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Electron Spin Resonance in Antiferro-Quadrupolar Ordered CeB₆

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CeB₆ is a *cubic* heavy fermion compound with a Γ_8 ground-quartet for which an ESR signal was observed. All other Ce or Yb compounds displaying an ESR signal have strong magnetic anisotropic and ferromagnetic correlations among the spins. The role of the ferromagnetic correlations is to narrow the resonance width rendering the signal observable. In CeB₆ the orbital content of the Γ_8 -quartet gives rise to an antiferro-quadrupolar ordered phase below 4 K. Single ions with a Γ_8 ground-multiplet are expected to display four transitions, however, only one has been observed. The following questions are addressed in this paper: (1) why is only one transition seen?, (2) why is this transition observed if the Kondo temperature is comparable to the linewidth and the resonance frequency?, and (3) are there ferromagnetic correlations between the Ce ions? The answer to these questions is associated with the antiferro-quadrupolar order. While for other Ce and Yb compounds with ESR-signal it is difficult to distinguish if the resonance is due to localized spins or conducting heavy electron spins, an itinerant picture within the antiferro-quadrupolar phase is necessary for CeB₆.

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I. INTRODUCTION

There is an intimate relation between the the relaxation rate for a Kondo impurity and the Kondo susceptibility, $\chi_0/T_1 \approx \text{constant}$ for all T .¹ At $\omega = T = H = 0$ this relation is exact (for $S = 1/2$ the constant is $2/\pi$) and known as the Shiba relation.² This result suggests that an electron spin resonance (ESR) signal could not be observed in heavy-fermion compounds due to the broad linewidth proportional to the Kondo temperature. This common belief was recently proven wrong, when an ESR signal was found in single crystals of the quantum critical system YbRh₂Si₂,³ and since then in several other Yb compounds, e.g., YbIr₂Si₂,⁴ YbRh,⁵ and YbCo₂Zn₂₀,⁶ and one Ce compound CeRuPO.⁷ The resonance was attributed to the Yb³⁺ and Ce³⁺ ions despite of their rather large Kondo temperature. All of the above compounds are very anisotropic and have ferromagnetic correlations among the rare earth spins.⁵ The resonance in YbRh₂Si₂ has been confirmed by other groups^{8,9} and on a different batch of samples,⁹ as well as followed up to 360 GHz.¹⁰

The observed resonances have a Dysonian lineshape,¹¹ as expected from the skin depth and spin diffusion in a metallic environment. ESR of magnetic ions in a metal¹² as well as the resonance of conduction electrons¹¹ have a similar Dysonian lineshape. The analysis of the data performed within the known framework of ESR of magnetic impurities in metals,¹² i.e., single ions with localized spins resonating independently, is consistent with the g -factor anisotropy expected for Yb³⁺-ions in a tetragonal crystalline electric field, as well as with the linewidth. It has been estimated that in YbRh₂Si₂ more than 60% of the Yb³⁺ ions contribute to the ESR signal.¹³ On the other hand, in the case of conducting heavy fermions, the g -shift is dominated by the one of the f -electrons and is going to have the crystalline field anisotropies of the rare earth-sites. It is hard to justify an intensity correspond-

ing to more than 60% of the Yb ions, since a resonance of conduction electrons takes place only close to the Fermi level. Based solely on ESR it is then difficult, if not impossible, to decide, if the resonances arise from localized moments or the carriers in a heavy electron band.¹⁴

Recently Abrahams and Wölfle¹⁵ studied the linewidth of the ESR signal for a heavy fermion compound within the framework of the Anderson lattice. They obtained that the heavy mass in conjunction with ferromagnetic fluctuations can lead to observable narrow resonances. The heavy mass is equivalent with arguing with a small Kondo temperature for the lattice, but is not enough to produce an observable ESR signal. The ferromagnetic correlations further reduce the linewidth of the signal. Wölfle and Abrahams¹⁶ have applied their theory to the Fermi liquid regime for YbRh₂Si₂ and found excellent agreement with the experimental data. They also extended the description to the non-Fermi liquid regime of this material and found a close relation of the T dependence of the specific heat and spin susceptibility with the observed T dependence of the g -shift and the linewidth.

Schlottmann¹⁴ arrived at similar results to those in Ref. [15] by studying the dynamical susceptibility for localized spins within the framework of the Kondo lattice. Based on the proportionality of the linewidth with the inverse susceptibility this investigation clearly shows the relevance of the ferromagnetic correlations, since a Curie-Weiss law with an antiferromagnetic Weiss temperature (Kondo effect) would produce a broad, and hence not observable, ESR line, while ferromagnetic correlations without long-range order enhance the susceptibility and hence can produce an observable resonance.

Several other approaches to explain the ESR in heavy fermion systems have been proposed. Zvyagin *et al.*¹⁷ considered a system with strong local anisotropic electron-electron interactions and showed that together with a hybridization between localized and itinerant elec-

trons it may cause a g -shift of the ESR signal and a change in the linewidth. Huber,⁵¹ on the other hand, studied the effects of anisotropy and the Yb-Yb interactions on the low-field ESR in YbRh₂Si₂ and YbIr₂Si₂ with main emphasis on the anisotropy of the g -shift. Finally, for the anisotropic Kondo model with anisotropic Ruderman-Kittel-Kasuya-Yosida (RKKY) interaction Kochelaev *et al.*¹⁹ investigated the relaxation of a collective spin mode assuming that the Kondo coupling has the same anisotropy as the g -factor.

The experimental interpretation⁵ and some of the above theoretical studies^{14,16,17,19,51} have the underlying assumption of a strong anisotropy leading to ferromagnetic correlations among the resonating spins. Recently, an ESR signal was observed at 60 GHz in the cubic compound CeB₆ in the temperature range from 1.8 K to 3.8 K for the magnetic field parallel to the [110] direction.^{20,21} The resonance²² has a Dysonian-like line-shape and a g -factor of 1.59. The compound has a Kondo temperature of the order of 1 K and displays antiferro-quadrupolar order²³ where the resonance was observed. The crystalline field splitting of the Ce³⁺ ions in CeB₆ leads to a Γ_8 ground-quartet,²⁴ which has simultaneously spin and quadrupolar content. The long-range order is driven by the quadrupolar degrees of freedom. There are several questions arising in the context of the observation of the ESR signal: (1) A Γ_8 quartet allows four transitions, why is only one observed? (2) The isotropy of the compound does not favor ferromagnetic correlations (the Curie-Weiss temperature arising from the Kondo effect has to be suppressed first), why is a resonance observed at all? (3) Can the resonance be understood within the single ion picture or is the signal necessarily due to itinerant electrons?

There was a report²⁵ on ESR in CeB₆ previous to those by Demishev *et al.*²⁰⁻²² where a resonance was seen in a temperature range up to 150 K. These results could not be reproduced by other groups.^{22,26} There is a strong possibility that the ESR results are sample dependent.

In this paper we present arguments that can explain why only one resonance is observed in CeB₆. The remainder of the article is organized as follows. In Sect. II we lay the ground work by analyzing the transitions for a single Ce³⁺ ion with Γ_8 ground-quartet for the magnetic field rotating in the (1, -1, 0) plane. This leads to four resonances for every angle of the magnetic field with the crystal axis. In Sect. III we consider a single Ce³⁺ ion embedded in a lattice with antiferro-quadrupolar (AFQ) order. The long-range order with two sublattices reduces the four resonances to two signals, one for each sublattice. These results are extended to the full lattice in Sect. IV, where the $4f$ electrons are itinerant.^{15,16} This reduces the two resonances to one resonance with g -factor equal to the half-sum of the g -factors of the two sublattices. This allows us to conclude that the single site picture cannot explain ESR in CeB₆. In Sect. V we show that ferromagnetic spin correlations are generated via the quadrupolar correlations. These correlations explain the phase bound-

ary between the AFQ and Kondo phases, the T and H dependence of the magnetization, as well as the narrowing of the ESR linewidth. Hence, it is unlikely that an ESR signal could be observed in a cubic Ce heavy fermion compound with a Γ_7 ground doublet. Conclusions follow in Sect. VI. In Appendix A the linewidth of the transitions discussed in Sect. II is addressed. The dynamical transversal susceptibility is expressed in terms of relaxation functions²⁷ for each of the transitions, yielding the Knight shift and the Korringa relaxation rate, as well as the proportionality relation of the relaxation time with the local static susceptibility. In Appendix B we show that a single heavy fermion band for the AFQ ordered lattice yields similar results as the two band Anderson lattice, namely a single resonance with a g -factor equal to the average of the g -factors of the two sublattices.

