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Simplex \mathbb{Z}_2 spin liquids on the Kagome lattice with Projected Entangled Pair States: spinon and vison coherence lengths, topological entropy and gapless edge modes

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Gapped \mathbb{Z}_2 spin liquids have been proposed as candidates for the ground-state of the $S = 1/2$ quantum antiferromagnet on the Kagome lattice. We extend the use of Projected Entangled Pair States to construct (on the cylinder) Resonating Valence Bond (RVB) states including both nearest-neighbor and next-nearest neighbor singlet bonds. Our ansatz – dubbed “simplex spin liquid” – allows for an asymmetry between the two types of triangles (of order 2 – 3% in the energy density after optimization) leading to the breaking of inversion symmetry. We show that the topological \mathbb{Z}_2 structure is still preserved and, by considering the presence or the absence of spinon and vison lines along an infinite cylinder, we explicitly construct four orthogonal RVB Minimally Entangled States. The spinon and vison coherence lengths are extracted from a finite size scaling w.r.t the cylinder perimeter of the energy splittings of the four sectors and are found to be of the order of the lattice spacing. The entanglement spectrum of a partitioned (infinite) cylinder is found to be gapless suggesting the occurrence, on a cylinder with *real* open boundaries, of gapless edge modes formally similar to Luttinger liquid (non-chiral) spin and charge modes. When inversion symmetry is spontaneously broken, the RVB spin liquid exhibits an extra Ising degeneracy, which might have been observed in recent exact diagonalisation studies.

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Introduction – Gapped spin liquids are intriguing interacting quantum spin states which do not bear any local order parameter but possess *topological order*¹. The great excitement for such systems is partly due to their potential to realize qubits for future topological computers². Frustrated quantum magnets³ like the spin-1/2 Quantum Antiferromagnetic Heisenberg (QAH) model on the kagome lattice (see Fig. 1(a)) are believed to be good model candidates to host topological spin liquids. The Anderson’s Resonating Valence Bond (RVB) state⁴, Gutzwiller projected BCS wavefunctions⁵ or the solvable dimer-liquid⁶ provide simple realizations of such states. Nevertheless, Lanczos exact diagonalisations (LED) of small clusters³ of the kagome QAH model have revealed a large amount of low-energy singlets, a strong signal for other competing non-magnetic ground-states (GS), like the gapless U(1) spin liquid⁷ or valence bond crystals (VBC) spontaneously breaking lattice translation^{8–10}. However, recent Density Matrix Renormalization Group (DMRG) studies^{11–13} have provided more evidence in favor of a topological gapped \mathbb{Z}_2 spin liquid, in the neighborhood of a U(1)-Dirac spin liquid state¹⁴ nearby in energy¹⁵.

In bisimplex lattices, like kagome or pyrochlore lattices, consisting of corner-sharing simplices (e.g. triangles or tetrahedra) located on an underlying bipartite lattice, a spontaneous symmetry breaking can be expected,¹⁶ resulting in a phase where the left-simplices differ from the right-simplices (see Fig. 1(a)). For the kagome lattice the inversion symmetry is broken in this phase. Interestingly, state-of-the-art LED of kagome tori with up to 48 sites¹⁷ have suggested that the GS of the kagome QAH model might be a gapped spin liquid with

8-fold GS degeneracy originating from the product of the usual 4-fold topological \mathbb{Z}_2 degeneracy (on the torus) by a 2-fold Ising degeneracy associated to spontaneous breaking of inversion symmetry. Following this proposal, we construct here a simple ansatz for a topological \mathbb{Z}_2 spin-liquid lacking inversion symmetry that might reflect qualitatively the properties of the GS of the QAH model on the kagome lattice. For this purpose we use the Projected Entangled Pair States (PEPS) formalism¹⁸ which provides a natural construction of RVB wavefunctions^{19,20} as well as a simple understanding of their symmetries²¹, topological and entanglement properties²², and boundary theories^{20,22–24}.

