are of the same order in  $H_{\text{tun}}$ . The operators  $\sigma_{K,mn,s,p}(t)$  and the corresponding higher-tier operators can be written as

$$\sigma_{j_1..j_\kappa}^{(\kappa)}(t) = \text{Tr}_{\text{L+R}} \{B_{j_\kappa}..B_{j_1} \varrho(t)\}, \quad (17)$$

introducing superoperators  $B_j$ ,

$$\text{Tr}_{\text{L+R}} \{B_j \varrho(t)\} \equiv \sigma_{K,mn,s,p}(t), \quad (18)$$

and superindices  $j = (K, mn, s, p)$ . By construction, the corresponding equations of motion

$$\begin{aligned} \partial_t \sigma_{j_1..j_\kappa}^{(\kappa)}(t) = & -i \left[ H_{\text{DQD}}, \sigma_{j_1..j_\kappa}^{(\kappa)}(t) \right] - \sum_{\lambda \in \{1..\kappa\}} \omega_{K_\lambda, p_\lambda}^{s_\lambda} \sigma_{j_1..j_\kappa}^{(\kappa)}(t) \\ & + \sum_{\lambda \in \{1..\kappa\}} (-1)^{\kappa-\lambda} \eta_{K_\lambda, m_\lambda n_\lambda, p_\lambda}^{s_\lambda} d_{m_\lambda}^{s_\lambda} \sigma_{j_1..j_\kappa/j_\lambda}^{(\kappa-1)}(t) + \sum_{\lambda \in \{1..\kappa\}} (-1)^\lambda \eta_{K_\lambda, m_\lambda n_\lambda, p_\lambda}^{\bar{s}_\lambda, *} \sigma_{j_1..j_\kappa/j_\lambda}^{(\kappa-1)}(t) d_{m_\lambda}^{s_\lambda} \\ & - \sum_{j_{\kappa+1}, n_{\kappa+1}} \left( d_{n_{\kappa+1}}^{\bar{s}_{\kappa+1}} \sigma_{j_1..j_\kappa j_{\kappa+1}}^{(\kappa+1)}(t) - (-1)^\kappa \sigma_{j_1..j_\kappa j_{\kappa+1}}^{(\kappa+1)}(t) d_{n_{\kappa+1}}^{\bar{s}_{\kappa+1}} \right), \end{aligned} \quad (19)$$

involve only the auxiliary operators  $\sigma_{j_1..j_{\kappa+1}}^{(\kappa+1)}(t)$ . The reduced density matrix enters this hierarchy of equations of motion at the 0th tier as  $\sigma^{(0)}(t) = \sigma(t)$ . Truncation of the hierarchy at the  $\kappa$ th tier corresponds to an expansion in the hybridization versus the temperature in the leads (cf. the discussion given in Ref. 43, where, in addition, further details on the numerical evaluation of the hierarchy of equations of motion (19) can be found). Note that the latter statement is strictly speaking only true in the strong coupling limit,  $U \gg \Gamma_{K,mn}$ . In the non-interacting limit ( $U = 0$ ) and for  $U \rightarrow \infty$  it has been found [66, 110] that the hierarchy (19) terminates already at the second tier.

### C. Born-Markov master equation approach

The hierarchical equation of motion technique (cf. Sec. IIB) allows us to obtain the dynamics of the system in a numerically exact and systematic way. In addition, we employ the Born-Markov master equation method. The comparison to the HQME results will facilitate a better understanding of the underlying physics.

Born-Markov master equations are well established [86–91, 111, 112]. Here the reduced density matrix  $\sigma$  is determined by the equation of motion

$$\frac{\partial \sigma(t)}{\partial t} = -i [H_{\text{DQD}}, \sigma(t)] - \int_0^t d\tau \text{tr}_{\text{L+R}} \{ [H_{\text{tun}}, [\tilde{H}_{\text{tun}}(\tau), \sigma(t) \sigma_{\text{L}} \sigma_{\text{R}}]] \}, \quad (20)$$

where

$$\tilde{H}_{\text{tun}}(\tau) = e^{-i(H_{\text{DQD}} + H_{\text{L}} + H_{\text{R}})\tau} H_{\text{tun}} e^{i(H_{\text{DQD}} + H_{\text{L}} + H_{\text{R}})\tau}. \quad (21)$$

It can be derived from the Nakajima-Zwanzig equation [113, 114], employing a second-order expansion in the coupling  $H_{\text{tun}}$  and the so-called Markov approximation. Solving Eq. (20) constitutes a time-dependent Born-Markov scheme (t-BM).

Due to the approximations involved, the master equation (20) describes a non-unitary time evolution of the reduced density matrix, which can result in unphysical negative populations [52, 53]. This problem can be avoided by shifting the integration limit  $t$  to  $\infty$  and, at the same time, neglecting principal value terms that arise in the evaluation of the resulting integrals. This is a standard procedure and we refer to it as the standard Born-Markov scheme (s-BM). A comparison of the s-BM and t-BM schemes helps to elucidate the role of the principal value terms. These give rise to both an interaction-induced renormalization [44] and renormalization effects due to the structure of the conduction band [43]. As we will see, these renormalization effects, which are not captured in the s-BM approximation, have a direct influence on the coherence, which, in turn, also affects the population of the dots.

Finally, we remark that we evaluate the HQMEs and the BM master equations in the basis of the states that are localized on dots  $a$  and  $b$ . This includes  $\{|00\rangle, |a\rangle, |b\rangle, |11\rangle\}$ , which stands for an empty system, one/no electron in dot  $a/b$ , one/no electron in dot  $b/a$ , and a doubly occupied DQD. If the Born-Markov equation (20) is evaluated in the eigenbasis of the system Hamiltonian  $H_{\text{DQD}}$ , it is equivalent to the Redfield (or Bloch-Wangsness-Redfield) equations [3, 52, 115–117]. Note that neither the HQME (in particular our truncation scheme [43]) nor the BM formalism depends on the choice of the basis.

#### D. Observables of interest

We characterize the dynamics of the double dot system by following the time evolution of the the inter-dot coherence  $\sigma_{a,b}$  and the dot populations. The latter includes the population



of the doubly occupied state  $\sigma_{11,11}$  and the populations of dot a/b,  $\sigma_{a/b,a/b}$ . Since  $\text{Tr}_{\text{DQD}}[\sigma] = 1$ , the population of the empty state is given by  $\sigma_{00,00} = 1 - \sigma_{11,11} - \sigma_{a,a} - \sigma_{b,b}$ . While the populations represent the probability to find the system in the corresponding state, the coherence  $\sigma_{a,b}$  describes the entanglement of the dots generated in coherent tunnelling processes between the dots themselves and the leads. If the inter-dot coupling is strong, the eigenstates of the double dot system are well separated in energy. The populations and the inter-dot coherence are, therefore, very similar. Their dynamics becomes less trivial if the coupling between the dots is small compared to the coupling to the electrodes. However, in the limit where the dots are not coupled,  $\alpha \rightarrow 0$ , the coherence  $\sigma_{a,b}$  vanishes (as for a Kondo impurity).

In experiment, the current that is flowing through the system (if a bias voltage is applied) is less directly affected by the dynamics of the system, because its detection requires millions of tunneling electrons. In contrast, the populations can be read out more efficiently and for each quantum dot independently using single-electron transistors or quantum point contacts [4, 19, 20]. Thus, we restrict our discussion in the following to the density matrix of the double dot structure.

### III. RESULTS

We investigate the dynamics of the quantum dot array that is depicted in Fig. 1. To this end, we focus on two complementary realizations: a serial coupling configuration, where the two dots are connected in series, and a branched configuration, where only one of the dots is connected to the electrodes. These realizations are referred to as models SERIAL and BRANCHED in the following. The respective parameters can be found in Tab. I.

