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Magneto-phonon resonance in graphite: high field Raman measurements and electron-phonon coupling contributions

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We perform Raman scattering experiments on natural graphite in magnetic fields up to 45 T, observing a series of peaks due to interband electronic excitations over a much broader magnetic field range than previously reported. We also explore electron-phonon coupling in graphite via magneto-phonon resonances. The Raman G peak exhibits strong shifts and splits as a function of magnetic field arising from the magnetically tuned coupling of the E_{2g} optical phonons with the K - and H -point inter-Landau-level excitations. The analysis of the observed anti-crossing behavior allows us to determine the electron-phonon coupling for both K - and H -point carriers. In the highest field range (>35 T) the G peak narrows due to suppression of electron-phonon interaction.

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Electron-phonon coupling in graphene and graphite has been investigated for several years^{1–4}. The zone-centre, doubly degenerate E_{2g} phonon, strongly interacts with electrons, resulting in renormalization of phonon frequencies and line broadening^{5–10}. These are tunable by electric and magnetic fields, through Fermi-energy shifts and Landau quantization. The Raman G peak is predicted to exhibit anti-crossings when the E_{2g} phonon energy matches the separation of two Landau levels (LLs). Both intraband (i.e., cyclotron resonance-like) and interband (i.e., magneto-excitonic) transitions are allowed both in single layer graphene (SLG)^{11,12} and bilayer graphene (BLG)¹³. Interband magneto-phonon resonance (MPR) has indeed been observed in magneto-Raman scattering on SLG on the surface of graphite^{14,15} and non-Bernal stacked multilayer graphene on SiC¹⁶.

Graphite, a semimetal containing both electrons and holes even at zero temperature, is expected to exhibit even richer carrier-phonon coupling phenomena. Indeed, Ref. 17 recently reported magneto-Raman measurements on graphite up to 28 T, and observed inter-LL transitions and signatures of MPR. As described via the Slonczewski-Weiss-McClure (SWM) model^{18–20}, graphite has a linear (“massless”) dispersion for the hole pocket around the H -point of the Brillouin Zone and a parabolic (“massive”) dispersion for the electron pocket around the K -point. Angle-resolved photoemission measurements provided evidence of such massless and massive quasiparticles in graphite²¹. Near these high symmetry points, graphite’s band structure can be approximated as a combination of SLG, describing the H -point massless holes, and BLG, describing the K -point massive electrons²².

Here, we report low-temperature magneto-Raman measurements of natural graphite in a magnetic field (B) up to 45 T, a range of fields much broader than any previous study. We demonstrate a rich picture of

MPR effects caused by coupling of the E_{2g} phonon to both H -point (SLG-like) and K -point (BLG-like) interband excitations. We also observe a series of *electronic* Raman excitations (i.e., emission of electron-hole pairs instead of phonons), including transitions involving the lowest, electron-hole mixed, LLs. We explain the entire, complex set of Raman-active interband excitations within a SWM approach. Furthermore, through quantitative analysis of the observed anti-crossing behaviors, we determine the strengths of electron-phonon coupling (EPC) for both H -point holes and K -point electrons. Finally, in the highest magnetic-field range (>35 T), where all transition energies are far away from the E_{2g} phonon energy, the G peak narrows, due to suppression of the EPC contribution to the linewidth.

Raman spectra were collected on natural graphite (NGS Naturgraphit GmbH) in a backscattering geometry, with B up to 45 T [see Fig. 1(a)]. A 532-nm laser is coupled via an optical fiber to the low-temperature probe, and focused to a spot of $\lesssim 20 \mu\text{m}$, with a power of ~ 13 mW. The probe is inserted into a helium cryostat and placed in a 31 T resistive magnet or 45 T hybrid magnet. Under laser illumination, the temperature of the sample is stabilized at ~ 10 K. The unpolarized Stokes component of the scattered light is directed into the collection fiber and guided to a spectrometer equipped with a charge coupled device camera. Most of the data were collected with a spectral resolution of $\sim 3.4 \text{ cm}^{-1}$. However, we used a spectral resolution $\sim 0.5 \text{ cm}^{-1}$ to accurately measure the full width at half maximum of the G peak, FWHM(G), at selected magnetic fields between 32 T and 45 T. Raw data contains the signal of interest from the sample in a smooth background coming from the fibers. At frequencies $\gtrsim 1300 \text{ cm}^{-1}$, the background is featureless and much smaller than the signal from the sample. We performed numerous tests to characterize the