II. SINGLE-SITE RESONANCES FOR A CE-ION WITH Γ_8 GROUND STATE

ESR of impurity rare earth ions with Γ_8 ground quartets have been studied in several occasions, e.g. Dy³⁺ ions in the insulator²⁸ CaF₂ and the metal²⁹ Au, and Er³⁺ in the heavy fermion low carrier compound³⁰ Yb-BiPt. Both, Dy³⁺ and Er³⁺ ions, have large total angular momenta of $J = 15/2$, and hence in cubic symmetry two crystalline field parameters, B_4 and B_6 , are needed to characterize the splittings. The energy levels and the wave functions are then not universal, but depend on the parameter x related to B_4/B_6 .³¹

This is different for Ce³⁺ ions which have $J = 5/2$ and only require one crystalline field parameter, B_4 . In a matrix with eightfold coordination a Γ_8 ground quartet is expected with wave functions that can be expressed in terms of the J_z eigenstates³¹

$$\begin{aligned} |+\uparrow\rangle &= \sqrt{\frac{5}{6}}|+\frac{5}{2}\rangle + \sqrt{\frac{1}{6}}|-\frac{3}{2}\rangle, \\ |+\downarrow\rangle &= \sqrt{\frac{5}{6}}|-\frac{5}{2}\rangle + \sqrt{\frac{1}{6}}|+\frac{3}{2}\rangle, \\ |-\uparrow\rangle &= |+\frac{1}{2}\rangle, \quad |-\downarrow\rangle = |-\frac{1}{2}\rangle. \end{aligned} \quad (1)$$

Here \uparrow and \downarrow refer to the spin σ and $+$ and $-$ to the quadrupolar degrees of freedom.

The magnetization vector is given by $\vec{M} = \mu_B(\vec{L} + 2\vec{S})$ and the non-vanishing matrix elements of \vec{M} are³²

$$\begin{aligned} \langle +\sigma | M_z | +\sigma \rangle &= \frac{11}{7}\sigma\mu_B, \\ \langle -\sigma | M_z | -\sigma \rangle &= \frac{3}{7}\sigma\mu_B, \\ \langle -\downarrow | M_+ | +\uparrow \rangle &= \langle +\downarrow | M_+ | -\uparrow \rangle = \frac{4}{7}\sqrt{3}\mu_B, \\ \langle +\uparrow | M_+ | +\downarrow \rangle &= \frac{10}{7}\mu_B, \\ \langle -\uparrow | M_+ | -\downarrow \rangle &= \frac{18}{7}\mu_B, \end{aligned} \quad (2)$$

where σ takes values ± 1 and $M_{\pm} = M_x \pm iM_y$. For a magnetic field H parallel to the crystal [0,0,1]-direction

(z -axis), there are then four possible transitions

$$\begin{aligned}
 |+\uparrow\rangle &\rightarrow |-\downarrow\rangle, & \Delta E &= 2\mu_B H, & w &= \frac{12}{49} \\
 |-\uparrow\rangle &\rightarrow |+\downarrow\rangle, & \Delta E &= 2\mu_B H, & w &= \frac{12}{49} \\
 |+\uparrow\rangle &\rightarrow |+\downarrow\rangle, & \Delta E &= \frac{22}{7}\mu_B H, & w &= \frac{25}{49} \\
 |-\uparrow\rangle &\rightarrow |-\downarrow\rangle, & \Delta E &= \frac{6}{7}\mu_B H, & w &= \frac{81}{49}
 \end{aligned} \quad (3)$$

where ΔE is the corresponding transition energy and w the spectral weight (in arbitrary units) evaluated as the square of the matrix element of M_x . There are two more transition ($|+\uparrow\rangle \rightarrow |-\uparrow\rangle$ and $|-\downarrow\rangle \rightarrow |+\downarrow\rangle$) which for this direction of the magnetic field have zero spectral weight.

In ESR experiments it is customary to rotate the magnetic field in the $(1, -1, 0)$ plane. The magnetic field can then be parametrized as $\vec{H} = H(\sin\theta, \sin\theta, \sqrt{2}\cos\theta)/\sqrt{2}$, so that for $\theta = 0$ the field is along the $[0, 0, 1]$ -axis, if $\theta = \pi/2$ $\vec{H} \parallel [1, 1, 0]$, and for $\theta = \arctan(\sqrt{2}) = 54.7^\circ$ the magnetic field points into the $[1, 1, 1]$ direction. The Zeeman Hamiltonian takes the form

$$\begin{aligned}
 \mathcal{H}_Z &= -\vec{M} \cdot \vec{H} = -\tilde{M}_z H \\
 &= -H \left[\frac{M_x + M_y}{\sqrt{2}} \sin\theta + M_z \cos\theta \right], \quad (4)
 \end{aligned}$$

where M_x , M_y and M_z are 4×4 matrices defined by Eq. (2). Here \tilde{M}_z is the magnetization operator along the direction of the field. The Zeeman Hamiltonian is easily diagonalized numerically and the six transitions discussed in Eq. (3) now depend on the angle θ . The resonance fields $\mu_B H_r$ in units of $h\nu$ (where ν is the microwave frequency) are displayed in panel (a) of Fig. 1. We choose \tilde{M}_x pointing into the $[1, -1, 0]$ direction which is always perpendicular to the direction of \tilde{M}_z . In terms of M_x and M_y for $\theta = 0$ we have that $\tilde{M}_x = (M_x - M_y)/\sqrt{2}$. The relative intensities of the transitions can now be calculated using the eigenstates of \tilde{M}_z and are shown in panel (b) of Fig. 1. In Fig. 1 the eigenstates of \tilde{M}_z are labeled in decreasing order of their energy. The four states correspond to two Kramers spin doublets, namely, states (1,4) and (2,3), the doublet (1,4) having the larger effective g -factor. There are no level crossings as a function of θ . Note that the transitions $|4\rangle \rightarrow |3\rangle$ and $|2\rangle \rightarrow |1\rangle$ have the same resonance field and hence their transition probabilities have been added. Similarly for the transitions $|4\rangle \rightarrow |2\rangle$ and $|3\rangle \rightarrow |1\rangle$, which also have the same resonance fields.

In Eq. (1) we defined the four Γ_8 wave functions in terms of two indices, the spin σ (\uparrow and \downarrow) and $+/-$ labeling the Kramers doublets. The latter represents the quadrupolar degrees of freedom of the quartet,³² which are conveniently parametrized using a pseudo-spin description.^{33,34} The spin and pseudo-spin operators are $1/2$ times Pauli matrices, denoted $\vec{\sigma}$ and $\vec{\tau}$, respectively, such that³²

$$\sigma_+ |\pm\downarrow\rangle = |\pm\uparrow\rangle, \quad \sigma_- |\pm\uparrow\rangle = |\pm\downarrow\rangle,$$