We focus on coherent dynamics between the quantum dots and, therefore, on the parameter regime where the inter-dot coupling  $\alpha$  is much weaker than the dot-lead coupling  $\nu$ . Note that for  $\alpha = 0$  the inter-dot coherence vanishes and that for a strong inter-dot coupling, the dynamics is governed by the eigenstates of the DQD. Only recently, we have given a detailed study of the steady-state properties of the systems SERIAL and BRANCHED (cf. Ref. 43). We focused on decoherence phenomena and a lead-induced (RKKY-like) inter-state/dot coupling. Note that similar realizations of the spinless Anderson model have been considered both in a number of theoretical [68, 103, 118–120] and experimental studies

model	$\epsilon_a$	$\epsilon_b$	$\alpha$	$U$	$\nu_{L,a}$	$\nu_{L,b}$	$\nu_{R,a}$	$\nu_{R,b}$	$\gamma$
SERIAL	$\epsilon_0$	$\epsilon_0$	0.0005	0.5	$\nu$	0	0	$\nu$	2
BRANCHED	$\epsilon_0$	$\epsilon_0$	0.0005	0.5	$\nu$	0	$\nu$	0	2

TABLE I. Parameters of models SERIAL and BRANCHED, which represent a serial and a branched configuration of the double quantum dot system that is shown in Fig. 1, respectively. Energy values are given in eV. The dot-lead coupling parameter  $\nu$  is set to 60 meV, corresponding to  $\Gamma = 2\pi\nu^2/\gamma \approx 11$  meV, and the level energy  $\epsilon_0$  to  $-150$  meV. The temperature of the electrodes  $T$  is 300 K. The width of the respective conduction bands  $\gamma$  is set to 2 eV. Note that these parameters reflect typical experimental values [18, 51, 92, 121] with respect to the temperature scale  $k_B T \approx 25$  meV used in our numerical calculations.

[17, 18, 51, 92, 93, 121]. These models have also been used to describe (linear or branched) nanoscale/molecular conductors [96, 103, 122].

We start to follow the dynamics of the system from two different initial states. The first describes a situation where both dots are unpopulated and uncorrelated (*i.e.*  $\sigma_{00,00}(t=0) = 1$  while all other elements of the reduced density matrix are zero). The second differs from the first one by an electron in dot  $a$ , that is we set  $\sigma_{a,a}(t=0) = 1$  (and again all other elements to zero). These initial states are complementary in the sense that they describe a symmetric and an asymmetric distribution of charge in the DQD system and allow us to represent the full complexity of the underlying physics. They can be experimentally realized, for example, by a gate-voltage and/or a dot-lead coupling quench. In addition, we focus on systems that carry a single electron on average, *i.e.*  $\epsilon_{a/b} < \mu_{L/R} < \epsilon_{a/b} + U$  (see Fig. 1(b)). Systems with a different level structure ( $\mu_{L/R} < \epsilon_{a/b}, \epsilon_{a/b} + U$  or  $\mu_{L/R} > \epsilon_{a/b}, \epsilon_{a/b} + U$ ) do not exhibit the slow relaxation dynamics we are interested in (data not shown). It was also not observed at higher bias voltages  $\Phi > 2\min(|\epsilon_{a/b}|, |\epsilon_{a/b} + U|)$ . Throughout this work, we assume a Lorentzian form of the tunneling efficiencies (which are defined by Eq. (4))

$$\Gamma_{K,mn}(\epsilon) = 2\pi \sum_{k \in K} V_{mk}^* V_{nk} \delta(\epsilon - \epsilon_k) = 2\pi \nu_{K,m} \nu_{K,n} \frac{\gamma}{(\epsilon - \mu_K)^2 + \gamma^2}. \quad (22)$$

This is not a crucial assumption for the following but beneficial for the numerical evaluation of the HQME [43, 66].

### A. Coherent charge oscillations and interaction-induced renormalization at zero bias

We begin our discussion with the dynamics of the unbiased systems. The effect of a non-zero bias voltage will be considered in Sec. III B. This procedure allows us to distinguish equilibrium and nonequilibrium effects. It also elucidates qualitative differences between the Born-Markov schemes, the HQME approach and a truncation of the HQME at the first tier. Such differences are interesting not only from a methodological point of view but enable us to elucidate the underlying physical mechanisms that are at work in these systems.

It turns out that the dynamics of systems SERIAL and BRANCHED can be fully characterized by four elements of the reduced density matrix: the population of the doubly occupied state, the population of the single-particle levels in dots  $a$  and  $b$  and the real part of the coherence  $\sigma_{a,b}$ . These quantities are depicted in Figs. 2 and 3, where the top rows show the population of the doubly occupied state, the second and third rows the single-particle population of dots  $a$  and  $b$  and the bottom rows the real part of the coherence  $\sigma_{a,b}$ . Fig. 2 depicts the dynamics of systems SERIAL and BRANCHED starting from the symmetric ( $\sigma_{00,00}(0) = 1$ ) and Fig. 3 from the asymmetric initial state ( $\sigma_{a,a}(0) = 1$ ), where the left columns refer to system SERIAL while the right ones depict the behavior of system BRANCHED. The exact result, which has been obtained by solving the full HQMEs, is depicted by solid black lines. It is compared to three approximate results, where the HQMEs are truncated at the first tier (solid red lines) and where the standard (s-BM) and the time-dependent Born-Markov scheme (t-BM) have been used (solid blue and dashed turquoise lines, respectively).

We consider first the exact dynamics of model SERIAL, starting from the unpopulated system (black lines on the left of Fig. 2). The corresponding populations show a decay of the initial state to a state, where the two dots are equally occupied and host, on average, a single electron. This behavior is typical for a double dot structure where the single-particle levels  $\epsilon_{a/b}$  are located below and the states associated with double occupation (at energies  $\epsilon_{a/b} + U$ ) above the chemical potentials in the leads. It is dominated by resonant tunneling processes from the electrodes onto the dots and, therefore, occurs on time scales  $\sim 1/\Gamma = 1/\Gamma_{K,mm}(\mu_K)$ . A very similar behavior can be observed in the dynamics of a Kondo impurity [65].

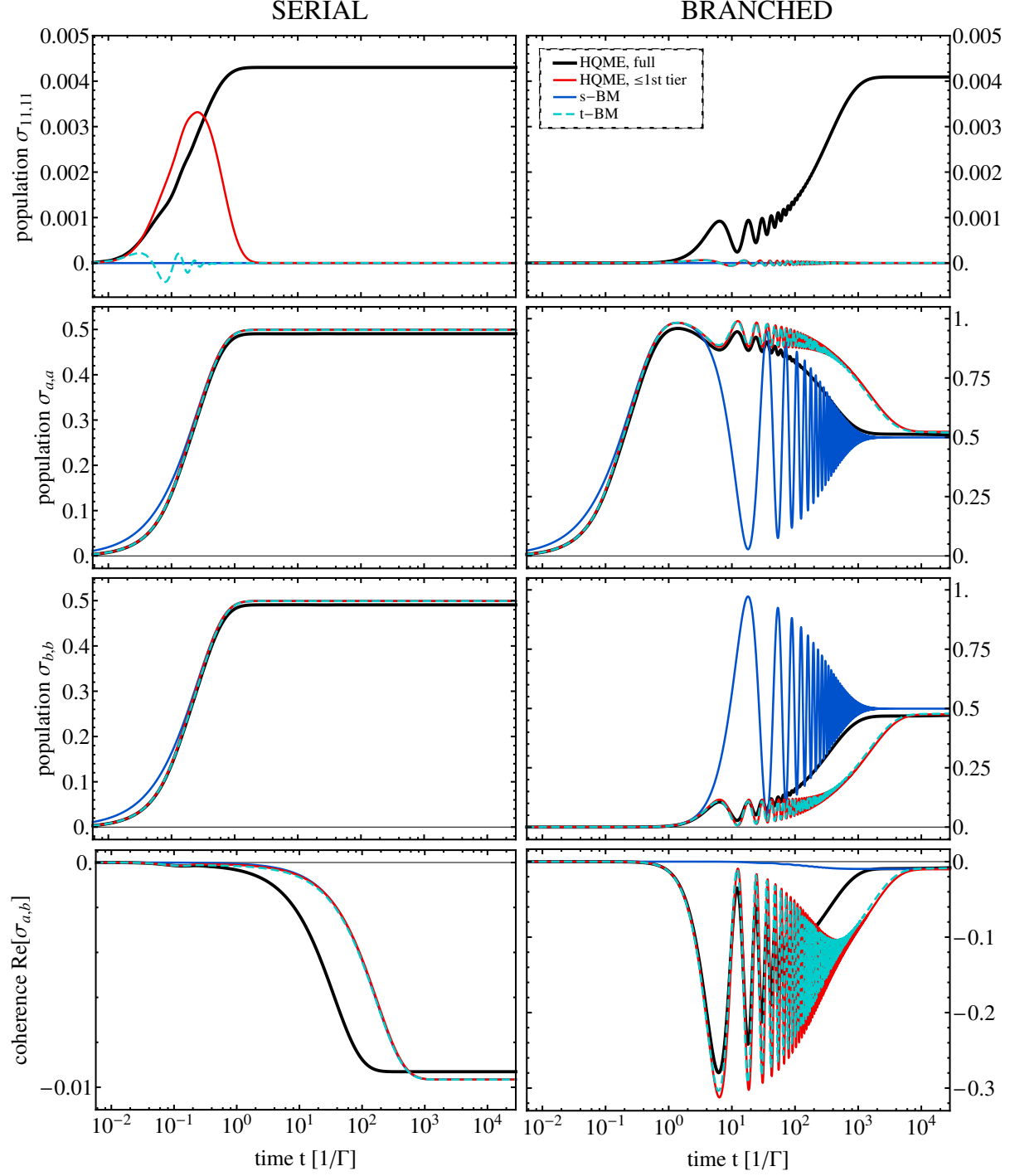


FIG. 2. (Color online) Population of the doubly occupied state, the single-particle levels in dots  $a$  and  $b$  and the real part of the coherence  $\sigma_{a,b}$  as functions of time, starting with the unpopulated system ( $\sigma_{00,00}(t) = 1$ ). The left and the right column show these functions for the unbiased systems SERIAL and BRANCHED, respectively.

