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Spin state of iron in $\text{Fe}_{\{3\}\text{O}_{\{4\}}$ magnetite and h- $\text{Fe}_{\{3\}\text{O}_{\{4\}}$

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Title: Spin state of iron in Fe₃O₄ magnetite and h-Fe₃O₄

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Abstract

The high-pressure behavior of magnetite has been widely debated in the literature. Experimental measurements have found conflicting high-pressure transitions: a charge reordering in magnetite from inverse to normal spinel [Pasternak, M.P., et al. J. Phys. Chem. Solids **65**, 1531 (2004); Rozenberg, G.K., et al. PRB. **75** (2007)], iron high- to intermediate-spin transition in magnetite [Ding, Y., et al. PRL **100** (2008)], electron delocalization in magnetite [Baudalet, F., et al. PRB **82** (2010); Glazyrin, K., et al. Am. Min. **97**, 128], and a structural phase transition from magnetite to h -Fe₃O₄ [Dubrovinsky, L.S., J. Phys.: Condens. Matter **15**, 7697 (2003); Fei, Y.W., Am. Min. **84**, 203 (1999); Haavik, C., Am. Min. **85**, 514 (2000)]. We present the first *ab initio* calculations of iron's spin state in magnetite and h -Fe₃O₄, which helps resolve the high-pressure debate. The results of the calculations find that iron remains high spin in both magnetite and h -Fe₃O₄; intermediate-spin Fe is not stable. In addition, magnetite remains inverse spinel but undergoes a phase transition to h -Fe₃O₄ near 10 GPa. Magnetite has a complex magnetic ordering, multiple valence states (Fe²⁺ and Fe³⁺), charge ordering, and different local Fe site environments, all of which were accounted for in the calculations. The lack of intermediate-spin iron in magnetite helps resolve the spin state of Fe in perovskite, the major mineral in the lower mantle. In both magnetite and perovskite, XES measurements in the literature show a drop in satellite peak intensity by approximately half, which is interpreted as intermediate-spin Fe. In both minerals, calculations give no indication of intermediate-spin iron and predict high-spin iron to be stable for defect-free crystals. The results question the interpretation of a non-zero drop in XES satellite peak intensities as intermediate-spin Fe.

I. INTRODUCTION

Magnetite (Fe_3O_4) has been of interest throughout history because it is one of the most magnetic naturally-occurring minerals and is important for paleomagnetic measurements and past continent reconstruction¹. Magnetite can also be found at higher pressures in the mantle wedge of subduction zones² formed as a by-product of serpentinization of olivine³. In addition, electrical resistivity measurements in magnetite are useful for interpreting magnetotelluric measurements of the mantle⁴.

The high-pressure structural, electronic, and magnetic properties of Fe_3O_4 are not well characterized and the complex coupling of Fe spins contributes to these properties. Changes in magnetic, spin, and structural states will alter density, elasticity, and electrical conductivity⁵ and thus have an influence on interpretation of magnetotelluric measurements. In addition, changes that occur in the electronic or magnetic structure of Fe_3O_4 at high-pressure could reset magnetic ordering in meteorites that collide at high-pressure, therefore complicating the interpretation of paleomagnetic data⁶.

Fe_3O_4 has recently been suggested to undergo a transition to an intermediate-spin state on some of the Fe atoms⁷. The nature of the possible intermediate-spin transition in magnetite is important both for understanding Fe_3O_4 and for a more general understanding of the spin state of Fe in the Earth's lower mantle. Despite numerous experimental measurements and theoretical calculations, the spin state of Fe in perovskite, the dominant mineral in the lower mantle, is still under debate. X-ray emission spectroscopy (XES) measurements find the satellite peak intensity of Fe in perovskite drops to a non-zero value with increasing pressure, which is interpreted as a transition from high- to intermediate-spin Fe^{8+} . However, theoretical calculations do not support intermediate-spin Fe in perovskite^{9,10-13}. XES measurements in magnetite show a similar drop in satellite peak intensity to perovskite, suggesting the spin state of Fe in magnetite is also intermediate-spin⁷. Calculations of the spin state of Fe in magnetite will therefore provide additional evidence to help settle the more general debate over whether intermediate-spin Fe is occurring in high-pressure Fe compounds.

At ambient pressure and temperature, magnetite has an inverse spinel structure

$[Fe^{3+}]_{TET} [Fe^{2+/3+} Fe^{2+/3+}]_{OCT}$ with a random distribution of Fe^{2+} and Fe^{3+} on the octahedral site¹⁴. The charges average to an effective valence state of $Fe^{2.5+}$ on the octahedral sites. In the literature, agreement exists that a transition occurs in Fe_3O_4 between 10-20 GPa, but there is lack of agreement as to the type of transition. ^{57}Fe Mössbauer spectroscopy measurements suggest the high-pressure phase goes through an inverse- $[Fe^{3+}]_{TET} [Fe^{2+/3+} Fe^{2+/3+}]_{OCT}$ to normal- $[Fe^{2+}]_{TET} [Fe^{3+} Fe^{3+}]_{OCT}$ spinel transition with increasing pressure (8-15 GPa at room temperature)^{15,16}. However, K -edge x-ray magnetic circular dichroism and x-ray emission spectroscopy measurements are interpreted as an Fe^{2+} transition on the octahedral site from high- to intermediate-spin at 12-16 GPa⁷. An additional hypothesis, based on X-ray diffraction measurements, is that Fe_3O_4 has a phase transition from magnetite ($Fd\bar{3}m$ symmetry, $[Fe^{3+}]_{TET} [Fe^{2+/3+} Fe^{2+/3+}]_{OCT}$, inverse spinel) to a new high-pressure phase, h- Fe_3O_4 ($Pbcm$ symmetry, $[Fe^{2+}]_{TET} [Fe^{3+} Fe^{3+}]_{OCT}$, normal spinel) between 10-20 GPa (300 K)¹⁷⁻¹⁹. The h- Fe_3O_4 crystal structure is a $CaMn_2O_4$ -type structure¹⁸ (Fig. 1). No studies have yet been done on the spin-state of Fe in h- Fe_3O_4 . More recent X-ray diffraction measurements and Mössbauer spectroscopy experiments⁴ as well as x-ray absorption spectroscopy and Fe K -edge x-ray magnetic circular dichroism measurements²⁰ find magnetite remains inverse spinel up to 25 GPa. Above 15 GPa, the measurements suggest the Fe electrons delocalize⁴, exhibiting a continuous decrease in moment²⁰ rather than undergoing a spin transition.

The measurements just discussed suggest four possible and quite different transitions with pressure: charge reordering in magnetite (inverse to normal spinel), spin transition in magnetite (high- to intermediate spin), electron delocalization in magnetite, or a structural transition to a new phase (magnetite to h- Fe_3O_4). The goal of this paper is to calculate, using quantum-mechanical *ab initio* methods, the spin state of Fe as a function of pressure in Fe_3O_4 magnetite and h- Fe_3O_4 . Because spin is linked to valence and site occupancy (and possibly magnetic ordering), multiple combinations of spin and ordering in both magnetite and h- Fe_3O_4 need to be explored. This work will both help elucidate the proposed pressure transitions that are actually occurring and the possibility of intermediate spin Fe in

magnetite. Section II describes the computational methods, including the *ab initio* details and the different magnetic and spin states considered. Section III gives the results for magnetic and spin state behavior of each structure of interest, including inverse spinel (section III A), normal spinel (section III B), and h-Fe₃O₄ (section III C). The implications of these results for the stable phase as a function of pressure are given in section III D and section III D provides useful elastic constant data.

II. METHODS

In this study we used density functional theory (DFT) methods as implemented in the Vienna Ab Initio Simulation Package (VASP)²¹. VASP calculations were performed with the projector-augmented wave method (electronic configuration: $2s^2 2p^4$ for oxygen, $3p^6 3d^7 4s^1$ for Fe)²² using the Generalized Gradient Approximation (GGA) exchange-correlation with the Perdew-Burke-Ernzerhof (PBE) parameterization²³ and a cutoff energy for the planewave basis functions of 600 eV. A $2 \times 2 \times 2$ Monkhorst-Pack k -point mesh was used for sampling the Brillouin zone of the reciprocal space for all structures. All k -point meshes and energy cutoffs were chosen to have a convergence of less than 0.005 eV in energies and $0.02 \text{ \AA}^3/\text{atom}$ in volume. A Hubbard U parameter¹⁰ was applied to provide more accurate electronic structure for the localized d-orbitals, and is necessary to stabilize distinct Fe^{2+} and Fe^{3+} atoms²⁴. The invariant spin-polarized GGA+U scheme is used²⁵ and U is added to Fe atoms only. We used U, the on-site Coulomb interaction parameter, equal to 4.6 eV and J, the effective on-site exchange interaction parameter, equal to 0.544 eV, consistent with previous work on Fe_3O_4 ²⁴.

All calculations were performed as spin polarized. Individual moments were allowed to relax and the total net moment of the cell was fixed. The spin and magnetic arrangements were created by setting initial magnetic moments on each atom and fixing the total net moment of the cell. Spins on the individual atoms were allowed to fully relax in the calculations. In some cases, a desired spin state with a given fixed total moment may relax to another spin state with the same total net moment. Details on fixed total net moments, initial moments, and final relaxed moments are given below.

A. Computational structural details

A central goal of this study is to calculate the spin state of Fe as a function of pressure in magnetite. The structure of magnetite may change with pressure and therefore three structures will be considered in the calculations in order to map the entire pressure space: inverse-spinel magnetite, normal-spinel magnetite, and $\text{h-Fe}_3\text{O}_4$ (Table I).

In the remainder of the paper, the following notation will be used:

$$\left[(-)Fe_{SPIN}^{valence} (-)Fe_{SPIN}^{valence} \right]_{TET} \left[(-)Fe_{SPIN}^{valence} (-)Fe_{SPIN}^{valence} (-)Fe_{SPIN}^{valence} (-)Fe_{SPIN}^{valence} \right]_{OCT}$$

[]_{TET/OCT} denotes the spin, valence, and magnetic ordering for the tetrahedral (TET)/octahedral (OCT) sites (Fig. 1). A “-” in front of Fe denotes that the spin points in the opposite direction from Fe without a “-”. Only collinear spins are considered. The superscript/subscript after the Fe marks the valence/spin of that Fe atom. Spin will be represented by HS (high-spin), IS (intermediate-spin), and LS (low-spin) and the magnetic moment for each spin and valence state is given in Table II. The six Fe atoms represent the six Fe atoms in the fourteen atom primitive unit cell of Fe₃O₄¹⁷.