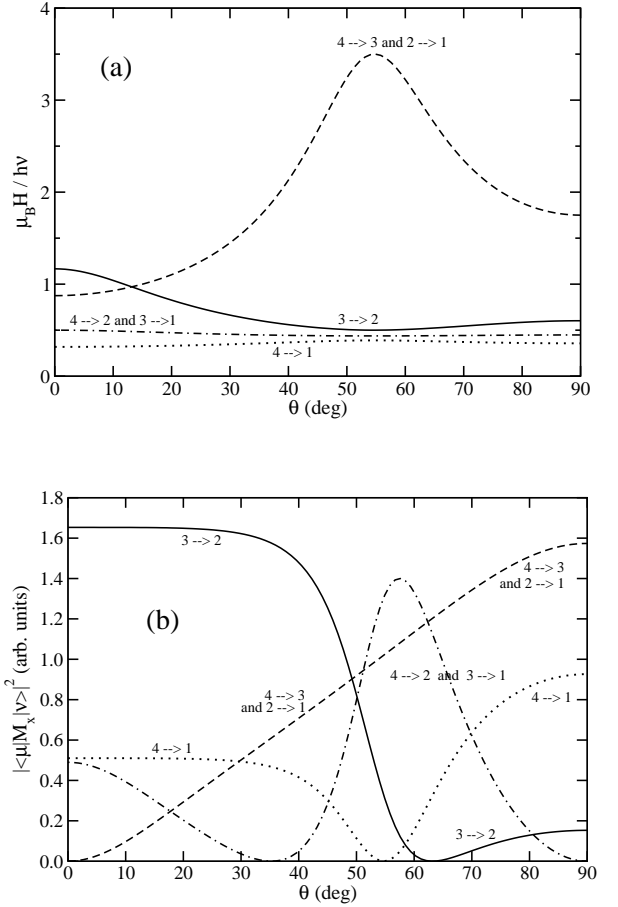


FIG. 1: (a) Transition fields normalized to the microwave energy $h\nu$ and (b) transition probabilities for a noninteracting Ce^{3+} in a Γ_8 quartet with Zeeman splitting for a magnetic field rotating in the $(1, -1, 0)$ plane (angle θ). The four eigenstates are labeled with decreasing energy. State 1 with 4 and 2 with 3, form two spin Kramers doublets. There are no level crossings as a function of θ .

$$\begin{aligned}
 \sigma_z |\pm\sigma\rangle &= \frac{1}{2}\sigma |\pm\sigma\rangle, & \tau_z |\pm\sigma\rangle &= \pm\frac{1}{2}|\pm\sigma\rangle, \\
 \tau_+ |-\sigma\rangle &= |+\sigma\rangle, & \tau_- |+\sigma\rangle &= |-\sigma\rangle.
 \end{aligned} \quad (5)$$

Using the above relations the magnetic moment operator for the Γ_8 states can be written as³²

$$M_\alpha = 2\mu_B \left(1 + \frac{8}{7}T_\alpha\right) \sigma_\alpha, \quad \alpha = x, y, z, \quad (6)$$

where

$$T_z = \tau_z, \quad T_x = -\frac{1}{2}\tau_z + \frac{\sqrt{3}}{2}\tau_x, \quad T_y = -\frac{1}{2}\tau_z - \frac{\sqrt{3}}{2}\tau_x. \quad (7)$$

Note that \vec{M} does not depend on τ_y and the Zeeman Hamiltonian is still given by $\mathcal{H}_Z = -\vec{M} \cdot \vec{H}$.³²

So far we have discussed only the positions of the possible resonances. Their linewidth arises from the interaction with the electron gas. The formalism leading to the Lorentzian form of the transversal dynamical susceptibility²⁷ is sketched in Appendix A. This formalism leads to the proportionality of the relaxation time to

the static susceptibility, i.e. the Korringa law for higher temperatures and the $1/T_{rel} \approx T_K$ at low T . In the following section we show that the long-range antiferro-quadrupolar order reduces the four single ion resonances to two.

III. SINGLE-SITE RESONANCES WITH ANTIFERRO-QUADRUPOLAR ORDER

When the temperature is lowered CeB_6 undergoes a phase transition from the paramagnetic disordered phase into an antiferro-quadrupolar ordered phase with \vec{Q} -vector along the $(1, 1, 1)$ directions.²³ The transition boundary is field dependent and T_c increases with magnetic field from 3.25 K at zero-field to about 5.5 K at 4 T to 8.5 K at 15 T and almost 10 K at 30 T.³⁵

The quadrupolar degrees of freedom are described by the Pauli matrices τ defined by Eq. (5).³² Long-range order implies that the τ -matrices are replaced by their eigenvalues. It is not known which combination of quadrupolar degrees of freedom provides the quadrupolar order at each site, i.e. the order does not necessarily form along τ_z . The magnetization operators (6) only depend on τ_x and τ_z , but not on τ_y . To define a general direction of the orbital order, it is then natural to rotate the τ -matrices in the x - z plane, i.e.,

$$\begin{aligned}\tilde{\tau}_x &= \cos(\varphi)\tau_x - \sin(\varphi)\tau_z, \\ \tilde{\tau}_z &= \sin(\varphi)\tau_x + \cos(\varphi)\tau_z.\end{aligned}\quad (8)$$

Without loss of generality we can now choose the quadrupolar order along $\tilde{\tau}_z$. We will consider two orientations of the magnetic field with the crystallographic axis. The simplest case corresponds to the magnetic field parallel to the crystal z -axis. In the experimental situation²⁰⁻²² the magnetic field points in the $(1, 1, 0)$ direction.

$$\text{A. } \vec{H} = H(0, 0, 1)$$

The z component of the magnetization is given by Eq. (6). Inverting Eq. (8) and inserting into Eq. (6) we obtain

$$M_z = 2\mu_B \{1 + (8/7) [\cos(\varphi)\tilde{\tau}_z - \sin(\varphi)\tilde{\tau}_x]\} \sigma_z. \quad (9)$$

To introduce the antiferro-quadrupolar order we replace $\tilde{\tau}_z$ by its eigenvalues, $\pm 1/2$. This way we assume the order is fully developed and along the direction of $\tilde{\tau}_z$. The quadrupolar fluctuations, represented by $\tilde{\tau}_x$, are taken into account by substituting $\tilde{\tau}_x$ by its expectation value, $\langle \tilde{\tau}_x \rangle = 0$. The g -factors are then

$$g_{\pm} = 2 \pm (8/7) \cos(\varphi). \quad (10)$$

For $\varphi = 0$ we recover $g_+ = 22/7$ and $g_- = 6/7$ in agreement with Eq. (3). There are then two simple

resonances, one corresponding to each sublattice of the antiferro-quadrupolar order. Note that the originally four transitions (see Fig. 1) are reduced to two due to the antiferro-quadrupolar order.

Similarly we can express M_x (Eq. (6)) in terms of $\tilde{\tau}$ operators

$$\begin{aligned}M_x &= 2\mu_B \left\{ 1 + (8/7) \left[\left(-\frac{1}{2} \cos(\varphi) + \frac{\sqrt{3}}{2} \sin(\varphi) \right) \tilde{\tau}_z \right. \right. \\ &\quad \left. \left. + \left(\frac{1}{2} \sin(\varphi) + \frac{\sqrt{3}}{2} \cos(\varphi) \right) \tilde{\tau}_x \right] \right\} \sigma_x.\end{aligned}\quad (11)$$

With the same replacements as above we have

$$M_x = \mu_B \left[2 \pm (8/7) \left(-\frac{1}{2} \cos(\varphi) + \frac{\sqrt{3}}{2} \sin(\varphi) \right) \right] \sigma_x \quad (12)$$

and the transition probabilities for the two sublattice sites are given by

$$|\langle \uparrow | M_x | \downarrow \rangle|^2 = \mu_B^2 \left[1 \pm (4/7) \left(-\frac{1}{2} \cos(\varphi) + \frac{\sqrt{3}}{2} \sin(\varphi) \right) \right]^2. \quad (13)$$

The resonance field and the spectral weights then depend on the angle φ determined by the linear combination of orbits in the antiferro-quadrupolar order. For $\varphi = 0$ we recover $w_+^2 = 25/49$ and $w_-^2 = 81/49$ in agreement with Eq. (3).

$$\text{B. } \vec{H} = H(1, 1, 0)/\sqrt{2}$$

This field direction is the one employed for the ESR measurements in CeB_6 .²⁰⁻²² It is convenient to rotate the magnetization so that M_z^* is parallel to the magnetic field, i.e. we choose

$$M_z^* = (M_x + M_z)/\sqrt{2}, \quad M_x^* = (M_x - M_z)/\sqrt{2}, \quad M_y^* = M_y. \quad (14)$$