PEPS construction – We start with the RVB wavefunction defined on the kagome lattice of Fig. 1(a) as an equal weight (and equal sign) summation of all nearest-neighbor (NN) singlet ($|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle$) coverings (NN singlets are all oriented clockwise on all the triangles). Such a state can in fact be represented by a $D = 3$ PEPS^{19,20} (up to local unitaries) in terms of two rank-3 tensors, (i) $A_{\lambda,\mu}^s$ on the sites, and (ii) $R_{\lambda,\mu,\nu}$ in the center of the left and right triangles, where $s = 0, 1$ are qubits representing the two $S_z = \pm 1/2$ spin components and $\lambda, \mu, \nu \in \{0, 1, 2\}$ are virtual indices (see Fig. 1(b)). More precisely, $A_{2,s}^s = A_{s,2}^s = 1$, and zero otherwise, and $R_{2,2,2} = 1$, and $R_{\lambda,\mu,\nu} = \epsilon_{\lambda,\mu,\nu}$ otherwise, with $\epsilon_{\lambda,\mu,\nu}$ the antisymmetric tensor.²⁰ One can then group 3 sites on each unit cell to obtain a rank-5 tensor (the physical dimension is now $2^3 = 8$) connected on an effective square lattice (see Fig. 1(c)). Note that one can arbitrarily choose to group the 3 sites on the left or on the right triangles. The amplitudes of the RVB state in the local S_z -basis are then obtained by contracting all vir-

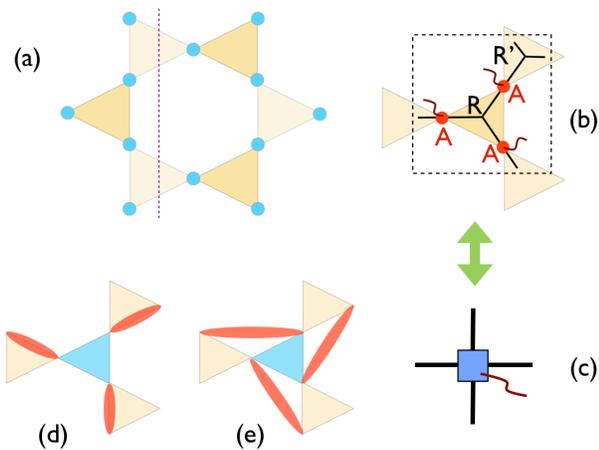


FIG. 1: (a) Kagome lattice with a vertical cut (dashed line). If the inversion center is lacking, right (light shading) and left (darker shading) triangles become non-equivalent but all sites (blue dots) keep the same environment. (b,c) PEPS representation of the RVB state: three $S = 1/2$ sites are grouped together (b) to construct a rank-5 tensor (c) involving $2^3 = 8$ physical states and $D = 3$ virtual states on the four out-going bonds. (d,e) Two dimer configurations around a “defect triangle” (in blue). Red ellipses represent singlets between two sites. The α parameter (see text) controls the relative weights between (d) and (e).

tual indices (except the ones at the boundary of a finite system). This RVB state studied in details in Ref. 22 is perfectly isotropic i.e. the energy densities are identical on all bonds (if the Hamiltonian itself is isotropic). It is also equivalent to a projected BCS wavefunction²⁵.

In the case of the NN Heisenberg $S=1/2$ antiferromagnet on the kagome lattice, “defect triangles” of Fig. 1(d) are energetically costly. The above RVB wave function has a fixed proportion (1/4) of defect triangles characterized by $\lambda = \mu = \nu = 2$ on the 3 bonds of the corresponding R -tensor. However, as shown by Zeng and Elser²⁶, the variational energy can be drastically lowered by allowing local fluctuations around each defect triangle, involving next-nearest neighbor (NNN) singlets as shown in Fig. 1(e). Such an improvement can be performed easily within the PEPS formalism by acting with the operator $\mathbb{I} - \alpha \mathbb{P}_{3/2}$ on every left triangle (see Fig. 1(b)), where \mathbb{I} is the identity operator, $\mathbb{P}_{3/2}$ is the projector on the fully symmetric subspace of three spins $1/2$ and α is a variational parameter. Note that $\mathbb{I} - \alpha \mathbb{P}_{3/2}$ can be re-written as $(1 - \frac{\alpha}{2})\mathbb{I} - \frac{2\alpha}{3}\mathbb{H}_4$, where \mathbb{H}_4 is the local Hamiltonian of the left triangle, and does not affect triangles with a dimer. Further optimization can be performed by monitoring the respective proportion of “dressed” left and (undressed) right defect triangles, using a different R' tensor on the right triangles, $R'_{2,2,2} = 1 - \beta$ ($0 \leq \beta \leq 1$) and $R'_{\lambda,\mu,\nu} = R_{\lambda,\mu,\nu}$ otherwise.