Table I: Three structures are explored in the calculations. Magnetite may undergo an inverse to normal spinel transition with pressure. Magnetite may also undergo a phase transition to h-Fe₃O₄ with pressure. The spin state of Fe within all three structures is necessary to gain a complete understanding of the high-pressure spin states.

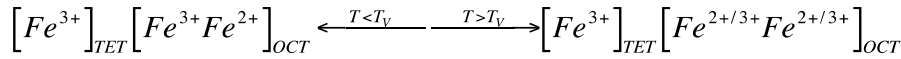
Low-pressure	High-pressure	
magnetite, inverse spinel	magnetite, normal spinel	h-Fe ₃ O ₄ , normal spinel
$[Fe^{3+}]_{TET} [Fe^{2+}Fe^{3+}]_{OCT}$	$[Fe^{2+}]_{TET} [Fe^{3+}Fe^{3+}]_{OCT}$	OR $[Fe^{2+}]_{TET} [Fe^{3+}Fe^{3+}]_{OCT}$
Imma symmetry	$Fd\bar{3}m$ symmetry	$Pbcm$ symmetry
Random distribution of Fe ²⁺ and Fe ³⁺ on the OCT site.		High-pressure phase.
Fig. 1(a)	Fig. 1(a)	Fig. 1(b)

Table II: Total number of unpaired electrons and magnetic moment (μ_B) for each spin state and valence state (Fe²⁺ and Fe³⁺).

valence	HS high-spin	IS intermediate-spin	LS low-spin
Fe ²⁺	4	2	0
Fe ³⁺	5	3	1

1. Magnetite at ambient conditions: inverse spinel

Fe occupies both tetrahedral (*TET*) and octahedral (*OCT*) sites in magnetite (Fig. 1) at ambient conditions. The magnetism in magnetite is due to the ferrimagnetic ordering of Fe spins between the *TET* and *OCT* sites (Fig. 1). The known phases for the magnetite at lower pressure include both a low-temperature monoclinic phase with ordered Fe^{2+} and Fe^{3+} and a disordered *Fd-3m* symmetry structure. At 120 K (T_V) magnetite undergoes an electronic transition, the Verwey transition, which corresponds to a change in electrical conductivity¹⁴ due to the transition:



When $T > T_V$, there is a statistical distribution of Fe^{2+} and Fe^{3+} with an average charge of $\text{Fe}^{2.5+}$ on the octahedral sites leading to high electrical conductivity. For $T < T_V$, Fe^{2+} and Fe^{3+} become ordered on the *OCT* site and, as a consequence, conductivity is lost¹⁴. Charge ordering has been confirmed by resonant x-ray diffraction experiments^{26,27}. DFT calculations are technically at absolute zero, since the energy solution corresponds to the ground state energy.

The focus of this study is on pressure-induced spin transitions in magnetite at room temperature for direct comparison with the experimental spin-transition study by Ding et al.⁷. Ideally, both phases would be included for a complete study of the spin state with and without the effect of charge-ordering. Instead, a single phase, the charge-ordered structure $\left[\text{Fe}^{3+} \right]_{TET} \left[\text{Fe}^{3+} \text{Fe}^{2+} \right]_{OCT}$, was used as an approximation of the true statistical distribution. This is a necessary approximation to make the calculations practical and an acceptable approximation given the goal of the work to study the spin transition. Representing the random distribution of Fe^{2+} and Fe^{3+} atoms in the $T > T_V$ charge-disordered structure $\left[\text{Fe}^{3+} \right]_{TET} \left[\text{Fe}^{2+/3+} \text{Fe}^{2+/3+} \right]_{OCT}$ would require a large cell that is computationally impractical for this work. Furthermore, the pressure-dependence of T_V is not yet clear and at the higher pressures of the mantle the charge-ordered structure may in fact be the most stable structure at temperatures of interest.

In terms of the accuracy of the approximation, both the monoclinic (charge-ordered) and *Fd-3m* (charge-averaged) structures are clearly quite similar in energy, as the Verwey transition occurs at

$T_{\text{Verwey}}=120\text{K}$. This generally argues for charge ordering being a small contribution to the energy, on the order of just $kT_{\text{Verwey}} \sim 10 \text{ meV/Fe atom}$. The results of this study will show the energy scale of the high- to intermediate- spin transition in magnetite is 1.01 eV/f.u. ($\sim 340 \text{ meV/Fe}$). Therefore, the energy change due to charge ordering differences should not change the energetics of the spin transition enough to stabilize intermediate-spin and in general would have negligible effects on the transitions of interest.

The charge-ordered inverse-spinel magnetite structure was created by starting with $Fd\bar{3}m$ symmetry and the experimental atomic positions (Fe(tet) 0.125 0.125 0.125, Fe(oct) 0.5 0.5 0.5, O .2549 .2549 .2549, $a=b=c=8.3965$, $\alpha=\beta=\gamma=90^\circ$)^{17,28}. To allow for ordering of Fe^{2+} and Fe^{3+} , a 14-atom unit cell was created²⁹ and the symmetry on the OCT site was reduced to $Imma$ by making the 4th and 5th Fe atoms Fe^{2+} , consistent with Wenzel and Steinle-Neumann (2007). The choice of the $Imma$ charge-ordered inverse-spinel magnetite structure is a practical approximation that has allowed us to elucidate the magnetite spin behavior with minimal loss of accuracy.

2. Magnetite at high pressure: normal spinel

Magnetite may undergo an inverse to normal spinel transition near 8 GPa^{15,16}. 8 GPa is near the possible spin-transition region, therefore spin transitions should also be considered in normal spinel. The normal-spinel structure was created from the experimentally identified atom positions for this structure in a 14-atom unit cell with $Fd\bar{3}m$ symmetry¹⁷. In the input file all TET atoms were specified as Fe^{2+} and all OCT atoms were specified as Fe^{3+} by setting their respective initial magnetic moments.

3. Phase change in magnetite at high-pressure: $h\text{-Fe}_3\text{O}_4$

The high-pressure magnetite phase, $h\text{-Fe}_3\text{O}_4$ has a CaMn_2O_4 -type structure with $Pbcm$ space group¹⁸, with the magnetic ordering of Fe^{2+} on the tetrahedral site and Fe^{3+} on the octahedral site $[\text{Fe}^{2+}]_{\text{TET}}[\text{Fe}^{3+}\text{Fe}^{3+}]_{\text{OCT}}$ (Fig. 1b). Within the calculations, the $Pbcm$ symmetry relaxes to CaTi_2O_4 -type $Bbmm$ ²⁹, consistent with¹⁹ and¹⁷. A 28-atom unit cell was used.

A. Calculations under pressure

High-pressure behavior of magnetite (inverse spinel and normal spinel) and h-Fe₃O₄ was studied by performing fixed volume calculations. The ions were allowed to relax but cell shape and volume were fixed – this corresponds to ISIF=2 in the VASP INCAR file²⁹. In the magnetite 14-atom unit cell, the volume space grid was 155, 150, 145, 140, 135, 130, 125, and 120 Å³. In the 28-atom h-Fe₃O₄ unit cell the volume space grid was 290, 285, 280, 275, 270, 265, 260, 250, 240, 230 and 220 Å³. For each structure and spin state, energy as a function of volume, E(V), was fit to a 3rd-order Birch-Murnaghan equation of state to determine the energy and volume as a function of pressure. From E(P) and V(P), enthalpy as a function of pressure, H(P)=E(P)+P·V(P), and the equation of state parameters were found.

B. Calculating the spin state

Our goal is to understand the spin state of Fe in Fe₃O₄. Since the spin state of Fe could be linked to site coordination (TET vs. OCT), magnetic ordering, charge ordering, and charge coordination (valence), all these factors must be studied in order to have a full understanding of the spin state of Fe in Fe₃O₄. In this section, the spin calculations are laid out in detail.

To motivate the spin states studied, we first consider the spin related changes measured as a function of pressure by Ding et al., 2008. Their *K*-edge x-ray magnetic circular dichroism measurements show a drop in total magnetic moment by half (a decrease of 4μ_B to 2μ_B /formula unit, which would be 8μ_B to 4μ_B in our fourteen-atom computational unit cell) and their X-ray emission-spectroscopy measurements find a drop of peak intensity by ~ 15%, meaning ~15% of unpaired electrons have reduced their spins. In the formula unit of inverse-spinel magnetite (3 Fe atoms) $[-Fe^{3+}]_{TET}[Fe^{3+}Fe^{2+}]_{OCT}$, there are two Fe³⁺ atoms (five unpaired electrons each, Table II) and one Fe²⁺ atom (four unpaired electrons, Table II), with a total of fourteen unpaired electrons. If Fe²⁺ goes from HS to IS (drop from four to two unpaired electrons), the reduction in unpaired electrons is 14%, consistent with measurements⁷. Another spin transition that would be consistent with measurements but not considered by Ding et al. (2008), is

one Fe^{3+} atom (either on the TET or OCT site, but not both) going through a HS to IS transition, corresponding to a drop in unpaired electrons by 2 (14%). Therefore both intermediate-spin Fe^{2+} and Fe^{3+} are considered in this study. Even though transitions from HS to LS Fe^{2+} or Fe^{3+} are too high of a drop in unpaired electrons to match the experimental measurements of Ding, et al., these larger spin state changes are also considered in order to map out the entire spin space.

1. Calculating the spin state of inverse spinel

All calculations started with *Imma* symmetry (see section II A), which allowed for ordering of Fe^{2+} and Fe^{3+} on the OCT sites. In VASP, the initial magnetic moments and moment directions on each Fe atom can be specified but only the total net magnetic moment (NMM) in the 14-atom unit cell can be fixed throughout the calculation. Therefore the direction of the moments on individual atoms can relax to different orderings as long as the NMM remains fixed. For inverse spinel,

$[-\text{Fe}_{\text{HS}}^{3+} - \text{Fe}_{\text{HS}}^{3+}]_{\text{TET}} [\text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{HS}}^{3+}]_{\text{OCT}}$, the net moment is 8 μ_{B} because the individual magnetic moments (in μ_{B}) are -5 - 5 + 5 + 4 + 4 + 5, which sum to 8 (see Table II, Table III, Table IV).