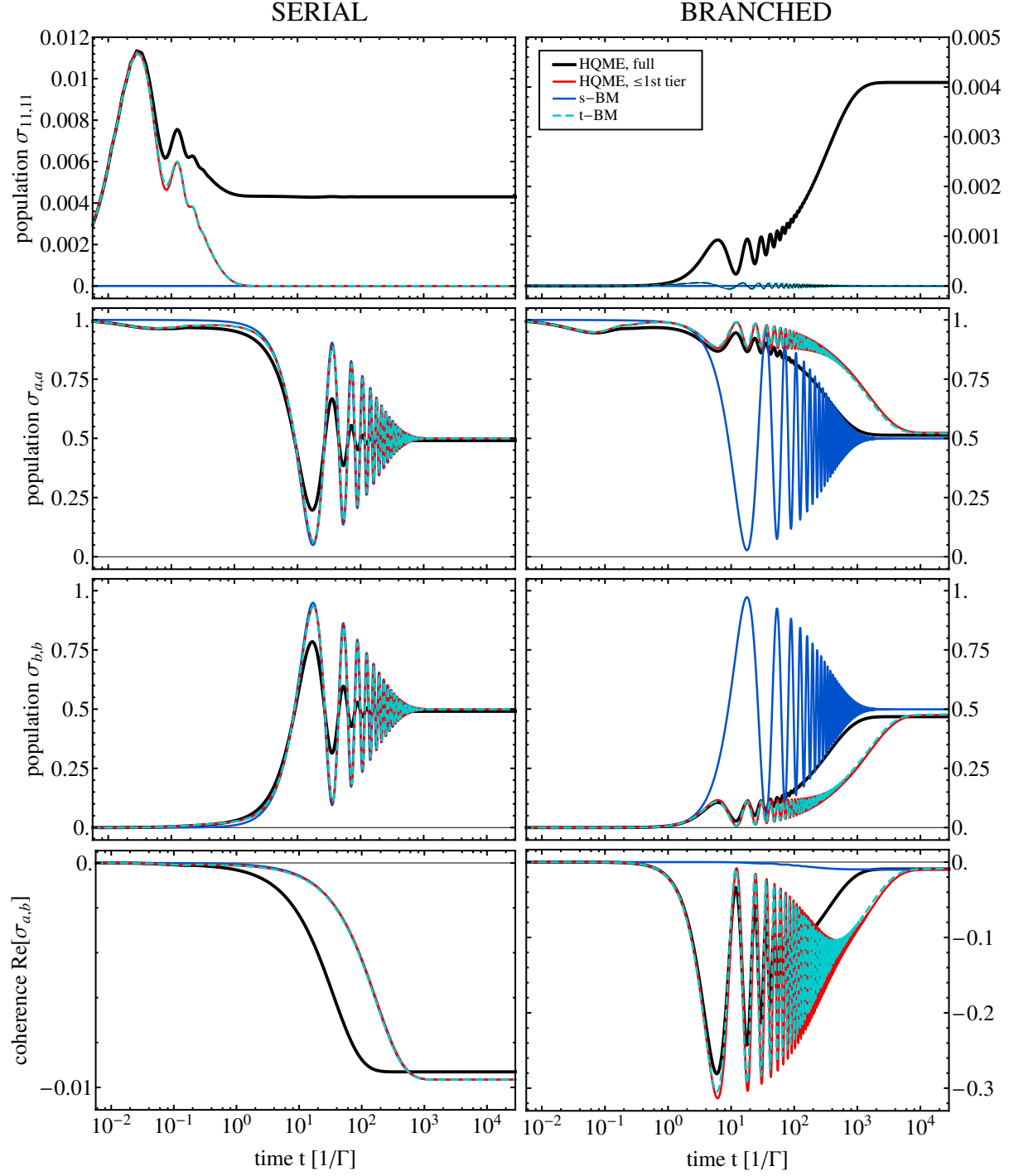


FIG. 3. (Color online) Population of the doubly occupied state, the single-particle levels in dots  $a$  and  $b$  and the real part of the coherence  $\sigma_{a,b}$  as functions of time, starting with an electron in dot  $a$  ( $\sigma_{a,a}(t) = 1$ ). The left and the right column show these functions for the unbiased systems SERIAL and BRANCHED, respectively.

For junction BRANCHED (black lines on the right of Fig. 2), the situation is more complex. Initially, (*i.e.* on time scales  $1/\Gamma$ ), the population of dot  $a$  increases to values that are close to one, while dot  $b$  remains almost unpopulated. This is related to both the position of the energy levels ( $\epsilon_0 \ll \mu_{L/R}$ ) and the geometry of the device, where tunneling onto dot  $b$  is only possible via dot  $a$ . These tunneling processes involve a coherent charge transfer from dot  $a$  to dot  $b$ , which is facilitated by the weak inter-dot coupling  $\alpha$ . Therefore, dot  $b$  is populated on much longer time scales, *i.e.* about  $\pi\Gamma/\alpha \approx 100$  longer than the time scale to populate dot  $a$ . As the system approaches the steady state regime, the populations of the two dots evolve to  $1/2$ , reflecting the fact that tunneling on and off the dots occurs with the same probability.

In addition, junction BRANCHED exhibits oscillations in the population of the two dots on intermediate time scales,  $\sim 1/\Gamma$  to  $\sim 10^3/\Gamma$ . These oscillations reflect coherent charge transfer processes between the two dots [123]. The period of these oscillations is determined by the energy difference of the eigenstates and will be discussed in more detail below (see Eq. (25)). Their coherent nature is underlined by a pronounced real part of the inter-dot coherence  $\sigma_{a,b}$  (cf. the lower right plot of Fig. 2). The origin of these oscillations is an asymmetry in the dot population. Naturally, they become suppressed in the steady state regime because the populations of the two dots become very similar. In the steady state regime, the presence of dot  $b$  thus reduces to an electrostatic effect (cf. our findings in Ref. 43). The suppression of the coherent charge oscillations can be fitted to an exponential decay. The corresponding decay time is given in Fig. 4 (see the zero bias value of the right plot) and is of the order of  $\sim 10/\Gamma$ .

Coherent charge oscillations are also observed in the dynamics of junction SERIAL if the initial charge distribution is asymmetric. This can be seen by the black lines on the left of Fig. 3, where we depict the dynamics starting from an initially asymmetric population of the dots ( $\sigma_{a,a}(t=0) = 1$ ). The corresponding decay time is similar to the one in junction BRANCHED, *i.e.*  $\sim 10/\Gamma$  (see the value at zero bias in the left plot of Fig. 4). For junction BRANCHED, the influence of such an asymmetry is less pronounced (compare the black lines on the right of Figs. 2 and 3), as it develops naturally from the geometry of the device. Similar effects are observed if the two quantum dots are coupled asymmetrically to the electrodes (data not shown). Overall, however, we do not observe any dependence of the steady state on the initial state, even in the biased scenarios discussed in Sec. III B.