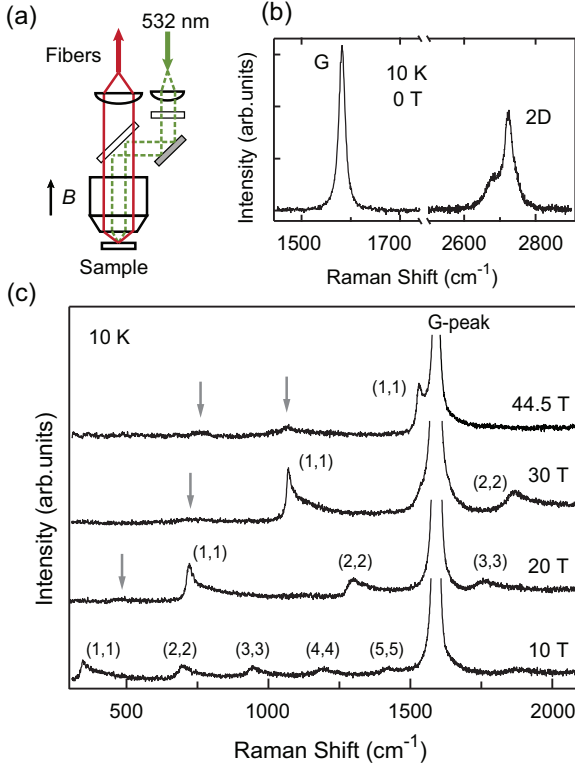


FIG. 1. (Color online) (a) Experimental configuration. (b) Unpolarized, background corrected Raman spectrum of natural graphite at zero magnetic field and 10 K. (c) Magneto-Raman spectra at 10 K for various magnetic fields. A series of interband electronic transitions are observed, as indicated, as well as a large G peak $\sim 1580 \text{ cm}^{-1}$. Gray arrows point to weak low-frequency scattering peaks.

field- and temperature dependence of the background, in particular at frequencies $\lesssim 900 \text{ cm}^{-1}$, where parasitic scattering in fibers becomes comparable or higher than the signal from the sample. As the magnetic field increases up to 45 T, we observe small broad-band changes reaching 1% at $\sim 650 \text{ cm}^{-1}$. However, these could be due to other factors, such as long-term variation of the laser power or temperature drifts. Thus, we assume the background to be field-independent, at least within our signal to noise ratio and use zero field reference spectra to remove spurious signals due to scattering in the fibers. Decoupled SLG may exist on the surface of bulk graphite^{23,24}. To avoid contributions from such SLGs, we recorded the spectra of several locations to select a region with a bulk graphite-like Raman spectrum, as indicated by the 2D Raman peak shape^{2,4}. This approach is opposite to that of Refs. 14 and 15, where the samples were scanned to find SLG Raman signatures.

At $B = 0$, the first-order Raman spectrum of graphite is dominated by the G peak at $\sim 1580 \text{ cm}^{-1}$, as shown in Fig. 1(b), due to scattering by the doubly-degenerate zone-center E_{2g} phonon^{4,25}. As B increases, a number of peaks emerge, as shown in Fig. 1(c). These peaks be-