With the rotations of the τ matrices, Eq. (8), we obtain

$$\begin{aligned}M_z^* &= \mu_B \sqrt{2} \left\{ 1 + (8/7) \left[\left(-\frac{1}{2} \cos(\varphi) + \frac{\sqrt{3}}{2} \sin(\varphi) \right) \tilde{\tau}_z \right. \right. \\ &\quad \left. \left. + \left(\frac{1}{2} \sin(\varphi) + \frac{\sqrt{3}}{2} \cos(\varphi) \right) \tilde{\tau}_x \right] \right\} \sigma_x \\ &\quad + \mu_B \sqrt{2} \left\{ 1 + (8/7) [\cos(\varphi)\tilde{\tau}_z - \sin(\varphi)\tilde{\tau}_x] \right\} \sigma_z\end{aligned}\quad (15)$$

and imposing antiferro-quadrupolar order as in Sect. III.A we have

$$\begin{aligned}M_z^* &= \mu_B \sqrt{2} \left\{ \left[1 \pm (4/7) \left(-\frac{1}{2} \cos(\varphi) + \frac{\sqrt{3}}{2} \sin(\varphi) \right) \right] \sigma_x \right. \\ &\quad \left. + \left(1 \pm (4/7) \cos(\varphi) \right) \sigma_z \right\}.\end{aligned}\quad (16)$$

M_z^* is a 2×2 matrix in spin space which has to be diagonalized to obtain the effective g -values

$$\begin{aligned}g_{\pm} &= \sqrt{2} \left[\left(1 \pm (4/7) \cos(\varphi) \right)^2 \right. \\ &\quad \left. + \left(1 \mp (2/7) \cos(\varphi) \pm (2\sqrt{3}/7) \sin(\varphi) \right)^2 \right]^{1/2}.\end{aligned}\quad (17)$$

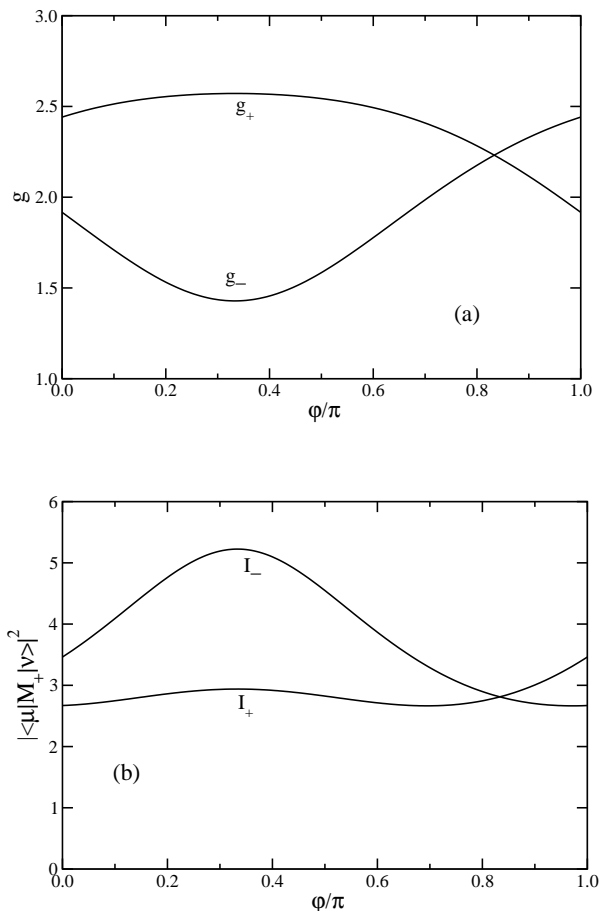


FIG. 2: (a) Effective g -factors and (b) transition probabilities for a noninteracting Ce^{3+} in a Γ_8 quartet with Zeeman splitting for a magnetic field along the $(1, 1, 0)$ -direction for antiferro-quadrupolar order. φ is the hybridization angle for the quadrupolar degrees of freedom (see text).

Here, the \pm refers to the sites of the two sublattices. The eigenstates are linear combinations of up-spin and down-spin states. Again, with antiferro-quadrupolar order there are only two resonances, rather than four (see Sect. II).

M_x^* can be obtained in a similar fashion,

$$\begin{aligned}
 M_x^* &= \mu_B \sqrt{2} \left\{ 1 + (8/7) \left[\left(-\frac{1}{2} \cos(\varphi) + \frac{\sqrt{3}}{2} \sin(\varphi) \right) \tilde{\tau}_z \right. \right. \\
 &\quad \left. \left. + \left(\frac{1}{2} \sin(\varphi) + \frac{\sqrt{3}}{2} \cos(\varphi) \right) \tilde{\tau}_x \right] \right\} \sigma_x \\
 &\quad - \mu_B \sqrt{2} \left\{ 1 + (8/7) \left[\cos(\varphi) \tilde{\tau}_z - \sin(\varphi) \tilde{\tau}_x \right] \right\} \sigma_z \\
 &\Rightarrow \mu_B \sqrt{2} \left\{ 1 \pm (4/7) \left[-\frac{1}{2} \cos(\varphi) + \frac{\sqrt{3}}{2} \sin(\varphi) \right] \sigma_x \right. \\
 &\quad \left. - \left[1 \pm (4/7) \cos(\varphi) \right] \sigma_z \right\}, \quad (18)
 \end{aligned}$$

and the spectral weight of the transition is given by the off-diagonal matrix elements of M_x^* within the eigenstates of M_z^* .

The g -factors and the spectral weights for the two transitions are shown in Fig. 2 as a function of the quadrupolar mixing angle φ . If φ is continued into the interval π to 2π the $+$ solution goes over into the $-$ solution and vice versa. So far we considered full orbital order, which suppresses quadrupolar fluctuations. In principle, it is possible to introduce partial antiferro-quadrupolar order and quadrupolar fluctuations. The partial order shifts the g -factors slightly and the fluctuations prevent g_+ and g_- from crossing, since the fluctuations act as a hybridization between the two resonances. The fluctuations also reinstate the two resonances suppressed by the full orbital order, but with a weak spectral weight proportional to the square of the fluctuations.

The main problem with the present formulation is that two resonances arise, one corresponding to sites of each sublattice. However, the system is not inhomogeneous and only one resonance is observed. To correct for this fact it is necessary to introduce coherence in the lattice, which is then not just a collection of independent sites.

IV. COHERENCE IN THE KONDO LATTICE

So far we considered single ion resonances and reduced the four transitions for the Ce^{3+} Γ_8 quartet to two resonances by imposing antiferro-quadrupolar order. These resonances correspond to a single resonance for the ions on each of the two sublattices. CeB_6 is a heavy electron metal, so that the resonating electrons are not localized and are allowed to travel through the crystal. These itinerant electrons form a coherent state at low temperatures. This coherence reduces the two resonances discussed in Sect. III to a single resonance.

We consider two hybridized bands, a conduction and a localized electron band, and two interpenetrating sublattices, labeled with 1 and 2, respectively. The excluded double occupancy of the localized sites can be taken into account via slave bosons in the mean-field approximation, i.e. by replacing the hybridization V by a much smaller effective one, \tilde{V} .^{36,37} The hopping between sites of the conduction states conserves the spin component and the Hamiltonian can be written as the sum of one for up-spins and one for down-spins. As a short-hand notation we will suppress the spin index and write

$$\begin{aligned}
 \mathcal{H}_0 &= \sum_{\vec{k}\alpha} \epsilon_{\vec{k}\alpha} c_{\vec{k}\alpha}^\dagger c_{\vec{k}\alpha} + \sum_j \left[\epsilon_1 d_{1j}^\dagger d_{1j} + \epsilon_2 d_{2j}^\dagger d_{2j} \right] \\
 \mathcal{H}_V &= \tilde{V} \sum_{\vec{k}\alpha j} \left[c_{\vec{k}\alpha}^\dagger d_{1j} e^{-i\vec{k}\vec{R}_j} + c_{\vec{k}\alpha}^\dagger d_{2j} e^{-i\vec{k}(\vec{R}_j + \vec{a})} \right. \\
 &\quad \left. + H.c. \right], \quad (19)
 \end{aligned}$$

where \vec{R}_j labels the sites of the sublattice 1 and $\vec{R}_j + \vec{a}$ the sites of the sublattice 2. There are then two Ce^{3+} -ions per unit cell and $\alpha = a, b$ labels the two conduction bands in the reduced Brillouin zone. Fourier-transforming the

site index j , the Hamiltonian has the form $\mathcal{H} = \sum_{\vec{k}} \mathcal{H}_{\vec{k}}$, where $\mathcal{H}_{\vec{k}}$ can be cast into the form of a 4×4 matrix. The diagonal part of the matrix contains the four one-particle energies and the off-diagonal entries are either V or 0. The diagonalization of the matrix yields four bands separated by gaps. This picture is related to the Anderson lattice considered by Abrahams and Wölfle¹⁵ with two main differences consisting in the slave-boson mean-field and the two sublattices with different on-site energies. The former simplifies the calculation, while the latter is specific to the CeB₆ problem.

