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Coherent and incoherent phase stability of rocksalt IV-VI thermoelectric alloys

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Abstract

Nanostructures formed by phase separation improve the thermoelectric figure of merit in lead chalcogenide semiconductor alloys, with coherent nanostructures giving larger improvements than incoherent nanostructures. However, large coherency strains in these alloys drastically alter the thermodynamics of phase stability. Incoherent phase stability can be easily inferred from an equilibrium phase diagram, but *coherent* phase stability is more difficult to assess experimentally. Therefore, we use density functional theory calculations to investigate the coherent and incoherent phase stability of the IV-VI rocksalt semiconductor alloy systems Pb(S,Te), Pb(Te,Se), Pb(Se,S), (Pb,Sn)Te, (Sn,Ge)Te, and (Ge,Pb)Te. Here we use the term coherent to indicate that there is a common and unbroken lattice between the phases under consideration, and we use the term incoherent to indicate that the lattices of coexisting phases are unconstrained and allowed to take on equilibrium volumes. We find that the thermodynamic ground state of all of the IV-VI pseudo-binary systems studied is incoherent phase separation. We also find that the coherency strain energy, previously neglected in studies of these IV-VI alloys, is lowest along 111 (in contrast to most fcc metals), and is a large fraction of the thermodynamic driving force for incoherent phase separation in all systems. The driving force for coherent phase separation is significantly reduced, and we find that coherent nanostructures can only form at low temperatures where kinetics may prohibit their precipitation. Furthermore, by calculating the energies of ordered structures for these systems we find that the coherent phase stability of most IV-VI systems favors ordering over spinodal decomposition. Our results suggest that experimental reports of spinodal decomposition in the IV-VI rocksalt alloys should be re-examined.

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I. INTRODUCTION

Chalcogenide semiconductors and semiconductor alloy systems form a class of promising thermoelectric materials with high figures of merit $(ZT=S2\sigma\kappa)$. The prototypical example is PbTe, with a ZT approaching 1.0. It has recently been shown that alloying PbTe with other semiconductor systems can increase the figure of merit through the formation of nanoscale precipitates, which reduce the lattice thermal conductivity, κ , of the system without significantly reducing the electronic conductivity, σ . This lack of carrier scattering has been attributed to coherent interfaces between PbS-rich precipitates and the PbTe-rich matrix. A **coherent** interface between two phases maintains a common (possibly strained) lattice between the phases which is not broken by misfit dislocations. Optimizing the effects of nanostructures on thermoelectric transport properties in a bulk material requires understanding and controlling the mechanisms by which nanoscale precipitates form.

The PbS precipitates seen in Pb(S,Te) are a consequence of the chemical thermodynamics of mixing in this system. The temperature – composition phase diagram of Pb(S,Te) contains a miscibility gap;^{15–18} a region where PbS and PbTe are not soluble in one another, and a PbS-rich phase may precipitate out of a PbTe-rich supersaturated solid solution. Within a miscibility gap, the solid solution can either be unstable or metastable with respect to phase separation; the boundary between these two regions is called the spinodal line. Outside of the spinodal, the solid solution is metastable, and phase separation proceeds by a nucleation and growth mechanism. Inside the spinodal, the solid solution is unstable and phase separation occurs by spinodal decomposition. A schematic miscibility gap is plotted in FIG. 1.

The spinodal line itself is difficult to measure experimentally, and so it is often approximated by the chemical spinodal, which is given by the locus of points satisfying d2Gmdx2=0, where Gm is the free energy of mixing (fit to the miscibility gap). While this chemical spinodal is applicable to immiscible fluids, it ignores the (often large) effects of strain due to lattice mismatch in a solid solution ¹⁹. The chemical spinodal is shown as a dotted (red) line in FIG. 1. The chemical spinodal always touches the incoherent miscibility gap at a temperature, Tc, called the critical temperature. As will be shown below, this does not need to be the case when the effects of strain are taken into account.

In addition to the equilibrium phase diagram, governed by incoherent phase separation, coherency strains caused by large lattice mismatches can lead to another metastable, coherent phase diagram. Spinodal decomposition is, by definition, a coherent decomposition pathway, and thus is dictated by this metastable coherent phase stability. Pb(S,Te) is such a system with a large lattice mismatch between the constituent compounds (*aPbS*=5.936, *aPbTe*=6.454, ~8% lattice mismatch²⁰), and so it is the *coherent* spinodal which separates the regions of different phase separation mechanisms. Here we use the term coherent to indicate that there is a common and unbroken lattice (say, by misfit dislocations) between the phases under consideration. The coherent spinodal can be found by explicitly incorporating an elastic strain energy term into the equation for the chemical spinodal, ²¹

$$\partial 2Gm\partial x 2 + 2\eta 2Y = 0,\tag{1}$$

where η is the linear expansion per unit composition change, and Y is the appropriate effective modulus (E1-v) in the isotropic case, and a linear combination of elastic constants in the anisotropic case). Thus, in any phase separating system with elastic strain, the spinodal will be depressed from the miscibility gap. The coherent spinodal is shown in FIG. 1 as a dashed (blue) line.

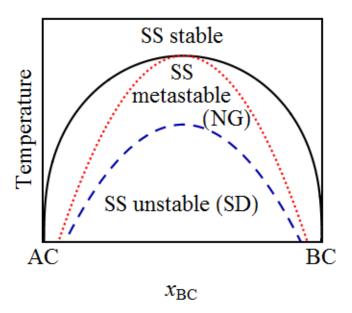


FIG. 1. (Color online) Schematic phase diagram of a pseudo-binary (A,B)C alloy system containing a miscibility gap (solid black line). Outside the miscibility gap the solid solution (SS) is stable, and inside the gap phase separation occurs. The coherent spinodal (blue dashed line) divides the miscibility gap into two regions. Outside the coherent spinodal, the solid solution is metastable with respect to phase separation, and phase separation proceeds by nucleation and growth (NG). Inside the coherent spinodal the solid solution is unstable with respect to phase separation, and phase separation proceeds by spinodal decomposition (SD). The unphysical chemical spinodal is also plotted for comparison (red dotted line).

The coherent spinodal is particularly difficult to determine experimentally, as coherent phase separation is metastable with respect to incoherent phase separation, and given enough time, any coherently phase separated microstructure will coarsen into an incoherent phase separated microstructure. Likewise, there is no way to obtain the coherent spinodal purely from the measured equilibrium phase diagram. So, what is needed is an accurate and predictive method to calculate the coherent spinodal. First principles calculations are ideally suited to study coherent phase stability in the IV-VI rocksalt alloys. Density functional theory (DFT) calculations have been applied to phase stability problems in metallic alloys, ^{23–33} semiconductor alloys, ^{34–41} and oxide systems ^{42–47} with great success. Lead chalcogenide compounds and alloys have also been studied extensively with DFT, focusing mostly on either the electronic structure ^{48–62} or lattice dynamics ^{41,63–69} of these materials. In addition, several studies have looked at the phase stability of these systems, calculating incoherent miscibility gaps and chemical spinodals, ^{61,62} as well as investigating the relative energetics of ordered and disordered alloys. ⁵⁰ However, to the best of the authors' knowledge, the effects of coherency strain on the phase stability of IV-VI alloys have not been investigated.

In this study, the coherent phase stability of a series of IV-VI rocksalt semiconductor alloys are systematically investigated through first-principles density functional theory calculations. Six pseudobinary alloy systems are explored: Pb(S,Te), Pb(Te,Se), Pb(Se,S), (Pb,Sn)Te, (Sn,Ge)Te, and (Ge,Pb)Te. There have been numerous experimental studies of the equilibrium phase stability of these systems. ^{15–18,70–80} The first three systems mix on the anion sublattice of rocksalt, and the last three mix on the rocksalt cation sublattice. The model of coherent phase stability used here consists of three parts. (i) Mixing enthalpies of the rocksalt solid solution are found through total energy calculations of Special Quasirandom Structures (SQS). ^{81,82} (ii) The Gibbs free energy of mixing is obtained by adding an ideal entropy term to the enthalpy of mixing. (iii) Finally, coherency strain energy calculations provide the energies of coherent phase separation. From these first-principles energetic quantities, we extract the incoherent Gibbs free energies (in the form of sub-regular solution models), and we calculate the incoherent miscibility gaps and coherent spinodals for each system.

