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Ferromagnetic insulating state in tensile-strained LaCoO₃ thin films

Han Hsu,¹ Peter Blaha,² and Renata M. Wentzcovitch¹

¹Department of Chemical Engineering and Materials Science,

University of Minnesota, Minneapolis, Minnesota, USA

²Institute of Materials Chemistry, Vienna University of Technology,

A-1060 Vienna, Getreidemarkt 9/165-TC, Austria

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With local density approximation + Hubbard U (LDA+U) calculations, we show that the ferromagnetic (FM) insulating state observed in tensile-strained LaCoO₃ epitaxial thin films is most likely a mixture of low-spin (LS) and high-spin (HS) Co, namely, a HS/LS mixture state. Compared with other FM states, including the intermediate-spin (IS) state (*metallic* within LDA+U), which consists of IS Co only, and the insulating IS/LS mixture state, the HS/LS state is the most favorable one. The FM order in HS/LS state is stabilized via the superexchange interactions between adjacent LS and HS Co. We also show that Co spin state can be identified by measuring the electric field gradient (EFG) at Co nucleus via nuclear magnetic resonance (NMR) spectroscopy.

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Perovskite-structure oxides have been proven a fertile area in condensed matter physics. They exhibit amazing prop-17 erties, including ferroelectricity, ferromagnetism, colossal magnetoresistance (CMR), and multiferroics (simultaneous 18 ferroelectricity and ferromagnetism), as a consequence of their spin, lattice, charge, and orbital degree of freedom. 19 Advances in thin-film growth techniques have even brought more promising potentials for their future application, 20 as their properties can be engineered via epitaxial strains. A few examples include strontium titanate $(SrTiO_3)$, 21 ferroelectric in tensile-strained thin film while paraelectric in bulk, 1 lanthanum titanate (LaTiO₃), conducting in 22 compressive-strained thin film while insulating in bulk,² and Europium titanate (EuTiO₃), in which multiferroics in-23 duced by tensile strains has been observed.³ As to lanthanum cobaltite ($LaCoO_3$), a diamagnetic insulator in bulk at 24 low temperatures (T < 35 K), a ferromagnetic (FM) insulating state has been observed in tensile-strained thin films, 25 e.g. LaCoO₃ grown on SrTiO₃ or $(LaAlO_3)_{0.3}(Sr_2AlTaO_6)_{0.7}$, at T < 85 K,⁴⁻¹³ while the ferromagnetism induced 26 by compressive strains, e.g. $LaCoO_3$ grown on $LaAlO_3$, is not conclusive.^{5-7,14} Two questions arise immediately: (1) 27 Given that there are six 3d electrons in Co³⁺, which can thus have a total electron spin S = 0, 1, or 2, referred to 28 as low-spin (LS), intermediate-spin (IS), and high-spin (HS) state, respectively, what is the spin state of Co in FM 29 $LaCoO_3$ thin films, in contrast to the LS Co in diamagnetic bulk? (2) What leads to the formation of FM order in 30 LaCoO₃ thin films? After all, FM insulators are rarely seen. So far, all first-principles calculations have only found 31 FM metallic LaCoO₃ thin films with all Co ions in IS state, 11,15,16 a prediction clearly inconsistent with transport 32 measurements.⁶ 33