For CeB₆ two electrons per Ce atom have to be placed into these bands, i.e. four into the reduced zone scheme. Including the spin-index, there are two electrons per spin component. In zero magnetic field $\epsilon_1 = \epsilon_2 = \epsilon$, i.e. the two quadrupolar states have the same energy (note that $\epsilon_1 \neq \epsilon_2$ implies a charge density wave), and we can assume that the Fermi level lies in the lower of the conduction bands. This way we can neglect the upper conduction band and reduce the dimension of the matrix to 3×3 . Dropping the subindex α the secular equation becomes

$$(\lambda - \epsilon_{\vec{k}})(\lambda - \epsilon_1)(\lambda - \epsilon_2) + \tilde{V}^2(2\lambda - \epsilon_1 - \epsilon_2) = 0. \quad (20)$$

For zero magnetic field, the roots of this cubic polynomial are

$$\lambda_0^* = \epsilon, \quad \lambda_{\pm}^* = \frac{\epsilon_{\vec{k}} + \epsilon}{2} \pm \frac{1}{2} \sqrt{(\epsilon_{\vec{k}} - \epsilon)^2 + 8\tilde{V}^2}, \quad (21)$$

i.e. there is one flat band, λ_0^* , and two dispersive bands, λ_{\pm}^* . The dispersive bands are essentially the standard hybridized bands of the Anderson lattice, which now has the dispersionless level in the center of the gap. A similar model has been proposed for Ce₃Au₃Sb₄,³⁸ which is a narrow gap semiconductor with a very large density of localized states in the gap.

To discuss the general case, i.e. in a non-zero magnetic field, we introduce

$$\epsilon = (\epsilon_1 + \epsilon_2)/2, \quad \delta = (\epsilon_1 - \epsilon_2)/2, \quad (22)$$

or $\epsilon_1 = \epsilon + \delta$ and $\epsilon_2 = \epsilon - \delta$ and insert them into the secular equation,

$$\begin{aligned} & \lambda^3 - \lambda^2(\epsilon_{\vec{k}} + 2\epsilon) + \lambda(2\epsilon_{\vec{k}}\epsilon + \epsilon^2 - \delta^2 - 2\tilde{V}^2) \\ & - \epsilon_{\vec{k}}\epsilon^2 + \epsilon_{\vec{k}}\delta^2 + 2\tilde{V}^2\epsilon = 0. \end{aligned} \quad (23)$$

For $\delta = 0$ the equation reduces to the one discussed before. δ represents the Zeeman splitting and is proportional to the magnetic field. It is useful to explicitly include the shift due to the Zeeman effect for the conduction electrons, by writing $\epsilon_{\vec{k}} \rightarrow \epsilon_{\vec{k}} + \epsilon_e$. To obtain the effective g -factor, we are allowed to neglect all terms of order H^2 .

Introducing $\lambda = \lambda^* + \delta\lambda$ we have

$$\begin{aligned} & (\lambda^*)^3 - (\lambda^*)^2(\epsilon_{\vec{k}} + 2\epsilon) + \lambda^*(2\epsilon_{\vec{k}}\epsilon + \epsilon^2 - 2\tilde{V}^2)\epsilon \\ & - \epsilon_{\vec{k}}\epsilon^2 + 2\tilde{V}^2 + \delta\lambda[3(\lambda^*)^2 - 2\lambda^*(\epsilon_{\vec{k}} + 2\epsilon) \\ & + 2\epsilon_{\vec{k}}\epsilon + \epsilon^2 - \tilde{V}^2] - (\lambda^* - \epsilon)^2\epsilon_e = 0. \end{aligned} \quad (24)$$

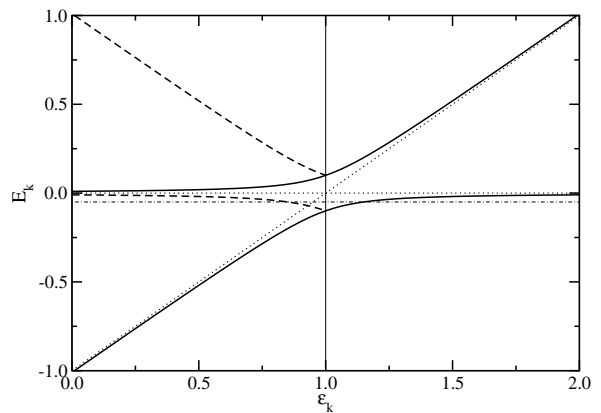


FIG. 3: Schematic hybridized bands: The dotted lines are the unhybridized dispersions for the conduction and localized states for the full Brillouin zone; the full curves are the hybridized bands showing a hybridization gap also for the full Brillouin zone; the dashed curves represent the folding of the hybridized bands into the reduced Brillouin zone; and the horizontal dash-dotted line displays the possible position of the Fermi level.

For $\delta\lambda = \epsilon_e = 0$ we recover the roots in Eq. (21); there is then the following relation between $\delta\lambda$ and ϵ_e

$$\delta\lambda = \epsilon_e \frac{(\lambda^* - \epsilon)^2}{3(\lambda^*)^2 - 2\lambda^*(\epsilon_{\vec{k}} + 2\epsilon) + 2\epsilon_{\vec{k}}\epsilon + \epsilon^2 - \tilde{V}^2}, \quad (25)$$

which can be used to determine the effective g -shift.

The simplest situation is when the Fermi level lies in the λ_0^* level. In this case $\delta\lambda = 0$ and hence there is a single resonance with

$$g_{eff} = (g_1 + g_2)/2, \quad (26)$$

where g_1 and g_2 are the g -factors of the two sublattices as discussed in Sect. III. A more realistic assumption is that the Fermi level lies in one of the dispersive bands, but close to the hybridization gap. A simplified band picture is schematically shown in Fig. 3 for the full and reduced Brillouin zones for $\epsilon_1 = \epsilon_2$. A possible position for the Fermi level is shown as the horizontal dash-dotted line.

To evaluate the right-hand side of Eq. (25) in the general case it is sufficient to neglect δ and to work in the full Brillouin zone. The hybridized band dispersions are

$$\lambda = \frac{\epsilon + \epsilon_{\vec{k}}}{2} \pm \frac{1}{2} \sqrt{(\epsilon - \epsilon_{\vec{k}})^2 + 4\tilde{V}^2}. \quad (27)$$

We consider the lower band and expand for small variations of ϵ and $\epsilon_{\vec{k}}$ due to the Zeeman shift and obtain

$$\begin{aligned} g_{eff} &= \frac{1}{2} \left[\frac{g_1 + g_2}{2} + g_e \right] - \frac{1}{2} \frac{\epsilon - \epsilon_{\vec{k}}}{\sqrt{(\epsilon - \epsilon_{\vec{k}})^2 + 4\tilde{V}^2}} \\ &\times \left[\frac{g_1 + g_2}{2} - g_e \right] = \frac{g_1 + g_2}{2} + corr, \end{aligned} \quad (28)$$

where *corr* is a correction term given by

$$corr = -\frac{1}{2} \left[\frac{g_1 + g_2}{2} - g_e \right] \left[1 - \frac{\epsilon - \epsilon_{\vec{k}}}{\sqrt{(\epsilon - \epsilon_{\vec{k}})^2 + 4\tilde{V}^2}} \right]. \quad (29)$$

For heavy fermions the Fermi level intersects the lower band were $\epsilon - \epsilon_{\vec{k}} \gg 2V$, so that there is almost a complete cancellation of the two terms in the second bracket. Neglecting the *corr* term (there are uncertainties in the Knight shift of the resonance as well) we obtain **one resonance** with a *g*-factor given by expression (26), i.e. the average *g*-factor of the two sublattices for all cases. Note also that since the two sublattices have different *g*-values, a uniform magnetic field induces a spin-density wave commensurate with the lattice of amplitude proportional to *H*.