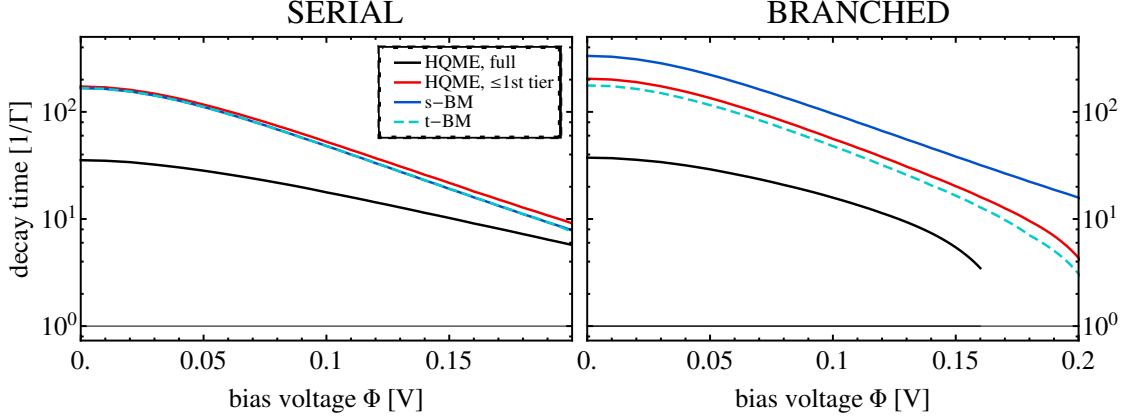


FIG. 4. (Color online) Decay times of the coherent charge oscillations in junction SERIAL (left plot) and junction BRANCHED (right plot) as a function of the applied bias voltage, starting from the asymmetric initial state  $\sigma_{a,a}(t=0) = 1$  (which we used, because coherent charge oscillations are quenched in the SERIAL configuration if the symmetric initial state is used, cf. the discussion of Figs. 2 and 3). To this end, we fitted the oscillation amplitude in  $\sigma_{b,b}(t)$  to an exponential decay.

Further insights can be gained by comparing the exact result with the approximate ones. For example, a comparison of the black and the red lines elucidates the role of higher order processes. They increase the probability for electron exchange processes with the leads and, therefore, result in a quenching of coherent charge oscillations and a faster build-up of the steady state (see, for example, the dot populations shown in the two middle panels of Fig. 3). The time scale where the systems reach the steady state are quantified in Fig. 5. There, it can be seen that higher-order processes reduce the time scale to reach the steady state by almost an order of magnitude. This may not be surprising for systems that are operated in the non-resonant regime, that is for  $\epsilon_{a/b} < \mu_{L/R} < \epsilon_{a/b} + U$  where resonant processes are suppressed such that non-resonant processes become important.

Differences between the red and the turquoise lines can also be understood in terms of higher-order processes, considering that the Markov approximation (without the shift of the integration limit) represents a more restrictive expansion to  $\mathcal{O}(\Gamma)$ . Thus, a pronounced intermediate population of the doubly occupied state appears in the red but not in the blue line (see top left plot of Fig. 2). Thereby, the HQME result appears to be more consistent, as t-BM gives unphysical negative populations (e.g. of the doubly occupied state).

The real part of the coherence (see bottom panels of Figs. 2 and 3) develops on rather

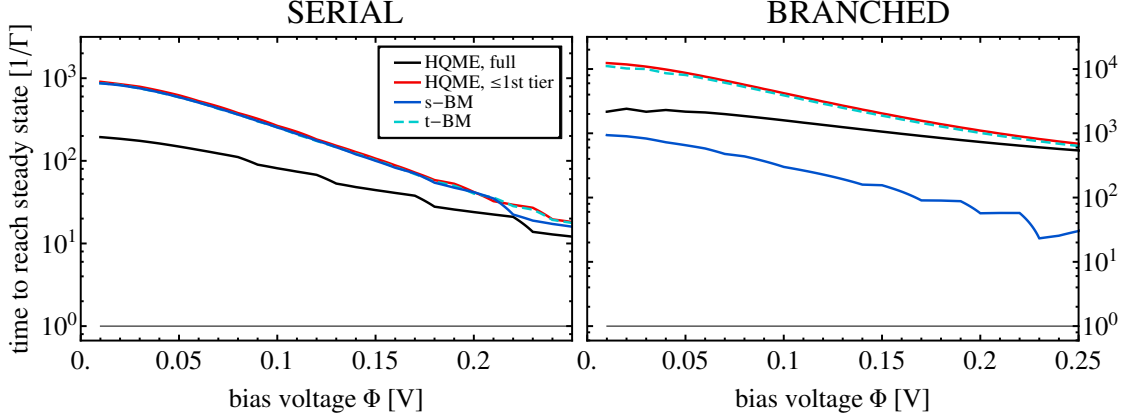


FIG. 5. (Color online) Time scale to reach the steady state in junction SERIAL (left plot) and junction BRANCHED (right plot) as a function of the applied bias voltage, starting from the symmetric initial state  $\sigma_{00,00}(t=0) = 1$  (which we used to avoid ambiguities due to the presence of coherent charge oscillations in the SERIAL configuration). To determine this scale, we use the time where the real part of the coherence deviates 0.5% from the steady state value. Note that the oscillatory behavior originates from dynamical phases and is, therefore, most pronounced when the steady state is reached on short time scales.

long time scales. This behavior is seen in both the HQME and the BM results, where the latter facilitate a direct access to the underlying physics. Using BM theory, the equation of motion of the coherence involves terms that involve the decay rates  $\Gamma f(\bar{\epsilon}+U)$  and  $\Gamma(1-f(\bar{\epsilon}))$ . For the parameters considered, these rates are much smaller than the bare hybridization  $\Gamma$ , resulting in resonant dynamics on time scales  $(1 - f_{L/R}(\epsilon_0))^{-1} \approx 300$  times the inverse of the hybridization strength  $1/\Gamma$ . Note that a non-zero value of the real part of the coherence signals a different population of the eigenstates of the DQD system. Considering the temperature in the leads and the energy difference of the eigenstates, which can be estimated by  $2\alpha$ [124], such a population difference is to be expected in the steady state at zero bias.

Another intriguing effect emerges from the comparison of the blue and the red/turquoise lines. This includes, for example, a reduction of the oscillation period by a factor of  $\approx 1/3$  in junction BRANCHED, which is visible, for example, in the two middle plots on the right hand side of Figs. 2 and 3. These results can be qualitatively and quantitatively explained by the interaction-induced renormalization of energy levels, which has been outlined first by Wunsch *et al.* [44] in the context of double quantum dots and by Braun *et al.* for spin-valve



setups [28]. This renormalization is a combined effect of the local electron-electron interactions  $U$  and the coupling of the dots to the electrodes and occurs not only for structured but also for flat conduction bands. For the systems of interest here, these renormalizations are given by:

$$\Delta\epsilon_{a/b,L/R} = \phi(\epsilon_{a/b}, \mu_{L/R}) - \phi(\epsilon_{a/b} + U, \mu_{L/R}), \quad (23)$$

with

$$\phi(x, \mu) = \frac{\Gamma}{2\pi} \text{Re} \left[ \Psi \left( \frac{1}{2} + \frac{i(x - \mu)}{2\pi k_B T} \right) \right], \quad (24)$$

and  $\Psi(x)$  is digamma function[125]. From the above formula, we can directly infer the aforementioned reduction of the oscillation period, which is given by

$$2\pi / \left( \sqrt{4\alpha^2 + \left( \sum_K \Delta\epsilon_{a,K} - \sum_K \Delta\epsilon_{b,K} \right)^2} \right). \quad (25)$$

We find (data not shown) that this renormalization is not present at the charge-symmetric point since  $\phi(\epsilon_0 + U, 0) = \phi(-\epsilon_0, 0) = \phi(\epsilon_0, 0)$  [126]. Moreover, it does not appear in junction SERIAL, since both levels are shifted in the same way at zero bias, *i.e.*  $\Delta\epsilon_{a,L} = \Delta\epsilon_{b,R}$ . At this point, it should be noted that the interaction-induced renormalization is already active at times  $\sim 1/\Gamma$ . For later reference, we also remark that the bias dependence of  $\Delta\epsilon_{a/b,L/R}$  leads to additional shifts of the oscillation period, which are of the order of 10% for the parameters considered in this work.

We conclude this section pointing out the different behavior of the s-BM scheme in more detail. For the branched system, for example, the s-BM approach gives very different results for the time scale to reach the steady state and the decay time of the coherent charge oscillations (cf. the right plots of Figs. 4 and 5). This is of course related to the fact that the s-BM scheme misses the interaction-induced renormalizations (23). For the same reason, the real part of the coherence that is obtained by the s-BM scheme does not develop the pronounced values that are obtained by the t-BM and the HQME methods (cf. the lower right plots of Figs. 2 and 3). Moreover, at short times  $t \ll 1/\Gamma$ , the s-BM scheme exhibits an exponential scaling with time, while the HQME and t-BM give a power-law scaling,  $\sim t^2$  (see, for example, the middle panels of Fig. 2). This behavior is due to the shift of the integration limit in Eq. (20) and has been outlined before by Thoss *et al.* [52, 127].