Energetics and inversion symmetry breaking—The variational energy of the modified PEPS RVB wave function can be optimized w.r.t. α and β considering infinitely-

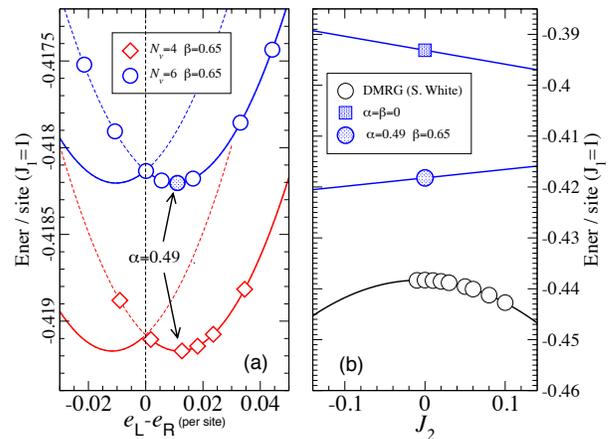


FIG. 2: (a) Variational energy vs energy difference in left and right triangles (varying α , at fixed $\beta = \beta^*$). The two parabolic “branches” are obtained by interchanging the role of left and right triangles in the PEPS construction giving two orthogonal wavefunctions $|\Phi_L\rangle$ and $|\Phi_R\rangle$. (b) Energy of the (fixed) optimized state vs NNN AF coupling J_2 ($N_v = 6$, YC12) compared to DMRG data (S. White).

long YCn ($n = 2N_v$) cylinders, i.e. horizontal cylinders w.r.t. lattice orientation of Fig. 1(a) as in DMRG studies¹¹. Perimeters with $N_v = 4$ (YC8), $N_v = 6$ (YC12) and $N_v = 8$ (YC16) unit cells are considered. One finds $\alpha = \alpha^* \simeq 0.49$ and $\beta = \beta^* \simeq 0.65$ (finite size effects are negligible). Although, by construction, left and right triangles are non-equivalent, the anisotropy remains quite small at the optimized point. The variational energy (per site, in units of the coupling $J = 1$) for fixed $\beta = \beta^*$ shown in Fig. 2(a) as a function of the difference $\Delta e = e_R - e_L$ between the energy (per site) of the left and right triangles, while varying α around α^* , reveals a parabolic behavior centered around $\Delta e = \Delta e^* \sim 0.01$ corresponding to only $\sim 2.4\%$ difference, independent of cylinder perimeter. By interchanging the role of the left and right triangles in the PEPS construction, one can obtain a degenerate branch with opposite anisotropy. The minima of these parabolas at $\pm \Delta e^*$ therefore define a pair of degenerate (orthogonal) RVB states, $|\Phi_L\rangle$ (dressing the left triangles) and $|\Phi_R\rangle$ (dressing the right triangles), which transform into each other under inversion symmetry. Note that the variational energy of this “Ising doublet”, compared in Fig. 2(b) to the DMRG data, is significantly lower than the energy of the NN RVB state^{27,28}.

Topological properties – Let us now consider a finite thin (horizontal) torus or cylinder, as depicted in Fig. 3, of length N_h and circumference N_v such that $N_h \gg N_v$. By construction, each component $|\Phi_L\rangle$ or $|\Phi_R\rangle$ of the RVB doublet inherits the same \mathbb{Z}_2 topological properties as the symmetric NN RVB spin liquid²² or Kitaev’s toric code². First, the parity $G_v = \pm 1$ of the number of (NN or NNN) singlets cut by the vertical (green) closed loop