From our first-principles calculations, we find that the mixing enthalpies of all IV-VI systems studied are positive, consistent with the phase separation experimentally observed in most of these systems. In addition, we find that the coherency strain energy is lowest along the 111 direction and highest along the 100 direction for all of the systems investigated. This strain anisotropy is contrary to many (fcc) metallic systems, where the 100 and 111 directions are often the elastically softest and hardest directions, respectively. Furthermore, we find that the coherency strain energy is a significant fraction of the enthalpy of mixing, indicating that most of the energy required to mix the constituents of these systems goes towards straining the constituents onto the same lattice, and that the coherency strain energy cannot simply be neglected in any analysis of coherent phase stability of these systems. Indeed, when the systems are constrained to phase separate coherently, we find that the coherent spinodal is depressed significantly in temperature from both the chemical spinodal and the incoherent miscibility gap. This depression is large enough that spinodal decomposition can only occur at very low temperatures, where it will likely be kinetically unfeasible. In addition, we find that the incoherent miscibility gap temperatures in these systems scale with the coherency strain energy of the system, further evidence that the main driving force for phase separation in the IV-VI rocksalt semiconductor alloys is lattice mismatch strain.

II. FREE ENERGY MODEL

We begin with a description of the various terms in our model for the free energy of mixing. We can define two different Gibbs free energies of mixing, an incoherent free energy of mixing, $\Delta Gmixincohx,T$, and a coherent free energy of mixing, $\Delta Gm x cohx,T$, which describe the free energies of the solid solution with respect to incoherent and coherent phase separation, respectively. Both free energies of mixing are functions of composition, x, and temperature, T. These free energies can be expressed in terms of the incoherent, $\Delta Hmixincohx$, and coherent, $\Delta Hmixcohx$, enthalpies of mixing, as well as the ideal entropy of mixing or ideal configurational entropy, $\Delta Smixidealx$, as,

$$\Delta Gmixincohx, T = \Delta Hmixincohx - T\Delta Smixidealx,$$

$$\Delta Gmixcohx, T = \Delta Hmixcohx - T\Delta Smixidealx.$$
(2)

The ideal mixing entropy is given by,

$$\Delta Smixidealx = -kBx \ln x + 1 - x \ln 1 - x. \tag{3}$$

The incoherent and coherent enthalpies of mixing describe the enthalpies of the solid solution with respect to incoherent and coherent phase separation, respectively. The incoherent enthalpy of mixing is given by,

$$\Delta Hmixincohx = Erandomx - 1 - xEAC - xEBC, \tag{4}$$

where *Erandom* is the energy of the solid solution, and *EAC* and *EBC* are the energies of the constituent compounds. The difference between the incoherent and coherent enthalpies of mixing is the difference in energy between the coherent and incoherent reference states. Thus, we can relate the coherent enthalpy of mixing to the incoherent enthalpy of mixing by,

$$\Delta Hmixcohx = \Delta Hmixincohx - \Delta ECSminx, \tag{5}$$

where $\Delta ECSminx$ is the minimum coherency strain energy, the lowest energy possible for a system in a phase separated state with both phases completely coherent with one another. In the next sections we will describe how each of the terms in (2)-(5) will be calculated within DFT.

A. Incoherent enthalpies of mixing, $\Delta Hmixincohx$

Enthalpies of mixing of the random or disordered solid solution are difficult to compute directly from DFT, since these methods are typically used to compute properties of ordered periodic arrangements of atoms. To overcome this difficulty, we use the method of special quasirandom structures (SQS). 81.82 These SQS's are small unit cell (32 atoms in this study) ordered structures, with atoms placed on lattice sites in such a way as to mimic the pair and multi-body correlations of a perfectly random lattice. This methodology allows for the accurate, DFT-level treatment of random solid solutions, including the important physical effects of local atomic relaxations, without the computational cost of a large supercell where A and B atoms are randomly arranged on the lattice sites. Since mixing only occurs on one fcc sublattice of the rocksalt lattice in any of the pseudo-binary systems considered here, previously generated fcc SQS's will be modified by adding atoms to the fcc octahedral sites, creating rocksalt SQS's with mixing on only one fcc sublattice. Two SQS supercells will be used, one with a composition of $A_{0.5}B_{0.5}C$ (e.g. $Pb_{0.5}Ge_{0.5}Te$ and $PbS_{0.5}Te_{0.5}$ for cation and anion mixing, respectively) and the other $A_{0.25}B_{0.75}C$. By switching the A and B atoms in the second case, a stoichiometry of $A_{0.75}B_{0.25}C$ can be obtained.

To model the thermodynamics of solid-solid mixing in these systems, a sub-regular solution model,

$$\Delta Hmixincohx = \alpha incohx 1 - x + \beta incohx 1 - x 1 - 2x, \tag{6}$$

is fit to the formation energies of the three SQS's from each pseudo-binary system with fitting parameters $\alpha incoh$ and $\beta incoh$ (Table II contains these parameters for each pseudobinary system considered). To model mixing with respect to incoherent phase separation, the reference state for this enthalpy is the energy of incoherent phase separation, i.e.,

$$EIPSx = 1 - xEAC + xEBC, \tag{7}$$

where EAC and EBC are the total energies of bulk rocksalt pseudo-binary constituents [e.g. PbS and PbTe in Pb(S,Te)], each relaxed to their equilibrium bulk lattice parameters, and x is the mole fraction of BC in the system.

B. Coherency strain energies, $\Delta ECSminx$

To model the coherent enthalpy of mixing, the reference state must be changed from incoherent phase separation to coherent phase separation, via (5). This change in reference state is accomplished by adding the minimum coherency strain energy to the energy of incoherent phase separation, or equivalently, subtracting the minimum coherency strain energy from the incoherent enthalpy of mixing. The coherency strain energy is the energy required to strain two phases onto the same lattice parameter along a crystallographic direction (with the minimum coherency strain energy occurring along the elastically softest direction). The coherency strain energy, $\Delta ECSk_x$ depends on both the direction, k, along which the phases are strained and the amount of each phase present, x. A method for calculating the coherency strain energy is given below, following Ref. 27 and 83.

The energy required to strain a phase i to a lattice a parameter $a\perp$ in the plane normal to k while allowing the lattice parameter $a\parallel$ along k to relax is defined as the epitaxial strain energy, given by,

$$\Delta Eiepik, a \perp = \min a ||Eik, a \perp, a|| - Eia0, \tag{8}$$

where Eia0 is the energy of phase i at its equilibrium lattice parameter, and $Eik,a\perp,a\parallel$ is the energy of phase i strained to a lattice parameter of $a\parallel$ along a direction k, and a lattice parameter of $a\perp$ in the plane normal to the direction k. The coherency strain energy of an alloy system can be obtained from the epitaxial strain energies of the constituents (AC and BC) by straining both AC and BC to the same lattice parameter $a\perp$ normal to k, and minimizing the total strain energy of the system with respect to this lattice parameter,

$$\Delta ECSk_{,x} = \min \alpha \perp 1 - x \Delta EACepik_{,\alpha} \perp + x \Delta EBCepik_{,\alpha} \perp. \tag{9}$$

The minimum coherency strain energy is then the coherency strain energy along the elastically softest direction,

$$\Delta ECSminx = \min k\Delta ECSk, x. \tag{10}$$

The epitaxial strain energies in (7) can be obtained from DFT static calculations at the specified lattice parameters. The automated calculation of coherency strain energies for cubic crystals can be performed using the Alloy Theoretic Automated Toolkit (ATAT). By fitting the right hand side of (5) to a sub-regular solution model form, we obtain an analytical function for the coherent enthalpy of mixing,

$$\Delta Hmixcohx = \alpha cohx1 - x + \beta cohx1 - x1 - 2x, \tag{11}$$

where the αcoh and βcoh are fitting parameters, given in Table II for each IV-VI rocksalt pseudobinary system. A comparison of the coherent enthalpy of mixing model presented here with previous work is provided in the appendix.

C. Phase diagram calculations

The incoherent and coherent Gibbs free energies of mixing for the system Pb(S,Te) (calculated as described in Section IV) are illustrated in FIG. 2 for a temperature below the incoherent miscibility gap, but above the coherent miscibility gap. At this temperature, the incoherent free energy of mixing has two minima corresponding to the PbS- and PbTe-rich phases, while the coherent free energy of mixing has only one minima, corresponding to a solid-solution of PbS and PbTe. Equilibrium between the two incoherent phases is found by setting the chemical potentials of each compound (AC and BC) in each phase (α and β) equal to each other,

$$\mu A C \alpha = \mu A C \beta, \ \mu B C \alpha = \mu B C \beta.$$
 (12)

This procedure is illustrated graphically in FIG. 2 as the common-tangent rule. The chemical potentials of the binary compounds can be found from the Gibbs free energy (coherent or incoherent) via,

$$\mu A C x = \Delta G mix x - x \partial \Delta G mix \partial x,$$

$$\mu B C x = \Delta G mix x + 1 - x \partial \Delta G mix \partial x.$$
(13)

In addition to the miscibility gap, the coherent spinodal line can be calculated by taking the second derivative of the coherent Gibbs free energy and setting it to zero, which, for the sub-regular solution model has the form,

$$\partial 2\Delta Gmixcoh\partial x2 = kBT1x + 11 - x - 2\alpha coh - 6\beta coh1 - 2x,$$
 (14)

and the resulting coherent spinodal temperature can be written as a function of composition as,

$$kBTspx = 2\alpha cohx1 - x + 6\beta cohx1 - x1 - 2x. \tag{15}$$

By utilizing these procedures, incoherent miscibility gaps and coherent spinodals are constructed for each of the six pseudo-binary systems considered.