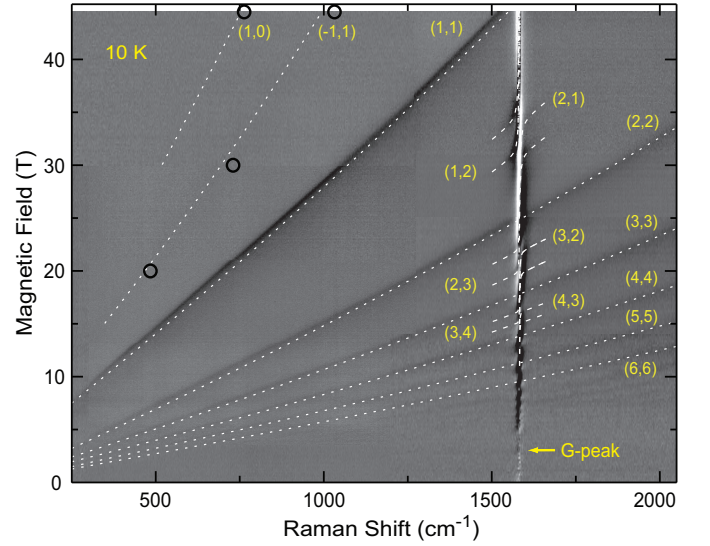


FIG. 2. (Color online) Intensity map of magnetic-field dependent Raman intensity. Dotted lines represent the calculated energies of Raman-active, $hn \rightarrow en$, electronic excitations near the K -point. Dashed lines represent asymmetric, $hn \rightarrow e(n-1)$ and $hn \rightarrow e(n+1)$ K -point excitations coupled to the E_{2g} phonon. Open circles indicate the weak low-frequency peaks labeled by gray arrows in Fig. 1(c).

come sharper and move towards higher frequencies with increasing B . Similar peaks were previously reported in Ref. 26, where Raman scattering of bulk graphite was measured in magnetic fields up to 6.5 T, but assigned to LLs in BLG.

Figure 2 displays a set of spectra taken at 10 K as a function of B up to 45 T. The observed nearly-linear B -dependence suggests these features to be related to inter-LL excitations of massive carriers in the vicinity of the K -point. The most intense peaks are attributed to the so-called “symmetric” inter-LL excitations, $hn \rightarrow en$ or (n,n) , i.e., the transitions from the n -th hole to the n -th electron LLs²⁷. Indeed, Ref. 28 and 29 theoretically showed that symmetric inter-LL excitations are Raman active in both SLG and BLG. These symmetric transitions were previously observed and analyzed through an effective BLG model¹⁵.

In addition, we detect two extra electronic features below the (1,1) transition, indicated by open circles in Fig. 2. They are resolved at 45 T, as shown by gray arrows in Fig. 1, although their intensity is less than 10% of the (1,1) peak. We attribute them to the lowest inter-LL transitions, (1,0) and $(-1,1)$, at the K point. They can be considered as a special case of the weak lowest-energy Raman-active transition in BLG predicted in Ref. 29.

To validate our peak assignments, we calculate the energies of interband, inter-LL transitions within the SWM model. This has seven tight-binding parameters, γ_0 to γ_5 and Δ . Despite its extensive use over the past 50 years, the precise values of these parameters are still under debate. Without the trigonal warping effect represented by

TABLE I. SWM band parameters (in eV) extracted from results in Fig. 2, in comparison with previously reported values.

	This work	Ref. 30	Ref. 31	Ref. 17	Ref. 32
γ_0	3.06 (1)	3.1	3.18 (3)	3.08 (1)	3.16 (5)
γ_1	0.370 (5)	0.39	0.38 (1)	0.380 (2)	0.39 (1)
γ_2	-0.028 (3)	-0.028 (4)	-0.02	- ^a	-0.020 (2)
γ_3	0.33 (1)	0.315	-	0.315 (1)	0.315 (15)
γ_4	0.080 (5)	0.041 (10)	0.08 (3)	0.044 (5)	0.044 (24)
$\Delta + 2\gamma_5$	0.130 (3)	0.15 (3)	0.064 (3)	- ^a	0.068 (7)

^a $\Delta + 2\gamma_5 - 2\gamma_2 = 0.22$ (1)

γ_3 , each LL can be obtained through a 4×4 Hamiltonian, which can be diagonalized for each n . Adding the γ_3 term mixes different LLs with indices n and $n \pm 3$, making the dimension of the Hamiltonian infinite. We numerically calculate the LL energies by truncating this Hamiltonian into a finite $\sim 400 \times 400$ matrix. We note that, for matrix sizes larger than 100×100 , the gaps between energy levels at $B = 10$ T change less than 0.1 cm^{-1} . For higher magnetic fields, the results converges even faster. We obtained γ_0 from the position of the H -point MPR and used the SWM parameters from Ref. 30 as the initial guesses for our fitting. To reduce the number of parameters, we fixed γ_0 and γ_2 and varied the others to fit the data.