While finite Co spin induced by tensile strains in $LaCoO_3$ thin films has just started attracting attention, finite Co 34 spin induced by thermal excitation in bulk $LaCoO_3$ has been a highly controversial issue for decades.^{17,18} With LS 35 Co at T < 35 K, bulk LaCoO₃ becomes a paramagnetic insulator with finite Co spin at about 90 K. Such a spin-state 36 crossover in the temperature range of 35–90 K was first suggested to be a LS-HS crossover^{19–21} but was later suggested 37 to be LS-IS based on a local density approximation + Hubbard U (LDA+U) calculation.²² Since then, both scenarios 38 have received supports from various experimental and theoretical works, but a consensus is not yet achieved (see 39 Ref. 23 for a brief review). A study regarding $LaCoO_3$ thin films may also help understanding $LaCoO_3$ bulk from a 40 different perspective. In this paper, we investigate the Co spin state in tensile-strained thin films and the formation 41 of FM order via a series of LDA+U calculations. While LDA+U has been frequently used to study cobalities and Co 42 spin state, the choice of Hubbard U can be an issue. It has been shown that under the same lattice parameter, the 43 Hubbard U affects the total energy and the determination of ground state.¹⁶ A well justified Hubbard U determined 44 by first principles would thus be necessary for finding out the the actual ground state. In this paper, we compute the 45 Hubbard U parameters of Co in all spin states self-consistently with a linear response approach.^{24–26} This method 46 has successfully found the ground state of iron-bearing magnesium silicate (MgSiO₃) perovskite at a wide range of 47 pressure.²⁶ Both the plane-wave pseudopotential (PWPP) method²⁷ implemented in QUANTUM ESPRESSO codes²⁸ 48 and the augmented plane wave + local orbitals (APW+lo) method²⁹ implemented in WIEN2k codes³⁰ are used. As 49 shall be pointed out later, the orbital occupancies of Co in thin films are different from those in bulk, due to their 50 different symmetries. We therefore compute the electric field gradient (EFG) tensor at Co nucleus, V_{zz} , with WIEN2k, 51 to see whether the Co spin state in thin films can be identified via EFG, as demonstrated in bulk.²³ 52

The pseudocubic lattice parameter of bulk LaCoO₃ ($R\overline{3}c$ symmetry) is about 3.81 Å at $T \sim 5 \text{ K.}^{31,32}$ To model 53 tensile-strained $LaCoO_3$ thin films via bulk calculations, we constrain the in-plane pseudocubic lattice parameters 54 $a_{\rm pc}$ and $b_{\rm pc}$ of the hypothetical bulk to 3.899 Å (the lattice constant of cubic SrTiO₃ at low temperatures),³³ set 55 $\alpha = \beta = \gamma = 90^{\circ}$, and optimize the out-of-plane pseudocubic lattice parameter ($c_{\rm pc}$). Due to the lack of accurate 56 information regarding CoO₆ octahedral rotation in thin-film LaCoO₃ in the low-temperature FM phase, we consider 57 two extreme cases shown in Fig. 1: (a) cube-on-cube, namely, no CoO_6 octahedral rotation degree of freedom, and (b) 58 full CoO_6 rotation degree of freedom subject to the above-mentioned constraints. We also consider several magnetic 59 configurations shown in Fig. 2: (a) all Co ions in LS state, (b) all Co ions have the same magnetic moment aligned 60 in FM order, and (c) a mixture state with LS Co surrounded by magnetic Co (and vice versa) aligned in FM order. 61 The configuration shown in Fig. 2(c) is a legitimate postulate, as the observed magnetization in LaCoO₃ thin films 62 rarely exceeds 0.85 $\mu_B/\text{Co.}^{4-11}$ For the configuration in Fig. 2(b), a convergent wave function for HS state cannot be 63 obtained; only IS state can be found. For the configuration in Fig. 2(c), both HS/LS and IS/LS mixture state can be obtained. The self-consistent Hubbard U parameter (U_{sc}) of LS and IS Co are 7.0 eV, while the HS/LS state has 64 65 $U_{\rm sc}^{\rm (HS)} = 5.4$ and $U_{\rm sc}^{\rm (LS)} = 7.2$ eV.³⁴ The dependence of $U_{\rm sc}$ on $c_{\rm pc}$ is negligible. To demonstrate how the choice of 66 Hubbard U can affect the determination of ground state, we also present the result obtained using a constant U = 767 eV for all Co in our PWPP calculations. In tensile-strained $LaCoO_3$ thin films, CoO_6 octahedra possess tetragonal 68 symmetry, namely, longer Co–O distance on the xy plane, regardless of CoO₆ rotation, as will be discussed in the next 69 paragraph. In tetragonal symmetry (D_{4h}) , the spin-down electron of HS Co occupies d_{xy} orbital, and the spin-down 70 electrons of IS Co occupy d_{xz} and d_{yz} orbitals, as shown in Fig. 2(d). Such orbital occupancies are very different from 71 those in bulk LaCoO₃, in which CoO₆ octahedra have trigonal symmetry (D_{3d}) , with the [111] direction being the 72

⁷³ high-symmetry axis. In bulk LaCoO₃, the spin-down electron of HS Co occupies the d_{z^2} -like orbital oriented along

the [111] direction, and the IS Co spin-down electrons occupy the doublet with 3-fold rotation symmetry about the