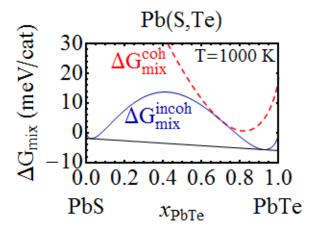


FIG. 2. (Color online) Illustration of the incoherent (blue solid line) and coherent (red dashed line) Gibbs free energies of mixing (2) for the system Pb(S,Te) as a function of mole fraction PbTe at T=1000 K. The

common tangent rule (solid black line) shows the equilibrium concentrations of PbTe in the PbS- and PbTe-rich incoherent phases. The intercepts of the tangent line with the $x_{PbTe} = 0$ and 1 give the chemical potentials of PbS and PbTe, respectively. At 1000 K, the coherent phase diagram exhibits complete solid solubility, while the incoherent phase diagram contains a two-phase miscibility gap. For illustrative purposes, the incoherent and coherent free energies of mixing were made to coincide at a composition of 0.7 mole fraction PbTe.

III. COMPUTATIONAL METHODOLOGY

Density functional theory^{85,86} calculations were performed using the Vienna *Ab-initio* Simulation Package (VASP)⁸⁷ with projector augmented wave (PAW)⁸⁸ pseudopotentials utilizing the generalized gradient approximation (GGA) and exchange-correlation functional of Perdew, Burke, and Ernzerhof (PBE).⁸⁹ All of the calculations were performed with a plane-wave basis cutoff energy of 350 eV, a Monkhorst-Pack *k*-point mesh with 2400 *k* points per reciprocal atom, and a Gaussian smearing of the electronic occupancy with a width of 0.1 eV. Formation energies were converged to within 1 meV/cation with respect to cutoff energies and *k*-point meshes. Unless explicitly noted, total energies of all structures were minimized with respect to unit cell shape and volume, as well as atomic internal coordinates.

IV. RESULTS

A. Structure and geometry of IV-VI rocksalt alloys and compounds

We begin by discussing the lattice parameters of all IV-VI compounds and solutions. This quantity is easily compared with experiment, helping to validate our DFT calculations, and also defines the lattice mismatch of each system, which is critical in evaluations of the coherency strain energy (below). We have calculated DFT total energies and relaxed geometries for PbS, PbTe, PbSe, SnTe, and GeTe in the rocksalt structure. In addition, we have calculated total energies and relaxed geometries for 32 atom (16 mixing atoms) rocksalt SQS's for the three anion-mixing pseudo-binary systems Pb(S,Te), Pb(Te,Se), and Pb(Se,S), and the three cation-mixing pseudo-binary systems (Pb,Sn)Te, (Sn,Ge)Te, and (Ge,Pb)Te. From these calculations, we can determine the lattice parameters of each system as a function of composition. Because of the low symmetry of the SQS's, and in particular, the triclinic unit cells of these structures, an "average lattice parameter" was calculated by taking the cube root of the volume of eight atoms (the size of the conventional rocksalt unit cell) for each structure, aSQS=3VSQS4. This average lattice parameter allows a direct comparison with Vegard's law swell as previous DFT calculations.

FIG. 3 and FIG. 4 show the lattice parameters for the anion- and cation-mixing systems as functions of composition, respectively. There is very little deviation from Vegard's law⁹⁰ in the random alloys of these systems. The largest deviations occur in (Ge,Pb)Te, where there is a slight upwards bowing of the lattice parameter from Vegard's law. This deviation is related to the relaxation of the (Ge,Pb)Te SQS away from the rocksalt structure and towards the low temperature rhombohedral structure of GeTe, as will be discussed in Section IV D. A comparison of our lattice parameter calculations with previous DFT calculations, as well as available experimental data is shown in Table I.

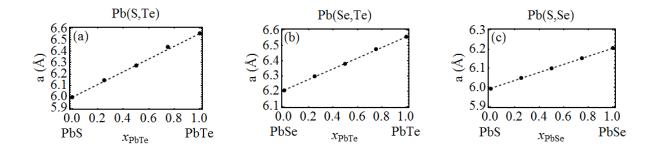


FIG. 3. DFT lattice parameters of anion-mixing systems (a) Pb(S,Te), (b) Pb(Te,Se), and (c) Pb(Se,S), as functions of composition. We give lattice parameters for the rocksalt compounds and three SQS's at x=14, 12, 34 as models of the solid solution. Dashed lines show the linear dependence of lattice parameter on composition (Vegard's law) for each system. We find no appreciable deviation from Vegard's law in the solid-solutions of these mixing systems.

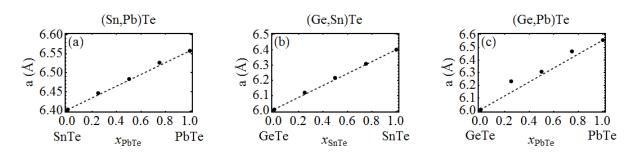


FIG. 4. DFT lattice parameters of cation-mixing systems (a) (Pb,Sn)Te, (b) (Sn,Ge)Te, and (c) (Ge,Pb)Te, as functions of composition. We give lattice parameters for the rocksalt compounds and three SQS's at x=14, 12, 34 as models of the solid solution. Dashed lines show the linear dependence of lattice parameter on composition (Vegard's law) for each system. We find no appreciable deviation from Vegard's law in the solid-solutions of these mixing systems, except in the system (Ge,Pb)Te, where there is some positive deviation at compositions of 0.25 and 0.75 mole fraction PbTe.

TABLE I. Comparison of lattice parameter data for IV-VI pseudo-binary rocksalt alloys. The lattice parameters calculated in this work are presented, along with literature data for DFT calculations made within the Generalized Gradient Approximation (GGA)^{53,57,59,61,62} and the Local Density Approximation (LDA)^{50,53,57,59}, as well as experimental lattice parameters for each binary compound.^{20,91} The empirical trend of LDA to overbind (smaller lattice parameter than experiment) and GGA to underbind (larger lattice parameter than experiment) is found for all the binary compounds.

		Lattice Parameter (Å)							
		Present DFT	esent DFT Previous DFT					- Experiment	
	X	GGA	GGA		LDA				
PbS _{1-x} Te _x	0	5.994	6.010^6	1 6	0.012^{59}	5.854 ⁵⁹	5.906^{50}	5.9362 ⁹¹	5.936^{20}
	0.25	6.144	6.188^{6}	1 6	$5.14^{a,59}$	$5.96^{a,59}$			
	0.5	6.275	6.325^6		$5.29^{a,59}$	$6.10^{a,59}$			
	0.75	6.442	6.450^6		$5.44^{a,59}$	$6.23^{a,59}$			
	1	6.558	6.560^6		5.570^{59}	6.383^{59}	6.439^{50}	6.4603^{91}	6.454^{20}
	0	6.558	6.560^6	1 6	5.570^{59}	6.383^{59}	6.439^{50}	6.4603 ⁹¹	6.454^{20}
	0.25	6.476	6.487^{6}		$5.50^{a,59}$	$6.30^{a,59}$			
$PbTe_{1-x}Se_{x}$	0.5	6.380	6.406^{6}		$5.40^{a,59}$	$6.22^{a,59}$			
	0.75	6.296	6.320^6	1 6	$5.31^{a,59}$	$6.13^{a,59}$			
	1	6.206	6.210^6		5.222^{59}	6.046^{59}	6.098^{50}	6.1243^{91}	6.124^{20}
	0	6.206	6.2105^{61}	6.224^{62}	6.222^{57}	6.053^{57}	6.098^{50}	6.1243 ⁹¹	6.124^{20}
	0.25	6.153	6.1795^{61}	6.174^{62}	6.174^{57}	5.998^{57}			
$PbSe_{1-x}S_x$	0.5	6.100	6.1285^{61}	6.125^{62}	6.122^{57}	5.954^{57}			
	0.75	6.048	6.0735^{61}	6.071^{62}	6.069^{57}	5.905^{57}			
	1	5.994	6.0105^{61}	6.011^{62}	6.012^{57}	5.854^{57}	5.906^{50}	5.9362^{91}	5.936^{20}
	0	6.558		6.570^{59}		6.43	39^{50}	6.4603^{91}	6.454^{20}
	0.25	6.526							
$Pb_{1-x}Sn_xTe$	0.5	6.484							
	0.75	6.446							
	1	6.403	6.404^{53}		6.231^{53}		6.313^{20}		
	0	6.403	6.404^{53}		6.231 ⁵³		6.313^{20}		
$Sn_{1-x}Ge_xTe$	0.25	6.311							
	0.5	6.216							
	0.75	6.119							
	1	6.009	6.011^{53}			5.858 ⁵³		5.996^{20}	
Ge _{1-x} Pb _x Te	0	6.009		6.011^{53}		5.83	58 ⁵³	5.99	$^{-0}6^{20}$
	0.25	6.231							
	0.5	6.307							
	0.75	6.470							
	1	6.558		6.570 ⁵⁹		6.43	39 ⁵⁰	6.4603 ⁹¹	6.454^{20}

^aData extracted from Fig. 1 of Ref. 59.