in Fig. 3(a), is a conserved quantity and defines two *even* and *odd* topological sectors. In addition, one can consider threading a \mathbb{Z}_2 flux inside the torus (or the cylinder) along a horizontal loop (dashed line in Fig. 3(a)). Four topological sectors can then be defined by the absence ($\mathcal{W}_v = +1$) or presence ($\mathcal{W}_v = -1$) of a flux and by the parity $G_v = \pm 1$. On the cylinder, changing the parity (fixed by the boundary conditions) is obtained by shifting a line of dimers and leaving two extra spinons s and \bar{s} (of opposite spins) at the two ends. This can be viewed as inserting a "spinon" line joining its two ends (Fig. 3(b)). Similarly, a \mathbb{Z}_2 flux is added by inserting the matrix $\text{diag}(1,1,-1)$ on all the vertical bonds along a horizontal line, leaving two "visons" at the cylinder ends. Although these four sectors cannot be distinguished locally, a spontaneous $s\bar{s}$ pair (a spontaneous vison pair) whose constituents wind around the torus or the cylinder in opposite directions before annihilating, as shown in Fig. 3(b), can detect the presence/absence of a \mathbb{Z}_2 flux (measure the parity). Such virtual processes lead to splittings ΔE_v and ΔE_s between the (variational) energies in these four topological sectors as depicted in Fig. 3(a,b). Phenomenologically, one expects ΔE_v and ΔE_s to be exponentially small in the circumference N_v but to increase linearly with the torus/cylinder length N_h , i.e.

$$\begin{aligned}\Delta E_s &= aN_h N_v \exp(-N_v/\xi_{\text{spinon}}), \\ \Delta E_v &= bN_h N_v \exp(-N_v/\xi_{\text{vison}}),\end{aligned}\quad (1)$$

where ξ_{spinon} and ξ_{vison} are the spinon and vison tunneling lengths. Note that, in contrast to the torus, the GS energy in the odd parity ($G_v = -1$) sector on the cylinder involves a finite energy cost $2E_0^S$ associated to the presence of two spinons at the cylinder ends. Therefore, in the limit $N_h, N_v \rightarrow \infty$, at fixed aspect ratio, the RVB liquid is only two-fold degenerate on the cylinder while it is four-fold degenerate on the torus. Note that for the $\alpha = \beta = 0$ PEPS, a local parent Hamiltonian has been found (for which the degeneracy is also equal to 4 on the torus).^{20,29}

Spinon and vison tunneling lengths in the kagome QHAF can be estimated using the improved RVB state as a good ansatz. Finite size scalings of the variational energies (per site) in the four topological sectors, on the same type of YC cylinders as in DMRG and in the limit $N_h \rightarrow \infty$ (we set $E_0^S = 0$), are shown in Fig. 4(a). Results for the splittings shown in Fig. 4(b) as a function of the cylinder circumference can be accurately fitted according to Eqs. 1. The spinon and vison tunneling lengths are found to be quite short, $\xi_{\text{spinon}} \simeq 0.86$ and $\xi_{\text{vison}} \simeq 0.67$. It is interesting that these values are quite close to those for $\alpha = \beta = 0$, $\xi_{\text{spinon}} \simeq 1.0$ and $\xi_{\text{vison}} \simeq 0.65$, so that we believe that further addition of longer range singlet bonds will not significantly modify the coherence lengths.

Entanglement entropy – We now turn to the entanglement entropy (EE)³⁰ which provides direct access to the total quantum dimension \mathcal{D} . We consider a bi-partition of the (very long) cylinders in each of the four topological sectors, as shown in Fig. 5(a). The Von Neumann

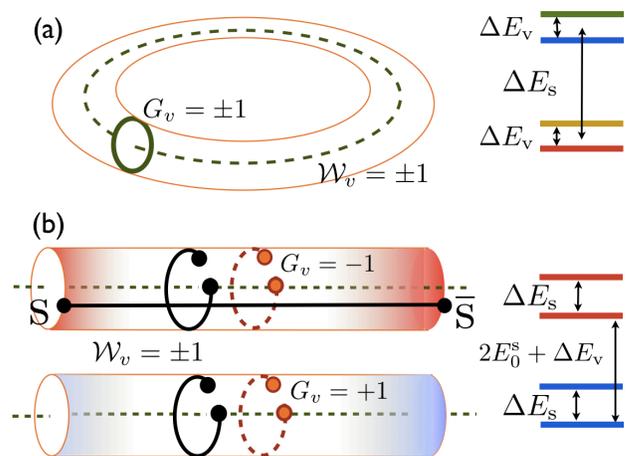


FIG. 3: The four topological sectors ($G_v = \pm 1$, $\mathcal{W}_v = \pm 1$) of the \mathbb{Z}_2 spin liquids on a thin torus (a) or on a thin cylinder (b). Zero or one \mathbb{Z}_2 -flux can be threaded through the torus/cylinder (dashed green line). In (b) spinons (visons) are shown by black (orange) dots. For $G_v = -1$ two spinons s and \bar{s} (with opposite spins) are localized at the cylinder ends. For each case, a schematic plot of the low-energy spectrum is shown. When inversion symmetry is spontaneously broken, each level becomes doubly degenerate.