In the Appendix B we show that similar results are obtained for a single-band model of the *t*-*J* type.

V. MAGNETIC CORRELATIONS

Usually, in a heavy fermion compound the rare earth spins are antiferromagnetically correlated, even if the system does not undergo a phase transition to long-range order. The correlations have short-range character and the susceptibility follows a Curie-Weiss law with antiferromagnetic Weiss-temperature θ , $\chi_0 = C/(T + \theta)$, where θ is of the order of T_K . The relaxation rate is inversely proportional to χ_0 (see Eq. (41)) and roughly follows a Korringa law, with a residual $T = 0$ linewidth proportional to θ . Hence, the resonance can only be observed if θ is very small, i.e. of the order of 100 mK or less for X-band microwaves.³⁹ This would require an extremely narrow heavy fermion band or fermions with an effective mass of $10^5 m_e$, where m_e is the free electron mass. The *T*-dependence in this case would be linear in *T*, i.e. a Korringa law.

If, on the other hand, the rare earth spins are ferromagnetically correlated, the static susceptibility is given by $\chi_0 = C/(T - T_C)$ for $T > T_C$, where $T_C > 0$ is the Curie temperature. As $T \rightarrow T_C$ the susceptibility diverges and hence, according to expression (41), the ESR linewidth becomes very narrow. This result has to be regarded with some caution, because we have neglected the relaxation through collective excitations, i.e. magnons. The ESR-signal found in single crystals of YbRh_2Si_2 ,³ and other compounds⁴⁻⁷ was attributed to the ferromagnetic correlations among the rare earth spins and the strong magnetic anisotropy.⁵ CeB_6 is an exception to this picture, since it is a cubic compound (very small magnetic anisotropy) and the ESR signal was observed in the antiferro-quadrupolar ordered phase. Below we present arguments on how ferromagnetic spin correlations can arise in CeB_6 .

Consider the wave function for two electrons on neighboring sites. Each state consists of a coordinate wave

function, an orbital (quadrupolar) wave function and a spin wave function. For the non-interacting system, the two-particle wave function then factors into a product of two-particle wave functions

$$\Psi \sim \psi_{\text{coord}}(\vec{r}_1, \vec{r}_2) \psi_{\text{orb}}(m_1, m_2) \psi_{\text{spin}}(\sigma_1, \sigma_2). \quad (30)$$

The total wave function Ψ should be antisymmetric under the interchange of the indices 1 and 2. This implies that either one of the three factors in Eq. (30) is antisymmetric (and the other two symmetric) or all three factors have to be antisymmetric.

The wave function ψ_{coord} is the product of single site wave functions, $\varphi(\vec{r}_1)\varphi(\vec{r}_2)$, where both electrons are in the same state. This wave function is then necessarily symmetric. Hence, out of $\psi_{\text{orb}}(m_1, m_2)$ and $\psi_{\text{spin}}(\sigma_1, \sigma_2)$ one has to be antisymmetric and the other one symmetric. We now assume that the effective two-particle interaction Hamiltonian is of the form $\mathcal{H}_{\text{int}} = a\vec{\tau}_1 \cdot \vec{\tau}_2$, where *a* is the quadrupolar exchange. To be able to generate antiferro-quadrupolar order necessarily *a* has to be positive. Hence, $\psi_{\text{orb}}(m_1, m_2)$ represents a singlet and has odd parity. Consequently the spin wave function has to be a triplet (even parity). The spins are then ferromagnetically correlated.

The singlet state of the orbital degrees of freedom cannot be satisfied simultaneously between all the neighboring sites and generates a resonant valence bond lattice for the quadrupolar wave function. A magnetic field helps to align the spins and hence to enforce the antiferro correlations between the orbits. This could be the explanation of why the T_c of the phase boundary between the para-quadrupolar disordered (Kondo) phase and the antiferro-quadrupolar phase increases with magnetic field. The magnetic field stabilizes the orbital order.

Some of the ferromagnetic correlations are expected to survive in the ordered phase and enhance the magnetic susceptibility, reducing the Weiss temperature and perhaps even changing its sign. At higher *T* the Weiss θ is antiferromagnetic or Kondo-like while when the phase boundary is approached θ becomes ferromagnetic²² (see also Fig. 1 of Ref. 25). As seen in Eq. (41), the relaxation rate is inversely proportional to the static transversal susceptibility, so that an enhanced χ_0^T reduces the linewidth and the resonance becomes observable. A similar conclusion, although with different arguments, has been presented in Ref. 22.

Quadrupolar degrees of freedom play a fundamental role in Ce^{3+} and Nd^{3+} ions with Γ_8 ground-state.⁴⁰ They manifest themselves in first place through interactions among the sites. There is, however, no consensus about the origin of the interactions. Kubo and Kuramoto⁴¹ successfully described the excitation spectrum of NdB_6 using nearest-neighbour intersite exchange and quadrupolar interactions. A different approach emphasizing crystalline fields was proposed by Uimin and Brenig.⁴² For CeB_6 , on the other hand, quadrupolar interactions between sites,³² the RKKY interaction arising from the

Coqblin-Schrieffer model,^{43,44} and a detailed group theoretical study⁴⁵ have been presented.

VI. CONCLUSIONS

Generally, in heavy fermion systems an ESR signal cannot be observed because of antiferromagnetic correlations that broaden the line. At low temperatures the linewidth is of the order of the Weiss temperature or T_K , and only with microwave frequencies of a few 100 GHz a signal could be detected. There are exceptions to this rule in magnetically very anisotropic compound with ferromagnetic correlations, e.g. YbRh_2Si_2 ,³ YbIr_2Si_2 ,⁴ CeRuPO ,⁷ YbRh ,⁵ and $\text{YbCo}_2\text{Zn}_{20}$.⁶ The ferromagnetic correlations dramatically reduce the linewidth^{5,14-16} so that a resonance can be seen with standard X- and Q-band frequencies.

CeB_6 constitutes an exception to the exceptions, since a resonance was observed in a cubic Kondo lattice in the antiferro-quadrupolar ordered phase. ESR in this compound requires a separate explanation. The crystalline field ground state of each Ce ion is a Γ_8 quartet, which displays spin and quadrupolar degrees of freedom. From the antisymmetry of two-electron wave functions for neighboring sites we conclude that in order to have antiferro-quadrupolar correlations necessarily the spins have to be ferromagnetically coupled. A magnetic field favors this state and the T_c of the phase boundary between the disordered Kondo phase and the phase with antiferro-quadrupolar order increases with H , in agreement with the experiment. Furthermore, the ferromagnetic correlations enhance the susceptibility (in agreement with experiment^{22,25}) and hence reduce the linewidth of the resonance, which then becomes accessible to observation.