The magnetic ordering schemes considered for HS are given in Table III. These are all possible distinct (i.e., symmetrically inequivalent) magnetic orderings in the 14-atom unit cell. Besides the ferromagnetic arrangement, all magnetic orderings are ferrimagnetic except one with a net moment of 0, which is antiferromagnetic.

Table III: All possible magnetic arrangements in 14-atom inverse-spinel magnetite cell. If the arrangement/spin is metastable, then the initial moments specified in calculation are *locally* meta-stable after the final relaxations. Otherwise the initial moments are *not locally* meta-stable and relaxed to different individual moments with the same total net moment. Italicized abbreviations in the left column match those in Fig. 2.

TET		OCT				Net Moment	Locally meta-stable
Fe1	Fe2	Fe3	Fe4	Fe5	Fe6		

Magnetic orderings, all high-spin

Ferrimagnetic (<i>magI</i>) $[-\text{Fe}_{\text{HS}}^{3+} - \text{Fe}_{\text{HS}}^{3+}]_{\text{TET}} [\text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{HS}}^{3+}]_{\text{OCT}}$	-5	-5	5	4	4	5	8	Yes
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Antiferromagnetic (NMM0) $[-Fe_{HS}^{3+}Fe_{HS}^{3+}]_{TET}[-Fe_{HS}^{3+}Fe_{HS}^{2+} - Fe_{HS}^{2+}Fe_{HS}^{3+}]_{OCT}$	-5	5	-5	4	-4	5	0	Yes
Ferrimagnetic (NMM2) $[Fe_{HS}^{3+}Fe_{HS}^{3+}]_{TET}[Fe_{HS}^{3+} - Fe_{HS}^{2+} - Fe_{HS}^{2+}Fe_{HS}^{3+}]_{OCT}$	5	5	5	-4	-4	5	2	Yes
Ferrimagnetic (NMM2*) $[Fe_{HS}^{3+}Fe_{HS}^{3+}]_{TET}[-Fe_{HS}^{3+} - Fe_{HS}^{2+} - Fe_{HS}^{2+}Fe_{HS}^{3+}]_{OCT}$	5	5	-5	-4	-4	5	2	Yes
Ferrimagnetic (NMM8) $[Fe_{HS}^{3+}Fe_{HS}^{3+}]_{TET}[-Fe_{HS}^{3+}Fe_{HS}^{2+}Fe_{HS}^{2+} - Fe_{HS}^{3+}]_{OCT}$	5	5	-5	4	4	-5	8	Yes
Ferrimagnetic (NMM12) $[Fe_{HS}^{3+}Fe_{HS}^{3+}]_{TET}[Fe_{HS}^{3+} - Fe_{HS}^{2+} - Fe_{HS}^{2+}Fe_{HS}^{3+}]_{OCT}$	5	5	5	-4	-4	5	12	Yes
Ferrimagnetic (NMM18) $[-Fe_{HS}^{3+}Fe_{HS}^{3+}]_{TET}[Fe_{HS}^{3+}Fe_{HS}^{2+}Fe_{HS}^{2+}Fe_{HS}^{3+}]_{OCT}$	-5	5	5	4	4	5	18	Yes
Ferromagnetic (NMM28) $[Fe_{HS}^{3+}Fe_{HS}^{3+}]_{TET}[Fe_{HS}^{3+}Fe_{HS}^{2+}Fe_{HS}^{2+}Fe_{HS}^{3+}]_{OCT}$	5	5	5	4	4	5	28	Yes

Energies for all these magnetic orderings as a function of pressure have been calculated and are discussed in section 3.1. The most stable magnetic ordering

$[-Fe_{HS}^{3+} - Fe_{HS}^{3+}]_{TET}[Fe_{HS}^{3+}Fe_{HS}^{2+}Fe_{HS}^{2+}Fe_{HS}^{3+}]_{OCT}$ was used as the starting configuration for all spin-transition calculations. The atomic positions and individual moments were then allowed to relax. There are two measures of spin stability. The first measure is that individual moments for a fixed NMM must retain their starting spin state after relaxation. If the spin state on the individual Fe atoms relaxes to different moments for a given initial NMM, the initial spin arrangement is not stable. If the individual spin states are the same after relaxation, then the spin state is considered *locally* stable. For each locally stable spin state we explore a second measure to determine if the spin state is stable *globally*. Global stability is determined by plotting the enthalpy curves for different spin states as a function of pressure and determining the most stable spin state of all the spin arrangements considered at each pressure.

In the 14-atom computational cell (six Fe atoms) HS inverse-spinel magnetite has a net moment of 8. Calculations with all intermediate-spin (net moment 4) were not locally stable; the cell relaxed to high-spin Fe^{3+} on the *TET* and *OCT* sites and intermediate-spin Fe^{2+} on the *OCT* (net moment of 4). Calculations with all low-spin (net moment 0) were also not locally stable. The calculation relaxed to

high-spin Fe^{3+} on the *TET* and *OCT* and low-spin Fe^{2+} on the *OCT* (net moment of 0). Decreasing the moment of Fe^{3+} on *TET* without reducing the moment on the *OCT* increases the total net moment (12 for IS Fe^{3+} , 16 for LS Fe^{3+}) and is not locally stable. IS Fe^{3+} on *TET* relaxed to all high-spin normal spinel, or $[-\text{Fe}_{\text{HS}}^{2+} - \text{Fe}_{\text{HS}}^{2+}]_{\text{TET}} [\text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{HS}}^{3+}]_{\text{OCT}}$. LS Fe^{3+} on *TET* relaxed to normal spinel with IS Fe^{2+} on the *TET* site, or $[-\text{Fe}_{\text{IS}}^{2+} - \text{Fe}_{\text{IS}}^{2+}]_{\text{TET}} [\text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{HS}}^{3+}]_{\text{OCT}}$.

Unlike spin transitions on the *TET* site, decreasing the moment of Fe^{2+} or Fe^{3+} on the *OCT* site decreases the total net moment (4 for IS Fe^{2+} and Fe^{3+} , 0 for LS Fe^{2+} and Fe^{3+}). Magnetite with intermediate-spin Fe^{3+} on the *OCT* site has the same total net magnetic moment as magnetite with Fe^{2+} on the *OCT* site. During relaxation, intermediate-spin Fe^{3+} was only locally stable in the calculation for very low-pressures and never globally more stable than high-spin. For higher pressures, Fe^{3+} on the *OCT* site changed to high-spin and Fe^{2+} relaxed to intermediate-spin. For a total net moment of 4, it is more energetically favorable for Fe^{2+} to change from high- to intermediate-spin than for Fe^{3+} . Likewise, low-spin Fe^{3+} was not stable in the calculation. For a fixed total moment of 0, the calculations relaxed to LS Fe^{2+} instead of Fe^{3+} , suggesting Fe^{3+} in inverse-spinel magnetite is only stable as high-spin.

2. Calculating the spin state of normal spinel

Since there may be an inverse to normal spinel transition in magnetite, spin transition calculations were also conducted in the normal spinel structure as a function of pressure (Fig. 3). All possible spin states (HS, IS, LS) on the *TET* and *OCT* sites were explored (Table V). Spin transitions were considered on both *TET* and *OCT* sites as well as individually on the *TET* or *OCT* site (Table V).

A number of the normal-spinel spin configuration calculations were not locally stable. Calculations of all intermediate-spin Fe relaxed to inverse-spinel HS. Calculations of all low-spin Fe relaxed to Fe with moments slightly reduced from HS, maintaining a net moment of 4. Reducing the spin of Fe^{3+} to LS or IS on the *OCT* site was not energetically favorable. To maintain the net magnetic moment,

the system preferred to change the *TET* site to HS Fe^{3+} (inverse spinel), and reduce the total moment on every Fe atom on the *OCT* site.

3. Calculating the spin state of $h\text{-Fe}_3\text{O}_4$

The correct magnetic ordering also needs to be determined in the high-pressure phase, $h\text{-Fe}_3\text{O}_4$. The experimentally determined $h\text{-Fe}_3\text{O}_4$ unit cell is twice that of magnetite, therefore spins and magnetic ordering are given for the 12 Fe atoms in the unit cell (out of 28 total atoms). Only magnetic orderings that fit within the crystallographic unit cell are considered (Table VI). Trying every possible magnetic arrangement in the 28-atom cell would be computationally impractical. Therefore, only a few representative magnetic orderings were chosen based on the results in magnetite (Fig. 2): the same magnetic ordering as magnetite, magnetic ordering yielding a net magnetic moment of 0, and ferromagnetic. Energetics and details of the different magnetic arrangements for $h\text{-Fe}_3\text{O}_4$ are discussed in section 3.3.

Calculations starting with all intermediate-spin or all low-spin Fe on the *OCT* and *TET* sites were not locally stable and relaxed to a combination of high-, low-, and reduced-spin Fe^{2+} and Fe^{3+} with a net moment of 16 (8 for all low-spin). Calculations that started with intermediate-spin Fe^{3+} on the *OCT* site were not locally stable and relaxed to a combination of high- and low-spin Fe atoms and the *TET* site became Fe^{2+} .

III. RESULTS

This section is organized as follows. First, magnetic ordering and spin transitions within inverse-spinel magnetite are presented (section A). Then results on magnetic ordering and spin transitions in normal-spinel magnetite are given (section B). The stable magnetic arrangements and spin states in h-Fe₃O₄ are presented in section C. Section D discusses the impact of magnetic order, spin and normal/inverse spinel on the pressure-induced phase transition of inverse-spinel magnetite to h-Fe₃O₄. Finally, section E gives the equations of state of the key phases as a function of pressure.

A. Magnetic ordering and spin transitions in inverse-spinel magnetite

The strong magnetic moment in inverse-spinel magnetite occurs due to ferrimagnetic $[-Fe_{HS}^{3+} - Fe_{HS}^{3+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{3+}]_{OCT}$ ordering between the *TET* and *OCT* sites¹⁴. We test that this is the correct magnetic ordering in inverse-spinel magnetite by comparing the enthalpies as a function of pressure for multiple magnetic arrangements in the charge-ordered structure. The relative enthalpies of the different magnetic orderings are plotted in Fig. 2(a). The figure clearly shows that ferrimagnetic ordering between the *TET* and *OCT* sites $[-Fe_{HS}^{3+} - Fe_{HS}^{3+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{3+}]_{OCT}$ (*magI*) is the most stable for all pressures by more than 140 meV/f.u. and is used for the remainder of the inverse-spinel magnetite spin studies. There is no magnetic ordering transition under pressure in magnetite.