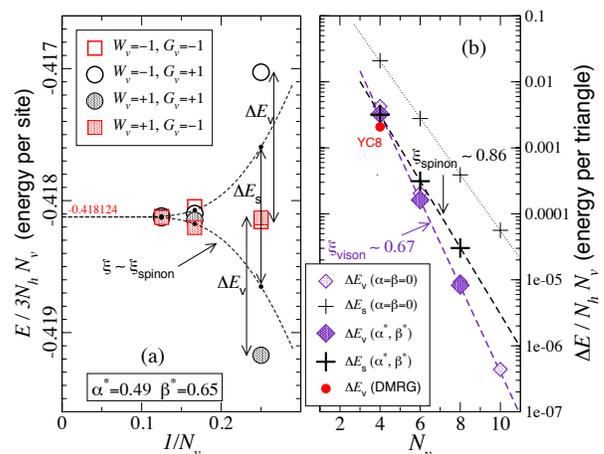


FIG. 4: (a) Finite size scaling of the RVB energy in the four topological sectors. The energy averaged between even ($G_v = +1$) and odd ($G_v = -1$) boundary conditions (dots) can be fitted as $e_\infty \pm C \exp(-N_v/\xi)$ (dashed lines). (b) The splittings in (a) are plotted vs N_v on a logarithmic scale, revealing two different coherence lengths.

(VN) EE entropy $-\text{Tr}\{\rho_A \ln \rho_A\}$, where ρ_A is the reduced density matrix of one semi-cylinder, can be obtained easily in the PEPS formalism^{22,23}. Results for $\alpha = \beta = 0$ and $\alpha \neq 0$, $\beta \neq 0$ are shown in Figs. 5(b,c). In both cases the data can be fitted according to the *area law* $S_{VN}(N_v) = cN_v - \ln \mathcal{D}$ with $\mathcal{D} = 2$ with high precision, as expected for a \mathbb{Z}_2 spin liquid^{31–33}. We note that the inclusion of NNN bonds in the improved RVB state

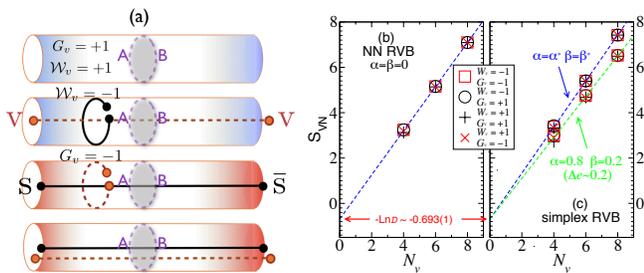


FIG. 5: (a) Bi-partitions of the long RVB cylinders into A and B semi-cylinders used to compute the EE. By optionally inserting spinon and/or vison lines, four disconnected topological sectors can be constructed. VN entanglement entropy of the symmetric (b) and simplex (c) \mathbb{Z}_2 RVB liquids in the four topological sectors as the function of the cylinder perimeter N_v . The dashed lines are linear fits based on the averages of the four data points for the two largest (YC12 and YC16) cylinders providing $\ln \mathcal{D}$ with an accuracy of 10^{-4} .