B. Coherency strain and mixing energies for IV-VI rocksalt alloys and compounds

Next, we will construct all of the contributions to the free energy model of Section II. The resulting incoherent and coherent free energies of mixing will be used to calculate phase diagrams in Section IV C. We also calculate ordered structures in each of the alloy systems, to investigate the short-range order of these systems.

1. Coherency strain energies

We calculate coherency strain energies for all of the pseudo-binary rocksalt systems along three high symmetry directions: 100, 110, and 111. In a cubic crystal with harmonic elasticity, the strain energies will have extrema along the 100 and 111 directions. For some systems [e.g. Pb(S,Te) and(Ge,Pb)Te], the lattice mismatch is large and anharmonic effects can be important. Our DFT calculations do not rely on any harmonic approximations and anharmonic strain energies are directly incorporated in (8). For each system along each direction, we have calculated the epitaxial strain energy, $\Delta Eiepik$, $a\perp$ of each constituent at five values of the lattice parameter $a\perp$ perpendicular to the direction k (epitaxial lattice parameter). For each value of $a\perp$, we minimize the energy of the structure with respect to the lattice parameter $a\parallel$ along the direction k, while keeping the lattice parameter $a\perp$ fixed. FIG. 5 and FIG. 6 show the resulting coherency strain energies for all of the systems considered along the 100 and 111 directions.

The thermal expansion coefficients of the IV-VI rocksalt compounds are relatively large, ^{67,118} and in principle, this thermal expansion could introduce large temperature dependencies into the coherency strain energies. However, the effect that is important for coherency strain energies is not the absolute change in lattice parameter of a compound with temperature, but the change in lattice-mismatch between two compounds with temperature. For Pb(S,Te), the system with the largest lattice-mismatch considered here, the change in mismatch with temperature is very small^{67,118} (8.98% at zero Kelvin using our calculated lattice parameters and 9.03% at 1000 K including the experimental thermal expansion coefficients in Ref. 118), and we estimate the increase in the coherency strain energy of Pb(S,Te) due to thermal expansion to be less than 3 meV/cation at 1000 K using the thermal expansion data of Ref. 67 or 118.

2. Mixing enthalpies

The formation enthalpies of pseudo-binary SQS's relative to pure rocksalt constituents (incoherent phase separation) are shown in FIG. 5 (anion mixing systems) and FIG. 6 (cation mixing systems), along with a sub-regular solid solution model mixing enthalpy curve (fit to the SQS's) and coherency strain energies along 100 and 111. Fitting parameters for each system are included in Table II. In the anion mixing systems, the coherency strain energies along 111 all lie below both the coherency strain curves along 100 and the solid solution mixing enthalpy curves. However, in the cation mixing systems, the solid solution mixing enthalpy curves lie below the coherency strain energy curve along 111 for a majority of the composition range in the (Sn,Ge)Te and (Ge,Pb)Te systems. This is due to the fact the SQS's can locally relax towards the rhombohedral structure, while atomic relaxations in the coherency strain calculations are constrained by the inversion center of the rocksalt structure.

From the results of FIG. 5 and FIG. 6 we can make several observations. First, the mixing enthalpies for every system are positive, consistent with the observed phase separation in these systems.

Second, the thermodynamic driving force for incoherent phase separation (Δ*Hmixincoh*) – the difference between the solid solution curve and incoherent phase separation curve (x-axis) – is greater in all cases than the thermodynamic driving force for coherent phase separation (Δ*Hmixcoh*) – the difference between the solid solution curve and the coherent phase separation curve (along 111). This difference between the driving forces for incoherent and coherent phase separation will impact the corresponding incoherent miscibility gap and coherent spinodal temperatures in the phase diagrams we calculate. Finally, the coherency strain energies, even along the elastically softest direction 111, are significant fractions of the total mixing enthalpies, indicating that the coherency strain energies will greatly impact the phase stability of the IV-VI alloys, and ignoring these energies will lead to unphysical estimates of coherent spinodals.

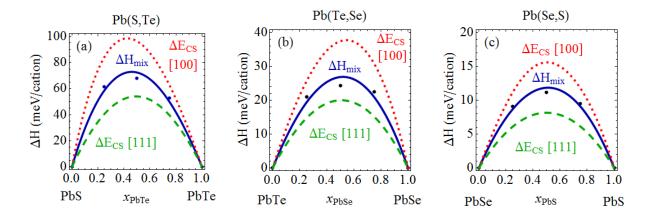


FIG. 5. (Color online) Solid solution sub-regular mixing enthalpies (blue solid line), and coherency strain energy curves along 100 (red dotted line) and 111 (green dashed line) for the anion mixing systems (a) Pb(S,Te), (b) Pb(Te,Se), and (c) Pb(Se,S). Sub-regular solution models were fit to the formation enthalpies of SQS's at concentrations of 0.25, 0.5 and 0.75 mole fractions (black points). All enthalpies are given with respect to incoherent phase separation.

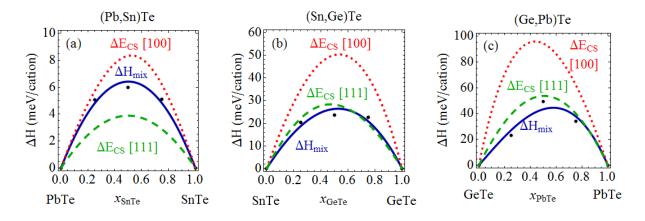


FIG. 6. (Color online) Solid solution sub-regular mixing enthalpies (blue solid line), and coherency strain energy curves along 100 (red dotted line) and 111 (green dashed line) for the cation mixing systems (a) (Pb,Sn)Te, (b) (Sn,Ge)Te, and (c) (Ge,Pb)Te. Sub-regular solution models were fit to the formation enthalpies of SQS's at concentrations of 0.25, 0.5 and 0.75 mole fractions (black points). All enthalpies are given with respect to incoherent phase separation.

TABLE II. Sub-regular solution model mixing enthalpy fitting parameters for each pseudo-binary system. Fitting parameters for both the incoherent and coherent mixing enthalpies are provided (Eqs. (5) and (10), respectively).

	α _{incoh} (meV/cat)	β _{incoh} (meV/cat)	$\alpha_{coh} (meV/cat)$	β _{coh} (meV/cat)
$PbS_{1-x}Te_x$	289.5	47.07	74.62	41.79
$PbTe_{1-x}Se_x\\$	107.4	-8.43	27.47	-6.06
$PbSe_{1-x}S_x$	47.36	-2.21	14.70	-2.03
Pb _{1-x} Sn _x Te	25.66	-0.23	10.18	-0.18
$Sn_{1-x}Ge_{x}Te$	105.4	-11.80	-6.77	-23.88
$Ge_{1-x}Pb_xTe$	173.5	-57.62	-39.77	-52.59

3. Ordered structure formation enthalpies

The coherent phase diagram of a system can be quite distinct from the equilibrium, incoherent phase diagram. Even in (incoherently) phase separating systems, it is possible for the coherent phase diagram to exhibit ordering.²⁷ In such systems, ordered structures exist which are higher in energy than incoherent phase separation, but lower in energy than coherent phase separation. Many tetrahedral zinc-blende based III-V semiconductor alloys (e.g. $Ga_xIn_{1-x}P$) exhibit this incoherent phase separating/coherent phase separating/ordering tendency.³⁵ But, coherent phase stability in octahedral rocksalt IV-VI alloys has not been investigated. To investigate this possibility of coherent ordering in the IV-VI rocksalt alloys, formation enthalpies of rocksalt-based ordered structures were calculated for each of the pseudo-binary systems,

$$\Delta HFordk_{,x} = Eordk_{,x} - 1 - xEAC - xEBC_{,} \tag{16}$$

where Eord is the DFT energy per cation of the ordered structure with concentration x and composition wavevector k. The formation enthalpies of these ordered structures combined with the coherency strain energy at the same x and k can be used to investigate the incoherent and coherent tendency toward ordering of the IV-VI systems. The formation enthalpies of ordered structures can also be decomposed into strain and chemical contributions, which give insight into the physical contributions of mixing in a system.