Ferromagnetic ordering (FM) is over 0.8 eV/f.u. less stable than ferromagnetic *magI*.

The spin transition pressure of Fe is considered on both *TET* and *OCT* sites (Fig. 2(b)). Table IV lists the spin states considered, the initial individual moments specified on each atom, and the total magnetic moment. Fe³⁺ on the *TET* site in inverse-spinel magnetite remains HS for all pressures. Neither all intermediate- nor low-spin Fe on the *TET* site are locally stable in the calculations (Table IV).

The relative enthalpies of high-, intermediate-, and low-spin Fe²⁺ on the *OCT* site are plotted in Fig. 2(b). Intermediate-spin Fe²⁺ is 1 eV/f.u. less stable at ambient pressure than high-spin Fe. Low-spin

Fe²⁺ is 1.2 eV/f.u. less stable than high-spin. For all pressures up to 45 GPa (the highest considered), Fe²⁺ and Fe³⁺ in inverse-spinel magnetite will remain high-spin. To be sure that the instability of the intermediate-spin state was not due to our specific value of U, a range of U values were explored. Increasing U stabilizes high-spin magnetite with respect to intermediate-spin and decreases the h-Fe₃O₄ to magnetite phase transition pressure²⁹.

The experimentally observed phases involve unit cells significantly larger than 14-atom unit cell. Pursuing larger cells with more complex charge ordering would greatly complicate and slow the calculations. In fact, in addition to the 14-atom charge-ordered structure with *Imma* symmetry, the 56-atom structure charge-ordered structure with *P2/c* monoclinic symmetry (*Pmca* pseudosymmetry)²⁷ was also used. The larger unit cell was calculated with intermediate-spin Fe²⁺ on the octahedral site. Intermediate spin was not metastable in the calculations; the Fe spins relaxed to a mixture of high- and low-spin Fe²⁺ and Fe³⁺. In VASP, only the total moment can be fixed; moments on individual atoms cannot be fixed. The larger unit cell made controlling the individual spins on the Fe atoms computationally impossible; therefore the simpler *Imma* symmetry was chosen for easier control of Fe's spin state.

Table IV: Summary of all inverse-spinel magnetite spin states considered in this study, organized by site. Initial moments for each spin state and total fixed net moment for cell in the 14-atom cell. Italicized abbreviations in the left column match those in Fig. 2(b). When a calculation is not *locally* meta-stable, the final spin state after relaxation is noted and explained in the text.

TET		OCT				Net Moment	Locally meta-stable
Fe1	Fe2	Fe3	Fe4	Fe5	Fe6		

Spin-transitions on both sites

All high-spin (<i>magI</i>) $[-Fe_{HS}^{3+} - Fe_{HS}^{3+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{3+}]_{OCT}$	-5	-5	5	4	4	5	8	yes
All intermediate-spin $[-Fe_{IS}^{3+} - Fe_{IS}^{3+}]_{TET} [Fe_{IS}^{3+} Fe_{IS}^{2+} Fe_{IS}^{2+} Fe_{IS}^{3+}]_{OCT}$	-3	-3	3	2	2	3	4	no → <i>IS</i> 2+ <i>OCT</i>
All low-spin $[-Fe_{LS}^{3+} - Fe_{LS}^{3+}]_{TET} [Fe_{LS}^{3+} Fe_{LS}^{2+} Fe_{LS}^{2+} Fe_{LS}^{3+}]_{OCT}$	-1	-1	1	0	0	1	0	no → <i>LS</i> 2+ <i>OCT</i>

Tetrahedral (TET) site spin-transitions

Intermediate-spin Fe^{3+} $[-\text{Fe}_{\text{IS}}^{3+} - \text{Fe}_{\text{IS}}^{3+}]_{\text{TET}} [\text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{HS}}^{3+}]_{\text{OCT}}$	-3	-3	5	4	4	5	12	no → normal spinel <i>HS TET,</i> <i>OCT</i>
Low-spin Fe^{3+} $[-\text{Fe}_{\text{LS}}^{3+} - \text{Fe}_{\text{LS}}^{3+}]_{\text{TET}} [\text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{HS}}^{3+}]_{\text{OCT}}$	-1	-1	5	4	4	5	16	no → normal spinel <i>IS 2+ TET</i>

Octahedral (OCT) site spin-transitions

Intermediate-spin Fe^{2+} (<i>IS 2+ OCT</i>) $[-\text{Fe}_{\text{HS}}^{3+} - \text{Fe}_{\text{HS}}^{3+}]_{\text{TET}} [\text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{IS}}^{2+} \text{Fe}_{\text{IS}}^{2+} \text{Fe}_{\text{HS}}^{3+}]_{\text{OCT}}$	-5	-5	5	2	2	5	4	yes
Intermediate-spin Fe^{3+} $[-\text{Fe}_{\text{HS}}^{3+} - \text{Fe}_{\text{HS}}^{3+}]_{\text{TET}} [\text{Fe}_{\text{IS}}^{3+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{IS}}^{3+}]_{\text{OCT}}$	-5	-5	3	4	4	3	4	Low pressure only → <i>IS 2+ OCT</i>
Low-spin Fe^{2+} (<i>LS 2+ OCT</i>) $[-\text{Fe}_{\text{HS}}^{3+} - \text{Fe}_{\text{HS}}^{3+}]_{\text{TET}} [\text{Fe}_{\text{HS}}^{3+} \text{Fe}_{\text{LS}}^{2+} \text{Fe}_{\text{LS}}^{2+} \text{Fe}_{\text{HS}}^{3+}]_{\text{OCT}}$	-5	-5	5	0	0	5	0	yes
Low-spin Fe^{3+} $[-\text{Fe}_{\text{HS}}^{3+} - \text{Fe}_{\text{HS}}^{3+}]_{\text{TET}} [\text{Fe}_{\text{LS}}^{3+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{HS}}^{2+} \text{Fe}_{\text{LS}}^{3+}]_{\text{OCT}}$	-5	-5	1	4	4	1	0	no → <i>LS 2+ OCT</i>

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B. Magnetic ordering and spin transitions in normal-spinel magnetite

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Normal spinel is less stable than inverse spinel (mag1) by over 0.77 eV/f.u. for all pressures (Fig.

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3). As in the case of inverse spinel, intermediate- and low-spin Fe^{2+} on the *TET* site in normal spinel are

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energetically unfavorable with respect to high-spin iron. Spin transitions on the *OCT* site were considered

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(Table V), especially intermediate-spin Fe^{3+} , which has a net moment of four. However, changing to

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intermediate-spin on the *OCT* site was not locally stable and upon relaxation the system formed inverse

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spinel (Fe^{3+} on the *TET* site) and reduced the moment of Fe^{2+} on the *OCT* site (without actually flipping an

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electron spin to fully form the intermediate-spin state).

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Table V: Spin states considered in the fourteen-atom normal-spinel magnetite unit cell. Italicized

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abbreviations in the left column match those in Fig. 3. When a given spin or magnetic arrangement is not

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locally meta-stable, the final spin state after relaxation is noted and explained in the text.

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TET		OCT				Net moment	Locally meta- stable
Fe1	Fe2	Fe3	Fe4	Fe5	Fe6		

Spin-transitions on both sites

All high-spin (<i>HS TET, OCT</i>) $[-Fe_{HS}^{2+} - Fe_{HS}^{2+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+}]_{OCT}$	-4	-4	5	5	5	5	12	Yes
All intermediate-spin $[-Fe_{IS}^{2+} - Fe_{IS}^{2+}]_{TET} [Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+}]_{OCT}$	-2	-2	3	3	3	3	8	no → <i>magI</i>
All low-spin $[-Fe_{LS}^{2+} - Fe_{LS}^{2+}]_{TET} [Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+}]_{OCT}$	0	0	1	1	1	1	4	no → reduced moments

Tetrahedral (TET) site spin-transitions

Intermediate-spin Fe^{2+} (<i>IS 2+ TET</i>)	-2	-2	5	5	5	5	16	Yes
Low-spin Fe^{2+} (<i>LS 2+ TET</i>) $[-Fe_{LS}^{2+} - Fe_{LS}^{2+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+}]_{OCT}$	0	0	5	5	5	5	20	Yes

Octahedral (OCT) site spin-transitions

Intermediate-spin Fe^{3+} $[-Fe_{HS}^{2+} - Fe_{HS}^{2+}]_{TET} [Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+}]_{OCT}$	-4	-4	3	3	3	3	4	no → inverse spinel with reduced moments on OCT
Low-spin Fe^{3+} $[-Fe_{HS}^{2+} - Fe_{HS}^{2+}]_{TET} [Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+}]_{OCT}$	-4	-4	1	1	1	1	-4	no → inverse spinel with reduced moments on OCT

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C. Magnetic ordering and spin transitions in h-Fe₃O₄

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The most stable magnetic ordering in h-Fe₃O₄ is antiferromagnetic ordering (h-NMM0) with a

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total net moment of 0 (Fig. 4(a)). Ferrimagnetic ordering between *TET* and *OCT* (h-NMM24) with a net

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moment of 24 has very similar energetics to the antiferromagnetic ordering, differing by ~ 20 meV/f.u..

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There is an approximately 140 meV/f.u. difference between ferrimagnetic and ferromagnetic ordering in

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h-Fe₃O₄.

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Intermediate-spin Fe^{2+} on the *TET* site is more than 0.84 eV/f.u. less stable than HS (Fig. 4b).

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Low-spin Fe^{2+} on the *TET* site is more than 1.26 eV/f.u. less stable than HS Fe^{2+} . Ferrimagnetic LS Fe^{3+} on

the OCT site and ferromagnetic Fe^{3+} on the *OCT* site have similar enthalpies (to within 140 meV/f.u.), but both are more than 2.1 eV/f.u. less stable than HS h- Fe_3O_4 . Therefore high-spin Fe remains stable in both *TET* and *OCT* sites for all pressures considered in this study (up to 70 GPa).