## B. Interplay of inter-dot coherence and dot populations due to coherent nonequilibrium dynamics

In this section we study the dynamics of systems SERIAL and BRANCHED in the presence of a bias voltage. We restrict the discussion to the non-resonant transport regime and choose, accordingly, a low value ( $\Phi = 0.1$  V) for the bias voltage such that the filled and empty states remain far from the chemical potential of either lead. At higher bias voltages, we do not observe the complex long-time behavior we are interested in. We characterize the nonequilibrium dynamics of the biased systems by the same quantities as the equilibrium dynamics of the unbiased ones. They are depicted in Figs. 6 and 7, corresponding to an initially symmetric and asymmetric charge configuration, respectively.

At first sight, most of the dynamics is very similar to the one of the equilibrium case. The steady state is reached slightly faster in the presence of a bias voltage (cf. Fig. 5). Also, the coherent charge oscillations decay slightly faster (cf. Fig. 4). The main reason for this behavior is that the energy levels of the dots are closer to the chemical potential in the leads. The respective exponential scaling, which is observed once the bias voltage exceeds the thermal broadening in the two electrodes, *i.e.* for  $\Phi > 0.05$  V, is inherited from the bias dependence of the rates  $\Gamma f(\bar{\epsilon} + U)$  and  $\Gamma(1 - f(\bar{\epsilon}))$  for resonant tunneling processes. There are, however, also a number of qualitative differences if a bias voltage is applied to systems SERIAL and BRANCHED.

The most pronounced response to an external bias voltage is observed in the SERIAL device. The real part of the inter-dot coherence  $\sigma_{a,b}$ , for example, acquires a different sign and its absolute value increases by more than order of magnitude to  $\approx 0.2$  (compare, for example, the bottom left plot of Figs. 2 and 6). Moreover, the populations of the two quantum dots no longer evolve to the same value. The double dot structure still carries a single electron on average, but this electron is now more likely to be found in dot *a* with a difference in the dot population that amounts to  $\approx 75\%$  (cf. the dot populations shown on the left of Fig. 6). The corresponding time evolution develops on rather long time scales, that is  $\sim 10/\Gamma - \sim 100/\Gamma$ . This behavior is captured by the HQME and t-BM scheme but is missed by the s-BM approach. We can therefore relate it to the principal value terms that are included in the HQME and t-BM scheme but discarded in the s-BM approach. These terms include the interaction-induced renormalization, which we already pointed out in Sec.

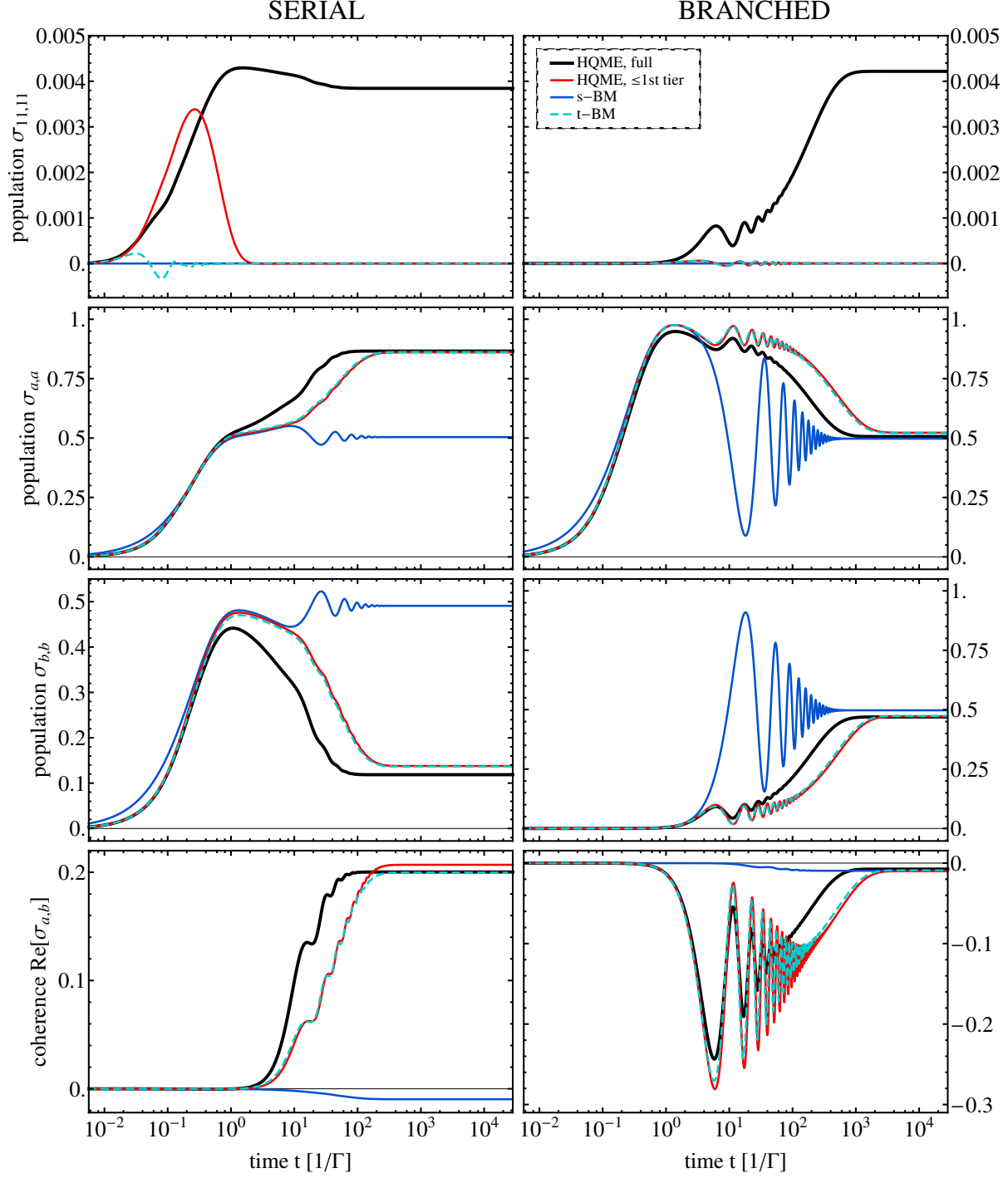


FIG. 6. (Color online) Population of the doubly occupied state, the single-particle levels in dots  $a$  and  $b$  and the real part of the coherence  $\sigma_{a,b}$  as functions of time, starting with the unpopulated system ( $\sigma_{00,00}(t) = 1$ ). The left and the right column show these functions for the systems SERIAL and BRANCHED, respectively, where a bias voltage of  $\Phi = 0.1$  V is applied.