In the experimental papers^{3-5,9} the results for YbRh_2Si_2 have all been interpreted as if the resonance is due to localized f-electrons. In other words, as for ESR on an impurity, if the microwave induces a spinflip at one site, the response of the system is measured at the same site. The response function in that case is the local dynamical susceptibility. The global dynamical susceptibility, on the other hand, is the Fourier-transform over the site pairs, $S(\mathbf{q}, \omega)$, and ESR response corresponds to the $\mathbf{q} \rightarrow 0$ limit.¹⁵ This appears to be the natural approach for extended conducting states.¹⁶ However, since more than 60% of the Yb ions participate in the resonance,¹³ it is hard to distinguish between the two approaches.¹⁴

Four resonances are expected from a single Ce^{3+} site with a Γ_8 ground quartet. Their resonance fields and spectral weights have been discussed in detail in Sect. II. The antiferro-quadrupolar order quenches three of these resonances at each site, leaving two resonances, one for each sublattice. Experimentally, however, only one resonance is observed. The ESR results for the system CeB_6 can therefore not be interpreted within the single site approach. The coherence in the global susceptibility re-

duces the two resonances to one with a g -factor approximately given by the average of the g -factors of the two sublattices. This average g -factor depends on the angle φ of the quadrupolar order and its value is of the order of 2 or slightly larger. The experimentally observed g -value²⁰⁻²² is about 1.6, i.e. considerably smaller than the theoretical value. The Knight-shift due to the exchange in the Coqblin-Schrieffer model yields a correction (of about 10 % of g) in the right direction. However, even if we consider the contribution of the four conduction channels coupling to the Γ_8 -multiplets, the Knight-shift is probably not large enough to account for the difference. There is also the possibility that the quadrupolar order, the intersite interactions and the coherence of the lattice change the Knight g -shift into the correct direction.

Experimentally, the resonance has a dysonian line-shape and the linewidth²² is a Korringa law at low T ($1.8 \leq T \leq 3.0$ K). When T is extrapolated to zero there is only a small residual linewidth left. This indicates that the antiferro-quadrupolar order and the ferromagnetic correlations between spins are effective in preventing the Kondo effect to develop in the ordered phase. At larger T ($3.0 \leq T \leq 3.8$ K) the linewidth is larger than the Korringa law. There are two possible explanations for this enhancement: (i) the modulation of the ligand field by lattice vibrations causes, by means of the spin-orbit coupling, a spin-lattice relaxation (Orbach relaxation process⁴⁶), which depends exponentially on the thermal activation of the excited Γ_7 crystalline field state (the splitting between the Γ_8 and Γ_7 multiplets is 530 K⁴⁷), and (ii) the relaxation increases because the phase boundary is approached and quadrupolar fluctuations consequently become larger and favor spin-lattice relaxation.

In summary, the reason why an ESR line is observed in CeB_6 is very different from that of the other compounds. CeB_6 is cubic and hence magnetically only weakly anisotropic. It requires a Γ_8 ground state with antiferro-quadrupolar correlations to enhance the spin susceptibility and reduce this way the linewidth. The single resonance observed in CeB_6 is evidence (in conjunction with the analysis in Ref. 16) that the ESR signal is a collective phenomenon involving all the sites of the lattice. Note also that in a cubic compound with Γ_7 ground doublet antiferromagnetic correlations are induced and hence an ESR signal is too broad to be observed with standard techniques. The Γ_8 ground state and the antiferro-quadrupolar order are essential ingredients for the observability of an ESR signal in a cubic environment.

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APPENDIX A: Relaxation functions

In this Appendix we present a sketch of a calculation of the dynamical transversal susceptibility, the Knight shift and the relaxation rates of the four resonances discussed in Sect. II. We follow the procedure developed in Ref. 27 for a spin S . The dynamics described by this method is equivalent to Bloch's equations.⁴⁸ The present is an extension of Refs. 1,14,27 to many resonances due to the crystalline field splitting.

The Ce^{3+} site interacts with the conduction states via the Coqblin-Schrieffer exchange Hamiltonian, $\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_{CS}$,

$$\begin{aligned} \mathcal{H}_0 &= \sum_{km} \epsilon_{km} c_{km}^\dagger c_{km} + \sum_m E_m, \\ \mathcal{H}_{CS} &= \frac{J}{N} \sum_{kk'mm'} [1 - \frac{1}{4}\delta_{mm'}] c_{km}^\dagger |m'\rangle \langle m| c_{k'm'} \end{aligned} \quad (31)$$

where \mathcal{H}_0 represents the kinetic energy of the conduction electrons (in principle there are three elliptic bands centered about the X points in CeB_6) and the Zeeman splitting of the four $\text{Ce-}\Gamma_8$ states, which are labeled here with $m = 1, \dots, 4$. The energies E_m are proportional to the field H and depend on the angle between the field and the crystallographic axis as discussed in Sect. II. The Ce site under consideration is the origin of the coordinate system and c_{km} refers to the conduction state with momentum $k = |\mathbf{k}|$ and partial wave m . \mathcal{H}_{CS} has been defined so that its trace is zero. J is the exchange coupling and N the number of sites in the lattice.

The ESR response is determined by the transversal dynamical susceptibility corresponding to a transition. Without loss of generality we can consider the transition between the states m_1 and m_2 . Assuming that $E_{m_2} > E_{m_1}$ the operator inducing the transition is $A_{m_1 m_2}^\dagger = |m_1\rangle \langle m_2|$ (for a two level system A^\dagger corresponds to the raising operator S^+) and we can define the spin-current operator as

$$\begin{aligned} j_{m_1 m_2}^\dagger &= [|m_1\rangle \langle m_2|, \mathcal{H}_{CS}] \\ &= \frac{J}{N} \sum_{kk'm} (c_{km}^\dagger c_{k'm_2} |m_1\rangle \langle m| - c_{km_1}^\dagger c_{k'm} |m\rangle \langle m_2|) \end{aligned} \quad (32)$$

Taking the expectation value of the conduction states we obtain

$$\begin{aligned} j_{m_1 m_2}^{\dagger(av)} &= \frac{J}{N} \sum_k (f(\epsilon_{km_2}) - f(\epsilon_{km_1})) |m_1\rangle \langle m_2| \\ &= -J\rho(E_{m_2} - E_{m_1}) |m_1\rangle \langle m_2|, \end{aligned} \quad (33)$$

where ρ is the conduction density of states. This term renormalizes the Zeeman splitting of the Γ_8 states and corresponds to the Knight-shift of the magnetic resonance. It is convenient to work with the Hartree-Fock factored variant of \mathcal{H}_{CS} , i.e. we include the Knight shift into the energies E_m .

We define the correlation function for the transition as

$$\chi_{m_1 m_2}^T(z) = -\mu_B^2 \langle \langle A_{m_1 m_2}^\dagger; A_{m_1 m_2} \rangle \rangle_z. \quad (34)$$

For $S = 1/2$ this response function corresponds to the transversal susceptibility. Following Götze and Wölfle²⁷ we write

$$\chi_{m_1 m_2}^T(z) = \frac{N_{m_1 m_2}^T(z) - \mu_B^2 \langle [A_{m_1 m_2}^\dagger, A_{m_1 m_2}] \rangle}{z - (E_{m_2} - E_{m_1}) + N_{m_1 m_2}^T(z)/\chi_0^T}, \quad (35)$$

which defines the function $N_{m_1 m_2}^T(z)$ and $\chi_0^T = \chi_{m_1 m_2}^T(z = 0)$ is the static transversal response function. The important part of $N_{m_1 m_2}^T(z)$ is its imaginary part at the resonance, i.e. for $z = E_{m_2} - E_{m_1}$, which divided by χ_0^T yields the relaxation rate. The function $N_{m_1 m_2}^T(z)$ is analytic in the complex upper and lower frequency half-planes and falls off as $1/z$ for large z (see Ref. 27). To simplify we will use the shorthand notation $\chi_{m_1 m_2}^T(z) = \chi_{12}(z)$, $N_{m_1 m_2}^T(z) = N_{12}(z)$, $E_{m_2} - E_{m_1} = h_{21}$, etc.