It is worth noting that in both magnetite and h- Fe_3O_4 , spin lowering in Fe^{2+} was more stable than spin lowering in Fe^{3+} . If the initial moments were set such that Fe^{3+} had a lower moment, the relaxations tended to favor flipping the spin on the Fe^{2+} rather than on the Fe^{3+} . This demonstrates a clear coupling of valence and spin state, with Fe^{3+} favoring high-spin more than Fe^{2+} in the magnetite structure. This result might be expected due to the half-filled d-shell providing additional stabilization in the Fe^{3+} HS state.

Table VI: Magnetic orderings and spin arrangements considered in 28-atom h-Fe₃O₄. Italicized abbreviations in the left column match those in Fig. 4. To conserve space, individual moments are not listed. When a calculation is not locally stable, the final spin state after relaxation is noted and explained in the text.

	Net moment	Locally meta-stable
Magnetic ordering arrangements, all high-spin		
Inverse spinel, ferrimagnetic (<i>magI</i>) $[-Fe_{HS}^{3+} - Fe_{HS}^{3+} - Fe_{HS}^{3+} - Fe_{HS}^{3+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{3+} Fe_{HS}^{3+}]_{OCT}$	16	Yes
h-Fe ₃ O ₄ , anti-ferromagnetic (<i>h-NMM0</i>) $[Fe_{HS}^{2+} - Fe_{HS}^{2+} Fe_{HS}^{2+} - Fe_{HS}^{2+}]_{TET} [Fe_{HS}^{3+} - Fe_{HS}^{3+} Fe_{HS}^{3+} - Fe_{HS}^{3+} Fe_{HS}^{3+} - Fe_{HS}^{3+} Fe_{HS}^{3+} - Fe_{HS}^{3+}]_{OCT}$	0	Yes
h-Fe ₃ O ₄ , ferrimagnetic (<i>h-NMM24</i>) $[-Fe_{HS}^{2+} - Fe_{HS}^{2+} - Fe_{HS}^{2+} - Fe_{HS}^{2+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+}]_{OCT}$	24	Yes
h-Fe ₃ O ₄ , ferromagnetic (<i>h-FM</i>) $[Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{2+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+}]_{OCT}$	56	Yes
Spin-transitions on both sites		
All intermediate-spin $[-Fe_{IS}^{2+} - Fe_{IS}^{2+} - Fe_{IS}^{2+} - Fe_{IS}^{2+}]_{TET} [Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+}]_{OCT}$	16	no → reduced moments
All low-spin $[-Fe_{LS}^{2+} - Fe_{LS}^{2+} - Fe_{LS}^{2+} - Fe_{LS}^{2+}]_{TET} [Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+}]_{OCT}$	8	no → reduced moments
Tetrahedral (TET) site spin-transitions		
Intermediate-spin Fe ²⁺ (<i>IS 2+ TET</i>) $[-Fe_{IS}^{2+} - Fe_{IS}^{2+} - Fe_{IS}^{2+} - Fe_{IS}^{2+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+}]_{OCT}$	32	Yes
Low-spin Fe ²⁺ (<i>LS 2+ TET</i>) $[-Fe_{LS}^{2+} - Fe_{LS}^{2+} - Fe_{LS}^{2+} - Fe_{LS}^{2+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+} Fe_{HS}^{3+}]_{OCT}$	40	Yes
Octahedral (OCT) site spin-transitions		
Intermediate-spin Fe ³⁺ $[-Fe_{HS}^{2+} - Fe_{HS}^{2+} - Fe_{HS}^{2+} - Fe_{HS}^{2+}]_{TET} [Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+} Fe_{IS}^{3+}]_{OCT}$	8	no → reduced moments
Low-spin Fe ³⁺ , ferromagnetic (<i>LS 3+ OCT</i>) $[Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{2+}]_{TET} [Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+}]_{OCT}$	24	Yes
Low-spin Fe ³⁺ , ferromagnetic (<i>LS 3+ OCT</i>) $[-Fe_{HS}^{2+} - Fe_{HS}^{2+} - Fe_{HS}^{2+} - Fe_{HS}^{2+}]_{TET} [Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+} Fe_{LS}^{3+}]_{OCT}$	-8	Yes

D. Phase transitions under pressure: inverse-spinel magnetite to h-Fe₃O₄ structure.

Based on the most stable spin states vs. pressure for inverse-spinel magnetite, normal-spinel magnetite, and h-Fe₃O₄ there is a predicted phase transition from the inverse-spinel magnetite to h-Fe₃O₄ at 10 GPa. Below 10 GPa the most stable state is the inverse-spinel magnetite structure (*mag1*) with high-spin Fe and ferrimagnetic ordering between the *OCT* and *TET* sites (Fig. 4). Above 10 GPa, the most stable state is the normal-spinel high-pressure magnetite structure h-Fe₃O₄ with high-spin Fe. This corresponds to an inverse to normal spinel transition due to the phase transition. Within magnetite (*mag1*), there is no inverse to normal spinel transition. The proposed inverse- $[Fe^{3+}]_{TET}[Fe^{2+/3+}Fe^{2+/3+}]_{OCT}$ to normal- $[Fe^{2+}]_{TET}[Fe^{3+}Fe^{3+}]_{OCT}$ spinel transition in magnetite around 8-15 GPa^{15,16} was not supported by the calculations (Fig. 3). As illustrated in the previous figures, there are no spin transitions in inverse-spinel or normal-spinel magnetite or h-Fe₃O₄ as a function of pressure. Across the phase transition the volume decreases by 7-8%, consistent with experimentally measured volume changes (Fig. 5). In magnetite, the calculated volume is almost 4% higher than the experimental values at all pressures (Fig. 5). The discrepancy is consistent with typical volume overestimation of a few percent from GGA vs. experiment. However, some of the error may come from comparing the *Imma* structure of this study, which has charge ordering on the octahedral site, to the *Fd3m* structure¹⁷, which is charge-averaged. The ordering on the octahedral site of *Imma* may expand the lattice relative to the charge-averaged structure (*Fd3m*). In h-Fe₃O₄, the computational volume is less than 3% larger the experimental volume (Fig. 5), also consistent with GGA simulations overestimating the volume. A full structural comparison between this study and literature values is given in the supplemental materials²⁹.

The system also undergoes an insulating to metal transition, which can be seen in the electronic density of states (DOS) show in Figure 6. Magnetite (charge ordered, *Imma*) is insulating at the ground state with a band gap of just under 0.2 eV at 0 GPa, consistent with previous computational and

experimental studies³⁰. Magnetite remains insulating up to 21 GPa. However h-Fe₃O₄ exhibits metallic behavior, with a significant DOS at the Fermi level at 20 GPa.

E. Bulk elastic properties as a function of pressure

The equation of state parameters were calculated for Fe₃O₄ (Table VII). The calculated bulk modulus, B_0 , of inverse- and normal-spinel magnetite vary by less than 5 GPa, and are more compressible than h-Fe₃O₄ by over 15 GPa. Likewise, the volumes of inverse and normal spinel are similar to each other and larger than V_0 of h-Fe₃O₄ by almost 0.9 Å³/atom. For all cases, lowering the spin state from high-spin to intermediate- and low-spin raises E_0 and lowers V_0 . B' remains almost unchanged for lower-spin states. In magnetite, B_0 and B' are in the same range as other experimental and computational values (Table VII). As explained above, the calculated V_0 is ~ 4% larger than the one obtained from experiments.

Table VII: Equation of state parameters for Fe₃O₄ at ambient pressure. Energy, E_0 , bulk modulus, B_0 , the pressure derivative of the bulk modulus, B' , and the ground state volume, V_0 , come from fits to a 3rd order Birch-Murnaghan equation of state. Parameters are given for high-spin (HS), intermediate-spin (IS) and low-spin (LS) Fe.

<i>Magnetite: inverse-spinel</i>					<i>h-Fe₃O₄</i>		
	HS	Literature (HS)	IS 2+ OCT	LS 2+ OCT	HS	IS 2+ TET	LS
E_0 (eV/atom)	-6.946		-6.802	-6.774	-6.888	-6.7506	-
B_0 (GPa)	173	141-222 ¹⁷ 180.6 ¹⁶	188	172	189	187	-
B'	3.97	4-7.5 ¹⁷ , 4.33 ¹⁶	3.98	3.92	4.02	3.9974	-
V_0 (Å ³ /atom)	11.07	10.57 ¹⁶	10.78	10.76	10.19	10.1516	-

<i>Magnetite: normal spinel</i>			
	HS	IS 2+ TET	LS 2+ TET
E_0 (eV/atom)	-6.833	-6.596	-
B_0 (GPa)	169	170	-
B'	3.83	3.89	-
V_0 (Å ³ /atom)	11.21	11.02	-

IV. DISCUSSION

The calculations of the magnetic ordering in inverse-spinel magnetite indicate that there is ferrimagnetic ordering between the *TET* and *OCT* sites $[-Fe_{HS}^{3+} - Fe_{HS}^{3+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{3+}]_{OCT}$ with a residual moment of $8\mu_B/14$ -atom unit cell ($4\mu_B$ /formula unit). All possible magnetic ordering arrangements in the 14-atom cell were calculated. We confirm that the inverse spinel ordering, $[-Fe_{HS}^{3+} - Fe_{HS}^{3+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{3+}]_{OCT}$, is still the most stable magnetic ordering configuration up to 45 GPa. The computational results therefore suggest that the reduction of total moment by one half observed experimentally⁷ cannot be attributed to a change of magnetic ordering arrangements.

Having the correct magnetic arrangement at ambient pressure allowed for studying the spin in the correct structure. In our study, we accounted for Fe in different sites (*TET* and *OCT*), Fe with different valence (Fe^{2+} and Fe^{3+}), and different charge coordination (inverse spinel and normal spinel). Iron remained high-spin for all charge sets, site occupations, pressures and structures considered. In the calculations, there is no pressure-induced transition from inverse-spinel magnetite to normal-spinel magnetite. The calculations predict a pressure-induced phase transition from inverse-spinel magnetite to normal-spinel h- Fe_3O_4 at 10 GPa, in agreement with some older experimental measurements¹⁷⁻¹⁹ but contradictory to more recent experiments^{4,20}. Instead of finding a phase transition, Baudalet et al (2010) and Glazyrin et al (2012) found the magnetic moment decreases with increasing pressure.