⁷⁵ [111] direction.²³

The optimized out-of-plane pseudocubic lattice parameter $(c_{\rm pc})$ of each FM state and associated relative energy 76 (ΔE) and band gap (E_{gap}) are listed in Tables I-II. Regardless of CoO₆ rotation, the HS/LS mixture state (with U_{sc}) 77 is the most stable FM state given by the PWPP method (Table I).³⁵ While the choice of U = 7.0 eV makes HS/LS 78 state less favorable in PWPP calculations, APW+lo calculations still find HS/LS the most stable FM state (Table II). 79 Both PWPP and APW+lo methods open an energy gap for HS/LS state, consistent with transport measurements.⁶ 80 Also, the presence of HS Co is consistent with recent x-ray magnetic circular dichroism (XMCD) and x-ray absorption 81 spectroscopy (XAS) spectra.^{9,10} In contrast, the IS state is never the most favorable FM state, regardless of the 82 computation method and CoO₆ rotation. Its partially filled bands formed by partially occupied d_{z^2} and $d_{x^2-y^2}$ 83 orbitals in IS Co lead to a nonzero density of state at the Fermi level. When the IS Co concentration is reduced to 84 50%, an energy gap is opened in APW+lo calculations (Table II), while PWPP calculations still give a conducting 85 IS/LS state (Table I). Such a difference is likely to result from the way that the Hubbard U is applied. In PWPP, the 86 Hubbard U is applied to the projection of the total wave function onto Co 3d orbitals;²⁴ in APW+lo, the Hubbard U 87 is directly applied to the 3d orbitals within the muffin-tin radius of Co (1.9 bohr). Insulating or not, the IS/LS state 88 is highly unlikely; its energy is even higher than that of IS state. One more thing worthy of mention is that the Co–O 89 distance (d_{Co-O}) and Co-O-Co angle obtained in our calculation are different from those estimated in Ref. 5, where ٩N a constant $d_{\rm Co-O} = 1.93$ Å is assumed (regardless of compressive or tensile strains), and an Co-O-Co angle of 176° 91 is estimated for LaCoO₃ grown on SrTiO₃. In our PWPP calculation with $U_{\rm sc}$ and CoO₆ rotation, the HS/LS state 92 has $d_{\text{Co(HS)}-\text{O}} = 2.015$ and 1.872 Å, $d_{\text{Co(LS)}-\text{O}} = 1.922$ and 1.886 Å, and Co–O–Co angles of 163.8° and 156.6°, on 93 the xy plane and along the z axis, respectively. Even for the IS state suggested by Ref. 5, we have $d_{\text{Co(IS)}-\text{O}} = 1.973$ 94 and 1.939 Å, and Co–O–Co angles of 162.3° and 154.9°, on the xy plane and along the z axis, respectively. 95

Other than the total energy, structural properties can be a useful criterion to determine which FM state favors 96 tensile strains ($c_{\rm pc}/a_{\rm pc} < 1$). Starting with the structures listed in Table I with CoO₆ rotation, we perform full 97 structural optimization (at constrained volume) via variable cell-shape damped molecular dynamics.³⁶ All lattice 98 parameters, including α , β , and γ , are optimized, so the final structures only experience hydrostatic pressures. With 99 α , β , and γ slightly deviated from 90°, the IS state has $c_{\rm pc}/a_{\rm pc} > 1$, while all other states remain $c_{\rm pc}/a_{\rm pc} < 1$ (but 100 IS/LS state still has a $c_{\rm pc}/a_{\rm pc}$ larger than that of HS/LS state), as shown in Table III. The larger $c_{\rm pc}/a_{\rm pc}$ ratio 101 associated with IS Co is a direct consequence of its occupied d_{xz} and d_{yz} orbitals (by spin-down electrons), which 102 elongate the Co–O distance along the z-direction. In contrast, the fully optimized HS/LS state has $c_{\rm pc}/a_{\rm pc} = 0.969$, 103 in great agreement with $c_{\rm pc}/a_{\rm pc}=0.967$ observed in experiments.⁶ 104