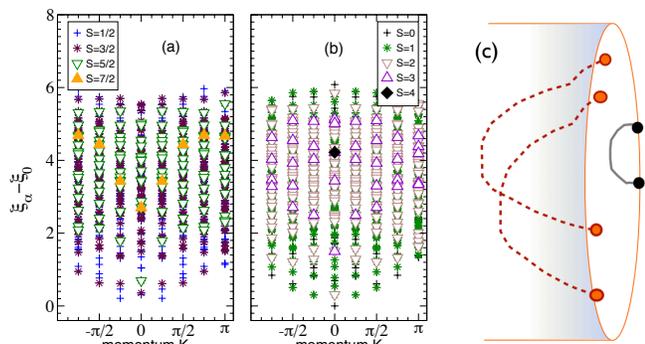


FIG. 6: Entanglement spectra for a bipartition of a $N_v = 8$ (infinite) cylinder in the $G_v = -1, W_v = +1$ (a) and $G_v = +1, W_v = +1$ topological sectors, for $\alpha = \alpha^*$ and $\beta = \beta^*$. The levels are labelled according to their total spin S (see legends) and momentum K along the cut. (c) String representation of the edge states of an infinite semi-cylinder.

leads to a small increase of the coefficient c , i.e. of the wave function entanglement. We also observe that the difference of the VN EE in the four topological sectors vanishes exponentially fast with N_v . These findings establish clearly the \mathbb{Z}_2 topological nature of the NN and “simplex” RVB states.

Entanglement spectrum and edge states – For fractional quantum Hall states with bulk gap, it has been conjectured that there is a deep one-to-one correspondence between the entanglement spectrum³⁴ (ES) of a partitioned system, i.e. the spectrum of $H_b = -\ln \rho_A$, and the true edge spectrum of the corresponding A subsystem with a boundary. Recently, it has been argued that a similar correspondence applies to *non-chiral* gapped quantum spin systems³⁵. In fact, the PEPS formalism provides the proof that H_b acts on emerging degrees of freedom on the edge²³ so that H_b can be viewed as a “boundary Hamiltonian”. It is then natural to argue that such (bond) degrees of freedom become “physical” when the system

is “cut” by a real edge and that their effective interaction is described by the above boundary Hamiltonian. We use this conjecture to argue about the existence of gapless edge modes on a RVB cylinder. The ES of an infinite bi-partitioned cylinder shown in Fig. 6 shows a dense accumulation of levels at low (pseudo-)energy. A finite size scaling with the cylinder perimeter confirms the gapless nature of the ES. Such a feature is robust and was also found for the purely NN RVB state^{20,22}. The (putative) ES-edge correspondence then implies the existence of gapless edge modes for an infinite semi-cylinder with a vertical boundary or for a finite (long) cylinder with two vertical boundaries. Note that, solvable models with \mathbb{Z}_2 topological order, such as the toric code², generically exhibits a gapped ES^{20,24}. The existence of gapless edge states^{36–38} in the toric code in fact requires a particular fine-tuned interaction³⁸. In the RVB topological phase, the boundary Hamiltonian associated to a *vertical* edge is an extended $t - J$ model²² possessing, in addition to topological \mathbb{Z}_2 invariants, translation and $SU(2)$ symmetries ($\frac{1}{2} \oplus 0$ representation). One then may expect Luttinger liquid-like ($c = 1$) zero-energy (non-chiral) spin and charge modes^{39,40}. However, it is not clear whether some degree of fine tuning is realized in the class of effective $t - J$ model obtained from H_b . Note that, as in solvable models, the edge states of the RVB liquid should also have a simple string representation³⁸ as schematically sketched in Fig. 6(c).

In summary, we have extended the previous PEPS construction of the NN RVB state for the kagome QAH model to include fluctuating NNN singlets bonds in the vicinity of defect triangles, resulting in a state very close to the optimal wave function in the space of translationally invariant PEPS with bond dimension 3. This novel RVB state dubbed “simplex spin liquid” exhibits a weak energy difference ($\sim 2 - 3\%$) between the two classes of triangles resulting in the loss of the center of inversion, as suggested by recent LED. In addition to \mathbb{Z}_2 topological order, Ising (\mathbb{Z}_2) order is also present in such a state. Studying the topological energy splitting of an infinitely long cylinder as a function of its perimeter gives access to the tunneling lengths of the spinon and vison fundamental fractionalized excitations, which turn out to be of the order of one lattice spacing. We also argue that gapless-energy modes should be present on edges oriented along one of the three crystallographic axes.

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- ⁴⁰ Identifying such a Luttinger liquid from its spectrum is tedious due to the existence of *both* spin *and* charge zero-energy (left *and* right propagating) modes with *different* velocities. In addition, a precise identification of the Conformal Field Theory levels in the ES spectrum might be further complicated by the presence of strong logarithmic corrections.