The high-pressure h- Fe_3O_4 phase is predicted to be antiferromagnetic, but the enthalpies of all magnetic ordering arrangements considered are within 0.175 eV/f.u. of each other. The close energetics are in contrast with inverse-spinel magnetite where magnetic orderings vary by over 0.875 eV/f.u.. Therefore, magnetic ordering has a much greater stabilizing effect in inverse-spinel magnetite than in h- Fe_3O_4 . The small energy difference between different magnetic arrangements in h- Fe_3O_4 may explain why it has been measured as being paramagnetic at room temperature¹⁷. The h- Fe_3O_4 phase also remains high-

spin for pressures up to 45 GPa (the highest of this study). Therefore, the calculations predict that Fe_3O_4 will undergo a phase transition at 10 GPa but the spin state of Fe will remain high-spin for all pressures.

Table VIII: The magnitudes of the magnetic, $T\Sigma_{\text{magnetic}}=kT\ln(2S+1)$, and electronic, $T\Sigma_{\text{electronic}}=kT\ln(D)$, entropy contributions to the free energy for high-spin ($3t_{2g}\uparrow 2e_g\uparrow t_{2g}\downarrow$), intermediate-spin ($3t_{2g}\uparrow 1e_g\uparrow 2t_{2g}\downarrow$) and low-spin ($3t_{2g}\uparrow 3t_{2g}\downarrow$) Fe^{2+} . S_σ is the spin number and D is the electron degeneracy in t_{2g} and e_g . At room temperature, kT is approximately 0.026 eV. There are 3 Fe atoms in a formula unit of Fe_3O_4 , therefore $\Sigma_{\text{magnetic}} + \Sigma_{\text{electronic}}$ is reported for 3 Fe atoms. The expression for Σ_{magnetic} above is only applicable to paramagnets. If magnetite (high-spin Fe) has strong magnetic ordering, there will be no magnetic entropy term ($T\Sigma_{\text{magnetic}}=0$). We assume the other phases are paramagnetic. Assuming magnetite with intermediate-spin Fe is paramagnetic provides an upper bound on its free energy gain associated with the magnetic degrees of freedom. The total contribution to the free energy is given by - $T\Sigma_{\text{total}}$.

	S_σ	D		$T\Sigma_{\text{magnetic}}$ (kT/f.u.)	$T\Sigma_{\text{electronic}}$ (kT/f.u.)	$T\Sigma_{\text{total}} =$ $T\Sigma_{\text{magnetic}} +$ $T\Sigma_{\text{electronic}}$ (kT/f.u.)	$-T\Sigma_{\text{total}}$ (eV/f.u. at room temp)
<i>High Spin</i> Fe^{2+}	2	3 t_{2g}	Magnetite (magnetically ordered)	0	$3kT\ln(3)$	$3kT\ln(3)$	-0.086
			Magnetite (paramagnetic)	$3kT\ln(5)$	$3kT\ln(3)$	$3kT(\ln(15))$	-0.211
			h- Fe_3O_4	$3kT\ln(5)$	$3kT\ln(3)$	$3kT(\ln(15))$	-0.211
<i>Intermediate</i> <i>Spin</i> Fe^{2+}	1	3 t_{2g} , 2 e_g (total = $3 \times 2 = 6$)	magnetite	$3kT\ln(3)$	$3kT\ln(6)$	$3kT(\ln(18))$	-0.225
			h- Fe_3O_4	$3kT\ln(3)$	$3kT\ln(6)$	$3kT(\ln(18))$	-0.225
<i>Low Spin</i> Fe^{2+}	0	1 t_{2g}	magnetite	$3kT\ln(1)$	$3kT\ln(1)$	0	-0.000
			h- Fe_3O_4	$3kT\ln(1)$	$3kT\ln(1)$	0	-0.000

DFT calculations are effectively at absolute zero and therefore do not include temperature effects.

From the DFT calculations alone, it is not clear if increasing temperature could stabilize intermediate-spin with respect to high-spin. The effect of temperature on the spin transition can be determined from the Gibbs free energy expression ($G=H-T\Sigma$). The Gibbs free energy is the sum of the enthalpy, H , and entropy, Σ , (vibrational, magnetic, electronic) terms multiplied by temperature, T . The enthalpy was calculated from DFT (see results section). The entropic terms relevant to spin are the magnetic and electronic entropies estimated for a paramagnetic phase as:

$$\Sigma_{\text{magnetic}} = k\ln(2S_\sigma + 1)$$

$$\Sigma_{\text{electronic}} = k\ln(D)$$

where S_σ is the spin quantum number, D is the orbital degeneracy in t_{2g} and e_g states, and k is the Boltzmann constant. S_σ and D values for high-, intermediate, and low-spin Fe^{2+} and Fe^{3+} in *OCT* and *TET* sites are shown in Table VIII, where D value are estimated from crystal field arguments³¹. The entropy contributions to the free energy in units of kT ($kT = 0.026$ eV) evaluated at room temperature are given in Table VIII. These estimates assume all phases are paramagnetic except for high-spin magnetite, which is known to have strong magnetic ordering at room temperature. This approach gives the largest possible temperature dependence to the free energy estimates and therefore provides an upper bound on the magnetic and electronic contributions to the spin and phase stability. In magnetite, the sum of the magnetic and electronic contributions to the entropy are -0.086 eV/f.u. for high-spin Fe^{2+} , -0.225 eV/f.u. for intermediate-spin Fe^{2+} , and 0 eV/f.u. for low-spin Fe^{2+} (at room temperature). These additional contributions to the free energy from the electronic and magnetic entropy will only reduce ΔH by 0.139 eV/f.u. at room temperature (0.463 eV/f.u. at 1000 K), which is too small to stabilize intermediate-spin (Fig. 2(b)).

The effect of temperature and the electronic and spin degrees of freedom on the phase transition from magnetite to $\text{h-Fe}_3\text{O}_4$ (Fig. 4b) can also be estimated using the same arguments as above. At room temperature, magnetite with high-spin Fe^{2+} has strong magnetic ordering (Fig. 2a) and thus no magnetic entropy contribution; therefore the entropic and temperature contributions to Gibbs free energy due to high-spin Fe^{2+} is -0.086 eV/f.u.. The high-pressure phase $\text{h-Fe}_3\text{O}_4$ has weak magnetic ordering (Fig. 4(a)) (paramagnetic, Table VIII) leading to a total contribution to the Gibbs free energy of -0.211 eV/f.u.. Thus, temperature will drive the phase transition towards lower pressures and reduce ΔH by 0.125 eV/f.u. (at 300K). If $\text{h-Fe}_3\text{O}_4$ retains magnetic ordering, then the entropy contributions to the Gibbs free energy will be the same for magnetite and $\text{h-Fe}_3\text{O}_4$ and the phase transition will remain unchanged.

It should be noted that there are also vibrational contributions to the free energies of the different phases and spin states in this study. However, we assume that the change in vibrational free energy between different spin states is small due to the general similarity of the structures involved. Furthermore, we expect that lower spin states will produce smaller volumes and correspondingly stiffer

lattices, which will reduce the stabilizing effects of vibrational degrees of freedom³². Therefore, it is expected that a rigorous treatment of vibrational contributions will only further destabilize the intermediate- and low-spin states. The vibrational contributions to the magnetite to h-Fe₃O₄ transition are not clear; however, because the high-pressure phase is stiffer, it is expected to be destabilized by vibrational contributions, leading to some lowering of the phase transition pressure with increasing temperature.

Magnetite is now the third system in which experimental X-ray emission spectra (XES) measurements have been interpreted as intermediate-spin Fe but theoretical calculations have not found intermediate-spin to be stable. In the other systems, (Mg,Fe)SiO₃ perovskite and post-perovskite, XES measurements show a drop in peak intensity to a non-zero value, which is interpreted as intermediate spin^{8,33}. Yet calculations in perovskite do not predict IS Fe to be stable^{9,13,34}. In magnetite, perovskite and post-perovskite, the satellite peak from the XES measurements did not completely disappear. In systems where XES measurements show a drop in satellite peak intensity to zero good agreement exists between theory and XES measurement interpretations. For example, both theory and XES measurements support a high- to low-spin transition in (Mg,Fe)O ferropericlase, (see³⁵ and references within) and FeS¹¹.

There are two implications of this experimental-theoretical discrepancy. The first is a possible a limitation in the *ab initio* methods. To test this hypothesis, two additional quantum mechanical approaches were used. DMol³, a DFT approach with localized-basis function methods¹², was chosen to test if the localized basis functions of DMol³ better describe intermediate-spin Fe than the planewave basis functions use in VASP. VASP hybrid DFT-Hartree Fock methods³⁶ were also explored to see if the addition of Hartree-Fock terms to the energetics changed the qualitative predictions regarding intermediate spin. The energy of magnetite was calculated with both methods for high-, intermediate- and low-spin Fe²⁺ on the *OCT* site²⁹. All approaches predict that the *OCT* Fe²⁺ will remain high-spin up to 40 GPa (Table A1). DFT using planewaves and local basis functions, as well as hybrid DFT- Hartree-Fock methods all find high-spin Fe to be stable with respect to intermediate-spin Fe. Cluster-based DFT methods with newer functionals do not find a stable intermediate-spin state in perovskite¹³ and other iron-

bearing complexes either³⁷. The agreement amongst these various methods suggests the calculations are capturing the correct spin behavior of iron, however the small possibility exists that essential physics of intermediate-spin are not accurately described.

The more plausible implication of the experimental-theoretical discrepancy is that the observed drop of satellite peak intensity to a non-zero value in XES measurements at high-pressure is due to a change other than a transition to intermediate-spin iron, such as electron delocalization with pressure^{4,20}. If XES with a satellite peak that is reduced to a non-zero value cannot be interpreted as intermediate-spin Fe^{2+} , then Fe^{2+} in magnetite, perovskite, and post-perovskite will remain high-spin.

V. CONCLUSIONS

The *ab initio* calculations isolated the complex magnetic ordering, valence states, charge ordering, and different local Fe site environments in magnetite and h-Fe₃O₄ as a function of pressure. The calculations found a pressure-induced structural phase transition from inverse-spinel magnetite to normal spinel h-Fe₃O₄. The magnetic ordering of inverse-spinel magnetite does not change with pressure and iron remains high-spin for all pressures. There is no evidence from the *ab initio* energetics for an inverse to normal spinel transition with pressure in magnetite. However, the magnetite to high-pressure magnetite h-Fe₃O₄ phase transition corresponds to an inverse to normal spinel transition, but the normal-spinel h-Fe₃O₄ has a different symmetry and structure from magnetite.