The ordered structures considered here all have pseudo-binary mole fractions of 0.5 and composition wavevectors along either 100 or 111. That is to say, these ordered structures are all ACpBCp superlattices of period p oriented along k=100 or 111. The formation enthalpies of these ordered structures, SQS's, and coherency strain energies are listed for each pseudo-binary system in Table III. FIG. 7 compares these various formation and coherency strain energies at 0.5 mole fraction for each pseudo-binary system by normalizing energies by the coherency strain energy along 111 at 0.5 atomic fraction of each system. This normalization is done to allow a more direct comparison of the relative ordering of the various structures across each system.

TABLE III. Formation energies of structures ordered along 100 and 111 for each of the pseudo-binary systems. The formation energies are all with respect to the rocksalt structures of each constituent binary compound in the pseudo-binary system.

Formation Energy of Ordered Structures (meV/cation)							
	Pb(S,Te)	Pb(Te,Se)	Pb(Se,S)	(Pb,Sn)Te	(Sn,Ge)Te	(Ge,Pb)Te	
$(AC)_1(BC)_1$ [100]	174.8	66.3	26.3	11.2	61.7	134.2	
$(AC)_2(BC)_2$ [100]	109.1	42.5	17.1	8.7	43.5	90.6	
$(AC)_1(BC)_1$ [111]	45.7	14.7	7.9	6.3	14.2	36.6	
$(AC)_2(BC)_2$ [111]	47.2	16.0	7.5	5.2	19.2	41.4	
SQS-16 x=0.25	61.5	21.0	9.1	5.1	20.5	23.2	
SQS-16 x=0.5	68.2	24.4	11.2	6.0	23.6	49.3	
SQS-16 x=0.75	52.7	22.6	9.5	5.1	22.71	34.0	
ΔE_{CS} ([100], x=0.5)	96.4	37.4	15.6	8.3	50.2	94.2	
ΔE_{CS} ([111], x=0.5)	54.0	20.0	8.2	3.9	28.3	53.7	

The incoherent phase stability of a system is determined by the lowest energy state accessible to the system out of all possible states, including incoherent phase separation.²⁷ On the other hand, the coherent phase stability of a system is determined by the lowest energy state possible at a fixed volume, i.e. excluding incoherent phase separation, but including coherent phase separation.²⁷ FIG. 7 shows the energetic competition between ordered compounds, coherent phase separation, and incoherent phase separation in each system. From FIG. 7 we can see that the lowest energy state for all of the IV-VI systems is incoherent phase separation. However, for coherent phase stability, we ignore incoherent phase separation and consider the relative energies of all the other states in FIG. 7. We see that the anion mixing systems and the Ge-containing cation mixing systems [Pb(S,Te), Pb(Te,Se), Pb(Se,S), (Sn,Ge)Te, and (Ge,Pb)Te] all have ordered structures as their lowest energy coherent states. This behavior can be contrasted by (Pb,Sn)Te, where the lowest energy coherent state (excluding incoherent phase separation) is coherent phase separation. Thus, all of the IV-VI systems except (Pb,Sn)Te have a preference for metastable coherent ordering over coherent phase separation (and hence spinodal decomposition) at low temperatures. This competition between coherent ordering and phase separation is explored more thoroughly for the system Pb(S,Te) in ongoing work.⁹²

In addition to determining the incoherent and coherent phase stability of these systems, the ordered structures can give us insight into the chemical and strain contributions to the mixing enthalpies in each of the rocksalt systems. The coherency strain energy along a specific direction, k, is the strain energy of ACpBCp stacking along k in the limit as $p\rightarrow\infty$. Thus the difference between an ordered structure with finite stacking along k, Eq. (16), and the coherency strain energy along k, Eq. (9), gives a quantity we define as the chemical energy of the ordered structure, 26

$$\Delta Echemk, x \equiv \Delta HFordk, x - \Delta ECSk, x.$$
 (17)

This chemical energy indicates whether, in the absence of strain, the system prefers unlike A-B pairs (negative chemical energy) or like A-A + B-B pairs (positive chemical energy). From FIG. 7, we can see that the coherency strain energies of both cation- and anion-mixing systems are lowest along the 111 direction, and highest along the 100 direction. In the anion-mixing system the chemical energy is highly direction dependent, with the 100 direction having a positive chemical energy (difference between

AC1BC1 100 or AC2BC2 100 and C.P.S. 100), and the 111 direction having a negative chemical energy (difference between AC1BC1 111 or AC2BC2 111 and C.P.S. 111). Thus there is a preference for A-B pairs along 111, but A-A and B-B pairs along 100, which is highly unusual. The anion-mixing system can be contrasted with the cation-mixing system [except (Pb,Sn)Te, which has extremely low formation enthalpies], where, along the 100 direction, the chemical energy changes sign from positive to negative between 1- and 2-period superlattices. In these cation-mixing systems, the random mixing enthalpies also lie below the coherency strain energies along both 111 and 100, indicating that the mixing enthalpies also have negative chemical energies.⁹³

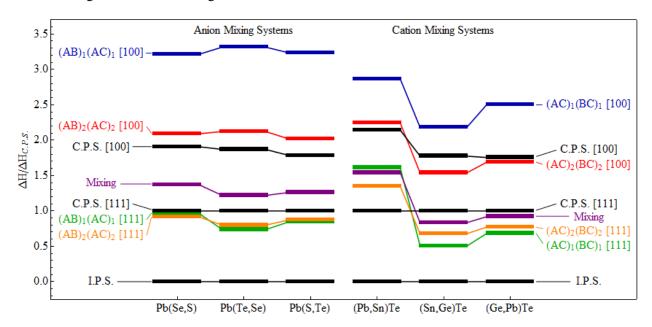


FIG. 7. (Color online) DFT energies of ordered structures of IV-VI systems, scaled by the coherency strain energy along 111 of each system (C.P.S. 111). Lines are provided as a guide to the eye. The stable long-range order for all systems is incoherent phase separation (I.P.S.). Ignoring I.P.S. and considering the relative energetics of all other structures exposes the preferred coherent phase stability. Structures ordered along 100 have the highest energies for all systems, while structures ordered along 111 have the lowest energies for all systems [except (Pb,Sn)Te, which has coherent phase separation as its lowest], indicates that these systems [except (Pb,Sn)Te] should order before coherently phase separating.

C. Phase diagrams of IV-VI rocksalt alloys

Now that we have assembled all of the energetic input to our thermodynamic model, we combine this with the ideal mixing entropy term to compute incoherent miscibility gaps and coherent spinodals for each pseudo-binary system. These are shown in FIG. 8 and FIG. 9 for the anion mixing and cation mixing systems, respectively.

1. Comparison with experiment for Pb(S,Te)

Comparing the calculated incoherent miscibility gap of Pb(S,Te) in FIG. 8(a) with the experimental miscibility gap, ¹⁷ we find that qualitatively the overall topology and asymmetry of the experimental phase diagram is captured by our model. However, quantitatively the maximum temperature of the calculated miscibility gap is a factor of 1.7 higher than that of the experimental gap. Overestimation of the temperature scale of phase boundaries from DFT data is common, ^{23–25,35,94} when not all of the sources of mixing entropy are accounted for. In this model, the only temperature dependence in the free

energy of mixing comes from the ideal mixing entropy. There are several additional sources of excess mixing entropy which can have large effects on the free energy and resulting miscibility gap. These include the non-ideal configurational entropy, ^{24,35,95} electronic entropy of mixing, ³² and vibrational entropy of mixing. ³³

Due to the semiconducting nature of these materials, the electronic entropy is expected to be small, and the electronic entropy of mixing small enough to be neglected. We expect the vibrational entropy of mixing to be significant due to the large lattice mismatch in Pb(S,Te) and the anharmonic nature of phonons in PbTe. Preliminary DFT frozen phonon calculations on the Pb(S,Te) SQS's show that including the vibrational entropy of mixing in the free energy of Pb(S,Te) reduces the calculated incoherent miscibility gap, bringing the calculation into better agreement with the experimental miscibility gap. These vibrational entropy calculations will be reported in a forthcoming paper. Provided to the semiconduction of the semiconduction of the entropy of the semiconduction of the experimental miscibility gap. These vibrational entropy calculations will be reported in a forthcoming paper.