Table I compares our results with values extracted from magneto-transport experiments³⁰, infrared magneto-reflectance spectroscopy³¹, magneto-Raman measurements¹⁷, as well as values deduced from earlier experiments³². Though the tight-binding parameters are not significantly different, our spectroscopic observation of both symmetric and asymmetric transitions, including the low-energy transitions involving the electron-hole mixed -1 and 0 LLs, enables an accurate determination of the SWM parameters.

Close examination of the G peak in Fig. 2 reveals peak position modulations as a function of B . At a certain B , the resonance condition $E_{n,n'} = \hbar\Omega_\Gamma$ is met, where $E_{n,n'}$ is the (n,n') transition energy and Ω_Γ is the E_{2g} phonon frequency, and the phonon is “dressed” by the electronic transition^{11–13}. This coupling manifests itself as a series of avoided crossings^{14–16}. Specifically, the E_{2g} phonon is allowed to couple with an (n,n') transition only when $|n| - |n'| = \pm 1$. To examine the data more closely, we fit the G peak with Lorentzians and plot the extracted peak positions and the second derivative Raman intensity in Fig. 3(a). The data reveals anti-crossings at 34 T, 31 T, 21 T, and 19 T, corresponding to the (2,1), (1,2), (3,2), and (2,3) transitions, respectively. At lower fields, the doublet structure due to the (3,4) and (4,3) transitions is smeared out and appears as a weak modulation of the G peak. Note that, when the symmetric (n,n) peaks cross the G peak, they appear unchanged, indicating the absence of coupling. Furthermore, the central position of the G peak is also B -dependent, exhibiting a modulation and broadening at ~ 30 T (Fig. 4), which we interpret as a signature of MPR of the asymmetric $h1 \rightarrow 0$ and $h1 \rightarrow -1$

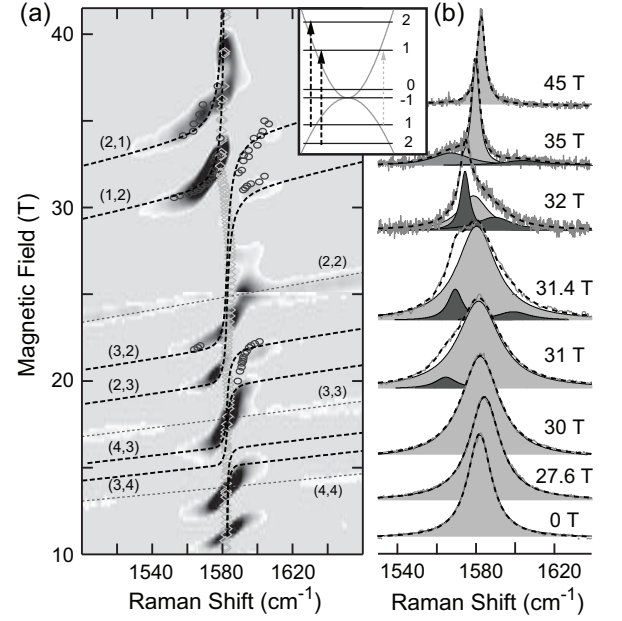


FIG. 3. Magneto-phonon resonance at the K point. (a) 2^{nd} derivative Raman intensity map. Circles: positions extracted from Lorentzian fits. Dashed lines: calculated energies of coupled K -electron-phonon modes. (b) G peak measured at different B , with multiple-Lorentzian fits. Inset: schematic energy diagram and MPR-coupled inter-LL transitions at the K point.