The most stable spin state is high-spin Fe in the ferrimagnetic arrangement for both magnetite and high-pressure magnetite h-Fe₃O₄ structures up to 50 GPa (the highest pressure considered in this study). The calculations have accounted for site occupation, valence, and charge coordination. Intermediate-spin iron is not stable in magnetite, contrary to experimental measurements⁷. The discrepancy between theoretical and experimental measurements of intermediate-spin iron only occurs when the x-ray emission spectra satellite peak intensity drops to a non-zero value, which has been seen in magnetite, perovskite, and post-perovskite. The results suggest X-ray emission spectra may need to be interpreted differently at high pressures. Failure to find intermediate spin in magnetite implies Fe²⁺ in perovskite and post perovskite will also remain high spin.

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Figure 1: (a) magnetite. As inverse spinel, the octahedral site (OCT, light grey) is a statistical distribution of Fe^{2+} and Fe^{3+} and the tetrahedral site (TET, black) is Fe^{3+} . As normal spinel, the octahedral site is Fe^{3+} and the tetrahedral site is Fe^{2+} . (b) h- Fe_3O_4 , the high-pressure magnetite phase. The octahedral site (OCT, light grey) is Fe^{3+} and the tetrahedral site (TET, black) is Fe^{2+} .

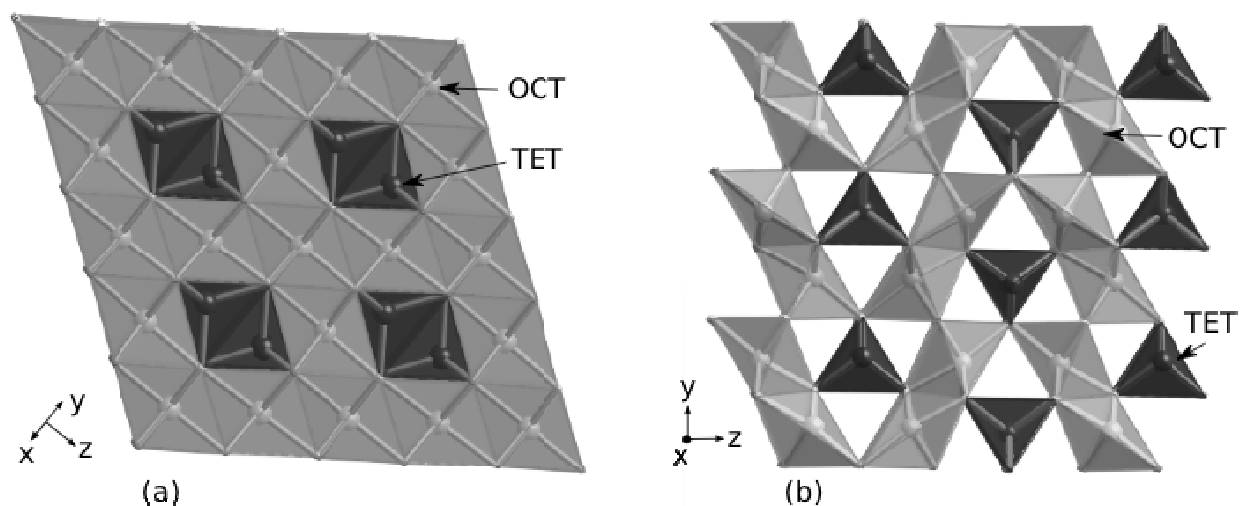


Figure 2: (a) stable magnetic ordering in inverse-spinel magnetite. All enthalpies are referenced to high-spin (HS) magnetite, *mag1*. Abbreviations are explained in Table III. (b) Spin states in inverse spinel magnetite. Enthalpies of Fe^{2+} in high-, intermediate- (IS), and low-spin (LS) states on the octahedral (*OCT*) site referenced to high-spin magnetite. Abbreviations are explained in Table IV.

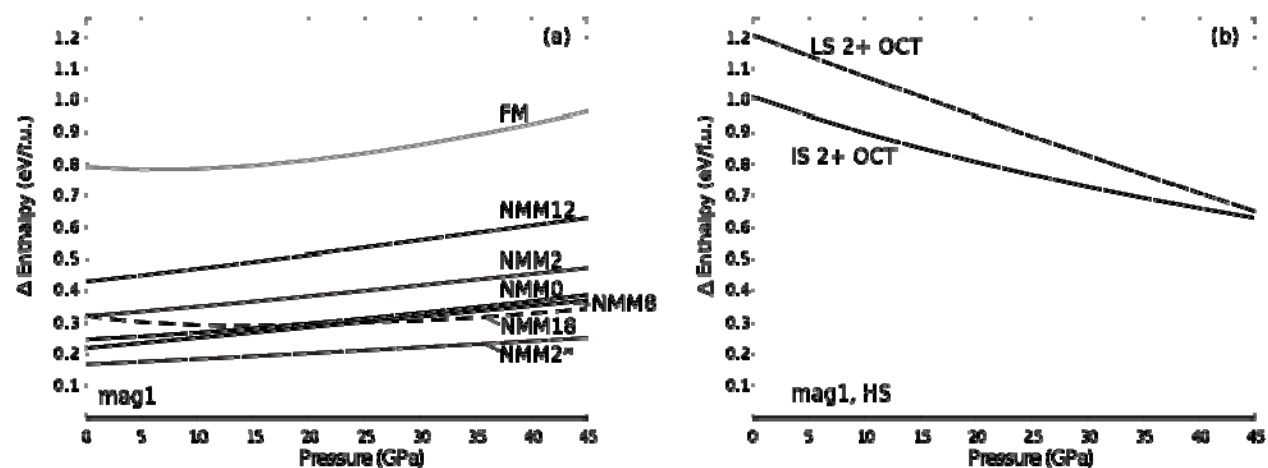


Figure 3: stable spin states in normal-spinel magnetite. All enthalpies are referenced to HS magnetite with the inverse spinel structure, *mag1*. Details of the magnetic arrangements can be found in Table V.

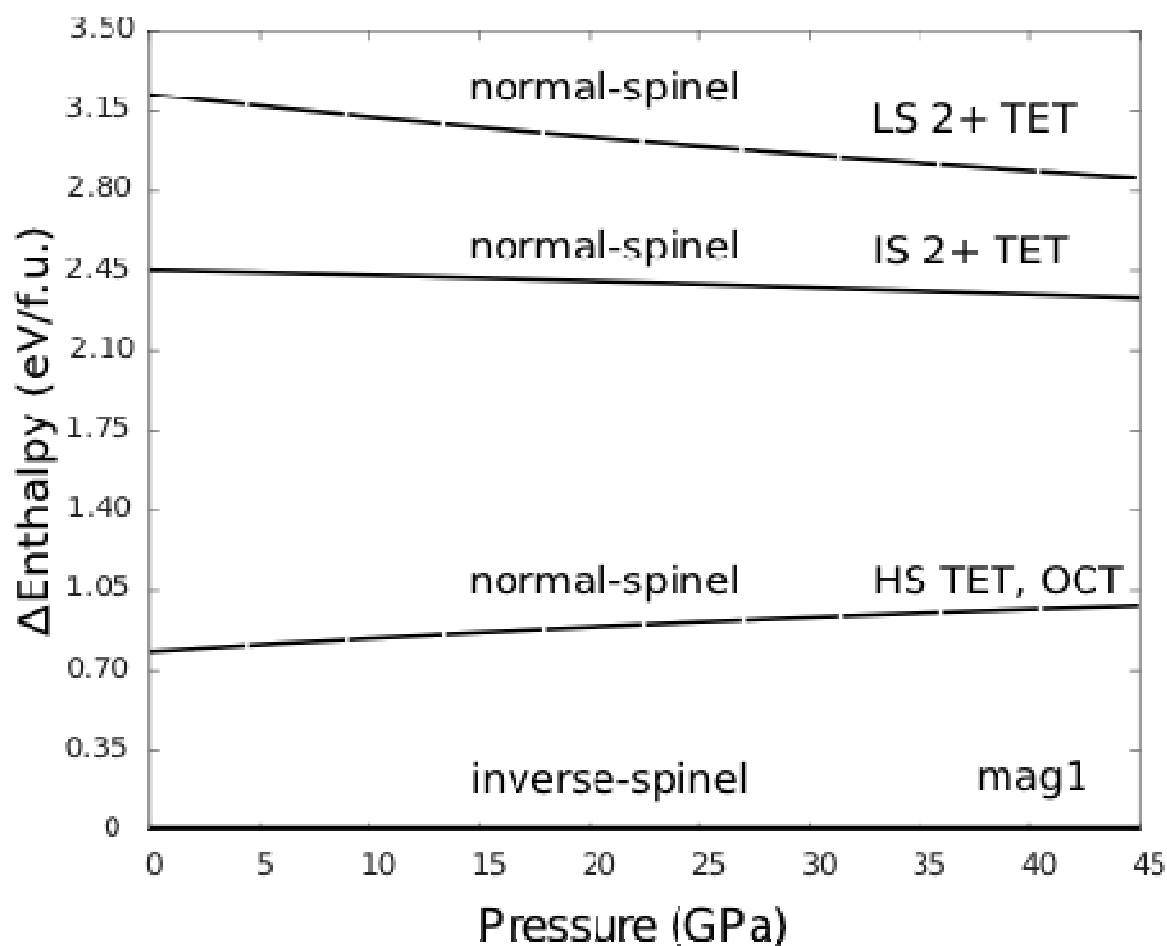


Figure 4: (a) Stable magnetic arrangements in normal-spinel $\text{h-Fe}_3\text{O}_4$ referenced to high-spin magnetite, *mag1* (b) Stable spin states in $\text{h-Fe}_3\text{O}_4$ *Pbcm*. Abbreviations for spin states and magnetic orderings given in Table VI.