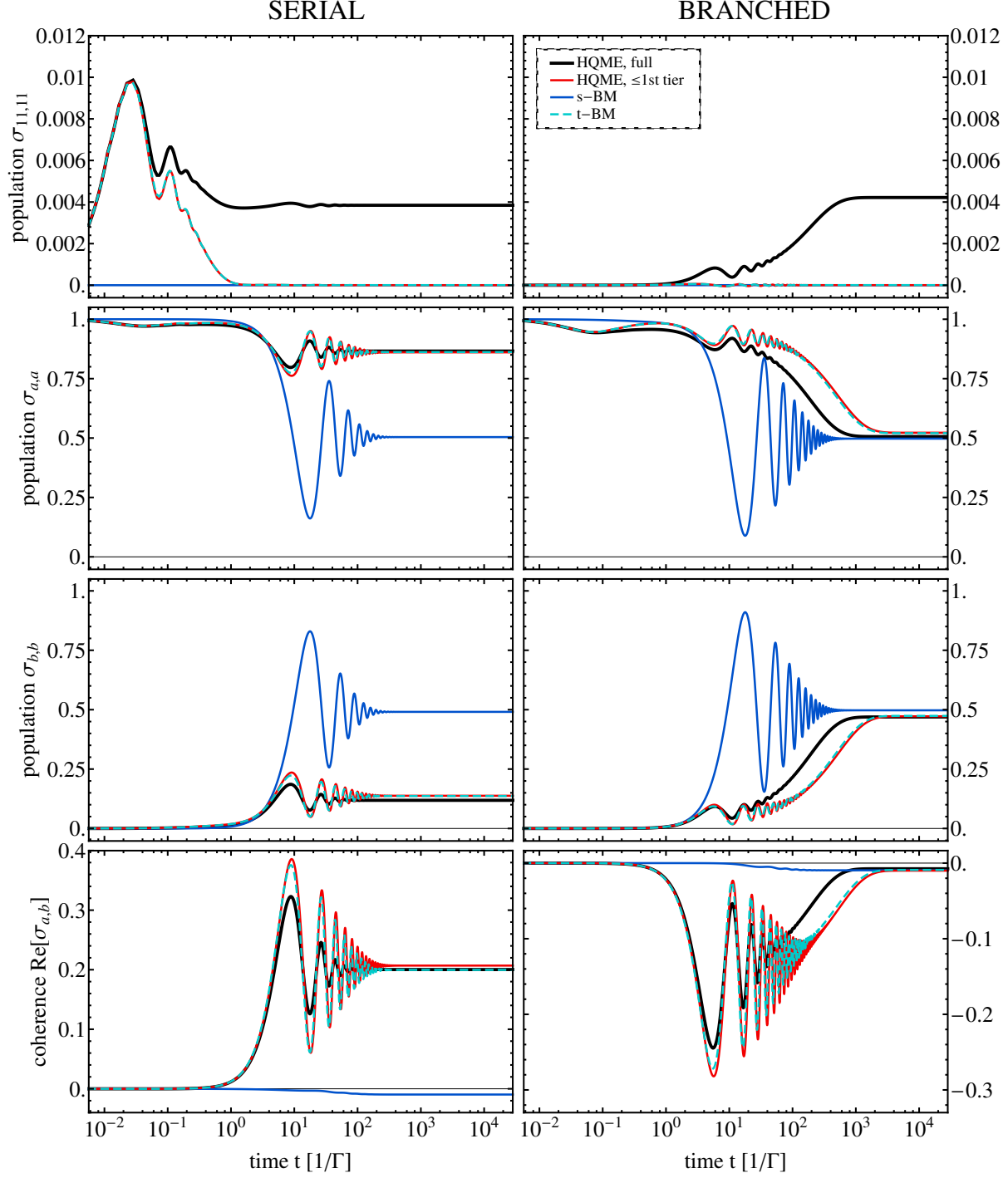


FIG. 7. (Color online) Population of the doubly occupied state, the single-particle levels in dots  $a$  and  $b$  and the real part of the coherence  $\sigma_{a,b}$  as functions of time, starting with an electron in dot  $a$  ( $\sigma_{a,a}(t) = 1$ ). The left and the right column show these functions for the systems SERIAL and BRANCHED, respectively, where a bias voltage of  $\Phi = 0.1$  V is applied.

III A, and a renormalization due to the band width  $\gamma$  [43]. Since we observe qualitatively and quantitatively the same effects for different band widths  $\gamma$  (where the coupling strength  $\nu$  needs to be adjusted to give the same values for  $\Gamma(\epsilon_0)$ ), we attribute these effects to the interaction-induced renormalizations  $\Delta\epsilon_{a/b,L/R}$ . We continue to analyze this behavior in more detail.

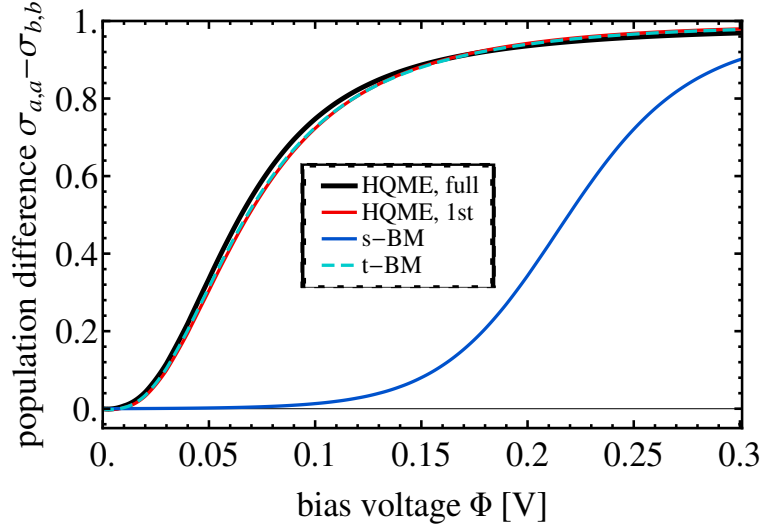
At first glance, it may not be surprising that, for positive bias voltages, the population of dot  $a$  is higher than the one of dot  $b$  (and vice versa for negative bias voltages). Since the inter-dot coupling  $\alpha$  is much weaker than the coupling of the dots to the electrodes, the tunneling electrons are expected to get stuck at the inter-dot tunneling barrier. This can be seen in Figs. 8(a) and 9(a), where the steady state population difference in system SERIAL is depicted as a function of the applied bias voltage and the level energy  $\epsilon_0$ , respectively. At the onset of the resonant transport regime, which corresponds to  $\Phi \gtrsim 2(\epsilon_0 - k_B T)$  in Fig. 8(a) or to  $\epsilon_0 > -k_B T$  in Fig. 9(a), the population difference is  $\gtrsim 0.8$ . Here, the HQME and BM schemes yield very similar results.

The situation is different at lower bias voltages and / or closer to the charge-symmetric point. Due to the Pauli principle, the tunneling of an electron from one of the dots into the electrodes is suppressed by Fermi factors  $(1 - f_{L/R}(\epsilon_0)) = 3 \cdot 10^{-3}$ , while the coherent transfer of electrons between the dots takes place on much shorter time scales  $1/\alpha \ll (\Gamma(1 - f_{L/R}(\epsilon_0)))^{-1}$ . Thus, an electron can be expected to tunnel many times between dots  $a$  and  $b$  before it enters one of the electrodes. While this suggests a population of the dots that is very similar, the HQME and t-BM data exhibit a pronounced bias-induced population difference, which can be orders of magnitude larger than the one obtained from the s-BM scheme (cf. Figs. 8(a) and 9(a)).

As we already pointed out, the origin of this behavior is the interaction-induced renormalizations  $\Delta\epsilon_{a/b,L/R}$ . To demonstrate this proposition, we vary the dot levels such that the effect of the  $\Delta\epsilon_{a/b,L/R}$  is eventually cancelled. This is shown in Fig. 10, where the steady state population difference is depicted as a function of the energy level difference  $\delta\epsilon$  (which is subtracted from  $\epsilon_a$  and added to  $\epsilon_b$ ). We see that the population difference becomes indeed minimal at values of  $\delta\epsilon$  that correspond to a cancellation of the interaction-induced renormalizations  $\Delta\epsilon_{a/b,L/R}$ .

At this point, we like to highlight the non-trivial dynamics of this renormalization effect. To this end, we recall that the s-BM and t-BM scheme differ by principal value terms. For

(a)



(b)

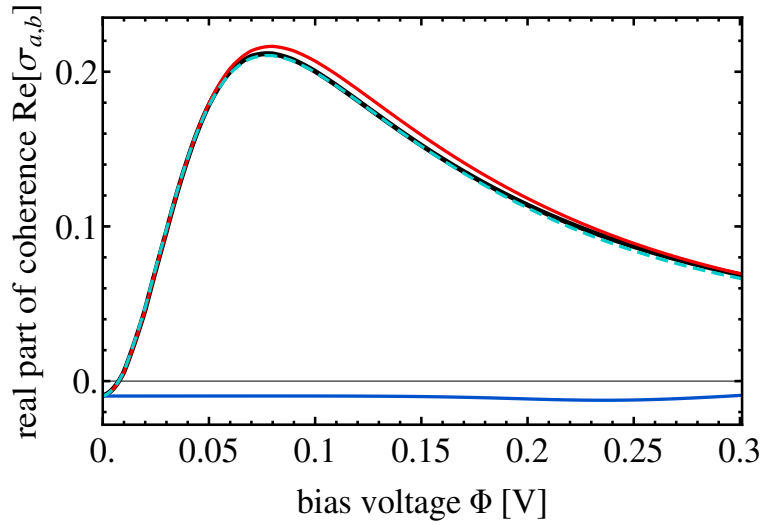
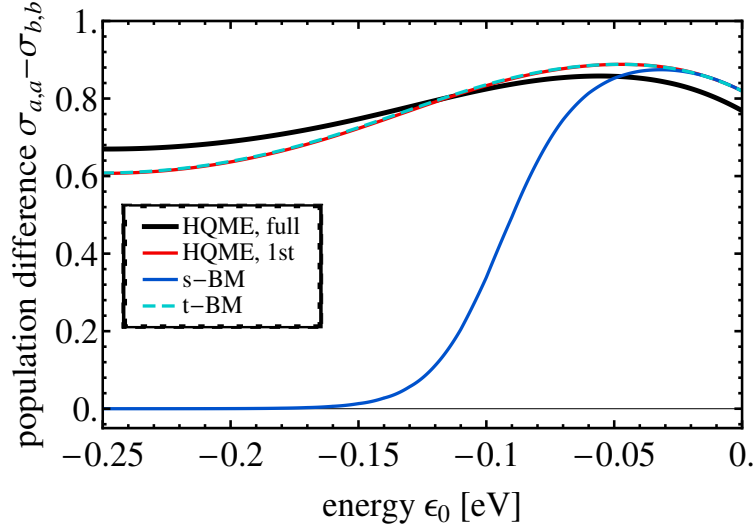