2. Depression of the coherent spinodal

We next examine the coherent spinodals. By comparing the maximum temperature of the coherent spinodal with that of the incoherent miscibility gap, we see the magnitude of the depression of the spinodal due to coherency strain. From FIG. 8 and FIG. 9 we can see that the requirement of coherent phase separation does indeed significantly depress the maximum spinodal temperature from the top of the incoherent miscibility gap, in most cases by a factor of 3 or more. Table IV shows the calculated incoherent miscibility gap and coherent spinodal temperatures, as well as experimental miscibility gap temperatures, where available.

We find that the coherent spinodal of Pb(S,Te) is depressed by 1160 K from the incoherent miscibility gap, meaning spinodal decomposition is only possible at low temperatures where it is kinetically inaccessible in Pb(S,Te). The same conclusion can be made for (Ge,Pb)Te, where the spinodal is depressed by 990 K from the miscibility gap. For the other IV-VI systems, the coherent spinodals occur at low enough temperatures that the solid solution should be (meta)stable at any processing temperature. Furthermore, as indicated by the formation enthalpies of ordered compounds in these systems, the coherent diagrams of these systems should show ordering, not decomposition.

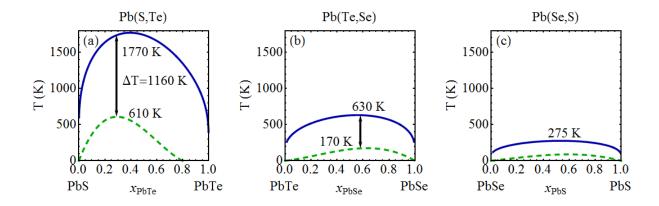


FIG. 8. (Color online) Incoherent miscibility gaps (solid blue lines) and coherent spinodal lines (dashed green) for the anion sublattice mixing systems.

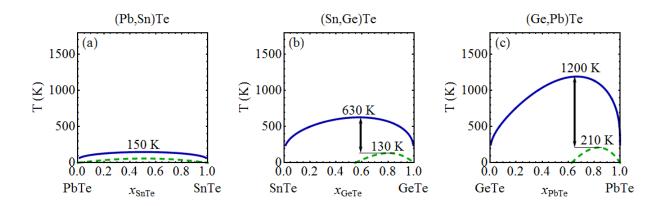


FIG. 9. (Color online) Incoherent miscibility gaps (solid blue) and coherent spinodal lines (dashed green) for the cation sublattice mixing systems.

TABLE IV. Incoherent miscibility gap and coherent spinodal heights calculated with the sub-regular solution model are compared with experimental miscibility gap heights, where available. We also compare with previous DFT work on Pb(Se,S), which uses a regular-solution model. The previous DFT calculations of Boukhris, *et. al.*⁶¹ are not included due to an error in their calculation of miscibility gap temperatures⁹⁸.

		T _c Incoh (K)	x _c Incoh	T _c coh (K)	x _c coh	T_c Incoh - T_c coh (K)
Pb(S,Te)	Exp. 15,17,18	1070	0.4			
	Present Work	1770	0.40	610	0.30	1160
Pb(Te,Se)	Exp. ¹⁸	-	-			
	Present Work	630	0.56	170	0.63	
Pb(Se,S)	Exp. 18,72	-	-			
	Present Work	275	0.53	90	0.60	
	Previous DFT	290^{62}	0.5	-	-	-
(Pb,Sn)Te	Exp. ⁷⁵	-	-			
	Present Work	150	0.51	60	0.51	90
(Sn,Ge)Te	Exp. ⁷⁷	540	0.5			
	Present Work	630	0.58	130	0.80	500
(Ge,Pb)Te	Exp. ^{77,78}	845	0.6			
	Present Work	1200	0.67	210	0.83	990

3. Physical contributions to miscibility gap temperatures: dominant role of strain

We next illustrate trends in the calculated incoherent and coherent miscibility gap heights to better understand the physical contributions underlying phase separation in these alloy systems. We find that the incoherent miscibility gap temperature of the IV-VI pseudo-binary systems scale with the coherency strain energies of the systems, as shown in FIG. 10. While we have used a sub-regular solution model to calculate the miscibility gaps in these systems, the strain energy dependence of the incoherent miscibility gap can be shown analytically for a regular solution model.

In a regular solution model, there is one interaction parameter in the excess mixing enthalpy,

$$\Delta Hmix = \omega x 1 - x,\tag{18}$$

as well as the ideal mixing entropy from (8). The miscibility gap height of a regular solution model can be found analytically and is located at a composition of 0.5 mole fraction, given by,

$$Tc = 2\Delta H mixkB = \omega 2kB. \tag{19}$$

If some fraction, γ , of the enthalpy of mixing is due to strain energy,

$$\Delta Hmix = \gamma \Delta ECS, \tag{20}$$

then there is a linear dependence of T_c on the strain energy,

$$Tc = 2\gamma \Delta ECSkB,$$
 (21)

with a proportionality constant of $2\gamma kB$. This line is plotted in FIG. 10 for the case of $\gamma=1$, which corresponds to a system that interacts only through strain. The cation sublattice mixing systems are almost perfectly described by this strain-only model, whereas the anion sublattice mixing systems show some deviation, indicating a (small) effect of chemical bonding on Tc for these systems. For all systems, the strain energy is the dominant contribution to mixing.

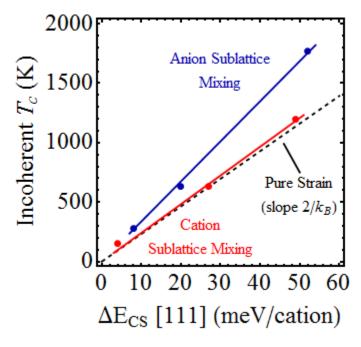


FIG. 10. (Color online) Plot of calculated incoherent miscibility gap temperature, Tc, for each pseudobinary system versus the coherency strain energy, $\Delta ECSmin$, in each system. A linear dependence of Tc on $\Delta ECSmin$ is found for the anion- (blue) and cation-sublattice (red) mixing systems. The dashed line shows how a regular solution model system consisting of only strain interactions would behave.

D. SQS bond lengths and distortions

In addition to energetics of solid solutions, our SQS calculations provide a means to investigate the local atomic environment in a disordered solid. Relaxation around each atom in the alloy break the symmetry of the parent lattice and can contribute to the energies and other properties of the solid solution. ⁸² Even in solid solutions obeying Vegard's law, relaxations on the atomic scale can allow nearest neighbor atoms to take on bond lengths close to those of the constituent compounds.

1. SQS bond lengths

FIG. 11 and FIG. 12 show the average nearest neighbor bond lengths of the anion and cation mixing systems, respectively. Vertical bars show the standard deviations of these bond lengths. From these plots, we can see that, generally speaking, the nearest neighbor bond lengths do not deviate much from those of the constituent rocksalt compounds. Thus, even in the solid solution, the local bond lengths of A-B and A-C bonds deviate significantly from the average bond lengths; there are significant local relaxations from the ideal rocksalt structure in the solid solutions. We also note that large standard deviations occur for two systems, Pb(S,Te) and (Ge,Pb)Te - the two systems with the largest lattice mismatch.

In FIG. 13, the distribution of Pb-S nearest-neighbor bonds are plotted as a function of bond length for all three of the SQS's. The Pb-S bonds in the Te-rich SQS have a much broader distribution, with some of the Pb-S bonds being forced into a Pb-Te like environment. Furthermore, in the 0.75 mole fraction PbTe SQS, there is a single outlying Pb-S bond with a length larger than the Pb-Te bond length in PbTe, which creates the large standard deviation found in FIG. 11(a). This bimodal distribution of Pb-S nearest neighbor bonds found in the Pb(S,Te) SQS's is consistent with the results of a previous calculation of bonding in this system using SQS-8.⁵⁰

The large standard deviations in the Ge-Te bond lengths found in the (Ge,Pb)Te system occur because the Ge-Te bonds relax towards the bond lengths of the rhombohedral GeTe ground-state structure. This relaxation can be seen in FIG. 14, where the distribution of Ge-Te nearest neighbor bond lengths are shown for each of the (Ge,Pb)Te SQS's. As the mole fraction of GeTe increases, the distribution of Ge-Te bonds becomes bi-modal and shifts towards the nearest neighbor bond length of Ge-Te in the rhombohedral GeTe structure. At 0.75 mole fraction GeTe, the distribution of Ge-Te bonds has maxima centered over the rhombohedral GeTe bond length and the rocksalt PbTe bond length. As a test of these ideas, we replaced the Pb atoms with Ge atoms in the relaxed Ge_{0.25}Pb_{0.75}Te SQS, and re-relaxed it. This new hypothetical Ge_{0.25}Ge_{0.75}Te structure relaxed completely to the rhombohedral GeTe structure instead of the initial rocksalt lattice, indicating that the energy barrier between rocksalt and rhombohedral GeTe had been overcome by the local relaxations in the SQS.