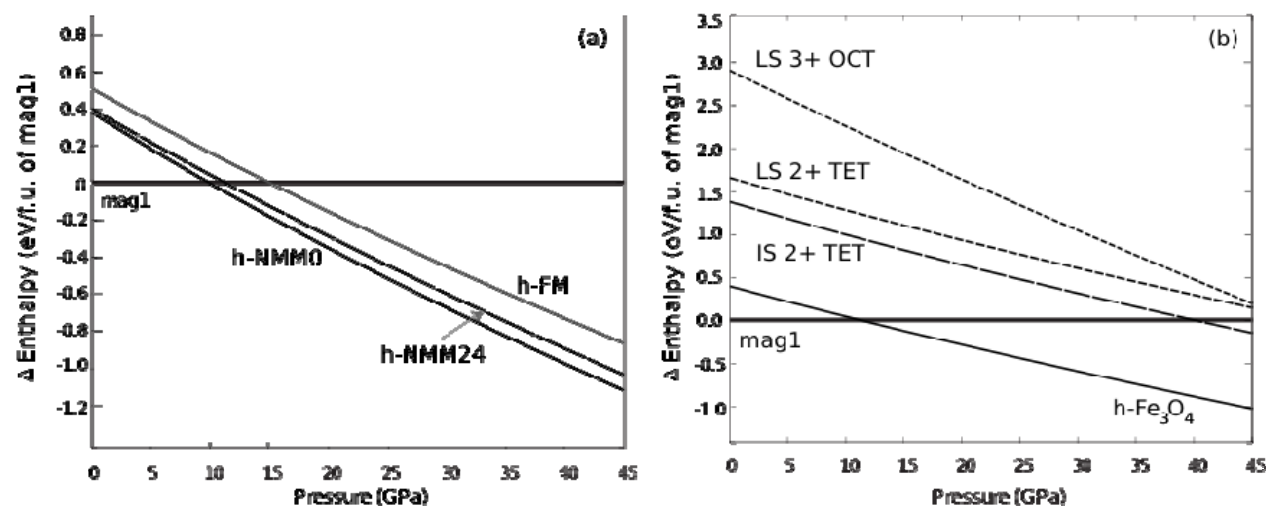


Figure 5: Volume change across phase transition from inverse-spinel magnetite ($[-Fe_{HS}^{3+} - Fe_{HS}^{3+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{3+}]_{OCT}$) to h-Fe₃O₄ ($[-Fe^{2+}]_{TET} [Fe^{3+} Fe^{3+}]_{OCT}$). The volume curves for each phase are given in orange squares (light for magnetite, dark for h-Fe₃O₄). The dashed orange line follows the stable phase as a function of pressure. Experimental volumes for magnetite and h-Fe₃O₄ (grey/black circles¹⁷) are shown for comparison with the calculations. The dashed black line follows the experimental stable phase as a function of pressure

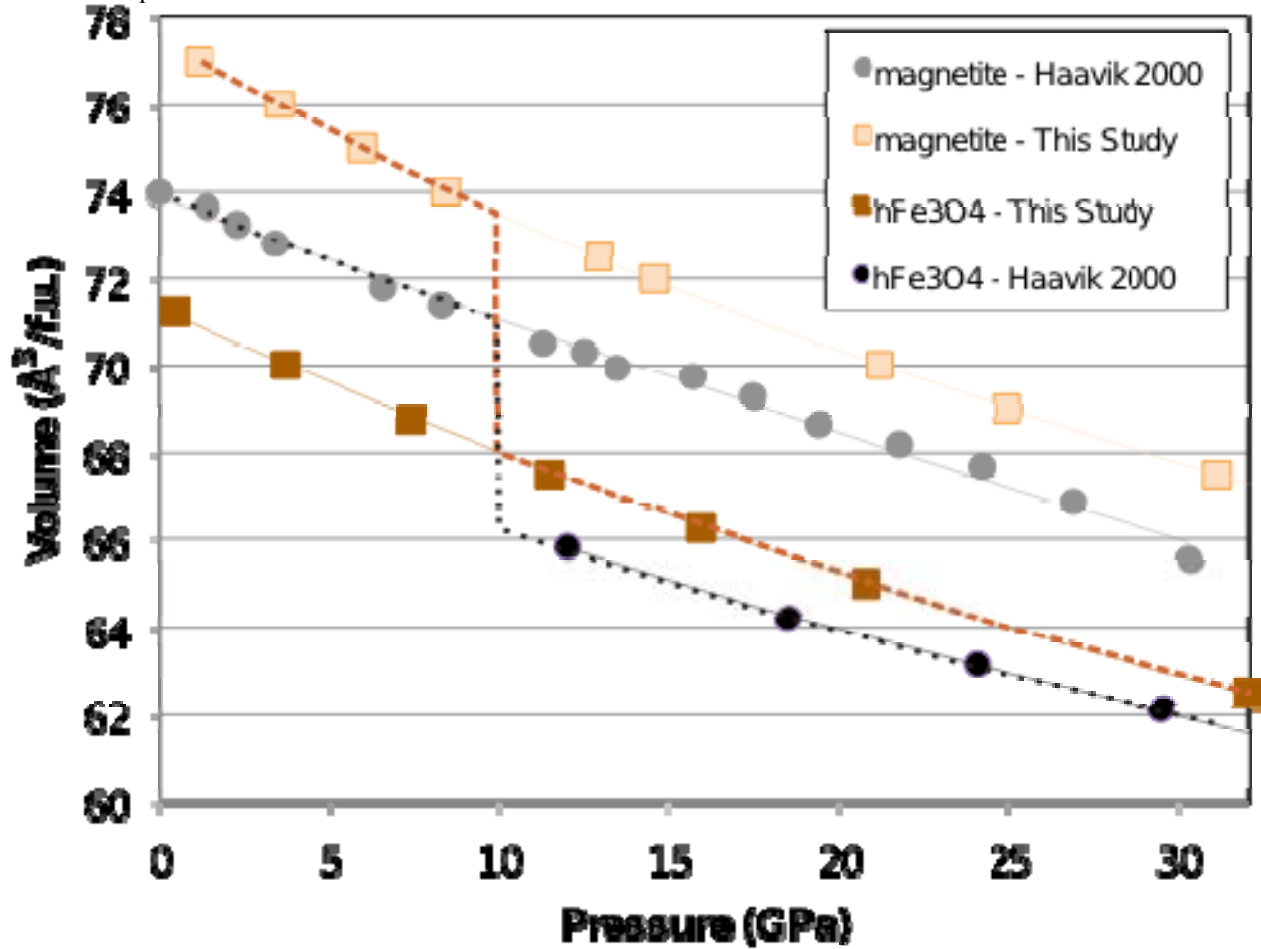
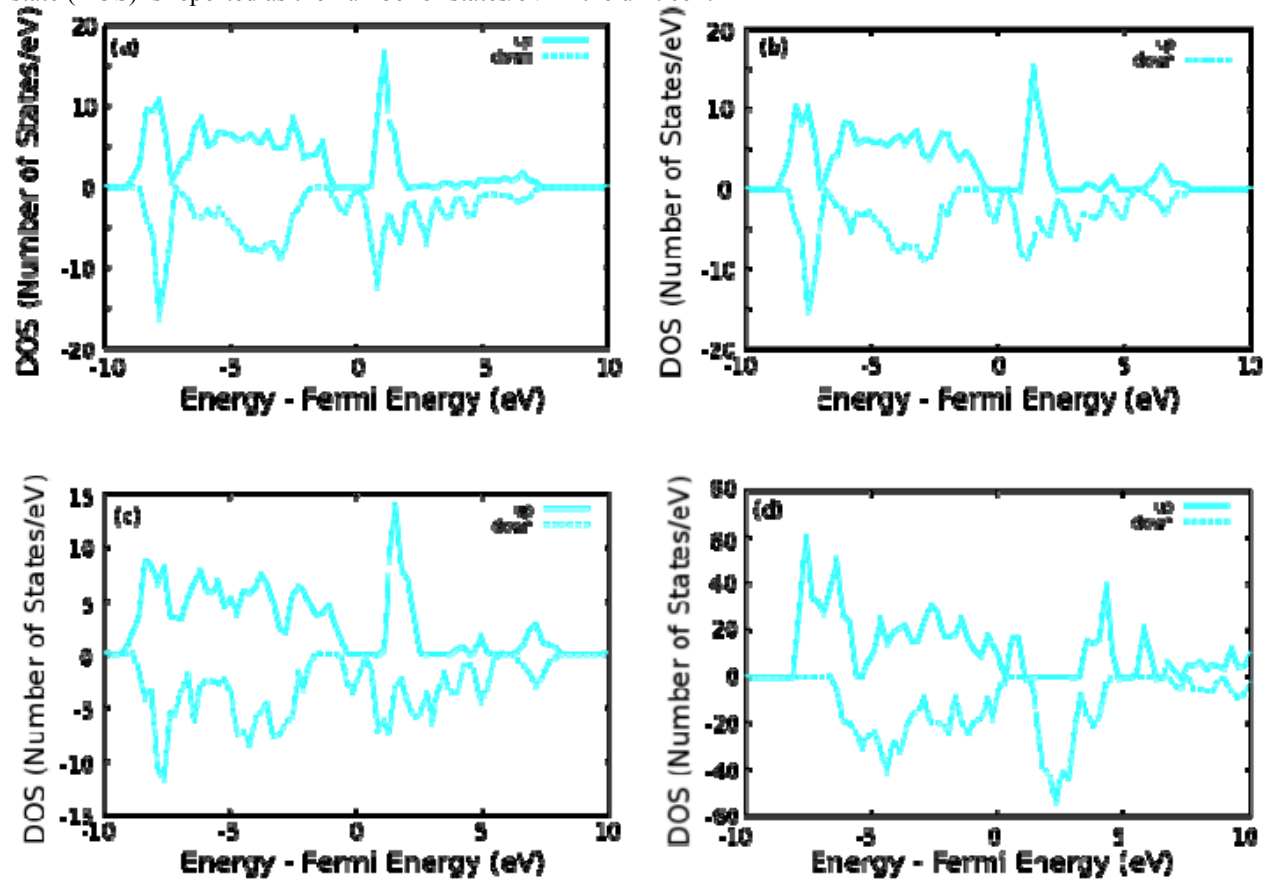


Figure 6: Electronic structure of (a) magnetite, $[-Fe_{HS}^{3+} - Fe_{HS}^{3+}]_{TET} [Fe_{HS}^{3+} Fe_{HS}^{2+} Fe_{HS}^{2+} Fe_{HS}^{3+}]_{OCT}$, at ambient pressure (b) magnetite at 1 GPa, (c) magnetite at 21.1 GPa, and (d) h-Fe₃O₄, $[-Fe^{2+}]_{TET} [Fe^{3+} Fe^{3+}]_{OCT}$, at 20.8 GPa with high-spin Fe on all sites. The Fermi energy has been subtracted from all energy values. The density of state (DOS) is reported as the number of states/eV in the unit cell.



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