FIG. 8. (Color online) Difference in the population of the dots  $a$  and  $b$  and the real part of the coherence  $\sigma_{a,b}$  as a function of the bias voltage  $\Phi$  applied to junction SERIAL. Note that the bias voltage is to be compared with the width of the transport resonances, which, in the present context, is given predominantly by the temperature scale,  $k_B T \approx 25$  meV.

our systems of interest, these terms enter only the equation of motion of the coherence  $\sigma_{a,b}$ . The population difference does not occur, if the coherence, in particular the real part of the coherence, is neglected. This shows that the principal value terms encode not only static effects like a renormalization of energy levels but also relaxation mechanisms that are

(a)



(b)

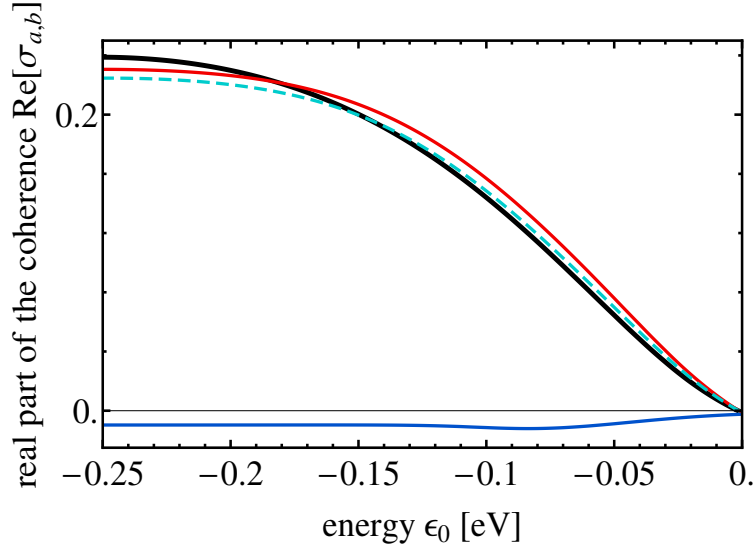
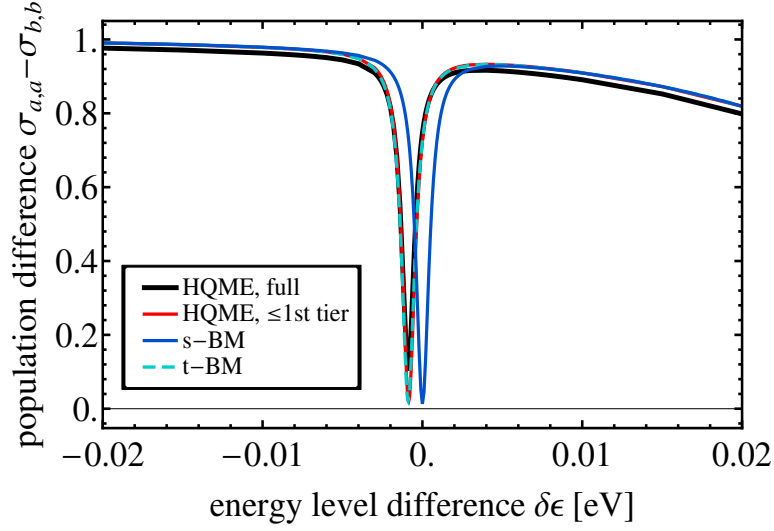


FIG. 9. (Color online) Difference in the population of the dots  $a$  and  $b$  and the corresponding real part of the coherence  $\sigma_{a,b}$  as a function of the energy level position  $\epsilon_0$  in junction SERIAL at bias voltage  $\Phi = 0.1$  V. The scale of the level position  $\epsilon_0$  is, similar to the bias voltage, determined by the temperature  $k_B T \approx 25$  meV.

mediated by the coherence. In addition, we conclude that the effect is stable with respect to temperature as long as its contribution to the real part of the coherence  $\sigma_{a,b}$  (cf. Sec. III A) is smaller than the one due to the interaction-induced renormalizations  $\Delta\epsilon_{a/b,L/R}$ . This is certainly the case if the energy separation of the eigenstates is much smaller than

(a)



(b)

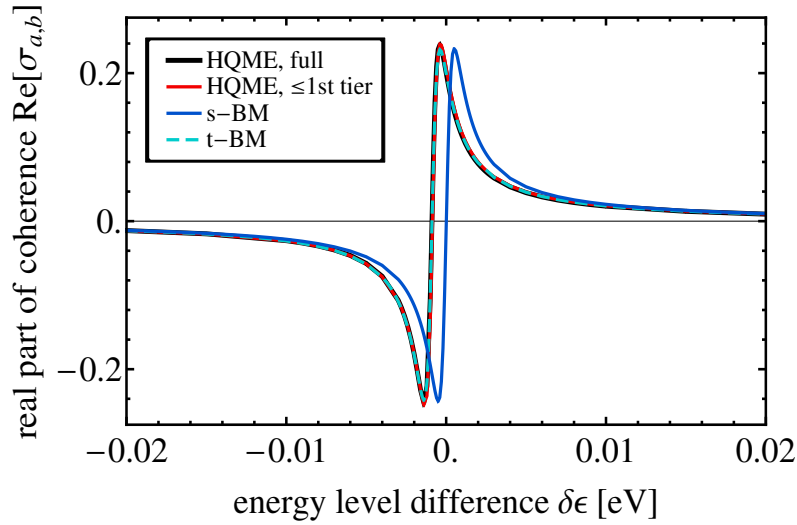


FIG. 10. (Color online) Difference in the population of the dots  $a$  and  $b$  and the corresponding real part of the coherence  $\sigma_{a,b}$  as a function of the energy level difference  $\delta\epsilon$  in junction SERIAL at bias voltage  $\Phi = 0.1$  V. The width of the dip structure is determined by the inter-dot coupling strength  $\alpha = 0.5$  meV.

the thermal broadening.

These findings may also be interesting for quantum information processing [5, 9, 33–35], as the coherence  $\sigma_{a,b}$  between the dots can become sizeable ( $\approx 0.2$ ). Moreover, its value and sign can be controlled by the applied bias voltage. This is elucidated in more detail by Figs.



8(b), 9(b) and 10(b), where the real part of the coherence is shown as a function of the applied voltage, energy level position  $\epsilon_0$  and energy level difference  $\delta\epsilon$ , respectively. Once the bias voltage exceeds the thermal broadening, the real part of the coherence acquires its maximal value before it decreases again when the system approaches the resonant transport regime. Its sign may be flipped by tuning the energy levels across the point where the population difference becomes minimal (and, finally, reaching the same population difference again). It is interesting to note at this point that the imaginary part of the coherence is given by the current,  $\text{Im}[\sigma_{a,b}] \sim I$  (which we analyzed in detail in Ref. 43). Thus, in junction SERIAL, the real and the imaginary part of the coherence may be disentangled.

In contrast to junction SERIAL, system BRANCHED is much less affected by an external bias voltage. As can be seen in the right columns of Figs. 6 and 7, the charge transfer oscillations between dots  $a$  and  $b$  decay on slightly shorter time scales and the corresponding amplitude becomes smaller. These findings can be understood as an increase of the effective temperature of the device. This picture is corroborated by the data shown in Fig. 4, which shows the decay times of the coherent charge oscillations in junction SERIAL and BRANCHED as a function of the applied bias voltage, and Fig. 11, where the corresponding amplitudes are shown (starting from an initially asymmetric charge distribution). The data shows a clear exponential decrease of the decay times and the oscillation amplitude with an increasing bias voltage. Thereby, higher order processes seem to stabilize the coherent charge oscillations but, in fact, only increase the level broadening, that is the baseline of the dots effective temperature.