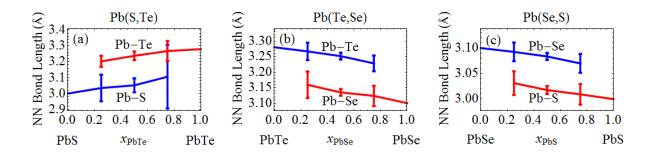


FIG. 11. (Color online) SQS average nearest neighbor bond lengths for the anion-mixing system.

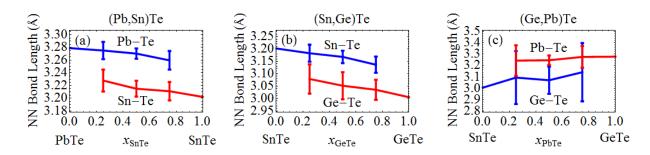


FIG. 12. (Color online) SQS average nearest neighbor bond lengths for the cation-mixing systems. The large range-bars in the Ge-Te bond lengths of the (Ge,Pb)Te system suggest that the system is trying to relax towards the rhombohedral GeTe structure.

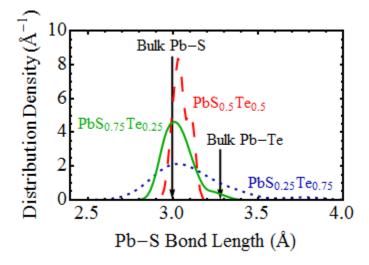


FIG. 13. (Color online) Pb-S bond lengths in Pb(S,Te) SQS's. Vertical lines show the Pb-S bond length in PbS (3.00 Å) and Pb-Te bond length in PbTe (3.25 Å). Solid (green), dashed (red), and dotted (blue) lines show the density of Pb-S bond lengths in the 0.25, 0.5, and 0.75 mole fraction PbTe SQS's, respectively. As the fraction of PbTe increases, the Pb-S bond distribution shifts towards the Pb-Te bond length. The large standard deviation in FIG. 11 can now be seen to come from the outlying Pb-S bond in the 0.75 mole fraction PbTe SQS.

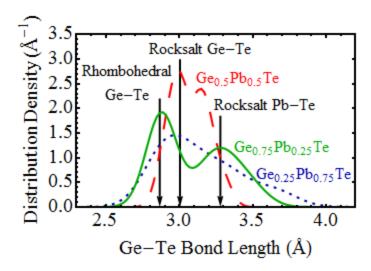


FIG. 14. (Color online) Ge-Te bond lengths in (Ge,Pb)Te SQS's. Vertical lines show the Ge-Te bond length in rhombohedral GeTe (2.87 Å), rocksalt GeTe (3.00 Å), and the Pb-Te bond length in PbTe (3.25 Å). Dotted (blue), dashed (red), and solid (green) lines show the density of Ge-Te bond lengths in the 0.25, 0.5, and 0.75 mole fraction GeTe SQS's, respectively. As the fraction of GeTe increases, the Ge-Te bond length distribution shifts from a broad spread around the rocksalt bond lengths, to a bimodal distribution with peaks centered on the rhombohedral GeTe bond length and the rocksalt PbTe bond length.

2. Distortions from rocksalt

Many of the compounds in the IV-VI rocksalt family undergo ferroelectric distortions from rocksalt to a rhombohedral structure at low temperatures. These systems include GeTe, ^{99,100} SnTe, ^{101,102} (Ge,Pb)Te, ^{103–105} (Sn,Ge)Te, ¹⁰⁶ and (Pb,Sn)Te. ¹⁰⁵ Furthermore, PbTe has a near-ferroelectric optical phonon mode, and both PbS and PbTe have been shown to undergo an off-centering of Pb ions with increasing temperature. ¹⁰⁷ It has been proposed that Pb(S,Te) undergoes a ferroelectric distortion at low temperatures ¹⁰⁸ due to a distribution of Pb-S bond lengths in the solid solution, though it has been pointed out that this distribution of bond lengths should be expected in the solid solution independent of any ferroeletric behavior ⁵⁰ (as we have found in the current SQS bond lengths).

In this work, we have come across several distortions away from the rocksalt structure. In addition to the Ge-Te distortions discussed above, we have found that when PbTe is coherently strained to the lattice parameter of PbS along 100 it will undergo a buckling distortion where Pb and Te atoms alternatingly move along 100 or 100. This distortion lowers the energy of the PbTe cell by 92 meV/cation relative to the coherently strained cell with atoms on their ideal lattice sites. This highly strained state is still 437 meV/cat higher in energy than the PbTe rocksalt ground state. A more in depth analysis of this instability will be provided in a future publication.

Despite the large decrease in energy upon relaxing atomic positions, the distorted PbTe structure does not have a large effect on the coherency strain energy of Pb(S,Te). Because the distortion of PbTe sets in only at large strains corresponding to small concentrations of PbTe, the weight given to the decreased epitaxial strain energy according to (8) is very small and the effect on the coherency strain energy of Pb(S,Te) along 100 is minimal, and is neglected in the results above.

V. DISCUSSION

Our results indicate that spinodal decomposition is not a kinetically accessible decomposition mechanism in any of the IV-VI rocksalt semiconductor alloys. In all cases, the largest portion of the thermodynamic driving force for incoherent phase separation comes from strain energy which stabilizes the solid solution with respect to infinitesimal composition fluctuations, and is not part of the driving force for coherent phase separation. However, there is experimental evidence that spinodal decomposition occurs in the Pb(S,Te)^{7,16} and (Ge,Pb)Te^{76,78,109} systems. To explore this disagreement, we need to consider both the details of the experimental evidence and the theoretical foundations on which our claims are made.

Evidence for spinodal decomposition in Pb(S,Te) was first seen by Darrow *et. al.*¹⁶ in the form of micrographs of lamellar phase separated structures with spacing on the order of 400-1000 Å. Sidebands were also found in the x-ray diffraction patterns of some of the quenched and aged samples.¹⁶ In more recent studies of Pb(S,Te), striped and fringed structures with spacings on the order of nanometers were observed in transmission electron micrographs of slow-cooled Pb(S,Te) alloys.⁷ In the system (Ge,Pb,Sn)Te, lamellar and fishbone structures have been observed which coarsen over time.¹⁰⁹ It should be noted that while sidebands are evidence of a modulated microstructure, these modulations do not need

to be caused by spinodal decomposition. For instance, non-spinodal microstructures giving rise to sidebands have been found in aged Ni-Al alloys. 110

In Classical Nucleation Theory (CNT), there is an energy barrier to forming a precipitate, or nucleus, of a new phase that remains finite until the spinodal line is crossed. At this point, the energy barrier discontinuously drops to zero as the system becomes unstable to the precipitation of a second phase. This classical picture gives rise to the notion that nucleation and growth and spinodal decomposition are separate mechanisms of phase separation which evolve into very different microstructures. However, in the diffuse interface theory of phase separation, this is not the case. 95

In the theory of phase separation proposed by Cahn in 1958, precipitate geometry is not assumed *a priori* but is instead found by minimizing a free energy functional which includes interfacial and strain effects. This approach can be contrasted with the classical theory, which assumes a spherical precipitate with a homogenous, bulk composition and infinitely sharp interface. In the diffuse interface theory, it is found that as the spinodal is approached, precipitate interfaces become much more diffuse, and the energy barrier continuously decreases to zero at the spinodal. This more accurate treatment shows that nucleation and growth and spinodal decomposition are in fact two extremes of the same phase separation mechanism, and that microstructure is not an ideal way to distinguish between these two ends.

Despite the fact that the energy barrier to precipitation is a continuous function of composition and temperature throughout the miscibility gap, the existence or nonexistence of this barrier (apart from the barrier to diffusion) allows for a distinction between spinodal decomposition and nucleation and growth on the basis of kinetics. As discussed in Ref. 22, inside the spinodal, the solid solution is unstable to infinitesimal composition fluctuations. In particular, composition fluctuations with some specific wavelength will grow faster than any other wavelength.²² This microstructure evolution can be observed by *in situ* small angle x-ray scattering experiments and is a less ambiguous determination of spinodal decomposition than microstructure alone.