A significant part of cobalt-spin controversy arises from the difficulty in directly measuring the total electron spin of 105 Co. Such difficulty, also appearing in other spin systems, can be resolved by comparing the calculated and measured 106 EFGs.^{23,26,37} So far, insulating FM state has been observed in LaCoO₃ thin films with $a_{\rm pc}$ ranging from 3.84 to 107 3.90 Å.^{5,8} In these thin films, the magnetic Co concentration and Co–O distance may be different, which can lead to 108 slightly different EFG for Co in the same spin state. To find out possible upper and lower limits of HS and IS Co 109 EFG, we compute them in two extreme cases: (1) thin films with $a_{\rm pc} = 3.899$ Å and 50% of magnetic Co, namely, 110 the HS/LS and IS/LS states listed in Tables I and II, and (2) single isolated HS or IS Co in an array of LS Co in a 111 fully relaxed structure with $a_{\rm pc} \sim 3.81$ Å, where the orbital occupancies of isolated HS and IS Co are maintained in tetragonal symmetry [Fig. 2(d)]. In these APW+lo calculations, IS Co does not lead to a metallic state, in contrast 112 113 to bulk LaCoO₃ (D_{3d} symmetry).²³ Different choices of Hubbard U have been adopted as well (5 eV, 7 eV, and U_{sc}). 114 The results of all these calculations show that the EFG mainly depends on the spin state: $14.7 < V_{zz}^{(\text{HS})}/(10^{21} \text{ V/m}^2)$ 115 < 19.9 and $-14.6 < V_{zz}^{(IS)}/(10^{21} \text{ V/m}^2) < -8.0$. The quadrupole frequency, $\nu_Q \equiv 3eQ|V_{zz}|/2I(2I-1)h$, can thus be 116 easily predicted, with $Q = 0.42 \times 10^{-28}$ m² and I = 7/2 for ⁵⁹Co nucleus. Based on the range of $V_{zz}^{(\text{HS})}$ and $V_{zz}^{(\text{IS})}$, we 117 conclude that in insulating LaCoO₃ thin films, a measured ν_Q via nuclear magnetic resonance (NMR) spectroscopy 118 within $\sim 8.2 \pm 2.4$ MHz can be a strong evidence for IS Co, and a measured ν_Q within $\sim 12.6 \pm 1.9$ MHz should 119 indicate HS Co. 120

Analysis of electronic structures can help developing a physical understanding for the FM order in HS/LS state, whose projected density of states (PDOS) are shown in Fig. 3. The case with U = 7 eV and no CoO₆ rotation is presented, as the main features in PDOS are not sensitive to the choice of U and CoO₆ rotation. Extracted from Fig. 3(a), both HS and LS Co have nonzero magnetic moment: 2.97 and 0.56 μ_B , respectively. The FM order is established via the superexchange interaction between HS and LS Co, as described by the Goodenough-Kanamori

rule, 17,38-40 which states that the superexchange interaction between two cations (with or without a shared anion) is 126 ferromagnetic if the electron transfer is from a filled to a half-filled orbital or from a half-filled to an empty orbital. 127 Indeed, for the HS/LS state, electrons transfer from the filled d_{xz} and d_{yz} orbitals of LS Co to the half-filled d_{xz} and 128 d_{yz} orbitals of HS Co via the oxygen in between, and also from the half-filled e_g (d_{z^2} and $d_{x^2-y^2}$) orbitals of HS Co 129 to the empty e_q orbitals of LS Co, as depicted in the inset of Fig. 3(b). The PDOS shown in Fig. 3(b) confirms this 130 model: the finite spin-up e_q electrons localized at the LS Co site (transferred from the HS Co site) and the finite 131 spin-down d_{xz} and d_{yz} electrons localized at the HS Co site (transferred from the LS Co site). Such electron transfers have been also described via a *configuration fluctuation* model,^{20,21} which further suggests that the interchange of 132 133 spin states (without net transfer of charge) led by electron transfers stabilizes the FM order in this HS/LS states. 134