It is instructive to calculate the relaxation function $N_{12}(z)$ to second order in J . The imaginary part of the relaxation function $N_{12}(z)$ is given by the spin-current correlation function²⁷

$$N_{12}''(\omega) = -(\mu_B^2/\omega) \langle \langle j_{12}^{(c)}; j_{12}^{(c)\dagger} \rangle \rangle_\omega', \quad (36)$$

where $j_{12}^{(c)} = j_{12} - j_{12}^{(av)}$ is the Hartree-Fock corrected spin current operator. We obtain

$$\begin{aligned} N_{12}''(\omega) &= \pi(J\rho\mu_B)^2 \left[\frac{e^{-E_1/T} + e^{-E_2/T}}{Z} + 2\phi_{12}(\omega) \right] \\ &+ \pi(J\rho\mu_B)^2 [\phi_{13}(\omega) + \phi_{14}(\omega) + \phi_{32}(\omega) + \phi_{42}(\omega)] \end{aligned} \quad (37)$$

where $Z = \sum_{i=1}^4 e^{-E_i/T}$ is the partition function of the quadruplet and

$$\begin{aligned} \phi_{ij}(\omega) &= \frac{\omega - h_{ji}}{2\omega} \times \frac{e^{-E_i/T} - e^{-E_j/T}}{Z} \\ &\times \left[\coth\left(\frac{\omega - h_{ji}}{2T}\right) + \coth\left(\frac{h_{ji}}{2T}\right) \right]. \end{aligned} \quad (38)$$

The first bracket of Eq. (37) is the contribution involving only the levels 1 and 2 and is similar to the one obtained in Ref. 27 for a spin 1/2 system. The second bracket represents the contributions involving the intermediate states 3 and 4. These terms do not appear for a spin 1/2 system. The static susceptibility χ_0^T for the states m_1 and m_2 is given by

$$\chi_0^T = \mu_B^2 \frac{e^{-E_2/T} - e^{-E_1/T}}{h_{21}Z}. \quad (39)$$

For small frequencies and in the high temperature limit, i.e. for T larger than the Zeeman splittings, the functions $\phi_{ij}(\omega)$ approach the constant $1/4$. From Eq.

(35) it is seen that the dynamical response function has a Lorentzian shape with a relaxation rate²⁷

$$1/T_{rel} = N''_{12}(\omega = 0)/\chi_0^T = 2\pi(J\rho)^2 4T. \quad (40)$$

This expression is essentially the well-known Korringa relaxation rate, i.e. the linewidth is proportional to the temperature. The difference with the standard relaxation rate for a spin 1/2 and the $s-d$ Kondo Hamiltonian is a factor of eight; a factor of four can be attributed to the definition of the exchange coupling ($J_{sd} = 2J$) and a factor of two arises from the contributions to N''_{12} from the intermediate states 3 and 4.

The Korringa relaxation rate can also be obtained via Fermi's Golden Rule by calculating the spin transfer to the conduction electrons via exchange scattering. In this case the factor T arises from the fraction of the Fermi sea that is available for scattering. The relaxation function method yields the same result, but the factor T comes from the susceptibility. The relaxation function method can be derived from Bloch's equations⁴⁸ or justified from the Mori-Zwanzig projector formalism.^{49,50} The method has also been used by Huber in his treatment of electron paramagnetic resonance in exchange-coupled systems with unlike spins.⁵¹

The above calculation is the extension of the formalism of Ref. 27 for $S = 1/2$ to a more complex situation involving four states. It is interesting to point out that the T -dependence of the relaxation rate arises from the static susceptibility. We obtain this way that¹

$$T_{rel} \propto \chi_0^T, \quad (41)$$

as stated in the Introduction.

The frequency dependence of N''_{12} at temperatures less than the Zeeman splitting can give rise to retardation effects.²⁷ At low frequencies the relaxation is suppressed since the transition has to overcome the Zeeman splitting and the energy of the thermal bath is not sufficient for this. However, the experiments for CeB₆ were carried out $T > 1.8$ K and at 60 GHz, which translated to temperatures corresponds to 1.5 K, so that the temperature is always larger than the Zeeman splitting. Retardation effects do then not play a relevant role.

Perturbatively in J the Kondo effect introduces logarithmic divergencies as a function of T and B , which eventually give rise to the screening of the spin. The Kondo effect affects both, the relaxation function $N^T(z)$ and the static susceptibility χ_0^T . The relaxation function is enhanced by the Kondo effect ($N^T(0)$ reaches the Shiba² unitarity limit $N^T(0) = i(g\mu_B)^2 2/\pi$ for $S = 1/2$) and the static susceptibility decreases reaching a constant value, inversely proportional to T_K . Hence, the relaxation rate is considerably enhanced, rendering the resonance too broad to be observed (only if T_K is less than 100 mK an ESR resonance would be observable in X-band³⁹). In CeB₆, however, $T_K \sim 1$ K, so that for $T > 1.8$ K and due to the antiferro-quadrupolar order, the Kondo screening is not fully developed. For

the temperature regime of interest, the susceptibility is a Curie-Weiss law with antiferromagnetic Weiss temperature $\theta \approx -2T_K$ and the linewidth is still too large to be observed with X- band or Q-band spectrometers.

When the Ce-ion under consideration is embedded into a magnetic lattice (antiferromagnetic or ferromagnetic) the mean-field of the surroundings modifies the static susceptibility to a Curie-Weiss law with a θ_{CW} . In the paramagnetic phase the proportionality of $T_{rel} \approx \chi_0 \approx 1/(T - \theta)$ is then favorable for the observation of an ESR signal in the ferromagnetic case, but not in the antiferromagnetic situation.

The total intensity of the line is the integral over the resonance times the square of the matrix element of M_x (spectral weight), as discussed in Sect. II. In the absence of retardation effects this yields $\pi | \langle 1 | M_x | 2 \rangle |^2 \chi_0^T$, i.e. the intensity of the line is proportional to the corresponding susceptibility. If the system has several transitions that are well separated, the spectrum is given by the sum of the corresponding dynamic response functions times their spectral weight. If two transitions have the same resonance field (as e.g. $4 \rightarrow 2$ and $3 \rightarrow 1$ or $4 \rightarrow 3$ and $2 \rightarrow 1$ in Fig. 1) then the response functions are the same and have a spectral weight corresponding to the sum of the spectral weights. Finally, in the general case when two or more resonance fields are similar, it is necessary to introduce a matrix response function and a corresponding relaxation matrix⁵² with the transitions to be considered as entries. When the resonances are sufficiently separated the matrix formalism reduces again to individual resonances.

In summary, in the present Appendix we have generalized the resonance formalism of Ref. 27 for a general spin S to a system with multiple possible resonances.

APPENDIX B: Single band model

In Sect. IV we studied a two-band model involving a flat band of localized states hybridized with a dispersive conduction band and concluded that as a consequence of the lattice coherence the two sublattice resonances reduce to one with the g -factor approximately given by the average of the g -factors of the two sublattices. In this Appendix we show that a similar result is obtained within a single-band model (of the t - J family).

We consider one tight-binding band with two interpenetrating sublattices, 1 and 2. The unit cell has two sites of one-electron energies ϵ_1 and ϵ_2 , respectively. Correlations can be taken into account in mean-field, reducing the hopping matrix element to a smaller effective one. As in Sect. IV we suppress the spin index. In the reduced Brillouin zone, the one-electron Hamiltonian is then

$$\mathcal{H} = \sum_{\vec{k}} \left[\epsilon_1 c_{\vec{k}1}^\dagger c_{\vec{k}1} + \epsilon_2 c_{\vec{k}2}^\dagger c_{\vec{k}2} + \epsilon_{\vec{k}} (c_{\vec{k}1}^\dagger c_{\vec{k}2} + c_{\vec{k}2}^\dagger c_{\vec{k}1}) \right], \quad (42)$$

where $\epsilon_{\vec{k}} = -2t \sum_i \cos(\vec{k} \cdot \vec{R}_i)$ with \vec{R}_i being the vectors joining the nearest neighbor sites.

The Hamiltonian is easily diagonalized for each value of \vec{k} and the eigenvalues are

$$\lambda = \frac{\epsilon_1 + \epsilon_2}{2} \pm \frac{1}{2} \sqrt{(\epsilon_1 - \epsilon_2)^2 + 4\epsilon_k^2}. \quad (43)$$

For antiferro-quadrupolar order and in zero field $\epsilon_1 = \epsilon_2$, so that with an applied magnetic field $(\epsilon_1 - \epsilon_2)^2 \sim H^2$

(which can be neglected for the purpose of a g -factor calculation), and the effective g -factor is

$$g_{eff} = \frac{g_1 + g_2}{2}, \quad (44)$$

in agreement with the result in Sect. IV, Eq. (26).

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