The model employed herein assumes a perfect, non-defected (albeit locally distorted) lattice for the solid solution and coherently phase separated microstructures, and two different, non-interacting lattices for the incoherent phase separated microstructures. Lattice defects, such as dislocations and semicoherent interfaces are not included in this model of phase separation. However, it has been shown through phase field modeling that dislocations can have large effects on both the kinetics and thermodynamics of coherent phase separation. ^{114–117} The elastic stresses of a dislocation can couple with the elastic stresses of composition change (in a lattice mismatched alloy) to cause phase separation outside the coherent miscibility gap ¹¹⁴ and increase the coherent spinodal temperature. ¹¹⁷

In addition to ruling out spinodal decomposition as a possibility for the coherent phase diagrams of the IV-VI rocksalt alloys, our calculations suggest an alternative possibility: coherent ordering. In most of the systems considered [all except (Pb,Sn)Te], the ordered structure $AC1BC1\ 111\ (Ll_1)$ in Strukturbericht nomenclature, space group R3m, number 166) is the lowest energy coherent phase at x=0.5. This energetic preference indicates that inside the incoherent miscibility gap, there can exist a metastable coherent phase ordered along 111.

Similarly to phase separation, ordering processes can occur by first- or second-order transformations. Symmetry can prevent some ordered structures from forming by continuous, second

order processes, but the *AC1BC1 111* structure found lowest in energy in these systems is not one of them, ⁹⁵ and the coherent phase diagram can show spinodal ordering from the random alloy to the coherently ordered phase. ⁹⁵ The details of this coherent ordered phase diagram will be investigated in a future work. ⁹²

VI. CONCLUSIONS

In this study, we investigate the incoherent and coherent phase stability of IV-VI rocksalt semiconductor pseudo-binary alloys using first-principles density functional theory calculations. We calculate the thermodynamic driving forces for incoherent and coherent phase separation using special quasirandom structures and coherency strain energies, from which we obtain incoherent miscibility gaps and coherent spinodals. The large coherency strain energies of these systems dominate the thermodynamics, providing both the driving force for incoherent phase separation, and suppressing coherent phase separation. In particular, for the systems Pb(S,Te) and (Ge,Pb)Te, the coherent spinodal is depressed in temperature to such a degree that spinodal decomposition should not be a kinetically accessible method of phase separation. In the other systems considered, the incoherent miscibility gaps are low enough in temperature that solid solutions are stable at processing temperatures. We further investigate competing types of coherent phase stability through calculations of ordered, superlattice structures. Structures ordered along 111 are found to have lower energies than the coherency strain energies for all systems except (Pb,Sn)Te, indicating that coherent ordering is more favorable than coherent phase separation for these systems.

In addition to energetics, we use the SQS's to study local atomic relaxations in the solid solutions of the IV-VI alloys. In all of the systems, the nearest neighbor A-C and B-C bond lengths of the solid solutions are close to those of the constituent compounds, showing that there are large deviations from the ideal rocksalt lattice in the solid solutions. In particular, for Pb(S,Te) and (Ge,Pb)Te, the systems with the largest lattice mismatch, a wide distribution of bond lengths occurred in the solid solutions, with the bonds of (Ge,Pb)Te showing distortions towards a rhombohedral GeTe structure.

Understanding the phase stability of the IV-VI rocksalt thermoelectric alloys is an important step towards controlling the thermoelectric efficiency of these alloys. To use coherent precipitates to improve the thermoelectric figure of merit, it is desirable to have an alloy system with two properties: (i) a high miscibility gap temperature, to increase the number density of precipitates, and (ii) a small lattice mismatch between constituent compounds to maintain coherency between the precipitates and matrix. Our work here shows that, for the IV-VI rocksalt alloys, these two properties are coupled – strain between the constituent compounds is responsible for the incoherent phase separation, but is also responsible for suppressing the coherent phase stability. In the search for new thermoelectric alloy systems, our work shows that looking for systems where chemical effects, and not coherency strains, contribute to the immiscibility should constitute a promising strategy for finding new systems with coherent nanoscale precipitates.

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APPENDIX: COHERENT FREE ENERGY FORMALISM - COMPARISON WITH CAHN¹¹¹ FORMULATION

The coherent free energy model constructed here can be expressed in terms of the formalism used by Cahn. A coherent free energy density, φ , is constructed from the incoherent free energy density, f', by adding a strain energy term, f'

$$\varphi x, x0 = f'x + \eta 2E1 - \nu x - x02. \tag{A1}$$

The free energy densities are per unit volume (a03) of the average composition, x0. The assumptions of this model are that the two phases have different equilibrium lattice parameters, but the same elastic constants independent of concentration. Introducing a concentration dependence in the elastic constants would add higher order terms to (A1).

In analogy to (A1), we have our coherent free energy density (per cation instead of volume),

$$\Delta Gmixcohx = \Delta Gmixincohx - \Delta ECSminx, \tag{A2}$$

with the coherency strain energy, $\Delta ECSminx$, given by (8). If we know the functional form of the coherency strain energy, we can fit (A2) into a form analogous to (A1). In this work, a sub-regular solution model is used to fit both the incoherent and coherent enthalpies of mixing, which is equivalent to the coherency strain energy having a sub-regular solution model functional form. We will examine the effects of using both regular and sub-regular solution models for the coherency strain energy.

A regular solution model coherency strain energy (with interaction coefficient ω) gives us,

$$\Delta Gmixcohx = \Delta Gmixincohx - \omega x 1 - x, \tag{A3}$$

which can be rewritten as,

$$\Delta Gmixcohx = \Delta Gmixincohx + \omega x - x02 + \Omega 1x - x0 + \Omega 0, \tag{A4}$$

where $\Omega 1$ and $\Omega 0$ are functions of the average composition, x0, and are given by,

$$\Omega 1 = 2\omega x 0 - 1, \ \Omega 0 = 2\omega x 0 - \omega x 0 2 - 1. \tag{A5}$$

As the chemical potentials of compounds AC and BC in a phase depend only on differences in the derivatives of the free energy, terms linear and constant in composition have no effect on the chemical potentials, and correspond only to a change in reference states of compounds AC and BC. Thus the last two terms in (A4) can be dropped to retain the same reference states in the coherent free energy that we have in the incoherent free energy. With this change, we recover the same form as (A1), find that ω is equal to the strain energy density, $\eta 2E1-\nu$, and see that the regular solution model form for the coherency strain energy corresponds to the model used by Cahn.

Using a sub-regular solution model coherency strain energy, we have,

$$\Delta Gmixcoh = \Delta Gmixincoh - \gamma x 1 - x - \delta x 1 - x 1 - 2x, \tag{A6}$$

which we can express as,

$$\Delta Gmixcoh = \Delta Gmixincoh + Y3x - x03 + Y2x - x02 + Y1x - x0 + Y0, \tag{A7}$$

with coefficients,

 $Y3=2\delta$.

$$Y2=6\delta x 0-\gamma-3\delta,$$

$$Y1=6\delta x 02-2\gamma x 0-6\delta x 0+\gamma+\delta,$$

$$Y0=2\delta x 03-\gamma x 02-3\delta x 02+\gamma x 0+\delta x 0.$$
(A8)

Again, terms linear and constant in composition, x, can be neglected (corresponding to a change in reference states). This gives us an equation similar to (A1), with two significant differences; (i) there is a term cubic in composition difference and (ii) the coefficient of the quadratic term, Y2, is dependent on the average composition, x0. These differences are a result of elastic anharmonicity allowed in the coherency strain calculations, ⁸³ and have an effect on the coherent phase diagram as noted by Cahn; ¹¹¹ the coherent

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phase boundaries will depend on the average composition of the alloy.

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incorrect value for the Boltzmann constant, or made an arithmetic error, and the miscibility gap temperatures reported therein are a factor of ~14 too small. Using their reported regular-solution model parameters and Eq. (19), we calculate their miscibility gap temperatures to be 2660 K, 1450 K, and 1010 K, for Pb(S,Te), Pb(Te,Se), and Pb(Se,S), respectively. These miscibility gap temperatures are much larger than the temperatures we calculate using a sub-regular solution model fit to the SQS-16 formation enthalpies. This is due to the fact that the formation enthalpies of *AC1BC1 100* ordered structures are much larger than the formation enthalpies of the SQS's (see Table III for our calculated values), and thus *AC1BC1 100* is a poor approximation of the random alloy for these systems.

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