The above-mentioned superexchange interaction can be visualized via electron spin density $s(\mathbf{r}) \equiv \rho_{\uparrow}(\mathbf{r}) - \rho_{\downarrow}(\mathbf{r})$, 135 where $\rho_{\uparrow}(\mathbf{r})$ and $\rho_{\downarrow}(\mathbf{r})$ are spin-up and spin-down electron density, respectively. Figure 4(a) shows $s(\mathbf{r})$ corresponding 136 to the configuration with all HS Co magnetic moments aligned (same as the configuration in Fig. 3). The nonzero 137 magnetic moments localized at LS Co sites (with e_g character), aligned with the HS Co magnetic moments, are 138 consistent with the PDOS shown in Fig. 3. When the magnetic moment of one HS Co in a 40-atom supercell is 139 flipped [Fig. 4(b)], the alignment of magnetic moments is altered, and so is the condition that allows configuration 140 fluctuation [inset of Fig. 3(b)]. The spin density at surrounding LS Co sites is thus significantly affected. One flipped 141 HS Co spin (in a 40-atom cell) increases the total energy by 195 meV/supercell. Flipping one more HS Co spin, so 142 the total magnetization per supercell becomes zero, further increases the total energy by 78 meV/supercell. With 143 CoO_6 rotation, the energy increases associated with one and two flipped HS Co spins are 96 and 34 meV/supercell, 144 respectively. These results indicates that the magnetic moment of HS Co in the HS/LS state should align at low 145 temperatures. 146

While our calculations have shown that HS/LS state is the most favorable state among the ferromagnetic states 147 being considered, magnetic state in actual $LaCoO_3$ thin films can be more complicated. The magnetization observed in 148 experiments rarely exceeds 0.85 μ_B/Co ,^{4–11} smaller than that of the HS/LS mixture (2 μ_B/Co). Such a magnetization 149 suggests that the HS Co population should be smaller than 50%. In fact, XAS spectra combined with atomic multiplet 150 calculations have suggested that LaCoO₃ thin film on $SrTiO_3$ consists of about 64% of LS Co and 36% of HS Co.¹⁰ 151 Given that the FM order is achieved via the superexchange interaction within the HS-LS-HS Co configuration shown 152 in Fig. 2(c), one can thus expect ferromagnetic HS/LS domain and nonmagnetic LS domain coexist in tensile strained 153 thin films, as observed using magnetic force microscopy (MFM).⁷ Also, since HS/LS state favors larger in-plane lattice 154 parameter, thin films with larger in-plane lattice parameters can be expected to have larger HS/LS domain, and thus 155 larger magnetization, consistent with the increase of magnetization with lattice parameter observed in experiment.⁵ 156

In summary, we use LDA+U calculations to show that the ferromagnetic insulating state in tensile-strained $LaCoO_3$ thin films is most likely a mixture of HS and LS Co. Among all the ferromagnetic states studied in this paper (HS/LS, IS/LS, and IS), the insulating HS/LS mixture state is the most favorable one, energetically and structurally. Its FM order is established via the superexchange interaction between LS and HS Co. We also show that cobalt spin states in LaCoO₃ thin films could be identified via NMR spectroscopy.

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- While LDA+U gives a pseudocubic lattice parameter of about 3.78 Å for bulk LaCoO₃ (see Ref. 27), inclusion of zero point motion energy can improve this 1% underestimate, and better agreement with experiments can be achieved, as reviewed by R. M. Wentzcovitch et al., Rev. Min. Geochem. 71, 59 (2010).
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- In this paper, $U_{\rm sc}$ is extracted from a series of LDA+U ground states associated with different trial U, as detailed in the supplemental material of Ref. 26 (http://link.aps.org/supplemental/10.1103/PhysRevLett.106.118501). In our earlier work on LS LaCoO₃ (Ref. 27), the Hubbard U for LS Co ($\sim 8.3 \text{ eV}$) was extracted from the LDA ground state, as detailed in Ref. 24.
- In our calculations with constrained in-plane lattice parameters ($a_{pc} = b_{pc} = 3.899$ Å), a HS state with G-type antiferro-magnetic (AFM) order can be obtained, and its energy is lower than that of the HS/LS state. Given the neglegct of finite thickness, the possible uncertainty of energy given by LDA+U method, and the fact that AFM thin film is not observed in experiments, we limit our discussions on the available FM states (IS, IS/LS, and HS/LS).
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TABLE I. Optimized out-of-plane pseudocubic lattice parameter ($c_{\rm pc}$) and associated relative energy (ΔE) and energy gap ($E_{\rm gap}$) of each FM state in tensile-strained LaCoO₃ thin film (PWPP method).