The exponential scaling of the amplitudes can be understood in more detail. To this end, we recall that the coherent charge oscillations require a different population of the two quantum dots. Such a population difference can emerge due to an initial asymmetry in the dots population or due to the geometry of the device (as, e.g., in junction BRANCHED). Thus, the difference in the dots population has to be present on time scales comparable to the period of the coherent charge oscillations. Initially, however, the population of the dots is governed by fast resonant tunneling processes between the electrodes and the dots. For junction SERIAL and the asymmetric initial condition  $\sigma_{a,a}(0) = 1$ , the dominant decay channel is via hopping processes from the right lead onto dot  $b$ . The corresponding rate involves the Fermi function  $f_R(\epsilon_0 + U) \approx \exp(-(\epsilon_0 + U)/(k_B T)) \exp(-\Phi/(2k_B T))$ . For junction BRANCHED (and the asymmetric initial condition  $\sigma_{a,a}(0) = 1$ ), the dominant decay channel

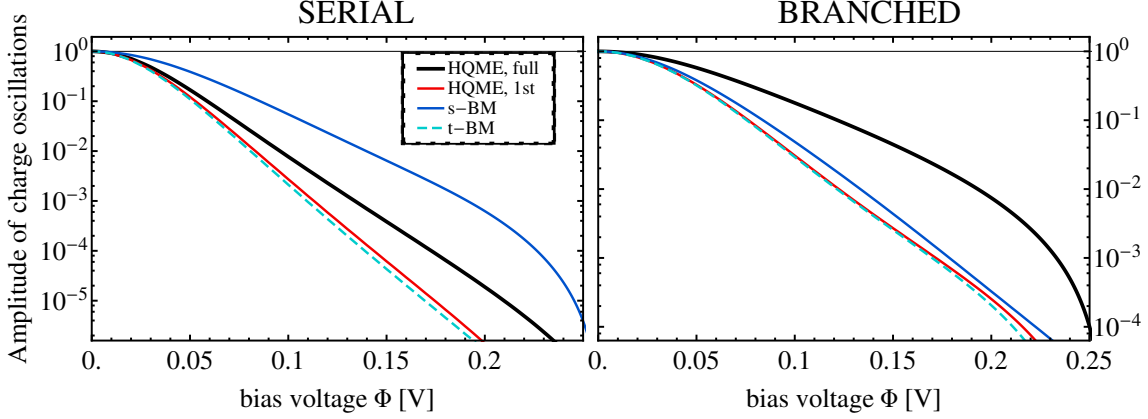


FIG. 11. (Color online) Normalized amplitude of the coherent charge oscillations in junction SERIAL (left plot) and junction BRANCHED (right plot) as a function of the applied bias voltage, starting from the asymmetric initial state  $\sigma_{a,a}(t=0) = 1$ . To this end, a Fourier analysis of  $\sigma_{a,a}(t)$  has been employed.

is via hopping processes from dot  $a$  to the right lead, which occurs with a probability  $\sim \exp(-\epsilon_0/(k_B T))\exp(-\Phi/(2k_B T))$ . The decay of the (normalized) amplitude can thus be estimated by  $\exp(-\Phi/(2k_B T))$ , if only thermal broadening is taken into account (cf. the red, blue and turquoise lines in Fig. 11), or by  $\exp(-\Phi/(2k_B T + \Gamma_L + \Gamma_R))$ , if higher order processes are accounted for. This reasoning captures the scaling behavior that we observe in junction BRANCHED almost quantitatively. In junction SERIAL, interaction-induced renormalization effects lead to a slightly more complex behavior. This is evident from the different scaling behavior that is obtained from the s-BM scheme (see the left plot of Fig. 11). Qualitatively, however, the behavior is very similar to the one of junction BRANCHED.

#### IV. CONCLUSION

Our results demonstrate the influence of an interaction-induced renormalization of energy levels on the coherent dynamics of a double quantum dot structure. This includes the formation of the steady-state coherence and populations and, on intermediate time scales, the period of coherent charge oscillations between the dots. In particular, the two quantum dots exhibit a pronounced population difference, which may be accessed in experiment non-invasively (*e.g.* via point contacts), and a sizeable coherence, which is maximal in the non-

resonant transport regime (cf. Fig. 8(b)).

To demonstrate these effects, we have focused on the regime where the structure holds a single electron on average. As a result, the build-up of the steady state is rather slow, allowing for long-lived intermediate dynamics which is governed by coherent processes. In this regime, transport processes strongly influence the charge distribution but coherent charge oscillations try to level off any asymmetry in the charge distribution. Due to this competition between transport and coherent dynamics, the population of the dots is very susceptible to small changes of the energy levels, in particular to interaction-induced renormalization effects. Thus, a way to detect interaction-induced renormalization and the corresponding coherent dynamics is to exploit its bias dependence. If, for example, the energy levels of a serial quantum dot system are aligned at zero bias, a pronounced population difference emerges at non-zero bias voltages, even though resonant transport is still suppressed (*i.e.*  $\Phi < 2\text{Min}[\epsilon_{a/b}, \epsilon_{a/b} + U]$ , cf. Fig. 8(a)). In the same range of bias voltages, the coherence between the quantum dots is most pronounced and stabilized by the current that is flowing through the quantum dots. Its sign may be flipped by tuning the energy levels over a minimal population difference between the dots (cf. Fig. 10(b)).

Our analysis is based on numerically exact results, which are obtained by the hierarchical master equation technique [43, 66, 69–71], and approximate results, which are based on both Born-Markov theory [86–91]. The comparison of these results allowed us to reveal the physical mechanisms at work. They also demonstrate the need for numerically exact results, because the approximate results are spoiled by small (nevertheless unphysical) negative populations (cf., for example, the top left panel of Figs. 2 and Fig. 6) and rather large errors in predicting the relevant time scales (see Figs. 4 and 5). Moreover, we demonstrated that the hierarchical master equation technique is capable of describing the time evolution of an interacting quantum system on very long time scales. This includes both the times to reach the steady state ( $\sim 10/\Gamma$ – $10^3/\Gamma$ , cf. Fig. 5) or the decay times of the coherent charge oscillations ( $\sim 10/\Gamma$ , cf. Fig. 4). This characteristics of the method is closely related to its time-local formulation (cf. Eq. (19)).

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## Appendix A: Parametrization of the correlation functions $C_{K,mn}^s$

To represent the correlation functions

$$C_{K,mn}^s(t) = \int_{-\infty}^{\infty} \frac{d\omega}{2\pi} e^{si\omega t} \Gamma_{K,mn}^s(\omega) f_K^s(\omega), \quad (\text{A1})$$

by a set of exponentials, we first express the distribution functions  $f_K^s(\omega)$  by a sum over poles

$$f_K^s(\omega) = \frac{1}{2} - s \frac{1}{4} \sum_p \frac{R_p}{x + iE_p}. \quad (\text{A2})$$

To this end, we employ the Pade approximation [107, 108]. Thus, according to Ref. 106, the pole positions  $E_p$  are identical with the eigenvalues of a tridiagonal matrix with the coefficients

$$A_{ij} = \delta_{i,j+1} \frac{1}{2\sqrt{(2i+1)(2i-1)}} + \delta_{i,j-1} \frac{1}{2\sqrt{(2j+1)(2j-1)}}. \quad (\text{A3})$$

The weights  $R_p$  are given by

$$R_p = E_p^2 |\langle p|1 \rangle|^2, \quad (\text{A4})$$

where  $\langle p|1 \rangle$  denotes the overlap of the  $p$ th eigenvector  $|p\rangle$  with the vector  $|1\rangle = (1, 0, 0, 0, \dots)^T$ . The next step is to represent the level-width functions  $\Gamma_{K,mn}^s(\omega)$  by a similar expression. This can be done, for example, using a Meir-Tannor parametrization scheme [66, 70, 105], but is obsolete for the Lorentzian conduction bands that we employ in this work (see Eq. (22)). Finally, the amplitudes  $\eta_{K,mn,p}^s$  and frequencies  $\omega_{K,p}^s$  are obtained straightforwardly via contour integration.

Throughout this work, we have used 100 Pade poles in order to get converged results. Thereby, we reduce the number of auxiliary operators  $\sigma_{j_1 \dots j_\kappa}^{(\kappa)}(t)$  to a practical level using the systematic truncation scheme that we developed in Ref. 43. Thus, the actual number of

# of Pade poles:	40	60	100	200	400	800
# of ADOs:	7653	11019	12863	14551	15711	15822
max. tier level:	4	4	4	4	4	4

TABLE II. Number of auxiliary operators for an increasing number of Pade poles that are included in our calculations. Due to our specific truncation scheme (see appendix of Ref. 43), which allows a systematic reduction of the number of auxiliary operators  $\sigma_{j_1..j_\kappa}^{(\kappa)}(t)$ , the numerical effort levels off with an increasing number of Pade poles.

Pade poles is less decisive for the numerical effort, as we briefly exemplify in Tab. II. Note that it is beneficial to use a low number of poles, because the frequencies  $\omega_{K,p}^s$  increase with the pole index  $p$  requiring a higher resolution of the time axis.

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