H -point excitation with the E_{2g} phonon. Finally, above 35 T, where the decay of E_{2g} phonons into electron-hole pairs is quenched by Landau quantization and electron-phonon interaction is suppressed, the G peak narrows to $\sim 4.4 \text{ cm}^{-1}$. Our high-field value $\text{FWHM}(G)$ is about twice the phonon-lifetime-limited linewidth at $B = 0$, $\gamma_\Gamma^{ph-ph} \approx 2.5 \text{ cm}^{-1}$ (Refs. 34 and 35), indicating the presence of another, probably disorder-induced, broadening mechanism.

To analyze the observed MPR, we first focus on the G -peak sidebands, corresponding to coupled electron-phonon modes associated with K -point electron asymmetric transitions [Fig. 3(a)]. The doublet anti-crossings at 34 T and 31 T, corresponding to the (2,1) and (1,2) transitions, respectively, is most accurately resolved [Fig. 3(b)], and therefore, most suitable for quantitative analysis. Following Refs. 12 and 14, we analyze the data via a two-coupled-mode model,

$$E_{\pm} = \frac{E_G + E_{n,n'}}{2} \pm \sqrt{\left(\frac{E_G - E_{n,n'}}{2}\right)^2 + g^2}, \quad (1)$$

where $E_G = \hbar\Omega_\Gamma - i\gamma_\Gamma/2$, $E_{n,n'} = \hbar\Omega_{n,n'} - i\gamma_{n,n'}/2$, γ_Γ ($\gamma_{n,n'}$) is $\text{FWHM}(G)$ [(n,n') transition], and g is the coupling parameter. Expressing the magnetic energy $\hbar\omega_B$ at the K point within an effective BLG model, the coupling

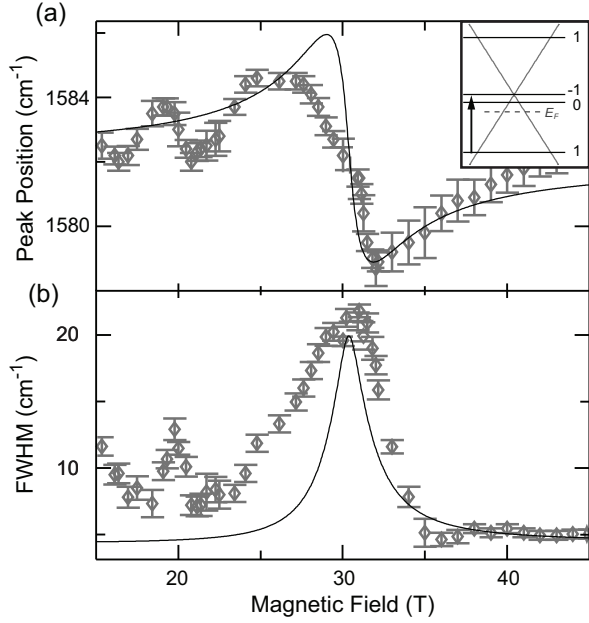


FIG. 4. Magneto-phonon resonance at the H -point. (a) Peak position and (b) FWHM of the G peak central Lorentzian component as a function of magnetic field. The model results of MPR induced modulation are shown by solid lines. Inset: schematic energy diagram and MPR-coupled inter-LL transitions at the H -point.

parameter g is given by:

$$g^{(K)} = \sqrt{\frac{\lambda_{\Gamma}^{(K)}}{4\pi}} \hbar \omega_B = \frac{3}{4} \sqrt{\frac{\lambda_{\Gamma}^{(K)}}{4\pi}} \frac{\gamma_0^2 a^2}{\gamma_1 l_B^2} \equiv g_0^{(K)} B, \quad (2)$$

where a ($= 2.46 \text{ \AA}$) is the graphite lattice constant, γ_0 and γ_1 are tight binding parameters (see Table I), and $l_B = \sqrt{\hbar/eB}$ is the magnetic length. The dimensionless EPC λ_{Γ} is defined following the notation of Refs. 10 and 33:

$$\lambda_{\Gamma} = \frac{2A_{u.c.}}{M\hbar\Omega_{\Gamma}} \frac{\langle D_{\Gamma}^2 \rangle}{v_F^2} = \frac{4}{\sqrt{3}} \frac{\hbar^2}{M\hbar\Omega_{\Gamma}} \frac{\langle D_{\Gamma}^2 \rangle}{\gamma_0^2} \quad (3)$$

where $A_{u.c.}$ is the graphene unit cell area, M is the carbon atomic mass, and $v_F = \frac{\sqrt{3}}{2\hbar} a \gamma_0 = 0.99 \times 10^6 \text{ m/s}$ is the Fermi velocity. $\langle D_{\Gamma}^2 \rangle$ is the deformation potential of the E_{2g} phonon, which describes the modulation of the coupling energy γ_0 by C-C bond length variation.

The position and line-width of unperturbed phonons can be derived from the high-field ($>35 \text{ T}$) spectra, i.e. $\text{Pos}(G) = 1582.6 \text{ cm}^{-1}$ and $\text{FWHM}(G) = 4.4 \text{ cm}^{-1}$. We calculate the energies of asymmetric inter-LL transitions using the SWM parameters described previously. Fitting Eq. (1) to the anti-crossings at 31 T and 34 T yields $\gamma_{\Gamma} = 44 \pm 6 \text{ cm}^{-1}$ and $g^{(K)} = 0.72 \pm 0.03 \text{ cm}^{-1}/\text{T}$. We can

thus extract $\lambda_{\Gamma}^{(K)} \approx 3.3 \times 10^{-2}$, in excellent agreement with that previously derived from density functional theory, the zero-field $\text{FWHM}(G)$, the doping dependence of $\text{Pos}(G)$, and the slope of the phonon dispersions around Γ : $\lambda_{\Gamma}^{(K)} \approx 3 \times 10^{-2}$.^{1,5,6,33}

Finally, we analyze the B -induced modulation of the central component of the G peak, shown in Fig. 4. The total peak-position modulation is $\sim 6 \text{ cm}^{-1}$, while the FWHM increases more than twice at $\sim 30 \text{ T}$. This is consistent with MPR due to H -point inter-LL transitions, $(1,0)$ or $(1,-1)$, assuming that the LL widths are larger than the coupling strength. The G peak modulation at $\sim 20 \text{ T}$ is a signature of the MPR effect involving $(2,3)$ K -point excitations. To deduce the EPC strength for the H -point, we model the 30 T resonance with Eq. (1) using a SLG-like expression for $g^{(H)}$:

$$g^{(H)} = \sqrt{\frac{3}{2}} \sqrt{\frac{\lambda}{4\pi}} \frac{a}{l_B} \gamma_0 \equiv g_0^{(H)} \sqrt{B}. \quad (4)$$

The right side of the resonance ($B > 30 \text{ T}$) fits well with the model with $\gamma_{\Gamma} = 100 \pm 10 \text{ cm}^{-1}$, $g_0^{(H)} = 3.2 \pm 0.2 \text{ cm}^{-1}/\text{T}^{1/2}$, and $\lambda_{\Gamma}^{(H)} \approx 1.6 \times 10^{-3}$. The discrepancy at lower fields is likely due to the E_{2g} renormalization via interaction with multiple inter-LL excitations, which cannot be spectrally resolved for $B < 30 \text{ T}$. We note that $\lambda_{\Gamma}^{(H)}$ is almost 20 times smaller than $\lambda_{\Gamma}^{(K)}$.

In summary, we performed high-field magneto-Raman experiments on graphite, observing strong magneto-phonon resonances. The G peak shifts and splits as a function of magnetic field as it sequentially resonates with certain electronic transitions. Analysis of the observed magneto-phonon resonance effects allowed us to determine the strengths of electron-phonon coupling for both H - and K -point carriers. The Slonczewski-Weiss-McClure model provides an accurate description of all observed interband electronic excitations. In the highest field range ($>35 \text{ T}$), the G peak narrows through reduced electron-phonon interaction.

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