	No CoO_6 rotation			Full CoO_6 rotation		
	$c_{ m pc}$ (Å)	ΔE (eV/f.u.)	$E_{\rm gap}~({\rm eV})$	$c_{ m pc}$ (Å)	ΔE (eV/f.u.)	$E_{\rm gap}~({\rm eV})$
LS	3.865	0.35	0.54	3.660	0.32	1.24
IS	3.785	0.20	metal	3.785	0.19	metal
IS/LS	3.720	0.35	metal	3.680	0.29	metal
$HS/LS (U_{sc})$	3.685	0.00	0.92	3.680	0.00	0.90
$\mathrm{HS/LS}~(U=7~\mathrm{eV})$	3.700	0.29	1.12	3.695	0.29	0.90

TABLE II. Optimized $c_{\rm pc}$ and associated ΔE and $E_{\rm gap}$ of each FM state (APW+lo method, with CoO₆ rotation).

	$c_{\rm pc}$ (Å)	ΔE (eV/f.u.)	$E_{\rm gap}~({\rm eV})$
LS	3.660	0.37	1.72
IS	3.741	0.18	metal
IS/LS	3.672	0.29	0.59
$\mathrm{HS/LS}~(U=7~\mathrm{eV})$	3.686	0	1.52

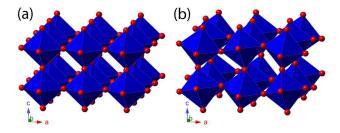


FIG. 1. (color online). Possible atomic structures of $LaCoO_3$ thin film (La is not shown) subject to constrained in-plane lattice parameters. (a) Cube on cube, no CoO₆ octahedral rotation; (b) full octahedral rotation degree of freedom.

	$a_{\rm pc}, b_{\rm pc} ({ m \AA})$	$c_{\rm pc}$ (Å)	$c_{\rm pc}/a_{\rm pc}$
IS	3.848	3.893	1.012
IS/LS	3.847	3.778	0.982
$HS/LS (U_{sc})$	3.863	3.745	0.969
$\mathrm{HS/LS}~(U=7~\mathrm{eV})$	3.865	3.757	0.972

TABLE III. Fully optimized pseudocubic lattice parameters of each FM state at the volume as in Table I (with CoO₆ rotation).

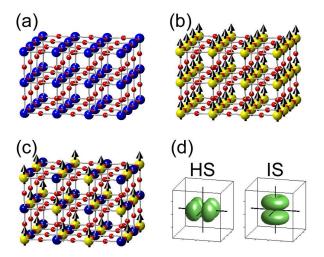


FIG. 2. (color online). (a)-(c) Possible magnetic configurations in $LaCoO_3$ epitaxial thin film (La is not shown). The arrows denote for nonzero magnetic moments, either IS or HS. (a) LS state; (b) HS or IS state in FM order; (c) HS/LS or IS/LS mixture state in FM order. (d) The 3*d* orbitals occupied by the spin-down electrons of HS and IS Co.

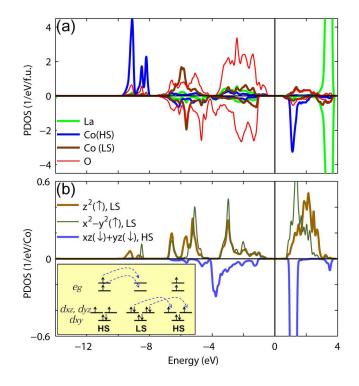


FIG. 3. (color online). Projected density of states of ferromagnetic HS/LS state (no CoO₆ rotation, U = 7 eV) onto (a) each atomic site, and (b) some of the Co 3d orbitals. The inset in (b) shows the electron transfer between HS and LS Co.

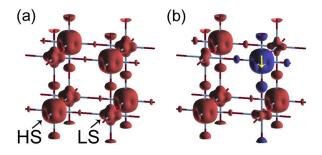


FIG. 4. (color online). Spin density, $s(\mathbf{r})$, of HS/LS state (no CoO₆ rotation, U = 7 eV) with (a) all HS Co magnetic moments aligned, and (b) one HS Co magnetic moment flipped downward (indicated by arrow). The isosurface values are 0.02 (red) and -0.02 (blue).